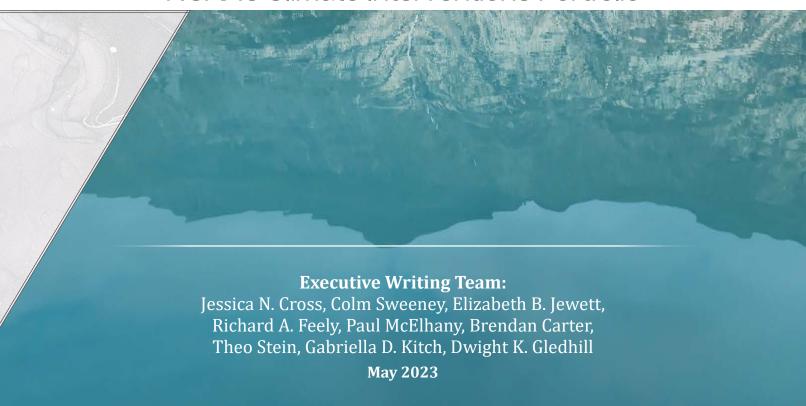


A White Paper documenting a potential NOAA CDR Science Strategy as an element of NOAA's Climate Interventions Portfolio



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Front Photo Cover

Glacial slit photographed from R/V Rachel Carson in Glacier Bay National Park, 2022. University of Washington / NOAA (Photographer: Marina May)

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NOAA Special Report NOAA Pacific Marine Environmental Laboratory

Strategy for NOAA Carbon Dioxide Removal (CDR) Research

A White Paper documenting a potential NOAA CDR Science Strategy as an element of NOAA's Climate Interventions Portfolio

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May 2023

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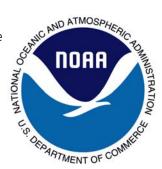
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List of Abbreviations

Terms.

AFOLU Agriculture, Forest, and Other Land Use

AR Assessment Report

B Billion

BCI Blue Carbon Inventory

BECCS Bioenergy with Carbon Capture and Storage

BPMED Bipolar Membrane Electrodialysis

CBC Coastal Blue Carbon
CFC Chlorofluorocarbon
°C Degrees Celsius

CCUS Carbon Capture, Utilization, and Storage

CDR Carbon Dioxide Removal

CDRMIP Carbon Dioxide Removal Model Intercomparison Project

CH₄ Methane

CO₂ Carbon Dioxide CM Climate Model

CMIP Climate Model Intercomparison Project

DAC Direct Air Capture
DOR Direct Ocean Removal
ENSO El Niño Southern Oscillation

ESM Earth System Model
EEZ Exclusive Economic Zone

Fe Iron

FOCE Free Ocean CO₂ Enrichment

FY Fiscal Year GHG Greenhouse Gas

GT Gigaton

GOOS Global Ocean Observing System

GO-SHIP Global Ocean Ship-based Hydrographic Investigations Program

HFC Hydrofluorocarbon

kg kilogram lb pound

IronEx Iron Experiment

M Million MT Megaton

MPA Marine Protected Area

N Nitrogen

NDC Nationally Determined Contribution NET Negative Emissions Technology NGGI National Greenhouse Gas Inventories

NMS National Marine Sanctuary
NPP Net Primary Production
Ocean Acidification

OA Ocean Acidification



OAE Ocean Alkalinity Enhancement

OIF Ocean Iron Fertilization

OMF Ocean Macronutrient Fertilization

P Phosphate Pg Petagram

PPPs Public-Private Partnerships

RD&D Research, Development and Demonstration

ROMS Regional Ocean Modeling System

SF6 Sulfur hexafluoride SR Special Report

SSP Shared Socioeconomic Pathway

USV Uncrewed Surface Vehicle

WG Working Group

ZECMIP Zero Emissions Commitment Model Intercomparison Project

Institutions and Programs.

AOML NOAA Atlantic Oceanographic and Meteorological Laboratory

ARPA-E DOE Advanced Research Projects Agency - Energy

CCAP NOAA Coastal Change Analysis Program
CCIWG Carbon Cycle Interagency Working Group

CPO NOAA Climate Program Office

DART NOAA PMEL Deep-ocean Assessment and Reporting of Tsunamis

DOE Department of Energy EFI Energy Futures Initiative

EPA U.S. Environmental Protection Agency
ESRL NOAA Earth System Research Laboratories

FWS Fish and Wildlife Service

GFDL NOAA Geophysical Fluid Dynamics Laboratory GGGRN Global Greenhouse Gas Reference Network

GLODAP Global Ocean Data Analysis Project GML NOAA Global Monitoring Laboratory

GOAON Global Ocean Acidification Observing Network
GOMO NOAA Global Ocean Monitoring and Observing

GO-SHIP Global Ocean Ship-based Hydrographic Investigations Program

ICOS Integrated Carbon Observation System
IOOS NOAA Integrated Ocean Observing System

IOOS-RAS IOOS Regional Associations

IPCC Intergovernmental Panel on Climate Change ITAE Innovative Technology for Arctic Exploration

MICE Models of Intermediate Complexity for Ecosystem Assessment

NACP North American Carbon Program

NASEM National Academies of Science, Engineering, and Mathematics

NCCOS NOAA National Centers for Coastal Ocean Science
NCEI NOAA National Centers for Environmental Information

NMFS NOAA National Marine Fisheries Service, also NOAA Fisheries



NOAA National Oceanic and Atmospheric Administration NOPP National Oceanographic Partnership Program

NOS NOAA National Ocean Service

NRDD NOAA Research and Development Database

NSF National Science Foundation NWS NOAA National Weather Service OAP NOAA Ocean Acidification Program

OAQ NOAA Office of Aquaculture

OAR NOAA Office of Oceanic and Atmospheric Research, also NOAA

Research

OCADS Ocean Carbon and Acidification Data System (NOAA / NCEI)

OCM NOAA Office for Coastal Management OHC NOAA Office of Habitat Conservation

PMEL NOAA Pacific Marine Environmental Laboratory SG NOAA National Sea Grant College Program

SOCAT Surface Ocean CO₂ Atlas SOOP Ships of Opportunity Program

US United States

USACE United States Army Corps of Engineers
USDA United States Department of Agriculture

USGCRP United States Global Change Research Program



Figures and Tables

A brief title and quick link to each of this report's tables and figures are provided below. To easily access any of these tables or figures, simply click on the Figure or Table number and the document will automatically advance to that image.

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Executive Summary

How to use this document:

This document is intended to serve as a reference for exploration of carbon removal research at NOAA. The report was drafted by authors from across NOAA to provide strategic direction to relevant labs and programs in multiple line offices. The goal has been to assemble as much information as possible in order to facilitate conversations about Carbon Dioxide Removal (CDR) at a high level within the agency. This document will be used to develop an implementation plan for CDR research at NOAA in the event that Congress instructs the agency to engage in this emerging research front.

This report does <u>not</u> endorse any specific CDR activity, technique, or application. Rather, it is similar to recent reports released by the National Academies of Science, Engineering, and Mathematics (NASEM); the Department of Energy; and the Energy Futures Initiatives, which note that more research is necessary. This report also does <u>not</u> compare or contrast nature-based and engineered CDR techniques focused on emissions reductions, such as carbon capture, utilization, and storage (CCUS). The goal is to explore NOAA's role in assessing negative emissions strategies, which are techniques that remove carbon directly from the atmosphere and marine systems.

Report Contents:

This document is organized in four parts:

- I. An introductory section, including the scientific motivation for CDR research;
- II. A review of potential CDR techniques and current science;
- III. A synopsis of NOAA's key assets for CDR research; and
- IV. A vision of CDR research at NOAA.

Key Findings:

A summary of the key findings of this report is provided below.

Scientific motivation. Parts I and II of this report provide a summary of the scientific motivation for CDR research and a summary of the current status of several atmospheric, coastal, and oceanic CDR techniques. Human-induced climate changes already affect every part of the globe, with potentially dire consequences for many ecosystems and human communities. Under current emissions trajectories, global surface temperatures will continue to rise. With further warming of the Earth system, every region is projected to experience increasingly concurrent climate extremes, associated with clear impact drivers. Limiting warming to levels that avoid extreme risk requires immediate and substantial reductions of greenhouse emissions, as well as the removal of carbon dioxide from the atmosphere. While emissions-reduction approaches are the



primary component for addressing this challenge, negative emissions strategies will be essential for keeping global temperatures at or below target levels.

Negative emission strategies refer to a portfolio of techniques that are used to remove legacy greenhouse gasses from the atmosphere and lock them away from the atmosphere. CDR, the focus of this report, specifically references techniques that remove legacy emissions of carbon dioxide from the atmosphere. Many of these techniques are promising in theory, but require additional research to evaluate their effectiveness and scalability, and explore potential co-benefits and environmental risk. This report includes a summary of several techniques, each of which is compared in Table 1, which shows the current understanding of the relative strengths and weaknesses of each technique, as well as NOAA's potential contributions. Additional information visualizing the data in Table 1 can be found in Figures 1a and 1b. A comparison of the potential ecosystem impatcs of each method can be found in Table 3.

NOAA's role. Part III of this report reviews NOAA's potential role in CDR research. NOAA is recognized around the world for its leadership in Earth system science and environmental stewardship. Its existing mandate already covers research and monitoring of Earth's carbon cycle and climate system. Accordingly, researching how CDR techniques may change the climate system are already part of NOAA's purview. NOAA has been approached by multiple federal agencies and private sector interests to contribute expertise to CDR research. In addition, NOAA is an internationally recognized leader in environmental stewardship and community resilience. We envision that research in the agency and funded by the agency but happening elsewhere could use existing and innovative observations, models, ecosystem assessments, and decision support infrastructure to inform evidence-based decisions concerning the effectiveness and potential implementation of carbon removal techniques by federal and state governments, private sector interests, and nonprofit organizations:

- NOAA's global to coastal observing networks and data assimilation capabilities could monitor and verify the actual carbon drawdown of CDR installations
- NOAA's earth system and regional ocean modeling capabilities could be used to assess and inform the scale up of land and ocean based methodologies.
- NOAA's ecosystem research is well suited to study the potential ecosystem impacts of atmospheric and marine CDR deployments
- NOAA's decision support and ocean planning infrastructure, including
 the agency's management role and stakeholder relationships, could help
 create essential data and data product infrastructures to resolve use,
 siting, management and conservation challenges; conduct necessary
 socioeconomic research; educate public and private partners; maintain trust
 in climate data; and ensure high standards of scientific integrity and ethics.

A vision of NOAA CDR research in the future. In Part IV of this report, we offer a vision of how NOAA may engage CDR research in the future. Estimates indicate



that between 400 and 1000 GT1 C must be removed from the atmosphere and seguestered safely by 2100 to meet warming targets of 1.5 to 2 °C, depending on the corresponding emissions reductions pathways (Rogelj et al., 2018, 2015). Given the necessary pace of infrastructure development to meet these goals, the construction, engineering, and equipment manufacturing sectors associated with building CDR facilities could see at least 300,000 new jobs by 2050; overall, the value of the carbon management sector could rise to U.S. \$259 B by 2050 (Larsen et al., 2019). To meet the challenges associated with this growing industry, we suggest that the global scientific community, including NOAA, will need to proceed with a parallel research paradigm. This would include multiple simultaneous streams of basic and applied research that address the effectiveness and potential impact of carbon removal projects from a variety of efforts. Such an effort would gradually build to field studies as each technique matures, and then broaden to application of sustainable, effective methods of carbon removal. Throughout this three-stage process, it will be imperative to act with the highest standards of transparency and scientific integrity in order to protect the public's confidence in Earth system data and the safety, sustainability, and fairness of these deployments.

^{1. 1} gigaton (GT) of carbon dioxide (${\rm CO_2}$), which is used in this document, is identical to 1 petagram (Pg) of carbon dioxide (${\rm 10^{15}}$ g) and equivalent to 0.27 GT of carbon (C), a term that is used in some circles. To visualize this amount, 1 GT C can be represented by 1 km³ of coal, or approximately 8.3 million train cars filled with coal. That train would wrap around Earth five times. The total amount of carbon needed to be removed today from the atmosphere to reach pre-industrial concentrations (~280 ppm) is ~1064 GT ${\rm CO_2}$. To bring today's concentration of ~415 ppm down to 350 ppm, a number once touted by many as acceptable, would require the removal of ~514 GT ${\rm CO_2}$. Rebounding concentrations from other reservoirs could further increase this number (Cao and Caldeira, 2010; Vichi et al., 2013).



Part I: Introduction

It is abundantly clear that climate change is a threat to modern society and will likely compromise key societal sectors in coming decades and centuries. IPCC Assessments since 1990 have successively reported on the increasingly dire impacts of climate change. Numerous additional IPCC special reports have provided regional, national, or topical detail. All state that climate change will significantly affect our national security, both directly through impacts on our agriculture, environment, economy, public health and safety, food security, cultural heritage, and political stability, and indirectly, as a threat multiplier.

The recent IPCC's 6th Assessment Report acknowledged that society must act aggressively to hold warming to ~1.5 - 2 °C above pre-industrial levels by the end of the century. In discussion of Mitigation (WG3), nearly every scenario that achieved these goals included "deep emissions reductions." Certainly, there will be efforts to adapt to the consequences of rising temperatures, but society will also need to take action to mitigate them and, consequently, reduce their impacts. The IPCC AR6 report on Mitigation (WG3) emphasizes three primary actions that can help keep the temperature increase below 1.5 - 2 °C by the end of the century.

First, efficiencies help reduce the total overall demand for fossil energy. The IPCC's 6th Assessment Report highlights "decarbonization gains" that result from improved energy efficiency: The energy necessary to yield each unit of GDP has fallen by approximately 2% per year (WG3). Some studies suggest that complete implementation of all known energy efficiency strategies could provide 40% of the emissions abatement required to meet Paris Agreement climate targets (IEA). Circular economy innovation, involving designing products and processes to increase recirculation of products and materials, and reduction of waste can also contribute to greenhouse emission reductions by reducing waste in the embodied energy, as well as in other resources. However, gains in mere production and service efficiency can be masked by increased demand for energy to supply new goods and services, unless accompanied by comprehensive circular economy innovation. Circular economy innovation is one of the five net-zero game changers identified by the WH as key innovation to meet 2050 Climate Goals (WH, 2022).

This leads to the second action: a shift from fossil fuels to renewable or non-carbon based energy as the primary source of power could dramatically reduce and ultimately eliminate most carbon dioxide emissions, despite increasing global energy demands. This falls largely on the transportation, power production, and power distribution sectors of our economy (WG3). This shift is already underway to some extent, in part because the cost of renewable energy with storage is falling below the cost of coal, oil, and natural gas. Accordingly, corporations, states, and municipalities are already engaging in robust efforts to advance renewable energy. Electric vehicle technology is advancing rapidly in the private sector, which, along with a power grid based on renewable energy, could make a substantial dent in emissions.



Implementation of renewable energy and energy efficiency will require the nearly complete transformation of today's energy system (WH, 2022). Although the technologies needed to achieve these emissions changes are largely in place today, technical innovation- and time to implement these innovations- are a necessity (WH, 2022). This leads to the third action, the removal and stable storage of legacy greenhouse gas emissions away from the atmosphere. According to the IPCC's recent AR6 report, carbon removal techniques are now essential components of almost all pathways that achieve 1.5 - 2 °C warming goals. If emissions rates continue to rise, meeting these goals will require increasing reliance on negative emissions technologies, or carbon dioxide removal (CDR).

While negative emissions technologies and carbon removal techniques are still in the early stages of development in most cases, the body of research around these techniques is growing fast (e.g., NASEM 2018, 2021, Smith et al., 2023), as is private and public interest in the development of carbon sequestration infrastructure. In one recent report summarizing the potential economic benefits of Direct Air Capture (DAC), the construction, engineering, and equipment manufacturing sectors associated with building CDR facilities could see at least 300,000 new jobs by 2050 (Larsen et al., 2019). Still, there is a clear gap between the knowledge needed to successfully upscale this industry safely and the current pace of innovation. The IPCC suggests that the biggest risk of implementing CDR research is placing land use in competition with other sustainable development goals (WG2).

Given the potential economic and climate benefits of carbon management for the U.S., the Biden Administration has set a goal of Net Zero emissions from the United States by 2050 (WH, 2021a and b). The Infrastructure Innovation and Jobs Act also codified the potential benefits of Carbon Removal for both climate and economies (Sec 40301), in addition to funding the establishment of regional DAC infrastructure in the United States. These early investments are essential, given that society has neither the technology nor the understanding to remove CO, on the scale needed today, nor do we understand the potential environmental and human impacts of such actions. Beyond developing the most effective CDR systems (if any can be developed at the necessary scale), there are huge challenges associated with this endeavor, including accurately tracking and providing accountability metrics for carbon removal. Given these clear research and development needs and broad potential impacts, there is a role in CDR research for almost every federal agency, for the private sector, and for state and local governments. This view was reflected in Congress's 2021 mandate that the Department of Energy prepare a report on cross-sector CDR science, emphasizing the role that federal research plays in the development and implementation of CDR (Energy Act of 2020 Section 5002). These data will also be essential to supporting regulatory decisions and permitting of CDR activities to ensure the protection of the environment and human health.

As an internationally recognized leader in science, environmental stewardship, and



community resilience, NOAA is well-positioned to lead in the analysis of impact, effectiveness, feasibility, and risk of many CDR techniques. NOAA is recognized around the world for its leadership in Earth system science and environmental stewardship. NOAA leadership and transparency in observing and studying the atmosphere and ocean make it a trusted agent for assessing the effectiveness of CDR approaches. Additionally, NOAA's deep connections to regional and local stakeholders across the nation connects decision makers with the data they need to pursue evidence-based, actionable solutions for climate adaptation, mitigation, and intervention. Numerous public and private entities at multiple scales are already exploring various CDR techniques involving the biosphere, the ocean, and even direct capture from the atmosphere. NOAA's emphasis on big-picture, long-term monitoring and its research capabilities are ideally suited to understand, evaluate, and verify these efforts and their potential for success.

This document focuses on NOAA's potential role in CDR and how its mission and capabilities (including through grants to external entities) map to specific CDR needs. CDR is currently in its infancy, as are NOAA's efforts to support it. NOAA has a suite of capabilities that can be applied to understand and assess CDR and understand its impacts on ecosystems and society. In this report, we outline some key established techniques for CDR in land, marine, and coastal settings; discuss how these techniques intersect with NOAA's existing research mandates; and, finally, discuss what a mature CDR research and assessment strategy might look like at the agency. What becomes readily clear is that NOAA's existing climate and carbon cycle research are foundational, respected, and world class. We now need to put these assets to work to address CDR as a key component of climate crisis intervention.



Part II: Overview of CDR Approaches

According to the recent IPCC Sixth Assessment Report (AR6), most emissions strategies that limit climate warming to 1.5 - 2 °C rely on CDR. In general, by the middle of the century, approximately 10 - 15 GT CO₂ removal is required each year². Worldwide, most operational projects are currently small (i.e., sequestering on the order of 10,000 times less CO₂ than what is needed by the end of the century)³. It has been estimated that the industry must grow rapidly in order to meet these targets⁴: it will be necessary to not only increase the efficiency and number of these projects but also explore alternative technologies to achieve these ambitious goals by 2050 (Nemet et al., 2018).

It is extremely likely that these removal goals will be met by a portfolio of techniques, rather than emphasizing one universal application. In the sections below, we profile each of these technical sectors in which NOAA may engage, including the stage of development of the technique, the possible co-benefits and risks, and key research necessary to attain GT-scale carbon capture. NOAA also has the ability to make grants to external entities to fill research gaps and augment agency capabilities. We group these into three categories: Land-based methods; ocean-based methods; and coastal methods. In this overview section, we provide some technical background that can inform the relative strengths and weaknesses of the methods described below.

Comparing CDR Techniques

Methods of carbon removal are traditionally evaluated on several success metrics, including the additionality of the CO_2 removed, the durability of storage, and risk of "leakage" leading to increased emissions as a result of a removal project. All of these metrics contribute to estimates of a method's scalability and the cost per ton of removal (e.g., see Figure 1). Scalability refers both to how quickly these projects can be replicated, given space, time, and cost constraints, and to the theoretical cap on the total potential carbon removal of these particular projects. In general, methods that require limited infrastructure or infrastructure that can scale relatively quickly and cost effectively, and which can remove very large amounts of carbon from the earth system have a high scale potential. Beyond scaling, another key challenge is to find durable deposition reservoirs that

^{4.} A sustained 6% annual increase in carbon removal capacity between 2040 and 2060 is required; see Minx et al. 2018, Figure 9.



