

# Results from data assimilation experiments with ROMEX data at DWD

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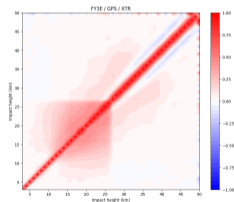
- **ICON-Model: IC**Osahedral-triangular (Arakawa C) grid, **N**on-hydrostatic core
  - ▶ Originally developed by DWD / MPI-M, available via <https://icon-model.org/>
  - ▶ NWP operational configuration
    - ★ Global: 13 km @ 120 layers (det), 26 km @ 120 layers (ens: 40 members), model top: 75 km
    - ★ Europe 2-way nest: 6.5 km @ 74 layers (det), 13 km @ 74 layers (ens), top: 23 km
  - ▶ For ROMEX experiments:
    - ★ 26/13 km (det), 40/20 km (ens)
- Hybrid Variational / Ensemble Data Assimilation
  - ▶ Deterministic analysis: 3D-EnVar, 3-h cycle
  - ▶ Ensemble analysis: LETKF
- Radio Occultation observation operator
  - ▶ Based on original code by Michael Gorbunov
  - ▶ 1-d Abel integral, tangent-point drift not used



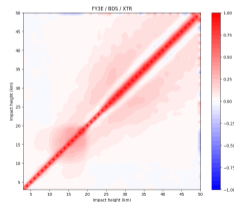
# ROMEX experiment status at DWD

- General setup (CTL/EXP) follows recommendations of experiment design subgroup
  - ▶ All supplemental data in EXP are used
  - ▶ Preliminary results shown at EUMETSAT ROMEX Workshop, Darmstadt, April 2024
  - ▶ This talk: results from rerun with technical fixes to initial experiment setup, adjustments to data selection and quality control, observation errors
    - ★ Blacklist all supplemental data above 45 km; FY-3C/D: 35 km, FY-3E: 40 km
    - ★ Blacklist FY-3, Tianmu, Yunyao below 5 km, GeoOptics below 7 km, . . .
    - ★ Observation error inflation for data with strong vertical (O-B) correlations

Example: FY-3E GPS:



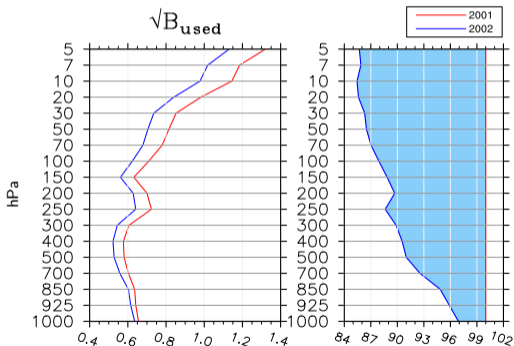
FY-3E BDS:



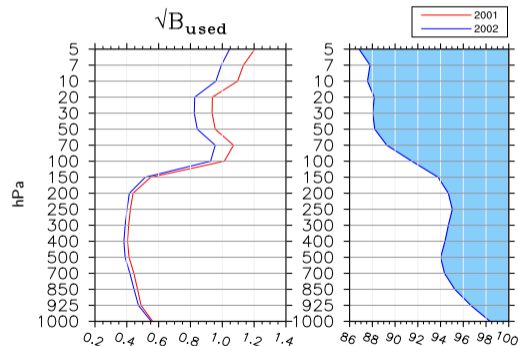
- ▶ Results qualitatively consistent with initial findings, although small improvements
  - ★ Still to be considered far from final!

# ROMEX: Impact on background ensemble spread

- 3-h forecast spread of **temperature** at radiosonde locations:
  - ▶ NH spread reduction: 10–15 % in upper troposphere/stratosphere; 5–10 % in the troposphere
  - ▶ Height-dependence qualitatively consistent with expected RO impact
  - ▶ Impact in tropical troposphere significantly lower (of order 5 %)



