

Particle Acceleration in Shocks

Marty Lee

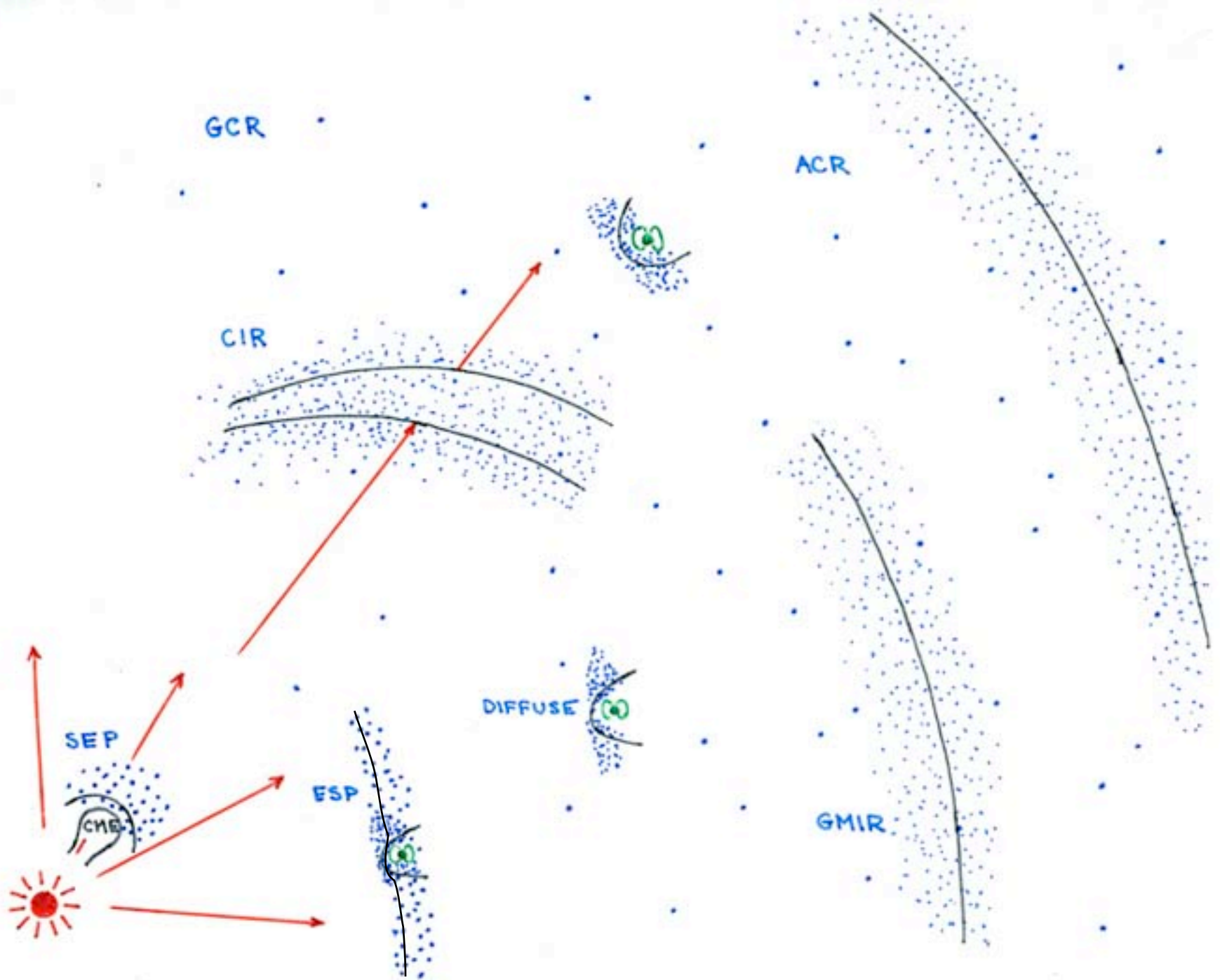


USA

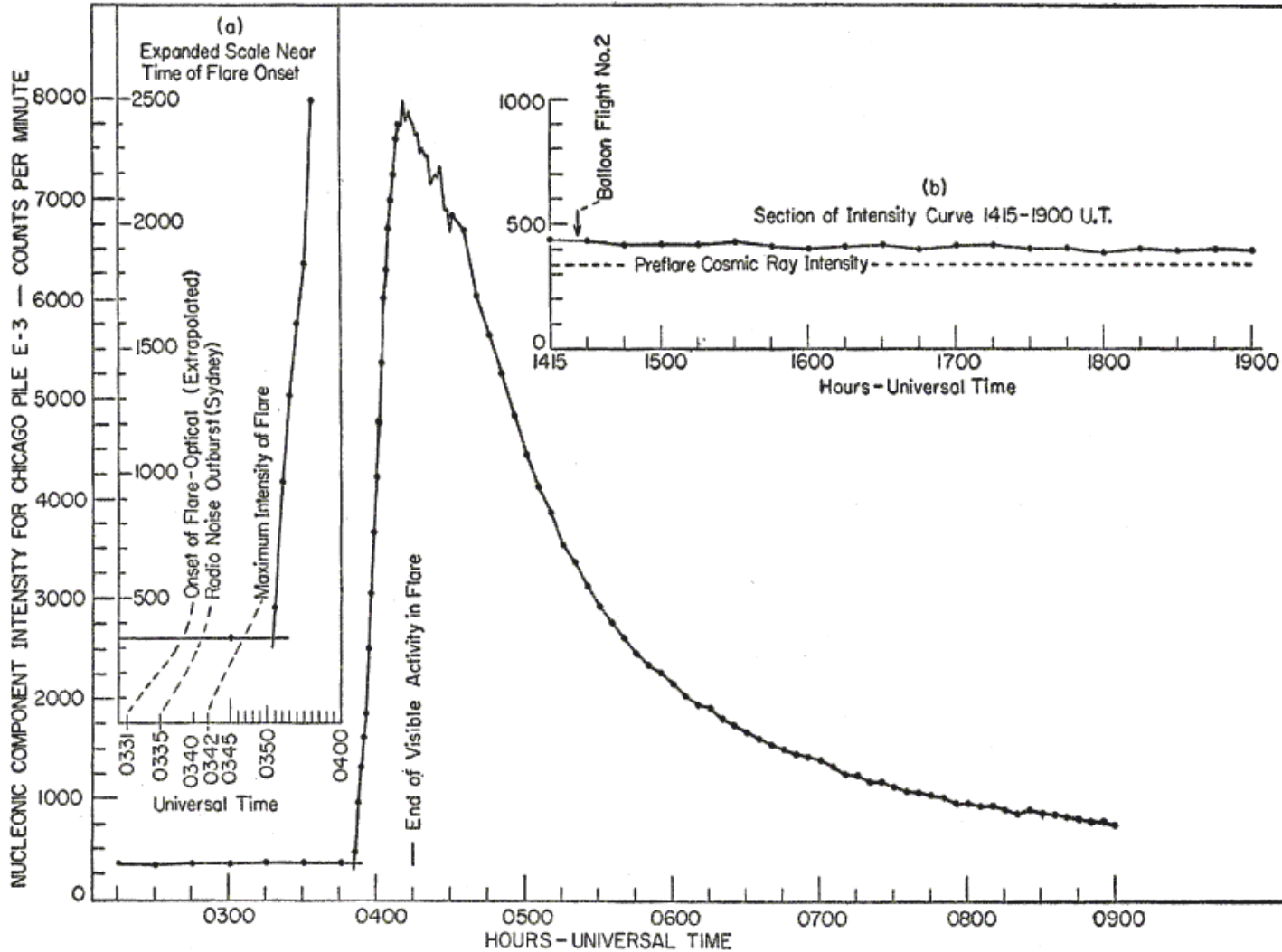
Particle Acceleration in Shocks

1. Introduction
2. Parker Transport Equation
3. Applications of the Parker Equation
4. Diffusive Shock Acceleration (DSA)
5. Wave Excitation at Shocks
6. Applications of DSA

