



# Lightning $\text{NO}_x$ , a key chemistry–climate interaction: impacts of future climate change and consequences for tropospheric oxidising capacity

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**Abstract.** Lightning is one of the major natural sources of  $\text{NO}_x$  in the atmosphere. A suite of time slice experiments using a stratosphere-resolving configuration of the Unified Model (UM), containing the United Kingdom Chemistry and Aerosols sub-model (UKCA), has been performed to investigate the impact of climate change on emissions of  $\text{NO}_x$  from lightning ( $\text{LNO}_x$ ) and to highlight its critical impacts on photochemical ozone production and the oxidising capacity of the troposphere. Two Representative Concentration Pathway (RCP) scenarios (RCP4.5 and RCP8.5) are explored.  $\text{LNO}_x$  is simulated to increase in a year-2100 climate by 33 % (RCP4.5) and 78 % (RCP8.5), primarily as a result of increases in the depth of convection. The total tropospheric chemical odd oxygen production ( $P(\text{O}_x)$ ) increases linearly with increases in total  $\text{LNO}_x$  and consequently, tropospheric ozone burdens of  $29 \pm 4 \text{ Tg}(\text{O}_3)$  (RCP4.5) and  $46 \pm 4 \text{ Tg}(\text{O}_3)$  (RCP8.5) are calculated here. By prescribing a uniform surface boundary concentration for methane in these simulations, methane-driven feedbacks are essentially neglected. A simple estimate of the contribution of the feedback reduces the increase in ozone burden to 24 and 33  $\text{Tg}(\text{O}_3)$ , respectively. We thus show that, through changes in  $\text{LNO}_x$ , the effects of climate change counteract the simulated mitigation of the ozone burden, which results from reductions in ozone precursor emissions as part of air quality controls projected in the RCP scenarios. Without the driver of increased  $\text{LNO}_x$ ,

our simulations suggest that the net effect of climate change would be to lower free tropospheric ozone.

In addition, we identify large climate-change-induced enhancements in the concentration of the hydroxyl radical (OH) in the tropical upper troposphere (UT), particularly over the Maritime Continent, primarily as a consequence of greater  $\text{LNO}_x$ . The OH enhancement in the tropics increases oxidation of both methane (with feedbacks onto chemistry and climate) and very short-lived substances (VSLS) (with implications for stratospheric ozone depletion). We emphasise that it is important to improve our understanding of  $\text{LNO}_x$  in order to gain confidence in model projections of composition change under future climate.

## 1 Introduction

Lightning is one of the primary sources of nitrogen oxides ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) in the troposphere and the only natural source remote from the Earth's surface. Emissions of  $\text{NO}_x$  from lightning ( $\text{LNO}_x$ ) in the mid and upper troposphere (UT), where the  $\text{NO}_x$  lifetime is longer than at the surface, exert a disproportionately large influence on tropospheric chemistry. Lightning occurs predominantly in regions of strong convection. These regions, and hence  $\text{LNO}_x$ , are likely to change in a warmer, more moist climate;  $\text{LNO}_x$

therefore has the potential to be a particularly important factor in chemistry–climate interactions.

LNO<sub>x</sub> has several roles relevant to both the composition and the radiative properties of the troposphere. NO<sub>x</sub> from lightning induces production of ozone (O<sub>3</sub>) in the mid to upper troposphere (e.g. Williams, 2005; Schumann and Huntrieser, 2007; Barret et al., 2010), where ozone can exert a particularly strong radiative forcing (Forster and Shine, 1997). The production can be large enough to affect the tropospheric column ozone over or downwind of LNO<sub>x</sub>, particularly when other natural sources of NO<sub>x</sub> (e.g. biomass burning) are absent (Ryu and Jenkins, 2005).

Concentrations and partitioning of other important trace gases are also affected. For example, the partitioning of the HO<sub>x</sub> (HO<sub>x</sub> = OH + HO<sub>2</sub>) family can be altered by the conversion of HO<sub>2</sub> to OH via the reaction between HO<sub>2</sub> and NO. In addition, formation of HO<sub>x</sub> can be induced indirectly, as lightning-produced ozone is subsequently photolysed to form O(<sup>1</sup>D), which then reacts with water vapour to generate OH. In contrast, HO<sub>x</sub> loss ensues when OH and NO<sub>2</sub> react to form nitric acid, which can then be deposited to the surface (Brasseur et al., 2006; Schumann and Huntrieser, 2007). Any changes in HO<sub>x</sub> can affect the lifetime of methane, whose loss depends primarily on OH (Holmes et al., 2013; Murray et al., 2014). Since methane is the second most important greenhouse gas in terms of radiative forcing, this represents an important chemistry–climate feedback resulting from changes in LNO<sub>x</sub>.

Changes in climate can also exert a direct influence on LNO<sub>x</sub> where, generally, global LNO<sub>x</sub> is found to increase in a warmer climate (Grenfell et al., 2003; Zeng and Pyle, 2003; Brasseur et al., 2006; Zeng et al., 2008; Hui and Hong, 2013). However, given the large uncertainty that surrounds present-day LNO<sub>x</sub> estimates (generally between 2 and 8 Tg(N) yr<sup>-1</sup>), its vertical distribution and generation mechanisms (Schumann and Huntrieser, 2007; Wong et al., 2013), future projections are also highly uncertain (Price, 2013). A large part of the uncertainty in future changes arises from deficits in our understanding of the processes that drive modelled changes in convection. Chadwick et al. (2013) analysed tropical convective mass fluxes in the models contributing to the recent Coupled Model Intercomparison Project phase 5 (CMIP5) and found both a climatological weakening and a deepening of convection to be robust responses to a warmer climate. The depth of convection is likely to increase due, at least in part, to an uplifting of the tropopause with climate change. However, the mechanisms behind the changes in convection are complicated by several potential contributing factors and are still under debate. These factors might include: increasing sea-surface temperatures (SSTs) (Ma et al., 2012; Ma and Xie, 2013; Chadwick et al., 2013), spatial changes in SST patterns (Xie et al., 2010), increases in the static stability of the lower atmosphere (as the upper troposphere warms more than the lower troposphere) (Chadwick et al., 2012) and increases in the

depth of convection itself (Chou et al., 2009; Chou and Chen, 2010). With these uncertainties in mind, it is nonetheless important to explore the possible feedback processes involving LNO<sub>x</sub> in a future climate.

