

**SOUTH CAROLINA
SOCIAL SERVICES BLOCK GRANT
PROGRAM PLAN
FOR
FEDERAL FISCAL YEAR 2021
OCTOBER 1, 2020 – SEPTEMBER 30, 2021**



**SOUTH CAROLINA
DEPARTMENT OF SOCIAL SERVICES
Designated Agency
Michael Leach
State Director**

Table of Contents

State/Federal Fiscal Year Covered by the Pre-Expenditure Plan Letter of Transmittal

I.	Introduction.....	i
II.	Public Inspection of Pre-Expenditure Plan.....	1
III.	The Narrative Pre-Expenditure Plan.....	2
	A. Administrative Operations	
	1. Mission and Responsibilities	
	2. State Offices/Departments	
	B. Fiscal Operations.....	4
	C. Program Operations.....	6
	1. Social Services Delivery System	
	2. Eligibility Process	
	3. Fees	
	4. Group Eligibility Criteria	
	5. Definition of SSBG Family	
	6. Definition of Adult and Child	
	7. SSBG Services	
IV.	Pre-Expenditure and Post-Expenditure Reporting Forms.....	14
V.	Appendices.....	27
	A. Certifications	
	B. Proof of Audit	

DSS SOUTH CAROLINA
DEPARTMENT of SOCIAL SERVICES

HENRY McMASTER, GOVERNOR
MICHAEL LEACH, STATE DIRECTOR



August 14, 2020

Christina Clark
Program Specialist
Office of Community Services
Social Services Block Grant
Mary Switzer Building
330 C Street SW
Washington DC 20021

Dear Ms. Clark:

I am pleased to submit South Carolina's Social Services Block Grant (SSBG) Plan for Federal Fiscal Year (FFY) 2021. This report includes the Pre-Expenditure Plan for FFY 2021; the Pre-Expenditure Plan for FFY 2020; the Post-Expenditure Report for FFY 2019; a description of the services funded with SSBG; the criteria for determining eligibility for services; and demographic data for the recipients of services funded with SSBG.

SSBG is a critical source of funding for services needed by South Carolina's most vulnerable citizens. If you have any questions about South Carolina's Plan, please contact Mr. Rick Knight at 803-898-9030.

Sincerely,

A handwritten signature in blue ink, appearing to read "Michael Leach", is written over a horizontal line.

Michael Leach
State Director

ML:rrk

Enclosure

INTRODUCTION

The federal government has allocated funds to state social services programs since the mid – 1950's. In 1956, the Social Security Act authorized the federal government to fund 50 percent of state social services expenditures based upon the belief that, through the provision of social services, the unemployed could achieve economic independence. In 1962, the federal match rate increased to 75 percent and broadened eligibility. This amendment allowed state social service departments to purchase services from other state agencies. In the years that followed, spending increased from \$194 million to \$1.6 billion.

In 1967, amendments were enacted requiring states to provide to train and motivate the unemployed, strengthen the family unit, and give states the authority to purchase services from private providers. Additionally, states were required to provide child day care services and homemaker services.

Congress limited federal spending for social services to \$2.5 billion annually in 1972. Then, in 1975, Congress amended the Social Security Act by adding a new provision, Title XX, which replaced the prior federal social services programs and set forth five broad national statutory goals:

- Achieve or maintain economic self-support to prevent, reduce, or eliminate dependency.
- Achieve or maintain self-sufficiency, including reduction or prevention of dependency.
- Prevent or remedy neglect, abuse, or exploitation of children and adults unable to protect their own interests or preserve, rehabilitate, or reunite families.
- Prevent or reduce inappropriate institutional care by providing for community-based care, home-based care or other forms of less intensive care.
- Secure referral or admission for institutional care when other forms of care are not appropriate, or providing services to individuals in institutions.

During President Ronald Reagan's administration, Section 2352 of the Omnibus Budget Reconciliation Act of 1981 amended Title XX to establish the Social Services Block Grant (SSBG). The implementation of SSBG included federal funding reductions. In 1982, the block grant funds distributed to states were 19 percent below the 1981 funding level of \$35 million for South Carolina. From 1983 through 1991, South Carolina's SSBG funding fluctuated from \$33.5 million to \$39.3 million and remained at the higher level through 1995.

In 1996, Congress reduced funding by 15 percent and as a result, South Carolina's funding level was reduced to \$33.4 million. At the time of this reduction, Congress agreed to restore SSBG to its 1995 level; however, in 2001, the legislation to enact that promise did not pass. Over the last few years, South Carolina's allotment has remained between \$23.6 million and \$25.3 million. During FFY 2013, the Budget Control Act of 2011, P.L. 112-25, commonly referred to as Sequestration, reduced South Carolina's SSBG allotment from \$25.3 million to a little over \$24 million. Although the Sequestration applies only to mandatory spending after FFY 2013, the

Office of Community Services (OCS) advised South Carolina to base the SSBG Plan for 2014 on the reduced level of \$24,091,843. However, the state's actual allotment for FFY 2014 was \$23,606,812, about \$500,000 less. The final allotments since FFY 2014 are listed below.

- FFY 2015 - \$23,669,547
- FFY 2016 - \$23,878,248
- FFY 2017 - \$23,974,433
- FFY 2018 - \$24,241,985
- FFY 2019 - \$24,460,011
- FFY 2020 - \$24,538,241

In the absence of a federal budget by the date of the plan, South Carolina used the FFY 2020 allotment of \$24,538,241 for the pre-plan amount for 2021.

The Social Services Block Grant Program provides flexible funding to assist States in providing a wide range of services to children and families, at-risk adolescents and young adults, vulnerable adults, and persons with disabilities. SSBG funds are to enable each State to furnish social services best suited to meet the needs of the individuals residing within the State. The Office of Community Services (OCS), Administration for Children and Families (ACF) of the United States Department of Health and Human Services (HHS), administers the SSBG Program and provides technical assistance to the States. The federal oversight agency calculates state allocations for SSBG in proportion to each State's population. States have substantial discretion in the use of SSBG funds. Each State determines what services to provide, who is eligible to receive them, and how to expend funds within the requirements of Federal laws.

Although States have this flexibility, Federal Public Law 97-35 prohibits the use of SSBG funds for the following:

- To purchase or improve land, or the purchase, construction, or permanent improvement, other than minor remodeling, of any building or other facility.
- To provide cash payments for costs of subsistence or for the provision of room and board, unless: (1) the costs of subsistence occur during rehabilitation, (2) room and board are provided for the short term as an integral part of a social service, or (3) temporary emergency shelter is provided as a protective service.
- To pay wages to any individual as a social service, other than payment of wages of welfare recipients employed in the provision of childcare services.
- To provide medical care other than family planning services, rehabilitation services, or initial detoxification of an alcoholic, or drug-dependent individual, unless it is an integral but subordinate part of a social service.
- To provide social services, except services provided in and by employees of any hospital, skilled nursing facility, intermediate care facility, or prison, to any individual living in such an institution.

- To provide for any educational service which the state makes generally available to its residents without cost and without regard to their income.
- To pay for any child day care services, unless such services meet applicable standards of state and local law.
- To provide cash payment as a service.
- To pay for any item or service other than an emergency item or service furnished.

Additional provisions, conditions, Code of Federal Regulations (CFR), and Office of Management and Budget (OMB) Circulars are also required in order for States to receive their SSBG Allotments. These requirements may be found at <https://www.acf.hhs.gov/ocs/programs/ssbg>.

SOUTH CAROLINA DEPARTMENT OF SOCIAL SERVICES

PUBLIC NOTICE

On July 27, 2020, SCDSS posted the following notice of the SSBG Pre-Expenditure Plan for FFY 2021 at <https://dss.sc.gov/about/data-and-resources/annual-progress-and-services-reports/>.

