

DAVID Y. IGE GOVERNOR

SHAN S. TSUTSUI

STATE OF HAWAII BUSINESS REGISTRATION DIVISION DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS

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November 15, 2016

CATHERINE P. AWAKUNI COLÓN

JO ANN M. UCHIDA TAKEUCHI DEPUTY DIRECTOR

TY Y. NOHARA COMMISSIONER OF SECURITIES

BUSINESS REGISTRATION DIVISION INFORMATION RELEASE NO. 16-02

SUBJECT: Updated Business Registration Division Fee Listing

As of January 1, 2017, the following Business Registration Division filings and their associated fees are as follows, pursuant to HRS §§ 482-36, 482E-11 and HAR §16-39-103.

For additional information, please visit investing.hawaii.gov for securities-related filings; and business registrations.com for business registration filings. For further assistance, please call the Business Registration Division at (808) 586-2744.

	Statutory DCCA Fee
Broker-Dealer Application	\$200.00
Broker-Dealer Renewal	\$200.00
Investment Adviser Application	\$100.00
Investment Adviser Renewal	\$100.00
Agent Application	\$50.00
Agent Renewal	\$50.00
Investment Adviser Representative Application	\$50.00
Investment Adviser Representative Renewal	\$50.00
Investment Company Securities:	
Initial Filing	\$200.00
Renewal Filing	\$50.00
Franchise:	
Initial	\$250.00
Amendment	\$250.00
Renewal	\$250.00
Trade Name, Trademark, Service Mark	\$50.00