

Alaska DOT&PF SWPPP Checklist



State of Alaska Department of Transportation & Public Facilities
Statewide Design & Engineering Services

STORM WATER POLLUTION PREVENTION PLAN REVIEW CHECKLIST

Project Name:
Project Number:
Date SWPPP Submitted to DOT&PF:
Date of Review:
Reviewer:
SWPPP Approved? No Yes

Use this checklist when reviewing a Storm Water Pollution Prevention Plan (SWPPP) that has been submitted by a contractor. The purpose of this checklist is to ensure that the SWPPP includes the Alaska Department of Environmental Conservation (ADEC) 2021 CGP and the most recent DOT&PF specification requirements. This checklist follows the topic order of the ADEC SWPPP template, version 1.0.

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
1.0 PERMITTEE					
1	Does the SWPPP identify the Contractor and DOT&PF as co-operators/permittees at the site, and the areas over which each has control?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.1 DOT&PF Spec 641-1.06 & 641-2.01
2	Does the SWPPP identify any subcontractors and areas where subcontractors are conducting activities?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.1
3	Does the SWPPP state which operator/permittee is responsible for the implementation of the SWPPP?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		DOT&PF Spec 641-1.06 & 641-2.01
4	Does the SWPPP show the lines of authority and contact information for the Superintendent, SWPPP Manager, and Subcontractor and Utility SWPPP Coordinators?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		DOT&PF Spec 641- 2.01

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2.0 STORM WATER CONTACTS					
5	Does the SWPPP identify the qualified person(s) that have the following responsibilities: <ul style="list-style-type: none"> • SWPPP Manager/ Storm Water Lead • Updating the SWPPP • Conducting Inspections • Conducting Monitoring* • Operating an Active Treatment System* *If applicable		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.2 DOT&PF Spec 641- 1.04, 641-2.01 & 641-2.04
6	Is the name of the SWPPP Preparer(s) provided?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.1.2.3 DOT&PF Spec 641- 2.01
7	Do the persons listed for positions in this section meet the definition of “qualified person” for their named position/role?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.2, 5.1.2.3 & Appendix C DOT&PF Spec 641- 1.04 & 641-2.01
8	Are these individuals, their positions, and their qualifications documented in Appendix E?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.2, 5.1.2.3 & DOT&PF Spec 641- 2.01
3.0 PROJECT INFORMATION					
3.1 Project Information					
9	Does the SWPPP provide the project location?		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: 2.2.3.3 SWPPP Template
10	Does the SWPPP provide the project latitude and longitude?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 2.2.3.3 DECSWPPP Template
3.2 Project Site-Specific Conditions					
11	Does the SWPPP describe the soils, topography, drainage patterns, approximate growing season and vegetation?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.3.2

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12	Does the SWPPP identify the mean annual precipitation?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.3.1 DOT&PF Spec 641- 2.01
13	Does the SWPPP specify the size (in inches) of the 2-year, 24-hour storm?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.9 & 8.2.1.3
4.0 NATURE OF CONSTRUCTION ACTIVITY					
14	Does the SWPPP describe the function of the project?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.4.1
15	Is the intended sequence of construction activities that disturb soils described?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.4.2 DOT&PF Spec 641- 2.01
16	Is the size of the property and total area (in acres) expected to be disturbed within the Project Zone provided (including excavation, grading and support activities such as off-site staging, borrow, and waste areas)?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 1.4.2.3 & 5.3.4.3
17	Does the SWPPP identify all potential sources of pollution that may reasonably be expected to affect the water quality of the storm water discharges from the site?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.4.5
5.0 SITE MAPS (APPENDIX A)					
18	Does App. A include a general location of the site with enough detail to identify the location of the construction site and any waters of the U.S. (including wetlands) within one mile of the site?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.4.4 & 5.3.5

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19	<p>Does App. A include site maps containing all the following information?</p> <p><input type="checkbox"/> North arrow and bar scale;</p> <p><input type="checkbox"/> Boundaries of the property where construction activities will occur;</p> <p><input type="checkbox"/> Locations where earth disturbing activities will occur, noting any phasing;</p> <p><input type="checkbox"/> Location of areas that will not be disturbed;</p> <p><input type="checkbox"/> Location of storm water conveyances including ditches, pipes, and swales;</p> <p><input type="checkbox"/> Location of storm water inlets and outfalls, with a unique identification code for each outfall;</p> <p><input type="checkbox"/> Municipal separate storm sewer systems, if present;</p> <p><input type="checkbox"/> Direction(s) of storm water flow and approximate slopes after grading activities</p> <p><input type="checkbox"/> Locations where control measures will be or have been installed</p> <p><input type="checkbox"/> Locations where exposed soils will be or have been stabilized</p> <p><input type="checkbox"/> Locations where post construction (permanent) storm water controls will be or have been installed</p> <p><input type="checkbox"/> Locations of support activities: concrete/ asphalt batch plants, equipment staging areas, material storage areas, disposal areas, borrow areas</p>		<p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes, but deficient</p> <p><input type="checkbox"/> Yes</p>		2021 CGP: 5.3.5

