



**Enhanced  
Patent  
Quality  
Initiative**

**WELCOME TO THE  
PATENT QUALITY SUMMIT**

March 25-26, 2015  
USPTO Headquarters • Alexandria, VA

United States Patent and Trademark Office  
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**WELCOME**

Valencia Martin-Wallace  
Deputy Commissioner for Patent Quality

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## AGENDA


**DAY 1: March 25, 2015**

**MORNING SESSION - INTRODUCTION TO THE ENHANCED QUALITY INITIATIVE AND DISCUSSION OF THE IMPORTANCE OF QUALITY**


- Welcome.
- Opening Remarks
- Perspectives on the Importance of Quality
- All Audience Discussion of Key Aspects of Quality

**AFTERNOON SESSION - PROVIDING THE BEST POSSIBLE WORK PRODUCTS**

- Overview of Pillar 1 and Currently Available Improvements
- Introduction of Proposal 1 and Proposal 2
- All Audience Discussion of Proposal 1 and Proposal 2
- Brainstorming for Proposal 1 and Proposal 2 and Report Out
- Concluding Remarks

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## AGENDA


**DAY 2: March 26, 2015**

**MORNING SESSION - ESTABLISHING APPROPRIATE QUALITY METRICS**

- Welcome
- Review of Pillar 1 and Overview of Pillar 2 and the Quality Composite
- Introduction of Proposal 3 and Proposal 4
- All Audience Discussion of Proposal 3 and Proposal 4
- Brainstorming for Proposal 3 and Proposal 4 and Report Out

**AFTERNOON SESSION - IMPROVING THE CUSTOMER EXPERIENCE AND PROVIDING EXCELLENT CUSTOMER SERVICE**

- Overview of Pillar 3 and Currently Available Improvements
- Introduction of Proposal 5 and Proposal 6
- All Audience Discussion of Proposal 5 and Proposal 6
- Brainstorming for Proposal 5 and Proposal 6 and Report Out
- Concluding Remarks

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## Opening Remarks

**Michelle K. Lee**  
Undersecretary of Commerce for Intellectual Property and  
Director of the USPTO

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## Perspectives on the Importance of Quality

**Russell Slifer**  
Director of the Rocky Mountain Regional Office  
Denver, Colorado

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## Introduction of Speakers

- Manny Schecter, IBM Corporation
- Dennis Crouch, University of Missouri, School of Law
- Chris Bullard, Private Practice
- Robert Budens, Patent Office Professional Association (POPA)
- Hilda Galvan, Jones Day
- Roy Waldron, Pfizer Inc.
- Honorable Paul R. Michel, United States Court of Appeals for the Federal Circuit (retired)

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### Perspectives on the Importance of Quality

Manny Schecter  
Chief Patent Counsel  
IBM Corporation

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**Perspectives on  
the Importance of Quality**

**Dennis Crouch**  
Associate Professor  
University of Missouri School of Law

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**Perspectives on  
the Importance of Quality**

**Chris Bullard**  
Private Practice

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**Perspectives on  
the Importance of Quality**

**Robert Budens**  
President  
Patent Office Professional Association (POPA)

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**Perspectives on  
the Importance of Quality**

**Hilda Galvan**  
Partner  
Jones Day

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**Perspectives on  
the Importance of Quality**

**Roy Waldron**  
Senior Vice President & Associate General Counsel  
Chief Intellectual Property Counsel  
Pfizer Inc.

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**Perspectives on  
the Importance of Quality**

**Honorable Paul R. Michel**  
Chief Judge (Retired)  
United States Court of Appeals for the Federal Circuit

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**BREAK**

The Patent Quality Summit will return at approximately 10:45 a.m. EDT

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**ALL AUDIENCE DISCUSSION**


**Moderator: Russ Slifer, Regional USPTO Director, Denver**

**Panelists**

Roy Waldron Pfizer Inc.	Hilda Galvan Jones Day
Manny Schechter IBM Corporation	Dennis Crouch Univ. of Missouri School of Law
Chris Bullard Private Practice	Robert Budens POPA
Judge Paul R. Michel	