^{2.} The pace and magnitude of necessary carbon removals to meet warming targets varies between climate scenarios. For example, scenario SSP1-1.9 requires about 430 GT CO_2 by the end of the century, whereas other scenarios may require as much as 1000 GT CO_2 (Rogelj et al., 2018).

^{3.} At the time of writing, there are currently 15 direct air capture plants operating worldwide, capturing and storing more than 9,000 T CO₂ / year, with a 1 MT CO₂ / year capture plant in advanced development in the United States that may become operational by 2023 (IEA, 2020). The largest DAC plant in the world opened in Iceland in 2021, which can by itself draw down and store 4,000 T CO₂ annually (ClimeWorks, 2021). In addition to the low volumes of CDR sustained by these installations, it is also worth noting that the diversity of these projects is limited; all rely on primarily the same modes of operation. Implementation of the U.S. DOE DAC hubs suggests this technological diversity is essential to success (e.g., Bowman et al., 2022).

minimize leakage back into the atmosphere. CO₂ has a lifetime in the atmosphere and oceans of 1,000s of years, which makes it imperative that the reservoirs are sustainable over long periods. The duration of storage references how long the carbon removed by a particular technique can be stored. The longest storage times of >1,000 years are essentially permanent removal, while shorter storage times, on the order of years or decades, are less efficient. Third, methods with a low cost-per-ton for removal are considered more economically feasible⁵. Therefore, an ideal method with respect to carbon removal would be highly scalable with long-term storage at low cost. In addition to these three key carbon removal metrics, all forms of CDR may have environmental co-benefits and risks associated with their infrastructure or operation, which require equal consideration in evaluating their potential.

A summary of how the different techniques we review below compare based on these metrics can be found in Table 1. Note that none of the methods we surveyed here fall into the highest category by all three of these metrics. Also included in Table 1 is an estimate of NOAA's potential overall impact with respect to each particular technique. Where the CDR Task Team felt that NOAA could assess the duration, scalability, costs, risks, and co-benefits of the approach, or (b) improve the readiness of the approach by providing decision support tools, we indicated that NOAA may have a high overall impact.

Beyond the relative scalability, duration, energy requirements, and cost of carbon removal approaches, there are other challenges associated with each technique. Some methods of carbon removal that seem promising may be at an extremely early stage of development, meaning that much more research will be required before they can be successfully scaled. We emphasize here that this is especially true for ocean-based CDR methods. Additional study by the entire research community is needed to assess and / or accelerate technical readiness and help better articulate the risks associated with each method. Further, the WG1 report emphasizes that there is a high confidence that most CDR projects will have additional synergies as well as risks that may impact Sustainable Development Goals, particularly those that take place on land. For example, land CDR strategies might improve soil quality (synergy), but also displace food production (risk) (AR6 WGIII). Multiple reviews have posited how carbon removal strategies can incorporate environmental justice (e.g., Batres et al., 2021; Morrow et al., 2020; Bergman and Rinberg, 2021; and the White House Council on Environmental Justice, 2021). Most suggest that well-resourced community-driven decision making, equitable distribution of deployment, geopolitical responsibility sharing, and transparent technology transfer will be essential to inform deployment strategies and build safeguards against past, present, and future harms for marginalized communities and those already disproportionately impacted by climate change.

^{5.} Costs per ton of removal are challenging to calculate, but overall should include the costs for both removal and storage of CO₂ related to infrastructure, operations, and potentially negative environmental impacts. Generally, the costs of co-benefits (both sale of potential by-products as well as environmental co-benefits) are not included in the cost per ton of removal.



Issues in Monitoring, Reporting, and Verification

Given the potential economic benefits of many forms of CDR, there is an increasing demand for Monitoring, Reporting and Verification (MRV) standards that can help assess projects (CarbonPlan, 2023). In general, MRV refers to the accounting practices that assess the additionality, durability, and leakage of a carbon removal project:

- Additionality: Quantifying that, as a result of the project being assessed, emissions are overall lower than they would have been in the most plausible alternative scenario. Additionality assessments ensure that a real action was taken, and verify that the action resulted in a net removal of carbon. Measuring additionality requires separate measurements of (a) a counterfactual baseline, (b) robust measurement of gross removal, and (c) calculations that account for the emissions in the production and supply chain of the removal project (e.g., Lifecycle Analysis (LCA)). (For additional discussion of additionality, see Terlouw et al., 2021.)
- Durability: Assessment of how long the carbon removed can be stored with a low risk that emissions removals can be reversed because (a) the project is stopped (reversibility); (b) destroyed, as through a natural disaster or (c) is offset by natural feedbacks. (For additional discussion of durability, see Ruseva et al., 2020)
- Leakage: Estimates of how much carbon might escape back into the atmosphere
 after it has been removed. Leakage can be direct (e.g., escape of gaseous CO₂
 from a storage tank over time), indirect (e.g., through natural carbon-climate
 feedbacks, or shifts in algal production due to nutrient robbing), or market-based
 (e.g., a CDR project somehow created increased emissions in an entirely different
 sector). (For additional discussion of leakage, see <u>Filewod and McCarney</u>, 2023.)

It is important to note that much more research is required to define best practices that quantify additionality, durability, and leakage. These measurements challenge even the most robust biogeochemical measurement and modeling capabilities existing today. From an observational perspective, carbon removal from CDR methods often happens slowly over large spatial scales (e.g., Burt et al., 2021), meaning that extremely precise measurements are required to detect a small removal signal against an otherwise highly variable background. Even where these measurements can be made, the current spatial density of observation networks may be insufficient to resolve small-scale dynamic variability. Computational models can help resolve some of this uncertainty, but face their own challenges, including coarse spatial resolution compared to the scale of CDR projects. Validation of CDR packages for earth system models is also particularly challenging: a model that is built to assess a measurement that cannot be made in its own right necessitates a rigorous validation protocol (e.g., Wang et al., 2022, Wu et al., 2023; Berger et al., 2023).

Measurement and modeling of leakage can also be challenging, through abiotic feedbacks (e.g., <u>Cao and Caldeira, 2010</u>; <u>Vichi et al., 2013</u>) and ecosystem compensation (e.g., Boyd et al., 2022, Hurd et al., 2022, Bach et al., 2021), which can



be rife with parameter and process uncertainty and unknown unknowns. Although carbon drawdown takes place over wide spatial scales and long time scales, leakage assessments must be made on the same scale as storage, not removal: a project that claims 1,000 years of durable carbon removal may require 1,000 years of leakage monitoring.

NOAA's expertise and infrastructure is well suited to addressing some of these challenges, especially as they inform the design of the next generation of observing systems and earth system modeling. New sensing development, enhanced coverage of observing systems, emerging computational modeling techniques, and carefully designed decision support products will all eventually be required to create high-quality, transparent MRV standards (again, see Table 2). Note that it is likely that MRV practices may vary: the unique challenges associated with each CDR method, as well as with specific deployments of varying scale, may necessitate individualized approaches.

Another key NOAA asset is the agency's contributions to measuring and monitoring the global carbon budget. In addition to MRV tuned to each project, it will also be important to consider carbon removal in the aggregate. While this global-scale effort cannot replace project-scale MRV, it is essential to understanding the overall impact of mCDR. Independently tracking this aggregate impact also means a separate system of infrastructure. While it may be convenient to use well-established climate time series as a counterfactual baseline for small-scale CDR projects in the same area, direct perturbation against these baselines will also reduce the integrity of the time series as a whole. NOAA's expertise in building cost-effective, fit-for-purpose observing systems is ideally matched to understanding how global climate monitoring and monitoring for MRV can dovetail effectively.

It is important to note that MRV practices also address how the measurements of additionality, durability, and leakage are reported, verified, and certified, as well as the accounting and crediting of removals. There are important open questions concerning which regulatory agencies may have jurisdiction over MRV and CDR certification, and how issues such as environmental impact assessment, practicality, intellectual property, and environmental justice will intersect with MRV practices. Although MRV for some CDR methods is better established than others (e.g., DAC+S; see Climeworks, 2023), at present, a patchwork of unevenly applied voluntary and certification standards of varying quality guide the sector (e.g., Arcusa et al., 2022; Cooley et al., 2023). Some of these standards are applicable across multiple methods of CDR, but importantly many ocean-based CDR methods do not map cleanly to these existing guidance frameworks. In general, it will be essential for emerging MRV methods and standards to be transparent, so that their effectiveness, uncertainty, safety, sustainability, and fairness can be assessed (Batres et al., 2021; Cooley et al., 2023).

Given these uncertainties and the implications that variable definitions can have, we do not use the language of MRV in this report, although many relevant issues in the quantification or verification of CDR methods are discussed both in reference to individual CDR methods as well as NOAA's role in the observing, modeling, ecosystem research, and decision support that will support high-quality MRV practices.



	Technological Readiness	Estimated Cost (\$ / tCO2 removal)	Scale Potential (Gt CO ₂ / yr)	Duration of Storage (years)	NOAA Potential Impact	NOAA Catalysts
Alkalinity Enhancement	Low - Moderate	Low - Moderate (\$25 - \$160)	Moderate - High (1 - 15+)	High (>20,000)	High	NOAA sets the global standard for ocean carbon system observations and sensor deployment
Coastal Blue Carbon [14,16,19,20]	High	Low (\$10 - \$50)	Low (0.1 - 0.4)	High (> 1000)	High	NOAA is a national Leader in coastal blue carbon monitoring, conservation, and restoration
Ecosystem Recovery [14]	Moderate	Low (\$10 - \$50)	Low (0.1 - 1)	Low - Moderate (10 - 100)	High	NOAA is a national Leader in coastal blue carbon monitoring, conservation, and restoration
Macroalgal Cultivation [2,3,14,15,16]	Moderate	Low - Moderate (\$25 - \$125)	Low (0.1 - 0.6)	Low - Moderate (10 - 100)	High	NOAA is the national clearinghouse for monitoring, spatial planning for macroalgal aquaculture
Direct Air Capture [1,2,3,4,5]	High	Low - High (\$40 - \$1000)	Low - High (0 - 11)	High, using geologic storage (> 1000 Years)	Moderate	NOAA observing network (GGRN) sets global standard for verification
Direct Ocean Removal [14,17,18]	Low - Moderate	High (\$400 - \$600)	Moderate (1 - 10)	High, using geologic storage (> 1000 Years)	Moderate	NOAA sets the global standard for ocean carbon system observations and sensor development
Ocean Fertilization [2,14,19]	Moderate	Low - Moderate (\$50 - \$125)	Low - Moderate (0.1 - 1+)	Low - Moderate (10 - 100)	Moderate	NOAA sets the global standard for ocean carbon system observations and sensor development
Bioenergy Carbon Capture and Storage (BCCS) [22]	High	Low - Moderate (\$20 - \$200)	Moderate (3.4 - 5.2)	High, using geologic storage (> 1000 Years)	Low	NOAA observing network (GGRN) sets global standard for verification
Afforestation and Reforestation [2,11,12,13]	High	Low - Moderate (\$2 - \$150)	Cow - High (0 - 12)	Low - Moderate potentially reversible (10 - 100 years)	Low	NOAA observing network (GGRN) sets global standard for verification
Artificial Upwelling / Downwelling ^[4]	Low	Moderate (\$100 - \$150)	Low (0.1 - 1)	Low - Moderate (10 - 100)	Low	NOAA sets the global standard for ocean carbon system observations and sensor development
Soil Carbon [2,6,7,8,9,10]	High	Low (\$0-\$100)	Moderate (2 - 6)	Low, potentially reversible (< 30 - 40 years)	Low	NOAA observing network (GGRN) sets global standard for verification

 $^{^{[1]}}$ Minx et al., 2018, $^{[2]}$ Fuss et al., 2018, $^{[3]}$ Nemet et al., 2018, $^{[4]}$ Fasihi, Efimova, and Breyer, 2019, $^{[5]}$ Keith et al., 2018,



Table 1. Summary of CDR methods by duration of storage, scale potential, estimated costs per ton of CO₂ removal, and overall technical readiness. Methods are sorted by NOAA's potential impact. Higher favorability (e.g., high technical readiness or low cost) is indicated by darker blue shading. Boxes with multiple shades indicate a higher range of favorability. Filled circles indicate NOAA's capabilities to address, validate, measure, or improve any of these characteristics (e.g., by increasing or validating storage duration, or by lowering costs of the method), though this may require additional capacity. NOAA's potential overall impact is addressed in the last two columns, highlighting where key NOAA assets could catalyze research in each method, and where NOAA might have the highest overall impact.



⁽⁶⁾ Smith, 2012, (7) Smith, 2016, (8) NASEM 2019, (9) Paustian et al., 2019, (10) UNEP, 2017, (11) Liu et al., 2016, (12) Smith et al., 2016b,

NASEM 2015, [14] NASEM 2021, [15] Krause-Jensen and Duarte, 2016, [16] NOAA CBC White Paper, [17] Eisamann, 2010,

^[18] de Lannoy et al., 2018, [19] NOAA 2010 OF White Paper, [20] Braswell et al., 2020, [21] Macreadie et al., 2019, [22] NRC 2019

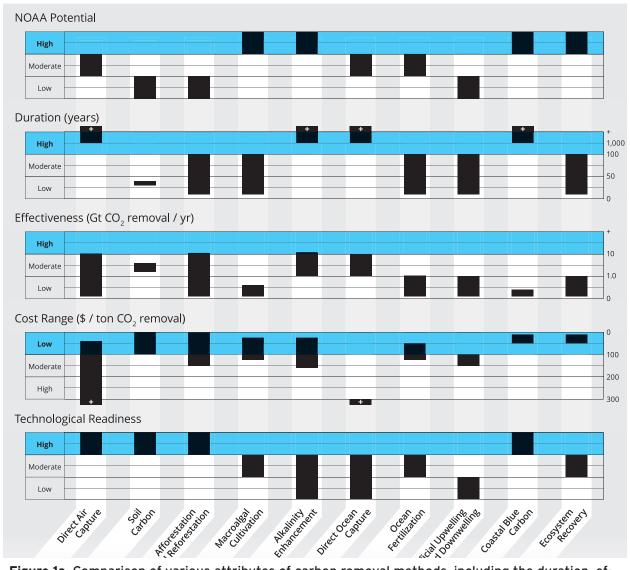


Figure 1a. Comparison of various attributes of carbon removal methods, including the duration, effectiveness, cost range, technical readiness, and potential for NOAA to contribute for these methods. The data for this table are taken from Table 1. Highlighted here is ocean alkalinity enhancement, one of the methods of carbon removal that is most related to NOAA's existing mission. Note that this visualization is particularly challenging. An alternate visualization of some of this data can be found in Figure 1b, next page.



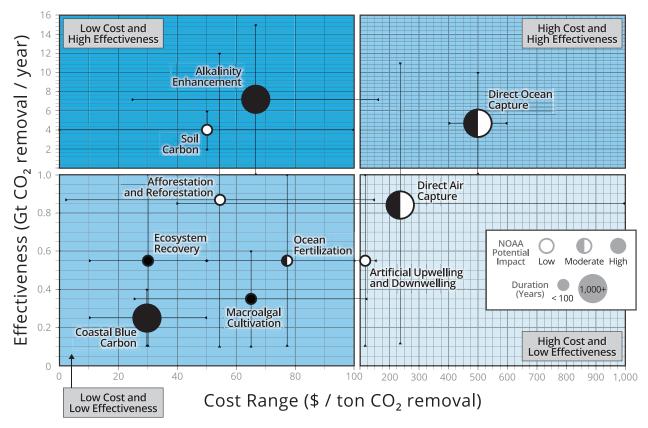


Figure 1b. Comparison of various attributes of carbon removal methods, including the effectiveness (Gt CO2 removal / year), cost range (\$ / ton CO2 removal), duration (size of dot), and potential for NOAA to contribute for these methods (dot fill). Note that both axes are broken for ease of visualizing data with large differences in cost and effectiveness. The quadrants are also shaded to show how methods may be able to be grouped together. T bars represent ranges of data. Dots are positioned at the center of these ranges. The data for this table are taken from Table 1. Note that this visualization is particularly challenging. An alternate visualization of some of this data can be found in Figure 1a, previous page.



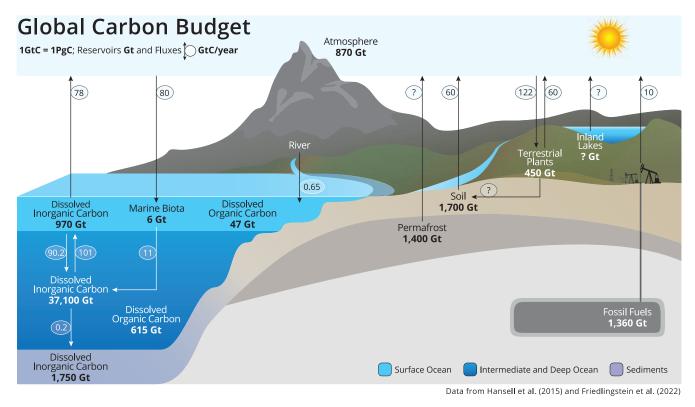


Figure 2. Global carbon budget. The latest global carbon budget given for 2022 from Friedlingstein et al., 2022 and supplemented with data from Hansell et al., 2015. The estimated inventories of the reservoirs shown here are in PgC (in bold) and the annual mean fluxes are in PgC / yr (in circles). Each of the CDR approaches described in this report seeks to store atmospheric carbon in one of these reservoirs.



Our Natural Carbon Dioxide Removal System

Colm Sweeney

A broad perspective of carbon reservoirs and the present-day annual exchange of carbon between these reservoirs (Figure 2) provides some important context for understanding both the CDR processes that are naturally occurring and those reservoirs and exchange processes that can be further enhanced.

The natural 50% uptake, climate change, and carbon-climate feedbacks: Natural sequestration of atmospheric carbon dioxide in ocean and terrestrial environments captures just under 50% of the CO2 that is added to the atmosphere every year through fossil fuels emissions. Without this mechanism, Earth would already be facing a 1.5 °C warming due to the increase in atmospheric CO₂ that we project. However, climate change is already reducing land and ocean carbon uptake capacity, leading to a positive feedback that increases climate change. Permafrost may be a particularly potent example: permafrost soils contain enormous amounts of organic carbon that may respire and be released to the atmosphere as Earth's climate warms. Earth system models suggest that some of these feedbacks (like permafrost-driven carbon release) are not reversible over decadal to centennial timescales, even under scenarios that project gigaton-scale carbon removal from the atmosphere. In some cases, removing carbon from the atmosphere could lead to CO2 outgassing from other natural carbon reservoirs. These carbon-climate feedbacks, both those induced by climate change and those induced by CDR itself, may reduce the long-term efficiency of many of these CDR methods (Cao and Caldeira, 2010; Vichi et al., 2013). NOAA's atmospheric and ocean observations and analysis over the past 60 years have played a critical role in understanding and quantifying the natural carbon cycle, and will continue to play a role in detecting changes that result from continued climate change and from CDR.

Ocean's role - Before atmospheric CO₂ started increasing, it had been assumed that the oceans were a source of CO₂ into the atmosphere due to the fact that on an annual basis ~0.65 Gt of C were being added to the surface oceans through riverine input. However, with the exponential increase in atmospheric CO₂ through fossil fuel emissions, the air-sea CO₂ gradient has increased over time leading to net uptake of atmospheric CO₂ by the ocean. This natural response of the ocean to take up more



carbon as it is introduced into the atmosphere may lose efficiency and slow down and as solubility and biological transport processes change in response to surface ocean warming and the stratification that follows (Cao and Caldeira, 2010; Vichi et al., 2013). It is imperative that NOAA and its collaborators continue to understand carbon-climate feedbacks to better understand the future response to warming and the long-term efficiency of carbon removal.