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	<input type="checkbox"/> Locations where authorized non-storm water will be used including the type <input type="checkbox"/> Locations of all waters of the U.S. on the site as well as those within 2,500 feet of the site boundary that may be affected <input type="checkbox"/> Locations of existing public water system (PWS) drinking water protection areas (DWPA) for PWS sources (e.g., springs, wells, or surface water intakes) that intersect the boundary of the proposed project/permit area <input type="checkbox"/> Locations where storm water and/or authorized non-storm water discharges to waters of the U.S. or an MS4 <input type="checkbox"/> Locations of Sampling Points (if applicable) <input type="checkbox"/> Areas where final stabilization has been accomplished and no further construction-phase permit requirements apply.				
6.0 DISCHARGES					
20	Does the project include other industrial storm water discharges? (note: if there are no dedicated asphalt/concrete plants, the SWPPP must state this) CGP 1.4.2.3. <input type="checkbox"/> No; <i>If no, skip to Item 21.</i> <input type="checkbox"/> Yes: <input type="checkbox"/> asphalt plant, <input type="checkbox"/> concrete plant; <i>If yes, address 20a.</i>				
20a	Does the SWPPP describe and identify the location of discharges associated with support activities?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 1.4.2.3 & 5.3.8 DOT&PF Spec 641- 2.01
21	Does the project include authorized non-storm water discharges? (note: if there are none, the SWPPP must state this) <input type="checkbox"/> No; <i>If no, skip to Item 22.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 21a – b.</i>				

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21a	Does the SWPPP identify all sources of non-storm water discharges associated with the site, and are these consistent with the list in the CGP?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 1.4.3 & 5.3.9
21b	Does the SWPPP discuss how non-storm water discharges will be minimized or controlled?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.8 and 5.3.9
7.0 DOCUMENTATION OF PERMIT ELIGIBILITY RELATED TO TOTAL MAXIMUM DAILY LOADS					
22	Is TMDL documentation added in App D?		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: 5.6
7.1 Identify Receiving Waters					
23	Does the SWPPP list all the water bodies that would receive storm water from the site including wetlands, impaired waterbodies, anadromous waters, and water bodies that sewer/drainage systems drain to?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 3.2 & 5.3.3.3
24	Does the project affect an impaired water body? <input type="checkbox"/> No; <i>If no, skip to Item 25.</i> <input type="checkbox"/> Yes; <i>If yes, address 24a - 25.</i>				
24a	Does the SWPPP indicate the location of discharges into impaired water bodies?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 7.3.4
7.2 Identify TMDLs					
25	Does the project affect an impaired water body with an approved or established total maximum daily load (TMDL)? <input type="checkbox"/> No; <i>If no, skip to Item 26.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 25a – d.</i>				
25a	Are the TMDLs identified?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.6
25b	Does the SWPPP state whether the discharge is identified in the TMDL or whether there are allocations, requirements or assumptions identified for the discharge?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.6

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25c	Does the SWPPP summarize consultation with state or federal TMDL authorities on the consistency of SWPPP conditions with the approved TMDL?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.6
25d	Does the SWPPP identify measures to ensure that discharges of pollutants from the site will be consistent with the assumptions and requirements of the approved TMDL?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.6
8.0 DOCUMENTATION OF PERMIT ELIGIBILITY RELATED TO ENDANGERED SPECIES					
26	Was endangered/threatened species or critical habitat identified on or near the project area? <input type="checkbox"/> No; <i>If no, address Item 26a then skip to Item 28.</i> <input type="checkbox"/> Yes; <i>If yes, address Item 26a – 27.</i>				
26a	Does the SWPPP describe how this determination was made?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.7
27	Was the project found to adversely affect an endangered/threatened species or critical habitat? <input type="checkbox"/> No; <i>If no, skip to Item 28</i> <input type="checkbox"/> Yes; <i>If yes, address Items 27a – d.</i>				
27a	Does the SWPPP describe the species and/or critical habitat affected?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 3.3 & 5.7
27b	Does the SWPPP list measures for the protection of endangered/threatened species and/or critical habitat?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.7
27c	Does the SWPPP include documentation supporting a determination of permit compliance with regards to the Endangered Species Act?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.7