1. Introduction

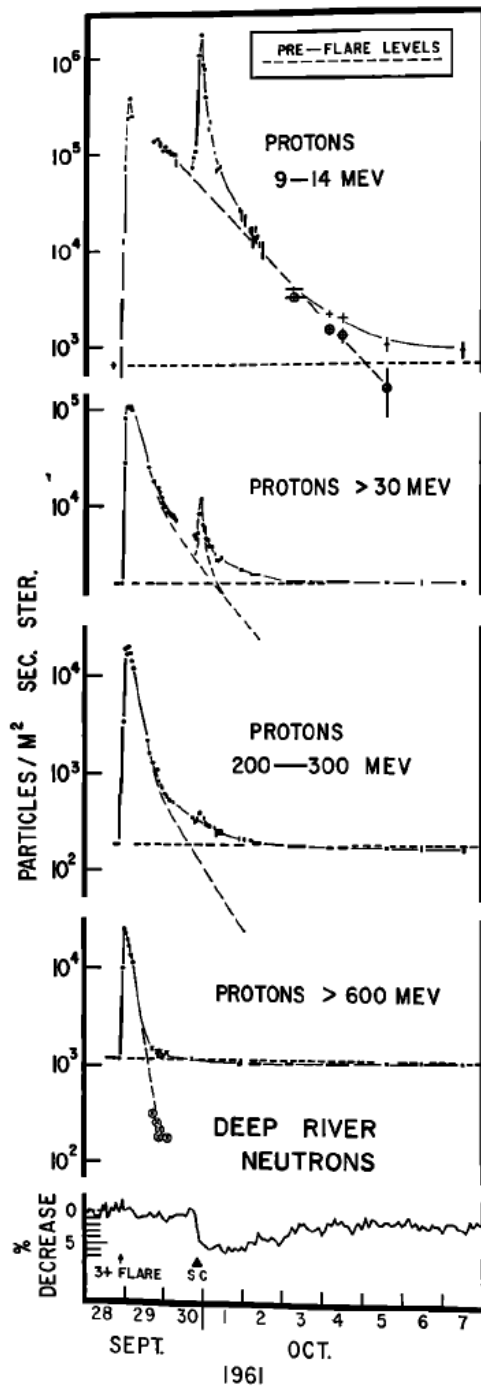


23 February 1956 GLE Event



Meyer, Parker and Simpson, 1956

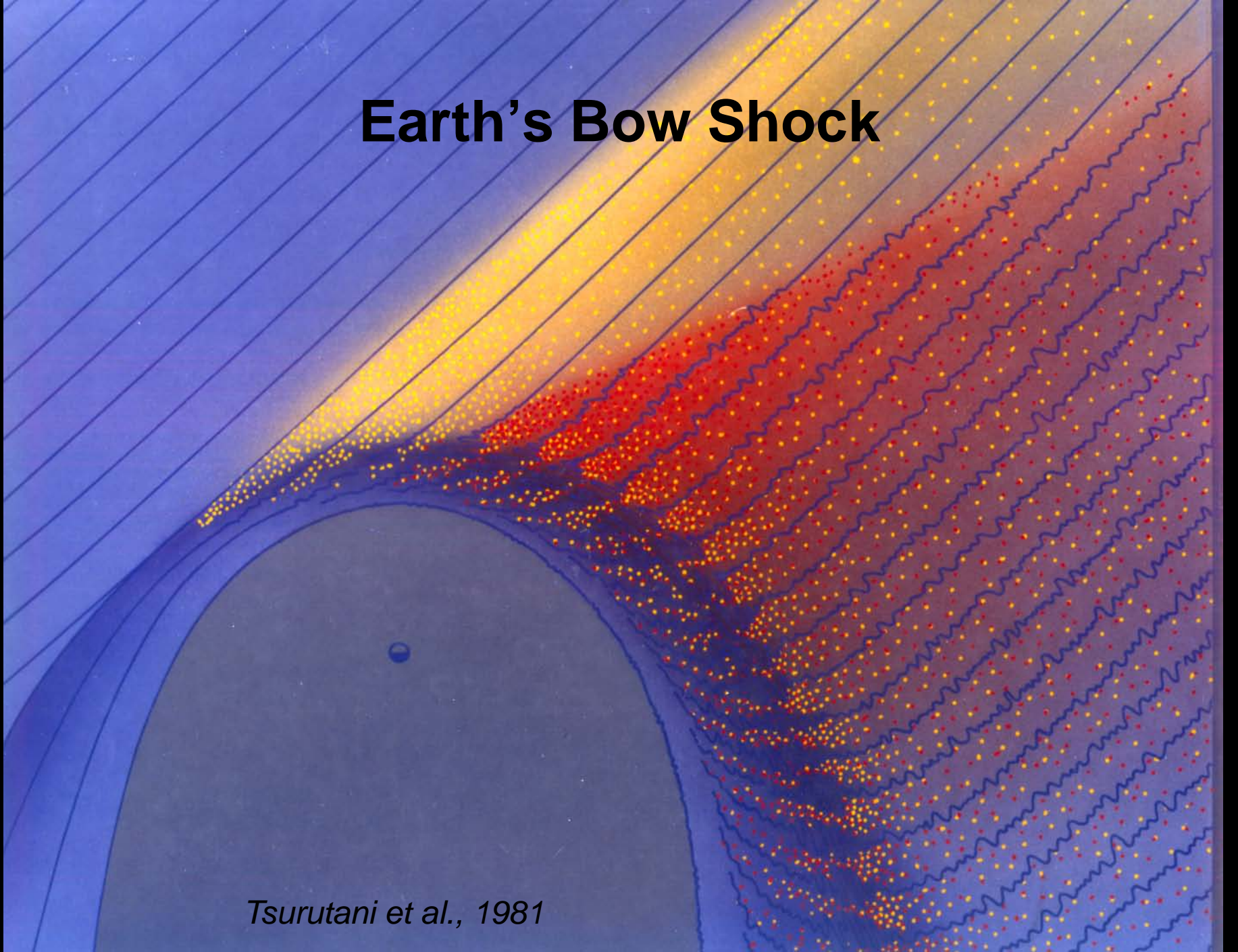
28 September 1961 Event Explorer 12

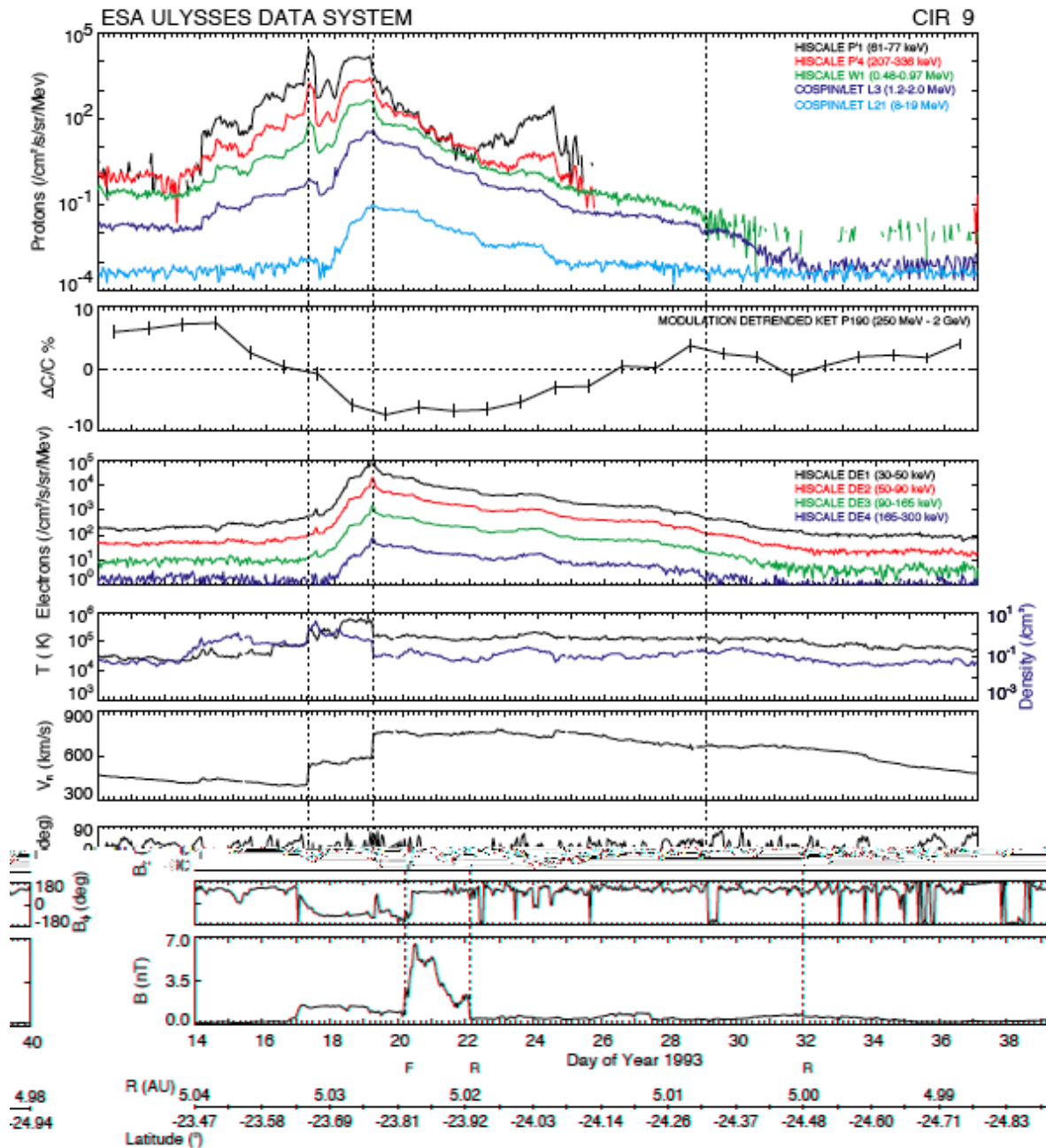


*Bryant, Cline, Desai
and McDonald, 1962*

Earth's Bow Shock

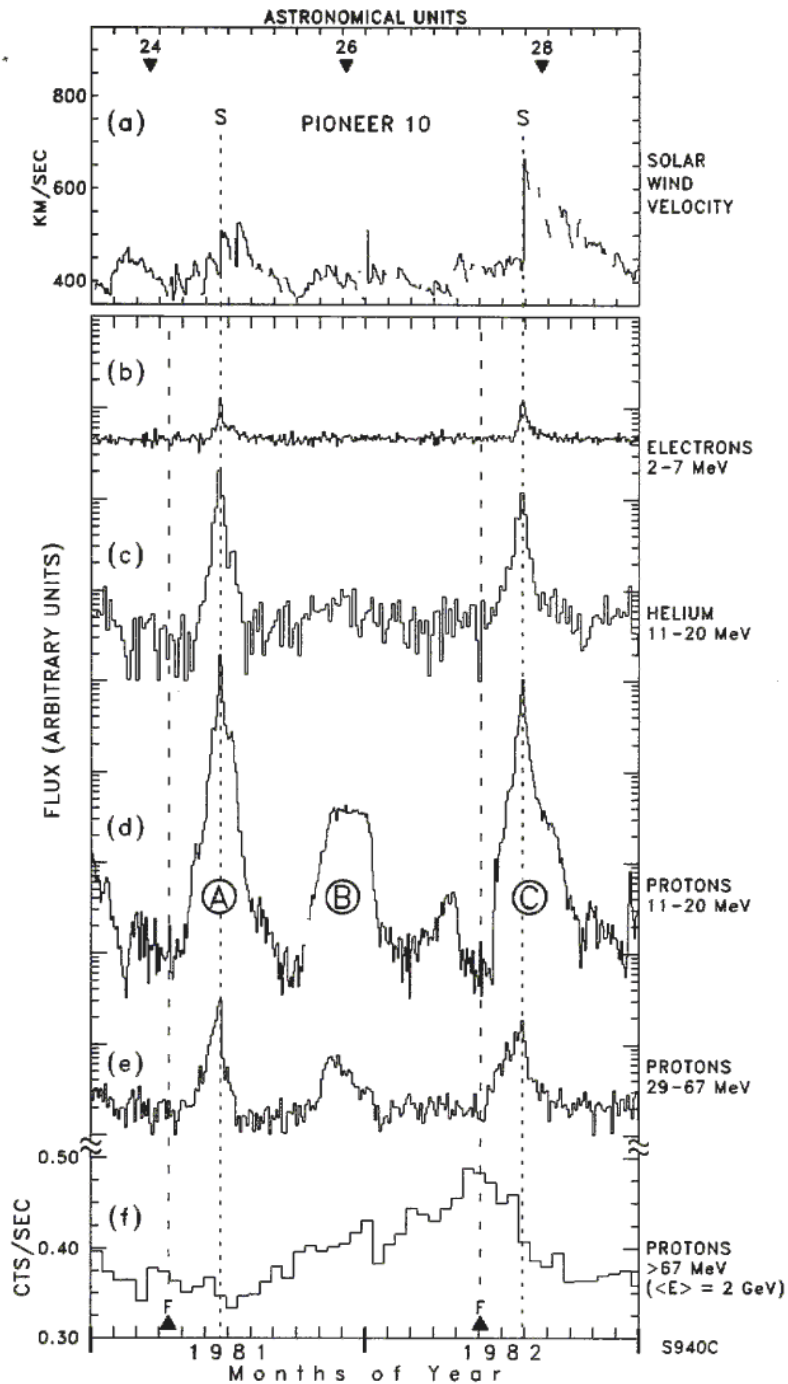
Tsurutani et al., 1981





CIR Event: Ulysses

Kunow et al., 1999



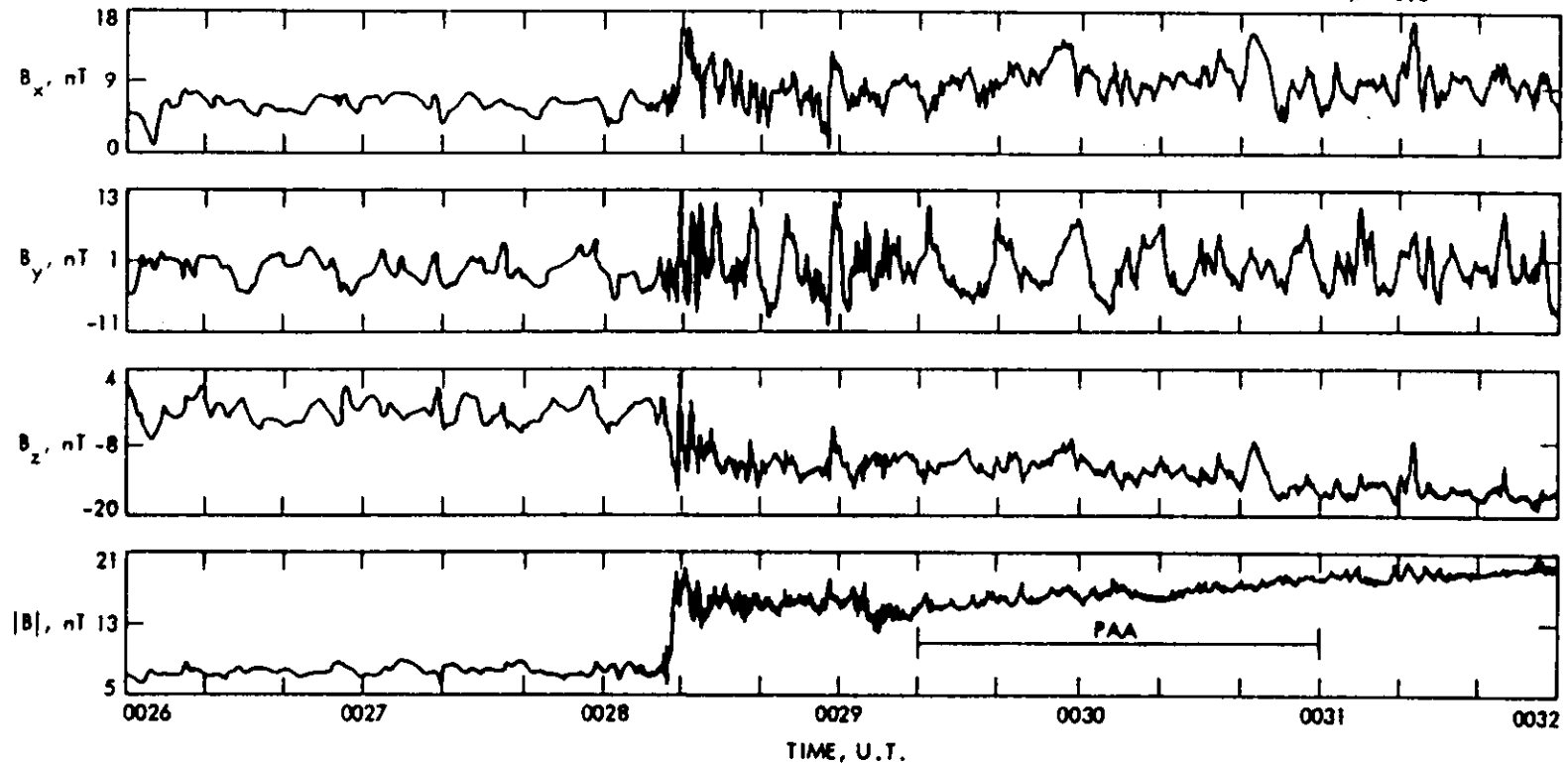
Pioneer Super Events

Pyle et al., 1984

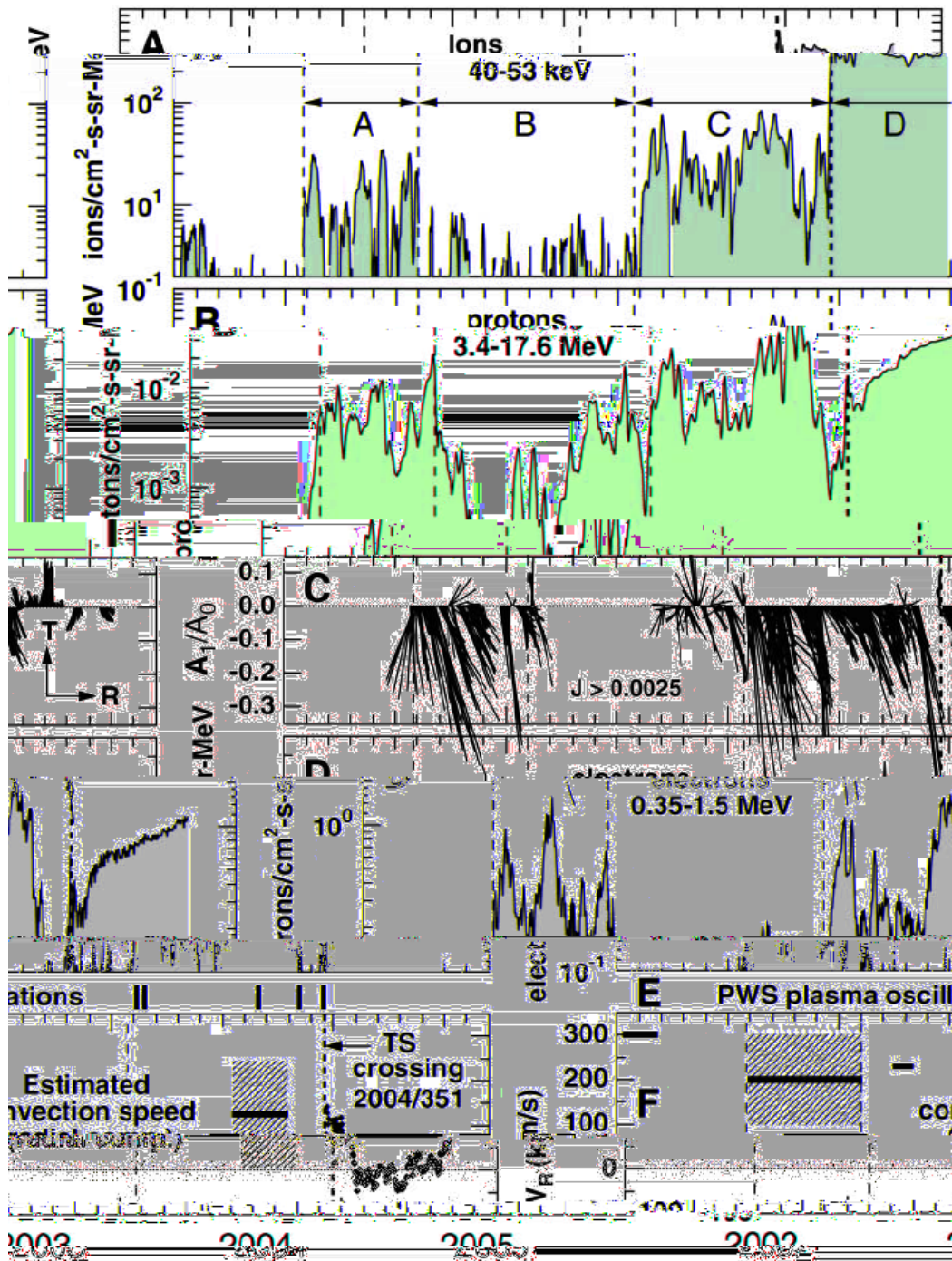
Collisionless Shock on 11/12/78: ISEE-3

DAY 316, 1978
NOVEMBER 12
ISEE-3

$\hat{n} = (-.96, .28, .09)$
 $\theta_B = 22^\circ$
 $M_s = 4.7$
 $\beta = 0.5$



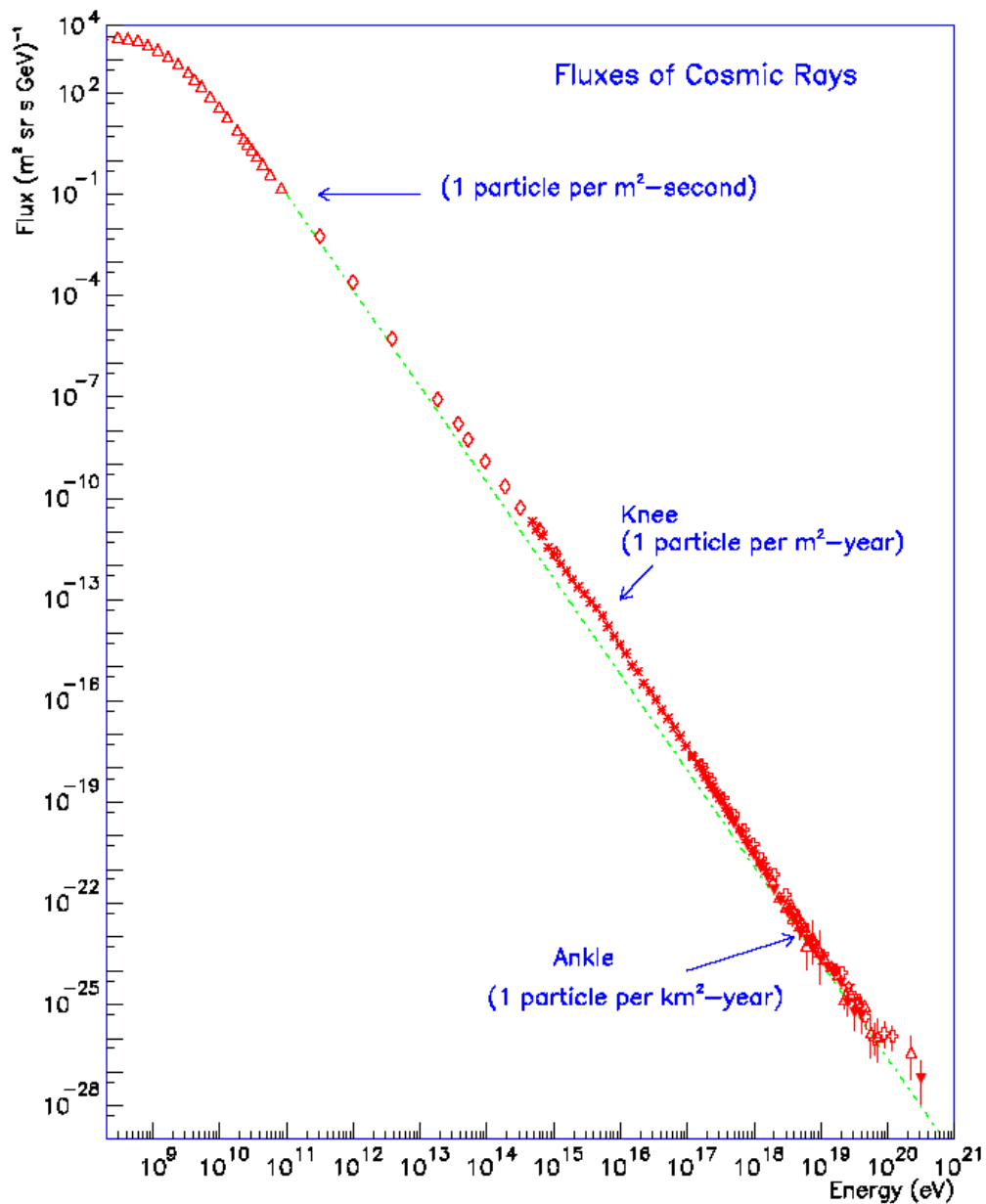
Tsurutani et al., 1983



Voyager 1 Ions

Decker et al., 2005

“Termination Shock” in Your Sink

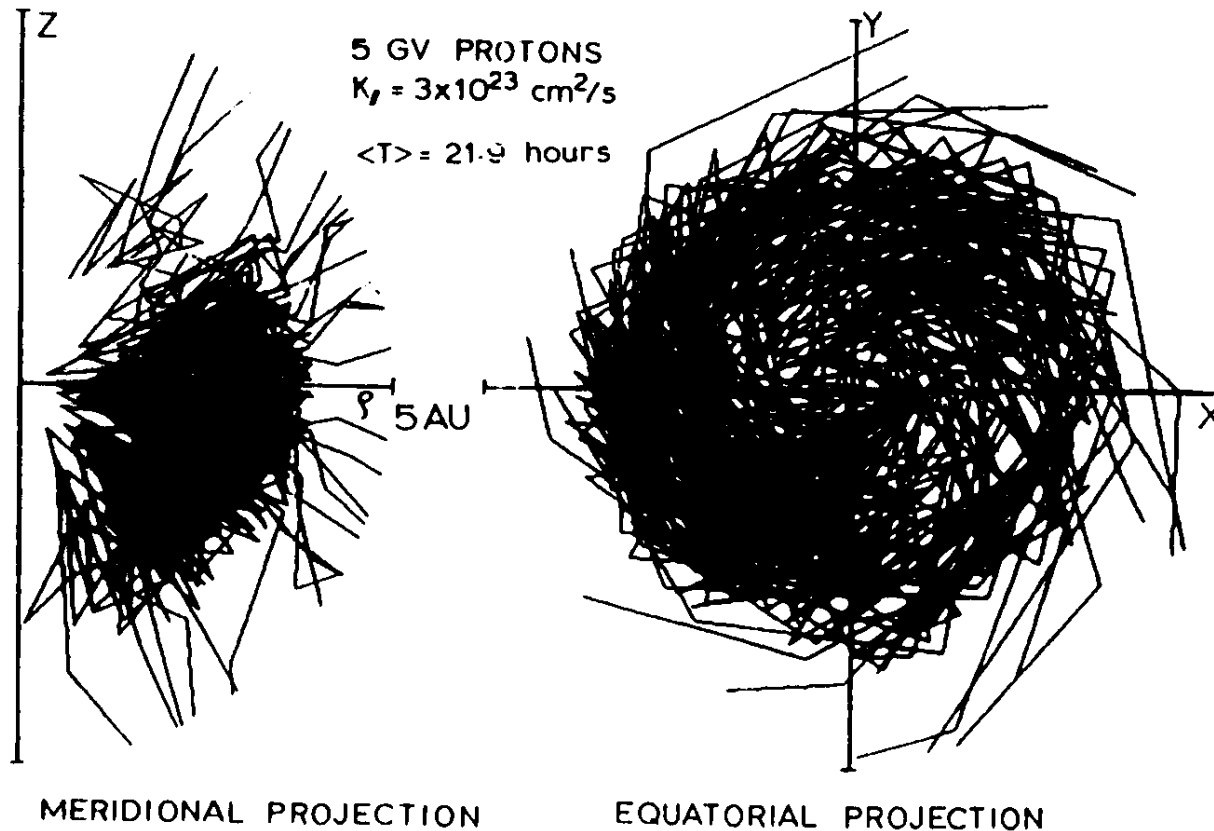


The GCR spectrum continues as a power, in energy (index of about -2.7)

Highest energy cosmic rays have the kinetic energy of a major league baseball.