SOUTH CAROLINA DEPARTMENT OF SOCIAL SERVICES

PUBLIC NOTICE

SOCIAL SERVICES BLOCK GRANT PRE-EXENDITURE PLAN FFY 2021

The South Carolina Department of Social Services (SCDSS) hereby gives notice of the availability of the “FFY 2021 Social Services Block Grant (SSBG) Pre-Expenditure Plan” to the citizens of South Carolina for review and comment. The report reflects plans of the SCDSS/State of South Carolina to expend SSBG funds for the 2021 federal fiscal year, October 1, 2020 through September 30, 2021.

This notice is given pursuant to the requirements of Title XX, Section 2004 of the Social Security Act (as enacted in the Omnibus Budget Reconciliation Act of 1981 [P.L. 97-35] and codified at 42 U.S.C. 1397c). Comments regarding this notice will be accepted for a period of thirty days from the date it is posted.

Written comments about the FFY 2021 Pre-Expenditure Report may be submitted to the Adult Advocacy Division, South Carolina Department of Social Services, Post Office Box 1520, Columbia, SC 29202-1520. Any written comments submitted may be reviewed by the public at the Department of Social Services, Adult Advocacy Division, 5th floor – Room 507, 1535 Confederate Avenue Extension, Columbia, South Carolina, Monday through Friday between the hours of 9:00 A.M. and 5:00 P.M.

A copy of the final and complete FFY 2021 SSBG application and Post-Expenditure Report for FFY 2019 may be obtained after October 1, 2020, through written request to the SCDSS address listed above or may be accessed through the SCDSS Internet site on the World Wide Web at <https://dss.sc.gov/about/data-and-resources/annual-progress-and-services-reports/>.

ADMINISTRATIVE OPERATIONS

State Administrative Agency

SCDSS is the designated agency for South Carolina to serve children, families, and adults in need of protection, financial assistance, food assistance, and child care. SCDSS's structure consists of county and regional offices throughout the state that directly provide protective services to adults and children; foster care and adoption services for children; temporary financial assistance to needy families (TANF/Family Independence); food stamps (Supplemental Nutrition Assistance Program – SNAP); and child care vouchers to priority populations who are in school, training, or employed.

Agency Mission: The mission of the South Carolina Department of Social Services is, *“To serve South Carolina by promoting the safety, permanency, and well-being of children and vulnerable adults, helping individuals achieve stability and strengthening families.”*

SCDSS Strives to:

- a. Promote the safety and well-being of children and vulnerable adults and promote permanency for children.
- b. Help preserve the family unit by enhancing the capability of individuals and families to provide for their own needs.
- c. Ensure the DSS workforce is supported in their efforts to provide high-quality service that is responsive and engaged; and,
- d. Foster trust, collaboration, and communication with stakeholders to improve outcomes for children, families, and vulnerable adults.

The following page includes a list of SCDSS strategic goals and objectives categorized by program area.

Program Area	Supported Agency Strategic Planning Goal	Agency Objective
Supplemental Nutrition Assistance Program (SNAP)	To promote the general welfare and safeguard the health and well-being of the state’s low-income citizens by providing benefits to help recipients purchase food.	Decrease food insecurity by providing benefits and services to low-income individuals.
Early Care and Education (Child Care Services)	<p>Child Care Vouchers – To assist eligible low-income families to become and remain employed with the help of affordable, accessible, quality child care services.</p> <p>Child Care Licensing – To protect the health and well-being of children attending child care settings.</p>	<p>Increase access to safe, affordable, quality child care for working families.</p> <p>Improve the quality of child care in the state.</p>
Temporary Assistance for Needy Families (TANF)	To provide assistance to families while they are transitioning into employment so that they will become self-sufficient.	Increase opportunities for employment by providing necessary supports.
Foster Care and Adoptions	Strengthen permanency services to promote timely reunification, guardianship, or adoption.	Ensure timely permanency for those children who are in the foster care system in South Carolina.
Child Protective and Preventative Services	Enhance prevention and intervention resources to ultimately reduce the reoccurrence of child maltreatment and unnecessary out-of-home placements.	Improve prevention and intervention resources to prevent the occurrence and reoccurrence of childhood maltreatment, reduce out-of-home placement and overall well-being of children and families in South Carolina.
Adult Advocacy (Adult Protective Services and Domestic Violence)	To protect vulnerable adults from abuse, neglect, and exploitation by investigating and providing temporary assistance until risk is minimized and services are secured.	Ensure timely and effective intervention and support services.
Child Support Enforcement	To ensure that children receive needed financial support from the noncustodial parent.	Maintain child support collections.

STATE OFFICE and DEPARTMENTS

As the only state agency designated to administer SSBG funds, SCDSS procures SSBG services from state agencies, public and private providers, universities, and other entities. SCDSS administers these funds based upon a priority plan for services. Without additional funding, SCDSS has made mandated services, such as Child and Adult Protective Services, and continuity of priority services, such as Home Based services (Homemaker and Personal Care Assistant services), its primary focus in the distribution of SSBG funds.

Staff in SCDSS state office divisions of Adult Advocacy, Human Services, Cost Allocation, Budgets, and Executive Management work together in administering SSBG funds for SCDSS direct operations and allocating funding for services purchased through contracts and grants. Funds are also directly allocated to County offices for purchase of prevention/intervention services, such as counseling, payment of utilities, and other services to prevent a child's placement in foster care, to prevent the foster care placement from disrupting, and to facilitate permanency for children through ameliorating the problems that brought them to the agency's involvement or to facilitate adoption.

FISCAL OPERATIONS

South Carolina DSS program staff, in collaboration with SCDSS Budget staff, annually develops a program plan for allocating SSBG funds. Through the planning process, the state seeks to allocate social service resources in a fiscally accountable manner to address and ensure that SSBG meets the priority service needs of the state. Members of the SCDSS Executive staff review and approve the Plan. Consistent with state and federal requirements, citizens receive assistance to achieve, restore, and maintain a level of health, social, and economic well-being. As SSBG funding is a capped resource, the ability to develop new programs and address more needs of the state is limited. Continuity of priority services and the provision of mandated services is the State's primary focus in development of the Pre-Expenditure Plan.

South Carolina does not hold a public hearing for comment on the SSBG Plan; however, the citizens of the state and service providers can review and comment on the Pre-Expenditure Plan via the SCDSS website. A hard copy of the Pre-Expenditure Plan is also available for review at SCDSS, 1535 Confederate Avenue Extension, Columbia, SC, Room 507. The public can e-mail questions and comments to program staff charged with preparing the plan.

Program areas within SCDSS are required to develop budget projections to ensure allocation of financial resources meets strategic objectives; that budgeted operations are within available funding; and that the agency derives maximum benefit from all available funding sources. The budget process requires an analysis of resource allocation and funding needed for budgeted operations. This process includes a review of necessary expenditures from the cost allocation manager through the senior management levels of the Agency.

SCDSS allocates costs to the SSBG program based upon a federally approved Public Assistance Cost Allocation Plan revised effective July 1, 2016. Payroll expenditures are charged directly to the program or are allocated using approved cost allocation bases. Certain groups of staff complete a Random Moment Time Study (RMTS), an email based web application conducted by an independent contractor. Staff are randomly sampled throughout the applicable period and responses are electronically recorded. Quality control measures are built into the system to ensure reliability of the sample.

SCDSS follows the State Procurement Code in contracting with entities to provide SSBG services. SCDSS issues Requests for Proposals (RFPs) or Requests for Bids for most of its purchased services. In the procurement process, potential providers must submit line item budgets for service delivery. Through this competitive process, the agency awards contracts for one year, with the option to renew for an additional four years.

