



SERIES 900 – ASD(HEALTH AFFAIRS)

SERIES TITLE: ASSISTANT SECRETARY OF DEFENSE (HEALTH AFFAIRS) (ASD(HA)), DEPARTMENT OF DEFENSE

SERIES DESCRIPTION: The records described in this series relate to the administration of the health affairs program within the Department of Defense. Includes, but is not limited to records necessary to:

- Administer the health affairs policy function of the OSD;
- Manage the DoD medical mission, including providing and maintaining readiness of medical services and support to
 - Members of the Military Services (including during military operations) and their families;
 - Those held in the control of the Military Services; and
 - Others entitled to or eligible for DoD medical care and benefits (including TRICARE)

SERIES APPLIES TO THE FOLLOWING ORGANIZATIONS: File Numbers within the 900 Series reflect the mission of the Office of the Assistant Secretary of Defense for Health Affairs (OASD(HA)) and the DoD Agencies and Field Activities under its authority, direction, and control, including the Defense Health Agency (DHA) and the Surgeons General for the Military Departments.

RECORDS CATEGORY: 901
CATEGORY TITLE: General
CATEGORY DESCRIPTION: General or overview records on health affairs functions performed by the ASD(HA).

FILE NUMBER: 901-01

FILE TITLE: Defense Medical Data Dictionary (DMDD)

FILE DESCRIPTION: This automated database is maintained by the Department of Defense (Health Affairs). The DMDD is designed to collect, catalog, analyze and standardize terminology, content, and format of data elements used in operational and proposed medical information systems of the Department of Defense. The DMDD includes the physical and logical data element characteristics; source data element and file information; data element definition and other documentation; status of data element in the standardization process; official data element names; and data element value tables.

DISPOSITION: Permanent. Cut off and transfer electronic files and documentation to NARA on an annual basis. All transfers should be made in accordance with the standards set forth in 36 CFR 1228.

AUTHORITY: N1-330-92-011, item 1

PRIVACY ACT: Not Applicable

RECORDS CATEGORY: 902
CATEGORY TITLE: Drug and Alcohol Abuse
CATEGORY DESCRIPTION: Files related to identification, prevention, treatment, and statistical occurrence of drug and alcohol abuse within the Department of Defense.



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FILE NUMBER: 902-01.1

FILE TITLE: Prevention and Identification - Policy

FILE DESCRIPTION: Documents pertaining to policy guidance on the prevention and identification of Drug and Alcohol Abuse.

DISPOSITION: Permanent. Cut off when obsolete or superseded. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 902-01a

PRIVACY ACT: Not Applicable

FILE NUMBER: 902-01.2

FILE TITLE: Prevention and Identification - Administrative

FILE DESCRIPTION: Administrative documents that prescribe procedures to determine frequency of testing and identification of drug and alcohol abuse personnel subject to testing.

DISPOSITION: Temporary. Cut off and destroy when superseded by new technology or improved procedures.

AUTHORITY: NC1-330-77-005, item 902-01b

PRIVACY ACT: Not Applicable

FILE NUMBER: 902-02

FILE TITLE: Alcohol Abuse

FILE DESCRIPTION: Policy matters on the alcohol abuse treatment programs prescribing procedures to be used and guidelines in the administration and discipline of personnel with alcohol problems.

DISPOSITION: Permanent. Cut off when obsolete or superseded. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 902-02

PRIVACY ACT: Not Applicable

FILE NUMBER: 902-03.1

FILE TITLE: Treatment and Rehabilitation - Policy

FILE DESCRIPTION: Policy on alcohol or drug treatment that prescribes the type of action to be undertaken for treatment, the rehabilitation procedures, and what disposition is to be made of those who complete the program or drop out. These are policy matters and do not include case files.

DISPOSITION: Permanent. Cut off when superseded or obsolete. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 902-03a

PRIVACY ACT: Not Applicable

FILE NUMBER: 902-03.2

FILE TITLE: Treatment and Rehabilitation – Statistics

FILE DESCRIPTION: Statistics on the number of military personnel undergoing treatment, or re-treatment and those separated or returned to duty. Excludes raw statistical data included in compiled studies.

DISPOSITION: Permanent. Cut off annually. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 902-03b

PRIVACY ACT: Not Applicable

FILE NUMBER: 902-03.3

FILE TITLE: Treatment and Rehabilitation - Evaluations



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FILE DESCRIPTION: Periodic evaluations on a "when required" basis consisting of documents that measure the success and effectiveness of the program policies.

DISPOSITION: Permanent. Cut off annually. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 902-03c

PRIVACY ACT: Not Applicable

FILE NUMBER: 902-04

FILE TITLE: Education and Training Policy

FILE DESCRIPTION: Documents which develop and implement for use in education and training program policy to be used by the armed forces in their alcohol and drug abuse programs.

DISPOSITION: Permanent. Cut off when obsolete or superseded. Transfer to NARA 20 years after cutoff.

AUTHORITY: NC1-330-77-005, item 902-04a

PRIVACY ACT: Not Applicable

FILE NUMBER: 902-05

FILE TITLE: Education and Training Program Evaluations

FILE DESCRIPTION: Documents which evaluation the effectiveness of training developed and implemented for use in education and training programs to be used by the armed forces in their alcohol and drug abuse programs.

DISPOSITION: Permanent. Cut off when obsolete or superseded. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 902-04b

PRIVACY ACT: Not Applicable

RECORDS CATEGORY: 903

CATEGORY TITLE: Health Resource Analysis

CATEGORY DESCRIPTION: Files related to resources necessary for Health Programs.

FILE NUMBER: 903-01

FILE TITLE: Facilities and Material

FILE DESCRIPTION: Development, coordination, and monitoring of DoD medical facilities and material policies, plans, and programs. Policies and guidelines on planning and space standards for military healthcare facilities. Review and coordination of plans and programs of FEMA regarding medical recommendations on proposed legislation about facilities or material.

DISPOSITION: Permanent. Cut off when obsolete or superseded. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 903-01

PRIVACY ACT: Not Applicable

FILE NUMBER: 903-02

FILE TITLE: Health Manpower

FILE DESCRIPTION: Directives that establish the military requirements for health personnel. These are of a nature that identify the critical medical and dental health categories; designate those entitled to continuation pay; and authorize strengths in grade for the Medical and Dental Officers Corps. Preliminary to the issue of such directives would be documentation consisting of studies and analyses that indicate



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the necessity for change. Policy directives on the utilization and medical education and training of health specialists. An example would be internship program policy and a determination of obligated service time for those who receive special training. Another would include documents proposing legislation affecting health programs such as bonus money to stimulate recruitment and retention.

DISPOSITION: Permanent. Cut off when superseded or obsolete. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 903-02

PRIVACY ACT: Not Applicable

FILE NUMBER: 903-03

FILE TITLE: Cost and Budget

FILE DESCRIPTION: Documents on budget requirements for health activities to be incorporated in appropriate budgets and plans. Cost-benefit studies of possible cross-savings, consolidations, or disestablishment of health facilities.

DISPOSITION: Permanent. Cut off fiscally. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 903-03

PRIVACY ACT: Not Applicable

RECORDS CATEGORY: 904

CATEGORY TITLE: Health Program Statistics and Data System Requirements

CATEGORY DESCRIPTION: Files related to resources necessary for Health Programs.

FILE TITLE: 904-01

FILE TITLE: Program Statistics

FILE DESCRIPTION: Analyses of medical care case load reports provided by the Military Departments, the correlation with manpower reports on the military and dependent population in various defense communities in order to determine the type medical facility needed to deliver the required healthcare. The analyses of reports from the Military Departments on the incidence of various diseases; the number of recruits rejected for health reasons and similar data of medical interest.

DISPOSITION: Permanent. Cut off annually. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 904-01

PRIVACY ACT: Not Applicable

FILE NUMBER: 904-02.1

FILE TITLE: Health Data Systems

FILE DESCRIPTION: Data system documents, including

- Documents describing medical data system requirements to the programming and operating facility.
- User manuals of operable data systems.
- Agreements for data systems support.

DISPOSITION: Temporary. Cut off when system is discontinued. Destroy 2 years after cutoff.

AUTHORITY: NC1-330-77-005, items 904-02a, 904-02b and 904-02c

PRIVACY ACT: Not Applicable



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FILE NUMBER: 904-02.2

FILE TITLE: Health Data Systems Analysis

FILE DESCRIPTION: Analyses and studies of data system requirements for health affairs.

DISPOSITION: Temporary. Cut off upon supersession. Destroy 1 year after cutoff.

AUTHORITY: NC1-330-77-005, item 904-02d

PRIVACY ACT: Not Applicable

FILE NUMBER: 904-03 – RESCINDED **NOTE:** See 1805-04 for Master Data Set for DEERS

RECORDS CATEGORY: 905

CATEGORY TITLE: Utility Reviews and Quality Assurance

CATEGORY DESCRIPTION: Studies and analyses related to Health Program utility reviews and quality assurance endeavors.

FILE NUMBER: 905-01

FILE TITLE: Utility Review

FILE DESCRIPTION: Studies and analyses of areas of potential innovation and assessments of value to productivity-enhancing technology with a view towards the improvement of health services in the Department of Defense.

DISPOSITION: Permanent. Cut off at completion of review. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 905-01

PRIVACY ACT: Not Applicable

FILE NUMBER: 905-02

FILE TITLE: Quality Assurance Studies and Analyses of Healthcare Quality Standards

FILE DESCRIPTION: Files pertaining to the quality assurance analysis of DoD, other federal agency, State and local, and other healthcare standards including studies and analyses that result in issuance of new standards.

DISPOSITION: Permanent. Cut off upon completion of standard. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 905-02a and 905-02c

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER(s): 905-02.1, 905-02.3

FILE NUMBER: 905-02.1 – Consolidated into 905-02

FILE NUMBER: 905-02.2 – Moved to 905-03

FILE NUMBER: 905-02.3 – Consolidated into 905-02

FILE NUMBER: 905-03

FILE TITLE: Ad Hoc Quality Assurance Studies and Analyses of Healthcare Quality

FILE DESCRIPTION: Studies and evaluations on a "when required" basis, not resulting in issuance of new standards.

DISPOSITION: Temporary. Cut off upon completion of study. Destroy 5 years after cutoff.

AUTHORITY: NC1-330-77-005, item 905-02b

PRIVACY ACT: Not Applicable



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FORMER FILE NUMBER: 905-02.2

RECORDS CATEGORY: 906

CATEGORY TITLE: Defense Health Agency (DHA) Program Administration Records

CATEGORY DESCRIPTION: Analysis files pertaining to the administration of the Defense Health Agency, formerly TRICARE Management Activity (TMA or TRICARE), and Civilian Health and Medical Program of the Uniformed Services (CHAMPUS) program.