Figure 1. The all particle spectrum of cosmic rays - Cronin, Gaisser, Swordy 1997

The Hairy Ball?



Thomas and Gall, 1984

Distribution Functions

$$F(\mathbf{p}, \mathbf{x}, t) \quad (\text{phase-space distribution function})$$

$$n(\mathbf{x}, t) = \int d^3 \mathbf{p} F(\mathbf{p}, \mathbf{x}, t) \quad (\text{number density})$$

$$f(p, \mathbf{x}, t) = (4\pi)^{-1} \int d\Omega F(\mathbf{p}, \mathbf{x}, t)$$

(omnidirectional distribution function)

$$\text{Flux} = v F p^2 dp d\Omega$$

$$J = \text{Flux} / (d\Omega dE) = p^2 F \quad (\text{differential intensity})$$

Vlasov Equation

$$\frac{\partial F}{\partial t} + \mathbf{v} \cdot \frac{\partial F}{\partial \mathbf{x}} + q \left(\mathbf{E} + \frac{\mathbf{v}}{c} \times \mathbf{B} \right) \cdot \frac{\partial F}{\partial \mathbf{p}} = 0$$

2. Parker Transport Equation

Parker (1964)

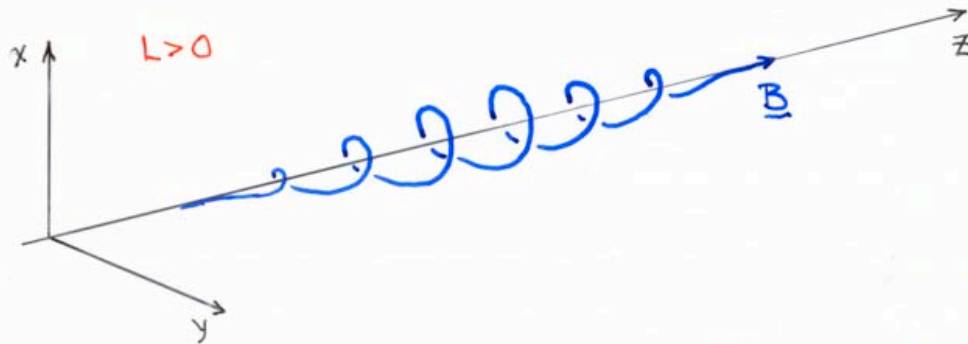
$$\underline{B} = B_0 \left[\frac{dF}{dz} \hat{e}_x + \frac{dG}{dz} \hat{e}_y + \hat{e}_z \right]$$

field lines: $x = F(z) + x_0$
 $y = G(z) + y_0$

if $F, G \rightarrow F_{\pm}, G_{\pm}$ as $z \rightarrow \pm\infty$

particle remains on field line Tokipii
Kota

take: $F(z) = \varepsilon \sin(2\pi \frac{z}{L}) e^{-z^2/L^2}$
 $G(z) = \varepsilon \cos(2\pi \frac{z}{L}) e^{-z^2/L^2}$ $\varepsilon \ll 1$



$$\Delta \nu_z = \varepsilon \sin \Phi \pi^{1/2} l \nu_{\perp} (\Omega^2 / \nu_z^2) e^{-\frac{1}{4} \left(\frac{\Omega l}{\nu_z} \right)^2 \left(\frac{2\pi \nu_z}{\Omega L} - 1 \right)^2}$$

resonance: $\tau_g = \frac{l}{\nu_z}$

Cyclotron Resonance Condition

$$\omega - kv_z + \Omega = 0$$

$$kv_z \approx \Omega$$

Streaming Anisotropy

Reames et al., 2001

Impulsive Events

Mason et al., 1999

Parker's "Confusion-Defection" Equation

$$\int U dE = n = \int 4\pi p^2 f dp$$

$$\frac{\partial U}{\partial t} + \frac{\partial}{\partial E} (\mathbf{V} \cdot \nabla p v U / 3) + \nabla \cdot \left[-\mathbf{K} \cdot \nabla U + \frac{pvc}{3qB^2} \mathbf{B} \times \nabla U - \frac{1}{3} \mathbf{V} \frac{p^3}{v} \frac{\partial}{\partial p} \left(U \frac{v}{p^2} \right) \right] = 0$$

$$\Rightarrow \frac{\partial f}{\partial t} + (\mathbf{V} + \mathbf{V}_D) \cdot \nabla f - \nabla \cdot \mathbf{K} \cdot \nabla f - \frac{1}{3} \nabla \cdot \mathbf{V} p \frac{\partial f}{\partial p} = 0$$

$$(\mathbf{E} \cong -c^{-1} \mathbf{V} \times \mathbf{B})$$

Parker, 1965

Contours of $\nabla \cdot \mathbf{V} > 0$ and < 0

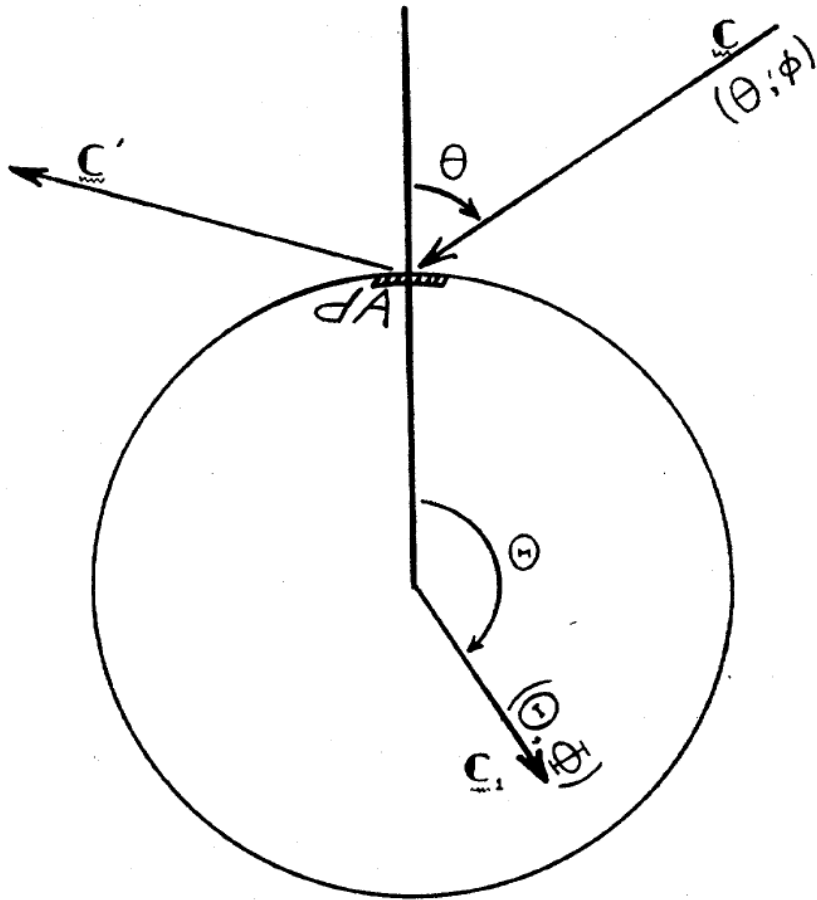
Stochastic Compressions and Rarefactions: Quasi-Linear Theory

$$\frac{\mathcal{f}_0}{\partial t} = \frac{1}{v^2} \frac{\partial}{\partial v} \left\{ \frac{v^4}{9} \int_{-\infty}^{\infty} d^3 \mathbf{x}' \int_{-\infty}^t dt' G(\mathbf{x}, t; \mathbf{x}', t') \langle (\nabla \cdot \delta \mathbf{V})(\nabla' \cdot \delta \mathbf{V}') \rangle \frac{\mathcal{f}_0(v, t)}{\partial v} \right\}$$

$$G(\mathbf{x}, t; \mathbf{x}', t') = [4 \pi K (t - t')]^{-3/2} \exp\{-|\mathbf{x} - \mathbf{x}'|^2 [4K (t - t')]^{-1}\}$$

$$\frac{\mathcal{f}_0}{\partial t} = \frac{1}{v^2} \frac{\partial}{\partial v} \left[v^2 D \frac{\mathcal{f}_0}{\partial v} \right]$$

Stochastic Acceleration



$$\frac{\partial f}{\partial t} = \frac{1}{p^2} \frac{\partial}{\partial p} \left(p^2 D(p) \frac{\partial f}{\partial p} \right)$$

$$D(p) = \frac{1}{3} \langle V^2 \rangle \frac{1}{\lambda} \frac{p^2}{v}$$

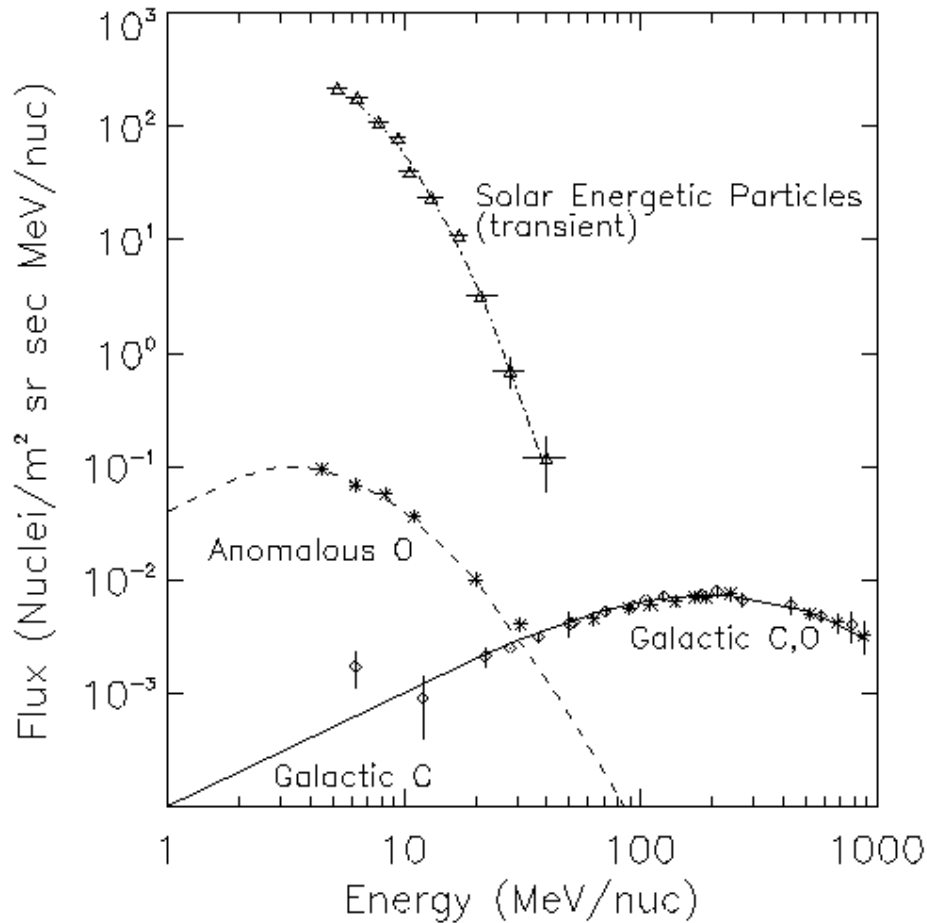
$$\lambda \equiv (\pi R^2 N)^{-1}$$

FIG. 2. Coordinate system for calculation of Δc , etc.

Parker and Tidman, 1958

3. Applications of the Parker Equation

Charged Particle Spectrum



Ions not marked by source

Energy and timing help separate sources

Charge state also:

AC singly charged

GCR full stripped

SEP partially stripped

Solar Modulation of GCR: A Simple Case

$$n = \int 4\pi p^2 f dp, \quad \mathbf{V}_D = 0, \quad \nabla = \mathbf{e}_r d/dr, \quad \partial/\partial t = 0, \quad K = K(r), \quad \mathbf{V} = \mathbf{e}_r V$$

$$\frac{1}{r^2} \frac{d}{dr} \left[r^2 \left(Vn - K \frac{dn}{dr} \right) \right] = 0$$

$$Vn - K \frac{dn}{dr} = \frac{C}{r^2} \quad C = 0$$

$$n(r) = n(r = R) \exp \left(- \int_r^R \frac{V dr'}{K(r')} \right)$$

Solar Energetic Particle Event

Reames et al., 2001

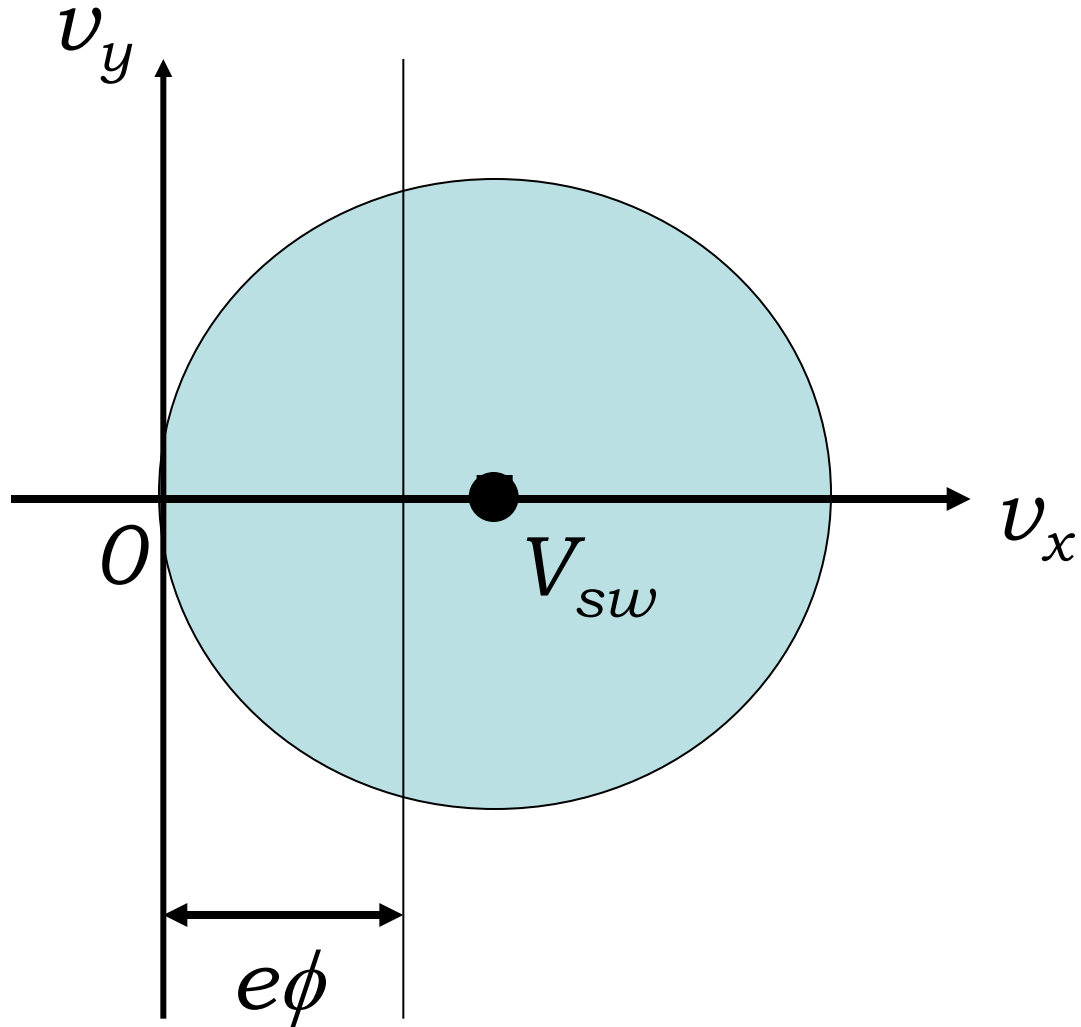
SEP Propagation: A Simple Case

$$\mathbf{V} \cong 0, \quad \mathbf{V}_D \cong 0, \quad \mathbf{K} = \mathbf{K}(p), \quad \nabla = \mathbf{e}_r \partial / \partial r$$

$$\frac{\partial f}{\partial t} = K \nabla^2 f + f_0(p) \delta(\mathbf{x}) \delta(t)$$

$$f(p, r, t) = \frac{f_0(p)}{[4\pi K(p)t]^{3/2}} \exp\left(-\frac{r^2}{4K(p)t}\right)$$

Pickup Ion Mediated Termination Shock



Interstellar Pickup Ion Transport

$$\mathbf{V}_D \cong 0, \mathbf{K} \cong 0, \mathbf{V} = \mathbf{e}_r V, \partial/\partial t = 0$$

$$\frac{\partial f}{\partial t} + \mathbf{V} \cdot \nabla f - \frac{1}{3} \nabla \cdot \mathbf{V} v \frac{\partial f}{\partial v} = \beta_0 \left(\frac{r_0}{r} \right)^2 n_g(\mathbf{x}) \frac{\delta(v - V)}{4\pi v^2}$$

$$f(r, v < V) = \frac{3\beta_0 r_0^2}{8\pi V^{5/2}} \frac{1}{rv^{3/2}} n_g \left[r(v/V)^{3/2}, \theta, \phi \right]$$

4. Diffusive Shock Acceleration

Diffusive Shock Acceleration

$$V_z \frac{df}{dz} - \frac{d}{dz} \left(K_{zz} \frac{df}{dz} \right) - \frac{1}{3} \frac{dV_z}{dz} p \frac{df}{dp} = Q \delta(z) \delta(p - p_0)$$

$$f(z < 0) = \frac{3Q}{(V_u - V_d) p_0} \left(\frac{p}{p_0} \right)^{-\beta} \exp\left(\frac{V_z}{K} \right)$$

$$f(z > 0) = \frac{3Q}{(V_u - V_d) p_0} \left(\frac{p}{p_0} \right)^{-\beta} \quad \beta = 3X / (X - 1)$$

Fisk, 1971;.....

