

South Carolina Department of Social Services
TRANSMITTAL FOR STATE DIRECTOR'S SIGNATURE

(Required for all documents requiring signature of State Director)

Describe attachment(s) and reason State Director's signature is requested:

SSBG Intended Use Plan, Pre-Expenditure Plan, Director's Letter, and all required appendices for FFY 2021-2022, which is due on 9/1/2021.

The document/documents attached have been approved and authorized, as indicated by the signatures below, by DSS executive/senior staff. Authorization by executive/senior staff indicates that actions requested of the State Director have been fully vetted as to adherence to legal mandates, federal and state regulatory requirements, and DSS policy, as well as supported by sufficient funds in the budget to facilitate the action/request.

Approvals

| | | |
|--|--|--------------------|
| Division Director's Signature: Kelly Cordell | Digitally signed by Kelly Cordell Date: 2021.08.10 12:55:29 -04'00' | Date: 8/10/2021 |
| Finance/Budget Signature: Susan Roben | Digitally signed by Susan Roben Date: 2021.08.17 13:24:30 -04'00' | Date: 8/17/2021 |
| General Counsel's Signature: Tony Catone | Digitally signed by Tony Catone Date: 2021.08.23 18:38:30 -04'00' | Date: |
| Deputy State Director's Signature: Kelly Cordell | Digitally signed by Kelly Cordell Date: 2021.08.10 12:55:49 -04'00' | Date: 8/10/2021 |

Comments:

Please return to Rick Knight.

DSS SOUTH CAROLINA
DEPARTMENT of SOCIAL SERVICES



HENRY McMASTER, GOVERNOR
MICHAEL LEACH, STATE DIRECTOR

August 25, 2021

Lynwood McDaniel, Jr.
Program Specialist
Office of Community Services
Social Services Block Grant
330 C Street SW, 5th Floor
Washington DC 20021

Dear Mr. McDaniel:

I am pleased to submit South Carolina's Social Services Block Grant (SSBG) Plan for Federal Fiscal Year (FFY) 2022. This report includes the Pre-Expenditure Plan for FFY 2022; a description of the services funded with SSBG; the criteria for determining eligibility for services; and all required appendices.

SSBG is a critical source of funding for services needed by South Carolina's most vulnerable citizens. If you have any questions about South Carolina's Plan, please contact Mr. Rick Knight at 803-898-9030.

Sincerely,

A handwritten signature in blue ink, appearing to read "Michael Leach".

Michael Leach
State Director

ML:rrk

Enclosure

S.C. SSBG Pre-Expenditure Plan FFY 2022-2023

Part A. Estimated Expenditures and Proposed Provision Method

OMB NO.: 0970-0234

| | | |
|---|---|------------------------------------|
| STATE: South Carolina | FISCAL YEAR: 2022 | REPORT PERIOD: 10/1/2021-9/30/2022 |
| Contact Person: Rick Knight | Phone Number: 803-698-9030 | |
| Title: Director of Administration - APS | E-Mail Address: rick.knight@dcss.sc.gov | |
| Agency: SC Dept. of Social Services | Submission Date: 9/1/2021 | |

| | SSBG Expenditures | | | Total Expenditures | Provision Method | | Do your total expenditures include All other federal, state, and local funds for this service category? |
|--|-------------------|------------------------------|--|--------------------|--|--------|---|
| | SSBG Allocation | Funds transferred into SSBG* | Expenditures of All Other Federal, State and Local funds** | | Carry Over of Funds Transferred into SSBG from Previous FY | Public | |
| Service Supported with SSBG Expenditures | | | | | | | |
| 1 Adoption Services | | | | | | | |
| 2 Case Management | | | | | | | |
| 3 Congregate Meals | | | | | | | |
| 4 Counseling Services | | | | | | | |
| 5 Day Care-Adults | | | | | | | |
| 6 Day Care-Children | | | | | | | |
| 7 Education and Training Services | | | | | | | |
| 8 Employment Services | | | | | | | |
| 9 Family Planning Services | | | | | | | |
| 10 Foster Care Services-Adults | | | | | | | |
| 11 Foster Care Services-Children | | 1,705,165 | | | X | X | |
| 12 Health-Related Services | | | | | | | |
| 13 Home-Based Services | | 407,839 | | | X | X | |
| 14 Home-Delivered Meals | | | | | | | |
| 15 Housing Services | | | | | | | |
| 16 Independent/Transitional Living Services | | | | | | | |
| 17 Information & Referral | | | | | | | |
| 18 Legal Services | | | | | | | |
| 19 Pregnancy & Parenting | | | | | | | |
| 20 Prevention & Intervention | | 3,273,174 | | | X | X | |
| 21 Protective Services-Adults | | 14,680,028 | | | X | X | |
| 22 Protective Services-Children | | 3,408,395 | | | X | X | |
| 23 Recreational Services | | | | | | | |
| 24 Residential Treatment | | | | | | | |
| 25 Special Services-Disabled | | | | | | | |
| 26 Special Services-Youth at Risk | | | | | | | |
| 27 Substance Abuse Services | | | | | | | |
| 28 Transportation | | | | | | | |
| 29 Other Services** | | | | | | | |
| 30 SUM OF EXPENDITURES FOR SERVICES | | 23,474,601 | | | | | 0 |
| 31 Administrative Costs | | 1,298,115 | | | | | |
| 32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS | | 24,772,716 | | | | | |

Part B. Estimated Recipients

OMB NO.: 0970-0234

STATE: South Carolina
 FISCAL YEAR: 2022

| Service Supported with SSBG Expenditures | Children | Adults | | | Total Adults | Total | Actual (A), Estimated (E), Sampled (S) data? | Duplicated (D) or Unduplicated (U) Counts? | Please enter the eligibility criteria for this Service Category | State will submit eligibility criteria to OCS by email |
|---|----------|-------------------------------|-----------------------------|-----------------------|--------------|--------|--|--|---|--|
| | | Adults Age 59 Years & Younger | Adults Age 60 Years & Older | Adults of Unknown Age | | | | | | |
| 1 Adoption Services | | | | | | | | | | |
| 2 Case Management | | | | | | | | | | |
| 3 Congregate Meals | | | | | | | | | | |
| 4 Counseling Services | | | | | | | | | | |
| 5 Day Care-Adults | | | | | | | | | | |
| 6 Day Care-Children | | | | | | | | | | |
| 7 Education and Training Services | | | | | | | | | | |
| 8 Employment Services | | | | | | | | | | |
| 9 Family Planning Services | | | | | | | | | | |
| 10 Foster Care Services-Adults | | | | | | | | | | |
| 11 Foster Care Services-Children | 8,709 | | | | 8,709 | E | U | At or below 200% poverty | | |
| 12 Health-Related Services | | | | | | | | | | |
| 13 Home-Based Services | 56 | 15 | 41 | 0 | 56 | E | U | At or below 200% poverty | | |
| 14 Home-Delivered Meals | | | | | | | | | | |
| 15 Housing Services | | | | | | | | | | |
| 16 Independent/Transitional Living Services | | | | | | | | | | |
| 17 Information & Referral | | | | | | | | | | |
| 18 Legal Services | | | | | | | | | | |
| 19 Pregnancy & Parenting | | | | | | | | | | |
| 20 Prevention & Intervention | 72,737 | | | | 72,737 | E | U | At or below 200% poverty | | |
| 21 Protective Services-Adults | 5,000 | 1,561 | 4,439 | 0 | 5,000 | E | U | At or below 200% poverty | | |
| 22 Protective Services-Children | 8,944 | | | | 8,944 | E | U | At or below 200% poverty | | |
| 23 Recreation Services | | | | | | | | | | |
| 24 Residential Treatment | | | | | | | | | | |
| 25 Special Services-Disabled | | | | | | | | | | |
| 26 Special Services-Youth at Risk | | | | | | | | | | |
| 27 Substance Abuse Services | | | | | | | | | | |
| 28 Transportation | | | | | | | | | | |
| 29 Other Services**** | | | | | | | | | | |
| 30 SUM OF RECIPIENTS OF SERVICE | 96,446 | 1,576 | 4,480 | 0 | 6,056 | 96,446 | E | U | At or below 200% poverty | |

