

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
Classic CRD		
As of November 11, 2023, NYSE Chicago ceased recognizing the Market Maker Authorized Trader-Equities (MT) position.	Form U4	Firms
PFRD		
<p>Due to SEC rule changes, new Sections 5 and 6 will be added to Form PF effective December 11, 2023. Please note:</p> <ul style="list-style-type: none"> Form PF Section 5 and 6 filings can be submitted online via FINRA Gateway. There are no new entitlements specifically for the new sections. Users with existing access to both Form PF and IARD View Organization entitlements will be able to access Section 5 and 6 filings. While the original Form PF Sections 1-4 will continue to utilize the existing XML upload functionality, programmatic submissions for Sections 5 and 6 will be made via FINRA's fileX platform. <p>For current schema documentation and other updated reference materials, please visit the PFRD User Support page.</p>	Form PF	Firms, SEC