To do this, we use a stratosphere-resolving configuration of the Unified Model (UM) containing the United Kingdom Chemistry and Aerosols (UKCA) sub-model with both stratospheric and tropospheric chemistry, to perform a series of sensitivity experiments perturbing perpetual year-2000 conditions to year-2100. In these experiments, we explore climate change using two Representative Concentration Pathway (RCP) scenarios: RCP4.5 and RCP8.5 (IPCC, 2013); we also change the concentrations of ozone-depleting substances (ODSs) and tropospheric ozone precursor emissions. The focus in this study lies in examining changes in LNO<sub>x</sub> and subsequent impacts on tropospheric composition. We do not attempt to provide a detailed description of all the changes associated with the applied perturbations; that will form the basis of a future publication.

The following sections are organised as follows. Section 2 describes the experimental set-up and the method in which LNO<sub>x</sub> is calculated in UM-UKCA. Section 3 then discusses the impacts of future climate change on LNO<sub>x</sub>. The associated changes in tropospheric ozone and oxidising capacity are highlighted. Section 4 concludes with a summary of the results.

## 2 Model description

### 2.1 Experimental set-up

We use UM-UKCA in its atmosphere-only set-up at N48L60 resolution (3.75° × 2.5° with 60 hybrid-height levels extending up to 84 km). The dynamical core is described by Hewitt et al. (2011). The model is forced by prescribed SSTs and sea ice. A uniform concentration for CO<sub>2</sub> is assumed while uniform surface boundary conditions are prescribed for the remaining greenhouse gases (GHGs) and ozone-depleting substances (ODSs) (N<sub>2</sub>O, methane and halogen-containing species). These can be varied independently within the radiation and chemistry schemes. There are surface emissions of nine species (NO, CO, HCHO, C<sub>2</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub>, CH<sub>3</sub>COCH<sub>3</sub>, CH<sub>3</sub>CHO, C<sub>5</sub>H<sub>8</sub> and biogenic CH<sub>3</sub>OH) and multi-level emissions for NO<sub>x</sub> emitted from aircraft.

The chemistry scheme used is a combination of the well-established tropospheric (O'Connor et al., 2014) and stratospheric (Morgenstern et al., 2009) schemes. It includes the O<sub>x</sub>, HO<sub>x</sub> and NO<sub>x</sub> chemical cycles and the oxidation of CO, ethane, propane and isoprene (Archibald et al., 2011), in addition to chlorine and bromine chemistry, including heterogeneous processes on polar stratospheric clouds (PSCs) and liquid sulfate aerosols. Photolysis is calculated interactively by the Fast-JX scheme (Telford et al., 2013) and ozone is coupled interactively between chemistry and radiation.

We perform a series of time-slice integrations with fixed boundary conditions. For each, we allow the model to spin up for 10 years and integrate for a further 10 years. Through a total of 10 different simulations, we evaluate the response of the model to three types of perturbations and their combinations. The full set of simulations is summarised in Table 1. The Base run is defined by year-2000 boundary conditions.

The separate perturbations are described as follows:

- i. Climate change (CC) – The climate is changed by varying concentrations of GHGs (CO<sub>2</sub>, methane, N<sub>2</sub>O, chlorofluorocarbons – CFCs – and hydrochlorofluorocarbons – HCFCs) in the radiation scheme, and prescribed SST/sea ice fields. The changes in GHGs are not imposed in the chemistry scheme. We adopt three different realisations for climate: (a) year 2000, (b) year 2100, RCP4.5, and (c) year 2100, RCP8.5. For year 2000, GHGs are fixed at historical concentrations for this year according to the RCP data set (van Vuuren et al., 2011); the SST/sea ice fields are obtained from the observational HadISST data set (Rayner et al., 2003) and are averages over the years 1998–2002. For year 2100, GHG concentrations are specified according to the concentrations in the RCP4.5 or RCP8.5 scenarios; the SST/sea ice fields are obtained from simulations using the HadGEM2-CC coupled atmosphere–ocean model for these respective scenarios (Martin et al., 2011) and are averages over the years 2081–2100 (RCP4.5) and 2091–2100 (RCP8.5).
- ii. Ozone-depleting substances (ODSs) – Changes in ODSs are imposed only within the chemistry scheme; for radiatively active ODSs (e.g. CFC-11 and CFC-12), these changes are decoupled from the radiation scheme. We only consider future changes in halogen-containing species, while N<sub>2</sub>O and methane, which are source gases for ODSs, are left unchanged. For year 2000, we apply historical surface concentrations obtained from the RCP data set; for year 2100, we apply the concentrations projected by the RCP4.5 scenario.
- iii. Ozone precursor emissions (O3pre) – We consider a future reduction in the anthropogenic components of emissions relative to year-2000 values as according to the RCP4.5 scenario. Emissions from natural sources, including isoprene emissions, remain unchanged. Methane is also not changed in the chemical scheme.

We aim to isolate the impact of LNO<sub>x</sub> from other effects of climate change by performing two further simulations in which we fix LNO<sub>x</sub> but allow climate (and its influence on convection) to vary between them. These are the Base(*f*LNO<sub>x</sub>) and ΔCC8.5(*f*LNO<sub>x</sub>) simulations which are run under year-2000 and year-2100 RCP8.5 climate, respectively. In these, both the amount and distribution of LNO<sub>x</sub>

are fixed to that of the Base run. To do this, we switch off the interactive calculation of LNO<sub>x</sub> (see Sect. 2.2) and instead impose a monthly mean climatology of these emissions obtained from the Base run, which is linearly interpolated to 5-day averages. The Base(*f*LNO<sub>x</sub>) and Base runs should be identical; indeed, there are negligible differences in temperature, tropospheric ozone and OH between these runs, providing validation for the method of imposing an LNO<sub>x</sub> climatology. It is therefore also valid to present results of ΔCC8.5(*f*LNO<sub>x</sub>) as differences from Base (as with all other perturbations in this study), with the confidence that there are no differences generated from the contrasting experimental set-ups. Base(*f*LNO<sub>x</sub>) will henceforth not be discussed and ΔCC8.5(*f*LNO<sub>x</sub>) will be referred to as the “fixed-LNO<sub>x</sub>” run.