Division of Social Services Social Services Block Grant *Intended Use Plan*

The Paperwork Reduction Act of 1995 (Pub. L. 104-13). STATEMENT OF PUBLIC BURDEN: Through this information collection, ACF is identifying plans for State use of Social Services Block Grant (SSBG) Funding. The purpose of this information is to identify estimated SSBG expenditures and recipients, as well as the intended geographic location and eligibility considerations for planned services. Information will be used to gain insight on the administration of the SSBG program and to provide support to grantees related to the administration of their SSBG program. Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. This is a mandatory collection of information and is required to retain a benefit [45 C.F.R. §96.74]. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information subject to the requirements of the Paperwork Reduction Act of 1995, unless it displays a currently valid OMB control number. The OMB # is 0970-0234 and the expiration date is 05/31/2024. If you have any comments on this collection of information, please contact the Office of Community Services, Social Services Block Grant Program via email: SSBG@acf.hhs.gov.

I. General Information

1. **State** South Carolina 2. **Fiscal Year** FY2022

3. State Official Contact Information

Michael Leach
State Director - South Carolina Department of Social Services
1535 Confederate Avenue, Columbia, SC 29201
Phone: 803-898-0585
e-mail: michael.leach@dss.sc.gov

4. SSBG Contact Information

Rick Knight
Director of Administration - SCDSS Adult Advocacy Division
1535 Confederate Avenue, Columbia, SC 29201
Phone: 803-898-9030
e-mail: rick.knight@dss.sc.gov

5. **SSBG Award from Previous Year** _____ \$ 24,772,716.00

6. **SSBG Expenditures Planned for Current Year** _____ \$ 24,772,716.00

7. **TANF Funds Transferred into SSBG** _____ \$ 0.00

8. **Consolidate Block Grant Funds Included in SSBG Budget:** YES NO

Provide the amount of funding for each applicable funding source for the consolidated block grant.

| a. Funding Source(s) for the Consolidated Block Grant | b. Amount of Funding from Each Program |
|---|--|
| N/A | |
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9. SSBG Carryover Funding from the Previous Year: YES NO

| a. Source(s) of Carryover Funding | b. Amount of Carryover from Each Source |
|-----------------------------------|---|
| N/A | |
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II. Administrative Operations

1. **Administering Agency** South Carolina Department of Social Services

2. **Location** 1535 Confederate Avenue, Columbia, SC 29201

3. Mission/Goals of Agency

The mission of the South Carolina Department of Social Services is, "To serve South Carolina by promoting the safety, permanency, and well-being of children and vulnerable adults, helping individuals achieve stability and strengthening families."


SCDSS Strives to:

- a. Promote the safety and well-being of children and vulnerable adults and promote permanency for children.
- b. Help preserve the family unit by enhancing the capability of individuals and families to provide for their own needs.
- c. Ensure the DSS workforce is supported in their efforts to provide high-quality service that is responsive and engaged; and,
- d. Foster trust, collaboration, and communication with stakeholders to improve outcomes for children, families, and vulnerable adults.

4. Description of Financial Operations Systems

South Carolina DSS program staff, in collaboration with SCDSS Budget staff, annually develops a program plan for allocating SSBG funds. Through the planning process, the state seeks to allocate social service resources in a fiscally accountable manner to address and ensure that SSBG meets the priority service needs of the state. Members of the SCDSS Executive staff review and approve the Plan. Consistent with state and federal requirements, citizens receive assistance to achieve, restore, and maintain a level of health, social, and economic well-being. As SSBG funding is a capped resource, the ability to develop new programs and address more needs of the state is limited. Continuity of priority services and the provision of mandated services is the State's primary focus in development of the Pre-Expenditure Plan.

Program areas within SCDSS are required to develop budget projections to ensure allocation of financial resources meets strategic objectives; that budgeted operations are within available funding; and that the agency derives maximum benefit from all available funding sources. The budget process requires an analysis of resource allocation and funding needed for budgeted operations. This process includes a review of necessary expenditures from the cost allocation manager through the senior management levels of the Agency.

SCDSS allocates costs to the SSBG program based upon a federally approved Public Assistance Cost Allocation Plan revised effective July 1, 2016. Payroll expenditures are charged directly to the program or are allocated using 

III. Program Planning

1. Planning for Distribution and Use of Funds

Describe the planning process for determining the State's use and distribution of SSBG funds.

South Carolina uses a goal-directed target group approach in the social services delivery system. This approach provides a conceptual framework within which SCDSS can identify a recipient's service needs and can develop, implement, and evaluate distribution of funds with optimum participation of the recipients. The South Carolina Department of Social Services determines eligibility for services that support Adult Protective Services, Child Protective Services, and Foster Care for Children.

Target Group Criteria

The first step of determining eligibility is identification of an applicant as a member of at least one of the target groups. Each target group is defined in terms of a problem or barrier experienced by SSBG service applicants. The problem provides the parameters for developing a specific goal and measurable objectives. The target groups are prioritized, with Target Group 1 having the highest priority (see chart on next page).

~~Target Group 1: Individuals unable to protect their own interest from abuse, neglect, or exploitation~~ +

2. Describe the Characteristics of Individuals to be Served

Include definitions for child, adult, and family; eligibility criteria; and income guidelines.

In the SSBG program, an adult is defined as an individual eighteen years of age or older who receives services based on need. This includes emancipated minors and married minors. An individual 17 years of age or younger is defined as a child.

An SSBG Family includes the following members:

- One or more adults and children related by blood or law and residing in the same household
- Stepparents
- Military spouses not residing in the same household
- Adult children 18-21 years of age living away from home to further their education if their parents claim them as dependents on their income tax return

South Carolina utilizes a three-step process for determining eligibility for the SSBG program based on client need. +

3. Public Inspection of Pre-Expenditure Report

Describe how the State made available for public inspection and comment the current Pre-Expenditure Report or revision to the report. Supporting documentation for public inspection is also required. (See V. Appendices, Appendix A: Documentation of public Hearing).