FILE NUMBER: 906-01.1 – Moved to 906-01

FILE NUMBER: 906-01.2 – Consolidated into 906-03

FILE NUMBER: 906-01.3 – Consolidated into 906-03

FILE NUMBER: 906-01.4 – Consolidated into 906-03

FILE NUMBER: 906-01

FILE TITLE: TRICARE Policy

FILE DESCRIPTION: These documents are generally in the form of instructions to the DoD Components on the administration of the TRICARE (formerly Civilian Health and Medical Program of the Uniformed Services (CHAMPUS) program). They establish such policy as eligibility under the program for active duty, retired, and dependents of the military; guidance on obtaining contract support; the creation of an accounting system to process claims and related matters.

DISPOSITION: Permanent. Cut off when superseded or obsolete. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 906-01a

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 906-01.1

FILE NUMBER: 906-02

FILE TITLE: Liaison Activities

FILE DESCRIPTION: Coordination between industry organizations and professional associations; with healthcare programs in the uniformed and other health agencies.

DISPOSITION: Temporary. Cut off annually. Destroy 10 years after cutoff.

AUTHORITY: NC1-330-77-005, item 906-02

PRIVACY ACT: Not Applicable

FILE NUMBER: 906-03

FILE TITLE: Agency Studies, Reviews and Analyses

FILE DESCRIPTION: Defense Health Agency (DHA) reviews, studies, and analysis regarding the following topics:

- Health Industry Trends: Studies and reviews of developments and trends in the health industry that may impact on DHA .
- Pricing Structure Cost Analyses: Analyses of DHA costs for various types of treatment to determine equitable pricing structure.
- DHA Budget Analyses: Analyses and preparation of the DHA budget.

DISPOSITION: Temporary. Cut off annually. Destroy 5 years after cutoff.

AUTHORITY: NC1-330-77-005, items 906-01b, 906-01c, and 906-01d



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PRIVACY ACT: Not Applicable

FORMER FILE NUMBER(s): 906-01.2, 906-01.3, 906-01.4

RECORDS CATEGORY: 907

CATEGORY TITLE: Planning and Policy

CATEGORY DESCRIPTION: Health Program planning and policy files.

FILE NUMBER: 907-01

FILE TITLE: Policy Analysis

FILE DESCRIPTION: These files consist of studies made of the continuing need for health policies that are proposed or presently in the forms of Directives or Instructions. These files also coordinate the proposals of other offices on the need for healthcare legislation.

DISPOSITION: Permanent. Cut off upon finalization. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 907-01

PRIVACY ACT: Not Applicable

FILE NUMBER: 907-02

FILE TITLE: Mobilization Plans

FILE DESCRIPTION: Coordination of medical aspects of emergency war and mobilization planning. Documents on liaison with FEMA.

DISPOSITION: Permanent. Cut off upon finalization. Transfer to NARA 30 years after cutoff.

AUTHORITY: NC1-330-77-005, item 907-02

PRIVACY ACT: Not Applicable

FILE NUMBER: 907-03

FILE TITLE: Productivity Planning

FILE DESCRIPTION: Studies and analyses whose purposes is to optimize efficiency in the healthcare program. The development of uniform standards to measure productivity. Some examples are the exploration of shared resources and the use of screening by a technician to save the doctor's time.

DISPOSITION: Permanent. Cut off upon finalization. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 907-03

PRIVACY ACT: Not Applicable

RECORDS CATEGORY: 908

CATEGORY TITLE: Dental Affairs

CATEGORY DESCRIPTION: Records pertaining to the Dental aspects of the Health Program.

FILE NUMBER: 908-01.1 – Moved to 908-01

FILE NUMBER: 908-01.2 – Moved to 908-02

FILE NUMBER: 908-01.3 – Moved to 908-03

FILE NUMBER: 908-01.4 – Moved to 908-04

FILE NUMBER: 908-01

FILE TITLE: DoD Dental Policy



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FILE DESCRIPTION: The development, coordination, and issuance of directives and guidelines on dental policy for the Department of Defense. This would include such policy matters as the

- Limitation of dental care for military dependents,
- The joint utilization of personnel, and
- Facilities for dental care.

DISPOSITION: Permanent. Cut off when superseded or obsolete. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 908-01a

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 908-01.1

FILE NUMBER: 908-02

FILE TITLE: Dental Officer Continuation Pay

FILE DESCRIPTION: The estimation of an equitable continuation pay for dental officers.

DISPOSITION: Temporary. Cut off when subsequent (new) estimates for legislative changes are proposed. Destroy 2 years after cutoff.

AUTHORITY: NC1-330-77-005, item 908-01b

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 908-01.2

FILE NUMBER: 908-03

FILE TITLE: Dental Student Program

FILE DESCRIPTION: Monitoring of the Dental Student Program.

DISPOSITION: Temporary. Cut off annually. Destroy 3 years after cutoff.

AUTHORITY: NC1-330-77-005, item 908-01c

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 908-01.3

FILE NUMBER: 908-04

FILE TITLE: Dental Examination Standards

FILE DESCRIPTION: The establishment of standards for dental classification and specifications for conducting dental examinations.

DISPOSITION: Permanent. Cut off upon finalization of standard. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 908-01d

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 908-01.4

RECORDS CATEGORY: 909

CATEGORY TITLE: Medical Affairs

CATEGORY DESCRIPTION: Records pertaining to the medical aspects of DoD health programs.

FILE NUMBER: 909-01

FILE TITLE: Medical Affairs

FILE DESCRIPTION: Development, coordination, and directives on the medical aspects of DoD programs (clinical and preventive medicine for the Armed Forces). Preparation of DoD Directives and Instructions



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on medical services programs in the Department of Defense. Coordination of Federal medical professional activities.

DISPOSITION: Permanent. Cut off when superseded or obsolete. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-77-005, item 909

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 909

RECORDS CATEGORY: 910

CATEGORY TITLE: Medical Readiness

CATEGORY DESCRIPTION: Records pertaining to the medical readiness of the DoD Health Program.

FILE NUMBER: 910-01

FILE TITLE: Medical Readiness Speeches

FILE DESCRIPTION: Copies of speeches made by assigned personnel or by others on medical readiness.

DISPOSITION: Temporary. Cut off and destroy when no longer required for reference.

AUTHORITY: NC1-330-80-012, item 910-01.1

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-01.1

FILE NUMBER: 910-01.2a – RESCINDED (Use 103-06.1 for FACA Committees and 212-04 for Special Study/Task Forces)

FILE NUMBER: 910-01.2b – Consolidated into 103-06.4 or 103-15, as applicable

FILE NUMBER: 910-02 – Consolidated into 102-01

FILE NUMBER: 910-03.1 – Consolidated into 103-10

FILE NUMBER: 910-03

FILE TITLE: Defense Audit Service Audits

FILE DESCRIPTION: Case files of audits of medical facilities or related to health affairs.

DISPOSITION: Temporary. Cut off upon completion of audit. Destroy 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-03.2 (which refers to NC1-330-77-13, item 305-05a)

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-03.2

FILE NUMBER: 910-04.1 – Consolidated into 103-04.1 or 103-04.2, as applicable

FILE NUMBER: 910-04

FILE TITLE: Civilian-Military Contingency Hospital System

FILE DESCRIPTION: Special study reports on Civilian-Military Contingency Hospital Systems, such as the National Disaster Medical System or the DoD VA Contingency System.

DISPOSITION: Permanent. Cut off when no longer needed. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-04.2

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-04.2

FILE NUMBER: 910-04.3 – Consolidated into 103-01.1 or 103-01.2, as applicable



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FILE NUMBER: 910-04.4 – Consolidated into 101-01.2

FILE NUMBER: 910-04.5 – Consolidated into 103-01.1 or 103-01.2, as applicable

FILE NUMBER: 910-05

FILE TITLE: Exercises

FILE DOCUMENT: Documents on exercises between elements of the Department of Defense and other Federal Agencies or organizations.

DISPOSITION: Temporary. Cut off and destroy when no longer required for reference.

AUTHORITY: NC1-330-80-012, item 910-05

PRIVACY ACT: Not Applicable

FILE NUMBER: 910-06

FILE TITLE: International Affairs

FILE DESCRIPTION: Documents on DoD relationship with Allied countries on medical readiness matters.

NOTE: USD(P) offices retain the record copy of any agreements with foreign countries. The OASD(HA) retains any records created on medical readiness such as evaluations and other analyses.

DISPOSITION: Permanent. Cut off annually. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-06.1

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-06.1

FILE NUMBER: 910-06.2 – Consolidated into 102-01

FILE NUMBER: 910-07.1 – Consolidated into 102-01

FILE NUMBER: 910-07

FILE TITLE: Chairman of the Joint Chiefs of Staff and Joint Staff Matters – Readiness Evaluation

FILE DESCRIPTION: Documents created for readiness evaluation such as analyses of medical readiness; recommendations of a policy nature.

DISPOSITION: Permanent. Cut off annually. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-07.2

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-07.2

FILE NUMBER: 910-08 – Consolidated into 102-01

FILE NUMBER: 910-09

FILE TITLE: Mobilization Planning

FILE DESCRIPTION: Documents on the medical aspects of emergency war and mobilization planning. Documents on liaison with other activities such as the FEMA.

DISPOSITION: Permanent. Cut off when superseded or obsolete. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-09.1

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-09.1



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FILE NUMBER: 910-09.2 – Consolidated into 102-01

FILE NUMBER: 910-10

FILE TITLE: Medical Resource Requirements

FILE DESCRIPTION: Studies and forecasts of wartime medical resource requirements obtained through modeling techniques.

DISPOSITION: Permanent. Cut off annually. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-10.1

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-10.1

FILE NUMBER: 910-10.2 – Consolidated into 102-01

FILE NUMBER: 910-10.3 – Consolidated into 102-01

FILE NUMBER: 910-11

FILE TITLE: Nuclear, Biological, and Chemical Warfare

FILE DESCRIPTION: Evaluations and policies on nuclear, biological, and chemical warfare related to medical readiness.

DISPOSITION: Permanent. Cut off when no superseded or obsolete. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-11.1

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-11.1

FILE NUMBER: 910-11.2 – Consolidated into 102-01

FILE NUMBER: 910-12

FILE TITLE: Planning, Programming, Budgeting System

FILE DESCRIPTION: Background papers on medical readiness budget matters.

DISPOSITION: Permanent. Cut off annually. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-12.1

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-12.1

FILE NUMBER: 910-12.2 – Consolidated into 102-01

FILE NUMBER: 910-13.1 – Consolidated into 102-01

FILE NUMBER: 910-13

FILE TITLE: Reserve Affairs

FILE DESCRIPTION: Studies, reports, and analyses of Reserve posture.