The reservoir sizes in the ocean also give us valuable insights into marine CDR opportunities. While the gross fluxes of carbon into the ocean are driven, in part, by the biological pump, the 6 GT CO₂ reservoir of biomass signals that the carrying capacity of that reservoir is small. While the dissolved and inorganic carbon reservoirs (~101 Gt C) in the surface oceans are larger, and accordingly could be a less disruptive way to sequester carbon from the atmosphere, sequestration is only half the problem: transport of sequestered carbon to the deep ocean, and ultimately into ocean sediments where it cannot escape back into the atmosphere will ultimately determine the durability of any sequestered carbon pool. It is this ability of the ocean to durably store carbon, rather than to simply absorb it, that is the driving mechanism for several of the CDR approaches described in this report.

Land's role - Like the oceans, the land biosphere has continued to absorb increasing amounts of CO_2 as concentrations in the atmosphere have increased. One mechanism driving this process is known as CO_2 fertilization, which leverages the ever-increasing concentration of atmospheric CO_2 to drive uptake in productivity of plants. In principle, one expects land use constraints and nutrient and water limitation to provide a future threshold to this process. Likewise, as atmospheric CO_2 has increased, so have sources of atmospheric nitrogen which may also be playing a role in biospheric uptake. Meanwhile wildfires are burning more frequently and hotter, displacing massive amounts of soil carbon into the atmosphere.

Again, the simple picture of the carbon cycle (Figure 2) provides important insights to natural processes that could be exploited to advance CDR. One of these takeaways is the fact that terrestrial biota in the form of land plants provide an extremely efficient mechanism for taking CO₂ out of the atmosphere and this process as the first step of atmospheric CO₂ sequestration should be considered. The key here is capturing this carbon in forms that can be stored in deep reservoirs.



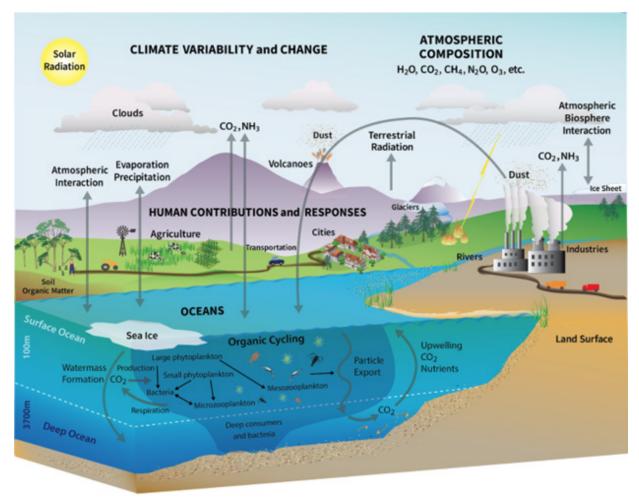


Figure 3. Processes influencing the climate system. Schematic of major natural and anthropogenic processes and influences on the climate system including CO₂, dust, iron, and nitrogen interactions between Earth system components, modified from <u>Dunne et al.</u>, 2020.

Land-Based Approaches

Colm Sweeney

Numerous land-based CDR approaches have been proposed and are being tested on various scales. They involve changes to agriculture, forests, and other landuse activities (AFOLU, e.g., Smith et al 2018, IPCC AR5 Chapter 11), as well as direct air capture of CO₂. Some experimental efforts are funded by the federal government (e.g., ARPA-E, USDA), foreign governments, and many private organizations, who are seeking to support or develop CDR approaches. Most are being conducted only at research levels at this time, but as they develop, there will be a need for demonstrating their effectiveness, verifying that they work on the scales needed, and monitoring the success and environmental effects of each approach once implemented. Other challenges with land approaches include estimating the longevity of sinks, given the likelihood of destruction of natural land sinks (e.g., fires, degradation, respiration) and the resulting unanticipated impacts on terrestrial, coastal and oceanic ecosystems. Just as emission inventories of some greenhouse gases in the atmosphere, e.g., CFCs, HFCs,



 $\rm SF_6$, are being improved with atmospheric measurements and inverse models, atmospheric removal inventories of $\rm CO_2$ and $\rm CH_4$ could be similarly estimated with additional adjustments to the way we currently monitor and report on atmospheric composition.

Direct Air Capture

	Technological	Estimated Cost	Scale Potential	Duration of	NOAA Potential	NOAA
	Readiness	(\$ / tCO ₂ removal)	(Gt CO ₂ / yr)	Storage (years)	Impact	Catalysts
Direct Air Capture	High	Low - High (\$40 - \$1000)	Low - High (0 - 11)	High, using geologic storage (> 1000 Years)	Moderate	NOAA observing network (GGRN) sets global standard for verification

Direct air capture (DAC) describes a number of processes that remove CO₂ from the atmosphere and put the carbon into a more stable form or longlived reservoir. There are several methods of DAC, but all follow a rather straightforward approach, which involves passing large amounts of air through a bed of adsorbent (liquid or solid) where CO, is selectively removed from the air and purified into a stream of gas that can be transformed into biochar-like material or deposited in geologic reservoirs where it can be subject to long-term storage or remineralization (Figure 4). While these processes are generally well developed, one key challenge of DAC is the necessary high-energy inputs: for DAC to be carbon negative or even carbon neutral, the energy required to drive these systems must come from renewable or non-CO₂-emitting sources. Other DAC challenges include siting plants where environmental conditions favor the process and materials supply chains for these engineered sorbents / solvents. This also contributes to the high estimated costs of DAC. However, in just a few years, estimated removal costs have fallen from a prohibitive U.S. \$2202 / T C (NASEM 2015) to as low as U.S. \$367 / T C or less (NASEM 2019, 2021)⁶. Several companies, philanthropic NGOs, and venture capital organizations are continuing to develop, refine, and improve approaches, including well-resolved pathways for verifying the amount of carbon removed, such that the price of DAC is likely to fall even further in coming years. DAC methods generally cause minimal ecosystem disruption but do require expansive land use, a potential development hurdle. Other detriments include limited availability of reactive substrates and relatively unknown longevity of removal and cost of long-term storage.

NOAA's Capabilities Relevant to Direct Air Capture:

 NOAA has a strong atmospheric monitoring capability that can be augmented to achieve the desired granularity and temporal resolution in atmospheric observations needed to track DAC removal of gases. NOAA's labs provide high quality, long-term observations of the trends and distributions of CO₂ and other greenhouse gases (GHGs), make GHG observations from large and light aircraft, surface sites, and tall towers, conduct process studies to evaluate both point and distributed sources and

^{6.} Originally expressed as U.S. $$600 / \text{ton CO}_2$ (NASEM 2015) and $$100 / \text{ton CO}_2$ or less (NASEM 2019, 2021)



- sinks of GHGs and other climate influencing constituents in the atmosphere, and analyze and predict impacts of changing CO₂ concentrations. In tandem, NOAA's satellites provide broad spatiotemporal coverage of CO₂, and the agency supports a strong aircraft capability for understanding changes in the Earth system.
- Much of what we know about CO₂ in Earth's atmosphere derives largely from NOAA's observations. In particular, NOAA has built a data assimilation system known as <u>CarbonTracker</u> which convolves atmospheric transport and atmospheric CO₂ observations to produce a 4D state estimate of CO₂ in the atmosphere that can also be used to identify sources and sinks of CO₂ from land and oceanic reservoirs. As more observation sites are added to this data assimilation system, the granularity of emissions information will increase. Adding capacity to these capabilities will lead to a healthy system for monitoring and evaluating the success or failure and risk of various CDR approaches.

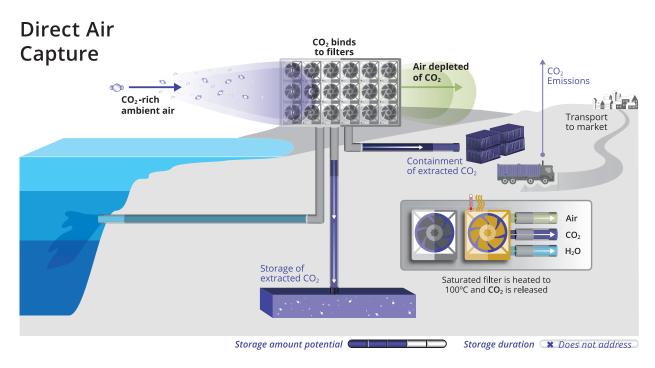


Figure 4. Direct Air Capture. Extracting dilute concentrations of CO_2 (~410 ppm) into pure CO_2 that can be transported to storage reservoirs requires technologies that can absorb CO_2 in solid or liquid reservoirs in one phase and release concentrated CO_2 in a second phase. The above example shows a filter-based approach that absorbs CO_2 at one temperature and releases captured CO_2 at a higher temperature.



The Role of Geologic Carbon Storage

Tamara Baumberger and David Butterfield

Long-term storage is a key part of carbon removal strategy and planning. Along with burial of organic carbon in deep-sea sediments, reaction of carbon dioxide with rocks is a primary, natural mechanism to remove carbon dioxide from the atmosphere / hydrosphere (Sleep and Zahnle, 2001). The dissolved carbon dioxide in seawater that infiltrates the ocean crust reacts with basalt to form carbonate minerals, durably removing CO₂ and storing it as solid rock (Alt and Teagle, 2003). The process is thermodynamically favorable at low to moderate temperatures and requires no additional energy.

Large-scale experimental studies have been carried out in Iceland (Clark et al., 2020) and Washington state (Goldberg et al., 2018) to demonstrate that concentrated carbon dioxide pumped into basaltic formations reacts quickly to form carbonate minerals. Sub-seafloor storage of CO_2 within exploited oil reservoirs in the North Sea has been tested but the results are not published. The geochemistry of depleted oil reservoirs is substantially different from basaltic reservoirs, so the conversion of CO_2 to carbonate minerals is less certain. Given the huge extent of basaltic ocean crust and the known properties of permeability and porosity, the capacity of sub-seafloor basaltic reservoirs exceeds the gigaton-scale needed for significant CO_2 removal and storage (Goldberg et al., 2018). Off-shore, sub-seafloor storage of CO_2 does not require precious fresh-water resources associated with terrestrial reservoirs and does not threaten aquifers needed for agriculture and municipal water supplies.

The basaltic ocean crust along Cascadia Margin (off-shore Oregon, Washington, and British Columbia) has been studied and characterized. Scientific drill-holes penetrate through the thick sediment cover into the underlying basaltic crust (<u>Hunter et al. 1999</u>; <u>Butterfield et al., 2001</u>). The high pressures and low temperatures at the seafloor and within the crust stabilize pure CO₂ as a condensed liquid that is denser than surrounding seawater and, combined with the 200-m thick sediment cap, make it highly unlikely that stored CO₂ would migrate back into the deep ocean. Direct injection of CO₂ into the sub-seafloor may be more permanent and have fewer potential ecological impacts on the deep ocean than sinking equivalent quantities of marine organic material to the seafloor.

There are major technological challenges associated with scaling up CO₂ removal and pumping into a sub-seafloor reservoir. A pilot project led by Ocean Networks Canada with a diverse consortium of partners is directly addressing these issues, as well as the major socio-economic challenges associated with CDR and sub-seafloor storage. Although not part of CDR, industrial carbon capture in some coastal areas could also link to sub-seafloor storage (Goldberg et al., 2018) and reduce the amount of point-source CO₂ released to the atmosphere during the societal transition from fossil-fuel to carbon-free energy sources.

The Department of the Interior (BOEM) and the USGS, with academic and industry partners, are conducting research and evaluating feasibility of carbon storage in basaltic reservoirs. As a result of extracting oil from the ocean crust, the oil and gas industry has relevant technologies and processes for piping CO₂ into the ocean crust.

as relevant expertise in the global and marine carbon cycle, seafloor mapping, geology, geochemistry of water / rock reactions, benthic ecosystems, ocean engineering, deep-sea technology, chemical monitoring and other areas needed to help site potential test projects for sub-seafloor storage and to monitor their effectiveness and safety. As the agency with responsibility for the health and sustainability of the oceans, NOAA has a mandate to be involved in evaluating potential CDR and carbon storage strategies.



Soil Carbon and Biospheric Approaches

	Technological Readiness	Estimated Cost (\$ / tCO ₂ removal)	Scale Potential (Gt CO ₂ / yr)	Duration of Storage (years)	NOAA Potential Impact	NOAA Catalysts
Soil Carbon	High	Low (\$0-\$100)	Moderate (2 - 6)	Low, potentially reversible (< 30 - 40 years)	Low	NOAA observing network (GGRN) sets global standard for verification

Terrestrial systems in the northern hemisphere remove $\sim 1/4$ of the carbon emitted to the atmosphere each year through anthropogenic activities (Tans et al 1990), including agriculture, forests, and other land-use activities (AFOLU) capable of storing carbon for long periods. However, this sink is particularly challenging to quantify. Regrowth of forests, storage in soils (e.g., Figure 5), destruction of biomass by fires, additional impacts of climate change, and other processes need to be better monitored and understood before they can be accelerated to remove additional CO_2 from the atmosphere. Changes in agricultural practices could possibly be used to store more carbon in forest trees and their root systems, to retain more carbon in soils, or to convert the biomass to stable forms (e.g., biochar). The practices will likely provide an important pathway for restoration of soil organic carbon as well as reduction of costs for agriculture. However, the longevity of these storage techniques and their broader impacts is poorly understood.

In all of these land-based efforts, monitoring and verification will be essential. Many of these techniques are in their infancy and the widespread nature of soils, forests, and the like make this particularly challenging. Inventory accounting will be necessary to track carbon captured through these systems, but equally important will be top-down approaches, i.e, validation from atmospheric observations, as well as tracking of adverse effects on soil health (e.g., Kowalska et al., 2020). If CO_2 has been removed effectively from the atmosphere, that will be measurable in the atmosphere over large enough scales. If efforts are not working, then that will show up in the atmosphere, too.

NOAA's Capabilities Relevant to Biospheric Approaches

NOAA's <u>CarbonTracker</u> product today provides quarterly estimates of CO₂ transfers to / from the atmosphere by the oceans and terrestrial biosphere. Currently, CarbonTracker can provide good estimates of net annual CO₂ uptake across North America, and coarser estimates of world emissions and uptake from the biosphere assuming fossil fuel estimates are well constrained. In the continental US NOAA is also actively using radiocarbon (¹⁴C) of CO₂ measurements from the atmosphere to separate fossil emissions from biospheric emissions enabling quantification of changes in biospheric uptake resulting from CDR at large scales.

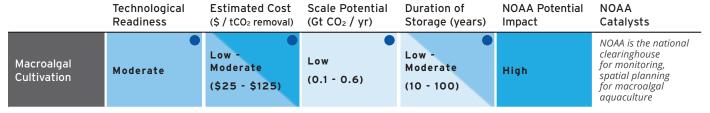
Next Steps for Developing NOAA's Capabilities

 With an appropriate observational framework with greater density and frequency of observations, along with ¹⁴C of CO₂, used to separate fossil fuel burning emissions from natural emissions, CarbonTracker could provide excellent information at subcontinental and policy-relevant scales.





Macroalgal Cultivation for Carbon Sequestration Jordan Hollarsmith, Simone Alin



Macroalgae comprise a diverse group of marine photosynthesizers, many of which grow extremely quickly (centimeters / day), thereby rapidly taking up CO₂ from surface waters. It is estimated that 0.17 GT of macroalgal carbon per year, or 11% of total NPP, is currently sequestered globally in nearshore and deep ocean sediments, the majority of which results from naturally occurring (non-cultivated) macroalgae populations (Krause-Jensen and Duarte 2016)7. Accordingly, there is increasing interest in using macroalgae as a "low-tech" marine CDR strategy through aquaculture and habitat restoration⁸. Macroalgae biomass that is not harvested for food or other uses naturally sinks to the seafloor and a large fraction of macroalgae-derived carbon may be stored in benthic sediments for decades to millennia (Duarte et al. 2017). Cultivated macroalgae may also be intentionally sunk into deep water with the goal of seguestering carbon. While this may be an efficient method to ensure that macroalgal carbon is sequestered, there may be unintended ecological consequences: for example, nutrient reallocation may simply shift production from microalgal to macroalgal settings, providing limited sequestration benefit (Bach et al., 2021). Further, the removal of nutrients from the natural seasonal cycle may limit future local production (Wu et al., 2023), and the remineralization of this material may also lead to oxygen depletion and acidification (Wu et al., 2023). Given that macroalgae can be converted to nutrientdense foodstuffs (e.g., Stedt et al., 2022), there may also be social resistance to this method as it involves the willful destruction of viable food sources that could

7. Note that 2019 U.S. kelp farm production was 112,000 lbs (Alaska); 280,612 lbs (Maine); and 40,000 lbs (Washington). Kelp is also harvested at smaller scales in Connecticut, California and New York. Because production estimates are not centralized, it is difficult to determine the exact spatial extent of active kelp farming that contributed to these harvest amounts (not all leases are active; not all actively leased areas produced meaningful harvest). These uncertainties in turn make it difficult to quickly estimate the area necessary to sequester or store 1 GT CO_2 . However, the National Academies (2022) suggest that 63% of the global coastline, or a 0.5 km wide continuous belt of seaweed around the entire US coastline, would be required to sequester 0.1 Gt CO_2 / yr. This may exceed the natural areal distributions of the 5 main species of kelp in kelp forests today.

8. More on coastal blue carbon and macroalgal restoration is included below, but here we note that restoration, conservation, and / or protection of natural macroalgae populations is also an important low-tech marine CDR strategy and comes with many other ecosystem services and benefits to coastal communities in the forms of fisheries, wild harvest possibilities, enhanced tourism, and natural beauty (Krause-Jensen and Duarte 2016). However, restoration can be extremely resource intensive, with no method shown to be a guaranteed success, and establishing space protections can be politically difficult (e.g. MPAs) (Eger et al. 2020). Further, if carbon sequestration is an express goal of macroalgal restoration efforts, environmental observations of suitable resolution must be made to verify the magnitude and time scales of carbon sequestration.



be used in furtherance of human food security (WG2 C5). To better understand the potential and effectiveness of marine CDR from cultivated macroalgae, the carbon dynamics of the complete growth to sequestration process must be evaluated (Hurd et al., 2022). Modeling and observational research is needed to identify the oceanographic, ecological, bathymetric, and methodological contexts in which future farms may be sited. The area of macroalgae cultivation required to affect carbon dynamics on a global scale is large, with an estimate that growing enough biomass to sequester 0.1 Gt CO₂ / yr would require an area equivalent to a 100m strip along 63% of the global coastline along all continents and islands (NASEM 2022). To address this spatial challenge, macroalgae can be grown in the open ocean, but growing coastal macroalgae species in a novel open-ocean environment creates substantial ecological concerns (Boyd et al., 2022, Wu et al., 2023). Macroalgae harvested for consumption or fertilizer represents sequestration on the order of months to a few years (while also potentially displacing food and fertilizer derived from more carbon-intensive means), deep ocean sequestration may be stable for timesclaes on the order of hundreds of years, and continental shelf and slope sediments may represent storage of decades to millennia, depending on depth, resuspension, and oxygen availability. Cultivated macroalgae has also been proposed as a fuel for bio-energy carbon capture and storage (BECCS), with the durability of sequestration depending on the method of storage (Wu et al., 2023).

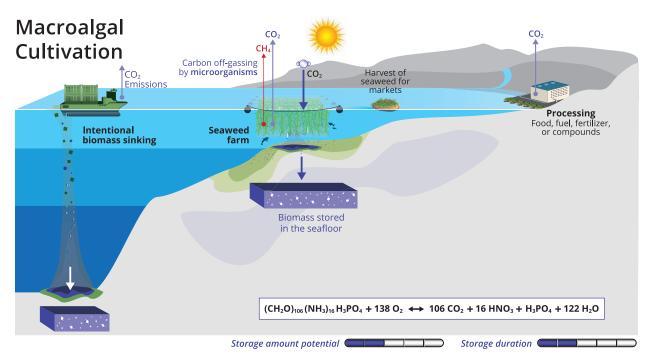


Figure 6. Macroalgal Cultivation. Marine carbon dioxide sequestration via the cultivation of macroalgae. Sequestration occurs during burial in sediment, either through intentional biomass sinking or auxiliary biomass sinking during the growing phase. Some storage effect is offset by carbon off-gassing due to aerobic remineralization of organic matter (indicated by the chemical equation). Macroalgal biomass can also be harvested and processed for food, fuel, fertilizer, or other compounds, which generally results in CO₂ release.