South Carolina does not hold a public hearing for comment on the SSBG Plan; however, the citizens of the state and service providers can review and comment on the Pre-Expenditure Plan via the SCDSS website. A hard copy of the Pre-Expenditure Plan is also available for review at SCDSS, 1535 Confederate Avenue Extension, Columbia, SC, Room 507. The public can e-mail questions and comments to program staff charged with preparing the plan.

IV. Program Operations

Complete one table for each service category provided by the state during the reporting period.

1. Program Operations – Adoption Services

| |
|---|
| a. Service Category (use uniform definition) – Adoption Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

2. Program Operations – Case Management Services

| |
|--|
| a. Service Category (use uniform definition) – Case Management Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

3. Program Operations – Congregate Meals

| |
|--|
| a. Service Category (use uniform definition) – Congregate Meals |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

4. Program Operations – Counseling Services

| |
|---|
| a. Service Category (use uniform definition) – Counseling Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

5. Program Operations – Day Care Services – Adults

| |
|--|
| a. Service Category (use uniform definition) – Day Care Services – Adults |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

6. Program Operations – Day Care Services – Children

| |
|--|
| a. Service Category (use uniform definition) – Day Care Services – Children |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

7. Program Operations – Education and Training Services

| |
|---|
| a. Service Category (use uniform definition) – Education and Training Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

8. Program Operations – Employment Services

| |
|---|
| a. Service Category (use uniform definition) – Employment Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

9. Program Operations – Family Planning Services

| |
|--|
| a. Service Category (use uniform definition) – Family Planning Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

10. Program Operations – Foster Care Services for Adults

| |
|---|
| a. Service Category (use uniform definition) – Foster Care Services for Adults |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

11. Program Operations – Foster Care Services for Children

| |
|---|
| a. Service Category (use uniform definition) – Prevention and Intervention Services |
| b. SSBG Goal 3. Prevent or remedy neglect, abuse, or exploitation of children and adults unable to protect their own interests or preserve, rehabilitate, or reunite families; 4. Prevent or reduce inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care. 5. Secure referral or admission for institutional care when other forms of care are not appropriate or providing services to individuals in institutions. |
| c. Description of Services Foster Care Services provide an alternative family life experience for abused, neglected, or dependent children. |
| d. Description of Recipients (eligibility considerations) Children unable to protect their own interest from abuse, neglect, or exploitation who are at or below 200 percent of the poverty guidelines, or must need services provided by the state that are without regard to income. |
| e. Method of Delivery and Geographic Area These services may include assessment of the child's needs; case planning and management to assure that the child receives proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the child's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. SCDSS provides this service in all 46 counties of the state. |
| f. Partnering State Agency Department of Juvenile Justice, Department of Mental health, Department of Disabilities and Special Needs, Department of Health and Environmental Control, University of South Carolina, Department of Education, Department of Alcohol and Other Drug Abuse Services |
| g. Subgrantee / Service Providers 72 contracted licensed group homes and 2,968 foster homes |

12. Program Operations – Health Related and Home Health Services

| |
|---|
| a. Service Category (use uniform definition) – Health Related and Home Health Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

13. Program Operations – Home Based Services

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|--|
| a. Service Category (use uniform definition) – Home Based Services |
| b. SSBG Goal 1. Achieve or maintain economic self-support to prevent, reduce, or eliminate dependency; 2. Achieve or maintain self-sufficiency, including reduction or prevention of dependency; 3. Prevent or remedy neglect, abuse, or exploitation of children and adults unable to protect their own interests or preserve, rehabilitate, or reunite families; 4. Prevent or reduce inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care. |
| c. Description of Services Home-Based (Homemaker) Services are provided to adults and children receiving protective services. |
| d. Description of Recipients (eligibility considerations) Adults and children unable to protect their own interest from abuse, neglect, or exploitation who are at or below 200 percent of the poverty guidelines, or must need services provided by the state that are without regard to income. |
| e. Method of Delivery and Geographic Area Activities include light house cleaning, meal planning, marketing and food preparation, personal care and home management, budgeting, child rearing skills, and assistance in following treatment plans prescribed by a physician. This service is also provided to foster children and their foster families and families receiving child protective services and is provided for adults and children in all 46 counties of the state. |
| f. Partnering State Agency N/A |
| g. Subgrantee / Service Providers Addus Health Care; Attentive Angels Home Care; Caregivers on Demand; CHS Group; Elite Home Care; First Priority Home Care; From the Heart Home Care; Hearts and Hands CLA; Living Well Family Care; Rest Assured LLC; Unique Serenity In-Home Care Agency; Vigilant Healthcare Staffing |

14. Program Operations – Home Delivered Meals

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|--|
| a. Service Category (use uniform definition) – Home Delivered Meals |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

15. Program Operations – Housing Services

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|--|
| a. Service Category (use uniform definition) – Housing Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

16. Program Operations – Independent and Transitional Living Services

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| a. Service Category (use uniform definition) – Independent and Transitional Living Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

17. Program Operations – Information and Referral

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|--|
| a. Service Category (use uniform definition) – Information and Referral |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

18. Program Operations – Legal Services

| |
|--|
| a. Service Category (use uniform definition) – Legal Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

19. Program Operations – Pregnancy and Parenting Services for Young Parents

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|--|
| a. Service Category (use uniform definition) – Pregnancy and Parenting Services for Young Parents |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

20. Program Operations – Prevention and Intervention Services

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|---|
| a. Service Category (use uniform definition) – Prevention and Intervention Services |
| b. SSBG Goal 3. Prevent or remedy neglect, abuse, or exploitation of children and adults unable to protect their own interests or preserve, rehabilitate, or reunite families; 4. Prevent or reduce inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care. |
| c. Description of Services Prevention and Intervention Services (Flex Funds) provide flexible and easily accessible resources to Department of Social Services' staff in child protective services, foster care, and adoption services to assist them in their efforts to preserve, strengthen, or reunite families with children who are receiving, or are at risk of receiving, child welfare services. The service goals for Flex Funding include preventing placement in foster care; preventing disruptions in foster or pre-adopt families; reunification of a child with family or relatives; preventing abuse from occurring or recurring; and facilitating adoption. |
| d. Description of Recipients (eligibility considerations) Children unable to protect their own interest from abuse, neglect, or exploitation who are at or below 200 percent of the poverty guidelines, or must need services provided by the state that are without regard to income. |
| e. Method of Delivery and Geographic Area This service is provided on a state-wide basis by SCDSS. Services include assistance with housing; electric bills; counseling; drug assessment and treatment services; medical services when Medicaid is not available and the services are an integral part of the service plan; wrap-around services; and short term child care services (no more than 2 weeks). |
| f. Partnering State Agency N/A |
| g. Subgrantee / Service Providers N/A |