DISPOSITION: Permanent. Cut off at completion of review. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-13.2

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-13.2



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FILE NUMBER: 910-14

FILE TITLE: Wartime Skills Training

FILE DESCRIPTION: Training policy on medical readiness training.

DISPOSITION: Permanent. Cut off when superseded, obsolete, or no longer required for reference. Transfer to NARA 25 years after cutoff.

AUTHORITY: NC1-330-80-012, item 910-14.1

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-14.1

FILE NUMBER: 910-14.2 – Consolidated into 102-01

FILE NUMBER: 910-14.3.2 – Consolidated into 103-14

FILE NUMBER: 910-15

FILE TITLE: Wartime Skills Training General File

FILE DESCRIPTION: Correspondence, memoranda, agreements, authorizations, reports, requirement reviews, plans, and objectives on the establishment and operation of training courses and conferences.

DISPOSITION: Temporary. Cut off annually. Destroy 5 years after cutoff or completion of a specific training program.

AUTHORITY: NC1-330-80-012, item 910-14.3a

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-14.3.1

FILE NUMBER: 910-16

FILE TITLE: Wartime Skills Training - Individual Training

FILE DESCRIPTION: Documents on the availability of training and participation in training programs sponsored by other Government Agencies or non-Government institutions.

DISPOSITION: Temporary. Cut off annually. Destroy 5 years after cutoff or when superseded or obsolete, whichever is sooner.

AUTHORITY: NC1-330-80-012, item 910-14.4

PRIVACY ACT: Not Applicable

FORMER FILE NUMBER: 910-14.4

RECORDS CATEGORY: 911

CATEGORY TITLE: Defense Health Agency TRICARE Files

CATEGORY DESCRIPTION: Records pertaining to the mission of the Defense Health Agency (DHA), formerly TRICARE Management Activity (TMA or TRICARE), and Civilian Health and Medical Program of the Uniformed Services (CHAMPUS) (prior to TMA). **NOTE:** Use 906 for Analysis of Administrative DHA files.

FILE NUMBER: 911-01

FILE TITLE: TRICARE Contractor Claims Records

FILE DESCRIPTION: These files consist of any record acquired or used by the fiscal intermediary and/or contractor in the development and processing of TRICARE CHAMPVA claims. These records include but



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are not limited to: claims (TRICARE claims or other forms approved by TRICARE) receipts (itemized statements); medical reports (operative or daily nursing notes, lab results, etc.) authorization forms; non-availability statements; certifications of eligibility; double coverage information; completed third party liability (guardianship); peer reviews and other correspondence that support payments to beneficiaries, physicians, and other suppliers of service under TRICARE. Includes the following database:

- TRICARE Latin America and Canada (TLAC) Claims Database Master File: Information system used for analyzing claims processed by Defense Health Agency (formerly TRICARE). Included are claim receipts, medical reports, authorization forms, non-availability statements, certifications of eligibility, double coverage information, completed third party liability, peer reviews and other correspondence that support payment to beneficiaries, physicians, and other suppliers of service.
- Third Party Outpatient Collection System (TPOCS): Information system that enables the collection, tracking, and reporting of data required for the outpatient billing process. Records include Employer Information (i.e. name, address, policyholder POC); Insurance Policy data (i.e. policy number, group number, group name, effective date, policy category, insurance company, insurance type, policy holder, drug coverage data); Accounting data (i.e. control number, transaction code, debit amount, credit amount, check number, Batch posting number, balance, patient identification, patient name, encounter date, comments, entry date, follow-up date).

DISPOSITION: Temporary. Cut off at end of the calendar year in which received. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2014-0014-0001

PRIVACY ACT: EDTMA 04

FORMER FILE NUMBER(s): 911-01.1, 911-01.2, 911-01.3

FILE NUMBER: 911-01.2 – Consolidated into 911-01

FILE NUMBER: 911-01.3 – Consolidated into 911-01

FILE NUMBER: 911-02

FILE TITLE: TRICARE Benefit Check Records

FILE DESCRIPTION: These files consist of paid checks that contractors receive from banks covering amounts paid to beneficiaries and/or sponsors, physicians, and other suppliers of service under the TRICARE program. Also included are check vouchers and canceled or voided checks resulting from non-receipt, loss, theft, or non-delivery where the beneficiary and/or sponsor cannot be reached.

DISPOSITION: Temporary. Cut off out at the en of the calendar year in which paid, or voided, as applicable. Destroy 6 years after cutoff.

AUTHORITY: NC1-330-77-016, item 2

PRIVACY ACT: EDTMA 04

FILE NUMBER: 911-03

FILE TITLE: Explanation of TRICARE Benefit Records

FILE DESCRIPTION: These files consist of explanation of TRICARE benefit notices TRICARE Explanation of Benefits (TEOB) used to advise beneficiaries and/or sponsors about TRICARE claims. Included are forms that are developed locally by contractors regarding explanation of TRICARE benefits.

DISPOSITION: Temporary. Cut off at end of the calendar year in which issued. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2014-0014-0002



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PRIVACY ACT: EDTMA 02

FORMER FILE NUMBER(s): 911-03.1, 911-03.2, 911-03.3

FILE NUMBER: 911-03.2 – Consolidated into 911-03

FILE NUMBER: 911-03.3 – Consolidated into 911-03

FILE NUMBER: 911-04

FILE TITLE: Appeals and Hearings Case Files - TRICARE Program

FILE DESCRIPTION: These files accumulate when a beneficiary and/or sponsor, physician, or supplier of service is dissatisfied with the contractor's determination denying a request for payment, or with the amount of the payment, or when the individual believes that the request for payment is not being acted upon with reasonable promptness. Included are copies of the claimants' request for review, together with relevant written statements or evidence, notices or review decision, requests for a hearing to protest adverse decisions, hearing proceedings, hearing officers to protest adverse decisions, hearing proceedings, hearing officers' final decisions, and other papers resulting from the appeals process.

DISPOSITION: Temporary. Cut off at the end of the calendar year in which action was taken. Destroy 6 years after cutoff.

AUTHORITY: N1-330-86-001, item 911-04

PRIVACY ACT: EDTMA 01

FILE NUMBER: 911-05

FILE TITLE: Contractor Subcontractor Files

FILE DESCRIPTION: These files consist of copies of contractor agreements with subcontractors, leases for building space, equipment, and consulting and other services. Included are TRICARE approvals, amendments, and similar papers.

DISPOSITION: Temporary. Cut off at the end of the calendar year in which paid, or voided, as applicable. Destroy 6 years after cutoff.

AUTHORITY: NC1-330-77-016, item 5

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-06.1

FILE TITLE: Certification of Institutional Providers of Healthcare Case Files

FILE DESCRIPTION: These files consist of certification of institutional providers of healthcare as authorized TRICARE providers that meet all applicable provisions of law and regulation. The files include: TRICARE forms, correspondence, and related documents certifying that each provider (institutional entity that provides medical services or supplies on an outpatient or inpatient basis) meets the criteria in the TRICARE Regulation, 32 CFR part 199. They also include documents relating to: accreditation status, evaluation surveys to ensure compliance with TRICARE standards, investigation of complaints, termination and reinstatement of providers, and assessment of the operational effectiveness of the provider certification program.

DISPOSITION: Temporary. Cut off at the end of the calendar year in which final action was taken. Destroy 6 years after cutoff.

AUTHORITY: N1-330-86-001, item 911-06.1

PRIVACY ACT: Not Applicable



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FILE NUMBER: 911-06.2

FILE TITLE: Health Facilities Registry File

FILE DESCRIPTION: This file consists of a computer printout registry of Defense Health Agency (formerly TRICARE)-approved institutional providers of healthcare. The file includes the following categories of providers: Residential Treatment Centers, Specialized Treatment Facilities, and Program for the Handicapped. **NOTE:** The registry is compiled from data contained in file number 911-06.1.

DISPOSITION: Temporary. Cut off and destroy when superseded by a new registry.

AUTHORITY: NC1-330-81-008, item 911-06.2

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-07

FILE TITLE: TRICARE Recoupment Files

FILE DESCRIPTION: These files consist of all documents used to develop and execute recoupment cases at the contractor and TRICARE level. The files include: copies of TRICARE claim forms, correspondence and related documents, Explanations of Benefits, checks, investigative reports, court documents and other documents required to collect debts owed the Government. Information from these files may be given to consumer credit agencies.

DISPOSITION: Temporary. Cut off at the end of the calendar or fiscal year in which final action was taken. Destroy 6 years after cutoff.

AUTHORITY: N1-330-92-005, item 3

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-08

FILE TITLE: Fraud and Abuse Files

FILE DESCRIPTION: These files consist of control forms, background papers, development records, external agencies reports/letters/investigative records, contractor products of processing, Utilization Review and Medical Analysis papers, and other documents required to process fraud and abuse cases.

DISPOSITION: Temporary. Cut off at the end of the calendar year in which the final action was taken. Destroy 6 years after cutoff.

AUTHORITY: N1-330-86-002, item 911-08

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-09

FILE TITLE: TRICARE Litigation Files

FILE DESCRIPTION: These files consist of TRICARE copies of documents that are forwarded to the Department of Justice for litigation cases in which TRICARE is party.

DISPOSITION: Temporary. Cut off at end of the calendar year in which final action taken. Destroy 6 years after cutoff.

AUTHORITY: N1-330-86-002, item 911-09

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-10

FILE TITLE: TRICARE Legal Opinion Files



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FILE DESCRIPTION: These files consist of records from various legal files which are maintained for legal opinion purposes only.

DISPOSITION: Temporary. Cut off and destroy when no longer required for reference.

AUTHORITY: N1-330-86-002, item 911-10

PRIVACY ACT: EDTMA 03

FILE NUMBER: 911-11

FILE TITLE: TRICARE Medical Care Grievance Case Files

FILE DESCRIPTION: These files consist of beneficiary's written complaints of a TRICARE provider, healthcare finder, or other contractor or subcontractor personnel to furnish the level or quality of care and/or service to which the beneficiary may believe they are entitled. This includes the record of the contractor's review and findings, the response to the aggrieved party and, where appropriate, the record of the corrective action taken.

DISPOSITION: Temporary. Cut off at the end of the CY in which case is closed. Destroy 6 years after cutoff.

AUTHORITY: N1-330-94-001, item 1

PRIVACY ACT: EDTMA 03

FILE NUMBER: 911-12

FILE TITLE: Defense Medical Logistics Support System (DMLSS) Medical Logistics Master Files

FILE DESCRIPTION: Information system that provides capabilities for medical logistics management throughout TRICARE treatment facilities. Included are records related to stock control, vendor operations, preparation of procurement, research and price comparisons for products, property accounting, biomedical maintenance operations, capital equipment, property management, inventory, and facility management (excluding financial records).