NOAA Capabilities surrounding Macroalgal Sequestration:

- NOAA is involved in kelp conservation and monitoring research in Washington and California, and in National Marine Sanctuaries, including in the Channel Islands, Monterey, and Olympic Coast National Marine Sanctuaries.
- Greater Farallones National Marine Sanctuaries has developed a methodology (and is improving that methodology) to estimate carbon sequestration via bull kelp export to deep-sea environments.
- The NOAA Aquaculture Program inclusive of research at NOAA Fisheries, NOAA Research (Sea Grant), and the National Ocean Service (NOS) - leads extensive efforts to support macroalgae cultivation research, technology development, policy and regulatory support, outreach and education, and international coordination. Current efforts are focused on the Pacific Northwest, Alaska, and New England.

Next Steps for Developing NOAA's Capabilities:

- Build collaborations across NOAA line offices to measure carbon cycling and storage in and around macroalgae farms and natural macroalgal ecosystems (e.g., kelp forests, sargassum mats, etc.).
- Develop models to estimate sequestration duration and scaling potential across NOAA regions.
- Pair spatial analyses for siting macroalgae farms with modeling of optimal intentional sinking sites to maximize sequestration potential.
- Use benthic surveys and experiments to improve understanding of the ecological effects of added macroalgal biomass.
- Conduct experiments and modeling to understand ecological consequences of macroalgae cultivation in novel environments

Ocean Alkalinity Enhancement Richard Feely, Brendan Carter



The ocean holds almost 45 times as much carbon as the atmosphere (Figure 2) due to dissolved "alkaline" minerals that naturally enter the ocean through rivers and groundwater over geologic timescales. These minerals are responsible for seawater being slightly basic, and allow seawater to naturally take up CO₂ from the atmosphere and store it as dissolved carbonate molecules (predominantly as bicarbonate, or HCO₃). "Ocean Alkalinity Enhancement" refers to efforts to increase this ocean CO₂ storage capacity by increasing seawater alkalinity, thereby changing natural air-sea gas exchange into a CDR process. Strategies for increasing seawater alkalinity include electrochemical acid removal (Figure 7a) and accelerated weathering of alkaline minerals (Figure 7b). Notably, seawater



alkalinity is stable in the ocean for timescales of many thousands of years, meaning these approaches address both the removal and storage of CO₂ by shifting the balance of air-sea CO₂ exchange further toward the ocean. Ōverall, some estimates suggest that the timescale of carbon sequestration by alkalinity enhancement could be 100,000 years (Renforth and Henderson, 2017). Increasing seawater alkalinity has the co-benefit of mitigating ocean acidification (OA) by elevating pH. Possible shortcomings include high cost (both in terms of money and carbon footprint) associated with mining and transporting alkaline materials or storing or neutralizing removed acids, trace element contamination from enhanced mineral weathering, the risk of altering natural chemical cycling. There are also unknown biological effects from methods that locally elevate pH above pre-industrial levels or that introduce large amounts of particulate material to the ocean. Research on these approaches so far has been mostly limited to laboratory and modeling studies. Key unknowns include chemical and biological impacts of adding alkalinity or other byproducts, such as trace metals and silica, to the ocean (Renforth and Henderson, 2017). Key research needs include: 1) Initiating smallscale proof-of-concept field testing of ocean alkalinization to better quantify CDR potential as well as ecosystem impacts; 2) Developing models and observational tools capable of monitoring ocean alkalinization efforts and verifying carbon

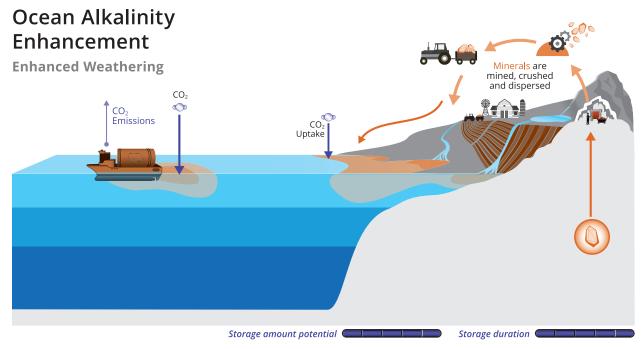


Figure 7a. Ocean Alkalinity Enhancement: Enhanced Weathering. Ocean Alkalinity Enhancement through the mining, pulverization, and spreading of alkaline minerals on land or in the oceans. This process can speed up the natural weathering of alkaline minerals that contributes alkalinity to the ocean. Increasing ocean alkalinity shifts natural air-sea CO₂ exchanges in favor of enhanced ocean storage. This diagram focuses on one approach whereby alkalinity is increased by reaction with olivine minerals, but there are many processes and mineral reactions under consideration that consume acid and thereby increase ocean alkalinity. It may be practical to spread the most soluble minerals directly over the ocean surface, just as it may be necessary to place the least-soluble minerals in areas where chemical conditions or high wave action speeds mineral dissolution.



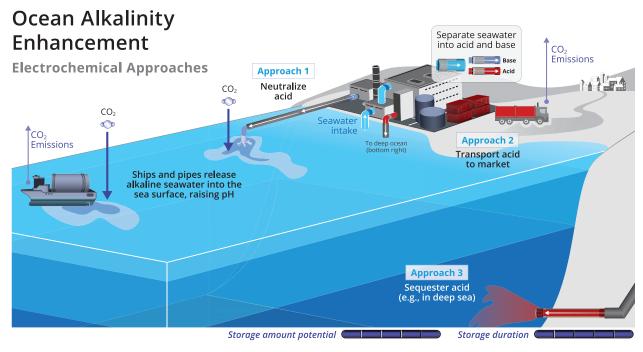


Figure 7b. Ocean Alkalinity Enhancement: Electrochemical Approaches. There are many approaches under consideration, but a common element is the use of electrolysis or electrodialysis to split seawater into an acid (often HCI) and a base (often NaOH). The base is then mixed with seawater and returned to the surface ocean to increase surface ocean alkalinity. Increasing surface ocean alkalinity shifts natural air-sea CO₂ exchanges in favor of enhanced ocean storage. To achieve a long storage duration, the acid must be prevented from rapidly returning to the surface ocean. Proposed approaches for preventing or delaying this return to the ocean include neutralizing the acid at the source using alkaline minerals, diverting it to market to displace acids produced using fossil fuels, or by sequestering the acid in sediments or the deep sea. There are some approaches under consideration where the base is converted to alkaline minerals for efficient transport (better represented by Figure 7a) and some approaches where the acid and base are used to remove CO₂ from seawater (better represented by Figure 8).

dioxide storage; 3) Improving models to help identify suitable locations for various ocean alkalinity enrichments and potential co-benefits and detriments to marine ecosystems (e.g., mitigating OA or enhancing trace metal toxicity); 4) Investigating upstream and downstream environmental impacts and CO₂ lifecycle accounting; and 5) Developing and optimizing autonomous platforms and strategies for monitoring ocean alkalinity enhancement.

NOAA Capabilities for Alkalinity Enhancement:

 NOAA has a well demonstrated ability to detect changes in ocean alkalinity and ocean carbon content on broad scales.

Next steps to develop NOAA's Capabilities:

- Conduct small-scale proof-of-concept closed-tank and field testing of ocean alkalinization to better quantify CDR potential
- Develop models and new observational tools, including sensors, capable of monitoring ocean alkalinization efforts and verifying carbon dioxide storage.
- Develop models to help identify suitable locations for various ocean alkalinity enrichments, potential co-benefits, and detriments to marine



Carbon Removal as Ocean Acidification Mitigation

Jessica Cross, Brendan Carter, Adrienne Sutton

Emissions reductions are the most direct, reliable, lasting (Mathesius et al., 2015, Hofmann et al., 2019), and well-understood way to mitigate OA. However, CDR methods that lower the concentration of CO₂ in the atmosphere also have the potential to slow OA, and some marine CDR methods also have stronger local OA mitigation impacts. The scale, timing, and approach to carbon removal determines the efficiency and degree of OA mitigation on various temporal and spatial scales. There are many unknowns remaining regarding the OA mitigation potential for CDR and NOAA is well situated to answer these critical questions.

Individual CDR approaches may provide some local OA mitigation opportunities, although the impacts of these applications are nuanced. For example, seagrass meadows and their restoration have been shown to persistently buffer against OA (e.g., Ricart et al., 2021) in some cases, although other studies have found that seagrass net metabolism is typically close to zero on the global scale (e.g., Van Dam et al., 2021). Over longer timescales, alkalinity enhancement may also be a valuable, albeit slow, acidification mitigation mechanism: one recent study suggested that 30 years of alkalinization in the Mediterranean sea, facilitated by cargo ships releasing 200 Mt Ca(OH)₂ each year, can hold mean surface pH values at present-day levels (Butenschön et al., 2021). Other interventions, such as kelp farming or ocean afforestation, may have impacts only seasonally or over short timescales, and may risk displacing existing phytoplankton productivity or produce other negative biogeochemical externalities (e.g., Boyd et al., 2022, Hurd et al., 2022, Bach et al., 2021). However, it should be noted that even short-term or local-scale carbon removal could provide valuable acidification mitigation if occurring during times of heightened organism sensitivity or during episodic acidification events.

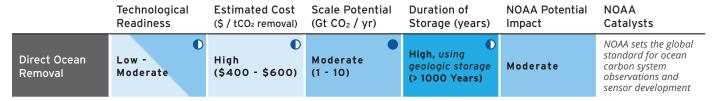
Despite these early uncertainties, multiple major assessments, including the UN 2030 Agenda (e.g., Soergel et al., 2021) and the IPCC (WG3), suggest that many CDR methods provide an opportunity for OA mitigation. When considering the potential of CDR co-benefits, it will also be important to acknowledge the risks of poorly implemented CDR (WG2). While some methods of marine carbon removal are relatively permanent (e.g., ocean alkalinity enhancement), others may have important feedbacks with the earth system (e.g., macroalgal sinking) that could worsen acidification and other associated stressors (e.g. deoxygenation) in subsurface and deep-sea environments. It will be essential to explore these carbon-climate feedbacks as CDR is implemented not only as a carbon removal tool, but as an acidification mitigation mechanism. NOAA's expertise in carbon cycle science, monitoring, and modeling affords excellent opportunities for investigating these feedbacks. NOAA is also mandated to monitor and implement a strategic plan related to OA mitigation and adaptation under the Federal Ocean Acidification Research And Monitoring Act (FOARAM) Act of 2009.



- ecosystems impacts.
- Sustain and expand ocean carbon observations and develop deployable, mobile autonomous platforms and strategies for monitoring and verification of ocean alkalinity.

Direct Ocean Removal

Denis Pierrot



Direct Ocean Removal (DOR) refers to the process by which technologies remove and capture CO₂ directly from the ocean water (or other natural waters) by changing the $p\bar{H}$ of the treated water. The decarbonized water is then returned to the environment to enhance the air-sea CO₂ flux into the water. This technique leverages the ocean's natural capacity to absorb atmospheric CO₂. The benefits of the technique are multiple. First, the method is scalable. Additionally, DOR has the potential to locally attenuate the effects of OA. Second, it is one of the few marine methods that could be deployed offshore, which would avoid expensive and competitive land use. Third, the captured CO₂ gas can be turned into valuable commercial products (e.g., fuel, chemicals, although that would make this process net-neutral rather than net-negative). Fourth, it is an electrical method which has the potential to be powered by fully renewable sources. However, this technology is not yet fully developed (de Lannoy et al., 2018). The main disadvantage of this technique right now is its cost. A recent cost analysis of a prototype-scale model puts it at around U.S. \$600 / ton of CO₂ with a best case scenario of U.S. \$400 / ton of CO₂. The high cost is mainly due to the huge amounts of water that must be circulated, the cost and efficiencies of the membranes, and the cost of chemical inputs (de Lannoy et al., 2018). These costs could be offset by co-locating the CDR plant with water-circulating platforms (e.g. desalination, ships) or ocean currents (Digdaya et al., 2020, de Lannoy et al., 2018, Eisaman et al., 2018). It is reasonable to think that RD&D in the near future will improve membrane materials and lower costs. The impact such a technique could have on an ecosystem is not currently known and research would have to be conducted on different scales. For example, acid waste from the bipolar membrane electrodialysis (BPMED) process must be properly disposed of to avoid environmental harm.

NOAA's Capabilities Relevant for DOR:

 NOAA has the ability to detect changes in ocean carbon content on broad scales.

Next Steps for NOAA on DOR:

 This kind of CDR method would benefit greatly from the field-based mesocosm experiments performed already by NOAA laboratories.



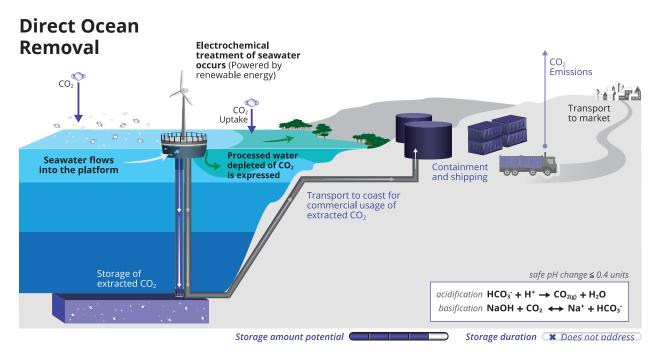


Figure 8. Direct Ocean Removal. Use of bipolar membrane electrodialysis (BPMED) allows the acidification of seawater to remove CO₂ and its sequential basification before release to the environment to absorb more CO₂ from the atmosphere.

 Ocean carbon observations will need to be sustained and expanded, and deployable ocean carbon observing assets developed to detect carbon removal on shorter timescales.

Biological Carbon Pump Enhancement

Emily Osborne, Kathy Tedesco, Alyse Larkin

Ocean fertilization (Figure 9), along with artificial upwelling and downwelling (Figure 10), deliberately enhances the ocean carbon sink by increasing the transfer of CO₂ from the atmosphere to the ocean via the biological carbon pump. The biological carbon pump is a dominant ocean conduit for transporting carbon from the ocean surface to depth (see Boyd et al., 2019 for a review). The process is driven by primary producers photosynthesizing (thereby taking up carbon) in the sunlit surface ocean that upon death sink through the water column, ultimately transporting carbon out of the surface ocean. It is important to note that only a small fraction of this carbon (<1%) ultimately makes it to deep sea sediments for long-term sequestration, as a large fraction is remineralized during its transport through the water column (Buesseler et al., 2020).



Ocean Fertilization

	Technological Readiness	Estimated Cost (\$ / tCO ₂ removal)	Scale Potential (Gt CO ₂ / yr)	Duration of Storage (years)	NOAA Potential Impact	NOAA Catalysts
Ocean Fertilization	Moderate	Low - Moderate (\$50 - \$125)	Low - Moderate (0.1 - 1+)	Low - Moderate (10 - 100)	Moderate	NOAA sets the global standard for ocean carbon system observations and sensor development

Ocean fertilization, which is carried out by the artificial addition of micro- (iron) or macro-nutrients (nitrogen or phosphorus) to increase phytoplankton growth, is intended to result in enhanced CO₂ fixation and ocean carbon export via the biological pump. Micro-nutrient fertilization is the most studied and scientifically advanced of these methods (e.g., ocean iron fertilization (OIF): Martin et al., 1990), and has been proposed as a technique to rapidly and efficiently reduce atmospheric CO₂ levels at a relatively low cost (Buesseler and Boyd, 2003). Ocean macronutrient fertilization (OMF) is fundamentally similar to OIF in that it triggers the biological carbon pump, however, OMF appears to more effectively increase carbon export efficiency and long-term carbon storage (Lawrence, 2014) compared to micronutrient fertilization. Possible OIF impacts of concern include the production of greenhouse gasses such as nitrous oxide (Jin and Gruber, 2003) and methane (Wingenter et al., 2004), significant regional reductions in seawater pH (Oschlies et al., 2010), development of hypoxia / anoxia within the water column (Keller et al., 2014), toxic algal blooms (Trick et al., 2010), as well as other

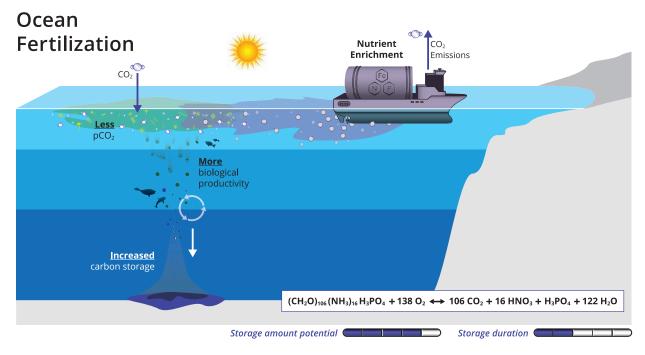


Figure 9. Ocean fertilization. The addition of nutrients (e.g. Fe, N, P) to the surface ocean to stimulate primary production resulting in CO₂ fixation and carbon export to depth via the biological pump. Multiple methods of nutrient delivery to the ocean, such as passive technologies that reduce carbon emissions, should be evaluated.



unintended and unforeseen ecological and biogeochemical consequences from a process explicitly intended to change species composition and alter food web dynamics. A critical downside of OMF is the quantity and cost of macronutrients (N or P) necessary to create sufficient biomass, particularly in comparison to OIF (Lampitt et al., 2008; NAS, 2015). Studies that quantitatively evaluate environmental risks of OMF have been scarce and, therefore, limit the scale of implementation (Harrison et al., 2017). Moreover, ocean fertilization must conform to national and international marine dumping regulatory standards, further limiting deployment of this technology (Silverman-Roati et al., 2022).

Artificial Upwelling and Downwelling

	Technological	Estimated Cost	Scale Potential	Duration of	NOAA Potential	NOAA
	Readiness	(\$ / tCO2 removal)	(Gt CO ₂ / yr)	Storage (years)	Impact	Catalysts
Artificial Upwelling / Downwelling	Low	Moderate (\$100 - \$150)	Low (0.1 - 1)	Low - Moderate (10 - 100)	Low	NOAA sets the global standard for ocean carbon system observations and sensor development

Artificial upwelling has been proposed as one way to reduce the cost of nutrient fertilization by delivering cool, nutrient-rich subsurface waters to the photic zone where it has a fertilizing effect (see Bauman et al., 2014 and Pan et al., 2016 for review). A major drawback is that nutrient-rich upwelled waters also have elevated CO₂ levels, in proportion to the available nutrients, that may outgas if the carbon is not sequestered by phytoplankton, and cancel out the benefit of biological carbon drawdown (Oschlies et al., 2010; Yool et al., 2009). Model simulations have shown concerning potential impacts following the cessation of artificial upwelling. Rather than reverting to pre-upwelling conditions, both surface temperature and atmospheric CO₂ rise to levels even higher than those of the control experiment (Oschlies et al., 2010). This pump can be further enhanced by pairing with artificial downwelling approaches that enhance carbon export via physical mixing and transport of water masses from the surface ocean to the deep ocean. A lack of experimentation and insufficient scientific literature leaves major unknowns regarding the feasibility, efficiency, and risks associated with artificial upwelling and downwelling as well as key uncertainties regarding their potential prohibitively high implementation costs (NASEM, 2015; Zhou and Flynn, 2005; Flynn and Zhou, 2010).

NOAA Capabilities for Biological Carbon Pump Enhancement:

 NOAA has the ability to detect and measure changes in ocean carbon content on broad scales.

Next Steps for NOAA relevant for Biological Carbon Pump Enhancement:

 Sustain and expand the ocean carbon observing network of cruises, moorings and autonomous platforms to monitor the effectiveness and environmental impacts of carbon pump enhancement technologies. Identify natural laboratories and paleoclimate records that can be used to determine



Artificial Upwelling and Downwelling

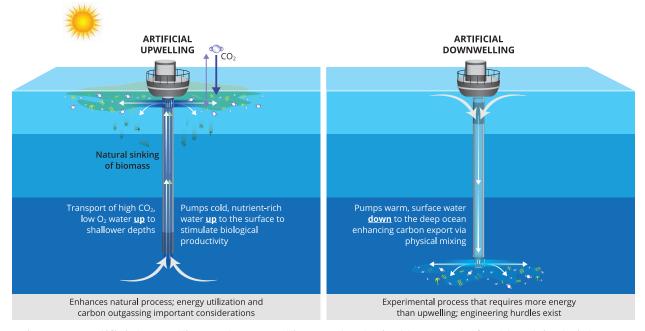


Figure 10. Artificial Upwelling and Downwelling. Technological transport of cold, nutrient-rich water to the surface to stimulate primary production and increased export of carbon to depth (Artificial Upwelling, left) and CO₂-rich water from the surface to depth where it can be sequestered (Artificial Downwelling, right). Note that artificial upwelling can bring naturally high-CO₂, low-O₂ waters to shallower depths where they may impact surface biological systems, or outgas CO₂ back to the atmosphere prior to the onset of high primary productivity resulting from nutrient additions. Additionally, these methods can be energy intensive, and are therefore often recommended to be deployed in conjunction with renewable marine energy sources.