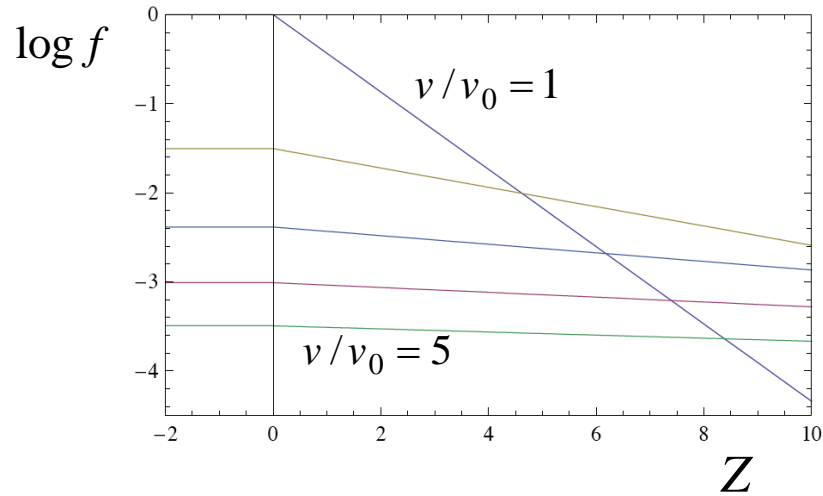
Axford, Leer and Skadron, 1977

Krymsky, 1977

Blandford and Ostriker, 1978

Bell, 1978

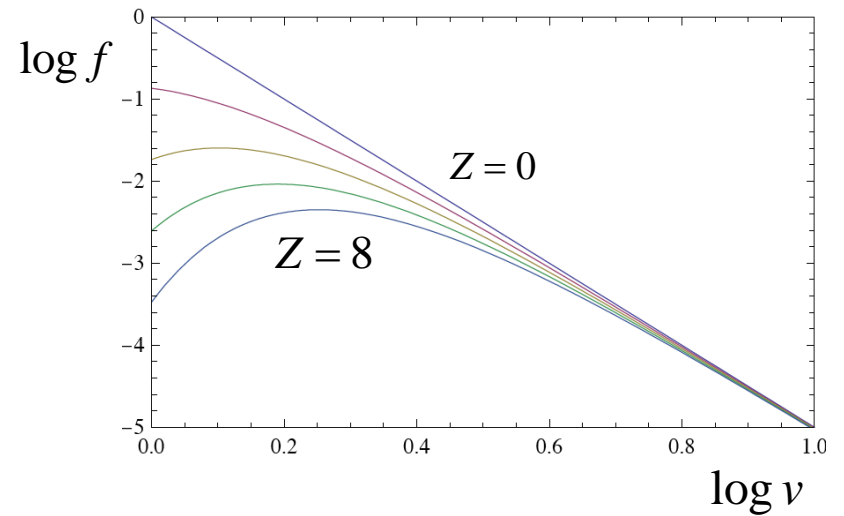
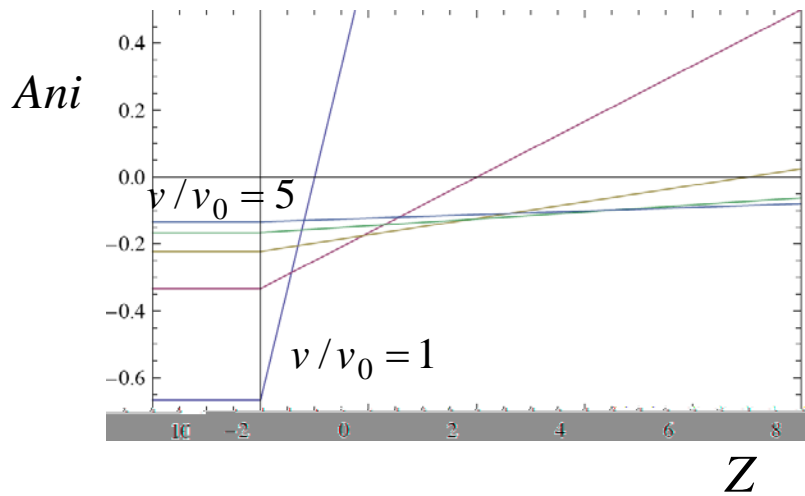
Planar Stationary DSA