DISPOSITION: Temporary. Cut off annually. Destroy 3 years after cutoff.

AUTHORITY: N1-330-11-002, item 1

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-13

FILE TITLE: Centralized Credentials Quality Assurance System (CCQAS) Master File

FILE DESCRIPTION: Information system that collects and manages military health system (MHS) and MHS commercial provider data regarding employee credentials and qualifications, adverse action and malpractice cases against medical caregivers, risk management, and other similarly related data. Included are employee health care providers, demographics, identification, education, training, affiliations, certification, and other credential information, privileges, risk management, and adverse action information.

DISPOSITION: Temporary. Cut off annually. Destroy 10 years after cutoff.

AUTHORITY: N1-330-11-003, item 1

PRIVACY ACT: EDHA 09

FILE NUMBER: 911-14

FILE TITLE: Expense Assignment System (EAS) IV Master File



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FILE DESCRIPTION: Information system that provides standardized reporting of expense, personnel, and workload data. Records include financial, personnel, and clinical data used to calculate unit cost tables, work center costs, and workload performance for budget formulation/execution.

DISPOSITION: Temporary. Cut off upon final payment. Destroy 7 years after cutoff.

AUTHORITY: N1-330-11-003, item 2

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-15

FILE TITLE: Patient Movement Item Tracking System (PMITS) Master File

FILE DESCRIPTION: Information on the location, quantity, and status of movable medical items (defibrillators, infusion pumps, ventilators, etc.).

DISPOSITION: Temporary. Cut off annually. Maintain current month of data, and destroy oldest data 3 years after cutoff.

AUTHORITY: N1-330-11-003, item 3

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-16

FILE TITLE: Special Needs Program Management Information System (SNPMIS) Master File

FILE DESCRIPTION: Information system used to comply with the Individuals with Disabilities Education Act (IDEA) and includes referral, evaluation, eligibility, and service plan data for all children eligible for service. This system provides case tracking, management and reporting capabilities to Military Treatment Facilities. Records contained within the system include, but are not limited to, information related to performing outreach and prevention activities, conducting assessment and survey activities, compilation of databases for statistical analysis, tracking and reporting, evaluations of program effectiveness, information to assist in conducting research, reports that provide historical analysis to monitor ongoing improvements in quality of care initiatives, care locations and provider's name and title that evaluate and provide intervention, individualized educational programs, and information on areas where additional services are delivered.

DISPOSITION: Temporary. Cut off annually upon child's transition or withdrawal from Educational and Developmental Intervention Services (EDIS). Destroy 5 years after cutoff.

AUTHORITY: N1-330-11-003, item 4

PRIVACY ACT: EDHA 16-DoD

FILE NUMBER: 911-17

FILE TITLE: Armed Forces Health Longitudinal Technology Application / Composite Health Care System (AHLTA/CHCS)

FILE DESCRIPTION: The AHLTA is comprised of multiple legacy information systems to include the Composite Health Care System (CHCS). The CHCS, which was formerly DoD's primary health information system, is still in use to capture pharmacy, radiology and laboratory order management for AHLTA. Data in AHLTA is the computer based patient records (CPR) or Electronic Health Record (EHR) for DHA beneficiaries. Records in the system include, but are not limited to the following: patient name, patient demographics (active duty, retiree, or beneficiary), DOB, Age, SSN, gender, sponsor information, dependent codes, consult logs, patient register, telephone consults, results, appointments, medications, immunizations, allergies, laboratory, radiology, pharmacy, dietetics, readiness information, vital signs,



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clinical notes, patient questionnaires, inpatient billing, patient/outpatient services, workload and accounting.

DISPOSITION: Temporary. Cut off upon last episode of patient care or last entry to the patient record is annotated. Destroy 75 years after cutoff.

AUTHORITY: N1-330-11-001, item 1

PRIVACY ACT: EDHA 07

FILE NUMBER: 911-18

FILE TITLE: Chemical and Biological Warfare Exposure System (ChemBio) Master Files

FILE DESCRIPTION: ChemBio contains information on Veterans exposed to chemical agents. It is comprised of three legacy databases (ChemBio; Mustard-Lewisite and Project 112, Shipboard Hazard and Defense (SHAD)). It contains information on U.S. Military, and civilian personnel exposed to chemical agents during the Mustard-Lewisite, Project-112/SHAD, and other chemical/biological testing from 1940 to 1979. Records in the system include but is not limited to record information on: exposure incidents, physician examination notes, exposure substances, and test and treatment dates and locations. The data also includes individual veterans' personally identifiable information such as name, service number, address, SSN and DOB.

DISPOSITION: Permanent. Cut off when no further updates occur to the individual veteran's record. Initial transfer commenced in 2013 upon NARA approval of the schedule. Transfer added and/or updated records to NARA every 5 years.

AUTHORITY: DAA-0330-2013-0015-0001

PRIVACY ACT: 128VA008A

FILE NUMBER: 911-19

FILE TITLE: Clinical Information System (CIS) Master Files

FILE DESCRIPTION: Information system customized to support inpatient treatment at military medical facilities and hospitals. Information includes but is not limited to physical notes, physical history and physical assessments, discharge summaries, progress notes, physician orders, nursing notes, medications administered records, and patient vital signs. Information is entered into CIS through electronic work stations, other electronic systems, or electronic bedside instruments (i.e. physiological monitors, fetal monitors, ventilators, etc.)

DISPOSITION: Temporary. Cut off upon last episode of patient care or last entry to patient care is annotated. Destroy 6 years after cutoff.

AUTHORITY: N1-330-11-001, item 2

PRIVACY ACT: EDHA 07

FILE NUMBER: 911-20

FILE TITLE: Defense and Veterans Eye Injury Registry (DFEIR) Master File

FILE DESCRIPTION: Information system that provides the capability to analyze longitudinal outcomes, assess intervention strategies, enhance performance improvement, and develop a common user/provider interface across the DoD and VA to enhance data integrity, improve coding accuracy, and improve work flow processes for vision care. DVEIVR includes Active Duty United States Military Service Members' and veterans' personally identifiable information and protected health information including: name, race/ethnicity, cell telephone number, mailing/home address, marital status, emergency contact, other names used, birth date, date of death, cell and/or home telephone number, biometrics, medical information, social security number, Electronic Data Interchange Person Number (EDIPN), National



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Provider Identifier (NPI), electronic data interchange person number, national provider identifier, gender, personal e-mail address, disability information, rank, military occupational specialty (MOS), and service. Also, included is clinical data regarding ocular and related diagnoses, medical and surgical interventions, other treatments, rehabilitation and restoration outcomes for each case of significant eye and vision injury incurred by an Active Duty Service Member or Veteran.

DISPOSITION: Temporary. Cut off upon last episode of patient care. Destroy 20 years after cutoff.

AUTHORITY: DAA-0330-2013-0008-0001

PRIVACY ACT: EDHA 24

FILE NUMBER: 911-21

FILE TITLE: Overseas Participant Information Management System, Women, Infants and Children Program (PIMSWIC) Consolidated and Local File (Hard Copy)

FILE DESCRIPTION: The consolidated and local files include but are not limited to beneficiary personally identifiable information and protected health information, program participant name and/or participant sponsor's name, date of birth, truncated Social Security Number (SSN), mailing address, telecommunications numbers (e.g., mobile, fax, telephone), nutrition education notes, e-mail address, military status and supporting information, household income, data assessing nutritional risks and medical data including (but not limited to) anthropometric data (height or stature and weight), biochemical data (hematocrit or hemoglobin), and dietary data (feeding and nutrition practices). In addition, the records include the WIC Overseas Program beneficiary's medical history data including history of pregnancy, food allergies, medical conditions (i.e., asthma, diabetes, lactose intolerance, etc.) and related information.

DISPOSITION: Temporary. Cut off hard copy records upon termination of patient's eligibility or withdrawal of participant from WIC Overseas Program. Transfer to consolidated PIMSWIC Facility in San Antonio, TX 6 months after cutoff. Destroy 3 years after cutoff.

AUTHORITY: DAA-0330-2013-0001-0001

PRIVACY ACT: EDHA 10

FILE NUMBER: 911-22

FILE TITLE: Defense Occupational and Environmental Health Readiness System – Hearing Conservation (DOEHRS-HC) Master File

FILE DESCRIPTION: DOEHRS-HC collects, maintains, compares and reports hearing readiness, deployment and hearing conservation program data for Department of Defense (DoD) Military and Civilian personnel. The master file includes but is not limited to the following information: personally identifiable information including social security number, date of birth, first name, middle name, last name, gender, worker type, hearing loss screening and surveillance data, and personal protective equipment used, and related information.

DISPOSITION: Temporary. Cut off annually. Destroy 30 years after the separation/retirement of the service member of termination/retirement civilian personnel.

AUTHORITY: DAA-0330-2013-0004-0001

PRIVACY ACT: EDHA 19

FILE NUMBER: 911-23

FILE TITLE: Joint Medical Asset Repository (JMAR) / Joint Medical Logistics Readiness Tool (JMLRT) Inventory Data



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FILE DESCRIPTION: Inventory and due in data for supply, facility management, equipment and blood for JMAR /JMLRT, a web-based electronic data repository for consolidated medical logistics inventory and asset inventory information. The JMAR / JMLRT system captures and consolidates existing data from disparate legacy and replacement medical logistics sources to provide a single source for medical asset visibility. JMAR acts as the single authoritative source for acquiring, managing, and providing timely and accurate joint medical asset visibility information. It captures, integrates, and stores data in a central repository with Web-based access. Records in the system includes but is not limited to: medical inventory and due in records for supplies and equipment, medical equipment maintenance data, item receipt data, facility management data and blood inventory and shipment data. **NOTE:** Use FN 206-09.1 for Transactional data to include item receipts, issue history and equipment maintenance.

DISPOSITION: Temporary. Cut off annually in year when list created. Destroy 3 years after cutoff.

AUTHORITY: GRS 5.4, item 010 (DAA-GRS-2016-0011-0001)

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-24

FILE TITLE: Nutritional Management Information System (NMIS) Meal Tickets

FILE DESCRIPTION: Meal ticket records from the Nutrition Management Information System (NMIS) is the Military Health System (MHS) / electronic information system for clinical dietetic and food/production/service information. It contains data elements in the health care records that enable the Military Health System (MHS) personnel to provide preventive and therapeutic medical nutrition therapy (MNT) and medical food management to dietetic MHS beneficiaries and/or their dependents.