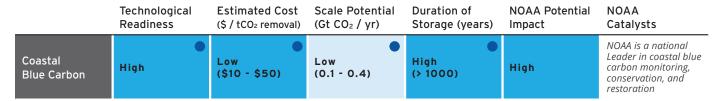
the influence of environmental variability and nutrient fertilization on biological pump strength to better constrain biogeochemical and biological responses to system perturbations

- Develop models capable of simulating respective approaches in order to quantitatively estimate carbon storage efficiency over long time-scales (centuries or longer) and the potential occurrence and magnitude of side effects
- Enhance the quantity and quality of autonomous carbon system sensor technology.



Coastal Blue Carbon

Janine Harris, and NOAA cross-line office Coastal Blue Carbon Working Group



"Coastal blue carbon" is carbon that is sequestered, and stored in coastal wetlands including natural salt marshes, mangroves, and seagrass beds. Carbon is sequestered via photosynthesis and some carbon is imported from high watershed areas and retained in the sediments of these ecosystems (i.e., through lateral input). Coastal wetlands form deep, carbon-rich soils, and store carbon at a much greater rate per unit area than terrestrial habitats, which store carbon primarily in aboveground biomass (NASEM 2019). Wetland soils are largely anaerobic: carbon in the soils decomposes slowly and can persist for hundreds to thousands of years. Quantifying carbon stored and sequestered in coastal habitats has been a topic of research for more than a decade. Current estimates of the annual CO, removal by U.S. coastal wetlands is 0.024 - 0.050 GT / y (NASEM 2019; see also Figure 2). Research on quantification of carbon includes a need to understand the geographic extent of these habitats in the United States and globally. The extent of coastal wetlands and mangroves is understood well enough to be included in the U.S. Greenhouse Gas Inventory accounting of wetland emissions. Emergent coastal wetlands and mangroves are mapped nationally by the National Wetlands Inventory (FWS) and the Coastal Change Analysis Program (NOAA). However, the extent of seagrass beds is not well quantified. Based on the known extent of these habitats, the total U.S. (cumulative) potential additional carbon capacity for tidal wetlands and seagrass meadows is estimated at 0.410 GT CO₂ in 2030- if active ecosystem management, restoration, nature-based adaptation, managed wetland transgression and carbon-rich projects are all implemented as described in the NAS 2019 report (NASEM 2019).

These coastal blue carbon habitats provide additional benefits, including fishery nursery habitat, improved water quality, recreation, tourism, and flood and erosion mitigation (NASEM 2019). Some techniques to enhance these habitats could have tradeoffs that continue to be researched, such as the potential for sediment contamination from fill materials, the effects of shoreline modifications on sediment deposition, and exchange of subtidal habitat areas for tidal wetlands carbon removal (NASEM 2019). Although the overall benefits of coastal restoration may be high, quantifying carbon sequestration is challenging and the upper bound on carbon removal may be low (Williams and Gattuso, 2022)

NOAA Capabilities Relevant for Coastal Blue Carbon:

 NOAA funds research on marsh response to sea level rise and carbon sequestration rates associated with natural and restored coastal wetlands.



- NOAA protects and restores coastal blue carbon habitats (coastal wetlands, seagrass beds, and mangroves) through projects that reconnect hydrology to coastal habitats and consultations on effects of development to these habitats that are important as fish habitat.
- NOAA distributes research funding through a network of university-affiliated programs, which have funded coastal blue carbon projects as well as other research related to coastal wetland habitats and marine geochemical dynamics.
- NOAA funds and manages research projects that produce relevant and timely climate science information, tools, data products, and expertise. For instance, NOAA supports the integration of coastal wetlands in the annual Inventory of the U.S. Greenhouse Gas Emissions and Sinks, using NOAA Coastal Change Analysis Program (C-CAP) data. NOAA is leading the Blue Carbon Inventory (BCI) Project, an interagency partnership supported by the U.S. Department of State to advance the development of tools, approaches and capacity for integrating coastal blue carbon into National Greenhouse Gas Inventories (NGGIs) in developing countries.
- NOAA protects and restores coastal blue carbon habitats. In addition, NOS C-CAP products are used to inventory and routinely update the wetlands contribution to the U.S. Greenhouse Gas Inventory reporting.

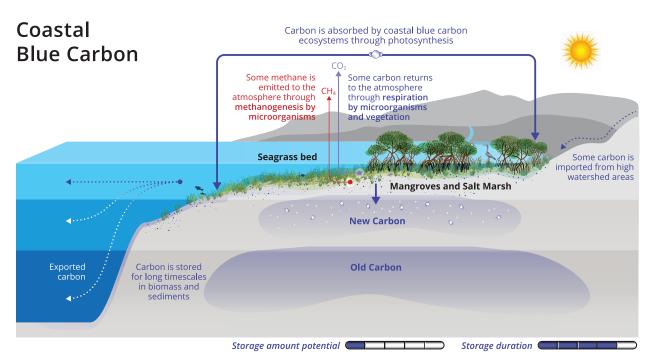


Figure 11. Coastal Blue Carbon. The process by which coastal blue carbon ecosystems (e.g. seagrass, mangroves, and salt marshes) sequester and store carbon. Coastal blue carbon ecosystems absorb carbon from the atmosphere via photosynthesis. Additional carbon is imported through runoff from high watershed areas. Carbon is stored for long timescales in the sediments of these habitats, deep ocean sediments, and the biomass of mangroves, salt marshes, and seagrasses. Coastal blue carbon ecosystems emit some CH₄ and CO₂ back to the atmosphere. Some carbon is exported from these ecosystems to coastal waters and to depth.

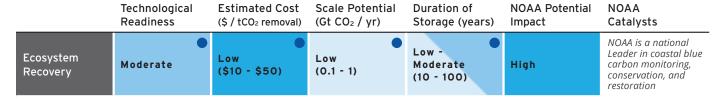


Next Steps to Develop NOAA's Capabilities:

- Increase funds for the Coastal Management Coastal Change Analysis Program (C-CAP) to improve resolution, seagrass coverage mapping, and wetland reporting with each annual update to the Inventory of the U.S. Greenhouse Gas Emissions and Sinks.
- Target investments and enhanced strategic partnerships to support a strong community of practice, a better understanding of carbon sequestration and human-caused emissions from these ecosystems, insights into where to prioritize future restoration investments, and more comprehensive and precise data on the presence and condition of coastal wetlands- particularly salt marshes and seagrass meadows.
- Research the physical connection to oceanic carbon processes (e.g. the
 volume and location of storage of macroalgal and megafaunal carbon
 in deep ocean sediments) and greater quantification of the impacts of
 sediment disturbing activities to help us better quantify the amount and fate
 of carbon exported from coastal blue carbon habitats, as this "outwelled"
 carbon may account for a significant amount of the sequestration potential
 of these habitats
- Expand interdisciplinary research (including social science), stakeholder engagement, and capacity-building to identify meaningful pathways to integrate blue carbon in community resilience strategies, including the consideration of trade offs, enhancing the link between nationally determined contributions (NDCs) and climate finance, and developing sustainable blue economies.



Marine Ecosystem Recovery Zachary J. Cannizzo, and Sara Hutto



A large fraction of the biomass in marine systems is generally composed of nonphotosynthetic organisms including animals, fungi, microbes, and protists. As illustrated in the diagram of the global carbon cycle (see Figure 2), the fraction of living biomass carbon (Blue Biomass) in the oceans is relatively small, especially when compared to other ocean carbon reservoirs. However, the role of animals in transferring carbon from primary producers to other reservoirs, such as the deep sea and sediments, could be significant. The role of animals in biogeochemical cycles and ecosystem structure has been understudied, although recent work indicates that living biomass may be a larger opportunity to aid in ocean carbon removal than previously thought (NASEM 2022). Carbon stored in living marine ecosystems can be increased both through the protection and restoration of marine ecosystems (wild blue biomass) and through aquaculture (farmed blue biomass). For example, rebuilding populations of eight whale species could store and sequester 8.7 Mt C in living biomass (Pershing et al., 2010) with an ongoing portion of the carbon consumed by the animals being pumped to the sea floor in the form of feces and carcasses when the organisms die. These relationships need to be further investigated to understand the potential for using blue biomass to store and pump carbon to longer term reservoirs. Restoration of missing or degraded species and populations to marine ecosystems could not only restore biomass, but also increase the efficiency of ecosystem processes that enhance carbon sequestration and storage, including trophic interactions that increase the carbon sequestration of primary producers (e.g., Atwood et al., 2018; Wilmers et al., 2012). Although the potential carbon pools of marine animals are difficult to quantify, this uncertainty must be balanced against the relatively low cost (<\$50 / ton), low risk, and valuable co-benefits of these methods (e.g., Gattuso et al., 2021, Gattuso et al., 2018).

One challenge is that if the biomass from the restoration of marine ecosystems, altered fishing practices, or aquaculture is simply extracted as a new marine resource (e.g., enhanced fishing), the relative gains in carbon sequestration may be small or even neutral. Coupling restoration of wild organisms with increased farmed biomass from aquaculture to supply increasing demand for seafood and increase carbon cycling should be investigated. Accordingly, restoration must be paired with conservation to ensure net carbon-negative benefits (e.g., <u>Gattuso et al., 2018</u>). Marine conservation efforts already work to protect marine carbon flows and natural carbon sequestration (e.g., <u>Atwood et al., 2018</u>; <u>Wilmers et al., 2012</u>), but conservation regulations have not historically focused



on carbon sequestration. As current and new marine protected areas (MPAs) and aquatic farms are developed, it will be critical to value and target carbon sequestration, and its enhancement, as a key benefit and management priority.

NOAA Capabilities Relevant for Marine Ecosystem Recovery:

- NOAA serves as the trustee for a network of underwater parks encompassing more than 620,000 square miles of marine and Great Lakes waters through a system of 15 sanctuaries and 2 marine national monuments.
- NOAA protects and restores habitat to sustain fisheries, recover protected species, and maintain resilient coastal ecosystems and communities.
- NOAA conducts aquaculture research and development as a cross-line office program.
- NOAA is responsible for the protection, conservation, and recovery of endangered and threatened marine and anadromous species under the Endangered Species Act. To implement the ESA, NOAA works with the U.S. Fish and Wildlife Service and other federal, tribal, state, and local agencies, as well as nongovernmental organizations and private citizens.
- NOAA represents the National Estuarine Research Reserves, a network of 30 coastal sites designated to protect and study estuarine systems.

Next Steps to Develop NOAA's Capabilities:

- Develop advanced mass balance models for marine biomass connected by food webs and in aquaculture ecosystems to determine the scale and potential for wild and farmed blue biomass to enhance carbon sequestration.
- Consider carbon sequestration and storage as a key benefit, target, and management priority of current and future marine protected areas and farms, including identification of key habitats or marine processes that could substantively increase atmospheric carbon sequestration.
- Establish carbon sequestration measurements at farms and key sentinel sites within the <u>National Marine Sanctuaries</u> system and <u>National Estuarine</u> <u>Research Reserve System</u> to identify early changes to carbon pools and fluxes.
- Research the interplay between key restoration activities, such as opening rivers, reconnecting wetlands, restoring shallow corals, and rebuilding shellfish populations to understand the net efficiencies of methods that both release and sequester carbon.



Part III: NOAA's Role in CDR Research

Given NOAA's wealth of experience in monitoring, modeling, and quantifying impacts of the global carbon cycle on human communities, as well as NOAA's existing R&D infrastructure, the scientific community is calling on NOAA to extend its research explicitly into the CDR field (e.g. EFI, 2019; NASEM, 2019; EFI, 2020a, 2020b). NOAA's existing research assets and programs are ideally suited to this task, and many already tangentially address carbon sequestration and removal. Here we address how NOAA's existing mandates, programs, and activities could intersect with CDR research, with additional capacity:

- NOAA's global to coastal observing networks and data assimilation capabilities could monitor and verify the actual carbon drawdown of CDR installations
- NOAA's earth system and regional ocean modeling capabilities could be used to assess and inform the scale up of land and ocean based methodologies.
- NOAA's ecosystem research is well suited to study the potential ecosystem impacts of atmospheric and marine CDR deployments
- NOAA's decision support and ocean planning infrastructure, including
 the agency's management role and stakeholder relationships, could help
 create essential data and data product infrastructures to resolve use,
 siting, management and conservation challenges; conduct necessary
 socioeconomic research; educate public and private partners; maintain trust
 in climate data; and ensure high standards of scientific integrity and ethics.

Observing Networks

Richard Feely, Adrienne Sutton, Colm Sweeney, Leticia Barbero, Denis Pierrot, and Kathy Tedesco

NOAA is the lead federal agency for determining the changing concentrations, sources, sinks and fate of greenhouse gas (GHG) emissions in the atmosphere, oceans, and terrestrial biosphere to better understand changes in weather, climate, and ocean and coastal ecosystems. As such, it has the primary responsibility for maintaining global observing networks to determine the long-term changes and fate of the carbon system and its impacts on global and regional climate. As CDR removal technologies are scaled up over time, the Global Carbon Observing Networks and modeling capabilities will need to be modified and significantly enhanced to be able to quantitatively assess the additional amounts of carbon dioxide removed from the atmosphere and their eventual fate on land and in the sea. Long-term monitoring and scientific analysis of ocean carbon fluxes and inventories is critical for understanding how the ocean sink functions, to determine if ocean uptake of CO₂ is keeping pace with emissions, and how we can best anticipate, mitigate, and adapt to potential future changes. Similarly, long-term atmospheric monitoring will be necessary to verify terrestrial and global uptake of carbon and process studies will be increasingly essential for improving models.



Current NOAA Assets: Observing Networks	Development Necessary for CDR	Potential Impact of new CDR Research			
Global Atmospheric and Ocean Observing (e.g., GGGRN; GO-SHIP; Argo; GOA-ON)	Fill regional gaps; develop deep- sea monitoring network	NOAA continues to verify global Carbon Budget at necessary scales to identify CDR			
Local Atmospheric and Ocean Observing (e.g., CarbonTracker; IOOS RAs; NOA-ON)	Expand to many more sites for comprehensive local-scale monitoring at CDR installations	NOAA verifies, monitors impact of single CDR projects			
Technology Development Programs (e.g., DART; ITAE)	Early investment and partnerships with industry, other agencies	NOAA catalyzes global CDR monitoring and verification potential (e.g., trading accredited offsets)			
Current NOAA Assets: Modeling, Scaling, and Projection of CDR Pathways	Development Necessary for CDR	Potential Impact of new CDR Research			
Earth System Models (e.g., CMIP6) and Regional Models (e.g., ROMS)	New CDR-specific modeling packages	NOAA projects near-term and long-term CDR impacts to identify changes, risks, cobenefits for earth system			
Process Study Models	Development of virtual "testbeds" for CDR research	NOAA designs quality process studies for investigating the impacts of experimental CDR methods			
Current NOAA Assets: Environmental Impacts	Development Necessary for CDR	Potential Impact of new CDR Research			
National Ecosystem Monitoring Programs	Expand to many more sites for comprehensive local-scale monitoring at CDR installations	NOAA verifies, monitors environmental impacts of single CDR projects			
Ecosystem Modeling	Modify ecosystem models to evaluate the effect of CDR	NOAA projects impacts of CDR on marine ecosystems			
Laboratory Research	Design and implement CDR-specific experimental studies for key species	NOAA identifies environmental risks, cobenefits of single CDR projects			
Current NOAA Assets: Decision Support	Development Necessary for CDR	Potential Impact of new CDR Research			
Data Management and Synthesis (e.g., NCEI, OCADS)	Data preservation, interoperability and compatibility, discovery and access, quality control and synthesis	Bridging the gap between observations and subsequent research, MRV efforts to account for carbon credits, and decision support based on these data			
Marine Spatial Planning (e.g., NCCOS, OCM)	Apply new CDR knowledge using existing spatial planning tools	NOAA resolves use conflicts, enhances decision support for CDR implementation requests			
Aquaculture Research, Development, and Policy	Development of sustainable farming methodology; expanded permitting support	NOAA maximizes sustainable coastal marine services			
Collaborative Research and Stakeholder Engagement (e.g., SeaGrant)	Improve pathways for stakeholder participation in NOAA CDR Research	Research reflects stakeholder needs			
	Fill local gaps; conserve existing	NOAA protects and restores			

Table 2. A summary of NOAA's current assets, how those assets may need to be expanded to address CDR research, and the overall impact and outcomes of the development of these systems.



Ocean Observing Networks

Richard Feely, Adrienne Sutton, Brendan Carter, Colm Sweeney, Leticia Barbero, Denis Pierrot, Kathy Tedesco

NOAA's Global Ocean Carbon Network provides long-term monitoring and scientific analysis of ocean carbon fluxes and inventories at a range of spatial and temporal scales, representing over half of all global ocean carbon observations. The Surface Ocean CO₂ Observing Network (SOCONET) measures the temperature, salinity, and partial pressure of CO₂, pCO₂, in surface water and air from Ships of Opportunity (SOOP), including research and commercial vessels, and autonomous platforms to determine the carbon exchange between the ocean and atmosphere. These observations are used to quantify the amount of atmospheric ${\rm CO}_{\scriptscriptstyle 2}$ sequestered by the ocean on seasonal scales, document changes in the surface ocean carbon chemistry, and evaluate the variability in air-sea fluxes to provide meaningful projections of future atmospheric CO₂. As a result of improved and expanded observing technologies and development of the infrastructure supporting annual releases of data synthesis products and carbon budgets, global ocean CO₂ flux uncertainty was significantly reduced over the last two decades. However, further enhancements in SOCONET will be necessary to provide information about changes in regional- to global-scale ocean CO₂ flux at policy-relevant timescales. The <u>U.S. GO-SHIP Repeat Hydrography Program</u>, part of the international GO-SHIP network of sustained hydrographic sections, collects high-quality, high spatial and vertical resolution measurements of a suite of physical, chemical, and biological parameters over the full water column on a global scale. These ocean interior carbon measurements monitor changes in anthropogenic CO₂ inventories throughout the water column. This long-term monitoring of the natural cycle is critical to determine impacts and efficacy of enhanced CO₂ removal.

To support CDR research, NOAA should:

- Continue and enhance the ocean carbon observing network of cruises, moorings and autonomous platforms to determine the efficiency and efficacy of carbon removal and biological responses in both the open and coastal oceans.
- **Expand** the ocean carbon network to provide a more detailed understanding of CDR in coastal, undersampled and climate-sensitive regions where marine CDR process studies will be deployed, especially the deep sea. Enhance regional coverage in the ocean carbon network in order to track the regional to global-scale impacts of CDR projects.
- Enhance the quantity, quality and short-term deployability of autonomous carbon system sensor technology (see next section on Advanced Monitoring).



Atmospheric Observing Networks

Colm Sweeney

NOAA maintains long-term, *in-situ* atmospheric monitoring networks for greenhouse gasses, stratospheric ozone, ozone-depleting substances, radiation at Earth's surface, and aerosols. Monitoring sites, many of which have been running for over 50 years, are distributed globally and sampled frequently to detect changes in climate, ozone-depletion, and baseline air quality. The networks are spread across the U.S. to attribute observed changes in atmospheric composition to changes in natural or anthropogenic sources and sinks within the U.S. However, to verify or validate results from the numerous and diverse CDR efforts in the U.S., NOAA needs a more dense set of observations on the surface and from aircraft to support detailed analyses. CDR efforts in the U.S. will also require high-fidelity transport modeling to help identify source regions. Atmospheric transport modeling exists in many areas but improvements can be made with data from satellites and NWS surface networks already in place.