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27d	Is documentation added in App D?		<input type="checkbox"/> No <input type="checkbox"/> Yes		
9.0 APPLICABLE FEDERAL, STATE, HISTORIC, OR TRIBAL, LOCAL PROPERTIES					
28	Were any historic sites identified on or near the project area? <input type="checkbox"/> No; <i>If no, address Item 28a then skip to Item 30.</i> <input type="checkbox"/> Yes; <i>If yes, address Item 28a – 29.</i>				
28a	Does the SWPPP describe how this determination was made?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		
29	Was the project found to affect historic properties/resources? <input type="checkbox"/> No; <i>If no, skip to Item 30.</i> <input type="checkbox"/> Yes; <i>If yes, address 29a.</i>				
29a	Does the SWPPP list measures for the protection of historic properties/resources?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		
30	Does the SWPPP describe the project's compliance with any applicable federal, state, local, or tribal requirements for soil and erosion control and storm water management? List all Permitting Agency, Permit Type, Permit Number?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.15
10.0 CONTROL MEASURES/BEST MANAGEMENT PRACTICES – THROUGHOUT ALL SECTIONS					
31	Are BMPs listed under the appropriate subsection?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		

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32	Does the SWPPP reference the BMP manual or publication used as a source for each BMP described OR, if no BMP manual or publication was used to select or design a given BMP, then does the SWPPP state so and include a description of the design and placement of the BMP?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		DOT&PF Spec 641- 2.01
33	Are the BMP Detail sheets for each BMP included in App. B?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.6 DEC SWPPP Template
34	As necessary, does the SWPPP cite the permit, DOT&PF specification or other commitment requiring specific BMPs?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		DOT&PF Spec 641- 2.01
35	Does the SWPPP describe the implementation of maintenance of control measures to minimize pollutants in discharges as necessary to meet applicable water quality standards?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 3.1.1
36	Does the SWPPP describe removal of sediment from controls before accumulation sediment reaches: <ul style="list-style-type: none"> • One-third (1/3) the above-ground height for silt fences • One-half (1/2) the above-ground 		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.13.3

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	height for storm water inlets, check dams, berms, or other control measures <ul style="list-style-type: none"> • Or lower height based on manufacturer's specifications 				
10.1 Minimize Amount of Exposed Soil During Construction Activity					
37	Does the SWPPP outline measures to delineate the site including areas to be left undisturbed such as trees, sensitive areas, or buffers?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.1 DOT&PF Spec 641- 2.01
38	Does the SWPPP outline the sequence or phased construction activities to minimize the extent and duration of exposed soil?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.2 DOT&PF Spec 641- 2.01
39	Does the SWPPP describe how native topsoil will be preserved on-site?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.2 DOT&PF Spec 641- 2.01
10.2 Maintain Natural Buffer Areas					
40	Does the project require natural buffer areas? (for projects with waters of the U.S. within or immediately adjacent to the property) <input type="checkbox"/> No; <i>If no, skip to Item 41.</i> <input type="checkbox"/> Yes; <i>If yes, address 40a.</i>				
40a	Does the identified buffer have a minimum width of 25 feet, or the width required by local ordinance? <input type="checkbox"/> No; <i>If no, address 41.</i> <input type="checkbox"/> Yes; <i>If yes, address 40b.</i>				2021 CGP: 4.2.3
40b	Does the SWPPP provide the buffer width and explain why the 25-foot minimum was not feasible?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.3.1

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10.3 Control Storm Water Discharges And Flow Rates					
41	Does the SWPPP describe any control measures used to divert flows from exposed soils, slow down or contain storm water flows?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.5.1 & 4.2.5.2
42	Does the SWPPP describe velocity dissipation devices along the length of any conveyance channels and at channel or structure discharge points?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.5.4
43	Does the SWPPP describe how permanent storm water management controls will be made functional prior to site improvements (such as paving)?		<input type="checkbox"/> N/A – none for project. <input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.5.5
10.3.1 Protect Steep Slopes					
44	Will steep slopes be present or created at the site during construction? Note: steep slopes are those 20% or greater in grade for a length of slope that exceeds 25 feet. <input type="checkbox"/> No; <i>If no, skip to Item 45.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 44a and b.</i>				
44a	Does the SWPPP outline control measures for minimizing erosion from steep slopes?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.6
44b	Does the SWPPP describe how concentrated flows of storm water will be diverted away from the steep slopes?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.6
10.4 Storm Water Inlet Protection Measures					
45	Are storm drains present at the site? <input type="checkbox"/> No; <i>if no, skip to Item 46.</i> <input type="checkbox"/> Yes; <i>if yes, address Items 45a.</i>				
45a	Does the SWPPP describe how storm water inlets will be protected?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.1