PROGRAM OPERATIONS

SOCIAL SERVICES DELIVERY SYSTEM

South Carolina uses a goal-directed target group approach in the social services delivery system. This approach provides a conceptual framework within which SCDSS can identify a recipient's service needs and can develop, implement, and evaluate service plans with optimum participation of the recipients. The South Carolina Department of Social Services determines eligibility for services that support Adult Protective Services, Child Protective Services, and Foster Care for Children.

Eligibility Process

South Carolina utilizes a three-step process for determining eligibility for the SSBG program based on client need for the service, income eligibility for SSBG services, and target group. Applicants must meet the three criteria to be eligible. Even though a family or individual may be eligible, the provision of service depends upon availability of the service at the time.

Target Group Criteria

The first step of determining eligibility is identification of an applicant as a member of at least one of the target groups. Each target group is defined in terms of a problem or barrier experienced by SSBG service applicants.

The problem provides the parameters for developing a specific goal and measurable objectives. The target groups are prioritized, with Target Group 1 having the highest priority (see chart on next page).

<p>Target Group 1:</p> <p>Goals:</p> <p>Objective:</p>	<p>Individuals unable to protect their own interest from abuse, neglect, or exploitation.</p> <p>To protect children from abuse, neglect, or exploitation and provide protective services to vulnerable adults who are the victim of abuse, neglect, or exploitation.</p> <p>To investigate and assess all reports of abuse, neglect, or exploitation.</p>
<p>Target Group 2:</p> <p>Goals:</p> <p>Objective:</p>	<p>Family units experiencing or in immediate risk of disruption.</p> <p>To eliminate or alleviate causes of family disruption.</p> <p>To maintain the family unit or arrange for a permanent, alternate family placement.</p>
<p>Target Group 3:</p> <p>Goals:</p> <p>Objective:</p>	<p>Individuals with functional impairments or handicaps that restrict their ability to independently perform age-appropriate activities.</p> <p>To enable functionally impaired individuals to achieve and maintain their maximum potential for independent living.</p> <p>To enable clients to remain in their own homes or other residential facility or to enable clients released from institutions to resettle in the community.</p>
<p>Target Group 4:</p> <p>Goals:</p> <p>Objective:</p>	<p>Individuals unable to achieve or maintain some degree of economic self-support because of their lack of job-related skills and/or their responsibility for caring for dependent family members.</p> <p>To enable individuals to achieve or maintain employment.</p> <p>To enable clients to achieve or maintain employment due to the provision of services to dependent family members.</p>
<p>Target Group 5:</p> <p>Goals:</p> <p>Objective:</p>	<p>Individuals and families unable, due to lack of age-appropriate skills, to function effectively in home management or personal care and hygiene.</p> <p>To enable individuals or families to achieve and/or maintain self-sufficiency.</p> <p>To prevent client regression to a more severe condition as evidenced by reclassification to Target Group 1 or 2, or to achieve or maintain some degree of self-sufficiency.</p>

Income Criteria

The second step of the eligibility process involves determining the income level of the applicant. Applicants must have a total family gross income at or below 200 percent of the poverty guidelines, or must need services provided by the state that are without regard to income. The SSBG Income Standards for FFY 2021 are included below.

SSBG INCOME STANDARDS Effective OCTOBER 1, 2020 – SEPTEMBER 30, 2021

FAMILY SIZE	GROSS FAMILY INCOME MONTHLY	GROSS FAMILY INCOME ANNUALLY
1	\$2,127	\$25,520
2	\$2,873	\$34,480
3	\$3,620	\$43,440
4	\$4,367	\$52,400
5	\$5,113	\$61,360
6	\$5,860	\$70,320
7	\$6,607	\$79,280
8	\$7,353	\$88,240

Note: For each additional family member above 8, add \$747 monthly or \$8,960 annually. Information and referral services, as well as any other SSBG services offered in conjunction with protective services, are available without regard to income. (Source: <https://aspe.hhs.gov/poverty-guidelines>.)

Need Criteria

The third step of the eligibility process requires determining an applicant's need for the service. In addition to being a member of one of the target groups and meeting the income criteria, applicants must also demonstrate a need for the specific service.

Fees

All services in the SSBG Plan are provided without cost to eligible individuals. SCDSS allows providers of the Older American Act services to accept Voluntary Contributions from clients as long as there is no coercion on the part of the provider to collect the contributions and no negative impact on clients who do not contribute.

Group Eligibility Criteria

Group eligibility assumes that the majority of the recipients of a particular service, a specific community, or a service site would be eligible for service if the eligibility criteria were applied. This assumption allows authorized contractors to provide services to any recipient who meets the specific characteristics established by the administering agency. Therefore, recipient eligibility is determined by the group eligibility requirements instead of the three eligibility criteria outlined earlier.

Definition of SSBG Family

An SSBG Family includes the following members:

- One or more adults and children related by blood or law and residing in the same household
- Stepparents
- Military spouses not residing in the same household
- Adult children 18-21 years of age living away from home to further their education if their parents claim them as dependents on their income tax return

Definition of Adult and Child

In the SSBG program, an adult is defined as an individual eighteen years of age or older who receives services based on need. This includes emancipated minors and married minors. An individual 17 years of age or younger is defined as a child.

SSBG SERVICES

This section describes the SSBG services that SCDSS funded during FFY 2019. Demographics and other data provided in the charts for these services come from reports generated by the SCDSS Child and Adult Protective Services (CAPSS) case management system, cost allocation data, provider reports, and historical information. The following CAPSS reports provide demographic and client count data for services directly provided by SCDSS and those services for which SCDSS determined client eligibility during FFY 2019:

- SS310-R01 – SSBG Client Profile Report
- SS190-R05 – SSBG Clients and Units Summary, SCDSS Provided and Purchased Services
- SS260-R01 – SSBG Clients and Units, Office Summary
- SS130-R02 – SSBG County Flex Funds Client Summary
- SS280-R01 – Homemaker Support Services for Adult Protective Program Service
- SS280-R02 – Homemaker Support Services for Child Protective Service
- SS280-R03 – Homemaker Support Services for Foster Care Program Service
- SS130-R05 – Flex Fund Clients – Program and Support Services Summary
- SS130-R06 – Flex Fund Clients – Support Services Summary – Federal Fiscal Year - Cumulative

ADULT PROTECTIVE SERVICES

Reported on Post-Expenditure Report as Protective Services – Adult

Adult Protective Services (APS) are provided to vulnerable adults who are 18 years of age or older and are victims of abuse, neglect, or exploitation. This maltreatment may be caused by others or may be caused by the adult victim. The Omnibus Adult Protection Act of the South Carolina Code of Laws authorizes the Department of Social Services to investigate reports and provide services. Services are provided to meet the adults' basic needs and to ensure their safety. In some cases, emergency caretakers are utilized to sit with the individual temporarily. In FFY 2019, SCDSS staff took reports of abuse, neglect, or exploitation for 11,144 adults. Of the 2,988 adults who received protective services as a result of an accepted report, 262 received emergency personal care assistance services. Also, of the 2,988 recipients of protective services, 75% were 60 years of age and older, and 15% were 85 years and older. SCDSS provides this service at the county level in all 46 counties of the state.

SERVICE FACTS FOR FFY 19	
Total Persons Served: 11,114	SSBG Expenditures: \$11,578,688

CHILD PROTECTIVE SERVICES

Reported on Post-Expenditure Report as Protective Services – Children

Child Protective Services (CPS) are provided to families whose children have been abused or neglected. State law requires the Department of Social Services to assess reports of child abuse/neglect to determine their validity. This includes determining whether the child is at risk and determining the need for supportive services for the family. In addition to investigating abuse/neglect and providing counseling and offering other treatment services to parents and children, the case managers are advocates for the child and family to law enforcement and the family court. During FFY 2019, SCDSS directly provided CPS treatment services to 16,305 persons throughout the state. SCDSS assessed 42,006 reports of abuse, neglect, or exploitation of children during FFY 2019.