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**BREAK**

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approximately 1 p.m. EDT

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**Pillar 1 – Excellence in Work Products**

Valencia Martin-Wallace  
Deputy Commissioner for Patent Quality

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## Glossary Pilot


- Allows applicants in certain fields of art to use glossaries in patent specifications to define claim limitations
- In exchange, applicants receive expedited examination through a first office action
- Pilot extended to June 2, 2015 or when the USPTO receives 200 grantable petitions for participation in the pilot, whichever occurs first

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## Crowdsourcing


- Patent Application Alert System released in February 2015 through a partnership with Reed Technology and Information Services
- Public may receive a customized email alert when a patent application of interest publishes
- Public then poised to potentially submit prior art into the application via a preissuance submission

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## Examiner Technical Training


- Scientists and engineers volunteer to educate examiners on state-of-the-art technological advancements
- Technology Fairs to be held in summer 2015; volunteers wanted in all scientific disciplines

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## Examiner Technical Training

### Patent Examiner Technical Training Program (PETTP)





**VOLUNTEER**  
Share Your Expertise with Patent Examiners

The USPTO is expanding its Patent Examiner Technical Training Program (PETTP) and requesting voluntary assistance from Scientists, Engineers, Professors, and Industrial Designers to participate as guest lecturers.

Volunteer your time and expertise as a guest lecturer to ensure patent examiners keep pace with state of the art developments.

[Dates Announced for Technology Fairs](#)

[Sign Up Now](#)






Thanks to our PETTP Volunteers for stepping UP!

<https://www.cms.uspto.gov/patent/initiatives/patent-examiner-technical-training-program-pettp>

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## Examiner Technology Fairs


Technology Center	Technology Description	Date(s)
1800	Biotechnology and Organic Chemistry	June 10 and 11
1700	Chemical and Materials Engineering	May 5-6
2100	Computer Architecture and Software	July 15
2400	Networking, Multiplexing, Cable, and Security	June 16
2600	Communications	Aug 3-4
2800	Semiconductors, Memory, Optics, Photocopying, Electrical Circuits & Systems, Printing, Measuring & Testing	June 9
2900	Designs	April 14
3600	Transportation, Construction, Electronic Commerce, Agriculture, and National Security	May 18-22
3700	Mechanical Technologies	July 14
	Medical Device Customer Partnership & Tech Fair	June 2 & 3
	Gaming Technologies	August 12

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## Examiner Training


- Legal Training Modules
  - Functional Claiming
  - Clarity of Claim
  - Patent Eligible Subject Matter
- Cooperative Patent Classification (CPC)
- America Invents Act
  - First Inventor to File

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## Global Dossier


- One-stop access for examiners and public to related applications for IP5 countries
  - Make cross-filings;
  - Manage cross-filed applications; and
  - Eliminate need to file duplicate documents in multiple offices (e.g., priority documents, prior art citations, etc.)

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## Common Citation Document


- Provides signal point access to up-to-date citation data relating to the patent application of the IP5 Offices
- Consolidates prior art cited by all participating offices for the family member of a patent application
- Search results for all applications with a patent family are viewable on single page

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## Patent Prosecution Highway

- When an applicant receives a final ruling from a first patent office that at least one claim is allowed, the applicant can request fast track examination of corresponding claim(s) in the corresponding application pending in a second patent office
- USPTO will decide requests for participation typically in two months, provided that U.S. substantive examination has not started yet
- No fee; 27 countries currently participating with U.S.
  - PPH participating country list:  
[http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp).