DISPOSITION: Temporary. Cut off and destroy after change of diet or medical discharge of the patient.

AUTHORITY: DAA-0330-2013-0010-0002

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-25

FILE TITLE: Nutritional Management Information System (NMIS) Food Production Records

FILE DESCRIPTION: Food production records from the Nutrition Management Information System (NMIS) is the Military Health System (MHS) / electronic information system for clinical dietetic and food/production/service information. It contains data elements in the health care records that enable the Military Health System (MHS) personnel to provide preventive and therapeutic medical nutrition therapy (MNT) and medical food management to dietetic MHS beneficiaries and/or their dependents.

DISPOSITION: Temporary. Cut off annually. Destroy 2 years after cutoff.

AUTHORITY: DAA-0330-2013-0010-0003

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-26

FILE TITLE: Transportation Command Regulating Command and Control Evacuation System (TRAC²ES)

FILE DESCRIPTION: TRAC²ES is a DoD information system used to determine the appropriate medical treatment facility to which the reported patient will be transferred; to notify the reporting U.S. Government medical treatment facility of the transfer destination. Master file for TRAC²ES consist of information from the transferring medical facility which includes but is not limited to: patient identity, service affiliation, grade or status, sex, medical diagnosis, medical condition, necessary special procedures or requirements, required medical specialties administrative considerations, personal considerations, patient home address and/or duty station, and other information affecting the transfer on all active duty military personnel including the National Guard, Air National Guard, Reserves, DoD civilians and others.



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DISPOSITION: Temporary. Cut off upon notification of reporting to medical treatment facility at the transfer destination. Destroy 75 years after cutoff.

AUTHORITY: DAA-0330-2014-0012-0001

PRIVACY ACT: Not Applicable

FILE NUMBER: 911-27

FILE TITLE: Theater Medical Data Store Master Plan

FILE DESCRIPTION: The Theater Medical Data Store (TMDS) provides web-based access to service member information collected at theater based medical treatment facilities (MTFs) using AHLTA-Theater, Shipboard Automated Medical System (SAMS), Global Expeditionary Medical System (GEMS), and Cache TC2. TMDS master file consists of records of medical treatment patients receive in theater battalion aid stations and from first responders on the battlefield. All TMDS medical records include patient demographic information including but not limited to: age, birth date, social security number (SSN), marital status, personal cell phone number, home telephone number, address, race, pay grade, personnel code, service identification number, mobilization status, unit identification number and unit phone number. In addition, TMDS maintains blood inventory records of MTFs OCONUS and in forward operating bases in the theater of operations.

DISPOSITION: Temporary. Cut off annually. Destroy patient related medical records transferred to the Department of Veteran Affairs annually when 50 years old.

AUTHORITY: DAA-0330-2014-0006-0001

PRIVACY ACT: TBD

FILE NUMBER: 911-28

FILE TITLE: Theater Blood Tab

FILE DESCRIPTION: The Theater Blood Tab provides visibility and a traceable record of transient patients' blood transfusions, blood inventory and blood donations according to the theater facility and location. Including but not limited to blood inventory records and medical transportation records.

DISPOSITION: Temporary. Cut off annually. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2014-0006-0002

PRIVACY ACT: TBD

FILE NUMBER: 911-29

FILE TITLE: Defense Medical Human Resource System – Internet (DMHRSi)

FILE DESCRIPTION: DMHRSi is the primary reporting system available to support all medical staff in the day-to-day human resource management operations. It stores data for all medical staff, regardless of Service, and thereby provides an enterprise-wide view of all the medical resources in one system. This allows for integrated visibility and reporting. The DMHRSi master file includes but is not limited to information on Manpower Personnel, Education & Training, Readiness, Labor Cost Assignment, Project or Task. Data fields are Individual's name, date of birth, Social Security Number (SSN) and/or DoD Identification (ID) Number, National Provider Identifier (NPI), Common Access Card (CAC) expiration date, gender, place of birth, citizenship, home address, home telephone number, business email address, work address, work telephone number, race/ethnicity, marital status, medical training information including class names and class dates, military rank information, specialty, licensure, educational background, personnel security clearance data, medical readiness training and other health information required to determine an individual's fitness to perform their duties. The DMHRSi maintains the following MHS contractor and volunteer data:



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- (1) Manpower authorization documents and other information that provide necessary management reporting requirements;
- (2) Personnel training data including personnel training requirements, training courses, classes, schedules, instructors, and individual training records;
- (3) Personnel demographic and projected personnel gains and losses;
- (4) Labor cost assignment data including personnel time card data, labor cost accounting data, DoD pay codes, and bi-weekly pay period summaries; and
- (5) Personnel readiness status including physical training and readiness status.

DISPOSITION: Temporary. Cut off upon notification of separation or termination from MHS assignment and/or employment. Destroy 4 years after cutoff.

AUTHORITY: DAA-0330-2016-0014-0001

PRIVACY ACT: EDHA 11

FILE NUMBER: 911-30

FILE TITLE: Coagulation Clinic (CoagClinic) System – Master Files

FILE DESCRIPTION: The CoagClinic system maintains anticoagulation medical treatment statistical record data for following trends and conducting patient population studies, research and other activities. The system produces patient treatment reports; however, the official patient medical record (treatment) is transferred to and maintained in the AHLTA system. CoagClinic is deployed at 32 military health system hospitals and treatment facilities. CoagClinic master files include patient demographic information, such as:

- Name, SSN, gender, race, DOB, address, telephone number,
- Medical information,
- Family member program category,
- Sponsor SSN, and e-mail address,
- Anticoagulation treatment dosage by
 - Patient appointment date and days of the week,
 - Dosage, dosage frequency and route,
 - Treatment start and end dates,
 - International normalized ratio (INR) of prothrombin time of blood coagulation rates and reactions, and
 - Additional medications and medication information including name, dosage, dosage frequency and route, drug classification, start and stop dates, and in rates and reactions.

DISPOSITION: Temporary. Cut off upon system decommissioning. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2016-0012-0001

PRIVACY ACT: A0040-66b DASG

FILE NUMBER: 911-31

FILE TITLE: Blood Donor Management System (BDMS)

FILE DESCRIPTION: BDMS, a component of the Enterprise Blood Management System (EBMS), manages the blood donation aspect of the Armed Services Blood Program (ASBP), including blood donor registration, screening, blood products, and associated record keeping for military blood donors, dependents of military donors, and other civilian donors in the CONUS, OCONUS, and in Theater. BDMS contains patient information such as blood donor demographic information, blood donor deferral information and data related to donated blood unit such as lab test results, blood product manufacturing, blood product inventory and distribution.



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DISPOSITION: Temporary. Cut off annually. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2016-0001-0001

PRIVACY ACT: EDHA 25-DoD

FILE NUMBER: 911-32

FILE TITLE: Blood Management Blood Bank Transfusion Service (BMBB/TS)

FILE DESCRIPTION: BMBB/TS, a component of the Enterprise Blood Management System (EBMS), improves patient safety by using automation to record, track, and report on all aspects of blood banking and blood transfusion, secures global web-based solutions, provides enterprise-wide Military Health Services (MHS) blood products inventory management and reporting and, provides for automated cross-matching of blood and blood products. Master file includes but is not limited to patient information such as transfusion request, records on issuing of a blood product to patients, donor deferrals, and deferral information.

DISPOSITION: Temporary. Cut off annually. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2016-0001-0003

PRIVACY ACT: EDHA 25 DoD

FILE NUMBER: 911-33

FILE TITLE: Neurocognitive Assessment Performance Report Data

FILE DESCRIPTION: Neurocognitive Assessment data of selected military service members, examining cognitive processes (used to determine if problems are getting better or worse after concussions, Traumatic Brain Injuries (TBIs), and mild Traumatic Brain Injuries (mTBIs)). Data includes:

- Demographic information (Social Security Numbers, date of birth, name, gender, address, military service unit, telephone numbers, etc.)
- Self-reported information on possible prior concussions
- Self-reported assessments of sleepiness and moods
- Cognitive performance measures

DISPOSITION: Temporary. Cut off after last patient is evaluated. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2013-0007-0001

PRIVACY ACT: TBD

FILE NUMBER: 911-34

FILE TITLE: Department of Defense Medical Examination Review Board System (DoDMERBS)

FILE DESCRIPTION: Central repository and tracking system for all initial physical examinations and supporting documents for most officer accessions in all branches of the Armed Services. The DoDMERBS system supports contract and DoD medical and para-professional personnel to store, track, and communicate on status and flow of physical examinations and medical waivers. Includes, but is not limited to:

- Name, SSN, rank, branch, and DOB
- Report of the Medical Examination
- Report of Medical History
- Report of Dental Examination, to include dental x-rays and any associated civilian forms
- Medical tests that have been accomplished such as labs, radiographs, EKGs, Spirometry, consult reports, etc.
- Personal correspondence between the DoDMERB and the applicant, and/or parents/guardian concerning the applicant's medical history or qualification status



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DISPOSITION: Temporary. Cut off annually. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2015-0008-0001

PRIVACY ACT: TBD

FILE NUMBER: 911-35

FILE TITLE: Defense Blood Standard System (DBSS)

FILE DESCRIPTION: Computerized system that electronically records and consolidates blood donation and transfusion information that can be entered through a standard PC using a barcode scanner, pointing device or keyboard. Master file contains patient and donor information provided by Military Treatment Facilities' blood banks and donor centers. Includes, but is not limited to, patient, donor, testing, and blood product information.

DISPOSITION: Temporary. Cut off and destroy upon verification of data transfer and quality assurance.

AUTHORITY: DAA-0330-2016-0001-0002

PRIVACY ACT: EDHA 07

FILE NUMBER: 911-36

FILE TITLE: Spectacle Request and Transmission System (SRTSweb)

FILE DESCRIPTION: Web-based information system for the ordering and tracking of eyewear (including prescription lens inserts for gas masks, goggles, and military combat eye protection) for all authorized users, active, retired and reserve military personnel.

DISPOSITION: Temporary. Cut off all completed orders annually. Destroy 10 years after cutoff.

AUTHORITY: DAA-0330-2017-0007-0001

PRIVACY ACT: Not applicable

FILE NUMBER: 911-37

FILE TITLE: Surgical Scheduling System (S3)

FILE DESCRIPTION: Web-based program that provides standardization of operating room (OR) scheduling and reporting processes, and allows the collection of enterprise-wide metrics on OR efficiency. Includes statistical analysis data maintained at each servicing location for research purposes. Data includes:

- Surgery lengths
- Number of completed surgical procedures (daily, weekly, monthly, annually)
- Types of surgical procedures
- Anaesthesia notes
- Nursing information
- Other data related to a patient's surgery

DISPOSITION: Temporary. Cut off all annually in the year surgery was completed. Destroy 5 years after cutoff.