SERVICE FACTS FOR FFY 19	
Total Persons Served: 16,305	SSBG Expenditures: \$6,213,174

FLEX FUNDS

Reported on Post-Expenditure Report as Prevention & Intervention

Flex Funds provide flexible and easily accessible resources to Department of Social Services' staff in child protective services, foster care, and adoption services to assist them in their efforts to preserve, strengthen, or reunite families with children who are receiving, or are at risk of receiving, child welfare services. The service goals for Flex Funding include preventing placement in foster care; preventing disruptions in foster or pre-adopt families; reunification of a child with family or relatives; preventing abuse from occurring or recurring; and facilitating adoption. This service is provided on a state-wide basis by SCDSS. Services include assistance with housing; electric bills; counseling; drug assessment and treatment services; medical services when Medicaid is not available and the services are an integral part of the service plan; wrap-around services; and short term child care services (no more than 2 weeks).

SERVICE FACTS FOR FFY 19	
Total Persons Served: 78,881	SSBG Expenditures: \$2,414,653

FOSTER CARE SERVICES

Reported on Post-Expenditure Report as Foster Care Services - Children

Foster Care Services provide an alternative family life experience for abused, neglected, or dependent children. These services may include assessment of the child's needs; case planning and management to assure that the child receives proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the child's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. SCDSS provides this service in all 46 counties of the state. During FFY 2019, 8,733 children received this service.

SERVICE FACTS FOR FFY 19	
Total Persons Served: 8,733	SSBG Expenditures: \$1,709,825

HOMEMAKER SERVICES

Reported on Post-Expenditure Report as Home-Based Services

Homemaker Services are provided to adults and children receiving protective services. Activities include light house cleaning, meal planning, marketing and food preparation, personal care and home management, budgeting, child rearing skills, and assistance in following treatment plans prescribed by a physician. This service is also provided to foster children and their foster families and families receiving child protective services in all 46 counties of the state. SCDSS provided this service to 109 clients in FFY 2019. Of these clients, 48 received services through contracts with quasi-public and private for-profit providers.

SERVICE FACTS FOR FFY 19	
Total Persons Served: 109	SSBG Expenditures: \$790,611

Pre and Post – Expenditure Reports

Pre-Expenditure Plan for FFY 2021

Pre-Expenditure Plan for FFY 2020

Post-Expenditure Report for FFY 2019

SERVICES TO BE FUNDED IN TOTAL OR IN PART BY SSBG FEDERAL FISCAL YEAR 2021

Adult Protective Services are available to provide protective services to vulnerable adults who are victims of abuse, neglect, and exploitation, and if possible, to help them resume their role as primary protector of themselves. (Listed in SSBG Plan under federal definition **Protective Services - Adults.**)

Child Protective Services are provided to families whose children have been abused or neglected and also includes temporary emergency placement of children as a service component. (Listed in the SSBG Plan under federal definition **Protective Services - Children.**)

Foster Care Services include assessment of abused, neglected, or abandoned children's needs; case planning and management to assure that children receive proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the children's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. (Listed in the SSBG Plan under federal service definition **Foster Care Services – Children.**)

Homemaker Services are offered to adults and children receiving protective services. (Listed in the SSBG Plan under the federal service definition **Home Based Services.**)

Prevention/Intervention Services are those services or activities designed to provide early identification and/or timely intervention to support families and prevent or ameliorate the consequences of abuse, neglect, or family violence. Component services and activities include time-limited clinical interventions designed to defuse crises that threaten a child's stability within the home environment, case management, and developmental and parenting skills training.

Part A. Estimated Expenditures and Proposed Provision Method				OMB NO.: 0970-0234					
STATE: South Carolina		FISCAL YEAR: 2021		REPORT PERIOD: 10/1/2020-9/30/2021					
Contact Person: Rick Knight		Phone Number: 803-898-9030							
Title: Director of Administration - APS		E-Mail Address: rick.knight@dss.sc.gov							
Agency: SC Dept. of Social Services		Submission Date: 9/1/2020							
Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Carry Over of Funds Transferred into SSBG from Previous FY	Total Expenditures	Provision Method		Do your total expenditures include ALL other federal, state, and local funds for this service category?	
	SSBG Allocation	Funds transferred into SSBG*				Public	Private		
1	Adoption Services								
2	Case Management								
3	Congregate Meals								
4	Counseling Services								
5	Day Care--Adults								
6	Day Care--Children								
7	Education and Training Services								
8	Employment Services								
9	Family Planning Services								
10	Foster Care Services--Adults								
11	Foster Care Services--Children	1,794,137			1,794,137	X	X		
12	Health-Related Services								
13	Home-Based Services	615,729			615,729	X	X		
14	Home-Delivered Meals								
15	Housing Services								
16	Independent/Transitional Living Services								
17	Information & Referral								
18	Legal Services								
19	Pregnancy & Parenting								
20	Prevention & Intervention	3,690,010			3,690,010	X	X		
21	Protective Services--Adults	13,601,004			13,601,004	X	X		
22	Protective Services--Children	3,556,132			3,556,132	X	X		
23	Recreation Services								
24	Residential Treatment								
25	Special Services--Disabled								
26	Special Services--Youth at Risk								
27	Substance Abuse Services								
28	Transportation								
29	Other Services***								
30	SUM OF EXPENDITURES FOR SERVICES	23,257,012			23,257,012				
31	Administrative Costs	1,281,229							
32	SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	24,538,241							