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10.5 Water Body Protection Measures					
46	Does the SWPPP describe the measures used to minimize discharge of sediment into water bodies located on or immediately downstream of the site?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.2
10.6 Down-slope Sediment Controls					
47	Does the SWPPP describe the measures installed along the down-slope perimeter where storm water will discharge from the site?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.3
10.7 Stabilized Construction Vehicle Access And Exit Points					
48	Does the SWPPP describe measures to minimize off-site tracking of sediments?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.4 and 4.3.5 DOT&PF Spec 641- 3.01
49	Does the SWPPP discuss removal of off-site accumulations of sediment to minimize off site impacts?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.4 and 4.3.5
10.8 Dust Generation And Track Out From Vehicles					
50	Does the SWPPP describe measures to minimize generation of dust and track out?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.5 and 4.3.6
10.9 Soil Stockpiles					
51	Will the project have stockpiles onsite? <input type="checkbox"/> No; <i>if no, skip to Item 52.</i> <input type="checkbox"/> Yes; <i>if yes, address Items 51a – b.</i>				
51a	Does the SWPPP discuss measures to either stabilize or cover stockpiles, and protect with sediment trapping?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.7

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51b	Does the SWPPP indicate that stockpiles will be located away from storm drain inlets, water bodies and conveyance channels where possible?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.7
10.10 Authorized Non-Storm Water Discharges					
52	Does the SWPPP describe all non-storm water authorized discharges?		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: 4.3.8
10.11 Sediment Basins					
53	Will the disturbed area exceed 10 acres in a common drainage at one time? <input type="checkbox"/> No; <i>If no, skip to Item 54.</i> <input type="checkbox"/> Yes; <i>If yes, address Item 53a.</i>				
53a	Does the SWPPP propose the use of sediment basin? <input type="checkbox"/> No; <i>If no, address Item 53b then skip to Item 54.</i> <input type="checkbox"/> Yes; <i>If yes, address Item 53c, then skip to Item 54.</i>				
53b	Does the SWPPP describe why a sediment basin is not practicable and provide for equivalent control measures?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.9
53c	Does the sediment basin provide storage for a calculated volume of run-off from the drainage area from a 2-yr/24-hr storm or provide 3,600 cubic feet of storage per acre drained?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.9
54	Does the SWPPP propose the use of smaller sediment basins and/or sediment traps? <input type="checkbox"/> No; <i>If no, skip to Item 55.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 54a.</i>				
54a	Does the SWPPP discuss removal of sediment from sediment traps or sedimentation basins when design capacity has been reduced by 50 percent?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.13.3.3

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55	For any impoundment, does the SWPPP indicate that an outlet structure that withdraws water from the surface will be utilized?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.9.4
56	Does the SWPPP describe the measures installed along the down-slope boundaries?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.3.9.2 & 4.3.9.3
10.12 Dewatering					
57	Will the project include dewatering practices? <input type="checkbox"/> No; <i>If no, skip to Item 58.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 57a and b.</i>				
57a	Does the project require an ADEC Excavation Dewatering General Permit NOI? (project will be dewatering within one 1,500 feet of a contaminated site) <input type="checkbox"/> No <input type="checkbox"/> Yes; <i>If yes, is a copy included in the SWPPP?</i> <input type="checkbox"/> No <input type="checkbox"/> Yes				
57b	Does the SWPPP describe treatment for dewatering discharges?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.4 DOT&PF Spec 641- 2.01
10.13 Soil Stabilization					
58	Does the SWPPP outline the general sequence of the stabilization practices that will be used to achieve temporary and permanent stabilization?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.2.2 & 4.5 DOT&PF Spec 641- 2.01
59	Does the SWPPP describe temporary and final stabilization practices?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.5 DOT&PF Spec 641- 2.01, 2.05 & 3.01
60	Does the SWPPP indicate that stabilization will be initiated and completed within the allowable timeframe outlined in the CGP after activities have ceased?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.5 DOT&PF Spec 641- 2.01