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## PROPOSAL 1

### Applicant Requests for Prosecution Review of Selected Applications

**Paula Hutzell**  
 Director, Office of Patent Quality Assurance


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## Proposal 1: Background

- Office of Patent Quality Assurance (OPQA) reviews ~9,000 office actions each year
  - Review findings, identify trends and inform improvement initiatives
  - Sample is not always sufficient to permit root cause analysis or detect subtle trends
    - Supplemental sampling may be needed to study issues of interest


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## Proposal 1: What it Does

- Allows applicants to identify applications containing issues that should be studied by OPQA
  - Examining best practices or potential concerns
- Aligns USPTO with what public perceives are key quality issues
- Assists USPTO in identifying applications that contain issues to be studied
- Provides information needed to identify trends and training needs


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## Proposal 1: What it Does Not Do

- Does not replace random sampling necessary to provide representative unbiased metrics of patent examination quality
  - Results from purposive sampling can't be extrapolated to the entire population of applications
    - Representativeness not guaranteed
    - Sample may reflect some inherent bias
- Does not alter the prosecution of a specific application


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## Proposal 1: Breakout Session Topics

- Usage
  - What types of issues would prompt you to use such a program?
  - What attributes would the program need in order to make it useful?
- Inputs
  - What parameters, if any, should be imposed on submitted requests?

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## Proposal 1: Breakout Session Topics

- Process
  - What “best practices” would you recommend for the OPQA selection and review of submitted cases (i.e., sampling, reviewer blind to issues raised, scope of review, etc.)?
  
- Outcomes
  - What type of result/outcome would practitioners expect if they were to use this program?

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## PROPOSAL 2

### Automated Pre-Examination Search

Thomas A. Beach  
Senior Advisor  
Office of the Undersecretary and Director, USPTO

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## Proposal 2: Objective


To explore leveraging modern technologies into an automated pre-examination search tool to advance from one that is antiquated and not scalable.

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## Proposal 2: Background

- Performing a prior art search is a critical and time-intensive aspect of the USPTO patent examination process
  - Vital to patent quality
  - Body of prior art continuously expanding
- Current Linguistic Tool (PLUS)
  - Used prior to examination at the Examiner's discretion
  - Generates list of references based upon key words
  - Opportunities for improvement exist in algorithm and architecture

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## Proposal 2: Background

- Computerized searching algorithms and databases have advanced significantly in recent years
- USPTO is seeking input on new tools that might be available to conduct a pre-examination search utilizing features such as:
  - Keyword, stemming, concept-semantic searching
  - Relational word searching
  - Natural language queries
- Results would be used to supplement examiner's search of prior art, which is based upon applicant's specification and specific claim language

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## Proposal 2: Breakout Session Topics


- Value
  - Do Applicants find value in conducting a search prior to filing?
- Legal implications
  - What are the legal implications of creating and communicating the results of a pre-examination search?

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## Proposal 2: Breakout Session Topics

- Timing
  - Relative to application filing, when should results be communicated?
  
- Content of the search results
  - What information should be provided in the results?
  - How should the results be presented?

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## ALL AUDIENCE DISCUSSION of Proposals 1 and 2

**Moderating: Valencia Martin-Wallace**  
Deputy Commissioner for Patent Quality

<p><b><u>Proposal 1</u></b> Paula Hutzell/Marty Rater OPQA</p>	<p><b><u>Proposal 2</u></b> Thomas Beach/Jackie Stone Patents</p>
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**BREAK**

Virtual attendees – please join a virtual brainstorming session now by clicking the link for Proposal 1 or Proposal 2 in the agenda on our Summit webpage  
<http://www.uspto.gov/patent/initiatives/patent-quality-summit>

The Patent Quality Summit will begin the brainstorming session at 2:45 p.m. EDT

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**BRAINSTORMING  
IN PROGRESS**

**Proposals 1 and 2**

Please join us when we return from our brainstorming sessions here at approximately 3:45 p.m. EDT to discuss ideas from the groups

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# BRAINSTORMING REPORT OUT on Proposals 1 and 2