## 2.2 Lightning NO<sub>x</sub> parameterisation

LNO<sub>x</sub> is calculated every hour in UM-UKCA following the method applied in the p-TOMCAT model. Details of the methodology are provided in Barret et al. (2010) and references therein but a brief description is provided here. Lightning flash frequencies are parameterised according to the Price and Rind (1992, 1994a) scheme (henceforth abbreviated as PR92):

$$F_c = 3.44 \times 10^{-5} H^{4.9} \quad (1)$$

$$F_m = 6.40 \times 10^{-4} H^{1.73}, \quad (2)$$

where  $F_c$  and  $F_m$  are continental and marine lightning frequencies (flashes min<sup>-1</sup> 25 km<sup>-2</sup>), respectively, and  $H$  is the cloud-top height (kilometres above ground level), which is determined from the model convection scheme. The PR92 method for calculating the proportion of cloud-to-ground (CG) and intra-cloud (IC) flashes is incorporated, but here, the energy per flash is constant regardless of the type of flash. 10<sup>26</sup> molecules of NO are produced per flash and the flash frequencies are scaled to match observations of the present day (Barret et al., 2010), resulting in 6 Tg(N) yr<sup>-1</sup> of total, global LNO<sub>x</sub> for the year 2000. The scaling factor is unchanged between runs, such that LNO<sub>x</sub> will vary only with changes in convective cloud-top height through changes in convection. The molecules of NO<sub>x</sub> produced in each column are then distributed evenly in log-pressure coordinates from 500 hPa to the cloud top and the ground to 500 hPa for IC and CG flashes, respectively.

Implementation of the PR92 scheme varies in its details from model to model, generally with an aim to generate lightning flash frequencies and distribution for the present-day atmosphere (as within the development of UM-UKCA) or for a particular choice of total, global LNO<sub>x</sub>. In a model study, Labrador et al. (2005) have demonstrated that, in addition to the overall magnitude of LNO<sub>x</sub>, concentrations of tropospheric trace constituents are also particularly sensitive to the

vertical distribution of  $\text{LNO}_x$ . However, they were unable to select a best-fitting distribution due to the low number of observational campaigns and the large scatter in existing data. Compared to other vertical  $\text{LNO}_x$  distributions, such as those suggested by Pickering et al. (1998) and Ott et al. (2010), UKCA distributes  $\text{LNO}_x$  more evenly by mass in the vertical. As a result, UKCA would simulate lower ozone and OH in the mid and upper troposphere for a given magnitude of total  $\text{LNO}_x$ , relative to these distributions. In the lower troposphere and the boundary layer, where  $\text{NO}_x$  lifetimes are short, trace gas concentrations are far less sensitive to  $\text{LNO}_x$  (Labrador et al., 2005).

Convection itself is also parameterised at the horizontal resolution used in this model and in most current chemistry–climate and chemical transport models (CCMs, CTMs). Russo et al. (2011) showed that although a high vertical model resolution is needed to match the vertical distribution of clouds to observations, a low horizontal resolution is sufficient to capture the geographical distribution.

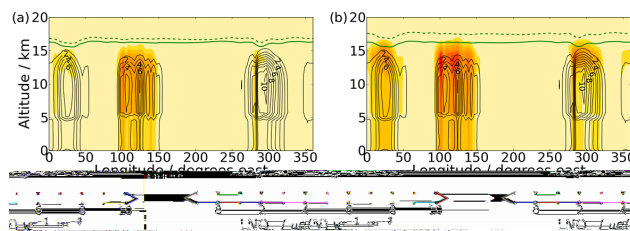
As in many sensitivity studies, we bear these caveats in mind and use our parameterisations as reference schemes relative to which we study changes. Our goal is thus to understand the mechanisms by which climate change could drive changes in chemistry, with a focus on the role of  $\text{LNO}_x$ , rather than attempt to predict the future state of the atmosphere.

### 3 Results

We primarily address changes related to  $\text{LNO}_x$  between the runs outlined in Sect. 2.1. We will first discuss changes in the  $\text{LNO}_x$  amount and distribution with climate change in Sect. 3.1. Then, in Sect. 3.2, we will show the resulting impacts on the tropospheric, global odd oxygen budget. In Sect. 3.3, we will address consequences for the OH radical, which principally determines the oxidising capacity of the troposphere, and finally, we will discuss the associated impacts on methane and other trace gases in Sect. 3.4.

#### 3.1 Changes in $\text{LNO}_x$

The fifth column in Table 1 shows that experiments with a warmer climate simulate greater  $\text{LNO}_x$ . Relative to the year-2000 climate, there are substantial increases in  $\text{LNO}_x$  of  $2 \text{ Tg(N) yr}^{-1}$  (33 %) and  $4.7 \text{ Tg(N) yr}^{-1}$  (78 %) between runs for which only the climate changes, according to the RCP4.5 and RCP8.5 scenarios, respectively. This corresponds to a sensitivity of  $0.96 \text{ Tg(N) K}^{-1}$  or  $16 \% \text{ K}^{-1}$  although the relationship between  $\text{LNO}_x$  and global mean surface temperature is not quite linear (not shown). This sensitivity is stronger than that reported by some previous model studies:  $9 \% \text{ K}^{-1}$  (Brasseur et al., 2006),  $12 \% \text{ K}^{-1}$  (Grenfell et al., 2003),  $5\text{--}6 \% \text{ K}^{-1}$  (Price and Rind, 1994b). This could reflect differences in the specific tuning of the PR92 parameterisation



**Figure 1.** Annual mean, longitude–altitude cross sections of tropically averaged ( $20^\circ \text{ S}$ – $20^\circ \text{ N}$ )  $\text{LNO}_x$  (contours) of the Base run and changes (shading) from Base to (a)  $\Delta\text{CC4.5}$  and (b)  $\Delta\text{CC8.5}$ . Regions which show notable changes in  $\text{LNO}_x$  are: Central Africa ( $0\text{--}50^\circ \text{ E}$ ), the Maritime Continent ( $100\text{--}150^\circ \text{ E}$ ) and South America ( $280\text{--}320^\circ \text{ E}$ ). Solid (Base run) or dashed (future runs) green lines indicate the height of the thermal tropopause, which is calculated Based on the WMO lapse rate definition (WMO, 1957).