The detection limits of NOAA's existing atmospheric monitoring system, while already the world's best, are currently not sufficient to provide routine, robust estimates of changes in localized carbon fluxes. Nevertheless, such a capability can be built largely with increases in capacity. Two transformative opportunities stand out: initiating the collection of greenhouse gas data from commercial aircraft and increasing observations of ¹⁴C in CO₂ by a factor of five or more. Recent work demonstrated that NOAA could then report on the success of fossil fuel emission reductions and of net biospheric CO₂ uptake (Basu et al, <u>2016, 2020</u>) not just on a national scale, which NOAA does already, but on policy relevant, sub-continental scales as well. Additionally, CDR-focused mobile networks will be needed following approaches that have been used to identify point and distributed source emissions from urban and oil and gas emissions. This not only will enable direct "top down" assessment of CDR approaches but also the detection of fugitive emissions.

- Continue to provide information on global trends and distributions of GHGs in the atmosphere and on the sources and sinks of these gasses on land and in the ocean, particularly over the U.S.. This information derives from ~140 sites in ~40 countries which are sufficient to accurately describe global phenomena and U.S. trends.
- Expand the density and frequency of atmospheric GHG observations so as to verify the effectiveness of subcontinental scale (e.g., California, New England, Pacific Northwest) emission reduction efforts and CDR activities and be able to separate fossil fuel influences from ecosystem feedbacks. This may also require enhancing regional and sub-regional coverage in the global network.



Transformative Opportunities for Advanced MonitoringAdrienne Sutton, Paul McElhany

The outcome of the envisioned NOAA-led observing system will be a state-of-the-art CDR observing technology that prepares scientists to assess and track the effectiveness of ocean, land, and coastal-based CDR pilot studies in the lab, in controlled tanks, in ocean pilot and large-scale ocean studies. NOAA has a long history of forming public-private partnerships (PPPs) that have quickly delivered novel technology vetted by peer-reviewed processes (e.g., Meinig et al., 2019), especially with the support of the National Oceanographic Partnership Program (NOPP) that leverages present proven ocean observing capabilities. With a structured and disciplined approach, these efforts could lead to a new generation of sensor and autonomous platforms for an array of atmospheric, water, and sediment sampling in harsh offshore locations. NOAA is poised to develop a broad array of new technologies, provide independent and objective evaluation of CDR project performance, and develop a complete strategy for potential implementation at planetary scale (e.g., through the IOOS Regional Associations and other existing coastal and global infrastructure).

Ocean

Adrienne Sutton

New technologies and restoration approaches to enhance ocean and coastal carbon sequestration lack robust and reliable methods of assessment. Ocean observing technologies necessary for this effort are not fully developed, and before CDR approaches can be tested in the ocean, these observing technologies must mature. Information on existing carbon and biogeochemical observing technology is available through the International Ocean Carbon Coordination Project's hardware directory. At the time of release of this report, there are a very limited number of inorganic carbon sensors with the measurement sensitivity able to detect expected mCDR signals. An added observing challenge is detecting mCDR signals above natural ocean variability. For example, in a simulated ocean alkalinity enhancement experiment in the Bering Sea, surface seawater pCO₂ was modified by 10 μ atm close to the alkalinity enhancement release site, but pCO₃ changes were only 0.5 µatm over most of the impacted area (Wang et al. 2022). Direct observability would be possible only near the alkalinity release site using the best autonomous surface ocean pCO_2 technologies developed for ships (e.g., Pierrot et al., 2009), buoys (Sutton et al. 2014), and USVs (Sabine et al. 2020) and using the best laboratory approaches for measuring total alkalinity and pH in discrete bottle samples. The magnitude of potential mCDR changes to other biogeochemical parameters (e.g., nutrients, dissolved oxygen, and organic carbon) and potential biological and ecological impacts is currently unconstrained, but it is likely that further advancements in autonomous observing approaches to measure biological and biogeochemical responses are necessary.

Given the lack of readiness to directly detect mCDR and its impacts, there is a significant need to advance ocean observing technologies. Verification of mCDR



projects will require observing technologies that are capable of detecting mCDR signals and impacts and can function autonomously over large spatial scales and over the time needed to access durability of sequestered carbon. In addition, independent validation of eventual regional- and global-scale carbon sequestration will require an enhanced and expanded ocean observing system. The desired outcome of these innovations is state-of-the-art ocean observing technology that prepares public-sector scientists to assess the effectiveness of ocean and coastal-based CDR proposals, work closely with industry and innovators on project design through public-private partnerships, provide independent and objective evaluation of CDR project performance, and develop a strategy for potential implementation at scale.

To support CDR research, NOAA can do the following:

- **Continue** and accelerate autonomous ocean carbon observing technology development currently underway.
- Launch a partnership that leverages the ocean observing capabilities
 of NOAA and the energy harnessing expertise of DOE to catalyze ocean
 observing technology innovation. Effectively evaluating ocean and coastalbased CDR projects will require a new generation of ocean sensors and
 platforms able to function far offshore in harsh conditions and over
 immense temporal and spatial scales—necessitating innovative solutions
 in platform and sensor development, data integration, adaptive sampling,
 anti-biofouling, and energy generation and storage-at-sea using renewable
 energy.

Atmosphere

Colm Sweeney

Outfitting commercial aircraft with sensors to automatically measure CO₂ and other GHGs in real-time or near-real-time would be a game changer for understanding GHG fluxes. This has the potential to multiply the number of vertical profiles that would be available for analysis by a factor of 100s to1000s, would provide a uniform coverage of the U.S., and would be relatively inexpensive. (NOAA currently gets vertical profiles from 14 sites, but only once every two weeks at best.) NWS is already doing this with measurements of water vapor, which has improved weather forecasts significantly at minimal cost. It is also being done for GHGs on a small scale by the Europeans who have outfitted several long-range aircraft (e.g., A-340), but the instruments are large and cumbersome and provide only two vertical profiles per day at select locations. If NOAA can equip 10-20 Boeing 737s or Airbus A-321s with small packages, it would revolutionize the analysis of GHG fluxes and provide the capability to report on subcontinental-scale emission reduction and CDR efforts. NOAA scientists are already experimenting with this approach with existing instrumentation in cooperation with an aircraft manufacturer and an airline, but a smaller package would go a long way toward making this approach more acceptable to several airlines.

Another transformative opportunity is to use atmospheric observations to



separate ecosystem influences from fossil fuel influences on subcontinental scales. This is necessary for supporting both emission reduction efforts and CDR. It requires increasing current observations of ¹⁴C in CO₂ by about a factor of five (Basu 2019). ¹⁴C is present in the atmosphere and in the biosphere, but absent in fossil fuels. Hence, reduced fossil fuel emissions will show up in the atmospheric inventory, which in turn allows for separation of ecosystem processes from fossil fuel interference. Urban emissions reductions could be objectively quantified by aircraft campaigns upwind and downwind of the area, including the use of ¹⁴C, and repeated at suitable intervals, to support local emissions reduction policies. This, too, would be relatively inexpensive and would go a long way toward determining the effectiveness of certain CDR approaches and supporting the U.S. stocktake.

To support CDR research, NOAA can do the following:

- **Continue** support for GHG research networks, specifically aircraft programs that collect vertical profiles of greenhouse gasses in the atmosphere.
- Expand research partnerships with commercial aircraft, including installation of sensors to automatically measure CO₂ and other GHGs in real-time or near-real-time; use atmospheric ¹⁴C observations to separate ecosystem influences from fossil fuel influences on subcontinental scales.

CDR Risks and Co-Benefits for Marine EcosystemsPaul McElhany

NOAA is responsible for the stewardship of the nation's coastal and marine ecosystems and resources. In fulfilling that responsibility, NOAA can play a key role in research on the benefits and risks of CDR on marine ecosystems, as well as development of tools, models, and science advice products to support CDR permitting decision making by regulatory entities such as EPA and the U.S. Army Corps of Engineers (USACE). NOAA National Marine Fisheries Service's mandates under the Magnuson - Stevens Fishery Conservation and Management Act, Endangered Species Act (ESA), and Marine Mammal Protection Act would likely require consultation on and permitting of certain pilot projects and other CDR-related activity in the marine environment (those that affect Essential Fish Habitat, species listed under the ESA and their critical habitat, and marine mammals). Given NOAA Fisheries' ecosystem-based management approach, all activities in the marine environment have the potential to connect with the agency's mandates.

NOAA currently uses modeling, experiments and monitoring to evaluate the consequences of CO_2 emissions on marine ecosystems, primarily by investigating how CO_2 driven warming, deoxygenation and acidification affect important resources. NOAA can use these tools to estimate potential benefits to marine ecosystems of lower CO_2 from either land-based or marine CDR. In addition to considering how reduced CO_2 in general may benefit marine ecosystems, NOAA Fisheries is in a unique position to evaluate the ecological consequences (both positive and negative) associated with any particular marine CDR strategy. If any of the proposed marine CDR approaches are implemented at a large enough



	Fertilization	Nutrient Robbing	Toxic Materials Exposure	Modified pH/ Alkalinity	Increased Ship Traffic	Fishing and Ship Obstacle	Habitat Alteration	Introduced Species
Ocean Alkalinity Enhancement: Enhanced Weathering	⊘		⊘	\odot	\odot		\odot	
Ocean Alkalinity Enhancement: Electrochemical Approaches				\odot	\odot		\odot	
Direct Ocean Removal				\odot		\odot	\odot	
Ocean Fertilization	\odot	\odot	\odot	\odot	\odot		\odot	
Artificial Upwelling	⊘	\odot		\odot		\odot	\odot	\odot
Artificial Downwelling		\odot		\odot		\odot	\odot	\odot
Macro-algae Cultivation		\odot		\odot		\odot	\odot	\odot
Blue Carbon				\odot			\odot	
Ecosystem Recovery				⊘			⊘	

Table 3. Potential modes of ecological and human activity impacts of marine CDR. The table is sorted top to bottom in approximate order of scalability for removal of carbon (summarized in Table 1). This table was synthesized from information in Bach et al. 2019, Boyd et al. 2022, Campbell et al. 2019, Caserini et al. 2021, Cooley et al. 2023, Feng et al. 2016, Fernand et al. 2017, Hartman et al. 2013, NASEM 2021, and Williamson et al. 2022. The modes of potential impacts for each method are highlighted in blue and are in addition to the intended climate impact of mCDR deployment. The impact could have a positive or negative effect on the environment. For example, some increase in pH or alkalinity could have a positive effect on some species by mitigating the effect of ocean acidification (Albright et al. 2016). However, increases of pH or alkalinity above historical levels or at accelerated rates may have a negative effect on the physiology of some species (e.g. Menendez et al. 2001). The specific mCDR methods under development within each row category are diverse, as will be their ecological impacts. For example, macroalgal cultivation could involve nearshore kelp farms (NASEM 2021) or drifting algae rafts (Boyd et al. 2022), each with different ecological consequences. Each of the column categories also include an array of specific impacts. For example, habitat alteration might include increased shading from structures or macroalgal cultivation or it could involve explicit habitat improvement activity for ecosystem recovery focused mCDR. Most methods intend to increase the surface ocean pH and.or alkalinity. However, these same methods may lead to a decrease in pH (increased ocean acidification) in some places, at some times. For example, CO₂ or low pH water may be pumped to the deep ocean for storage, changing the pH there. Other methods could lower surface or near surface pH, such as artifical upwelling that brings low pH water to the surface or macroalgae cultivation that may lead to low pH events due to respiration The intent of this table is to describe broad general patterns, but, as it shows, the details matter.



scale to affect the global carbon cycle, they will likely have substantial direct and indirect effects on marine ecosystems. Further, certain CDR approaches (e.g., macroalgal cultivation) have the potential for ecosystem-scale co-benefits, such as nutrient removal from eutrophic systems (e.g., Gulf of Mexico) and provision of habitat for wildlife. Ecosystem monitoring and environmental interactions research will be required to understand the scaling potential of these co-benefits, as well as potential risks, such as "nutrient robbing" in which macroalgae cultivation could deprive other primary producers of essential nutrients. A summary of potential environmental impacts is given in Table 3.

Although the potential benefits of marine CDR may be quite high, so are the potential risks of approaches such as ocean fertilization or artificial upwelling to marine ecosystems. The history of unexpected consequences from ecological interventions suggests we approach CDR with as much information about the trade-offs of each method as possible.

Marine Ecosystem Monitoring

In fulfillment of its mission, NOAA and its partners conduct extensive and varied marine ecosystem monitoring associated with the management of fisheries, conservation and recovery of protected resources, understanding the ecology of marine sanctuaries and basic ocean exploration. A robust ecological monitoring program is essential to documenting expected benefits from CDR operations, and, perhaps more critically, detecting and responding to any unexpected ecological changes that occur from CDR implementation. The different CDR methods would require different levels and types of ecological monitoring and, although there is a variety of implementation approaches within each method, the estimated rank order from most to least monitoring needs is as follows: 1) Nutrient enrichment is highest because the explicit intent is to fundamentally change biological communities, 2) Macroalgal / microalgal cultivation is also intended to change biological communities but at a relatively more localized scale, 3) Alkalinity enhancement, though not directly manipulating the biological system, is likely to affect biological communities at a potentially large geographic scale, 4) Coastal Carbon Burial is a biologically-based approach whose effectiveness and benefits should be monitored, but there is generally less ecological risk than other methods, and 5) Direct ocean removal may not explicitly manipulate the biological system but could have impacts on more localized spatial scales.

Ecological monitoring for marine CDR projects will need to be broad in scope, designed to sample at all trophic levels, affected habitats and seasons, and able to detect the unexpected. Unexpected ecological responses are an issue both during the pilot phase, when novel ecological manipulations are being attempted and at the implementation phase because a change in scale has the potential for a qualitatively different result from pilot studies. Ecological monitoring also has to contend with naturally high levels of variability driven by environmental processes not related to marine CDR and by complex biological interactions. Because of this variability, assessing ecological impacts can often require relatively long time series before and after a perturbation. This creates challenges



given the potentially competing need to address atmospheric CO₂ quickly and the need for thorough ecological evaluation. Evaluating ocean and atmospheric carbon monitoring data may operate at different time scales from the ecological monitoring data.

The species groups and environmental parameters targeted for ecosystem monitoring will likely be mCDR method dependent. Of particular concern with most methods is the effect on primary producer species composition. mCDR induced changes in nutrient availability and alkalinity can both alter the relative abundance of species at the base of the food web. Species that are particularly vulnerable to OA will be a primary focus for observing potential benefits of mCDR. Important marine resources at higher trophic levels (e.g fish, marine mammals, sea turtles) are also a high monitoring priority. In short, mCDR has the potential to affect multiple components of the marine ecosystem and an early priority will be expanding NOAA's ongoing efforts at marine indicator prioritization.

To meet these monitoring challenges, NOAA will need to accelerate development and deployment of remote and autonomous ecological sensor methodologies to detect changes both in a broad suite of general ecological indicators and in targeted indicators particular to concerns associated with a specific marine CDR application (e.g. concern about harmful algae and ocean fertilization). Autonomous eDNA sensors, video systems, acoustics and other methods deployed on a variety of platforms, including ships of opportunity and the infrastructure of the IOOS Regional Associations, will need to augment traditional, ship-based research cruises. The scale of ecological perturbation required to meaningfully shift concentrations of atmospheric CO₂ using marine CDR requires multiple approaches and a marine ecological monitoring system to match. For example, 'omics tools at NOAA's Atlantic Oceanography and Meteorology Laboratory offer an important approach to assess the biological impacts of both unchecked OA and CDR applications. In addition to technological improvements, a comprehensive monitoring program will require increased collaboration with regional partners.

- **Continue** ecosystem monitoring at all trophic levels at a variety of spatial and temporal scales according to the agency's current mandate.
- Start collaborations with federal and nonfederal partners to plan and implement targeted ecological monitoring, including in the deep sea, initially at the pilot project scale and ultimately at the scale of operational CDR. NOAA may also need to work with partners to accelerate development and deployment of autonomous ecological sensing systems, including 'omics approaches, to monitor at the anticipated scale. An early step is identifying the best species and environmental parameter indicators for mCDR activities.



Ecosystem Modeling and Risk Assessment

NOAA (in particular NOAA Fisheries) develops and maintains ecosystem models at a variety of spatial scales and with differing degrees of complexity that can be used to evaluate proposed CDR activities. Some of the end-to-end ecosystem models explicitly include biogeochemistry and can help predict the effectiveness of CDR activities at removing CO₂. Models that focus on the dynamics of species that play an important role in carbon cycling (e.g. coccolithophores) can also aid in assessing CDR effectiveness. In addition to these models that could directly contribute to understanding carbon dynamics, a much broader suite of ecosystem and single-species models used by NOAA and collaborators can help assess secondary ecological impacts of CDR activities. Several marine CDR methods (e.g. fertilization, macroalgae cultivation) explicitly manipulate the marine ecosystem, and impacts from CDR are certain to ripple through the food web in ways that have nothing directly to do with global carbon cycling. Even inorganic methods of CDR could have indirect ecological effects. For example, ocean alkalinization could have impacts through the introduction of particulates, metals contamination, altered ship traffic, localized chemistry shifts, etc. Many of the ecosystem models, singlespecies models, and Models of Intermediate Complexity for Ecosystem assessment (MICE) are designed to evaluate the response of the system to perturbation and CDR activities can be modeled as a specific type of perturbation to the environment.

NOAA's expertise in modeling the impact of environmental change on natural marine resources can be directed toward understanding whether CDR will achieve the goal of reducing CO₂, whether CDR will generate any secondary benefits to the ecosystem (e.g. enhanced fish habitat or benefits to protected species) or whether CDR presents additional risks or hazards to the ecosystem that need to be weighed in cost-benefit analyses. As part of that cost-benefit consideration, NOAA could continue its current modeling of the risks of climate change and acidification, i.e. the risk of not using CDR to reduce atmospheric CO₂. NOAA's ecosystem models commonly include an evaluation of the economic and social consequences of alternative management actions- valuable information for assessing CDR approaches. These data can contribute to a societal impact assessment (SIA) for mCDR as is done by NOAA for fisheries (Clay and Colburn, 2020).

- **Continue** developing and analyzing ecosystem models of environmental effects on marine resources.
- Improve focusing ecosystem models on understanding ecological and societal impacts of specific CDR activities.



Ecosystem and Species-focused Experimentation

Some questions about potential ecosystem impacts of CDR can be addressed through laboratory and field experimentation. NOAA already conducts experiments to evaluate the risks of acidification and warming on species of particular economic and ecological concern (e.g. bivalves, crabs, salmon). These experiments help quantify the risk of increasing atmospheric carbon and the potential benefits of CDR. The same types of experiments, where species or groups of species are reared under controlled conditions in aquaculture-like settings can be used to evaluate secondary effects of marine CDR activities. For example, alkalinization involves dispersal of buffer material in the ocean. This process can be mimicked at a small scale in the lab to determine how sensitive species respond to exposure, which presents a new physical substrate for biological interaction and may contain impurities (e.g. metals). NOAA has laboratories dedicated to this sort of marine ecotoxicological research. Each of the proposed marine CDR approaches presents specific risk concerns that can be evaluated in the lab.

Although much more challenging and therefore less common, manipulative experiments can be conducted in the field to evaluate the potential effect of marine CDR perturbations in a more natural setting. To create environments with controlled conditions for experimental comparisons, parts of a natural ecosystem can be enclosed (e.g. ocean acidification FOCE experiments), natural locations with limited circulation can used (e.g. reef alkalinization experiments), or short-term manipulations can take place on the open ocean (e.g. fertilization experiments). If these experiments are designed to monitor carbon fluxes and the ecosystem, they would be considered CDR pilot projects, however, they also can be designed to evaluate potential secondary effects of CDR activities. Ecosystem effects in mCDR pilot studies could be evaluated, for example, with carefully designed before-after-control-impact (BACI) studies (Seger et al., 2021) focused on monitoring species groups that are both key indicators of ecosystem function and have suspected sensitivity to mCDR activity.

Data on species' responses from lab and field experiments will be critical inputs into the models used for predictions of ecosystem response to CDR. The lab and field experiments can also be conducted to explicitly address questions about biological processes in the carbon cycle (e.g. productivity of kelp in given conditions, rates of phytoplankton calcification, molecular controls of calcification, etc.)