* From which block grant(s) were these funds transferred? N/A

** Please list the sources of these funds:

*** Please list other services:

Part B. Estimated Recipients

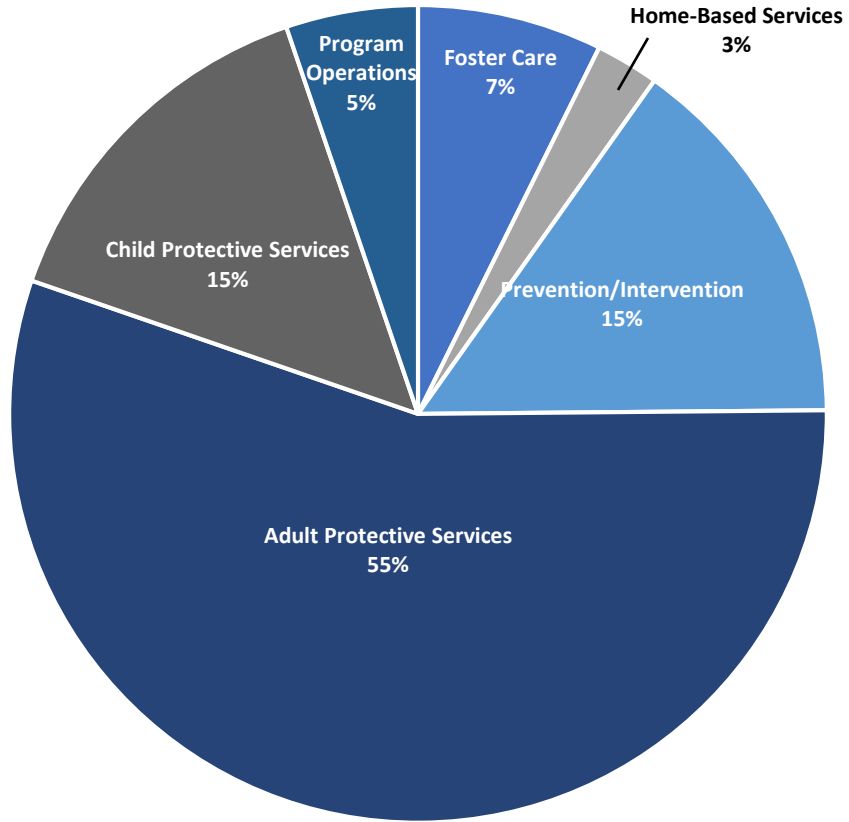
OMB NO.: 0970-0234

STATE: South Carolina

FISCAL YEAR: 2021

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total	Actual (A), Estimated (E), Sampled (S) data?	Duplicated (D) or Unduplicated (U) Counts?	Please enter the eligibility criteria for this Service Category	State will submit eligibility criteria to OCS by email
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age						
1 Adoption Services										
2 Case Management										
3 Congregate Meals										
4 Counseling Services										
5 Day Care--Adults										
6 Day Care--Children										
7 Education and Training Services										
8 Employment Services										
9 Family Planning Services										
10 Foster Care Services--Adults										
11 Foster Care Services--Children	8,928					8,928	E	U	At or below 200% poverty	
12 Health-Related Services										
13 Home-Based Services		23	62	0	85	85	E	U	At or below 200% poverty	
14 Home-Delivered Meals										
15 Housing Services										
16 Independent/Transitional Living Services										
17 Information & Referral										
18 Legal Services										
19 Pregnancy & Parenting										
20 Prevention & Intervention	80,470					80,470	E	U	At or below 200% poverty	
21 Protective Services--Adults		2963	8,432	0	11,395	11,395	E	U	At or below 200% poverty	
22 Protective Services--Children	16,597					16,597	E	U	At or below 200% poverty	
23 Recreation Services										
24 Residential Treatment										
25 Special Services--Disabled										
26 Special Services--Youth at Risk										
27 Substance Abuse Services										
28 Transportation										
29 Other Services***										
30 SUM OF RECIPIENTS OF SERVICES	105,995	2986	8,494	0	11,480	117,475	E	U	At or below 200% poverty	

**Planned Expenditures
FFY 2021**



SERVICES FUNDED IN TOTAL OR IN PART BY SSBG FEDERAL FISCAL YEAR 2020

Adult Protective Services are available to provide protective services to vulnerable adults who are victims of abuse, neglect, and exploitation, and if possible, to help them resume their role as primary protector of themselves. (Listed in SSBG Plan under federal definition **Protective Services - Adults**.)

Child Protective Services are provided to families whose children have been abused or neglected and also includes temporary emergency placement of children as a service component. (Listed in the SSBG Plan under federal definition **Protective Services - Children**.)

Foster Care Services include assessment of abused, neglected, or abandoned children's needs; case planning and management to assure that children receive proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the children's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. (Listed in the SSBG Plan under federal service definition **Foster Care Services – Children**.)

Homemaker Services are offered to adults and children receiving protective services and to individuals who are frail, chronically ill or disabled, and who do not qualify for Medicaid-sponsored skilled or intermediate nursing care. (Listed in the SSBG Plan under the federal service definition **Home Based Services**.)

Prevention/Intervention Services are those services or activities designed to provide early identification and/or timely intervention to support families and prevent or ameliorate the consequences of abuse, neglect, or family violence. Component services and activities include time-limited clinical interventions designed to defuse crises that threaten a child's stability within the home environment, case management, and developmental and parenting skills training.

Part A. Estimated Expenditures and Proposed Provision Method OMB NO.: 0970-0234

STATE: South Carolina	FISCAL YEAR: 2020	REPORT PERIOD: 10/1/2019-9/30/2020
Contact Person: Rick Knight	Phone Number: 803-898-9030	
Title: Program Coordinator	E-Mail Address: rick.knight@dss.sc.gov	
Agency: SC Dept. of Social Services	Submission Date: 9/1/2019	

Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Carry Over of Funds Transferred into SSBG from Previous FY	Total Expenditures	Provision Method		Do your total expenditures include ALL other federal, state, and local funds for this service category?
	SSBG Allocation	Funds transferred into SSBG*				Public	Private	
1 Adoption Services								
2 Case Management								
3 Congregate Meals								
4 Counseling Services								
5 Day Care--Adults								
6 Day Care--Children								
7 Education and Training Services								
8 Employment Services								
9 Family Planning Services								
10 Foster Care Services--Adults								
11 Foster Care Services--Children	1,778,243				1,778,243	X	X	
12 Health-Related Services								
13 Home-Based Services	777,828				777,828	X	X	
14 Home-Delivered Meals								
15 Housing Services								
16 Independent/Transitional Living Services								
17 Information & Referral								
18 Legal Services								
19 Pregnancy & Parenting								
20 Prevention & Intervention	3,287,425				3,287,425	X	X	
21 Protective Services--Adults	12,230,006				12,230,006	X	X	
22 Protective Services--Children	5,082,790				5,082,790	X	X	
23 Recreation Services								
24 Residential Treatment								
25 Special Services--Disabled								
26 Special Services--Youth at Risk								
27 Substance Abuse Services								
28 Transportation								
29 Other Services***								
30 SUM OF EXPENDITURES FOR SERVICES	23,156,292				23,156,292			
31 Administrative Costs	1,303,719							
32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	24,460,011							