(used in all of these cited studies), in convection schemes and/or in the model resolutions.

With regard to its geographical distribution,  $\text{LNO}_x$  occurs predominantly over the tropics in regions which show high convective activity: South America, Central Africa and the West Pacific/Maritime Continent. Figure 1 shows changes in the tropically averaged ( $20^\circ \text{ S}$ – $20^\circ \text{ N}$ ), annual mean distribution of  $\text{LNO}_x$  between Base and the runs which change climate only (Fig. 1a:  $\Delta\text{CC4.5}$  and Fig. 1b:  $\Delta\text{CC8.5}$ ). Increases in  $\text{LNO}_x$  occur primarily over the Maritime Continent for  $\Delta\text{CC4.5}$ .  $\Delta\text{CC8.5}$  displays, in addition, large increases over Central Africa and South America, highlighting the potential importance of all three regions with respect to future changes in  $\text{LNO}_x$ . In contrast to the study of Hui and Hong (2013), in which the Maritime Continent displays the weakest increases in  $\text{LNO}_x$  by 2050 (except in boreal winter when they are comparable to the increases over South America), this region is associated with the largest changes in  $\text{LNO}_x$  in UM-UKCA for all months of the year and both RCP scenarios. These opposing results might be attributable to a difference in model resolutions. Compared to UM-UKCA, the coarser-resolution ( $4^\circ \times 5^\circ$ ) GEOS-Chem model used by Hui and Hong (2013) is less able to resolve the islands and peninsulas of the Maritime Continent, which may result in systematic biases in  $\text{LNO}_x$  over this region.

Changes in  $\text{LNO}_x$  can result from changes in both the intensity (depth) of individual convective events and the overall frequency of convection. Distributions of convective cloud-top height (CTH) (not shown) indicate a shift towards greater CTH under future climate change. For example, in  $\Delta\text{CC8.5}$ , mean CTH increases by 23.6 % (Maritime Continent), 9.3 % (Africa) and 4.6 % (South America) relative to Base, where the regions are defined as in Russo et al. (2011). These increases in the depth of convection are consistent with rising tropopause heights (Fig. 1). Using the number of CTH occurrences as a crude measure of the overall frequency of convective events, we find increases of 12.4 and 3.6 % over the

**Table 1.** List of model simulations. The final two columns are averages over the 10-year simulation periods.

Scenario	Climate (SSTs, sea ice, GHGs <sup>a</sup> )	ODSs: $\text{Cl}_y$ , $\text{Br}_y$ <sup>b</sup>	Anthropogenic ozone precursor emissions <sup>c</sup>	$\text{LNO}_x / \text{Tg}(\text{N}) \text{yr}^{-1}$	Tropospheric ozone burden / $\text{Tg}(\text{O}_3)$
Base	2000	2000	2000	6.04	326
$\Delta\text{ODS}$	2000	2100 (RCP4.5)	2000	5.98	344
$\Delta\text{O}_3\text{pre}$	2000	2000	2100 (RCP4.5)	5.98	292
$\Delta(\text{ODS} + \text{O}_3\text{pre})$	2000	2100 (RCP4.5)	2100 (RCP4.5)	6.05	308
$\Delta\text{CC4.5}$	2100 (RCP4.5)	2000	2000	8.08	356
$\Delta(\text{CC4.5} + \text{ODS})$	2100 (RCP4.5)	2100 (RCP4.5)	2000	7.97	374
$\Delta(\text{CC4.5} + \text{O}_3\text{pre})$	2100 (RCP4.5)	2000	2100 (RCP4.5)	8.01	319
$\Delta\text{CC8.5}$	2100 (RCP8.5)	2000	2000	10.7	369
$\Delta(\text{CC8.5} + \text{ODS})$	2100 (RCP8.5)	2100 (RCP4.5)	2000	10.6	393
$\Delta(\text{CC8.5} + \text{O}_3\text{pre})$	2100 (RCP8.5)	2000	2100 (RCP4.5)	10.6	337
Base( $f\text{LNO}_x$ )	2000	2000	2000	6.04 <sup>d</sup>	325
$\Delta\text{CC8.5}(f\text{LNO}_x)$	2100 (RCP8.5)	2000	2000	6.04 <sup>d</sup>	307

<sup>a</sup>These are the changes in GHGs imposed within the radiation scheme only.

<sup>b</sup>Relative to Base, runs containing  $\Delta\text{ODS}$  include total chlorine ( $\text{Cl}_y$ ) and total bromine ( $\text{Br}_y$ ) reductions at the surface of 2.3 ppbv (67 %) and 9.7 pptv (45 %), respectively.

<sup>c</sup>Relative to Base, runs containing  $\Delta\text{O}_3\text{pre}$  include average global and annual emission changes of:  $\text{NO}$  (−51 %),  $\text{CO}$  (−51 %),  $\text{HCHO}$  (−26 %),  $\text{C}_2\text{H}_6$  (−49 %),  $\text{C}_3\text{H}_8$  (−40 %),  $\text{CH}_3\text{COCH}_3$  (−2 %),  $\text{CH}_3\text{CHO}$  (−28 %).

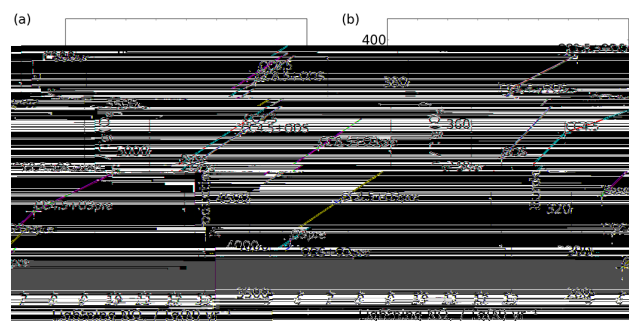
<sup>d</sup> $\text{LNO}_x$  is not interactively calculated but imposed by applying a monthly mean climatology of the Base run.