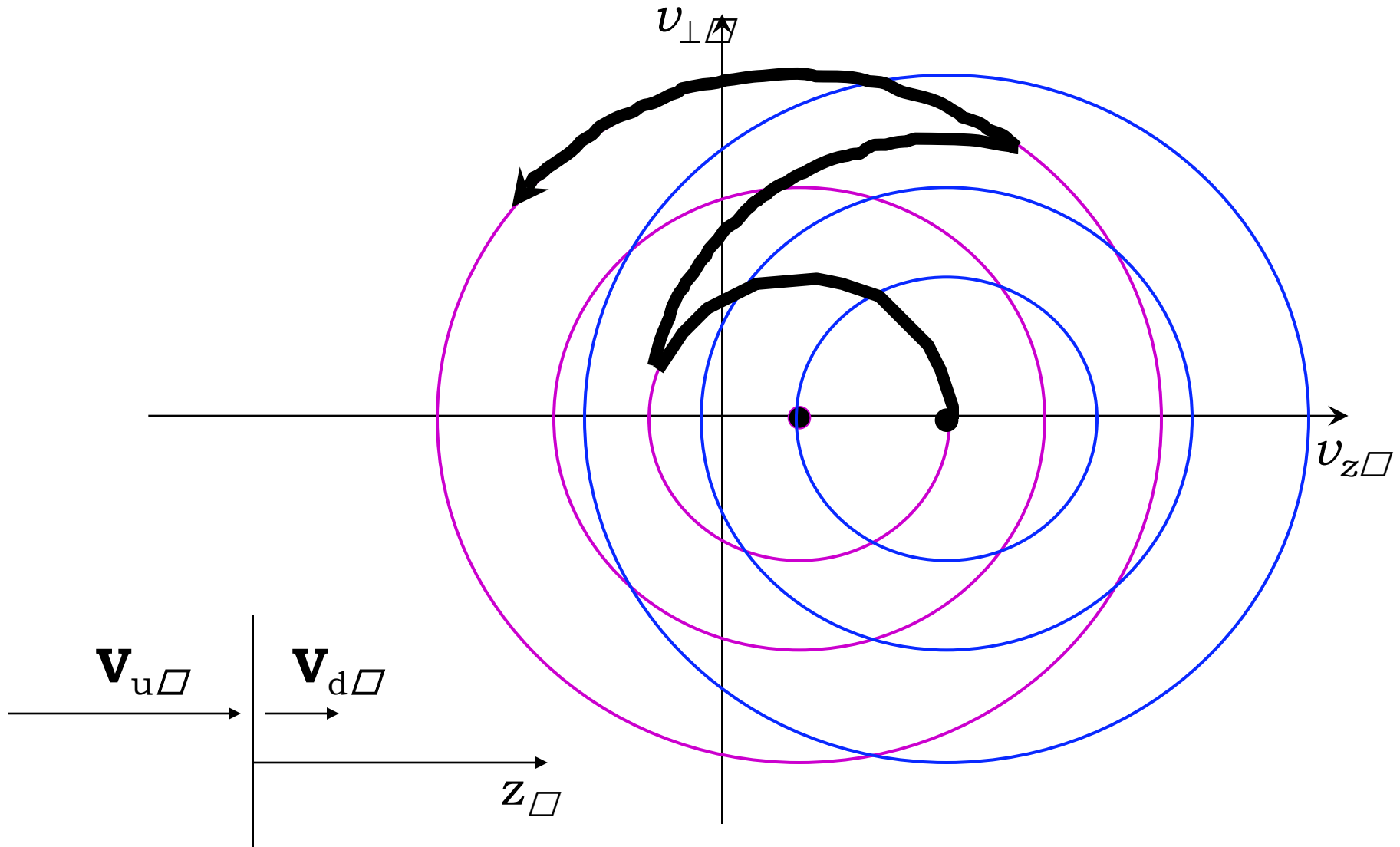
$$K_{zz} = K_0 (v/v_0)^2$$

$$Z = V_z / K_0$$

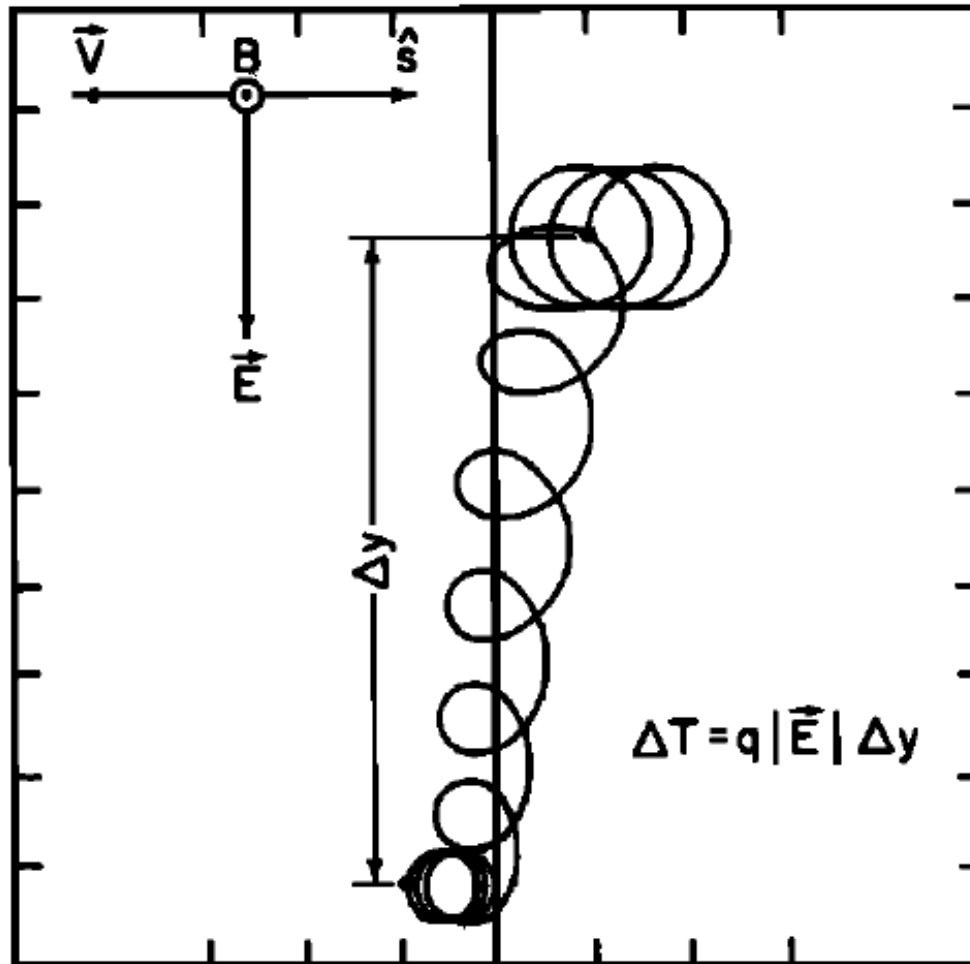
$$\beta = 5$$



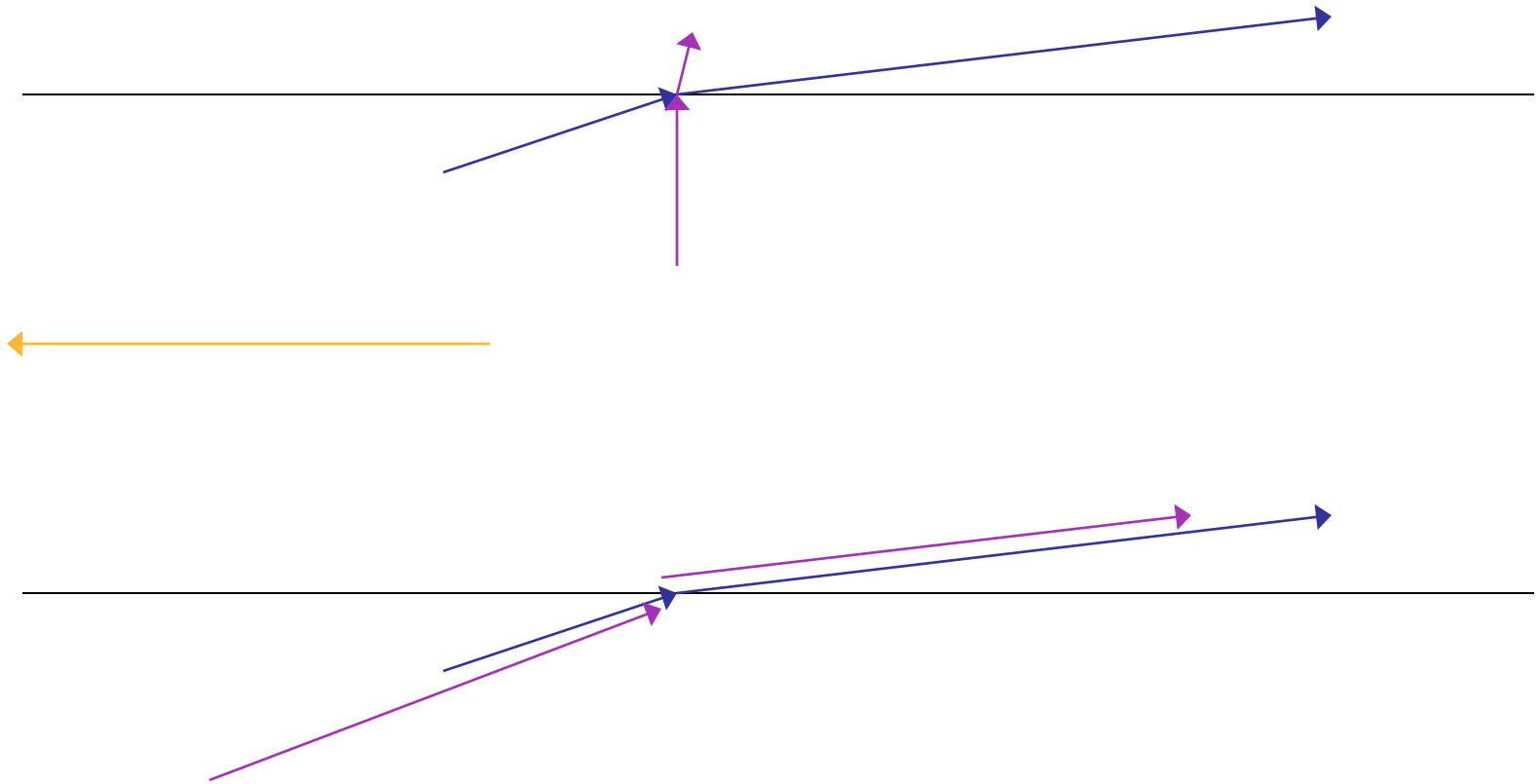
First-Order Fermi Acceleration



“Shock Drift” Acceleration



Diffusive Shock Acceleration

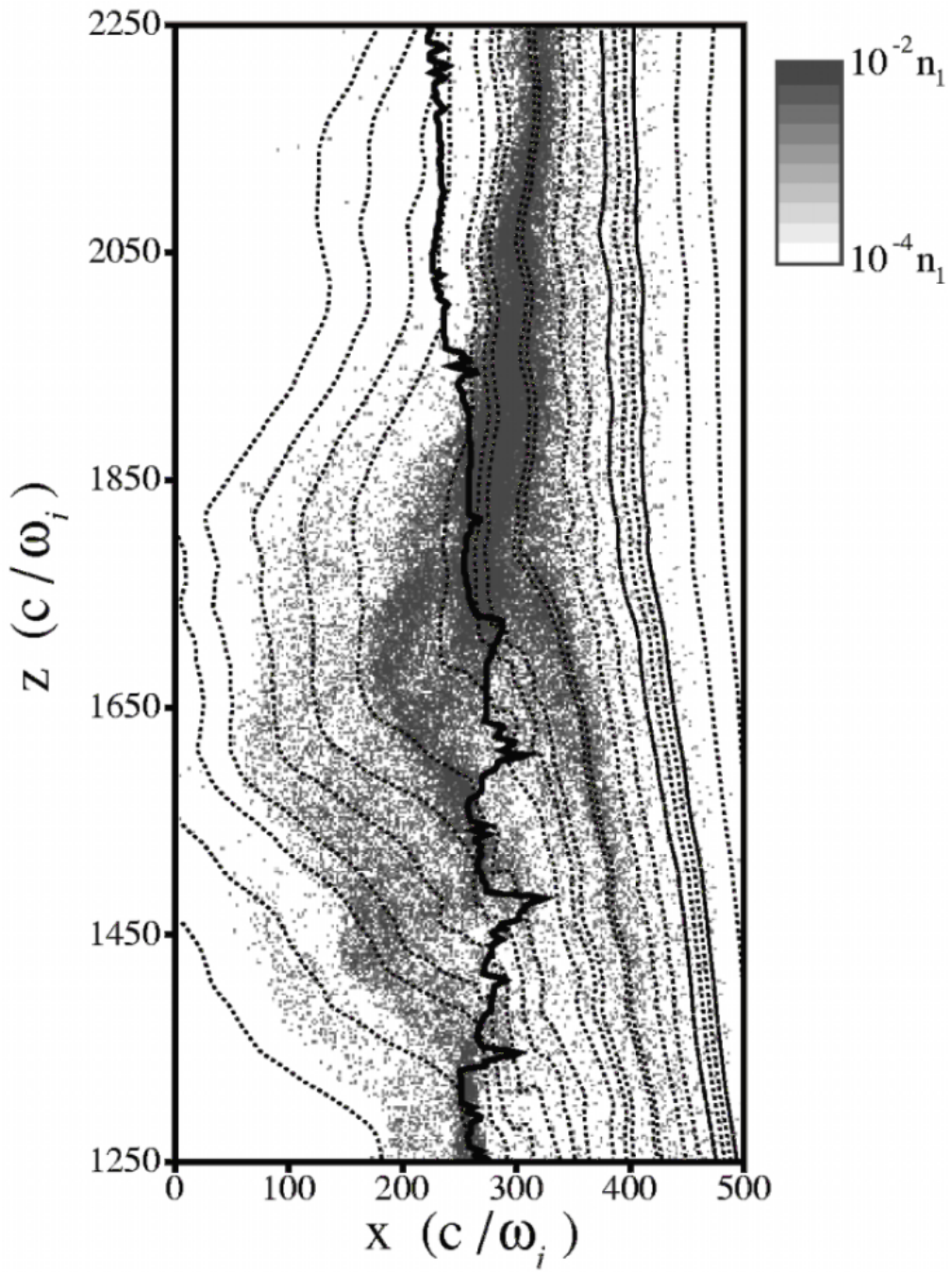


Anisotropy Limitation

$$\frac{|\mathbf{S}|}{vf} = \frac{V}{v} \left[1 + \frac{K_A^2 \sin^2 \theta + (K_{\parallel} - K_{\perp})^2 \sin^2 \theta \cos^2 \theta}{(K_{\parallel} \cos^2 \theta + K_{\perp} \sin^2 \theta)^2} \right]^{1/2}$$

$$K_{\parallel} \gg K_{\perp}, K_A :$$

$$\Rightarrow \frac{|\mathbf{S}|}{vf} = \frac{V}{v \cos \theta}$$



Quasi-Perpendicular Shock Simulation: Be Careful!

Giacalone, 1999

Shock Modification

$$\partial/\partial t = \partial/\partial y = \partial/\partial z = \mathbf{V}_D = Q = 0$$

$$V \frac{dP_c}{dx} - \frac{d}{dx} \left(\bar{K} \frac{dP_c}{dx} \right) + \gamma_c \frac{dV}{dx} P_c \cong 0$$