* From which block grant(s) were these funds transferred? N/A

** Please list the sources of these funds:

*** Please list other services:

Part B. Estimated Recipients

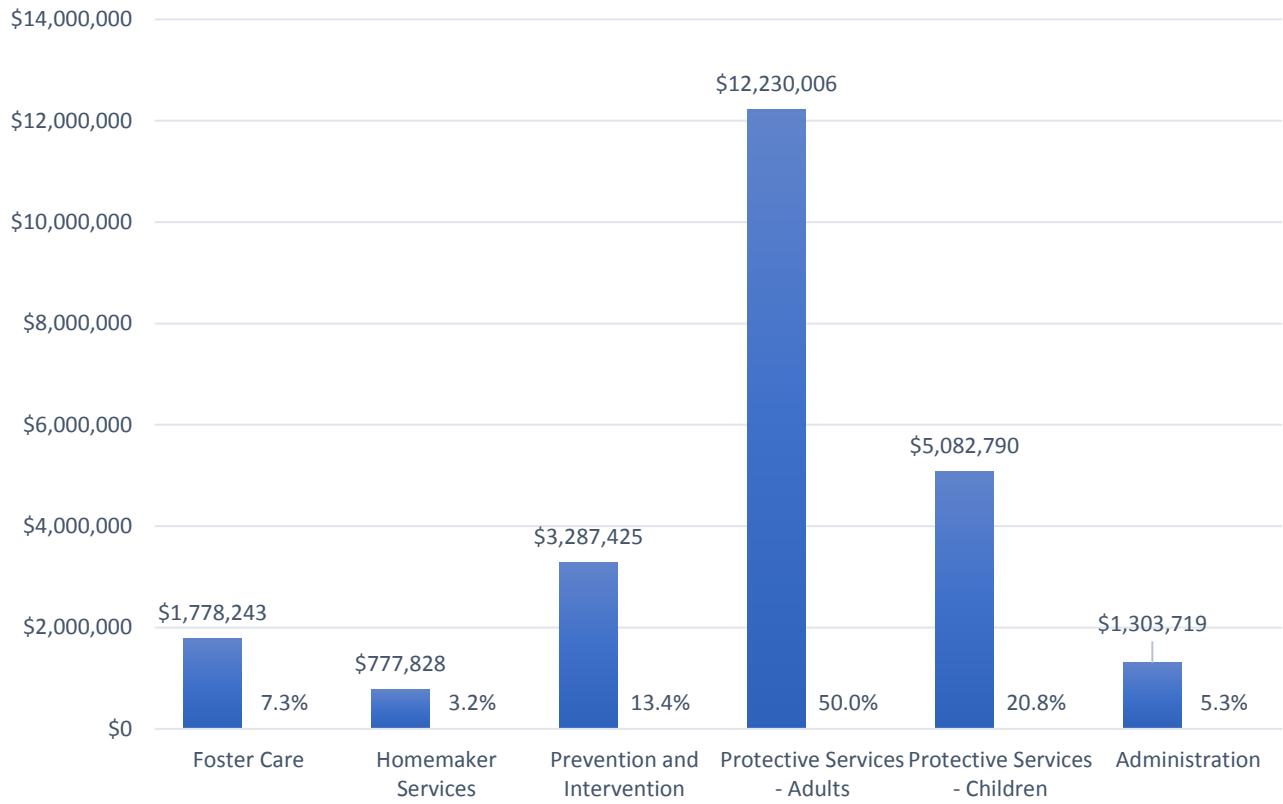
OMB NO.: 0970-0234

STATE: South Carolina

FISCAL YEAR: 2020

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total	Actual (A), Estimated (E), Sampled (S) data?	Duplicated (D) or Unduplicate d (U) Counts?	Please enter the eligibility criteria for this Service Category	State will submit eligibility criteria to OCS by email
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age						
1 Adoption Services										
2 Case Management										
3 Congregate Meals										
4 Counseling Services										
5 Day Care--Adults										
6 Day Care--Children										
7 Education and Training Services										
8 Employment Services										
9 Family Planning Services										
10 Foster Care Services--Adults										
11 Foster Care Services--Children	11,160					11,160	E	U	At or below 200% poverty	
12 Health-Related Services										
13 Home-Based Services		51	120	0	171	171	E	U	At or below 200% poverty	
14 Home-Delivered Meals										
15 Housing Services										
16 Independent/Transitional Living Services										
17 Information & Referral										
18 Legal Services										
19 Pregnancy & Parenting										
20 Prevention & Intervention	40,154					40,154	E	U	At or below 200% poverty	
21 Protective Services--Adults		832	2,368	0	3,200	3,200	E	U	At or below 200% poverty	
22 Protective Services--Children	15,469					15,469	E	U	At or below 200% poverty	
23 Recreation Services										
24 Residential Treatment										
25 Special Services--Disabled										
26 Special Services--Youth at Risk										
27 Substance Abuse Services										
28 Transportation										
29 Other Services***										
30 SUM OF RECIPIENTS OF SERVICES	66,783	883	2,488	0	3,371	70,154	E	U	At or below 200% poverty	

SSBG Planned Expenditure By Service - FFY 2020



SERVICES FUNDED IN TOTAL OR IN PART BY SSBG FEDERAL FISCAL YEAR 2019

Adult Protective Services are available to provide protective services to vulnerable adults who are victims of abuse, neglect, and exploitation, and if possible, to help them resume their role as primary protector of themselves. (Listed in SSBG Plan under federal definition **Protective Services - Adults**.)

Child Protective Services are provided to families whose children have been abused or neglected and also includes temporary emergency placement of children as a service component. (Listed in the SSBG Plan under federal definition **Protective Services - Children**.)

Foster Care Services include assessment of abused, neglected, or abandoned children's needs; case planning and management to assure that children receive proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the children's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. (Listed in the SSBG Plan under federal service definition **Foster Care Services – Children**.)

Homemaker Services are offered to adults and children receiving protective services and to individuals who are frail, chronically ill or disabled, and who do not qualify for Medicaid-sponsored skilled or intermediate nursing care. (Listed in the SSBG Plan under the federal service definition **Home Based Services**.)

Prevention/Intervention Services are those services or activities designed to provide early identification and/or timely intervention to support families and prevent or ameliorate the consequences of abuse, neglect, or family violence. Component services and activities include time-limited clinical interventions designed to defuse crises that threaten a child's stability within the home environment, case management, and developmental and parenting skills training.

Part A. Expenditures and Provision Method				OMB NO.: 0970-0234		
				EXPIRATION DATE: 11/30/2019		
STATE: South Carolina		FISCAL YEAR: 2019 Post Expenditure		REPORT PERIOD: 10/1/18-9/30/19		
Contact Person: Rick Knight		Phone Number: 803-898-9030				
Title: Program Coordinator II		E-Mail Address: rick.knight@dss.sc.gov				
Agency: SC Dept. of Social Services		Submission Date: 3/21/2020				
Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Total Expenditures	Provision Method	
	SSBG Allocation	Funds transferred into SSBG*			Public	Private
1	Adoption Services					
2	Case Management					
3	Congregate Meals					
4	Counseling Services					
5	Day Care--Adults					
6	Day Care--Children					
7	Education and Training Services					
8	Employment Services					
9	Family Planning Services					
10	Foster Care Services--Adults					
11	Foster Care Services--Children	\$1,709,825		\$1,709,825	X	X
12	Health-Related Services					
13	Home-Based Services	\$790,611		\$790,611	X	X
14	Home-Delivered Meals					
15	Housing Services					
16	Independent/Transitional Living Services					
17	Information & Referral					
18	Legal Services					
19	Pregnancy & Parenting					
20	Prevention & Intervention	\$2,414,653		\$2,414,653	X	X
21	Protective Services--Adults	\$11,578,688		\$11,578,688	X	X
22	Protective Services--Children	\$6,213,174		\$6,213,174	X	X
23	Recreation Services					
24	Residential Treatment					
25	Special Services--Disabled					
26	Special Services--Youth at Risk					
27	Substance Abuse Services					
28	Transportation					
29	Other Services***					
30	SUM OF EXPENDITURES FOR SERVICES	\$22,706,951		\$22,706,951		
31	Administrative Costs	\$1,356,047				
32	SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	\$24,062,998				