- Continue conducting laboratory and field experiments on species responses to warming, acidification, and other environmental changes, especially in a multi-stressor context.
- Improve and begin conducting lab and field experiments to explicitly address CDR method specific questions, such as alkalinization, especially in a multi-stressor context.



Modeling, Scaling, and Projection of CDR Pathways

John Dunne, Jasmin John, Darren Pilcher

NOAA has already made critical investments in ocean biogeochemical and ecosystem models as well as fully coupled chemistry-climate-carbon Earth System Models (ESMs) that can be brought to bear on CDR science. These ESMs are crucial to simulate present-day climate, as well as reliable future predictions and projections of climate change and ecosystem consequences. Better understanding of the implications of greenhouse gas emissions and CDR for the coupled carbonclimate Earth system are key to provide reliable guidance to policymakers and other stakeholders on sensitivity to projected changes, vulnerabilities, and human dimensions for societal resilience. Because individual marine CDR methods are local rather than global in scale, however, a hierarchy of modeling tools will be necessary. While the existing global scale tools provide the climate context for CDR impacts, answering questions about the effectiveness and biogeochemical and ecosystem impacts of local to regional CDR activities may require both higher resolution and regional modeling as well as incorporation of additional processes. These tools will allow the combination of CDR scenario assessment, detection and attribution, observation system simulation, and process studies to increase understanding and inform sound policy.

Earth System Modeling

John Dunne, Jasmin John

NOAA is a world leader in conducting relevant climate change simulations towards achieving NOAA mission goals to understand and predict changes in climate, weather, oceans and coasts. NOAA researchers also support the development of comprehensive coupled global Earth system models. For the sixth phase of the international Coupled Model Intercomparison Project (CMIP6, Eyring et al., 2016), NOAA developed two state-of-the-art fully-coupled models: an earth system model focusing on increased comprehensiveness (ESM4, Dunne et al., 2020), and a higher resolution but limited comprehensiveness physical climate model (CM4, Held et al., 2019). These models have been included in several model intercomparison projects (MIPs) relevant to CDR, including projection scenarios (ScenarioMIP, O'Neill et al., 2016), CDR (CDRMIP, Keller et al., 2018), and quantifying committed climate changes following zero carbon emissions (ZECMIP, Jones et al., 2019). These experiments are essential for understanding the implications of CDR for atmospheric CO₂ and climate change in general.

In addition to leveraging existing CMIP6 simulations for regional- to global-coupled carbon-climate projections, higher resolution tools could increase process-level understanding, detection and attribution, and impact studies in support of potential CDR strategies and associated monitoring and enforcement activities. With sufficient resources, NOAA could undertake an extensive suite of fully coupled carbon-climate Earth system modeling sensitivity studies at 0.25 ° ocean resolution and potentially higher resolution models in global ocean only or regional configuration comparing possible sites of 1 GT C / yr) surface ocean alkalinization,



2) artificial upwelling, 3) macroalgae aquaculture, 4) wetland restoration, 5) iron fertilization, and / or 6) deep CO₂ injection for their efficacy, associated observational detection / attribution requirements, and potential biogeochemical and ecosystem consequences. These proposed activities would provide critical quantification and guidance on the benefits, risks, and monitoring challenges associated with CDR in the Earth system context.

NOAA extends the ability of its laboratories to develop and offer cutting-edge modeling systems, analysis, and derived products by engaging the broad external community in research, knowledge creation, and product development. Research investments through these programs will (a) engage the broad community with simulations planned by NOAA and described above, (b) enable improvements in understanding of CDR techniques and external collaboration for NOAA scientists, and (c) connect CDR activities with other cross-laboratory and cross-Line Office efforts. NOAA has funded a broad array of research activities focused on climate projections and model data analysis resulting in actionable products and inputs to efforts such as the National Climate Assessment. Similarly focused research-to-applications efforts are needed in support of CDR activities.

To support CDR research, NOAA can do the following:

- Continue to apply fully coupled comprehensive global Earth System models towards improved understanding of CDR processes, impacts, and consequences to society, as well as to provide guidance to stakeholders and policymakers.
- Expand efforts to include high-resolution and / or regional model development with targeted idealized or site specific case studies to understand CDR effects and impacts at the local scale.
- Engage the broad research community with NOAA models and data products to better understand CDR dynamics, help improve modeling platforms and systems by expanding the user base for those platforms and systems, and ensuring connectivity with external and cross-Line Office efforts.

Process-Study Modeling

Darren Pilcher

Many marine CDR techniques exploit existing ocean physical and biogeochemical processes to amplify ocean carbon uptake. Detailed process-level understanding and modeling are necessary to fully resolve these pathways and explore potential impacts before CDR techniques are implemented at large-scale. Process-study modeling of CDR techniques supports NOAA's mission goal of climate adaptation and mitigation by advancing the knowledge of key ocean and biogeochemical components of the climate system and how these components can be altered to mitigate climate change. Simulating these changes with confidence before they are implemented can help ensure that CDR techniques do not damage living marine resources and the blue economy.



NOAA laboratories, cooperative institutes, and programs have the scientific expertise, observing system capacity, and modeling infrastructure required to gain a process-level understanding and elucidate the complete effects of CDR techniques. These scientific capabilities are crucial to fully resolve any unintended effects of the CDR process, while also capturing the downstream impacts. Process-based models also serve as virtual testbeds to conduct proof of concept studies and environmental sensitivity tests for CDR techniques before they are implemented. Model simulations run without the new CDR processes serve as control runs, which, when directly compared to simulations with an implemented CDR technique, allow for quantifying net changes. Including tracer variables can also provide a mechanism for tracking specific carbon removed from a CDR process.

To support CDR research, NOAA can do the following:

- Continue conducting process studies that resolve critical gaps in understanding of marine biogeochemical cycling and uncertainty in proposed CDR techniques.
- Improve coordination between observational scientists and modelers to ensure that process studies are designed and implemented to capture the specific variables and rates required for incorporating CDR processes in models.
- Develop model- and observationally-based tools and information products that can provide a sense of impacts and efficacy of CDR techniques to assist CDR policy and implementation.

Decision Support Tools

James Morris, Jordan Hollarsmith, Mike Litzow, Janine Harris, NOAA crossline office Coastal Blue Carbon Working Group, Rebecca Briggs, Alison Krepp, and Katherine Longmire, Li-Qing Jiang, Kirsten Larsen, Tim Boyer, and Patrick Hogan

To ensure CDR activities develop sustainably, appropriately applied planning tools and related policy and stakeholder engagement processes will be required to conceptualize the reality for CDR in the U.S. This planning in collaboration with stakeholders can identify areas that may be suitable for various marine CDR research and the scale at which impacts on the carbon system and the environment may be detectable. Marine CDR strategies, such as large-scale macroalgal cultivation, face many of the same challenges as the nascent and growing U.S. marine aquaculture sector – much of NOAA's aquaculture regulatory and permitting support (e.g science advice products to aid NEPA analysis), outreach and education, and international coordination could be readily leveraged with additional resources to support marine CDR. Similarly, existing spatial planning resources within NOAA currently targeted towards aquaculture planning could be leveraged, including extensive and relevant geospatial data resources, spatial analytical capabilities, and experience with applying these analyses towards permitting and regulatory decision making needs. Further, NOAA recognizes the



need to integrate the socioeconomic impacts (including Environmental Justice impacts) of different CDR activities, alone and collectively, into planning efforts. For example, coastal blue carbon habitat conservation can have substantial cobenefits, such as improved fisheries, increased recreational opportunities, and enhanced coastal community resilience. Continuing to understand these cobenefits and other impacts and how they are affected by different CDR strategies is important for continued marine CDR planning.

Data management, synthesis activities, and product developments for decision support

Li-Qing Jiang, Kirsten Larsen, Tim Boyer, and Patrick Hogan (and colleagues)

Data management, synthesis activities, and product developments are core components of the mCDR research. They help bridge the gap between observations and the subsequent research and decision support, including quantifying carbon removed for carbon credit accounting. Specifically, data management provides for data interoperability and compatibility, discovery and access, and data citation through long-term data preservation, compliance with uniform metadata and data standards and controlled vocabularies.

NOAA has been playing a leading role in the management of ocean carbon and acidification data, and supports most of the major ocean carbon and acidification data products, including the Surface Ocean CO₂ Atlas (SOCAT) (Bakker et al., 2016) and the Global Ocean Data Analysis Project (GLODAP) (Lauvset et al., 2022). NOAA hosts one of the largest ocean carbon and acidification data repositories in the world, thanks to the data holdings that were transferred from the ocean component of the former Carbon Dioxide Information Analysis Center (CDIAC-Oceans). NOAA also manages a broad spectrum of mCDR-relevant oceanographic data, including chemical, physical, and biological observations, as well as physiological response studies, and model outputs.

NOAA oceanographic data is stored in a long-term archive, guaranteeing data will be available for at least 75 years to tailored data display interfaces, and detailed metadata tailored to the needs and preferences of the research community. The archive has version control with historical versions permanently preserved and individually cited. NOAA's National Centers for Environmental Information (NCEI) is an International Oceanographic Data and Information Exchange (IODE)-designated World Data Center for Oceanography, clearing the way for the management of mCDR data, information, and products from the international research community.

Additionally, NOAA has state-of-the-art infrastructure to quality control (QC) data, develop synthesis products, and climatologies / atlases to help support mCDR-related decision support. NOAA's World Ocean Database (WOD) is a centralized repository of QCed oceanographic data collected from various sources, including research cruises, moored buoys, drifters, and other observing systems. This database is continually updated and expanded to include new data and improve its quality and accessibility. The World Ocean Atlas (WOA) provides a comprehensive



view of the ocean's physical and biogeochemical properties on a global scale, by releasing climatologies (mean fields of oceanographic variables on a regular geographic grid at specific depths) and atlases (a collection of graphical depiction pictures of the area of interest, including climatological mean fields, etc.).

To support CDR research, NOAA can do the following:

- Continue providing data management support for long-term preservation, data interoperability and compatibility, and discovery and access. NOAA will continue its effort in quality control, data product and climatologies / atlases developments to help support mCDR research, verification efforts, and decision support.

Marine Spatial Planning

James Morris

NOAA develops and maintains the largest marine spatial datasets in the world (e.g. Coastal Change Analysis Program) including publicly facing tools such as Marine Cadastre and OceanReports. These data and tools can be used to characterize ocean neighborhoods which, just like terrestrial neighborhoods, are intrinsically unique. For example, some ocean neighborhoods have protected areas, some are important highways for ships, some areas are important fishing grounds, some are important marine mammal feeding/calving grounds and migratory corridors, and some are where we extract energy from under the sea floor. Spatial planning will be required to conceptualize the reality for marine CDR in the U.S and to provide information needed for supporting permitting and regulatory decision making. For example, some CDR methods may need to be co-located in areas with sufficient marine renewable energy sources (wave, tide, offshore wind, ocean thermal, surface solar, etc.) Suitability models can be developed capable of identifying areas with the highest opportunity, taking into consideration other ocean uses and conservation efforts. Regardless of the complexity or scale of the planning objective, the planning process often follows the general workflow of 1) identifying the planning objective, 2) inventory of available, relevant data, 3) analysis and mapping of data, 4) interpretation, and 5) delivery of map products and reports. Expertise exists (with data support from the various other programs, line offices and external partners) to support ocean planning at all scales-including coordination with relevant regulatory agencies, such as the U.S. Army Corps of Engineers (USACE), and the U.S. Environmental Protection Agency (EPA). Recent region-wide suitability modeling conducted by NCCOS are producing marine atlases that analyze ocean regions and neighborhoods for a specific planning purpose (i.e., Aquaculture Opportunity Areas).



An atlas-based planning approach for marine CDR combined with established NOAA environmental regulatory processes would help identify where feasible marine CDR approaches could develop given the suite of existing ocean uses and environmental interactions, grounding model-based estimates, and providing pragmatic upper limits of marine CDR scaling potential.

To support CDR research, NOAA can do the following:

- Continue to leverage existing spatial planning resources within NOAA, including extensive and relevant geospatial data, spatial analytical capabilities, and experience with applying these analyses to support permitting and regulatory decision making by appropriate regulatory entities.
- **Increase** spatial planning capacity to include coordination of marine CDR subject matter expertise and potential expansion of data resources.

Aquaculture (Research and Development, Policy)Jordan Hollarsmith, Mike Litzow

NOAA's role in <u>aquaculture regulations</u> centers around ensuring domestic aquaculture production is conducted as a complement to NOAA's marine stewardship responsibilities, which include the protection of the environment while balancing multiple uses of coastal and ocean waters. For over four decades, NOAA has been an international leader in <u>aquaculture research</u> to support science based regulation and industry development. The NOAA Fisheries <u>Aquaculture Program's</u> current research initiatives focus on strengthening in-house aquaculture research capabilities at the agency's regional Fishery Science Centers and other labs, as well as research and development through competitive grant programs.

NOAA field, lab, and modeling capabilities could provide significant value in evaluating the effectiveness and scaling the potential of macroalgae-based CDR approaches. Evaluation and possible expansion of marine CDR approaches parallel the nascent and growing U.S. marine and Great Lakes aquaculture sector. In particular, CDR approaches that require aquatic infrastructure may involve similar permitting requirements and information needs for environmental consultations to those of aquaculture operations. This may allow for opportunities to leverage spatial planning and siting capabilities within NOAA, as well as provide permitting decision support tools focused on evaluation of protected resources, environmental interactions, and other key considerations. Further, cultivation-based CDR approaches are of considerable interest, and rely upon leveraging aquaculture research and development. NOAA's capabilities provide an unparalleled opportunity for collaboration across disciplines, facilities, and coasts that is beyond the scope of individual institutions to address key questions regarding the potential for marine CDR.

To support CDR research, NOAA can do the following:

• **Continue** to support field and modeling capabilities that already support interdisciplinary research:



- Field and lab capabilities: NOAA lab and field research programs
 in the Pacific Northwest, Alaska, New England, Gulf of Mexico and
 Hawaii provide extensive research infrastructure (e.g., large wet lab
 spaces, chemistry and genetic laboratories) and access to diverse
 oceanographic conditions for evaluation of macroalgae and aquatic
 animal cultivation techniques, including biogeochemical cycling
 around farms, new species exploration, and polyculture.
- Modeling capabilities: NOAA has leaders in the field of carbon system modeling in open ocean contexts and nationally-recognized expertise in aquaculture spatial analysis, siting, and permitting- keys to determining the true scaling potential of macroalgae-based marine CDR approaches
- Expand aquaculture research relevant for marine CDR. Marine CDR strategies, such as large-scale macroalgal cultivation, face many of the same challenges as the nascent and growing U.S. marine aquaculture sector- much of NOAA's aquaculture regulatory and permitting support, outreach and education, and international coordination could be readily leveraged with additional resources to support marine CDR as a goal for development of marine aquaculture. Research and technology development opportunities include improved evaluation of the mass balance and cycling of carbon in aquaculture settings, carbon life cycle analyses for aquatic farms, and the development of farming methodology and siting to maximize carbon sequestration.

Coastal Blue Carbon Conservation

Janine Harris and NOAA cross-line office Coastal Blue Carbon Working Group

NOAA's coastal blue carbon (As in Part II, coastal blue carbon is carbon that is sequestered, via photosynthesis, and stored in coastal wetlands including salt marshes, mangroves, and seagrass beds) work cuts across line offices and includes partnerships with other federal agencies and non government partners to better understand the geographic distribution, carbon dynamics, condition of, and threats to these coastal blue carbon habitats (NOAA 2021b). NOAA funds partners, and leads research to quantify carbon storage and seguestration in coastal blue carbon habitats (Kauffman et al. 2020) and study how changes, like sea level rise (Peck et al. 2020), increased nitrogen availability (Czapla et al. 2020a, b), and sediment deposition on salt marshes alter the carbon sequestration and storage in these habitats. NOAA's leadership in science, measurement, national and international policy, and management associated with carbon storage and seguestration in coastal blue carbon habitats can be an asset for CDR research. NOAA also funds and collaborates with partners to understand carbon storage and sequestration rates before and after habitat restoration efforts (Brophy et al. 2018). Recently, NOAA played a lead role in supporting the inclusion of wetlands in the U.S. National Greenhouse Gas (GHG) Inventory, which now serves as a reference for state greenhouse gas inventories. The inventory uses NOAA OCM Coastal Change Analysis Program (CCAP) (NOAA OCM) data as a baseline to determine the extent



of carbon storage and sequestration benefits in these habitats for the United States (EPA 2021). NOAA's involvement in the inclusion of wetlands in the U.S. GHG Inventory puts the agency in a position to share this foundational information nationally and internationally through capacity-building activities, including a recently established partnership between NOAA and the U.S. Department of State called the Blue Carbon Inventory (BCI) Project that is designed to help developing countries integrate coastal wetlands into GHG Inventories.

To support CDR research, NOAA can do the following:

- Continue regular updates and sustainability of the Coastal Change Analysis Program (CCAP) which is critical for understanding the extent of coastal blue carbon habitats for accounting. We also collaborate with international partners on coastal blue carbon science applications for mitigation and adaptation and provide technical assistance and engage in peer-to-peer learning opportunities which are necessary to support global coastal blue carbon collaboration.
- Expand CCAP capabilities for increased resolution and seagrass coverage mapping; support to expand wetland reporting with each annual update to the Inventory of the U.S. Greenhouse Gas Emissions and Sinks; increased support for large-scale coastal restoration projects that store and sequester carbon dioxide at scale; and support for integration of coastal and open sea carbon research.

Collaborative Research and Stakeholder Engagement Rebecca Briggs, Alison Krepp, and Katherine Longmire

NOAA strives to transition research and development into operations, applications, commercialization, and other uses that have a positive impact on the lives of the American people every day (NOAA Research and Development Plan). Aligning NOAA's research capabilities with the evolving needs of stakeholders requires continual engagement, strong collaboration and partnerships to develop and deliver data and services in a way that stakeholders expect to consume them (Jones et al. 2021, NOAA Data Strategy). NOAA has the capacity to build and sustain CDR relevant partnerships (including industry and academia) through existing community-based programs (e.g., Sea Grant, the IOOS Regional Associations, and other coastal and regional programs) with engagement and collaborative research capabilities which build and cultivate long-term relationships at local and regional scales that can systematically identify relevant CDR stakeholders, better understand CDR research needs and gaps, and facilitate transition pathways for science-based information on the complex scientific approaches of CDR, including co-production of knowledge and co-development of products.

Many of the current barriers to large-scale implementation of CDR approaches are driven by limitations in technology, economic scaling, and uncertain socioeconomic and environmental impacts (NASEM 2019). NOAA has the capacity to address these limitations by harnessing its broader research networks. Scaling the most



effective strategies for advancing CDR across multiple sectors requires assessing critical social-technological linkages. In the absence of a socio-economic or transdisciplinary research agenda that addresses implementation barriers, such as stakeholder perceptions and economic analyses of alternatives, the state of the science supporting CDR implementation is incomplete.

- **Continue** to support strong partnership programs that deliver data and services that are relevant and accessible to stakeholders.
- Improve iterative pathways for end-users to participate in aligning NOAA's research capabilities with stakeholder needs.
- Grow relationships with NOAA's community-based programs to inform coproduction and co-development processes
- Start a socio-economic or transdisciplinary CDR research agenda



Part IV: Next Steps: Proposed Development of CDR Research and Coordination at NOAA

NOAA is uniquely positioned to provide decision-makers with the best available science related to the risks and benefits of climate intervention strategies. As a trusted agent and purveyor of the underlying science, data, tools, and information to help people understand and prepare for climate variability and change, NOAA has the internationally recognized expertise to collect the observations and conduct the research needed to understand the efficacy and implications of climate interventions.

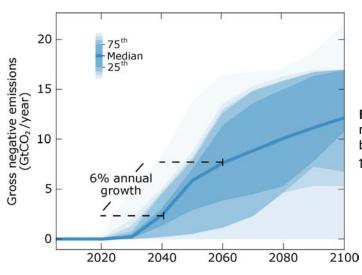


Figure 12. Ensemble projections of necessary carbon removal over time, based on emissions targets that achieve 1.5 - 2 C warming. From Minx et al., 2018.