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61	<p>For projects anticipating a winter shutdown, does the SWPPP outline the methods used to address stabilization prior to, during and at the conclusion of winter shut-down? This includes:</p> <ul style="list-style-type: none"> • Temporary/permanent stabilization of conveyance channels • Temporary/permanent stabilization of disturbed slopes, soils and stockpiles • Erosion and sediment controls in anticipation of spring thaw 		<input type="checkbox"/> NA – winter shutdown not anticipated <input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.12 & 5.3.6.9.2 DOT&PF Spec 641- 2.01 & 641-3.03
10.14 Treatment Chemicals					
62	<p>Does the SWPPP propose to use treatment chemicals to remove sediment from discharge water?</p> <input type="checkbox"/> No; <i>If no, skip to Item 63.</i> <input type="checkbox"/> Yes; <i>If yes, continue. Through Items 62a-62i</i>				
62a	Does the SWPPP identify the names of the person(s) who will handle and apply treatment chemicals and provide the dates of training in proper handling and application of treatment chemicals?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6 & 5.8.2.8
62b	Does the SWPPP identify the type of treatment chemicals to be used and the location of their use at the site?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6
62c	Does the SWPPP state treatment chemicals will be stored in a sealed container under a storm-resistant cover or surrounded by secondary containment structure to prevent their discharge?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
62d	For each chemical, does the SWPPP include the manufacturer/supplier provided MSDS, specifications, and instructions for transport, handling, storage, application and disposal?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6 & 5.8.2.7
62e	For each chemical, does the SWPPP include an approval by the EPA for potable use?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6
62f	For each chemical, does the SWPPP include an approval by the EPA, Wash., Ore., or Calif. for use in controlling erosion or sediment from agriculture or construction?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6, EPA 2012 CGP Fact Sheet pages 20-28 & 71-75.
62g	Does the SWPPP document that the selected chemical(s) are appropriate for the site conditions and include project-specific test results?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6 & 5.8.2.7
62h	For all applications, does the SWPPP specify that the chemical will be applied upstream from a pre-constructed physical control structure that provides adequate removal of sediments laden with treatment chemicals?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6
62i	Does the SWPPP specify that the application method will avoid drift to non-target areas?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
63	Is water application of treatment chemicals anticipated i.e. active or passive treatment systems? <input type="checkbox"/> No; <i>If no, skip to Item 64.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 63a – b.</i>				
63a	For water applications, does the SWPPP indicate that the appropriate treatment chemical suited to the soil type exposed during construction?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6.2
63b	Does the SWPPP state there will be adequate ditch length downstream of the last manufactured product prior to reaching a water of the U.S.?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6.5
64	Are any of the selected treatment chemicals cationic treatment chemicals or used in an active treatment system? <input type="checkbox"/> No; <i>If no, skip to Item 65.</i> <input type="checkbox"/> Yes; <i>If yes, address Item 64a.</i>				
64a	Does the SWPPP identify the treatment the use of cationic treatment chemicals or an active treatment system? <input type="checkbox"/> No; <i>If no, skip to Item 66</i> <input type="checkbox"/> Yes; <i>If yes, address Item 65.</i>				
10.15 Treatment Chemicals					
65	Will the project use Cationic Treatment Chemicals (CTC) or an Active Treatment System (ATS)? <input type="checkbox"/> No; <i>If no, skip to Item 66.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 65a – b.</i>				
65a	Does the SWPPP indicate that the required information on CTC or the ATS will be submitted to DEC at least 14 days prior to the start of operation and that this info will be provided in SWPPP Appendix P?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.6.7 DOT&PF Spec 641- 2.01 and DOT&PF SWPPP Template

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
65b	Is the operator of the ATS and their qualifications provided in the SWPPP? (see Checklist Item #5)		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: 4.6.6 & 5.3.2.5 DOT&PF Spec 641- 1.04, 2.01
10.15 GOOD HOUSEKEEPING MEASURES					
10.15.1 Washing Of Equipment And Vehicles					
66	Does the project include onsite washing of equipment and vehicles? <input type="checkbox"/> No; <i>If no, skip to Item 67.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 66a – b.</i>				
66a	Does the SWPPP designate an area(s) for these activities and are they located, to the extent practicable, away from conveyance channels, storm drain inlets and water bodies?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8 DOT&PF Spec 641- 2.01
66b	Does the SWPPP describe treatment of washwater and practices for equipment and vehicle washing? (<i>e.g. no soap or solvent</i>)		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8 DOT&PF Spec 641- 2.01
10.15.2 Fueling And Maintenance Areas					
67	Does the project include onsite equipment fueling and maintenance? <input type="checkbox"/> No; <i>If no, skip to Item 68.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 67a – d.</i>				
67a	Does the SWPPP designate an area(s) for these activities and are they located, to the extent practicable, away from conveyance channels, storm drain inlets and water bodies?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.2.2 DOT&PF Spec 641- 2.01
67b	Does the SWPPP outline control measures to eliminate the potential for spills or leaked chemicals?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.2.3 DOT&PF Spec 641- 2.01, 2.02