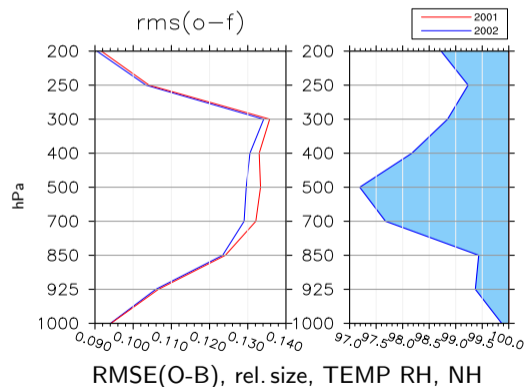
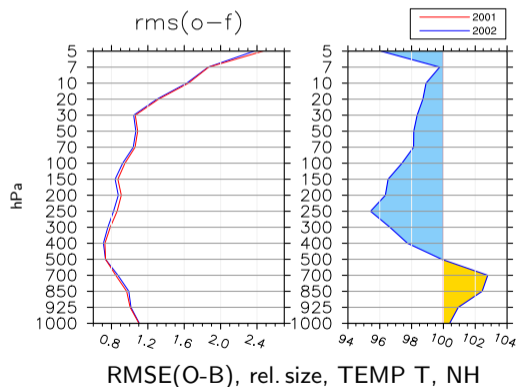
Ensemble T spread, Northern Hemisphere



Ensemble T spread, Tropics

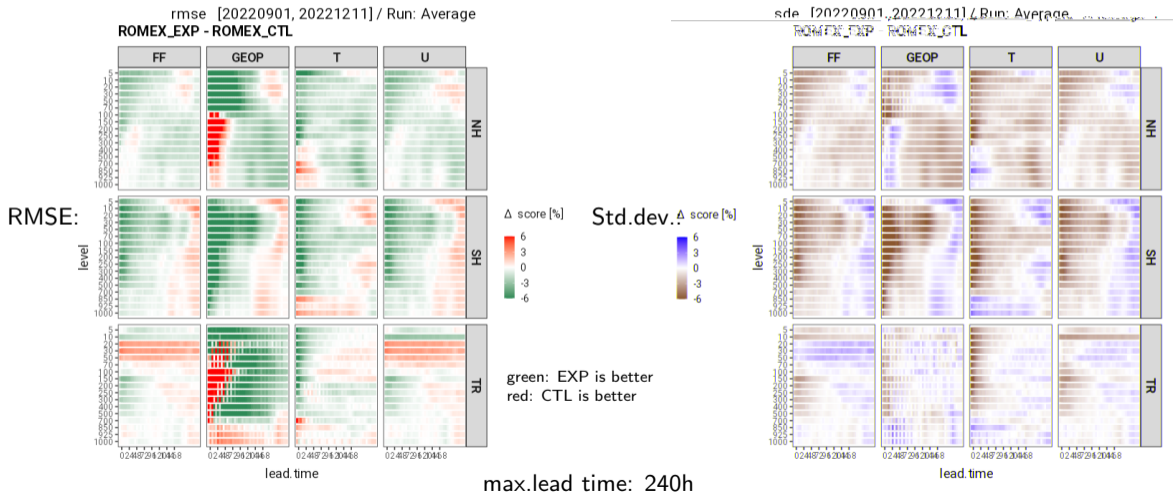
# ROMEX: Assimilation cycle, fit to radiosondes

- RMSE of deterministic 3-h forecast against radiosondes:
  - ▶ Reduction of **temperature** error up to 4% (UTLS), but degradation in lower troposphere!
  - ▶ Reduction of **rel. humidity** error up to 3% in mid-troposphere
  - ▶ Fit of ensemble 3-h forecasts very similar (not shown)



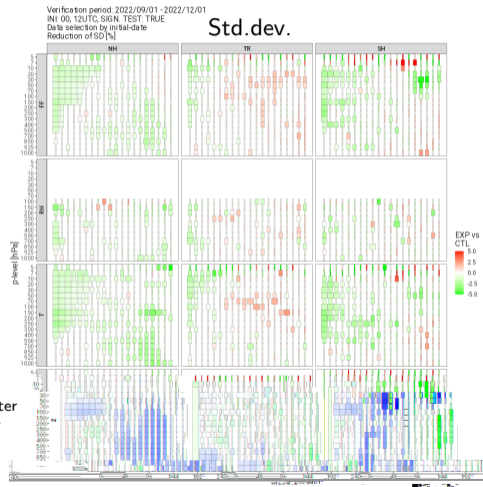
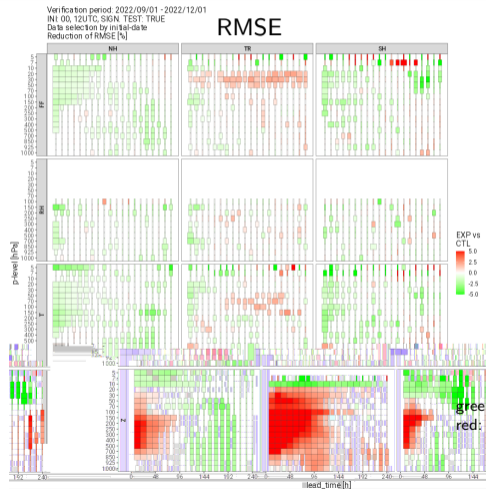
# Verification of deterministic forecasts against ERA5 analysis

- Generally strong improvements, but clear sign of tropospheric bias (T, geopotential)!