AUTHORITY: DAA-0330-2017-0008-0001

PRIVACY ACT: Not applicable

RECORDS CATEGORY: 912 – RESERVED

FILE NUMBER: 912-01 – Consolidated into 1901-01

FILE NUMBER: 912-02 – Consolidated into 1901-03

FILE NUMBER: 912-03 – Consolidated into 1901-04 and/or 1901-14, as applicable



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FILE NUMBER: 912-03.1 – RESCINDED
FILE NUMBER: 912-04 – Consolidated into 1901-03
FILE NUMBER: 912-05 – Moved to 1901-05
FILE NUMBER: 912-06 – Consolidated into 1901-01
FILE NUMBER: 912-07 – Consolidated into 1901-04 and/or 1901-14, as applicable
FILE NUMBER: 912-07.1 – RESCINDED
FILE NUMBER: 912-08 – Consolidated into 1901-03
FILE NUMBER: 912-09 – Consolidated into 1901-06
FILE NUMBER: 912-10.1a – Moved to 1901-07
FILE NUMBER: 912-10.1b – Consolidated into 1901-06
FILE NUMBER: 912-10.2 – Consolidated into 1901-06
FILE NUMBER: 912-11 – Consolidated into 1901-08
FILE NUMBER: 912-12 – Consolidated into 1901-08
FILE NUMBER: 912-13 – Moved to 1901-09

RECORDS CATEGORY: 913 – RESERVED

FILE NUMBER: 913-01 – Consolidated into 1901-10
FILE NUMBER: 913-02 – Consolidated into 1901-10

RECORDS CATEGORY: 914 – RESERVED

FILE NUMBER: 914-01a – Moved to 1920-01
FILE NUMBER: 914-01b – Moved to 1920-02

RECORDS CATEGORY: 915 – RESERVED

FILE NUMBER: 915-01 – Moved to 1921-01
FILE NUMBER: 915-01a – RESCINDED
FILE NUMBER: 915-02 – Consolidated into 206-21
FILE NUMBER: 915-03 – Consolidated into 206-21

RECORDS CATEGORY: 916 – RESERVED

FILE NUMBER: 916-01a – RESCINDED
FILE NUMBER: 916-01b – RESCINDED
FILE NUMBER: 916-02 – Moved to 1905-04

RECORDS CATEGORY: 917 – RESERVED

FILE NUMBER: 917-01 – Moved to 1922-01
FILE NUMBER: 917-02 – Moved to 1922-02
FILE NUMBER: 917-02b – Consolidated into 202-03.2



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FILE NUMBER: 917-03 – Moved to 1922-03

RECORDS CATEGORY: 918 – RESERVED

FILE NUMBER: 918-01 – Moved to 1901-11

RECORDS CATEGORY: 919 – RESERVED

FILE NUMBER: 919-01 – Moved to 1923-01

FILE NUMBER: 919-02 – Moved to 1923-02

FILE NUMBER: 919-03 – Moved to 1923-03

FILE NUMBER: 919-04 – Moved to 1923-04

FILE NUMBER: 919-05 – Moved to 1923-05

FILE NUMBER: 919-06 – Moved to 1923-06

FILE NUMBER: 919-07 – Moved to 1923-07

FILE NUMBER: 919-08 – Moved to 1923-08

FILE NUMBER: 919-09 – Moved to 1923-09

FILE NUMBER: 919-10 – Moved to 1923-10

FILE NUMBER: 919-11 – Moved to 1923-11

FILE NUMBER: 919-12 – Moved to 1923-12

FILE NUMBER: 919-13 – Moved to 1923-13

RECORDS CATEGORY: 920 – RESERVED

FILE NUMBER: 920-01 – Moved to 1924-01

FILE NUMBER: 920-02 – Moved to 1924-02

FILE NUMBER: 920-03 – Moved to 1924-03

FILE NUMBER: 920-04a – Moved to 1924-04

FILE NUMBER: 920-04b – Moved to 1924-05

FILE NUMBER: 920-05 – Moved to 1924-06

FILE NUMBER: 920-06 – Moved to 1924-07

FILE NUMBER: 920-07 – Moved to 1924-08

FILE NUMBER: 920-08a – Moved to 1924-09

FILE NUMBER: 920-08b – Moved to 1924-10

FILE NUMBER: 920-09a – Moved to 1924-11

FILE NUMBER: 920-09b – Moved to 1924-12

FILE NUMBER: 920-09c(1) – Moved to 1924-13

FILE NUMBER: 920-09c(2) – Moved to 1924-14

FILE NUMBER: 920-10 – Moved to 1924-15

FILE NUMBER: 920-11a – Moved to 1924-16

FILE NUMBER: 920-11b – Moved to 1924-17

FILE NUMBER: 920-12a – Moved to 1924-18

FILE NUMBER: 920-12b – Moved to 1924-19

FILE NUMBER: 920-13 – Moved to 1924-20

FILE NUMBER: 920-14a – Moved to 1924-21



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FILE NUMBER: 920-14b – Moved to 1924-22
FILE NUMBER: 920-15 – Moved to 1924-23
FILE NUMBER: 920-16a – Moved to 1924-24
FILE NUMBER: 920-16b – Moved to 1924-25
FILE NUMBER: 920-17 – Moved to 1924-26
FILE NUMBER: 920-18 – Moved to 1924-27
FILE NUMBER: 920-19 – Moved to 1924-28

RECORDS CATEGORY: 921 – RESERVED

FILE NUMBER: 921-01a – Moved to 1917-01
FILE NUMBER: 921-01b – Moved to 1917-03
FILE NUMBER: 921-01c – Moved to 1917-04
FILE NUMBER: 921-01d – Moved to 1917-02
FILE NUMBER: 921-02a – Moved to 1917-05
FILE NUMBER: 921-02b – Moved to 1917-06

RECORDS CATEGORY: 922 – RESERVED

FILE NUMBER: 922-01 – Moved to 1918-01
FILE NUMBER: 922-02 – Moved to 1918-02
FILE NUMBER: 922-03 – Moved to 1918-03
FILE NUMBER: 922-04 – Moved to 1918-04

RECORDS CATEGORY: 923 – RESERVED

FILE NUMBER: 923-01a – Moved to 1925-01
FILE NUMBER: 923-01b – Moved to 1925-02
FILE NUMBER: 923-01c – Moved to 1925-03
FILE NUMBER: 923-02 – Consolidated into 101-01.1
FILE NUMBER: 923-03 – Consolidated into 101-01.1

RECORDS CATEGORY: 924 – RESERVED

FILE NUMBER: 924-01 – Moved to 1919-01
FILE NUMBER: 924-02a – Consolidated into 1919-02
FILE NUMBER: 924-02b – Consolidated into 1919-02
FILE NUMBER: 924-02c – Moved to 1919-03
FILE NUMBER: 924-03 – Consolidated into 1919-05
FILE NUMBER: 924-04 – Consolidated into 1919-05
FILE NUMBER: 924-05 – Consolidated into 1919-06
FILE NUMBER: 924-06 – Consolidated into 1919-07
FILE NUMBER: 924-07.A – RESCINDED



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FILE NUMBER: 924-07.B – RESCINDED
FILE NUMBER: 924-07.C – RESCINDED
FILE NUMBER: 924-07.D – RESCINDED
FILE NUMBER: 924-07.F – RESCINDED
FILE NUMBER: 924-07.H – RESCINDED
FILE NUMBER: 924-07.9 – RESCINDED

RECORDS CATEGORY: 925

CATEGORY TITLE: Armed Forces Medical Examiner Files

CATEGORY DESCRIPTION: Medical Examiner records providing the DoD and other federal agencies (including but not limited to the Department of Justice, Department of State, FBI, NASA, National Transportation Bureau, and the U.S. Peace Corps). Records cover comprehensive forensic investigative services, to include forensic pathology, DNA Forensics, forensic toxicology, and medical mortality surveillance.

FILE NUMBER: 925-01

FILE TITLE: Armed Forces DNA Identification Laboratory (AFDIL) Files

FILE DESCRIPTION: Records created by AFDIL which include but are not limited to Armed Forces Medical Examiner System (AFMES) cases submitted for

- DNA testing
- DNA reference cards
- Criminal paternity testing, and
- Current human remains testing

NOTE: Each record is considered a 'Current Day Operations record.' Additional records include Past Accounting and Family Reference Sample-Laboratory Automation records (PA-FRS), which include forensic DNA analysis and reports supporting the Defense POW-MIA Accounting Agency (DPAA) with the identification of American service members lost in past military conflicts, and the family reference sample DNA report case files that support this mission, as well as quality management files (QMS).

DISPOSITION: Temporary. Cut off all annually in the year the case or investigation was closed. Destroy 75 years after cutoff.

AUTHORITY: DAA-0330-2022-0007-0001

PRIVACY ACT: Not applicable

RECORDS CATEGORY: 926 – RESERVED for DHA [Anesthesia Records]

RECORDS CATEGORY: 927

CATEGORY TITLE: Consolidated Health Records Schedule

CATEGORY DESCRIPTION: Records pertaining to the integrated medical care provided by the Army, Navy, and Air Force to Combatant Commands in both peacetime and wartime, as well as Military Health System (MHS) beneficiaries. Includes records implementing shared services with common measurement of outcomes, and records enabling adoption of proven practices, helping reduce standardization, unwanted variation, and improving. Also includes oversight of joint shared medical services. **NOTE:** Use 911 for DHA records and 906 for Analysis of Administrative DHA files.



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FILE NUMBER: 927-01

FILE TITLE: Armed Forces Military Service Treatment Record (STR)

FILE DESCRIPTION: Chronological record (electronic or paper) documenting the medical and/or dental care and treatment received primarily outside of a hospital (outpatient), but may contain a synopsis of any inpatient hospital care and/or mental health treatment related to the Armed Forces members' service. This Item also includes the STRs of members who have not successfully completed the initial entry training or the accession programs. Includes but is not limited to the following:

- Armed Forces Military Service Treatment Record (STR) for Medical / Dental Records (Outpatient)
- Healthcare Artifact and Imaging Management System (HAIMS): Federated architecture that provides access to artifacts and images (A&I) where needed, leverages standards for interoperability with existing and future systems, and is based on extensive real world understanding and experience supporting the MHS mission. HAIMS will provide awareness and access to A&I (e.g. photographs, x-rays, video, etc.) from the Electronic Health Record (EHR). Records in the system include patient medical records including A&I (e.g. photographs, radiographs, waveforms, audio files, x-rays, video, scanned documents, etc.), patient demographic information including unique patient identifier, electronic data interchange patient number (EDIPN-DEERS ID), external person identification, name, gender, DOB, ethnicity, race, patient category, insurance status, address, telecom, primary care manager ID, relationship to sponsor, sponsor unique patient identifier, sponsor EDIPN (DEERS ID) status rank/grade/department/service/organization/role, and specialty.