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
67c	Does the SWPPP indicate that all spills or contaminated surfaces will be cleaned immediately?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.2.4 DOT&PF Spec 641- 2.02
67d	Does the SWPPP indicate that adequate clean up supplies will be available at all times?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.2.4 DOT&PF Spec 641-2.02
10.15.3 Staging and Material Storage Areas					
68	Does the project include onsite staging and material storage areas? <input type="checkbox"/> No; <i>If no, skip to Item 69.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 68a – b.</i>				
68a	Does the SWPPP designate staging/storage area(s) and are they located, to the extent practicable, away from conveyance channels, storm drain inlets and water bodies?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.3 & 4.8.6 DOT&PF Spec 641-2.01
68b	Does the SWPPP describe control measures to minimize the exposure of materials to precipitation, storm water and vandalism?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP 4.8.3
10.15.4 Washout Of Applicators/Containers For Paint, Concrete And Other Materials					
69	Does the project include use of concrete or paint? <input type="checkbox"/> No; <i>If no, skip to Item 70 and ensure that the SWPPP states this.</i> <input type="checkbox"/> Yes: <i>If yes, address Items 69a - c.</i>				
69a	Does the SWPPP designate an area(s) for these activities and is it located, to the extent practicable, away from conveyance channels, storm drain inlets and water bodies?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.4 DOT&PF Spec 641- 2.01
69b	Does the SWPPP indicate that the washout will be directed into a lined, water-tight container or pit?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.4 DOT&PF Spec 641-2.01 & 641- 3.01

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
69c	For concrete washout areas, does the SWPPP state that hardened concrete will be removed when it has reached ½ the height of the container?		<input type="checkbox"/> N/A – No concrete washout anticipated <input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.4
10.15.5 Fertilizer Or Pesticide Use					
70	Does the SWPPP propose the use of fertilizers and/or pesticides? <input type="checkbox"/> No; <i>If no, skip to Item 71.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 70a – c.</i>				
70a	Does the SWPPP state that the manufacturer’s requirements for application rates and disposal will be followed?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.5
70b	Does the SWPPP describe how the application of fertilizer/pesticide will minimize loss of chemicals to storm water runoff?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.5
70c	Does the SWPPP describe how the use of pesticides will be in compliance with federal, state and local requirements?		<input type="checkbox"/> N/A – No Pesticides will be used <input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.5
10.16 Spill Notification					
71	Does the SWPPP describe spill prevention and response measures or reference an HMCP/SPCC that is provided in the SWPPP?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.9 & 9.3 DOT&PF Spec 641- 2.02 & 641-2.03
72	Does the SWPPP or HCMP/SPCC describe procedures for reporting a release of hazardous substance or oil?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.9 & 5.3.6.7 DOT&PF Spec 641- 2.02 & 641-2.03

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
10.17 Construction And Waste Materials					
73	Does the SWPPP identify construction and waste materials expected to be stored on site? This includes demolition debris, construction and domestic waste, sanitary waste, and hazardous/toxic waste.		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.6 & 5.3.7 DOT&PF Spec 641- 2.02
74	Does the SWPPP describe the handling and disposal of all waste materials, and are these procedures in accordance with federal, state and local requirements?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.6 DOT&PF Spec 641- 2.02
75	Does the SWPPP specify that hazardous/ toxic wastes/materials will be stored in sealed containers and covered storage areas?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.6.3 DOT&PF Spec 641- 2.02
76	For portable toilets, does the SWPPP indicate that containment will be used to prevent discharge of pollutants?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.8.6.4
10.18 Permanent/Post-Construction BMPs					
77	Is the 18 AAC 72.600 Review documentation provided in the SWPPP?		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: 1.4.4.7 and 4.11 DOT&PF SWPPP Template Appendix D
78	Does the SWPPP describe and give location for all permanent storm water management controls that will be installed?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.6.8
11.0 INSPECTIONS					
79	Is a preconstruction inspection required? <input type="checkbox"/> No; <i>If no, skip to Item 80.</i> <input type="checkbox"/> Yes; <i>If yes, address Item 79a.</i>				