21. Program Operations – Protective Services for Adults

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|--|
| a. Service Category (use uniform definition) – Protective Services for Adults |
| b. SSBG Goal <ol style="list-style-type: none">1. Achieve or maintain economic self-support to prevent, reduce, or eliminate dependency;2. Achieve or maintain self-sufficiency, including reduction or prevention of dependency;3. Prevent or remedy neglect, abuse, or exploitation of children and adults unable to protect their own interests or preserve, rehabilitate, or reunite families;4. Prevent or reduce inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and |
| c. Description of Services Adult Protective Services (APS) are provided to vulnerable adults who are 18 years of age or older and are victims of abuse, neglect, or exploitation. This maltreatment may be caused by others or may be caused by the adult victim. The Omnibus Adult Protection Act of the South Carolina Code of Laws authorizes the Department of Social Services to investigate reports and provide services. |
| d. Description of Recipients (eligibility considerations) Adults unable to protect their own interest from abuse, neglect, or exploitation who are at or below 200 percent of the poverty guidelines, or must need services provided by the state that are without regard to income. |
| e. Method of Delivery and Geographic Area Services are provided to protect vulnerable adults from abuse, neglect, and exploitation by investigating and providing temporary assistance until risk is minimized and services are secured. Services are provided to meet the adults' basic needs and to provide intervention and protection for Vulnerable Adults who cannot provide care or protection for themselves in a manner that ensures attention will be given to the Adult's right to self-determination, lifestyle and culture. These services must be provided in the least restrictive environment as determined by the Adult's level of care and safety. In some cases, emergency caretakers are |
| f. Partnering State Agency Department of Health and Human Services, Department of Mental Health, Department of Disabilities and Special Needs, Department on Aging, State Law Enforcement Division, Local law Enforcement. |
| g. Subgrantee / Service Providers Rosewood Assisted Living; Addus Health Care; Attentive Angels Home Care; Caregivers on Demand; CHS Group; Elite Home Care; First Priority Home Care; From the Heart Home Care; Hearts and Hands CLA; Living Well Family Care; Rest Assured LLC; Unique Serenity In-Home Care Agency; Vigilant Healthcare Staffing. |

22. Program Operations – Protective Services for Children

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|--|
| a. Service Category (use uniform definition) – Protective Services for Children |
| b. SSBG Goal 1. Achieve or maintain economic self-support to prevent, reduce, or eliminate dependency; 2. Achieve or maintain self-sufficiency, including reduction or prevention of dependency; 3. Prevent or remedy neglect, abuse, or exploitation of children and adults unable to protect their own interests or preserve, rehabilitate, or reunite families; 4. Prevent or reduce inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care; and |
| c. Description of Services Child Protective Services (CPS) are provided to families whose children have been abused or neglected. |
| d. Description of Recipients (eligibility considerations) Child Protective Services (CPS) are provided to families whose children have been abused or neglected. |
| e. Method of Delivery and Geographic Area State law requires the Department of Social Services to assess reports of child abuse/neglect to determine their validity. This includes determining whether the child is at risk and determining the need for supportive services for the family. In addition to investigating abuse/neglect and providing counseling and offering other treatment services to parents and children, the case managers are advocates for the child and family to law enforcement and the family court. SCDSS provides this service at the county level in all 46 counties of the state. |
| f. Partnering State Agency Law Enforcement, Department of Education, Department of Juvenile Justice, Department of Alcohol and Other Drug Abuse Services |
| g. Subgrantee / Service Providers N/A |

23. Program Operations – Recreational Services

| |
|---|
| a. Service Category (use uniform definition) – Recreational Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

24. Program Operations – Residential Treatment Services

| |
|--|
| a. Service Category (use uniform definition) – Residential Treatment Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

25. Program Operations – Special Services for Persons with Developmental or Physical

| |
|---|
| a. Service Category (use uniform definition) – Special Services for Persons with Developmental or Physical |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

26. Program Operations – Special Services for Youth Involved in or at Risk of Involvement with Criminal Activity

| |
|---|
| a. Service Category (use uniform definition) – Special Services for Youth Involved in or at Risk of Involvement with Criminal Activity |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

27. Program Operations – Substance Abuse Services

| |
|--|
| a. Service Category (use uniform definition) – Substance Abuse Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

28. Program Operations – Transportation Services

| |
|---|
| a. Service Category (use uniform definition) – Transportation Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

29. Program Operations – Other Services

| |
|--|
| a. Service Category (use uniform definition) – Other Services |
| b. SSBG Goal N/A |
| c. Description of Services |
| d. Description of Recipients (eligibility considerations) |
| e. Method of Delivery and Geographic Area |
| f. Partnering State Agency |
| g. Subgrantee / Service Providers |

V. Appendices

Appendix A: Documentation of Public Hearing

Attach documentation of public hearing, such as public hearing notices, websites, electronic correspondence, letters, newspaper articles, etc.

Appendix B: Certifications

Attach signed copies of the following certifications

1. Drug-Free Workplace Requirements
2. Environmental Tobacco Smoke
3. Lobbying
4. Debarment, Suspension and Other Responsibility Matters

Appendix C: Proof of Audit

Federal regulations state that: "Each State shall, not less often than every two years, audit its expenditures from amounts received (or transferred for use) under this title...Within 30 days following the completion of each audit, the State shall submit a copy of that audit to the legislature of the State and to the Secretary." (Sec. 2006 [42 U.S.C. 1397a, Sec. 2006]).

Provide a copy or link to the most recent audit, or a description of the audit that specifies when the audit occurred and summarizes the results of the audit.

Appendix D: SF 424M

Scanned copy must be uploaded with application

Appendix E: Federal Financial Report (FFR) For SF-425 Federal Financial Reporting (FFR) Form SF-425

Scanned copy must be uploaded with the Intended Use Plan

Appendix F: TANF ACF-196R form

Scanned copy must be uploaded with the Intended Use Plan

APPENDICES

Assurances, Certifications and Audit

APPENDIX A

CERTIFICATION AND ASSURANCES

Non-Discrimination

In accordance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000 D et seq.), Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 706), and the regulations at 45 CFR Parts 80 and 84, the Title XX agency assures that no individual shall be subjected to discrimination under this plan on the grounds of race, color, national origin, or handicap.

The Social Services Block Grant agency has methods of administration to assure that each program or activity for which it receives federal financial assistance will be operated in accordance with Title VI regulations and with regulations issued pursuant to Section 504 of the Rehabilitation Act of 1973. The state agency will amend its methods of administration from time to time as necessary to carry out the purposes for which this statement is given.

Drug-Free Workplace

In accordance with the Drug-Free Workplace Act, S.C. Code Ann. 44-107-10 et seq. (1976 as amended) and the Federal Drug Free Workplace Act of 1988 as set forth in 45 CFR Part 76, Subpart F (1994), the Social Services Block Grant agency certifies that it will comply with all requirements.

Debarment, Suspension, and Other Responsibility Matters

In accordance with 45 CFR Part 76, the Social Services Block Grant agency certifies that it will comply with all requirements.