NOTE: This File Number DOES NOT APPLY to STRs which are currently located in Official Military Personnel Folders (OMPFs) transferred to the NPRC (St. Louis) prior to 1995; and VA XC Folders (deceased Veterans claims) located at Federal Records Centers (FRCs).

DISPOSITION: Temporary. Cut off after the date of separation of the member from the Armed Services. Destroy 100 years after cutoff.

NOTE: The following action will be taken depending on the media as applicable:

Paper STR Information:

- If the member does not initiate a VA claim upon date of separation, the Services shall transfer the paper STR information to Veterans Affairs Records Management Center (VA RMC) or by commercial vendor to VA Records Management Center.
- If the member initiates a VA claim, the Services shall transfer the paper STR information upon date of separation to a specific Veterans Affairs Regional Office (VARO) of jurisdiction.
- The VA shall transfer the paper STR information to the National Personnel Records Center (NPRC)/ Federal Records Centers (FRC) when VA no longer has a business need for the paper STR information.
- At the time of transfer of the paper STR information to the NPRC/FRC, the transferring agency, VA, DoD and the Military Services shall adhere to NARA standards and guidance in effect at the time.
- Transferred paper STR information may be recalled by the Military Services from the VA/NPRC/FRC to support a business need.

Scanned Paper:

- The DoD and the Military Services shall destroy the paper STR information only after completion of scanned electronic Imaging and upon verification by DoD and the Military Services that an Image is readily available for access by authorized users in a repository through a portal or any other mode of access.
- Such verification may occur during the conversion process or via a quality assurance check.

The scanned electronic STR information will be maintained as the record copy.



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AUTHORITY: N1-330-10-003, item 1

PRIVACY ACT: TBD

FORMER FILE NUMBER: 1805-24

FILE NUMBER: 927-02

FILE TITLE: Outpatient Records of Retired/Family Members/NATO/Non-NATO Foreign National/Army ROTC, Army Reserve, Army National Guard on training of less than 30 days/Others

FILE DESCRIPTION: Information reflecting outpatient treatment of retired members, family members, NATO, foreign non-NATO, and others not included in other record series. Also included are records of outpatient treatment or observation of Army ROTC personnel at summer camp training, Army Reserve, and Army National Guard personnel on active duty or active duty for annual training of less than 30 days.

DISPOSITION: Temporary. Cut off after the end of the calendar year of the last date of treatment. Retire to the National Personnel Records Center (NPRC) two years after cutoff. Destroy 50 years after cutoff.

EXCEPTION: Records of all members of a family should be retired at the same time: 2 years after the calendar year of last treatment of all eligible family members. Retire records of ineligible family members at the end of the year in which they become ineligible.

NOTE: Army and Navy facilities retire records to NPRC (MPR) National Personnel Record Center, 9700 Page Blvd., St. Louis, MO 63132; and Air Force facilities retire records to NPRC (CPR) National Personnel Record Center, 111 Winnebago Street, St. Louis, MO 63118.

AUTHORITY: N1-330-01-002, item 2

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66j, 40-66k, 40-66m, 40-66p, 40-66q
- Navy: SSIC 6150-3a, 6150-3b, and 6150-3d
- Air Force: Table 41-12 Rules 2, 4, 5, 5.01, 8, 8.01 and 9

FILE NUMBER: 927-03

FILE TITLE: Inpatient, Extended Ambulatory Records and Fetal Monitoring Strips – Teaching Facilities

FILE DESCRIPTION: Information on all categories of patients receiving inpatient treatment and extended ambulatory procedures at teaching facilities.

DISPOSITION: Temporary. Cut off after the end of the calendar year of the last date of treatment. Retire to the National Personnel Records Center (NPRC) 5 years after cutoff. Destroy 50 years after cutoff.

NOTE: Army and Navy facilities retire records to NPRC (MPR) National Personnel Record Center, 9700 Page Blvd., St. Louis, MO 63132; and Air Force facilities retire records to NPRC (CPR) National Personnel Record Center, 111 Winnebago Street, St. Louis, MO 63118.

AUTHORITY: N1-330-01-002, item 4a

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66s, Field Medical Cards, FN 40-66f, 40-66g, 40-66e
- Navy: SSIC 6150-2a, 6150-2b, 6150-7a, b, and g
- Air Force: Table 41-11, Rules 1, 2, 3, 4, 8, 8.01, 8.02, 11, 12, 13, 14, 15, 19, 21, and 21.01



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FILE NUMBER: 927-04

FILE TITLE: Inpatient, Extended Ambulatory Records and Fetal Monitoring Strips – Military Treatment Facilities

FILE DESCRIPTION: Information on all categories of patients receiving inpatient treatment and extended ambulatory procedures at fixed military treatment facilities.

DISPOSITION: Temporary. Cut off after the end of the calendar year of the last date of treatment. Retire to the National Personnel Records Center (NPRC) 1 year after cutoff. Destroy 50 years after cutoff.

NOTE: Army and Navy facilities retire records to NPRC (MPR) National Personnel Record Center, 9700 Page Blvd., St. Louis, MO 63132; and Air Force facilities retire records to NPRC (CPR) National Personnel Record Center, 111 Winnebago Street, St. Louis, MO 63118.

AUTHORITY: N1-330-01-002, item 4b

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66s, Field Medical Cards, FN 40-66f, 40-66g, 40-66e
- Navy: SSIC 6150-2a, 6150-2b, 6150-7a, b, and g
- Air Force: Table 41-11, Rules 1, 2, 3, 4, 8, 8.01, 8.02, 11, 12, 13, 14, 15, 19, 21, and 21.01

FILE NUMBER: 927-05

FILE TITLE: Inpatient, Extended Ambulatory Records and Fetal Monitoring Strips – Non-Fixed Medical Facilities

FILE DESCRIPTION: Information on all categories of patients receiving inpatient treatment and extended ambulatory procedures at non-fixed military facilities.

DISPOSITION: Temporary. Cut off after the end of the calendar year of the completion of the records or upon closure of treatment center MTF or rotation to another military department, whichever is first. Retire to the National Personnel Records Center (NPRC), return to parent unit medical record department, or hold in theater holding area no later than 1 year after cutoff. Destroy 50 years after cutoff.

NOTE: Army and Navy facilities retire records to NPRC (MPR) National Personnel Record Center, 9700 Page Blvd., St. Louis, MO 63132; and Air Force facilities retire records to NPRC (CPR) National Personnel Record Center, 111 Winnebago Street, St. Louis, MO 63118.

AUTHORITY: N1-330-01-002, item 4c

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66ii, FN 40-66jj
- Navy: SSIC 6150-5a, 6150-5b
- Air Force: Table 47-1, Rules 3, 4, 5 & 7

FILE NUMBER: 927-06

FILE TITLE: Retired Military/Family Members and Non-NATO Foreign National Dental Records

FILE DESCRIPTION: Information reflecting dental treatment provided to retired military and family members and Non-NATO Foreign Nationals. Included are dental health records and corresponding x-rays for each individual.

DISPOSITION: Temporary. Cut off after the end of the calendar year of the completion of last treatment. Destroy 3 years after cutoff.



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AUTHORITY: N1-330-01-002, item 5

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66ii, FN 40-66jj
- Navy: SSIC 6150-5a, 6150-5b
- Air Force: Table 47-1, Rules 3, 4, 5 & 7

FILE NUMBER: 927-07

FILE TITLE: Civilian Employee Dental Records

FILE DESCRIPTION: Information reflecting dental treatment provided civilian employees. Included are dental health records and corresponding x-rays for each individual.

DISPOSITION: Temporary. Cut off after the end of the calendar year of the completion of last treatment. Destroy 3 years after cutoff.

AUTHORITY: N1-330-01-002, item 6

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40 66jj
- Navy: SSIC 6150-5c
- Air Force: Table 47-1, Rule 6

FILE NUMBER: 927-08

FILE TITLE: Military Academy Cadet/Midshipman Dental Records

FILE DESCRIPTION: Information reflecting dental treatment provided military academy cadets/midshipman. Included are dental health records and corresponding x-rays for each individual.

DISPOSITION: Temporary. Cadets/midshipman who do enter active duty should carry records to their next command. For cadets/midshipman who do not enter active duty, cut off upon separation. Destroy 5 years after cutoff.

AUTHORITY: N1-330-01-002, item 7b

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40 66ii
- Air Force: Table 47-1, Rule 9.01

FILE NUMBER: 927-09

FILE TITLE: Substance Abuse Records

FILE DESCRIPTION: Information relating to rehabilitation of participants in the Alcohol and Drug Abuse Prevention and Control Program. Included are results of biochemical urine analysis and other tests, individualized treatment plans, observations of patient's behavior, progress during treatment, documentation by physician and other clinical personnel, and discharge disposition.

DISPOSITION: Temporary. Cut off after the end of the calendar year the case is closed. Destroy 5 years after cutoff or when minor child reaches 23 years old, as applicable.

AUTHORITY: N1-330-01-002, item 8



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PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 600-85d
- Navy: SSIC 5353.1 and 5355.2
- Air Force: Table 41-12, Rule 12.02

FILE NUMBER: 927-10

FILE TITLE: Mental Health Records

FILE DESCRIPTION: Information related to conducting psychological evaluations of individuals, and other consultations relating to mental health services, including social work case records (information related to interviews of patients and appropriate individuals to assist in the adjustment of the patient and in the evaluation of personal and social data for diagnosis and treatment).

DISPOSITION: Temporary. Cut off after the end of the calendar year the case is closed. Destroy 5 years after cutoff or when minor child reaches 23 years old, as applicable.

AUTHORITY: N1-330-01-002, item 9

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-216a, 40-216b, 40-216c, 40-216e, 40-216f
- Navy: SSIC 6320-8b1, 6320-8b2, 6320-8c
- Air Force: Table 41-12, Rules 10, 10.01, 11, 12, 12.01

FILE NUMBER: 927-11

FILE TITLE: Entrance and Separation X-ray Films

FILE DESCRIPTION: Includes all x-ray film exposed during medical examinations of civilians who are inducted, enlisted, appointed, or commissioned in the active military service or in the Reserves and National Guard. Also included are x-ray film exposed during medical examinations of military personnel who reenlist or receive appointments as commissioned or warrant officers. Further, all x-ray film exposed during a release from active duty or separation medical examination.