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
79a	Does the SWPPP include a completed Preconstruction Site Visit Form (Form 25D-106) in Appendix L?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		DOT&PF Spec 641- 3.01.1
80	Does the SWPPP identify the persons/positions responsible for conducting inspections (e.g. the Contractor's SWPPP Manager and the DOT&PF Storm Water Inspector) and document that these persons are AK-CESCL (or equivalently) certified?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.4, 6.3, & Appendix C DOT&PF Spec 641- 1.04
11.1 Inspection Schedule					
81	Does the SWPPP identify the inspection frequency to be followed, and is it in accordance with CGP requirements?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.4.1.2 & 6.1 DOT&PF Spec 641- 2.01
82	Does the Project expect a winter shutdown? <input type="checkbox"/> No; <i>If no, skip to Item 83.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 82a -b.</i>				
82a	Does the SWPPP provide dates of fall freeze up and spring thaw?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.12 & 5.3.6.9.1
82b	Does the SWPPP describe the methods that will be used to address winter considerations?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.3.6.9.2
11.2 Inspection Form Or Checklist					
83	Does the SWPPP state DOT&PF Inspection Report Form (25D-100) will be used for inspections?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.4.1.3 DOT&PF Spec 641- 2.01 & 3.03

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
11.3 Corrective Action Procedures					
84	Does the SWPPP state that easily remedied corrective actions will be completed as soon as practicable?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.4.1.4 & 8.2.1.1 DOT&PF Spec 641- 3.01
85	Does the SWPPP state that all other corrective actions will be completed so that the following requirements are met? <ul style="list-style-type: none"> Completed in time to protect water quality Completed in less than 7 calendar days Completed no later than the Complete-by date on the Inspection Report 		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.4.1.4 & 8.2.1.2 DOT&PF Spec 641- 3.01 & 3.03
86	Does the SWPPP state that a Corrective Action Log will be maintained and include a reference to Appendix J where a blank Corrective Action Log (Form 25D-112) must be included?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 8.3 DOT&PF Spec 641- 2.01 and 3.03
11.4 Inspection Recordkeeping					
87	Does the SWPPP state a record of inspections and corrective actions will be retained with the SWPPP for at least 3 years from the date that the permit coverage expires or is terminated?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.4.2 DOT&PF Spec 641- 3.02
12.0 MONITORING PLAN (IF APPLICABLE)					
88	Does the project require a monitoring plan? (discharges to an impaired waterbody for sediment or turbidity, and disturbs 20+acres at a time or a waterbody with a TMDL for sediment or turbidity) <input type="checkbox"/> No; <i>If no, skip to Item 89.</i> <input type="checkbox"/> Yes; <i>If yes, address Items 88a – e.</i>				

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
88a	Does the SWPPP include a copy of the monitoring plan in App. H?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 3.2, 5.5, 7.0 DOT&PF Spec 641-2.01
88b	Does the SWPPP identify the persons/positions responsible for conducting the monitoring and their training?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 3.2, 5.5, 7.0 and Appendix C
88c	Does the SWPPP include the schedule for monitoring?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 3.2, 5.5, 7.0
88d	Does the SWPPP include the form used to record monitoring results?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 3.2, 5.5, 7.0
88e	Does the SWPPP identify how corrective action identified from monitoring will be addressed?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.5
13.0 POST AUTHORIZATION RECORDS					
89	Does App. F include a copy of the current (2021) CGP?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.8.1 DOT&PF Spec 641- 2.01
90	Does App. F provide a place to put all the certified eNOIs and acknowledgement letters from ADEC?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.8.1
13.1 Additional Documentation Requirements					
91	Does the SWPPP state a Grading and Stabilization Log (Form 25D-110) will be maintained and included in App. G?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.8.2.1
92	Does the SWPPP state copies of completed Inspection Reports (Form 25D-100) will be included in App. K?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.8.2.3