Part B. Estimated Recipients

OMB NO.: 0970-0234

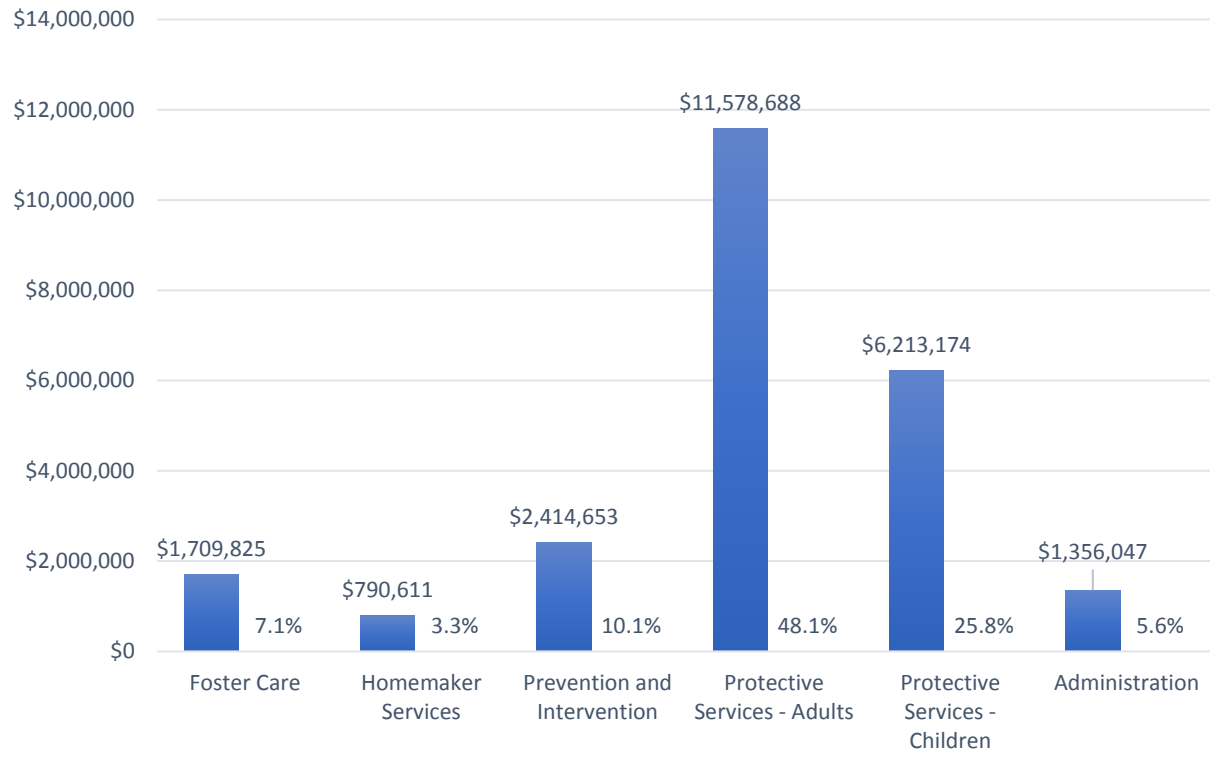
EXPIRATION DATE: 11/30/2018

STATE: South Carolina

FISCAL YEAR: 2019 Post Expenditure

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age		
1 Adoption Services						
2 Case Management						
3 Congregate Meals						
4 Counseling Services						
5 Day Care--Adults						
6 Day Care--Children						
7 Education and Training Services						
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults						
11 Foster Care Services--Children	8,733					8,733
12 Health-Related Services						
13 Home-Based Services		30	79	0	109	109
14 Home-Delivered Meals						
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention	78,881					78,881
21 Protective Services--Adults		2,900	8,244	0	11,144	11,144
22 Protective Services--Children	16,305					16,305
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled						
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***						
30 SUM OF RECIPIENTS OF SERVICES	103,919	2,930	8,323	0	11,253	115,172

SSBG Planned Expenditure By Service - FFY 2020



APPENDICES

Assurances, Certifications and Audit

APPENDIX A

CERTIFICATION AND ASSURANCES

Non-Discrimination

☐ ☐ ☐

Drug-Free Workplace

☐ ☐ ☐

Debarment, Suspension, and Other Responsibility Matters

☐

Lobbying

☐ ☐ ☐

Environmental Tobacco Smoke

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of an indoor facility routinely owned or leased or contracted for by an entity and used routinely and regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity. By signing and submitting this application the applicant/grantee further agrees that it will comply with the requirements of the Act. The applicant/grantee further agrees that it will require the language of this certification be included in any sub-awards which contain provisions for the children's services and that all sub-grantees shall certify accordingly.



Michael Leach
State Director

APPENDIX B

SINGLE AUDIT

The State Auditor's Office contracted with a private auditing firm to conduct the Single Audit in Compliance with OMB Circular 133 for SSBG funds administered by the Department of Social Services for the period ending June 30, 2019. The entire audit report is located on the Office of the State Auditor's website at the web address listed below.

<https://osa.sc.gov/wp-content/uploads/2020/04/2019-Single-Audit-Report.pdf>.

Please see the following pages for information extracted from the audit which serves as proof that this function is completed.



Independent Auditors' Report on Compliance for
Each Major Federal Program; Report on Internal
Control over Compliance; and Report on Schedule of
Expenditures of Federal Awards Required by the Uniform Guidance

March 6, 2020

The Honorable Henry D. McMaster, Governor
and
Members of the General Assembly
Columbia, South Carolina

Report on Compliance for Each Major Federal Program

We have jointly audited the State of South Carolina's (the State) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the State's major federal programs for the year ended June 30, 2019. The State's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

The State's basic financial statements included the operations of certain agencies and component units that have been excluded from the schedule of expenditures of federal awards because each of the entities engaged other auditors to perform an audit in accordance with the Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). These agencies and component units are listed in Note 1.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the State's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Uniform Guidance. Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the State's compliance.

Basis for Qualified Opinion on the Eight Major Federal Programs Identified in the Following Table

As identified in the following table and as described in the accompanying schedule of findings and questioned costs, the State did not comply with requirements regarding the following:

State Administering Agency	Finding Number	CFDA Number	Federal Program/Cluster	Compliance Requirement
South Carolina Department of Health and Human Services	2019-007	93.775 93.777 93.778	Medicaid Cluster	Eligibility
South Carolina Department of Health and Human Services	2019-007	93.767	Children's Health Insurance Program (CHIP)	Eligibility
South Carolina Department of Social Services	2019-010	93.563	Child Support Enforcement	Reporting
South Carolina Department of Social Services	2019-015	93.659	Adoption Assistance	Eligibility
South Carolina Department of Alcohol and Other Drug Abuse Services	2019-017	93.959	Prevention and Treatment of Substance Abuse	Subrecipient Monitoring
South Carolina Department of Health and Environmental Control	2019-022	93.917	HIV Care Formula Grants (Ryan White HIV/AIDS Program Part B)	Subrecipient Monitoring
South Carolina Department on Aging	2019-025	93.044 93.045 93.053	Aging Cluster	Subrecipient Monitoring
South Carolina Department on Aging	2019-026	93.044 93.045 93.053	Aging Cluster	Activities Allowed or Unallowed and Allowable Costs/Cost Principles
South Carolina Commission for the Blind	2019-032	84.126	Rehabilitation Services- Vocational Rehabilitation Grants to States	Activities Allowed or Unallowed and Allowable Costs/Cost Principles – Indirect Costs
South Carolina Commission for the Blind	2019-033	84.126	Rehabilitation Services- Vocational Rehabilitation Grants to States	Matching, Level of Effort, Earmarking – Maintenance of Effort

Compliance with such requirements is necessary, in our opinion, for the State to comply with the requirements applicable to those programs.

Qualified Opinion on the Eight Major Federal Programs Identified Above

In our opinion, except for the noncompliance described in the Basis for Qualified Opinion paragraph, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on the identified major federal programs for the year ended June 30, 2019.

Unmodified Opinion on Each of the Other Major Federal Programs

In our opinion, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its other major federal programs identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs for the year ended June 30, 2019.

Other Matters

The results of our auditing procedures disclosed other instances of noncompliance which are required to be reported in accordance with the Uniform Guidance and which are described in the accompanying Schedule of Findings and Questioned Costs as items 2019-002 through 2019-006, 2019-008, 2019-009, 2019-011 through 2019-014, 2019-016, 2019-018, 2019-019, 2019-021, 2019-023, 2019-024, and 2019-027 through 2019-030. Our opinion on each major federal program is not modified with respect to these matters.

The State's responses to the noncompliance findings identified in our audit are described in the accompanying Schedule of Findings and Questioned Costs and Appendix B – Agency Corrective Action Plans to Findings and Recommendations. The State's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

Report on Internal Control over Compliance

Management of the State is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the State's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as discussed below, we did identify certain deficiencies in internal control over compliance that we consider to be material weaknesses and significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as items 2019-007, 2019-010, 2019-015, 2019-017, 2019-018, 2019-022, 2019-025, 2019-026, 2019-032 and 2019-033 to be material weaknesses.

A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questions costs as items 2019-002 through 2019-006, 2019-008, 2019-009, 2019-011 through 2019-014, 2019-016, 2019-019 through 2019-021, 2019-023, 2019-024, and 2019-027 through 2019-031 to be significant deficiencies.