Lobbying

In accordance with 31 U.S.C. 1352, the Social Services Block Grant agency certifies that funds received will not be expended to pay any person for influencing or attempting to influence an officer or employee of Congress, or an employee of a member of Congress in connection with any of the following covered federal actions: the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

Environmental Tobacco Smoke

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of an indoor facility routinely owned or leased or contracted for by an entity and used routinely and regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity. By signing and submitting this application the applicant/grantee further agrees that it will comply with the requirements of the Act. The applicant/grantee further agrees that it will require the language of this certification be included in any sub-awards which contain provisions for the children's services and that all sub-grantees shall certify accordingly.



Michael Leach
State Director

APPENDIX B

SINGLE AUDIT

The South Carolina State Auditor's Office conducted the Single Audit in Compliance with OMB Circular 133 for SSBG funds administered by the Department of Social Services for the period ending June 30, 2020. The entire audit report is located on the Office of the State Auditor's website at the web address listed below.

<https://osa.sc.gov/wp-content/uploads/2021/04/2020-Single-Audit-Report.pdf>

Please see the following pages for information extracted from the audit which serves as proof that this function is completed.



**Independent Auditors' Report on Compliance for
Each Major Federal Program; Report on Internal
Control over Compliance; and Report on Schedule of
Expenditures of Federal Awards Required by the Uniform Guidance**

March 31, 2021

The Honorable Henry D. McMaster, Governor
and
Members of the State Fiscal Accountability Authority
State of South Carolina
Columbia, South Carolina

Report on Compliance for Each Major Federal Program

We have audited the State of South Carolina's (the State) compliance with the types of compliance requirements described in the Office of Management and Budget (OMB) *Compliance Supplement* that could have a direct and material effect on each of the State's major federal programs for the year ended June 30, 2020. The State's major federal programs are identified in the Summary of Auditor's Results section of the accompanying Schedule of Findings and Questioned Costs.

The State's basic financial statements included the operations of certain agencies and component units that have been excluded from the Schedule of Expenditures of Federal Awards because each of the entities were audited by other auditors as discussed in Note 1 to the Schedule of Expenditures of Federal Awards. These agencies and component units are listed in Note 1.

Management's Responsibility

The State's management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the State's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

The Honorable Henry D. McMaster, Governor
and
Members of the State Fiscal Accountability Authority
State of South Carolina
March 31, 2021

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the State's compliance.

Basis for Qualified Opinion on the Four Major Federal Program Identified in the Following Table

As identified in the following table and as described in the accompanying Schedule of Findings and Questioned Costs, the State did not comply with requirements regarding the following:

| State Administering Agency | Finding Number | Assistance Listing | Federal Program/Cluster | Compliance Requirement |
|--|-----------------------|----------------------------|--|---|
| South Carolina Department of Health and Human Services | 2020-006 | 93.775 93.777 93.778 | Medicaid Cluster | Eligibility |
| South Carolina Department of Health and Human Services | 2020-006 | 93.767 | Children's Health Insurance Program (CHIP) | Eligibility |
| South Carolina Office of the Adjutant General | 2020-019 | 12.401 | National Guard Military Operations and Maintenance (O&M) Projects | Cash Management |
| South Carolina Department of Social Services | 2020-027 | 93.659 | Adoption Assistance | Eligibility; Allowable Costs/Cost Principles |

Compliance with such requirements is necessary, in our opinion, for the State to comply with the requirements applicable to those programs.

Qualified Opinion on the Four Major Federal Program Identified Above

In our opinion, except for the noncompliance described in the Basis for Qualified Opinion paragraph, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on the identified major federal programs for the year ended June 30, 2020.

Unmodified Opinion on Each of the Other Major Federal Programs

In our opinion, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its other major federal programs identified in the Summary of Auditor's Results section of the accompanying Schedule of Findings and Questioned Costs for the year ended June 30, 2020.

The Honorable Henry D. McMaster, Governor
and
Members of the State Fiscal Accountability Authority
State of South Carolina
March 31, 2021

Other Matters

The results of our auditing procedures disclosed other instances of noncompliance which are required to be reported in accordance with the Uniform Guidance and which are described in the accompanying Schedule of Findings and Questioned Costs as items 2020-003 through 2020-005, 2020-007 through 2020-018, 2020-020 through 2020-026, and 2020-028 through 2020-032. Our opinion on each major federal program is not modified with respect to these matters.

The State's responses to the noncompliance findings identified in our audit are described in the accompanying Schedule of Findings and Questioned Costs and Appendix B – Agency Corrective Action Plans to Findings and Recommendations. The State's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

Report on Internal Control over Compliance

Management of the State is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the State's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. We consider the deficiencies in internal control over compliance described in the accompanying Schedule of Findings and Questioned Costs as items 2020-006, 2020-019, 2020-022 and 2020-027 to be material weaknesses.

The Honorable Henry D. McMaster, Governor
and
Members of the State Fiscal Accountability Authority
State of South Carolina
March 31, 2021

A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying Schedule of Findings and Questioned Costs as items 2020-003 through 2020-005, 2020-007 through 2020-018, 2020-020, 2020-021, 2020-023 through 2020-026, and 2020-028 through 2020-032 to be significant deficiencies.

The State's responses to the internal control over compliance findings identified in our audit are described in the accompanying Schedule of Findings and Questioned Costs and Appendix B – Agency Corrective Action Plans to Findings and Recommendations – Federal Award Programs Audit. The State's responses were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the responses.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of South Carolina as of and for the year ended June 30, 2020, and the related notes to the financial statements, which collectively comprise the State's basic financial statements. We issued our report thereon dated November 13, 2020 which contained unmodified opinions on the basic financial statements. We have not performed any procedures with respect to the audited financial statements subsequent to November 13, 2020. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the State's basic financial statements. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Schedule of Expenditures of Federal Awards is fairly stated in all material respects in relation to the basic financial statements as a whole.