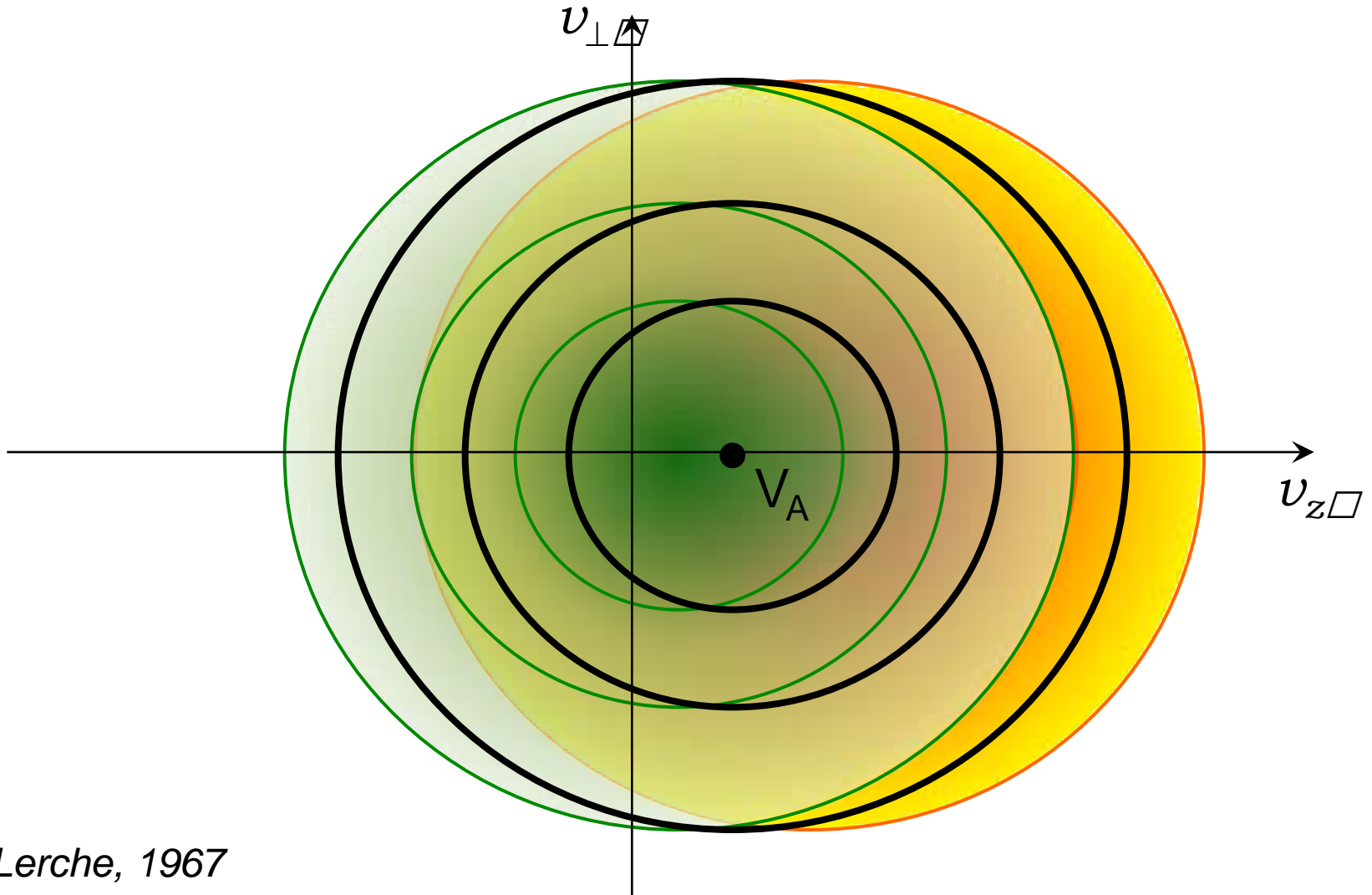
$$\frac{d}{dx} (\rho V) = 0$$

$$\rho V \frac{dV}{dx} = - \frac{d}{dx} (P_g + P_c)$$

$$V \frac{dP_g}{dx} + \gamma_g \frac{dV}{dx} P_g = 0$$

5. Wave Excitation at Shocks

Instability Mechanism

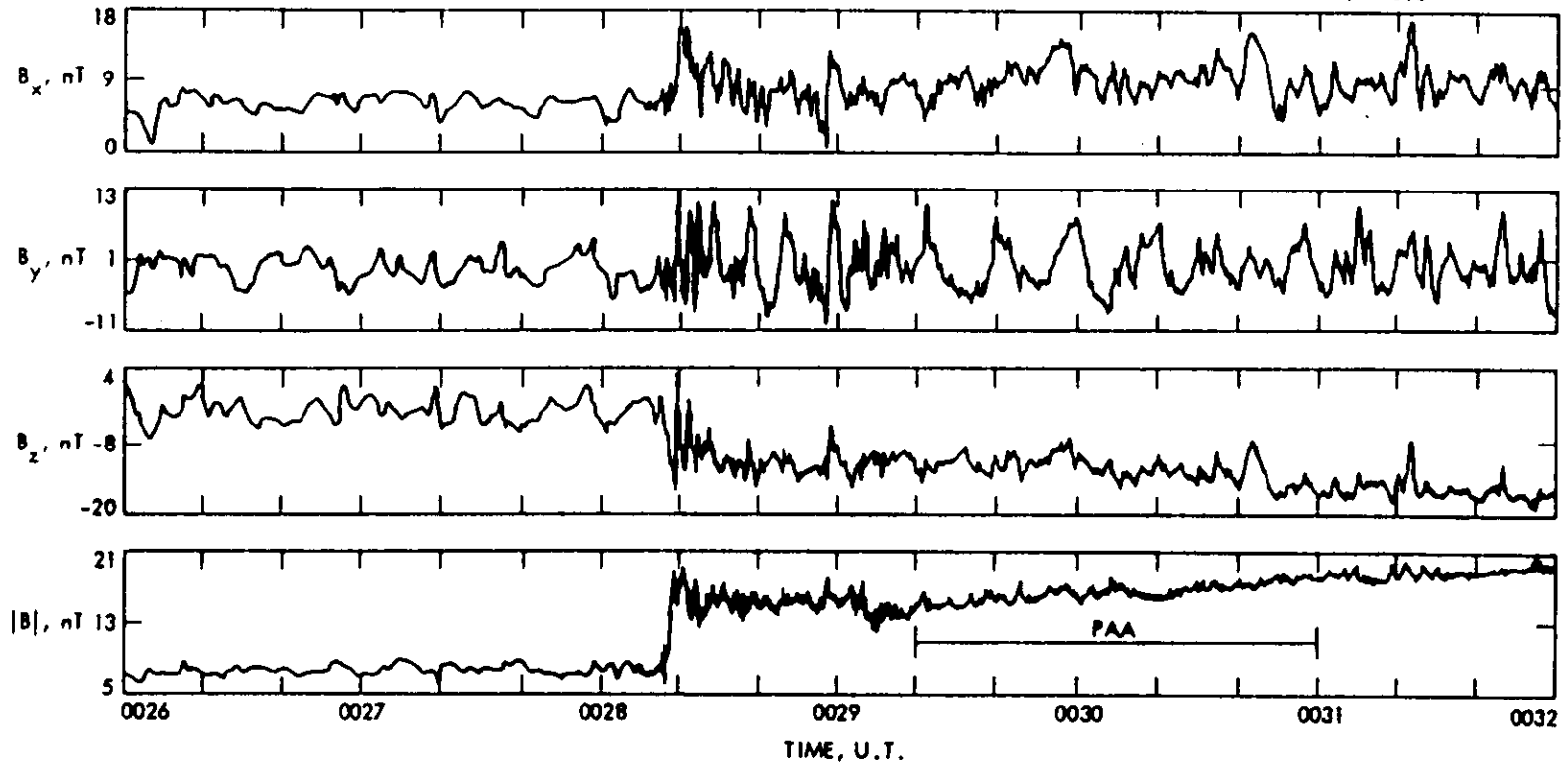


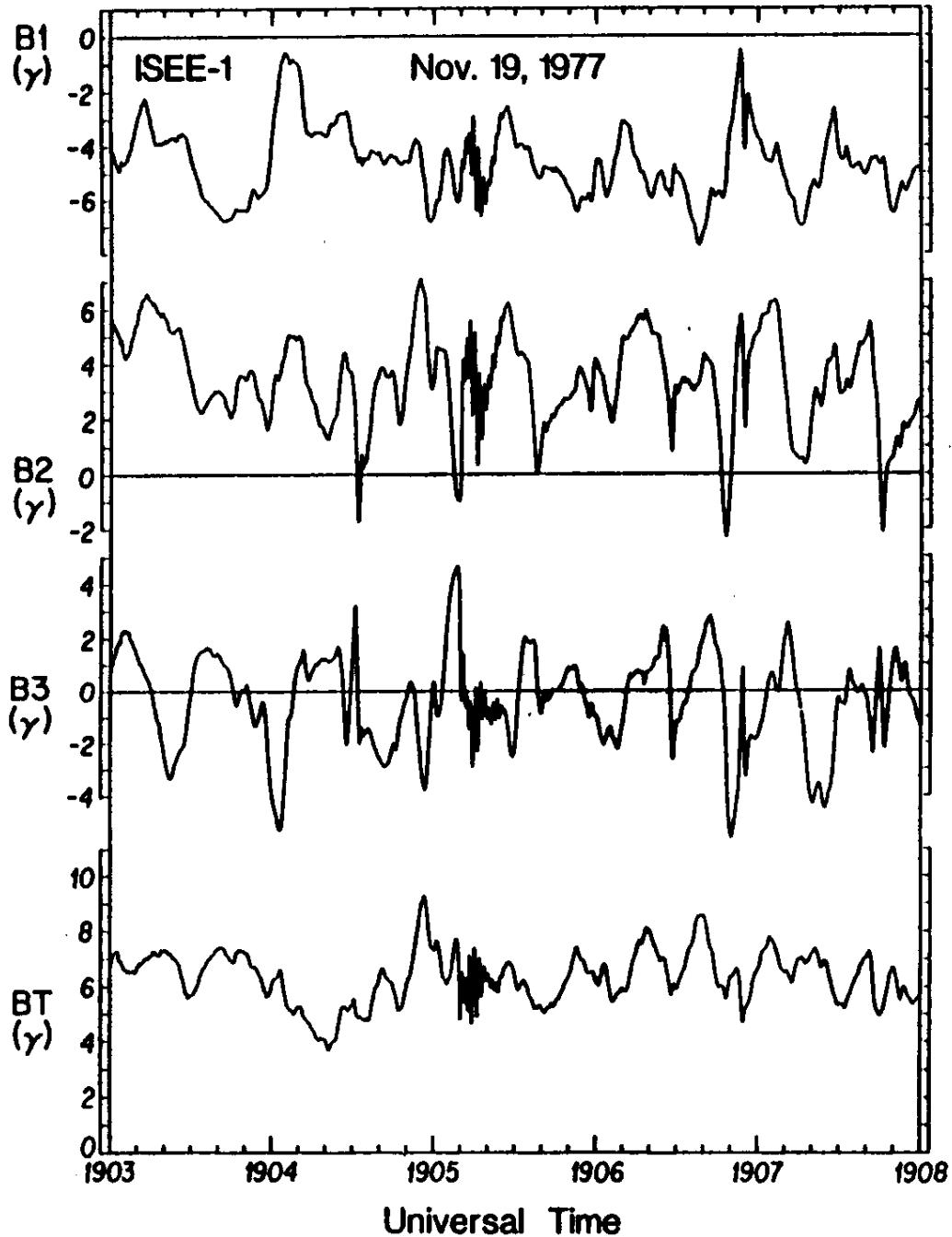
Lerche, 1967

Upstream Waves I

DAY 316, 1978
NOVEMBER 12
ISEE-3

$\hat{n} = (-.96, .28, .09)$
 $\theta_B = 22^\circ$
 $M_s = 4.7$
 $\beta = 0.5$





Upstream Waves II

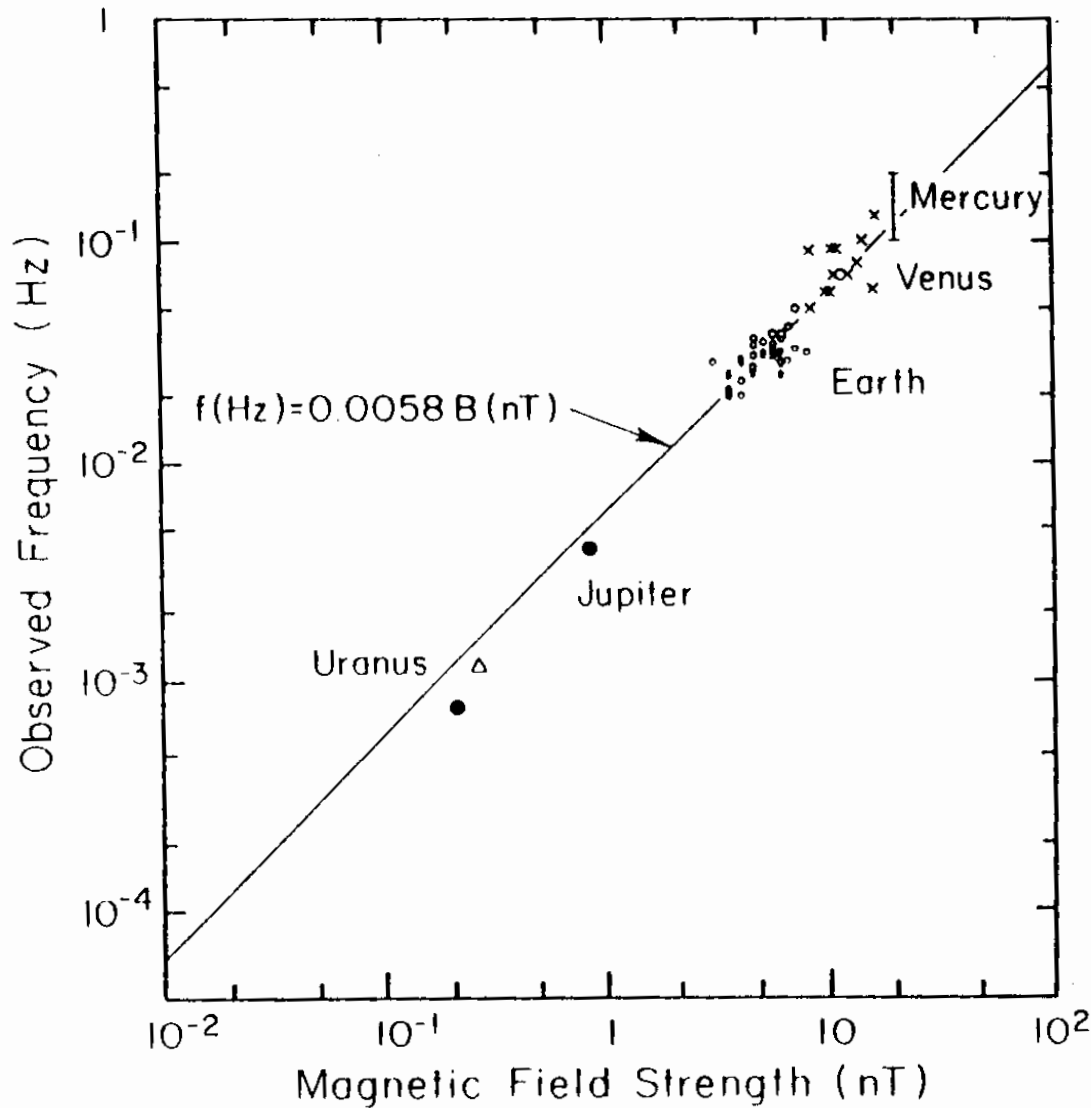
Hoppe et al., 1981

Cyclotron Resonance Condition

$$\omega - kv_z + \Omega = 0$$

$$kv_z \approx \Omega$$

$$\omega_s \sim kV_{sw} \sim \Omega(V_{sw}/v_z) \propto B$$

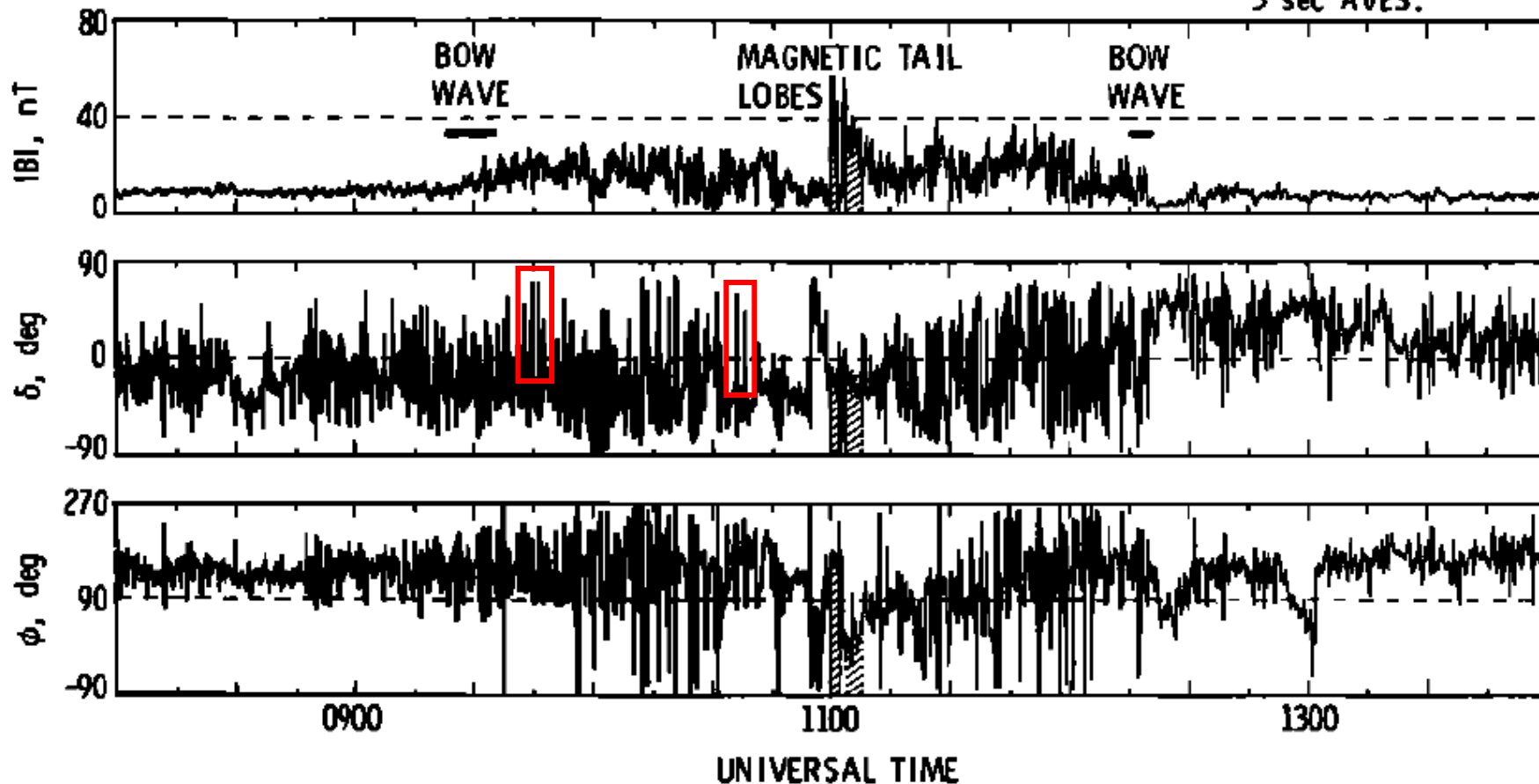


Upstream Waves at Planetary Shocks

Russell et al., 1990

Pickup Ion Excited Waves at Comet G-Z

SEPTEMBER 11, 1985
GSE COORDINATES
3 sec AVES.



Tsurutani and Smith, 1986

Wave Excitation - I

$$-V \partial I_{\pm} / \partial z = 2\gamma_{\pm} I_{\pm}$$

$$I \cong I_{+} = I_{+}^{\circ}(k) + \frac{4\pi^2 V_A}{k^2 V} |\Omega_p| / m_p \cos \psi \int_{|\Omega_p/k|}^{\infty} dv v^3 \left(1 - \frac{\Omega_p^2}{k^2 v^2}\right) (f_p - f_{p,\infty})$$

$$f_{p,\infty} = \bar{n}_p (4\pi v_{p,0}^2)^{-1} \delta(v - v_{p,0}) + \bar{C} v^{-\gamma} S(v - \bar{v}_{p,0})$$

Wave Excitation - II

$$I = I_+^\circ + \frac{4\pi^2 V_A}{k^2 V} \frac{|\Omega_p|}{m_p \cos \psi} \int_{|\Omega_p/k|}^{\infty} dv v^3 \left(1 - \frac{\Omega_p^2}{k^2 v^2}\right).$$

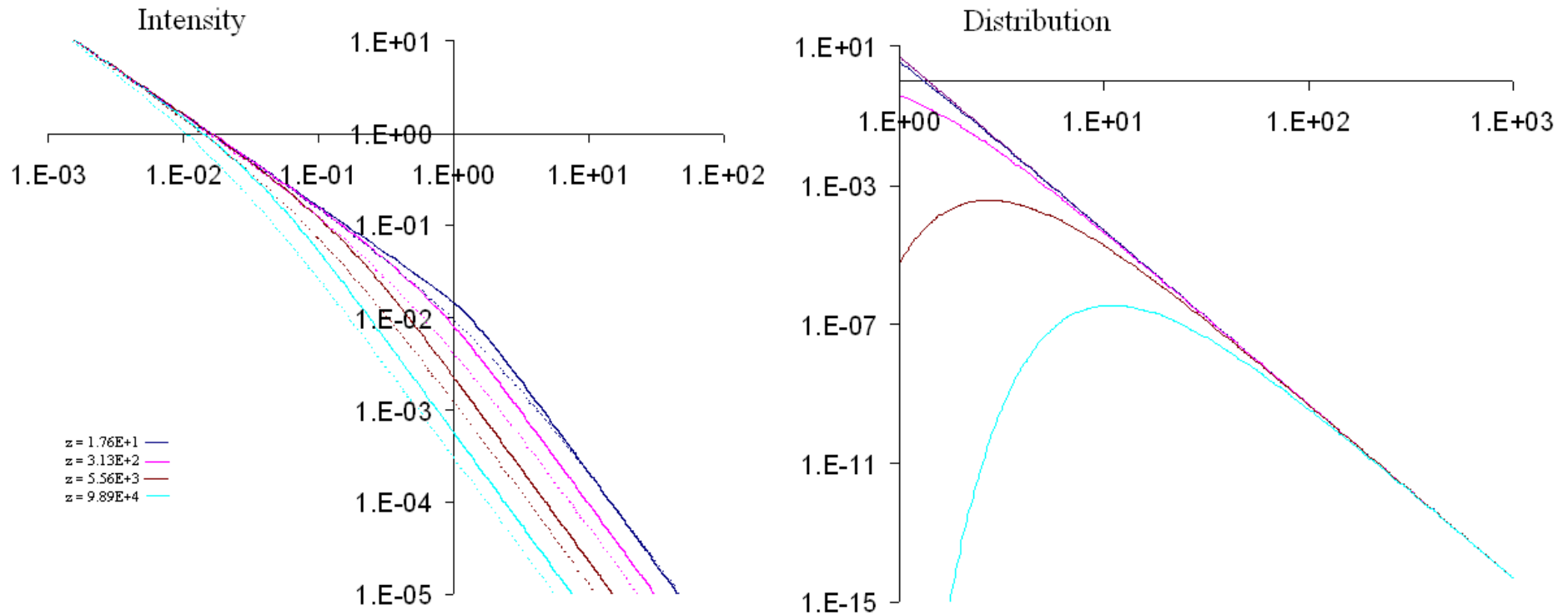
$$\left\{ \frac{\beta \bar{n}}{4\pi v_{0,p}^3} \left(\frac{v}{v_{0,p}}\right)^{-\beta} S(v - v_{0,p}) - \frac{\bar{n}}{4\pi v_{0,p}^2} \delta(v - v_{0,p}) \right.$$

$$\left. + \frac{\bar{C} \bar{v}_{0,p}^{-\gamma}}{\beta - \gamma} \left[\gamma \left(\frac{v}{\bar{v}_{0,p}}\right)^{-\gamma} - \beta \left(\frac{v}{\bar{v}_{0,p}}\right)^{-\beta} \right] S(v - \bar{v}_{0,p}) \right\}.$$

$$\cdot \exp \left\{ -V \int_0^z dz \left[\cos^2 \psi \frac{v^3 B_0^2}{4\pi \Omega_p^2} \int_{-1}^1 d\mu \frac{|\mu| (1 - \mu^2)}{I(\Omega_p \mu^{-1} v^{-1})} + \sin^2 \psi K_{\perp} \right]^{-1} \right\}$$