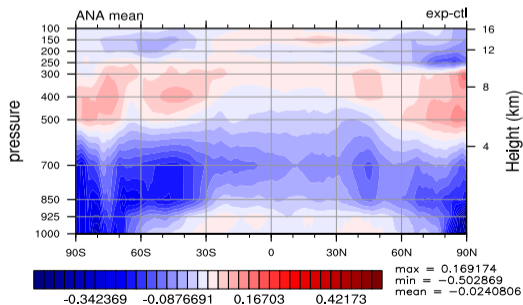
# Verification of deterministic forecasts against radiosondes

- Similarly strong improvements, confirming significant tropospheric bias (T, geopotential)

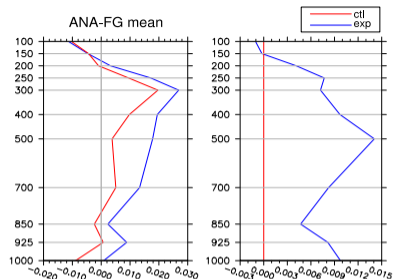


# Why do we get what we see?

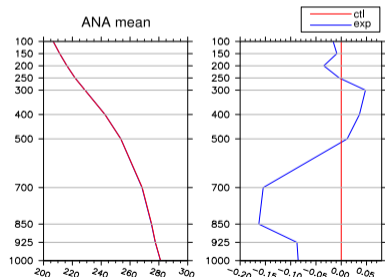
- Look into mean behavior of assimilation cycle
- **Temperature:** mean analysis colder in EXP than CTL below 500 hPa (up to 0.15 K near 850 hPa)
- Analysis increment: small mean positive increment ( $\sim 0.01$  K) from additional RO over the entire troposphere; no really significant structure



unit: K



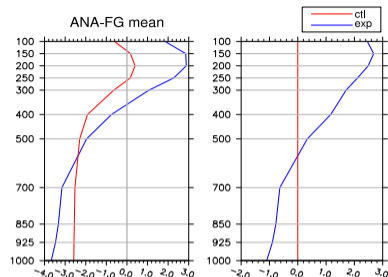
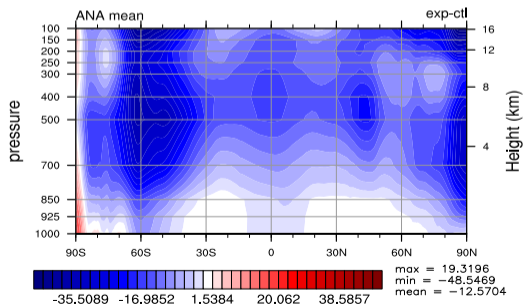
global:



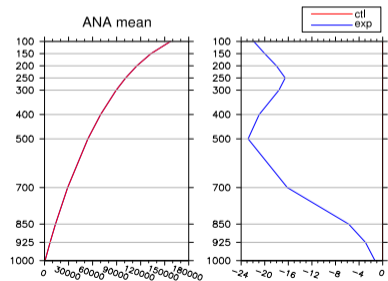


# What happens in the assimilation cycle?

- **Geopotential:** analysis mean lower by about 2 gpm ( $20 \text{ m}^2/\text{s}^2$ ) above 700 hPa
- Slight decrease of geopotential at 1000 hPa
  - ▶ due to “hydrostatic tail”, see talk by Katrin Lonitz
  - ▶ affects column “below” observation
  - ▶ also small (negative) shift of surface pressure



global:

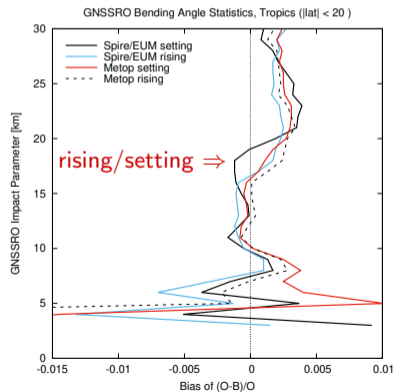
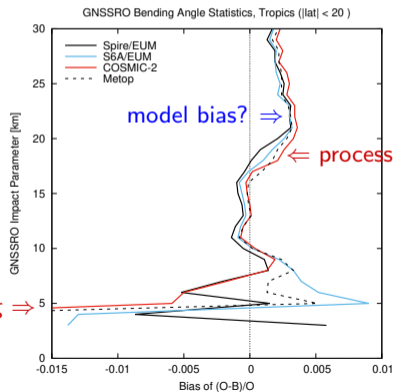


# What can cause systematic analysis increments?

- 1 Systematic differences in ( $O - B$ ) caused by biased background (model issues)
  - ▶ Model dependent, latitude- and height-dependent (see also talk by Neill Bowler)
  - ▶ Can alias down into troposphere from core region
- 2 Systematic differences in ( $O - B$ ) caused by biased observations
  - ▶ example: rising/setting differences point to observations or processing
  - ▶ biases seen mostly at low or high impact heights
  - ▶ usually dealt with by (partial) blacklisting of data
- 3 Feedback between data assimilation and model (physics)
  - ▶ e.g. change in tropospheric vertical temperature gradient influences convective activity
  - ▶ e.g. spin-up/-down, can be studied only in a cycled system (DA + model)!
- 4 Observation operator
  - ▶ Refractivity expression, implementation
    - ★ Plausible overall uncertainties of order 0.05% – 0.1%
    - ★ DWD uses Aparicio and Laroche, JGR 116 (2011) + Non-ideal gas effects
    - ★ Can test sensitivity by changing/reducing refractivity in EXP

# Statistics of (O-B) in EXP (incl. blacklisted, excl. FG-rejected)

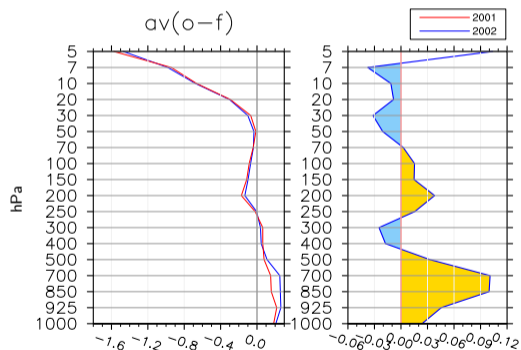
- Tropics ( $< 20^\circ$ ) only for reasonably fair comparison
- Significant differences between different satellites/processings even in core region
- Significant differences between setting and rising occultations



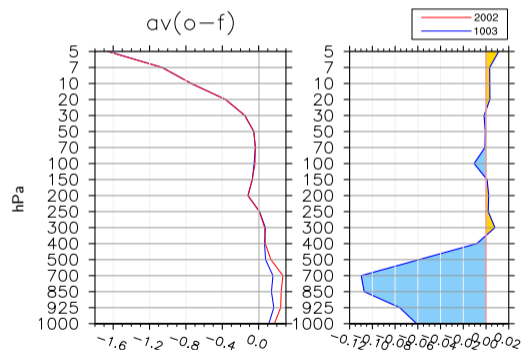
# Sensitivity test to changes in refractivity expression

- Comparison of 3-h forecasts against radiosondes:

- ▶ Reduction of N by 0.1% more than compensates the lower tropospheric cooling in NH
- ▶ Qualitatively confirmed by mean analyses of CTL / EXP / EXP(-0.1%) (not shown)



TEMP T, NH: mean(O-B), diff. EXP vs CTL



TEMP T, NH: mean(O-B), EXP(-0.1%) vs. EXP

# Conclusions

- Experiments with a large number of GNSS radio-occultation data (as in ROMEX) confirm their high impact in global NWP
  - ▶ Strong reduction of ensemble spread (maybe too much?)
    - ★ May need major retuning of DA system to not lose impact from other observations!
  - ▶ Mostly improved fit of 3-h forecasts to radiosondes except in lower troposphere, but:
    - ★ Increased geopotential bias/cooling of lower troposphere 😞
  - ▶ Partial deterioration of forecasts at shorter lead times 😞
  - ▶ In general significantly improved forecasts in the medium-range, extra-tropics 😊
- Biases seen may need to be addressed for getting even better impact:
  - ▶ Model: work on lower to mid-stratospheric biases (primarily tropics)
  - ▶ Observations: check differences between different satellites in the core region (10–30 km)
  - ▶ Check accuracy of forward models (incl. refractivity expressions)  
(Target: uncertainties equivalent to  $\ll 0.1$  K)