Columbia, South Carolina
March 31, 2021

| Grantor/Assistance Listing/Title NonCluster Programs | Pass-Through Grant Number | Federal Expenditures | Subrecipient Expenditures |
|--|---------------------------|-------------------------|------------------------------|
| 93.630 Developmental Disabilities Basic Support and Advocacy Grants | | | |
| Direct | | 832,641 | 454,878 |
| 93.630 Developmental Disabilities Basic Support and Advocacy Grants Total | | 832,641 | 454,878 |
| 93.634 Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals | | | |
| Direct | | 321,218 | 5,509 |
| 93.634 Support for Ombudsman and Beneficiary Counseling Programs for States Participating in Financial Alignment Model Demonstrations for Dually Eligible Individuals Total | | 321,218 | 5,509 |
| 93.643 Children's Justice Grants to States | | | |
| Direct | | 202,379 | - |
| 93.643 Children's Justice Grants to States Total | | 202,379 | - |
| 93.645 Stephanie Tubbs Jones Child Welfare Services Program | | | |
| Direct | | 7,311,563 | - |
| 93.645 Stephanie Tubbs Jones Child Welfare Services Program Total | | 7,311,563 | - |
| 93.658 COVID-19 Foster Care Title IV-E | | | |
| Direct | | 801,746 | - |
| 93.658 COVID-19 Foster Care Title IV-E Total | | 801,746 | - |
| 93.658 Foster Care Title IV-E | | | |
| Direct | | 48,392,463 | - |
| 93.658 Foster Care Title IV-E Total | | 48,392,463 | - |
| 93.659 Adoption Assistance | | | |
| Direct | | 23,474,995 | - |
| 93.659 Adoption Assistance Total | | 23,474,995 | - |
| 93.659 COVID-19 Adoption Assistance | | | |
| Direct | | 846,282 | - |
| 93.659 COVID-19 Adoption Assistance Total | | 846,282 | - |
| 93.665 COVID-19 Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 | | | |
| Direct | | 99,431 | - |
| 93.665 COVID-19 Emergency Grants to Address Mental and Substance Use Disorders During COVID-19 Total | | 99,431 | - |
| 93.667 Social Services Block Grant | | | |
| Direct | | 28,763,455 | - |
| 93.667 Social Services Block Grant Total | | 28,763,455 | - |
| 93.669 Child Abuse and Neglect State Grants | | | |
| Direct | | 703,541 | - |
| 93.669 Child Abuse and Neglect State Grants Total | | 703,541 | - |
| 93.671 Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services | | | |
| Direct | | 2,144,676 | 1,278,443 |
| 93.671 Family Violence Prevention and Services/Domestic Violence Shelter and Supportive Services Total | | 2,144,676 | 1,278,443 |
| 93.674 John H. Chafee Foster Care Program for Successful Transition to Adulthood | | | |
| Direct | | 826,890 | 260,491 |
| 93.674 John H. Chafee Foster Care Program for Successful Transition to Adulthood Total | | 826,890 | 260,491 |
| 93.686 Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B | | | |
| Direct | | 46,926 | - |
| 93.686 Ending the HIV Epidemic: A Plan for America — Ryan White HIV/AIDS Program Parts A and B Total | | 46,926 | - |
| 93.758 Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) | | | |
| Direct | | 1,071,242 | 61,366 |
| 93.758 Preventive Health and Health Services Block Grant funded solely with Prevention and Public Health Funds (PPHF) Total | | 1,071,242 | 61,366 |

DEPARTMENT OF SOCIAL SERVICES
CORRECTIVE ACTION PLAN
YEAR ENDED JUNE 30, 2020



HENRY McMASTER, GOVERNOR
MICHAEL LEACH, STATE DIRECTOR



March 31, 2021

U.S. Department of Health and Human Services

The South Carolina Department of Social Services respectfully submits the following corrective action plan for the year ended June 30, 2020.

The findings from the schedule of findings and questioned costs are discussed below. The findings are numbered consistently with the numbers assigned in the schedule.

FINDINGS—FEDERAL AWARD PROGRAM AUDIT

2020-026 Child Support Enforcement, Social Services Block Grant, Adoption Assistance – Assistance Listing No. 93.563, 93.667, 93.659

Recommendation: We recommend that the Department continue implementation of the associated corrective action plan.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: The Department put procedures in place to ensure proper supporting documentation from the accounting system is retained to support accurate reimbursement for all Federal cash draws. Staff turnover, and the Department's required enactment of work-from-home policies to combat the spread of the COVID-19 virus, created unanticipated barriers to ongoing compliance with its revised procedures. Required supporting documentation is now described in detail in the cash management procedures for drawdown of federal funds. Draws will be performed on a periodic basis determined by individual grant, but at least monthly if needed. Staff will review all grants periodically to determine whether qualified expenditures have posted to grants and require draws to cover them. A master draw log has been created and will be maintained by the Grants Accounting and Reporting Manager to document the completion of these reviews and the status of draw activity for each grant.

Name(s) of the contact person(s) responsible for corrective action: Reshma Parikh, Grants Accounting and Reporting Manager

Planned completion date for corrective action plan: April 30, 2021.

**DEPARTMENT OF SOCIAL SERVICES
CORRECTIVE ACTION PLAN
YEAR ENDED JUNE 30, 2020**

2020-027 Adoption Assistance – Assistance Listing No. 93.659

Recommendation: We recommend that the Department continue to implement corrective action for eligibility file controls.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: All adoption subsidy agreements are now sent to the State Office Adoptions Manager for signature. When the agreements are entered into the Child Welfare Information System (CAPSS), staff verify that the agreement includes the proper signature. Some of the payments tested and identified as exceptions this year were made pursuant to subsidy agreements executed in prior years. Prior to the Department's required enactment of work-from-home policies to combat the spread of the COVID-19 virus, the Department had begun reviewing older files to ensure the subsidy agreements included the proper signatures. The Department also had begun implementation of an eligibility review process whereby staff would pull sample cases quarterly for internal review to confirm compliance with various requirements and ensure all subsidy payments were adequately supported. The implementation of these processes was interrupted when staff began working from home because staff are not permitted to remove paper eligibility case files from Department offices, thus could no longer access case files to complete reviews.

With Department offices now re-opened, operation and implementation of these controls will resume. In signing the subsidy agreements and conducting the above compliance reviews, the State Office Adoptions Manager will verify the subsidy payment amounts are correct and agree with the subsidy agreements. She will also ensure all subsidy agreements have the proper signatures and that other compliance and documentation requirements are met in accordance with federal regulations. If discrepancies are found, the manager will contact the region for clarification or corrective action.

In addition, State Adoptions staff are now working with the Department's Information Technology team to add system controls and reports to ensure timely termination of payments and maintenance of documentary support for payments to children who are 18 years and older. The Department's CAPSS system now discontinues subsidy payments at the end of the month in which a child reaches age 21. In addition, monthly reports are under development that will facilitate advance requests for required updates to educational and medical information to assure timely receipt of support for continuing payments to children who are 18 and over. Each month staff will track the documentation requested to ensure the required updates have been received, and they will terminate payments that lack the required support.

Name(s) of the contact person(s) responsible for corrective action Plan: Rebecca Carrier, State Office Adoptions Manager

Planned completion date for corrective action plan: August 31, 2021

**DEPARTMENT OF SOCIAL SERVICES
CORRECTIVE ACTION PLAN
YEAR ENDED JUNE 30, 2020**

2020-028 Adoption Assistance – Assistance Listing No. 93.659

Recommendation: We recommend that the Department continue to strengthen its internal controls to ensure that Federal reports are free from error and clearly supported prior to submission.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: Staff turnover, and the Department's required enactment of work-from-home policies to combat the spread of the COVID-19 virus, made complete implementation of improvements more difficult. The Department's Grants Accounting and Reporting team is implementing additional training on the proper completion of the Federal Financial Reports, and procedures for tie-in and retention of relevant supporting documentation. Reports are now reviewed by a manager or the Controller prior to submission.