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## AGENDA

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## Concluding Remarks

**Peggy Focarino**  
Commissioner for Patents

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## WELCOME TO THE PATENT QUALITY SUMMIT DAY TWO

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# Opening Remarks

**Valencia Martin-Wallace**  
Deputy Commissioner for Patent Quality

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## AGENDA

### DAY 2: March 26, 2015

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Deputy Commissioner for Patent Quality**

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**Existing Initiatives Examples**


- Glossary Pilot Program
- Crowdsourcing
- Global Dossier
- Common Citation Document
- Patent Prosecution Highway
- Examiner Technical and Legal Training

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**PROPOSAL 3  
Clarity of Record**

**Brian Hanlon  
Director  
Office of Patent Legal Administration**

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**Proposal 3: Background**


- Better communication between examiner and applicant throughout prosecution
- Give inventors and investors the confidence to take the necessary risks to launch products and start businesses
- Provide the public with the benefit of knowing the precise boundaries of an exclusionary right
- Avoid costly and needless litigation down the road

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## Proposal 3: Breakout Session Topics

- General
  - What have you seen in Office actions that have helped clarify the Official record and should these be considered routine practice?
  - What downstream (e.g., litigation/enforcement) issues should the USPTO consider when proposing additional requirements (e.g., statement of claim construction) for the purpose of clarifying the Official record?

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## Proposal 3: Breakout Session Topics

- Claim Construction
  - Should the USPTO create a more detailed prosecution record that defines the scope of a patent through explicit claim construction?
- Memorializing the Oral Record
  - What are the advantages and disadvantages of making the substance of interviews more extensive on the record?
  - Would it be helpful for the USPTO to provide an explanation on the record concerning the decision made in a pre-appeal conference or appeal conference not to re-open prosecution?

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## Proposal 3: Breakout Session Topics


- Reasons for Allowability
  - When should an examiner provide reasons for why a claim is allowable, and what should such reasons for allowability include to aid in determining the scope of a patent?

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## Pillar 2 Excellence in Measuring Quality

Valencia Martin-Wallace  
Deputy Commissioner for Patent Quality

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# Enhanced Patent Quality Initiative

## Patent Quality Composite

**Richard Seidel**  
Assistant Deputy Commissioner for Patent Operations

United States Patent and Trademark Office

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## Background

- Collaborative effort with Patent Public Advisory Committee (PPAC) that started in 2009
- Goal was to identify, measure, and track meaningful indicia of patent examination quality

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## Background

- 3 key themes emerged in exploration phase:
  - Measure quality throughout the examination process rather than solely at the endpoint of prosecution;
  - Provide a balanced measure to address errors of both allowance and rejection; and
  - Place emphasis on compliance with procedures early in the prosecution of applications, such as search and restriction practice

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## Implementation

- Identified five new quality metrics to be used in conjunction with two historic measures of patent examination quality
  - Final Disposition Compliance Rate (historic measure)
  - In-Process Compliance Rate (historic measure)
  - Quality Index Reporting
  - First Action On the Merits (FAOM) Search Review
  - Complete FAOM Review
  - External Quality Survey
  - Internal Quality Survey
- Implemented Patent Quality Composite in 2010

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## Why a Composite?

- Lack of a widely-accepted single definition of “patent examination quality”
- Multiple metrics can lead to information overload
- Provides a balanced perspective
  - Consistently communicates both improvements and declines for all items
  - Eliminates trap of wanting to advertise only those items that support a particular position
- Sensitive to detecting unintended consequences of driving improvement in limited areas

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## Patent Quality Composite Video

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**PROPOSAL 4**  
**Review of and Improvements to Quality Metrics**