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
93	Does the SWPPP state copies of completed Monitoring Reports (if applicable) will be included in App. H		<input type="checkbox"/> N/A <input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.8.2.4
94	Does the SWPPP state documentation for all Material Safety Data Sheets and supplier tests results, or employee training will be included in App. P		<input type="checkbox"/> N/A <input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.8.2.7
95	Does the SWPPP state the Corrective Action Log (Form 25D-112) will be included in App. J?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.8.2.9 & 5.8.2.10
13.1.1 Records of Employee Training					
96	Does the SWPPP state that documentation of training will be done on the Training Log Form (Form 25D-125) and completed forms will be included in Appendix I?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 4.14, 5.8.2.8 DOT&PF Spec 641- 2.01 and 3.01
14.0 MAINTAINING AN UPDATED SWPPP					
14.1 Log Of SWPPP Modifications					
97	Does the SWPPP state that an Amendment Log (Form 25D-114) will be maintained and reference Appendix M, where the Log will be kept?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.8.2.6 & 5.9.2 DOT&PF Spec 641- 2.01 & 3.03
14.2 Deadlines For SWPPP Modifications					
98	Does the SWPPP state that any revisions must be completed within 7 days of the identified need?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.9.3 DOT&PF Spec: 641-3.03

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
15.0 ADDITIONAL SWPPP REQUIREMENTS					
15.1 Retention Of SWPPP					
99	Does the SWPPP state a current copy will be kept at the site?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.10.1 DOT&PF Spec 641- 3.02
15.2 Main Sign Entrance					
100	Does the SWPPP state that a sign will be posted conspicuously near the main entrance of the site, which will contain permit authorization numbers NOIs and contact names and phone numbers?		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.10.2 DOT&PF Spec 641- 3.01
15.3 Availability of SWPPP					
101	Does the SWPPP state that it will be made available upon request to the ADEC, EPA, or other state, federal, tribal or local agency inspectors.		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		2021 CGP: 5.10.3 DOT&PF Spec, 641-3.02
15.4 Signature and Certification					
102	Is there a place to include the Contractor's Superintendent certification of the SWPPP once DOT&PF approves it? (use Form 25D-111)		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: 5.1.2.4 & 5.10.4 DOT&PF Spec 641- 1.05 & 2.04
103	Is the Contractor's Superintendent delegated signatory authority with Form 25D-108?		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: Appendix A, Part 1.12 DOT&PF Spec 641- 1.05

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies	Requiring Document(s)
104	Is there a place to include the DOT&PF Project Engineer certification (Form 25D-109)		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: 5.1.2.4 & 5.10.4
105	Is the DOT&PF Project Engineer delegated signatory authority (Form 25D-107) included in the SWPPP?		<input type="checkbox"/> No <input type="checkbox"/> Yes		2021 CGP: Appendix A, Part 1.12 DOT&PF Spec 641-1.05

APPENDICES

106	A. Site Maps and Drawings – See Items #18 – 19				
107	B. BMP Details – See Item #32				
108	C. Project Schedule		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		
109	D. Supporting Documentation: <ul style="list-style-type: none"> • TMDLs • Endangered Species • Historical Properties • DEC Non-Domestic Wastewater Plan Review No-Objection Letter • DEC Dewatering Permit • Other Permits or Requirements 		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		
110	E. Delegation of Authority, Subcontractor Certifications Project Staff Tracking Personnel Qualifications		<input type="checkbox"/> No <input type="checkbox"/> Yes, but deficient <input type="checkbox"/> Yes		
111	F. Permit Conditions: <ul style="list-style-type: none"> • Copy of Signed Notices of Intent • Copy of Letters from ADEC Authorizing Coverage, with ADEC NOI Tracking Numbers • Copy of 2021 Alaska Construction General Permit 		<input type="checkbox"/> No <input type="checkbox"/> Yes		
112	G. Grading and Stabilization Records - See Item #89				
113	H. Monitoring Plan (If Applicable) and Reports – See Items #86 & 91				
114	I. Training Records - See Item #94				
115	J. Corrective Action Log and Delayed Action Item Reports - See Item #84				
116	K. Inspection Records - See Item #90				
117	L. SWPPP Preparer’s Site Visit - See Item #77				
118	M. SWPPP Amendment Log - See Item #95				
119	N. Daily Record of Rainfall		<input type="checkbox"/> No <input type="checkbox"/> Yes		

Item #	Requirement	Location in SWPPP	Addressed?	Deficiencies
120	O. Hazardous Material Control Plan -- See Item 67 & 68			
121	P. Treatment Chemicals/Active Treatment Systems (if applicable) – See Item 59			
122	Q. Other: Antidegradation Analysis (if applicable) Correspondence with Regulatory Agencies Notices of Termination	<input type="checkbox"/> N/A <input type="checkbox"/> No <input type="checkbox"/> Yes		