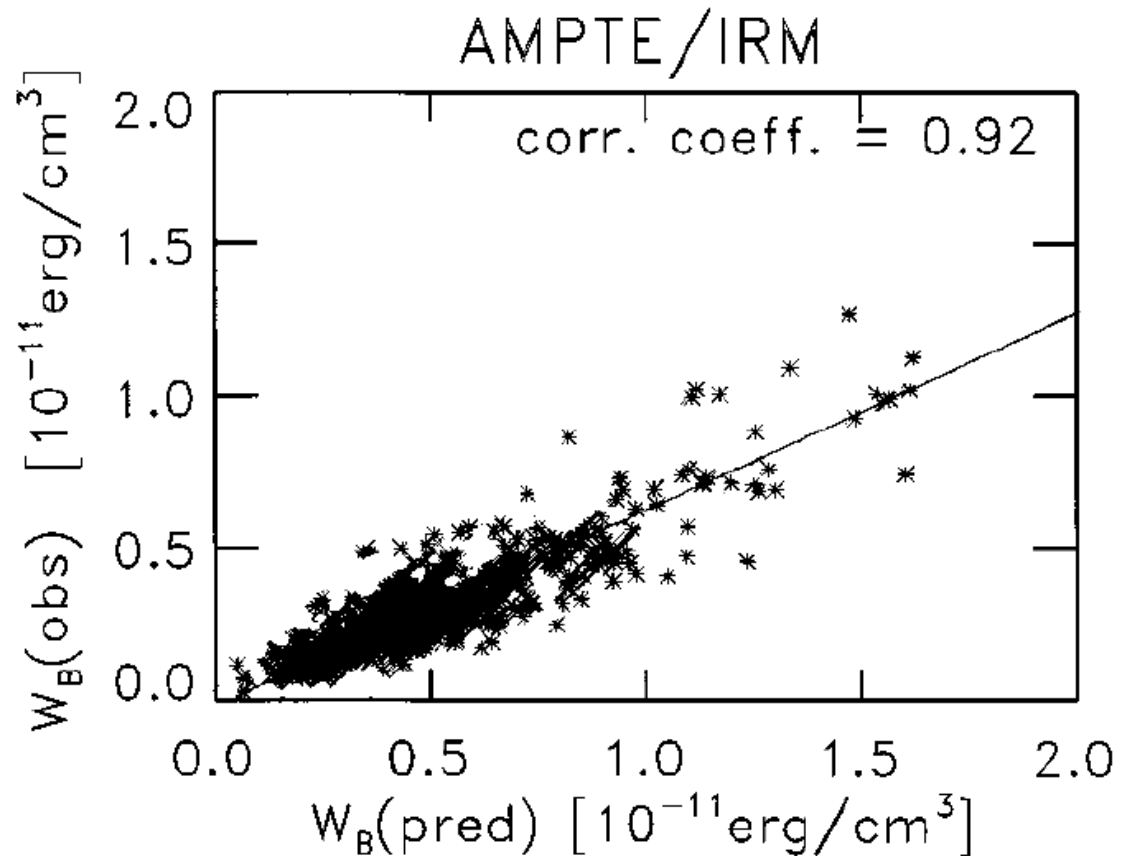
Wave Excitation - III

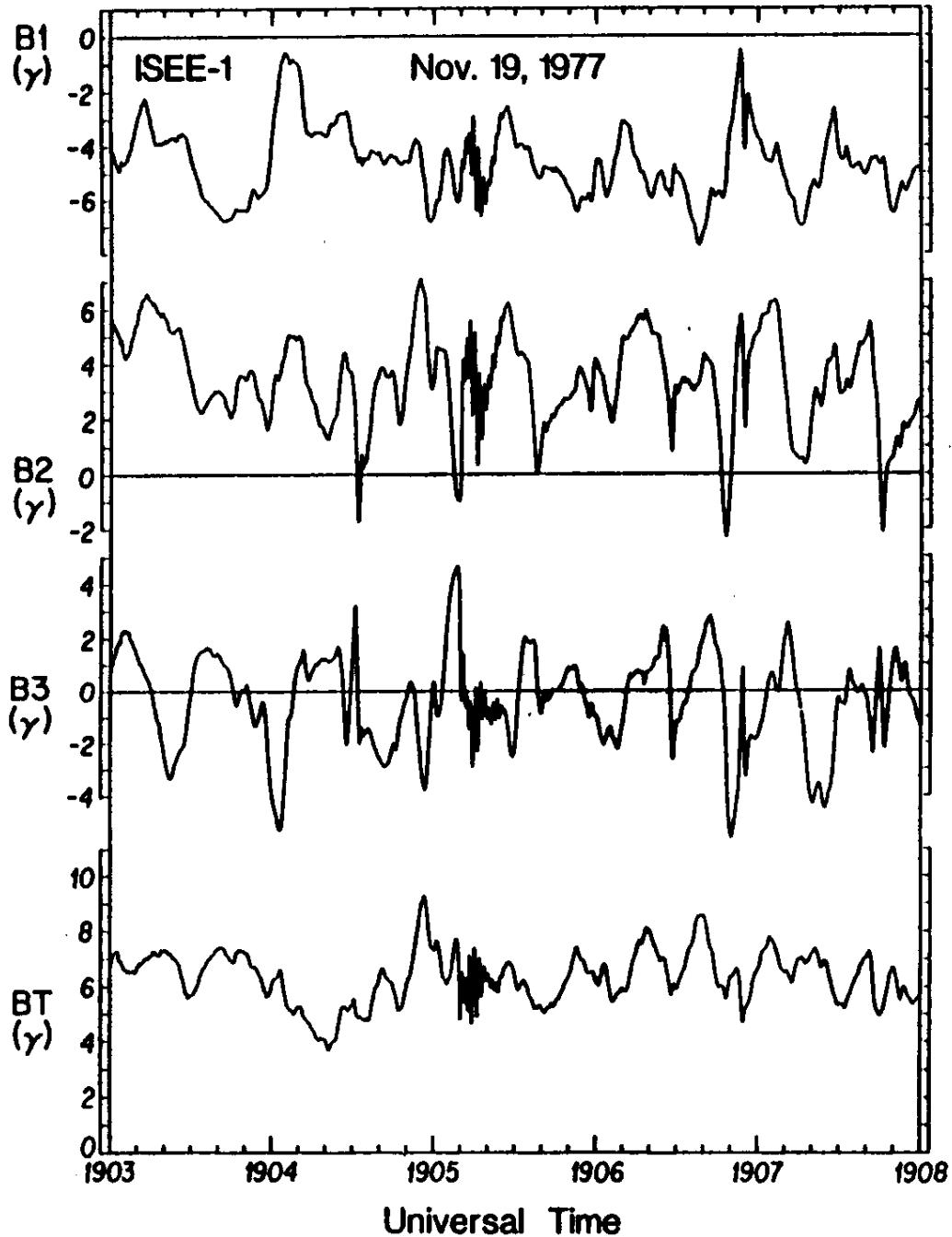
$$\beta = 7; I_0(k) \approx 0$$



Waves Upstream of Earth's Bow Shock

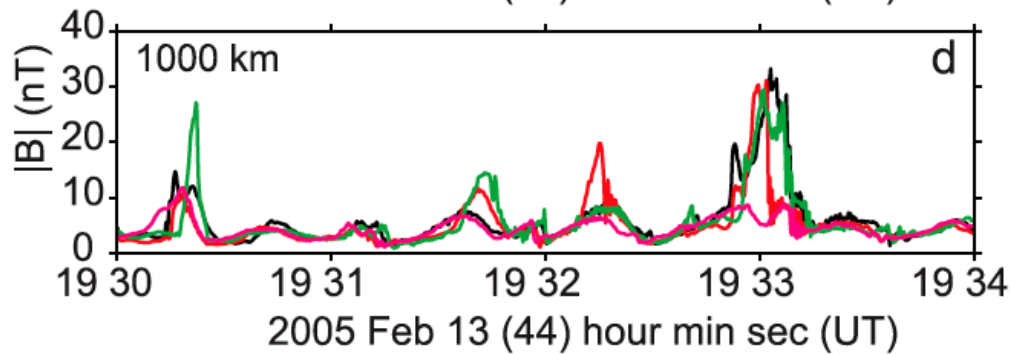
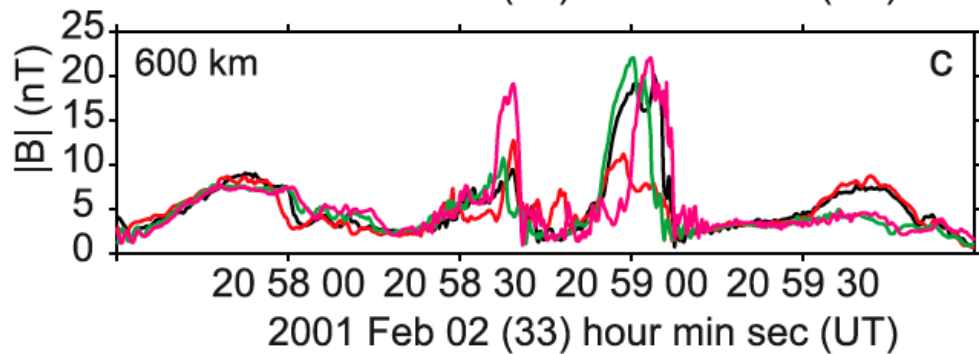
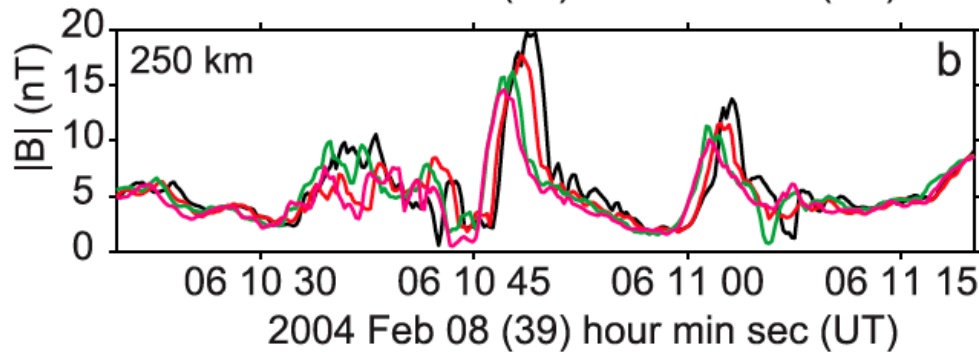
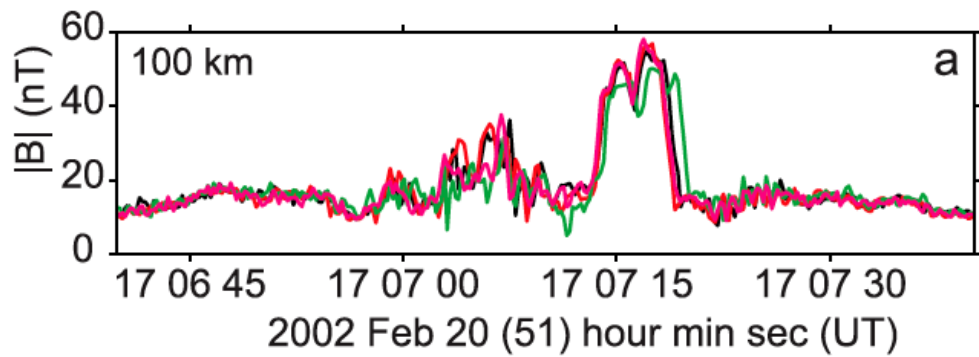
$$W_B = \frac{1}{3} \frac{V_A(\hat{e}_b \cdot \hat{e}_g)}{V_{sw}(\hat{e}_z \cdot \hat{e}_g) - V_A(\hat{e}_b \cdot \hat{e}_g)} W_p$$