Synthesized Research Strategy

One of the key challenges of CDR research is the urgency of implementation. Based on an analysis of an ensemble of global climate models, gross negative emissions would need to grow by ~6% per year starting in 2020 in order to curb annual emissions to less than 2 °C of warming (Minx et al., 2018; Figure 12). Given these benchmark analyses, demand for well-researched CDR techniques is certainly growing. The market for carbon offsets has more than tripled since 2017, and is projected to continue to grow at this rapid pace (McKinsey, 2021).

Although the demand factors that can help scale the market for CDR are growing, supply factors that require substantial RD&D and scientific expertise are not keeping pace. A substantial gap exists between the upscaling and rapid diffusion of NETs implied in scenarios and the actual progress in innovation and deployment (Minx et al., 2018), especially for the ocean space (NASEM, 2019 and NASEM, 2022). NOAA research under existing mandates can help accelerate each of these supply factors. The CDR Task Team envisions a 3-wave science strategy (Figure 13), starting with parallel research (Wave 1) that can accelerate progress



towards demonstration projects (Wave 2) and ultimately scale up to a mature observing and monitoring system (Wave 3) to track the efficiency, efficacy, and environmental impact of industry-scale CDR. Given the recommendations made for NOAA's potential role for CDR research in the previous section, some synthesis bullet points for essential activities in each wave are provided here.

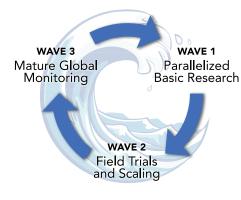


Figure 13. Three waves of CDR research.

Wave 1: Parallel Research

In the broader landscape of CDR research, NOAA's unique role will be primarily to assess the efficiency, effectiveness, and environmental impact of CDR techniques proposed by non-NOAA entities. This is likely to require a portfolio of NOAA science, including carbon observations, environmental monitoring, modeling, technology development, and marine spatial planning. However, to meet these challenges, all of these different tool sets at NOAA will require substantial development. In Wave 1, we envision initiating a well-coordinated body of research that helps to identify key unknowns and iteratively develop observations, biogeochemical models, and marine spatial planning tools (see Wave 1 example, below). This is likely to require a significant planning effort and strong connections to external partners, including other agencies, academic researchers, nonprofit funders, and private sector technologists. Importantly, key stakeholders at local, state, and regional levels will be essential for building public trust in early research results and establishing the social license for carbon removal.

In many ways, this initial step is the most complex part of NOAA's engagement with the CDR process as it will involve so many unknowns and separate pieces. The temptation to simplify this stage by separating these research pathways is particularly strong; however, we note that this could create parallel stovepipes of excellence that could hinder integration and synthesis. Strong central coordination and clear, scaled communication practices will be necessary to overcome these challenges.

Essential Wave 1 Activities:

- Create inventory of existing, planned, and potential CDR activities by the private sector and other agencies
 - Rank the urgency of NOAA efforts relative to the state and likelihood of these activities going forward
- Centralize planning and coordination of research across CDR techniques
- Seek early stakeholder engagement



Wave 1 Examples

An interative research strategy for assessing microalgal.macroalgal carbon removal Early results from other projects have shown how difficult it is to measure and monitor carbon removal from macroalgal projects. Site selection and experimental design are key.

- A strong knowledge of local background processes and of macroalgal modification processes, including growth and sinking, are required, so that these signals can eventually be separated.
- Ideally, a regional or local model would be used to combine these factors to design successful experiments, but many model factors are currently unknown, and can vary by location, species of macroalgae, and duration of the project.
- Biogeochemical models can help define scales at which these key factors can be tested in the laboratory (e.g., rates of respiration); these results can then be applied in the models.
- Once biogeochemical and environmental impacts can be better projected, marine spatial planning tools can be developed to help site these projects
- Combined, these models and marine spatial planning tools can help site small, controlled field programs that answer important research questions.
- Conduct laboratory bench studies assessing key reactions and processes in multiple CDR techniques, including by funding external entities
- Design and grow local to regional scale ocean and air carbon observations through expansion of fixed networks and deployment of suites of mobile observing platforms to establish a baseline for assessing the impacts of various CDR efforts.
- Develop modeling packages that can simulate CDR techniques
- Initiate early scaling studies that can help scope future technological needs and initiate technology development
- Initiate marine spatial planning and governance research, including development of necessary permitting infrastructure and mechanisms for proposed research and field studies to be conducted in Wave 1 early studies, Wave 2 field trials, and Wave 3 deployments.

Wave 2: Synthesis, Field Trials and Risk Assessments

As controlled field experiments produce hopefully promising early results, pressure to scale these projects will be extremely strong given the emerging economic demand. The primary link between Wave 1 and Wave 2 will be a synthesis of these results that drive development of larger scale field demonstrations alongside robust risk assessments. It is primarily in Wave 2 that environmental monitoring is likely to become increasingly necessary to avoid deleterious or harmful impacts on marine resources. Environmental risk assessments will be a key part of these targeted process studies. In this phase, researchers may also be better able to target possible co benefits of carbon removal techniques, including the potential



mitigation of OA at least on local timescales. Additionally, the results from these experiments can help inform important cost-benefit analyses that will shape the potential of the tested methods to scale.

Essential Wave 2 activities:

- Continue stakeholder engagement to identify and evaluate concerns, potential, and likelihood of various approaches
- Synthesize research results and disseminate through transparent data and knowledge sharing, including evaluation and comparison of monitoring methods and enhancement of CO₂ uptake models
- Target, design, and conduct process studies focusing on ecosystem impacts and providing information to evaluated effectiveness
- Take part in large-scale, controlled demonstration projects with complementary scale ocean and atmospheric carbon observations that obtain applicable permits from regulatory entities, as appropriate
- Assess risks associated with the various approaches
- Provide, compare, and contrast results of cost-benefit analyses for the various approaches through LCA and TEA

Wave 2 Example

Rapid Technology Development through Public Private Partnerships-Saildrone USV as a case study and template

- Public-Private Partnerships and interagency agreements can be powerful collaborations to rapidly advance technologies by harnessing the strength of each type of organization, driving towards a shared vision of rapidly developing ocean observing technology. Saildrone Inc. and NOAA Research combined complimentary skills in science and engineering to rapidly develop global-classes of uncrewed surface vehicles (USV) for ocean research. NOAA Research has foundational knowledge to design, operate and improve global ocean observing systems for high impact phenomena such as ENSO, tsunami and carbon flux. Saildrone has the ability to leverage private capital and invest in the complex design and manufacturing of uncrewed vehicles, associated state of the art software and electronics and rapidly scale to meet the density of observations required to advance research and improve ocean forecasting.
- In just six years, NOAA and Saildrone have checked off an impressive set of accomplishments while building a global community of practice using USVs, including: setting endurance records in the harshest oceans on the planet, the highest northerly USV deployment, a circumnavigation of Antarctica, and surviving inside a Category 4 hurricane. Multiple sensors and data streams have been collaboratively developed, tested, verified and documented in numerous peer reviewed journals. For example, eDNA samples have been collected on the Saildrone Surveyor.
- By emphasizing shared needs, complementary strengths, and a clear vision for a sustainable future observing system, this case study can serve as a blueprint for public and private partners to conduct field field experiments and develop novel technology to accurately measure the fate of carbon.



Wave 3: Mature CDR Research and Monitoring

Gigaton-scale CDR is likely to perturb the global carbon system, shifting storage in multiple reservoirs. For example, carbon removal projects could rival the size of today's total annual land and ocean sinks for carbon (Minx et al., 2018). NOAA should be prepared to measure and monitor these shifts both to ensure that CDR projects are effective at sequestration of carbon from the atmosphere over sufficient timescales, as well as to monitor the potential ecological impacts of CDR operations.

This likely will require an adjustment of current ocean and atmospheric monitoring systems, given that many CDR projects could take place in areas that are more difficult to monitor (e.g., coastal zone subsurface ocean, terrestrial soils). The measurement, monitoring, modeling and management techniques that we develop during Phase 1 and 2 should be cohesively targeted at better understanding the needs for this necessary observing project, as well as economically feasible ways of achieving the necessary scale of this work.

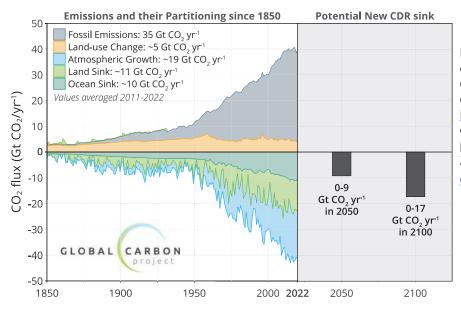


Figure 14. CDR is a new carbon sink: The latest global carbon budget given for 2022 from Friedlingstein et al., 2022, compared to NET goals projected for 2050 and 2100, updated from Minx et al., 2018.

Essential Wave 3 activities

- Continued stakeholder engagement
- Clear public-private partnerships that enable monitoring of CDR industry
- Expansion of the global observing and modeling system to contribute to the verification and validation of CDR, and analysis of additionality, durability, and leakage
- Development of best practices documents and methodologies



Coordinating Research Efforts at NOAA

Beyond NOAA's science capacity, it is clear that a successful CDR research strategy at the agency will require centralized leadership, strong communication, and early stakeholder engagement. Accordingly, it is the recommendation of this Team Team that new investment from Congress should likely sponsor the formation of a new CDR Program Office within the Ocean and Atmospheric Research Division that can provide this essential internal coordination. We want to emphasize that this program will likely rely on leveraged partnerships with existing NOAA research, including, but not limited to, the Global Ocean Monitoring and Observing (GOMO) Program and the Ocean Acidification Program (OAP). Connections to other line offices will be integral, including strong connections to the ecological research programs of NOAA Fisheries and the coastal management and marine spatial planning activities of the NOS National Centers for Coastal Ocean Science (NCCOS) and Integrated Ocean Observing System. NOAA laboratories are likely to play a strong role in implementing the NOAA CDR Research strategy and will be key program partners.

In addition to coordinating the agency response, we envision that a new NOAA CDR Program Office would engage and fund competitive and targeted research from external research institutions, such as the NOAA Cooperative Science Centers, National Sea Grant College Program, as well as academic research colleagues. These targeted research programs will help bring necessary external expertise to the table to achieve NOAA's research priorities in CDR and contribute to NOAA's leadership in the scientific community. A NOAA CDR Program Office may also be able to pursue targeted public-private partnerships that can rapidly accelerate research outcomes.

The NASEM (2019) as well as other groups (EFI, 2019, 2020a, 2020b) project that gigaton-scale CDR is likely to be a whole-of-government effort, with important pieces connecting to the missions of as many as 12 different federal agencies, in addition to state partners. Most of these research recommendations indicate that NOAA, the Department of Energy, and the Department of Agriculture are likely to lead the CDR effort, with NOAA playing a critical role. In particular, EFI recommends that "The National Atmospheric and Oceanic Administration (NOAA) should lead coordination efforts for the federal interagency marine CDR RD&D effort, and should establish a new high level office within NOAA to manage marine CDR RD&D and to coordinate with other federal agencies" (EFI, 2021a). A centralized NOAA CDR Program Office will provide an essential coordinating office to facilitate parallel research efforts and inter-agency coordination.

Given that social license can often make or break the success of a key research strategy, one of the most critical roles of a NOAA CDR Program Office will be engagement with key stakeholders. This is where NOAA will be able to leverage its high standard of scientific integrity and maintain public trust through frequent communication efforts, transparent data- and information-sharing, along with coproduction of research strategies and recommendations. Fortunately, NOAA



has an exemplary infrastructure for conducting this stakeholder engagement, as described in the Collaborative Research and Stakeholder Engagement section of this document.

Essential program coordination activities:

- Serve as a 'home base' for funding and coordinating carbon removal research strategies across the agency, modeled after the Ocean Acidification Program.
- Connect NOAA Research programs with existing research portfolios that support CDR research
- Connect and perhaps fund cross-line-office efforts to study and monitor CDR
- Sponsor competitive and targeted research to achieve NOAA's CDR objectives
- Develop clear relationships with DOE, USDA, NSF and other federal and state agencies to jointly achieve national CDR research goals
- Provide international leadership and coordination.
- Facilitate consistent stakeholder engagement that maintains public trust in NOAA missions and environmental stewardship and supports environmental justice



NOAA Partnerships

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CDR is an interdisciplinary research field with societal implications. Successful implementation of CDR will require sustained collaboration among NOAA and other federal agencies, state, tribal and regional / state partners, along with nonprofits and industry.

Federal Partnerships – NOAA has a long history of partnerships with various federal agencies in order to promote knowledge transfer among agencies, develop funding opportunities, support regulatory processes, facilitate advancements in the infrastructure to observe the ocean and atmosphere, and deliver to monitor marine and terrestrial impacts. Examples of collaborations relevant for CDR are described in this section.

Knowledge-transfer partnerships - NOAA has a long history of facilitating interagency groups to promote knowledge sharing. For example, partnerships between NOAA and the National Institute of Standards and Technology (NIST), enabled through the Interagency Working Group on Ocean Acidification (IWG-OA) under the Subcommittee on Ocean Science and Technology (SOST), have facilitated NIST to transition into making carbonate chemistry standards for the ocean observing community, strengthening the resilience of supply. NIST will also be an important player in the atmospheric carbon observing. Another example of these strong knowledge-transfer partnerships is NOAA's relationships with the National Aeronautics and Space Administration (NASA) whereby NOAA plays a critical role in evaluating NASA satellite products as well as the role that NESDIS plays as an operational user of many of NASA's sensors and satellites. The interagency connections enabled by both the IWG-OA and the SOST led to the first interagency marine CDR Notice of Funding Opportunity in 2023.

In terms of CDR research explicitly, NOAA is closely collaborating with the Department of Energy, with NOAA scientists serving on DOE's congressionally mandated inter-agency Task Force on Carbon Removal. DOE is also interested in establishing CDR liaisons at NOAA and DOE to best coordinate parallel missions and advance understanding of the sustainable development of the urgently needed carbon removal toolbox. Specifically, DOE has requested inter-agency support in developing this toolbox, including fundamental materials development (including considerations for sustainable sourcing at large scales) and reactor design / reaction engineering; LCA and TEA; identification of important process parameters; and sensor development for accurate CO2 quantification.

A major federal knowledge-transfer partnership is facilitated by the United States Global Change Research Program (USGCRP). Established in 1999, the



U.S. Carbon Cycle Science Program (US CCSP) Office, in conjunction with the Carbon Cycle Interagency Working Group (CCIWG), coordinates and facilitates the activities for the U.S. Carbon Cycle Science Program relative to global change issues. The CCIWG and the US CCSP, representing 14 federal agencies, funds and coordinates U.S. and international carbon cycle research across terrestrial, atmospheric, oceanic and societal systems and interfaces. In 2021, the CCIWG launched the Interagency Carbon Dioxide Removal Research Coordination (I-CDR-C) workstream with the goal of exploring and advancing interagency CDR research coordination strategies. Presently the I-CDR-C is preparing a high-level CDR overview detailing how and where CDR science and development intersects with various agencies' mission areas. The overview will be made available to the Subcommittee on Global Change Research to highlight opportunities for collaboration. This overview will be presented to the CCIWG, the USGCRP Executive Director, and the SGCR Chair for their input and approval on the use of this document as a federal resource and to assess if it would be suitable for a broad release to the federal government as a reference document for those outside of USGCRP looking to build federal partnerships.

Regulatory partnerships - Given the novelty of this field, the regulatory landscape for permitting and environmental protection are still being developed. We recognize the importance of establishing good working relationships with EPA, US Army Corps of Engineers, USDA, BOEM / BSEE, state regulatory entities, and other relevant regulatory authorities. As the federal permitting landscape continues to clarify, NOAA can support permitting and space-use decisions.

Public-private partnerships - NOAA's collaborations with private organizations, including non-profits and industry, have been a critical component of enhancing ocean and atmospheric observation technology, engaging rights holders at the national, state, and regional levels, managing marine resources and developing education and outreach campaigns. Given the interdisciplinary nature of CDR, such partnerships will play an integral role in the growing field.

Technology partnerships - NOAA has successfully partnered with private industries, such as Saildrone and commercial airlines to enhance ocean and atmospheric observing technology. For CDR, collaboration with industry can allow for NOAA to help with the development of technologies for more accurate carbon dioxide quantification, development of robust modeling packages, life cycle analysis, which includes developing materials with sustainable sourcing, as well as reaction engineering. These efforts will allow NOAA to work towards verification and validation of CDR.

Interested Party engagement - Partnerships with nonprofits and regional associations have helped NOAA to understand the needs of various land and



ocean users. NOAA has partnered with many nonprofit organizations that work directly with various ocean users, including the Ocean Conservancy, to provide support to decision makers about various ocean processes. NOAA remains in close contact with other nonprofit organizations, such as the ClimateWorks Foundation, whose initiatives allow for more succinct outreach and education. Other organizations, domestically and internationally, are working towards products on Best Practices and Codes of Conduct. NOAA takes an active role in such products and will continue to do so. In addition, NOAA has long been in close contact with the regional Integrated Ocean Observing System (IOOS) networks and World Meteorological Organization (WMO) Global Atmospheric Watch (GAW), which will be critical in the validation and verification of CDR strategies. NOAA has a long history of working with industry, nonprofits and governments at all levels in the management of fisheries, endangered species and other marine resources. NOAA must also consult with all of these partners, including tribal nations, and ocean-based industries like aquaculture, especially as research progresses to field deployments.

Justice considerations - Concerns around ethical implementation of CDR are at the forefront of growing scientific research (Cooley et al., 2022, Loomis et al., 2022). As previously mentioned, NOAA will take an active role in emerging Codes of Conduct spearheaded by nonprofits and community organizations such at The Aspen Institute (2021) and American Geophysical Union (2022). NOAA also recognizes the importance of how the CDR ecosystem relates to environmental justice. For example, distributed justice can be achieved through CDR job creation and reparative justice can be delivered by increasing coastal community resilience. NOAA's efforts towards sustainable and collaborative development of CDR research therefore has implications for pursuing justice initiatives.

A roadmap for enhanced engagement - In order to sustain these multilateral partnerships NOAA aims to engage in a number of ways. To maintain federal partnerships NOAA will participate in Interagency Working Groups, which are integrated with the Office of Science and Technology and Policy as well as the Subcommittee on Ocean Science and Technology. NOAA will continue to leverage its regional partnerships with IOOS and Sea Grant programs to meaningfully engage and educate the public. Through the NOPP program, along with other means of public-private partnership engagement, such as Cooperative Research and Development Agreements, NOAA will aim to partner with industry to advance verification and validation techniques. Lastly, NOAA will continue to actively participate in various communities of practice and civil society organizations, such as the American Geophysical Union, Aspen Institute, Ocean Visions, the Intergovernmental Panel on Climate Change, and United Nations Sustainable Development Goals, which will prioritize both the social and research aspects of CDR.



Conclusion

While emissions reductions must occur, the scientific community agrees that in order to meet climate goals, negative emissions pathways must be employed. In this report, NOAA does <u>not</u> advocate for any specific CDR technique, but instead outlines existing CDR strategies, and outlines how they overlap with NOAA's mission of science, service, and stewardship.

There are four main ways NOAA can leverage existing assets to verify and validate CDR research.

First, NOAA's observing systems and earth system models set a high standard for understanding and projecting CDR in the earth system. NOAA will be able to help the global community set standards and adapt the observing system for proper monitoring, reporting, and verification of carbon drawdown that will be needed to understand CDR efficacy and impacts, value and insure carbon assets, as well as understand the costs of the necessary carbon removal infrastructure that will need to be developed to achieve climate goals. This requires making sure observing systems match the scales at which we need to monitor.

Second, NOAA's ecosystem research, modeling, and monitoring will help identify, understand, and limit ecosystem risks from CDR installations. Decision makers should have transparent information about risks and co-benefits to determine whether CDR projects are effective, safe, sustainable, and fair. NOAA can provide this information.

Third, NOAA's service missions in restoration and spatial planning will help to sustainably scale the CDR field. NOAA must ensure the efficacy of carbon removal projects, protecting living marine resources, and supporting decision makers and communities.

Overall, NOAA can be a leader in responsible validation and verification of CDR strategies, which will require sustained multi-sector partnerships. NOAA aims to promote knowledge transfer and outreach among federal agencies, the international community, public-private partnerships, nonprofits, and community organizations.