The State's responses to the internal control over compliance findings identified in our audit are described in the accompanying Schedule of Findings and Questioned Costs and Appendix B – Agency Corrective Action Plans to Findings and Recommendations. The State's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses. The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have jointly audited the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of South Carolina as of and for the year ended June 30, 2019, and have issued our report thereon dated November 14, 2019 which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

The Honorable Henry D. McMaster, Governor
and
Members of the General Assembly
March 6, 2020

George F. Kennedy, III

Columbia, South Carolina
March 6, 2020

Clifton Larson Allen LLP

Baltimore, Maryland
March 6, 2020

Grantor/CFDA/CFDA Title	Pass-Through Grant Number	Federal Expenditures	Subrecipient Expenditures
NonCluster Programs			
93.634 Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals			
Direct		307,521	-
	93.634 Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals Total	307,521	-
93.643 Children's Justice Grants to States			
Direct		636,429	-
	93.643 Children's Justice Grants to States Total	636,429	-
93.645 Stephanie Tubbs Jones Child Welfare Services Program			
Direct		6,970,153	-
	93.645 Stephanie Tubbs Jones Child Welfare Services Program Total	6,970,153	-
93.658 Foster Care Title IV-E			
Direct		42,403,957	-
	93.658 Foster Care Title IV-E Total	42,403,957	-
93.659 Adoption Assistance			
Direct		22,743,595	-
	93.659 Adoption Assistance Total	22,743,595	-
93.667 Social Services Block Grant			
Direct		29,808,007	-
	93.667 Social Services Block Grant Total	29,808,007	-
93.669 Child Abuse and Neglect State Grants			
Direct		86,474	-
	93.669 Child Abuse and Neglect State Grants Total	86,474	-
93.671 Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services			
Direct		1,304,086	996,838
	93.671 Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services Total	1,304,086	996,838
93.674 John H. Chafee Foster Care Program for Successful Transition to Adulthood			
Direct		898,035	334,003
	93.674 John H. Chafee Foster Care Program for Successful Transition to Adulthood Total	898,035	334,003
93.757 State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF)			
Direct		2,457,053	1,601,430
	93.757 State and Local Public Health Actions to Prevent Obesity, Diabetes, Heart Disease and Stroke (PPHF) Total	2,457,053	1,601,430
93.758 Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF)			
Direct		518,630	142,904
	93.758 Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) Total	518,630	142,904
93.767 Children's Health Insurance Program			
Direct		202,479,954	-
	93.767 Children's Health Insurance Program Total	202,479,954	-
93.788 Opioid STR			
Direct		14,846,194	10,028,125
	93.788 Opioid STR Total	14,846,194	10,028,125



HENRY McMASTER, GOVERNOR
MICHAEL LEACH, STATE DIRECTOR

February 21, 2020

Department of Health and Human Services

The South Carolina Department of Social Services respectfully submits the following corrective action plan for the year ended June 30, 2019.

Audit period: June 30, 2019

The findings from the schedule of findings and questioned costs are discussed below. The findings are numbered consistently with the numbers assigned in the schedule.

FINDINGS—FEDERAL AWARD PROGRAM AUDIT

DEPARTMENT OF SOCIAL SERVICES

2019-009 Child Support Enforcement – CFDA No. 93.563

Recommendation: We recommend that Department internal controls remain in place and operating to ensure that the Federally approved child support automated data processing and information retrieval system continues to meet all applicable Federal requirements.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: The Department completed state-wide system implementation in August 2019 and received Federal Certification from the Office of Child Support Enforcement (OCSE) on September 6, 2019.

Name(s) of the contact person(s) responsible for corrective action: Jimmy Early, Project Director

Planned completion date for corrective action plan: September 30, 2019

2019-010 Child Support Enforcement – CFDA No. 93.563

Recommendation: We recommend that the Department review procedures for preparing, reviewing and approving its Schedule of Expenditures of Federal Awards to ensure accurate reporting.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: This finding resulted from the incorrect inclusion of Incentive Grant expenditures in subrecipient costs. Procedures have already been implemented to review subrecipient expenditures to be reported to ensure they exclude Incentive funds. The Department will also implement an additional level of review of the SEFA report to ensure accurate reporting.

Name(s) of the contact person(s) responsible for corrective action: Ashley Harris, Grants Accounting and Reporting Manager

Planned completion date for corrective action plan: August 30, 2020

2019-011 Child Support Enforcement, Social Services Block Grant, Adoption Assistance – CFDA No. 93.563, 93.667, 93.659

Recommendation: We recommend that the Department review its internal controls over the Federal cash draws process to ensure compliance with cash management requirements.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: The Department will put procedures in place to ensure proper supporting documentation from the accounting system is retained to support accurate reimbursement for all Federal cash draws. Draws will also be performed on a periodic basis to be determined by individual grant, but at least monthly if needed.

Name(s) of the contact person(s) responsible for corrective action: Ashley Harris, Grants Accounting and Reporting Manager

Planned completion date for corrective action plan: March 31, 2020

2019-012 Social Services Block Grant, Adoption Assistance – CFDA No. 93.667, 93.659

Recommendation: We recommend that the Department strengthen its internal controls and processes to ensure that Federal reports are free from error and clearly supported prior to submission of the report.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: Due to staff turnover, the Department has an entirely new Grants Accounting and Reporting team. All staff are being trained on the proper completion of the Federal Financial Reports, including period of performance cut-off dates. In addition, each report will be reviewed by a manager or the Controller prior to submission.

Name(s) of the contact person(s) responsible for corrective action: Ashley Harris, Grants Accounting and Reporting Manager

Planned completion date for corrective action plan: June 30, 2020

2019-013 Adoption Assistance – CFDA No. 93.659

Recommendation: We recommend that the Department strengthen its internal controls and processes to ensure that all charges to the grant are for allowable activities only.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: The Department will conduct additional staff training to stress the importance of coding invoices to the general ledger account segments that are listed and approved on the invoice. An Accounts Payable manager or supervisor will also review the coding on the invoice when approving the invoice through workflow in SCEIS to ensure it matches what has been entered in SCEIS.

Name(s) of the contact person(s) responsible for corrective action: Zandria Buck, Accounts Payable Manager

Planned completion date for corrective action plan: March 31, 2020

2019-014 Adoption Assistance – CFDA No. 93.659

Recommendation: We recommend the Department update internal controls for charging direct training costs benefitting multiple programs to ensure that the costs charged only reflect the net proportional benefit applicable to each program.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: The Department has already begun updating its process for charging training costs beginning July 1, 2019. Each invoice submitted will have a listing of each training course that was provided, along with a listing of the costs

separated between training and administrative costs. This will allow the Department to charge each training to the benefitting program based on the specific training course conducted.

Name(s) of the contact person(s) responsible for corrective action: Susan Roben, Controller

Planned completion date for corrective action plan: June 30, 2020

2019-015 Adoption Assistance – CFDA No. 93.659

Recommendation: We recommend that the Department review eligibility file controls to ensure that all payments are adequately supported throughout the eligibility period.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: All adoption subsidy agreements are currently sent to the State Office Adoptions Manager for signature. When the agreements are entered into CAPSS, staff verify that the agreement includes the proper signature. The Department will begin reviewing older files to ensure all subsidy agreements have the proper signatures in accordance with federal regulations.

The Department will also create a review tool to verify eligibility and staff will pull fifteen (15) sample cases quarterly to conduct internal review to ensure all subsidy payments are adequately supported. The State Office Adoptions Manager will verify the subsidy agreement with the subsidy payment to ensure the amounts are correct. If a discrepancy is found, the manager will contact the region for clarification.

The Department will send school verification letters prior to the child's 18th birthday if documentation was not previously provided to ensure subsidy payments are terminated at the end of the 18th birthday month.

Name(s) of the contact person(s) responsible for corrective action: Dawn Barton, Director of Permanency Management

Planned completion date for corrective action plan: June 30, 2020

2019-016 Child Care Development Fund – CFDA No. 93.575, 93.596

Recommendation: We recommend that the Department strengthen internal controls to ensure that all awarded funds are liquidated within the award's period of performance.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: The Department has already put procedures in place to review invoices before they are paid to ensure each invoice is paid to the correct period of performance. Staff will also review general ledger posting and transaction dates to ensure that all awarded funds are posted to the correct period of performance.

Name(s) of the contact person(s) responsible for corrective action: Ashley Harris, Grants and Reporting Manager

Planned completion date for corrective action plan: March 31, 2020

If the Federal Cognizant Agency for this Department has questions regarding this plan, please call Susan Roben, Controller at 803-898-7427.