Name(s) of the contact person(s) responsible for corrective action: Reshma Parikh, Grants Accounting and Reporting Manager

Planned completion date for corrective action plan: April 30, 2021

2020-029 Foster Care-Title IV-E – Assistance Listing No. 93.658

Recommendation: We recommend that the Department implement policies and procedures to ensure eligibility documentation is maintained and supports all Federal requirements.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: All case files are now being retained in either paper or electronic form in accordance with federal and state record retention requirements.

The Department is reviewing its policies and procedures and will make necessary changes to better assure compliance by providers with criminal background check requirements.

The clothing allowances identified during the audit were disbursed by the Department's county offices during the audit period, under legacy procedures. In October 2020, the Department completed its conversion to centralized state office payment of these allowances through its CAPSS system and the state's accounting system (SCEIS). This new process permits charges to the IV-E program only for the allowances it pays for IV-E eligible children.

Name(s) of the contact person(s) responsible for corrective action: Laura Claspill, Director of Program Development; Jacqueline Lowe, Director of CPA & Group Home Licensing

**DEPARTMENT OF SOCIAL SERVICES
CORRECTIVE ACTION PLAN
YEAR ENDED JUNE 30, 2020**

Planned completion date for corrective action plan: June 30, 2021

2020-030 Foster Care-Title IV-E – Assistance Listing No. 93.658

Recommendation: We recommend the Department review and update its state plan documentation and associated policies and procedures to ensure all information referenced in its approved state plan supports compliance with the applicable Federal requirements.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: The Department will incorporate documentation of its processes for periodic review and update of Foster Care rates into its approved State Plan.

Name(s) of the contact person(s) responsible for corrective action: Anthony Williams, Director, Office of Policy and Continuous Quality Improvement

Planned completion date for corrective action plan: January 31, 2022.

2020-031 Foster Care-Title IV-E – Assistance Listing No. 93.658

Recommendation: We recommend that the Department continue to strengthen its internal controls to ensure that Federal reports are free from error and clearly supported prior to submission.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: Staff turnover, and the Department's required enactment of work-from-home policies to combat the spread of the COVID-19 virus, made complete implementation of improvements more difficult. The Department's Grants Accounting and Reporting team is implementing additional training on the proper completion of the Federal Financial Reports, including the proper classification of costs, and procedures for tie-in and retention of relevant supporting documentation. Reports are now reviewed by a manager or the Controller prior to submission.

Name(s) of the contact person(s) responsible for corrective action: Reshma Parikh, Grants Accounting and Reporting Manager

Planned completion date for corrective action plan: April 30, 2021

**DEPARTMENT OF SOCIAL SERVICES
CORRECTIVE ACTION PLAN
YEAR ENDED JUNE 30, 2020**

2020-032 Foster Care-Title IV-E – Assistance Listing No. 93.658

Recommendation: We recommend that the Department implement policies and procedures that ensure all rates and payments charged to the program fully comply with Federal requirements.

Explanation of disagreement with audit finding: There is no disagreement with the audit finding.

Action taken in response to finding: The department will review the charges to the program for which amounts that should have been allocated were deemed unclear or insufficiently documented in order to identify and implement any improvements needed to ensure the charges are correctly allocated and that the allocations are fully documented.

The \$25 in question resulted from payments at established daily rates to two foster parents in the same month, when custody of the child was changed from one to the other. Payments were made to the respective parents for a total of 31 days, resulting in total payments for the month that exceeded the monthly rate equivalent computed on a 30-day basis. The Department will consult with the Children's Bureau and review its policies with regard to the handling of these payments under the various possible scenarios and implement any changes if needed to ensure the payment amounts comply with federal rules.


Name(s) of the contact person(s) responsible for corrective action: David O'Kelly, Controller; Laura Claspill, Director of Program Development

Planned completion date for corrective action plan: June 30, 2021

If the Federal Cognizant Agency of Oversight or Audit has questions regarding this plan, please call David O'Kelly at 803-898-3987.

Department of Health and Human Services
Administration for Children and Families
Temporary Assistance for Needy Families (TANF) ACF - 196R Financial Report
Part 1: Expenditure Data

| State SOUTH CAROLINA | Grant Year 2020 | Fiscal Year 2020 | Report Quarter Ending 09/30/2020 | Next Quarter E nding 03/31/2021 | Report is Submi tted as: <input checked="" type="radio"/> New <input type="radio"/> Revised <input type="radio"/> Final |
|---|--|--------------------------------------|---|---|---|
| | (A) Federal Funds State Family Assistance Grant | (B) State Funds | (C) State Funds | (D) Federal Funds Contingency Funds Award Reconciliation FS at FMAP Rate of .707 | (E) Federal Funds Emergency Contingency Funds (Authorized by ARRA) |
| 1. Awarded | \$99,637,930 | | | \$11,869,657 | |
| 2. Transferred to CCDF Discretionary | \$0 | | | | |
| 3. Transferred to SSBG | \$0 | | | | |
| 4. Adjusted Award | \$99,637,930 | | | | |
| 5. Carryover | \$0 | | | | |
| Expenditure Categories | Federal TANF Expenditures | State MOE Expenditures in TANF | MOE Expenditures Separate State Programs | Expenditures with Contingency Funds | Expenditures with Emergency Contingency Funds |
| 6. Basic Assistance | \$24,283,119 | \$796,017 | \$0 | \$11,869,657 | |
| 6.a. Basic Assistance (excluding Relative Foster Care Maintenance Payments and Adoption and Guardianship Subsidies) | \$10,014,044 | \$796,017 | \$0 | \$11,869,657 | |
| 6.b. Relative Foster Care Maintenance Payments and Adoption and Guardianship Subsidies | \$14,269,075 | \$0 | \$0 | \$0 | |
| 7. Assistance Authorized Solely Under Prior Law | \$0 | | | \$0 | |
| 7.a. Foster Care Payments | \$0 | | | \$0 | |
| 7.b. Juvenile Justice Payments | \$0 | | | \$0 | |
| 7.c. Emergency Assistance Authorized Solely Under Prior Law | \$0 | | | \$0 | |
| 8. Non-Assistance Authorized Solely Under Prior Law | \$0 | | | \$0 | |
| 8.a. Child Welfare or Foster Care Services | \$0 | | | \$0 | |
| 8.b. Juvenile Justice Services | \$0 | | | \$0 | |
| 8.c. Emergency Services Authorized Solely Under Prior Law | \$0 | | | \$0 | |
| 9. Work, Education, and Training Activities | \$8,203,184 | | | \$0 | |
| 9.a. Subsidized Employment | \$0 | \$0 | \$0 | \$0 | |
| 9.b. Education and Training | \$8,184,646 | \$0 | \$0 | \$0 | |
| 9.c. Additional Work Activities | \$18,538 | \$0 | \$0 | \$0 | |
| 10. Work Supports | \$329,641 | \$0 | \$0 | \$0 | |
| 11. Early Care and Education | \$0 | \$31,827,494 | \$0 | \$0 | |
| 11.a. Child Care (Assistance and Non-Assistance) | \$0 | \$4,085,269 | \$0 | \$0 | |
| 11.b. Pre-Kindergarten/Head Start | \$0 | \$27,742,225 | \$0 | \$0 | |
| 12. Financial Education and Asset Development | \$0 | \$0 | \$0 | \$0 | |
| 13. Refundable Earned Income Tax Credits | \$0 | \$0 | \$0 | \$0 | |
| 14. Non-EITC Refundable State Tax Credits | \$0 | \$0 | \$0 | \$0 | |