Upstream Waves

Hoppe et al., 1981



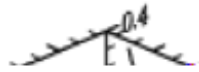
SLAMS

Lucek et al., 2008

Streaming instability driven by cosmic rays

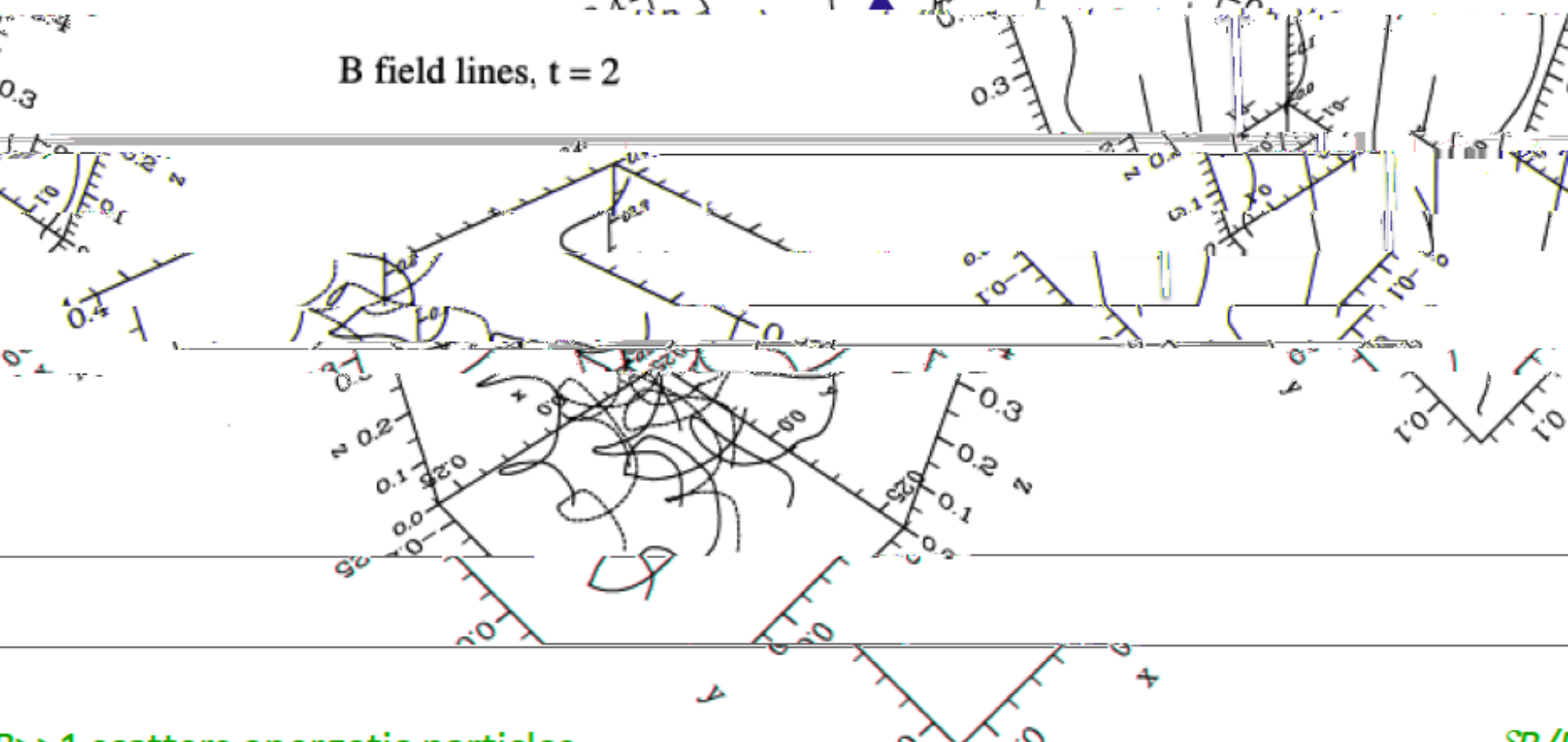
Lucek & Bell 2000

B field lines, $t = 0$



CR

B field lines, $t = 2$

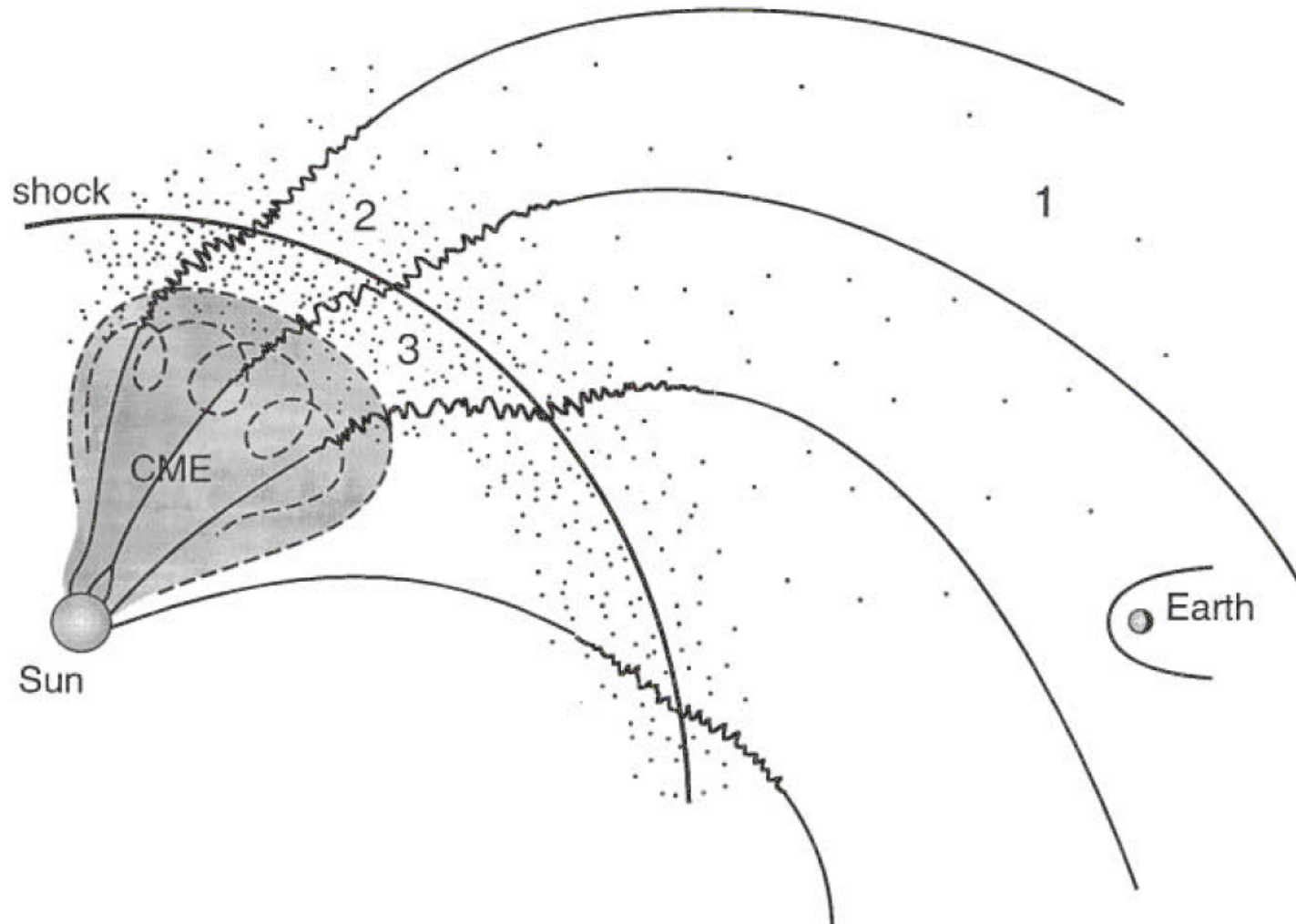


$\beta \gg 1$ scatters energetic particles

SD / 10

6. Applications of DSA

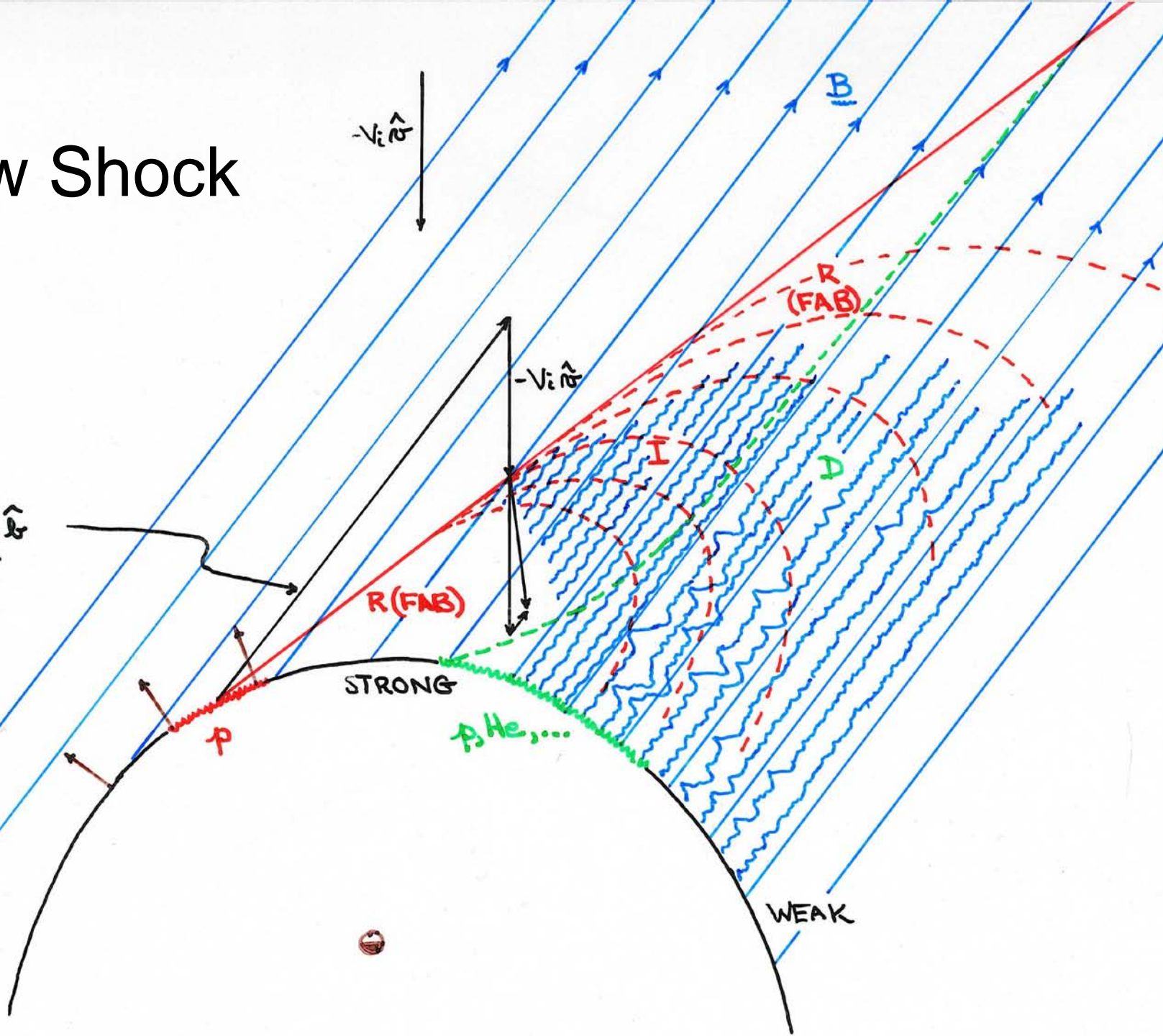
Acceleration at a CME-Driven Shock

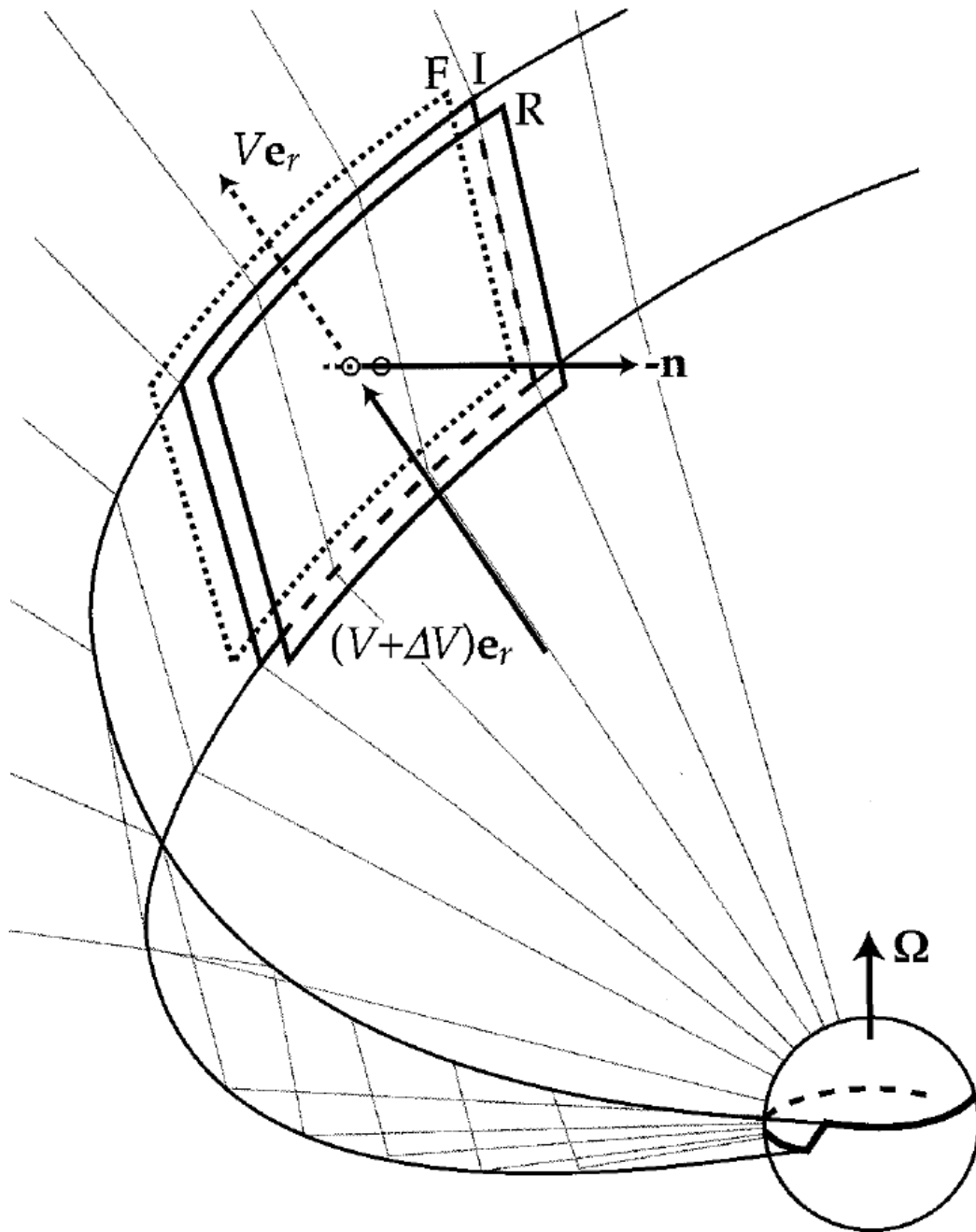


Lee, 2005

Bow Shock

$$2V_i \frac{\cos \theta_{vm}}{\cos \theta_{bm}} \hat{b}$$





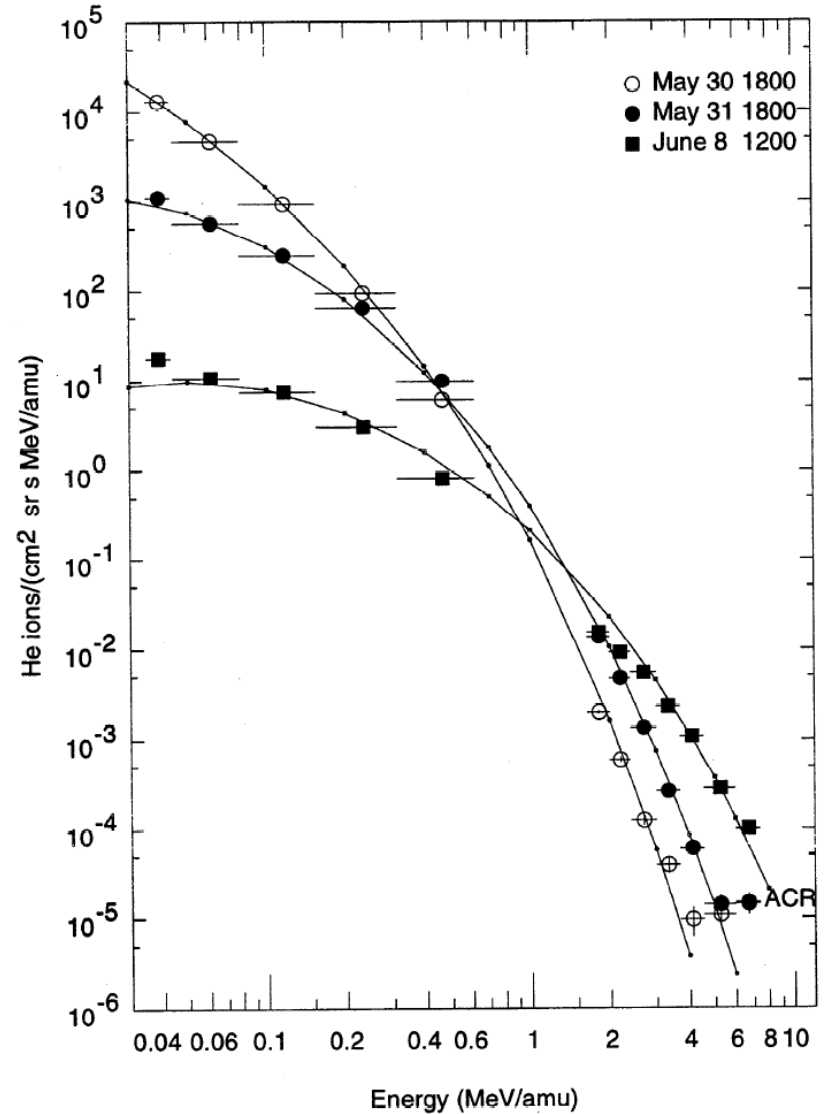
CIR Geometry

Corotating Ion Events

$$f \sim (r/r_s)^{(2/(R-1))+V/(\kappa_0 v)}$$
$$\times v^{-3R/(R-1)} \exp[-6\kappa_0 v R / (V(R-1)^2)]$$

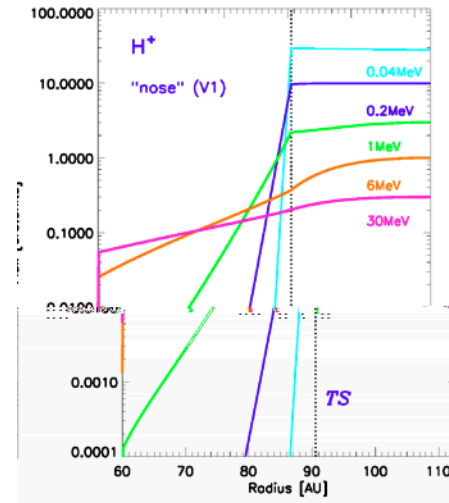
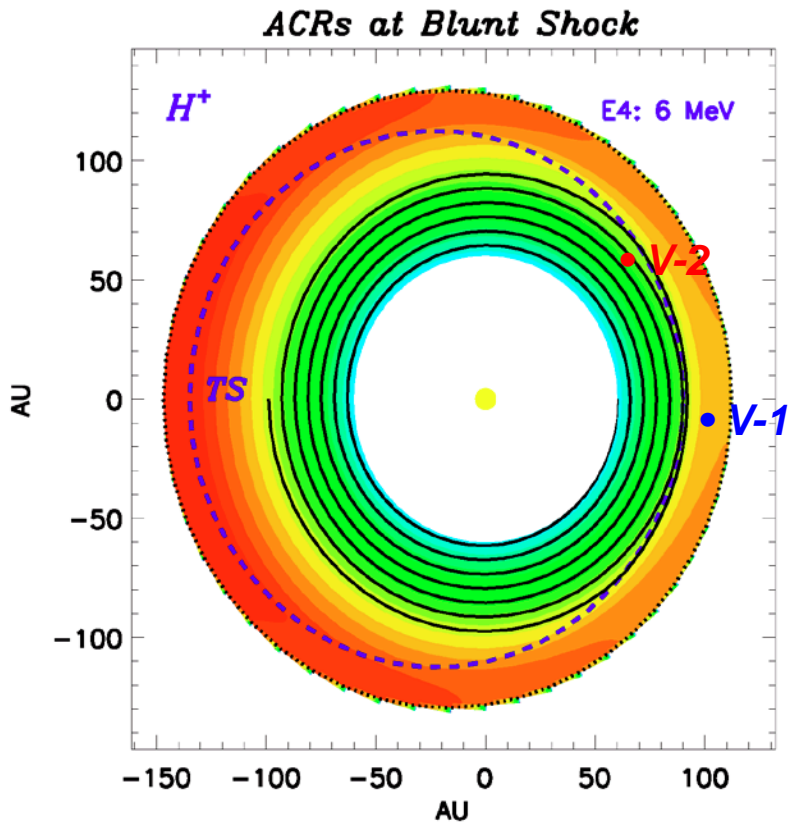
Fisk and Lee, 1980

Reames et al., 1997

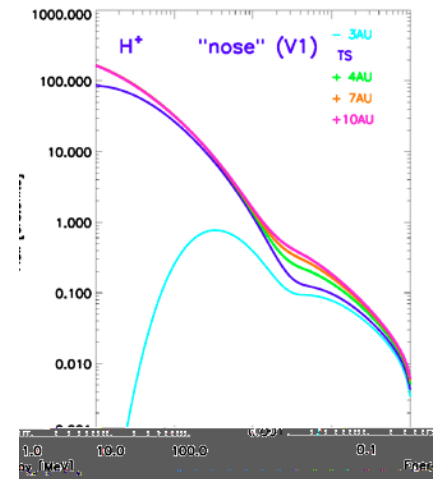


Blunt Shock: 2D Simulation for ACR energies

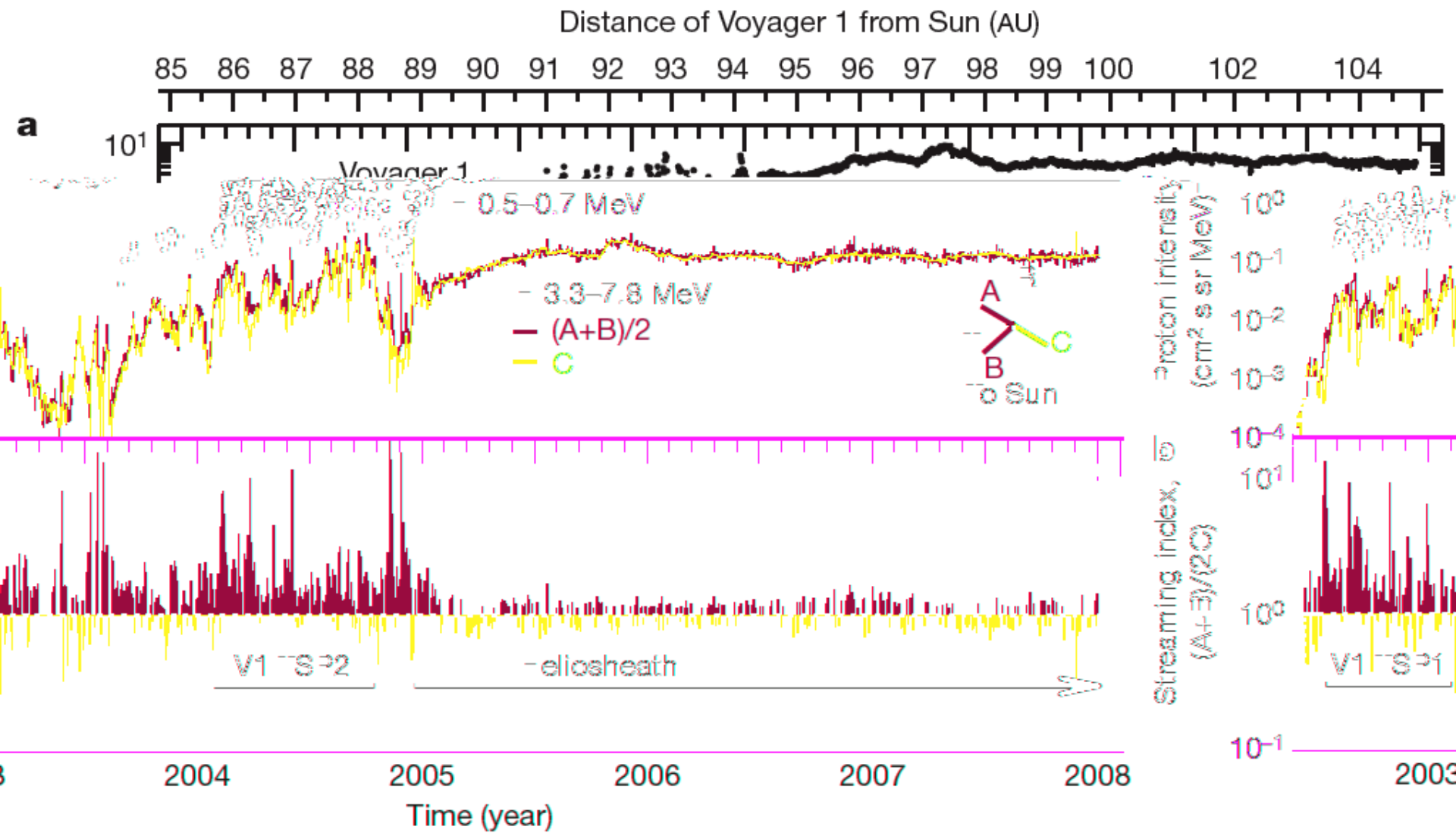
*TS is offset circle,
small cross field diffusion: $\eta=0.02$*



*ACR flux
increases
into the
Heliosheath*



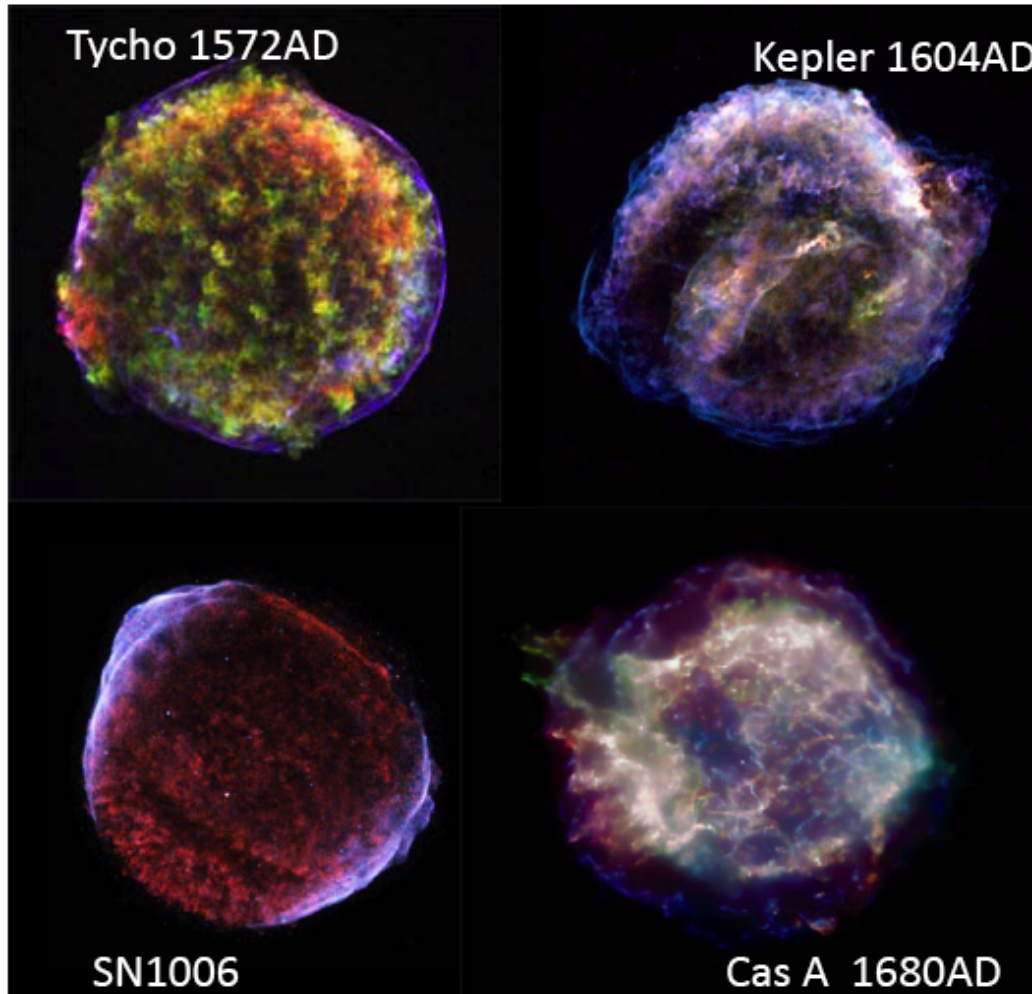
*Spectrum
gradually
unfolds*



Stone et al., 2008

Evidence for magnetic field amplification at shock

(Vink & Laming, 2003; Völk, Berezhko, Ksenofontov, 2005)



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