**Richard Seidel**  
**Assistant Deputy Commissioner for Patent Operations**

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**Proposal 4: Background**

- USPTO proposes to re-assess the effectiveness of the Quality Composite Metric to:
  - increase the effectiveness, clarity, and simplicity of USPTO review;
  - employ a system that measures errors by commission and omission; and
  - obtain examination metrics that are specifically tied to procedures for improving performance based on identified trends
- USPTO also proposes to re-evaluate its current ways of measuring the impact of training provided to examiners to enhance effectiveness

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## Proposal 4: Breakout Session Topics

- Overall Value of the Quality Composite
  - What are the advantages and disadvantages of using a single composite measure versus using the multiple components of the composite to define quality for public and system users? Is one more useful than the other?
  - How should the complex nature of a robust quality metric be balanced with a need to simplify its understanding?

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## Proposal 4: Breakout Session Topics


- Perception and Definition of Patent Quality
  - How can we capture perceptions of downstream users of the patent system, e.g., investors and technologists, in our evaluation of Patent Quality?
  - How could we capture the quality of the application “as filed” and its impact on overall Patent Quality?

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## Proposal 4: Breakout Session Topics

- Effectiveness of the Quality Composite as an accurate depiction of Patent Quality
  - What metrics, if any, should be added, substituted, or removed?
  - Besides our Internal Quality Survey, are there other ways to incorporate the effectiveness of the USPTO training programs in defining the quality of work product?

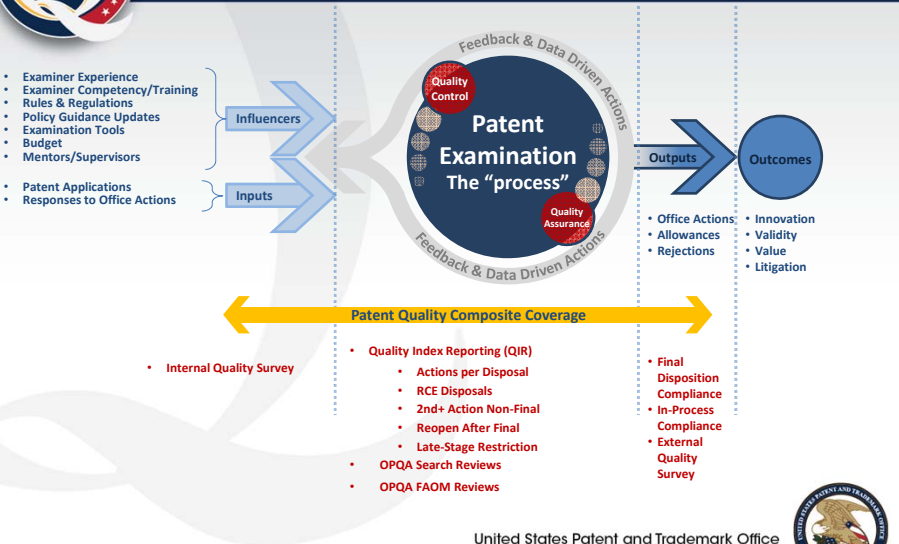
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## Patent Quality Composite Scope & Framework