| | | | | |
|---|------------------------------------|-------------------------------|---|--------------|
| 15. Non-Recurrent Short Term Benefits | \$0 | \$0 | \$0 | \$0 |
| 16. Supportive Services | \$2,811,692 | \$0 | \$0 | \$0 |
| 17. Services for Children and Youth | \$0 | \$0 | \$0 | \$0 |
| 18. Prevention of Out-of-Wedlock Pregnancies | \$0 | \$0 | \$0 | \$0 |
| 19. Fatherhood and Two-Parent Family Formation and Maintenance Programs | \$1,986,020 | \$0 | \$0 | \$0 |
| 20. Child Welfare Services | \$5,062,771 | \$0 | \$0 | \$0 |
| 20.a. Family Support/Family Preservation /Reunification Services | \$0 | \$0 | \$0 | \$0 |
| 20.b. Adoption Services | \$0 | \$0 | \$0 | \$0 |
| 20.c. Additional Child Welfare Services | \$5,062,771 | \$0 | \$0 | \$0 |
| 21. Home Visiting Programs | \$0 | \$0 | \$0 | \$0 |
| 22. Program Management | \$52,058,148 | \$1,925,871 | \$0 | \$0 |
| 22.a. Administrative Costs | \$15,328,184 | \$1,677,097 | \$0 | \$0 |
| 22.b. Assessment/Service Provision | \$34,901,614 | \$287 | \$0 | \$0 |
| 22.c. Systems | \$1,828,350 | \$248,487 | \$0 | \$0 |
| 23. Other | \$4,903,355 | \$19,999,965 | \$0 | \$0 |
| 24. Total Expenditures | \$99,637,930 | \$54,549,347 | \$0 | \$11,869,657 |
| 25. Transitional Services for Employed | \$889 | \$0 | \$0 | \$0 |
| 26. Job Access | \$0 | \$0 | \$0 | \$0 |
| 27. Federal Unliquidated Obligations | \$0 | | | \$0 |
| 28. Unobligated Balance | \$0 | | | \$0 |
| 29. State Replacement Funds | | \$0 | | |
| Quarterly Estimate | Estimate of TANF Funds Requested | | | |
| 30. Estimate of TANF Funds Requested for the Following Quarter | \$25,637,000 | | | |
| THIS IS TO CERTIFY THAT THE INFORMATION REPORTED ON ALL PARTS OF THIS FORM IS ACCURATE AND TRUE TO THE BEST OF MY KNOWLEDGE AND BELIEF. | | | | |
| Signature, Approving State Official  | State Official Name Janie Young | State Official Title | State Official Agency SOUTH CAROLINA | |
| Signature Date: 01/21/2021 | | Date Submitted: 01/21/2021 | | |

OMB Approval Number: 0348-0061
Expiration Date: 02/28/2022

FEDERAL FINANCIAL REPORT (FFR)

| | | | |
|---|-------------------------|---|---|
| 1. Federal Agency and Org. Element to Which Report is Submitted Administration for Children and Families | | 2. Federal Grant or Other Identifying Number Assigned By Fed. Agency (To report multiple grants, use FFR Attachment) 2001SCSOSR | |
| 3. Recipient Organization (Name and complete address including Zip code) | | | |
| 4. Grantee Name South Carolina | | Grantee Name2 SC DEPARTMENT OF SOCIAL SERVICES | |
| Grantee Street Address1 Post Office Box 1520 | | Grantee Street Address2 | |
| Grantee Street Address3 | | | |
| Grantee City COLUMBIA | Grantee State SC | Grantee Zip 5 29202 | Grantee Zip +4 1520 |
| 4a. DUNS Number 808575708 | 4b. EIN 1576000286C2 | 5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) | 6. Report Type <input type="radio"/> QUARTERLY <input type="radio"/> SEMI-ANNUAL <input checked="" type="radio"/> ANNUAL |
| 7. Basis of Accounting <input checked="" type="radio"/> CASH <input type="radio"/> ACCRUAL | | 8. Project/Grant Period From (Month, Day, Year) 10/01/2019 | 9. Reporting Period End Date (Month, Day, Year) 09/30/2020 |
| | | To: (Month, Day, Year) 09/30/2021 | <input checked="" type="radio"/> NO <input type="radio"/> YES |

Transaction Information


| 10. TRANSACTIONS | Cumulative |
|---|--------------|
| Federal Cash (To report multiple grants, also use FFR attachment): | |
| a. Cash Receipts | \$24,538,241 |
| b. Cash Disbursements | \$24,538,241 |
| c. Cash on hand (line a minus b) | \$0 |
| Federal Expenditures and Unobligated Balance: | |
| d. Total Federal funds authorized | \$24,538,241 |
| e. Federal share of expenditures | \$24,538,241 |
| f. Federal share of unliquidated obligations | \$0 |
| g. Total Federal share (sum of line e plus line f) | \$24,538,241 |
| h. Unobligated balance of Federal funds (line d minus g) | \$0 |
| Recipient Share: | |
| i. Total recipient share required | \$0 |
| j. Recipient share of expenditures | \$0 |
| k. Remaining recipient share to be provided (line i minus j) | \$0 |
| Program Income: | |
| l. Total Federal program income earned | \$0 |
| m. Program income expended in accordance with the deduction alternative | \$0 |
| n. Program income expended in accordance with the addition alternative | \$0 |
| o. Unexpended program income (line l minus line m or line n) | \$0 |

Indirect Expense

| 11. Indirect Expense | a. Type | b. Rate | c. Period From | Period To | d. Base | e. Amount Charged | f. Federal Share |
|----------------------|---------|---------|----------------|-----------|---------|-------------------|------------------|
| No. 1 | | 0.00% | | | \$0 | \$0 | \$0 |
| g. Totals: | | | | | \$0 | \$0 | \$0 |

12. Remarks

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

| | |
|--|--|
| a. Typed or Printed Name and Title of Authorized Certifying Official Janie Young | c. Telephone (Area code, number and extension) |
| Certification Title | d. Email address janie.young@dss.sc.gov |
| b. Signature of Authorized Certifying Official  | e. Date Report Submitted (Month, Day, Year) |

Report Attachment (For reporting multiple grants)

| 14. List Information below for each grant covered by this report. | Federal Grant Number | Recipient Account Number | Cumulative Federal Cash Disbursement |
|---|----------------------|--------------------------|--------------------------------------|
| No. 1 | | | \$0 |

| | | | |
|---|--|--|-----|
| Total (Should correspond to the amount on Line 10b) | | | \$0 |
|---|--|--|-----|