# Spare Slides

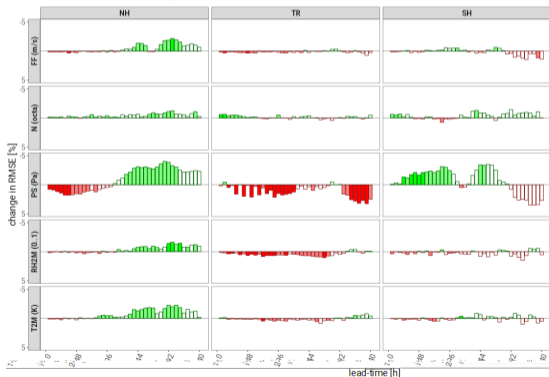
# Verification of deterministic forecasts against SYNOP

- Degradation in surface pressure at shorter lead-times
- General improvements at longer lead-times, often significant

Forecasts initialized from 2022/09/01 to 2022/12/01  
Reduction of RMSE [%], INI; 00, 12UTC, SIGTEST: TRUE

## RMSE

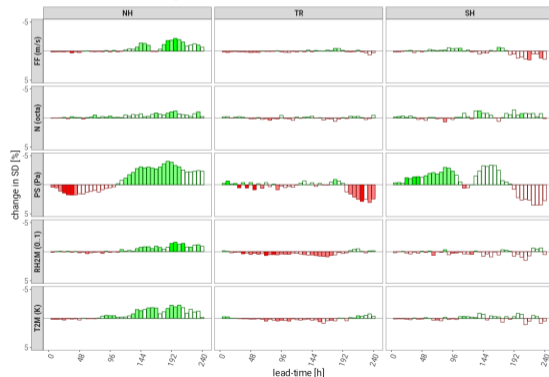
■ CTL better ■ EXP better Significance 0.00 0.25 0.50 0.75 1.00



Forecasts initialized from 2022/09/01 to 2022/12/01  
Reduction of SD [%], INI; 00, 12UTC, SIGTEST: TRUE

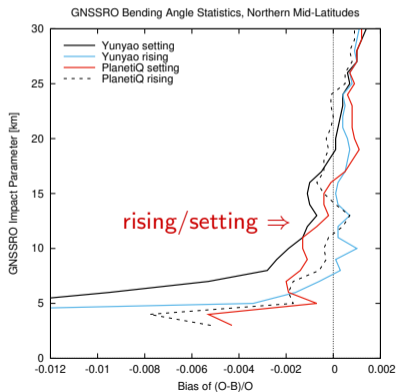
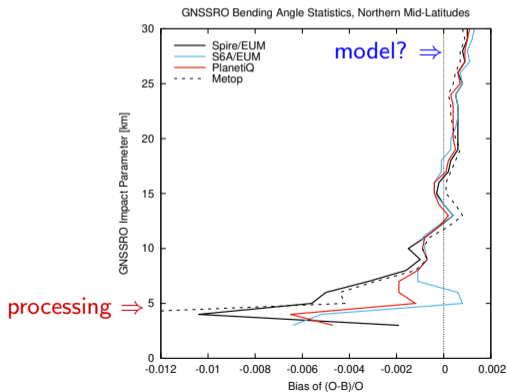
## Std.dev.

■ CTL better ■ EXP better Significance 0.00 0.25 0.50 0.75 1.00



# Statistics of (O-B) in EXP (incl. blacklisted, excl. FG-rejected)

- Northern Mid-Latitudes ( $20^{\circ}\text{N} - 60^{\circ}\text{N}$ ) with high density of conventional observations
- Expect significantly smaller background systematic error in lower/mid-stratosphere
- Significant differences between setting and rising occultations





# Comparison of refractivity expressions: dry air, normalized

- ( $NT/P$ ): US Standard Atmosphere profile (sea level: 15°C); temperature dependence
  - ▶ Aparicio & Laroche (2011), Healy (2011), Smith-Weintraub (1953), Rieger (2002)