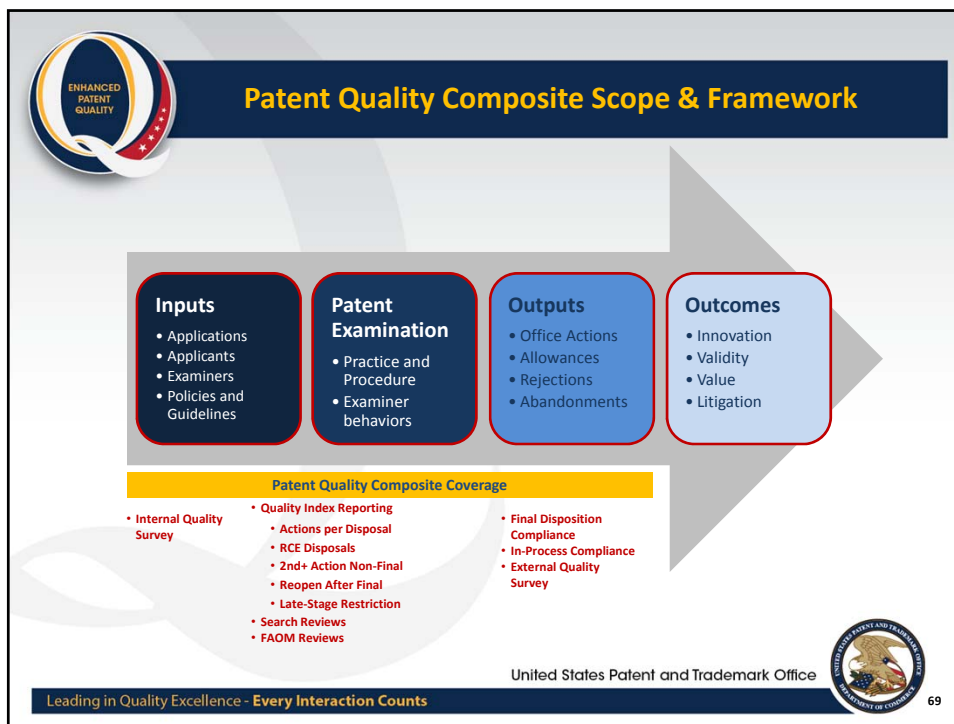


The diagram illustrates the Patent Examination process as a central cycle. On the left, 'Influencers' (Examiner Experience, Competency/Training, Rules & Regulations, Policy Guidance Updates, Examination Tools, Budget, Mentors/Supervisors) and 'Inputs' (Patent Applications, Responses to Office Actions) feed into the central 'Patent Examination The "process"'. This process is surrounded by 'Feedback & Data Driven Actions' and includes 'Quality Control' and 'Quality Assurance'. On the right, 'Outputs' (Office Actions, Allowances, Rejections) lead to 'Outcomes' (Innovation, Validity, Value, Litigation). A yellow double-headed arrow at the bottom indicates 'Patent Quality Composite Coverage', which includes: Internal Quality Survey; Quality Index Reporting (QIR) with sub-points: Actions per Disposal, RCE Disposals, 2nd+ Action Non-Final, Reopen After Final, Late-Stage Restriction; OPQA Search Reviews; OPQA FAOM Reviews; Final Disposition Compliance; In-Process Compliance; and External Quality Survey.

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# Enhanced Patent Quality Initiative

## ALL AUDIENCE DISCUSSION of Proposals 3 and 4

**Moderating: Valencia Martin-Wallace**  
Deputy Commissioner for Patent Quality

<p><b><u>Proposal 3</u></b></p> <p><b>Brian Hanlon</b></p> <p>OPLA</p>	<p><b><u>Proposal 4</u></b></p> <p><b>Rick Seidel/Marty Rater</b></p> <p>Patents</p>
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**BREAK**

Virtual attendees – please join a virtual brainstorming session now by clicking the link for Proposal 3 or Proposal 4 in the agenda on our Summit webpage  
<http://www.uspto.gov/patent/initiatives/patent-quality-summit>

The Patent Quality Summit will begin the brainstorming session at 10:30 a.m. EDT



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**BRAINSTORMING  
IN PROGRESS**

**Proposals 3 and 4**

Please join us when we return from our brainstorming sessions here at approximately 11:30 a.m. EDT to discuss ideas from the groups



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**BRAINSTORMING REPORT OUT  
on Proposals 3 and 4**

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**BREAK**

**The Patent Quality Summit will return at  
approximately 1:30 p.m. EDT**

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# Enhanced Patent Quality Initiative

## Pillar 3 Excellence in Customer Service

Valencia Martin-Wallace  
Deputy Commissioner for Patent Quality

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## Assignment Search


- Released new Assignment Database in January 2015
  - Modernized user interface
  - Increased number of searchable fields
- Recording of ownership information in database is voluntary; records are available from 1980 to present and updated weekly