Maritime Continent and Africa, respectively, but a decrease of 5.2 % over South America in  $\Delta\text{CC8.5}$ . Since the PR92 parameterisation for  $\text{LNO}_x$  is highly sensitive to the magnitude of CTH, it is the increases in the depth of convection, scaling with the climate forcing, which primarily lead to increases in  $\text{LNO}_x$  in our simulations. The effect of the parameterisation is highlighted over South America in  $\Delta\text{CC8.5}$ , where, although convection occurs less often on average,  $\text{LNO}_x$  still increases due to an increase in the depth of convection. The largest increases in  $\text{LNO}_x$  occur over the Maritime Continent because this region is associated with the largest increases in both the frequency and depth of convection.

### 3.2 Changes in ozone

As a global measure of changes in ozone, we have analysed the tropospheric budget of odd oxygen ( $\text{O}_x$ ), of which chemical production ( $P(\text{O}_x)$ ) represents one term. Since  $\text{LNO}_x$  is one driver of  $P(\text{O}_x)$ , we first study the correlation between  $P(\text{O}_x)$  and  $\text{LNO}_x$ , shown in Fig. 2a. For each set of experiments (i.e. climate change; climate change plus changes in ODSs; and climate change plus changes in tropospheric ozone precursors), a highly linear fit between the changes in  $P(\text{O}_x)$  and  $\text{LNO}_x$  is found. Within this ensemble of simulations, we find that increases in  $\text{LNO}_x$  with climate change are concurrent with increases in  $P(\text{O}_x)$  of  $413 \pm 28 \text{ Tg}(\text{O}_3) \text{ yr}^{-1}$  and  $979 \pm 33 \text{ Tg}(\text{O}_3) \text{ yr}^{-1}$  for the RCP4.5 and RCP8.5 scenarios, respectively, where the reported ranges represent the interannual variability as one standard deviation.

Figure 2a allows for an assessment of the importance of climate-change versus non-climate-change-related impacts on  $P(\text{O}_x)$ . Reductions in  $P(\text{O}_x)$  of approximately  $100 \text{ Tg}(\text{O}_3) \text{ yr}^{-1}$  due to removal of ODSs (green line,



**Figure 2.** Correlation between (a)  $P(\text{O}_x)$  and  $\text{LNO}_x$  and (b) tropospheric ozone burden and  $\text{LNO}_x$ . Linear fits in (a) and connecting lines in (b) are drawn between runs which differ only in their climate states. Error bars indicate  $\pm 1$  standard deviation.

Fig. 2a) are small in magnitude relative to climate-change-driven increases. Runs containing reduced emissions of anthropogenic ozone precursors (red line, Fig. 2a) show approximately  $800 \text{ Tg}(\text{O}_3) \text{ yr}^{-1}$  lower  $P(\text{O}_x)$  than corresponding runs without (blue line). However, for the RCP8.5 scenario, this reduction is more than cancelled by the effect of climate change on  $\text{LNO}_x$ , such that  $P(\text{O}_x)$  in  $\Delta(\text{CC8.5} + \text{O}_3\text{pre})$  is greater than in Base.

$P(\text{O}_x)$  represents one of four contributing terms to the global burden of ozone in the troposphere, the others being chemical loss ( $L(\text{O}_x)$ ), deposition and stratosphere–troposphere exchange (STE). A future publication will discuss the effect of the applied perturbations on these terms in detail. Here, we simply note that  $\text{LNO}_x$ -driven increases in  $P(\text{O}_x)$  induced by climate change represent a significant contribution to the increases in ozone burden of  $29 \pm 4 \text{ Tg}(\text{O}_3)$

for RCP4.5 and  $46 \pm 4 \text{ Tg}(\text{O}_3)$  for RCP8.5, as shown in Table 1 and Fig. 2b. In contrast to  $P(\text{O}_x)$ , the changes in ozone burden and  $\text{LNO}_x$  are non-linearly related, since several factors, and not just  $\text{LNO}_x$ , contribute significantly to changes in the burden in a warmer climate. From Fig. 2b, it is also evident that the decrease in burden of  $34 \pm 4 \text{ Tg}(\text{O}_3)$  due to  $\Delta\text{O}_3\text{pre}$  is just outweighed by the increase in  $\Delta(\text{CC8.5} + \text{O}_3\text{pre})$ , although by using a fixed methane surface concentration in these simulations, the additional feedbacks on ozone and OH are not included (see Sect. 3.4). Nevertheless, it appears that reductions in the ozone burden due to emission policies could be counteracted by future changes in climate.

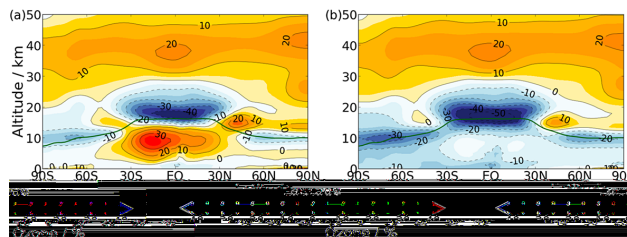
To confirm that  $\text{LNO}_x$  is the dominant factor leading to increases in  $P(\text{O}_x)$  and the ozone burden, we examine the  $\Delta\text{CC8.5}(f\text{LNO}_x)$  simulation, which includes RCP8.5 climate forcings but with  $\text{LNO}_x$  taken from the Base run rather than calculated online. Table 2 shows numerical changes in the tropospheric  $\text{O}_x$  budget terms for the  $\Delta\text{CC8.5}$  and  $\Delta\text{CC8.5}(f\text{LNO}_x)$  runs relative to Base. With fixed  $\text{LNO}_x$ ,  $P(\text{O}_x)$  increases by only 7.0 % as compared to 20.1 % when  $\text{LNO}_x$  is allowed to vary with climate. There is strong buffering in the response of the burden by the loss terms: fixing  $\text{LNO}_x$  also leads to smaller magnitude changes in loss through  $L(\text{O}_x)$  and deposition. Overall however, there is a greater decrease in net chemical production ( $P(\text{O}_x)$  minus  $L(\text{O}_x)$ ) from Base for  $\Delta\text{CC8.5}(f\text{LNO}_x)$  than for  $\Delta\text{CC8.5}$ .