DISPOSITION: Temporary. Cut off and retain x-rays, along with all additional films taken as a result of questionable anomalies that do not result in an applicant being rejected, no longer than 4 months after creation. Retire x-ray film to NPRC 111 Winnebago Street, St Louis, MO. 63118. VA is authorized custodian of records after transfer (VA schedule RCS VB-1, Part 1, Section XIII (13-061.100)). Destroy in accordance with current VA disposition instructions.

AUTHORITY: N1-330-01-002, item 10

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66z
- Navy: SSIC 6470-1b, 6470-1d
- Air Force: Table 44-3, Rule 1, 2, 3, 4, and 6



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FILE NUMBER: 927-12

FILE TITLE: X-ray Films on Applicants Accepted by Military Academies and preparatory schools

FILE DESCRIPTION: X-ray film relating to applicants for the military academies and preparatory schools.

DISPOSITION: Temporary. Cut off after date of last film. Destroy 5 years after cutoff.

AUTHORITY: N1-330-01-002, item 11

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66v
- Navy: SSIC 6470-1c1
- Air Force: Table 44-3, Rule 5 and 7

FILE NUMBER: 927-13

FILE TITLE: X-ray Films on Applicants and Registrants Rejected for Reasons of Pulmonary Tuberculosis

FILE DESCRIPTION: Chest x-ray film of applicants and registrants rejected for reason of pulmonary tuberculosis.

DISPOSITION: Temporary. Cut off and offer the film to the State health officer of the rejected person's home state. Transfer to State health office according to instructions from the State. Destroy film of rejected person immediately if not required by the state health officer of the rejected person's home state.

AUTHORITY: N1-330-01-002, item 12

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66hh
- Navy: SSIC 6470-1e1
- Air Force: Table 44-3, Rule 15

FILE NUMBER: 927-14

FILE TITLE: X-ray Films on Applicants and Registrants Rejected for Other Medical Reasons

FILE DESCRIPTION: Chest x-ray film of applicants and registrants rejected for medical reasons other than pulmonary tuberculosis.

DISPOSITION: Temporary. Cut off and destroy after results have been entered on physical examination form.

AUTHORITY: N1-330-01-002, item 13

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66aa
- Navy: SSIC 6470-1e2
- Air Force: Table 44-3, Rule 16

FILE NUMBER: 927-15

FILE TITLE: X-ray Films on Applicants and Registrants Rejected for Other than Medical Reasons



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FILE DESCRIPTION: X-ray film of applicants and registrants rejected for other than medical reasons.

DISPOSITION: Temporary. Cut off and destroy immediately.

AUTHORITY: N1-330-01-002, item 14

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66aa
- Navy: SSIC 6470-1e2
- Air Force: Table 44-3, Rule 16

FILE NUMBER: 927-16

FILE TITLE: Routine Employment X-ray Films (not related to Occupational Illness, Injury or Accident) on Civilian Employees

FILE DESCRIPTION: X-rays (not related to Occupational Illness, Injury or Accident) of civilian employees.

DISPOSITION: Temporary. Cut off after date of last film. Destroy 5 years after cutoff.

AUTHORITY: N1-330-01-002, item 15

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-5h
- Air Force: Table 44-3, Rule 8 and 12

FILE NUMBER: 927-17

FILE TITLE: Diagnostic X-ray Films

FILE DESCRIPTION: Includes X-ray and cardiac catheterization film exposed during diagnosis and treatment of patients at hospitals and dispensaries, and X-ray film exposed during periodic physical examinations, examinations for flight, promotion, or other special training.

NOTE: Entrance or separation x-rays are not included in this file number.

DISPOSITION: Temporary. Cut off after the end of the calendar year in which the last film was taken. Destroy 5 years after cutoff.

AUTHORITY: N1-330-01-002, item 16

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66bb/a and 40-66bb/b
- Navy: SSIC 6470-2a
- Air Force: Table 44-3, Rule 10

FILE NUMBER: 927-18

FILE TITLE: Negative Military Occupational Illness X-ray Films

FILE DESCRIPTION: Negative Military Occupational Illness X-ray films taken for medical surveillance of personnel exposed to toxic substances or harmful physical agents in their work environment where no evidence of occupational illness has been found.

DISPOSITION: Temporary. Cut off after the end of the calendar year in which the last film was taken. Destroy 5 years after cutoff.



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AUTHORITY: N1-330-01-002, item 17

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66cc
- Navy: SSIC 6470-2a
- Air Force: Table 44-3, Rule 9, Rule 9.01, Rule 12

FILE NUMBER: 927-19

FILE TITLE: Mammograms/Breast Ultrasound

FILE DESCRIPTION: X-rays and ultrasounds taken of breast tissue for purpose of detecting breast disease.

DISPOSITION: Temporary. Cut off after the end of the calendar year in which the last film was taken. Destroy 10 years after cutoff.

AUTHORITY: N1-330-01-002, item 18

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66bb/c
- Navy: SSIC 6470-2e
- Air Force: Table 44-3, Rule 14

FILE NUMBER: 927-20

FILE TITLE: Radiation Oncology Films

FILE DESCRIPTION: Radiation oncology films produced during radiation treatment.

DISPOSITION: Temporary. Cut off after the end of the calendar year in which the last film was taken. Destroy 15 years after cutoff.

AUTHORITY: N1-330-01-002, item 19

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66bb/d
- Navy: SSIC 6470-2a
- Air Force: Table 44-3, Rule 10

FILE NUMBER: 927-21

FILE TITLE: Radiation Oncology/Therapy Records – Active Radiation Oncology Clinics/Services

FILE DESCRIPTION: Information reflecting outpatient or inpatient radiation oncology/therapy or observation when the clinic/service is active. Records pertain to Army, Navy, Marine Corps, Air Force active and retired military personnel, Reserve, National Guard, midshipmen of the US Military Academies, active and retired uniformed personnel of the Coast Guard, Public Health Service, and Environmental Science services Administration, dependents of active and retired uniformed services personnel, employees of the Federal Government, personnel of the US Merchant Marine, civilians treated in an emergency and all other categories of personnel not referred to above. Included are all diagnostic information, historical data, physical examinations, pathological reports, radiation treatment plans, field



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diagrams, dosimetry, special and routine physics calculations and data, daily treatment and dose details, acute and late effects information, and related documents.

DISPOSITION: Temporary. Cut off after the end of the calendar year in which the last medical treatment was given. Withdraw and retire to NPRC (MPR) National Personnel Record Center, 9700 Page Blvd., St. Louis, MO 63132 15 years after cutoff. Destroy 50 years after cutoff.

AUTHORITY: N1-330-01-002, item 20a

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66nn/oo

FILE NUMBER: 927-22

FILE TITLE: Radiation Oncology/Therapy Records – Closing Radiation Oncology Clinics/Services

FILE DESCRIPTION: Information reflecting outpatient or inpatient radiation oncology/therapy or observation when the clinic/service is closing. Records pertain to Army, Navy, Marine Corps, Air Force active and retired military personnel, Reserve, National Guard, midshipmen of the US Military Academies, active and retired uniformed personnel of the Coast Guard, Public Health Service, and Environmental Science services Administration, dependents of active and retired uniformed services personnel, employees of the Federal Government, personnel of the US Merchant Marine, civilians treated in an emergency and all other categories of personnel not referred to above. Included are all diagnostic information, historical data, physical examinations, pathological reports, radiation treatment plans, field diagrams, dosimetry, special and routine physics calculations and data, daily treatment and dose details, acute and late effects information, and related documents.

DISPOSITION: Temporary. Cut off after the end of the calendar year in which the last medical treatment was given. Withdraw and retire to NPRC (MPR) National Personnel Record Center, 9700 Page Blvd., St. Louis, MO 63132 at termination of radiation oncology services. Destroy 50 years after cutoff.

AUTHORITY: N1-330-01-002, item 20b

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 40-66nn/oo

FILE NUMBER: 927-23

FILE TITLE: Family Advocacy Case Records - Substantiated Cases and Unsubstantiated-Unresolved Cases

FILE DESCRIPTION: Documents relating to substantiated cases and unsubstantiated cases that were ruled unresolved of child or spouse maltreatment under the provisions of the Family Advocacy Program. Included are Child/Spouse Abuse Incident Report, DD Form 2404 (Child/Spouse Abuse Report), Standard Form 600 (Chronological Record of Medical Care), case management summary, record of referral, extract of pertinent information from the police report, CID report, and other pertinent investigative reports and supportive data, evaluation of case, record of services and counseling provided, treatment data, and related documents.

DISPOSITION: Temporary. Cut off after the end of the calendar year in which the case review committee determination was made or treatment ends. Retire to the NPRC (MPR) National Personnel Record Center, 9700 Page Blvd., St. Louis, MO 63132, 2 years after cutoff. Destroy as a family group 25 years after cutoff.

NOTE: Unsubstantiated-Unresolved Cases may be transferred to social work services or other mental health treatment or continued as a voluntary at-risk case, or they may be used in combination with other "Unsubstantiated-Unresolved" reports to create sufficient information for a "Substantiated" report.



OSD Records Disposition Schedules

AUTHORITY: N1-330-01-002, item 21a

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 608-18a
- Navy: SSIC 6320-3b
- Air Force: Table 41-12, Rule 13, Rule 14, Rule 14.01, Rule 14.02

FILE NUMBER: 927-24

FILE TITLE: Family Advocacy Case Records - Unsubstantiated/Did Not Occur Cases

FILE DESCRIPTION: Documents relating to unsubstantiated cases that were ruled Did Not Occur of child or spouse maltreatment under the provisions of the Family Advocacy Program. Included are Child/Spouse Abuse Incident Report, DD Form 2404 (Child/Spouse Abuse Report), Standard Form 600 (Chronological Record of Medical Care), case management summary, record of referral, extract of pertinent information from the police report, CID report, and other pertinent investigative reports and supportive data, evaluation of case, record of services and counseling provided, treatment data, and related documents.

DISPOSITION: Temporary. Cut off after the end of the calendar year in which the case review committee determination was made or treatment ends. Destroy 2 years after cutoff.

NOTE: During the period of retention, if there is a new report on the same family within days or weeks, the record may be used to provide demographic and assessment information about the family so that the family need not be totally reassessed.

AUTHORITY: N1-330-01-002, item 21b

PRIVACY ACT: A0040-66b DASG and A0040-5 DASG (Army); N06150-2 (Navy) and FO44 AF SG - C,D,E,S and FO44 USAFA B (Air Force)

FORMER FILE NUMBERS: Incorporates the following Service Schedules:

- Army: FN 608-18a
- Navy: SSIC 6320-3b
- Air Force: Table 41-12, Rule 13, Rule 14, Rule 14.01, Rule 14.02