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## Quick Path Information Disclosure Statement


- Authorizes time for examiners to consider compliant Information Disclosure Statements filed after allowance
- Provides a mechanism for consideration of Information Disclosure Statement filed after the issue fee has been paid where applications will only result in an RCE if the information necessitates reopening prosecution
- Part of the USPTO's on-going efforts toward compact prosecution

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## After Final Consideration Pilot


- Authorizes additional time for examiners to search and/or consider responses after final rejection
- If an after final response does not place application in condition for allowance, examiners will conduct an interview to discuss the results of their search and/or consideration
- Supports USPTO's on going efforts towards compact prosecution and increased collaboration between examiners and stakeholders

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## First Action Interview Pilot


- Participants are permitted to conduct an interview with the examiner after reviewing a Pre-Interview Communication providing the result of a prior art search conducted by the examiner
- Participants experience many benefit including:
  - Ability to advance prosecution of an application;
  - Enhanced interaction between applicant and examiner;
  - Opportunity to resolve patentability issues one-on-one with the examiner at the beginning of the prosecution process; and
  - Opportunity to facilitate possible allowance

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## Patents Ombudsman

- Assists applicants and their representatives when there is a break down in the normal application process
- Designed not to circumvent normal communication between applicants and their representatives or supervisory examiner
- Facilitates resolutions and can assist in getting the application back on track

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## Pro Se Assistance Program

- Comprehensive assistance program established to aid inventors who file patent applications without the assistance of a registered patent attorney or agent (also known as “pro se” filing)
- Examiners available to answer questions from pro se applicants and develop targeted resources to help pro se applicants file and prosecute patent applications
- Examination pilot also started for examiners to specifically examine pro se filed applications

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## Pro Bono Program

- Volunteer attorneys provide free legal assistance to under-resourced inventors and small businesses to file and prosecute patent applications
- Current coverage in 45 states; expanding to 50 states in 2015

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## Call Center Assistance


- USPTO provides various call center support:
  - Application Assistance Unit
  - Electronic Business Center
  - Inventor’s Assistance Center
  - Office of Petitions
  - Patent Cooperation Treaty
  - Ombudsman
  - Office of Patent Legal Administration

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## Outreach


- Partnering in Patents
- Software Partnership
- Medical Device Technology Partnership
- Biotechnology/Chemical/Pharmaceutical Customer Partnership

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## Big and Open Data

- Treasure trove of data at the USPTO
- Open Data Roundtable held in December 2014 with NYU's GovLab; currently collecting ideas via:
  - <http://mobile-uspto.ideascale.com/>

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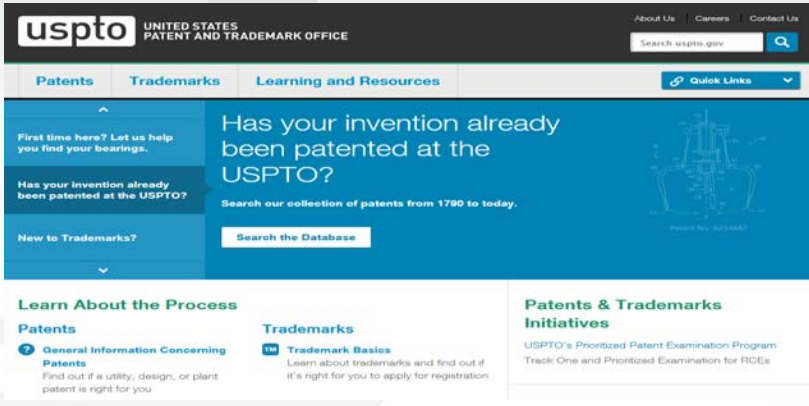


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## USPTO Website Re-Design

- Released February 5, 2015



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**ENHANCED PATENT QUALITY**

## USPTO Regional Offices

Map of the United States showing regional offices with photos of office buildings:

- Silicon Valley, Calif.
- Denver, Colo.
- Dallas, Texas
- Detroit, Mich.
- Alexandria, Va.