Table 2 shows that we also find a smaller increase in STE when fixing  $\text{LNO}_x$ . Comparing Fig. 3a and b gives one possible explanation: without increases in  $\text{LNO}_x$  and consequently upper tropospheric ozone, the amount of ozone in the lower stratosphere is reduced (following entry into the tropical lower stratosphere and quasi-horizontal mixing). In the mid-latitudes, this would reduce the STE of ozone back into the troposphere. Thus, in our model, we estimate that the increase in  $\text{LNO}_x$  with climate change at RCP8.5 contributes 6.4 % to the increase in STE.

Importantly, the balance between the budget terms means that, without inclusion of changes in  $\text{LNO}_x$ , there results a slight decrease (−5.8 %) rather than an increase (13.2 %) in the ozone burden with climate change at RCP8.5. In fact, the decrease in ozone is seen throughout the troposphere in the zonal and annual mean (Fig. 3b), primarily due to increased humidity in a warmer climate (e.g. Thompson et al., 1989). Hence, these results suggest that climate change would enhance possible future mitigation of free tropospheric ozone if  $\text{LNO}_x$  were not to increase in a warmer climate.

### 3.3 Changes in OH

The impacts of  $\text{LNO}_x$  extend to other chemical species. Figure 4 illustrates changes in the tropically averaged ( $20^\circ \text{S}–20^\circ \text{N}$ ), annual mean distribution of OH for  $\Delta\text{CC4.5}$ ,  $\Delta\text{CC8.5}$  and  $\Delta\text{CC8.5}(f\text{LNO}_x)$  as absolute (a–c) and relative differences (d–f) from Base. Regions of OH enhancement in



**Figure 3.** Annual mean, zonal mean changes (shading and contours) in ozone (%) relative to Base for (a)  $\Delta\text{CC8.5}$  and (b)  $\Delta\text{CC8.5}(f\text{LNO}_x)$ . Solid green lines indicate the height of the thermal tropopause of the Base run.

Fig. 4 correspond to regions of increased  $\text{LNO}_x$  in Fig. 1. The Maritime Continent, which experiences the greatest increases in  $\text{LNO}_x$  in these simulations, also displays the strongest enhancements in OH. Figure 4 shows that these changes are large, with a peak of over 0.2 pptv (100 %) for  $\Delta\text{CC4.5}$  and 0.3 pptv (160 %) for  $\Delta\text{CC8.5}$ . An analysis of species concentrations and reaction fluxes indicates that these changes in OH are due to a combination of:

1. direct chemical conversion of  $\text{HO}_2$  to OH via NO emitted from lightning;
2. deeper convection transporting water vapour into these regions of the UT and hence inducing OH production through  $\text{O}(^1\text{D}) + \text{H}_2\text{O}$ ;
3. feedbacks through other chemical species: e.g. ozone produced following process (i) can photolyse to produce  $\text{O}(^1\text{D})$  and induce OH production, once again, through  $\text{O}(^1\text{D}) + \text{H}_2\text{O}$ .

We examined process (ii) in isolation by switching  $\text{LNO}_x$  changes off in the model in the  $\Delta\text{CC8.5}(f\text{LNO}_x)$  simulation. So, when  $\text{LNO}_x$  increases are ignored (Fig. 4c and f), we only find an increase in OH over the Maritime Continent, amounting to about 20 %. OH decreases elsewhere, indicating that an increase in water vapour transport into the tropical UT is not the dominant process controlling OH increases with climate change throughout that region. In contrast, our analysis shows that  $\text{LNO}_x$  increases the flux through  $\text{HO}_2 + \text{NO}$  (process i) and, as a result, also through  $\text{O}(^1\text{D}) + \text{H}_2\text{O}$  (process iii) throughout the tropical UT.

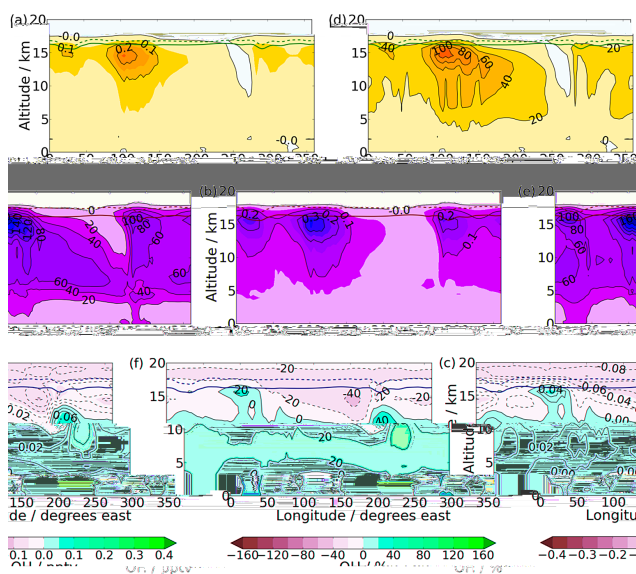
### 3.4 Consequences for methane and other trace gases

Since OH is the primary tropospheric oxidant, substantial enhancements in its abundance, such as those shown in Sect. 3.3, can have ramifications for a range of other chemical species. For example, oxidation by OH is the main loss process for atmospheric methane. Hence, there are potentially global consequences through perturbation of the methane lifetime. A measure of this effect can again be deduced

**Table 2.** Tropospheric  $\text{O}_3$  budget of the Base run and changes from Base to  $\Delta\text{CC8.5}$  and  $\Delta\text{CC8.5}(f\text{LNO}_x)$ , with absolute values reported to the nearest integer. The Base methane lifetime and its changes are also reported.