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# Enhanced Patent Quality Initiative


## PROPOSAL 5

### Review of the Compact Prosecution Model and the Effect on Quality

Remy Yucel  
Director, Central Reexamination Unit (CRU)

United States Patent and Trademark Office

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## Proposal 5: Background


- “Compact prosecution” historically has been defined as the achievement of a final disposition in the fewest number of Office actions
- Final disposition includes allowance, appeal or abandonment
- Time from filing to disposition is not factored in the current model

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## Proposal 5: Background

- Compact prosecution entails :
  - First action on the merits (FAOM) to develop all issues
  - Reply entered as a matter of right which addresses the rejections and objections presented in the FAOM
  - Second action which typically closes prosecution
  - Limited after final submission rights

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## Proposal 5: Background

- Compact prosecution has certain limitations:
  - May generate a FAOM on claims which are more exploratory in nature than the claims applicant truly wishes to pursue
  - First “interaction” is completed after the FAOM is sent and responded to—potentially many months after the examiner first looks at the application
  - Provides for/encourages interview practice, but the opportunity for interactive discussion is limited

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## Proposal 5: Breakout Session Topics


- Current Compact Prosecution Model Assessment
  - What works? What does not?
  - What factors should go into determining the compactness of prosecution?
- Modest Modifications of the Model
  - When should additional second non-final actions be available?
- More Extensive Modifications of the Model
  - When should final actions be abolished while still avoiding protracted prosecution?

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**Enhanced Patent Quality Initiative**

**PROPOSAL 6**  
**In-Person Interview Capability with All Examiners**

**Tim Callahan**  
**Director, Technology Center 2400**

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**Proposal 6: Background**


- Interviews are widely used to advance prosecution
- Examiners used over 220,000 hours in fiscal year 2014 conducting interviews via telephone, in-person meetings, and video conferencing
- Internal reviews have shown that applications with at least one interview have a higher compliance rate with quality metrics

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## Proposal 6: Background


- Under current Practice, all in-person interviews must be held on USPTO premises
- Remote workforce can present a challenge for arranging in-person meetings

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## Proposal 6: Concept

- Develop a program to enable an in-person interview for any application, regardless of the examiner's duty station
- Explore the cost implications for an in-person interview program and identify methods to defray the costs
- Define metrics to measure success of an in-person interview program


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## Proposal 6: Breakout Session Topics

- What are the benefits of in-person interviews versus video conference interviews?
- What are the obstacles to having in-person interviews at remote locations?
- What equipment or resources are needed for an effective interview?
- What costs are associated with an in-person interview program and how might the agency recover these costs?

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## ALL AUDIENCE DISCUSSION of Proposals 5 and 6

**Moderating: Valencia Martin-Wallace**  
Deputy Commissioner for Patent Quality

<p><b><u>Proposal 5</u></b> Remy Yucel Director, CRU</p>	<p><b><u>Proposal 6</u></b> Tim Callahan Director, TC 2400</p>
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**BREAK**

Virtual attendees – please join a virtual brainstorming session now by clicking the link for Proposal 5 or Proposal 6 in the agenda on our Summit webpage  
<http://www.uspto.gov/patent/initiatives/patent-quality-summit>

The Patent Quality Summit will be the brainstorming session at 3:15 p.m. EDT



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**BRAINSTORMING  
IN PROGRESS**  
**Proposals 5 and 6**

Please join us when we return from our brainstorming sessions here at approximately 4:15 p.m. EDT to discuss ideas from the groups



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**BRAINSTORMING REPORT OUT  
on Proposals 5 and 6**

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**Closing Remarks**

**Peggy Focarino**  
**Commissioner for Patents**

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