	Base	$\Delta\text{CC8.5}$ -Base	$\Delta\text{CC8.5}(f\text{LNO}_x)$ -Base
Production / $\text{Tg}(\text{O}_3) \text{ yr}^{-1}$	4872	979 (20.1 %)	340 (7.0 %)
Loss / $\text{Tg}(\text{O}_3) \text{ yr}^{-1}$	4217	1088 (25.8 %)	499 (11.8 %)
Net chemical production / $\text{Tg}(\text{O}_3) \text{ yr}^{-1}$	655	−109 (−16.6 %)	−159 (−24.3 %)
Deposition / $\text{Tg}(\text{O}_3) \text{ yr}^{-1}$	1015	−8 (−0.8 %)	−82 (−8.1 %)
STE inferred* / $\text{Tg}(\text{O}_3) \text{ yr}^{-1}$	360	101 (28.1 %)	78 (21.7 %)
Burden / $\text{Tg}(\text{O}_3)$	326	43 (13.2 %)	−19 (−5.8 %)
Methane lifetime / yrs	7.60	−1.79 (−23.6 %)	−1.04 (−13.7 %)

\* Stratosphere–troposphere exchange calculated as the residual from closure of the  $\text{O}_3$  budget.



**Figure 4.** Annual mean, longitude–altitude cross sections of tropically averaged ( $20^\circ\text{S}$ – $20^\circ\text{N}$ ) changes (shading and contours) in OH volume mixing ratios (pvtv) from Base to (a)  $\Delta\text{CC4.5}$  (b)  $\Delta\text{CC8.5}$  and (c)  $\Delta\text{CC8.5}(f\text{LNO}_x)$ ; the differences as a percentage of the Base values for (d)  $\Delta\text{CC4.5}$  (e)  $\Delta\text{CC8.5}$  and (f)  $\Delta\text{CC8.5}(f\text{LNO}_x)$ . Solid (Base run) or dashed (future runs) green lines indicate the height of the thermal tropopause.

from the  $\Delta\text{CC8.5}(f\text{LNO}_x)$  run. Relative to Base, a reduction of 1.79 years in the methane lifetime against loss by OH ( $\tau_{\text{CH}_4+\text{OH}}$ ) is calculated for  $\Delta\text{CC8.5}$ ; in contrast, a smaller reduction of 1.04 years is found for  $\Delta\text{CC8.5}(f\text{LNO}_x)$ . Inclusion of changes in  $\text{LNO}_x$  thus contributes 0.75 years to the reduction in  $\tau_{\text{CH}_4+\text{OH}}$  due to climate change.

Changes in  $\tau_{\text{CH}_4+\text{OH}}$  will have implications for both chemistry and climate through methane’s role as a tropospheric ozone precursor, an OH sink and a greenhouse gas. However, by fixing a uniform lower boundary condition for methane, such feedbacks are essentially neglected within these experiments. If methane concentrations were allowed to respond to decreases in its lifetime with climate change, lower methane

concentrations would be simulated at equilibrium in a future climate, with a lower increase in ozone burden and an enhanced increase in OH. The strength of the response is determined by the model-dependent methane feedback factor,  $f$  (Fuglestad et al., 1999). Using a further integration in which methane is increased by 20 % in the chemistry scheme only (not otherwise discussed here), we derive a value of 1.52 for  $f$  in our model, which lies on the upper end of the large literature range (1.19–1.53) (Prather et al., 2001; Voulgarakis et al., 2013; Stevenson et al., 2013). From this, we obtain an estimate of equilibrium methane concentrations, following the methodology detailed in Stevenson et al. (2013), and equilibrium ozone burdens, following Wild et al. (2012). We find that accounting for methane adjustments lowers the ozone burden in future climate simulations by, on average, 5  $\text{Tg}(\text{O}_3)$  (RCP4.5) and 13  $\text{Tg}(\text{O}_3)$  (RCP8.5). The corresponding increases in ozone burden relative to Base are 24  $\text{Tg}(\text{O}_3)$  (RCP4.5) and 33  $\text{Tg}(\text{O}_3)$  (RCP8.5), which still represent substantial increases with future climate change and greater  $\text{LNO}_x$ . The adjusted increase in burden in  $\Delta(\text{CC8.5} + \text{O}_3\text{pre})$  (33  $\text{Tg}(\text{O}_3)$ ) is now more comparable to the adjusted decrease in  $\Delta\text{O}_3\text{pre}$  (32  $\text{Tg}(\text{O}_3)$ ).

OH is also important in determining the lifetime of very short-lived substances (VSLS). There is currently considerable interest in the role of VSLS in stratospheric ozone depletion following rapid convective transport into the upper troposphere–lower stratosphere (UTLS) region. Increased oxidation of VSLS by OH in the UT in a future climate could serve to counteract increased stratospheric VSLS loading following enhanced convective lofting into the tropical tropopause layer (TTL) and subsequent transport into the lower stratosphere. The effect could be particularly important over the Maritime Continent, since it is a region characterised by both high deep convective activity and coastal emissions of VSLS (Hosking et al., 2010). These feedbacks add weight to the importance of future changes in  $\text{LNO}_x$ .

## 4 Summary

We have assessed the impacts of climate change on emissions of NO<sub>x</sub> from lightning (LNO<sub>x</sub>) and the consequences for tropospheric chemistry using UM-UKCA. Using the Price and Rind (1992, 1994a) parameterisation for calculation of LNO<sub>x</sub>, our year-2000 integrations generate 6 Tg(N) yr<sup>-1</sup> of total, global LNO<sub>x</sub>, which lies within the range of values simulated in the literature (e.g. Schumann and Huntrieser, 2007) and within 1σ of the ACCMIP multi-model mean (Young et al., 2013). We simulate greater LNO<sub>x</sub> at the year 2100 under two scenarios for future climate change: RCP4.5 and RCP8.5, with LNO<sub>x</sub> increases of 2 Tg(N) yr<sup>-1</sup> (33 %) and 4.7 Tg(N) yr<sup>-1</sup> (78 %), respectively, primarily in response to increases in the depth of convection. These correspond to a greater sensitivity of LNO<sub>x</sub> to climate than found in some other studies and the total LNO<sub>x</sub> simulated for RCP8.5 is above 1σ of the ACCMIP models. The sensitivity will depend upon the treatment of convection and LNO<sub>x</sub> in the different models; these remain an area of considerable uncertainty. Note that we have not explored other LNO<sub>x</sub> parameterisations and some studies using alternate approaches, such as those Based on convective mass fluxes, have found different sensitivities for lightning changes under a warmer climate (e.g. Grewe, 2009). However, the PR92 method employed here is commonly adopted in state-of-the-art chemistry–climate models, such as most of the ACCMIP models (Lamarque et al., 2013).

For the simulations which change climate only between the years 2000 and 2100, according to RCP4.5 (ΔCC4.5) and RCP8.5 (ΔCC8.5), we also analysed changes in the distribution of LNO<sub>x</sub> within the tropics. Increases in LNO<sub>x</sub> are found to occur predominantly over the Maritime Continent for ΔCC4.5 but also over Central Africa and South America for ΔCC8.5. The Maritime Continent is associated with the largest increases in both the overall frequency and depth of convection, which explains the largest increases in LNO<sub>x</sub> found over this region.

A positive and linear relationship is simulated between the changes in LNO<sub>x</sub> and global, tropospheric chemical O<sub>x</sub> production ( $P(O_x)$ ), which increases by  $413 \pm 28$  Tg(O<sub>3</sub>) yr<sup>-1</sup> and  $979 \pm 33$  Tg(O<sub>3</sub>) yr<sup>-1</sup> for climate change under the RCP4.5 and RCP8.5 scenarios, respectively. The tropospheric ozone burden increases correspondingly by  $29 \pm 4$  Tg(O<sub>3</sub>) (RCP4.5) and  $46 \pm 4$  Tg(O<sub>3</sub>) (RCP8.5). We confirm through a fixed-LNO<sub>x</sub> run that LNO<sub>x</sub> plays the major role in these correlations, contributing more than 50 % to the increase in  $P(O_x)$  at RCP8.5. We also show that the effects of climate change, at least for the RCP8.5 scenario, would decrease the ozone burden if this effect on  $P(O_x)$  through LNO<sub>x</sub> were not present.

To examine the sensitivity of the effects of climate change to the background state of the atmosphere, three sets of experiments were conducted which combined the separate climate forcings with different chemical drivers: (i) year-

2000 chemical boundary conditions, (ii) lower concentrations of stratospheric ozone-depleting substances, and (iii) lower emissions of tropospheric ozone precursors. The linear relationship between the increases in LNO<sub>x</sub> and  $P(O_x)$  and the corresponding increases in tropospheric ozone burden under climate change are found to be quantitatively robust under the different chemical background states. Hence, although we find that regulations aimed at air quality improvement decrease the future tropospheric burden of ozone in the ΔO<sub>3</sub>pre simulation, we suggest that climate change and increased LNO<sub>x</sub> could counteract this change.

Changes in LNO<sub>x</sub> impact on the OH radical. Our ΔCC4.5 and ΔCC8.5 simulations show positive anomalies in upper tropospheric OH over Central Africa, South America and the Maritime Continent. The effect is greatest over the Maritime Continent in both these simulations and is particularly large in ΔCC8.5, in which an increase of over 160 % is found in this region. The response is not reproduced by the fixed-LNO<sub>x</sub> run, leading us to conclude that LNO<sub>x</sub> drives these changes in OH, although we also find a smaller contribution from deeper convection over the Maritime Continent. An analysis of reaction fluxes indicates that the dominant reaction pathways for increased OH production through LNO<sub>x</sub> in these regions are HO<sub>2</sub>+NO (directly, following production of NO<sub>x</sub>) and O(<sup>1</sup>D)+H<sub>2</sub>O (indirectly, through photochemical ozone and hence O(<sup>1</sup>D) production).

Changes in OH could have further important consequences. For methane, we quantify the LNO<sub>x</sub>–OH-driven impact on its lifetime against loss by OH ( $\tau_{CH_4+OH}$ ) using the fixed-LNO<sub>x</sub> run. LNO<sub>x</sub> contributes 0.75 years to the decrease in  $\tau_{CH_4+OH}$  projected under climate change at RCP8.5. The resulting changes in methane concentration and subsequent feedbacks are not simulated by these experiments. Since methane is both a tropospheric ozone precursor and an OH sink, we expect that a shorter  $\tau_{CH_4+OH}$  would feedback negatively into LNO<sub>x</sub>-driven increases in ozone but positively into increases in OH. For ozone, we have estimated that accounting for adjustments in methane concentration in a changing climate would lead to increases in the ozone burden of 24 Tg(O<sub>3</sub>) (RCP4.5) and 33 Tg(O<sub>3</sub>) (RCP8.5). Although, as expected, these are smaller than the simulated changes reported above (of 29 and 46 Tg(O<sub>3</sub>), respectively), they still represent substantial increases through future climate change. Since methane is a greenhouse gas, we would also expect a negative feedback into climate change through its radiative forcing effect.

In addition, very short-lived substances (VSLS), which have a strong source region in the Maritime Continent and are convectively lifted into the UT, could undergo enhanced oxidation by OH if the levels of the latter were to increase over this region. Some studies (e.g. Dessens et al., 2009; Hossaini et al., 2012) project an increase in concentrations of VSLS or their oxidised products in the UTLS, which deplete ozone if they remain in the stratosphere. LNO<sub>x</sub>-derived OH could partially offset this effect in a future climate.



We have demonstrated that NO<sub>x</sub> production from lightning, following tropical convection, is a key process through which climate can influence the chemistry of the troposphere. Hence, given its importance, we believe it is crucial to strengthen our confidence in model representations of both convection and LNO<sub>x</sub>. Our results are dependent on the LNO<sub>x</sub> and convective parameterisations utilised. In particular, the vertical profile of LNO<sub>x</sub> affects the simulated changes in ozone and OH, particularly in the UT (Labrador et al., 2005). If we were to employ the vertical distributions of Pickering et al. (1998) or Ott et al. (2010), which weight LNO<sub>x</sub> more greatly to the UT than is done in UKCA, we postulate that even larger changes in ozone, OH and subsequent feedbacks would occur for a given change in total LNO<sub>x</sub>.

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