

**SOUTH CAROLINA
SOCIAL SERVICES BLOCK GRANT
PROGRAM PLAN
FOR
FEDERAL FISCAL YEAR 2013
OCTOBER 1, 2012 - SEPTEMBER 30, 2013**



**SOUTH CAROLINA
DEPARTMENT OF SOCIAL SERVICES
Designated Agency
Lillian B. Koller, J.D.
State Director**

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DSS

Serving Children and Families

LILLIAN B. KOLLER, J.D.
STATE DIRECTOR

NIKKI R. HALEY
GOVERNOR

September 5, 2012

Ms. Marsha Werner
SSBG Program Manager
Office of Community Services
Administration for Children and Families
375 L'Enfant Promenade, SW
Washington, DC 20447

Dear Ms. Werner:

I am pleased to submit South Carolina's Social Services Block Grant (SSBG) Plan for Federal Fiscal Year (FFY) 2013. This report includes the Pre-Expenditure Report for FFY 2013; the Post-Expenditure Report for FFY 2011; a brief description of the services funded with SSBG funds during FFY 2011 and those planned for FFY 2013; the criteria for determining eligibility for services; and demographic data for the recipients of services funded with SSBG funding. This report also includes an amended Pre-Expenditure Report for FFY 2012.

SSBG is a critical source of funding for services needed by South Carolina's most vulnerable citizens. South Carolina expends SSBG funds to support Child Protective Services and Foster Care for Children; Protective Services for Adults; and Home-Based services for adults who have been abused, neglected or exploited and for frail, elderly adults who might otherwise be in a nursing home or other institutional care setting.

If you have any questions about South Carolina's Plan, please contact Ms. Liz Smith at 803-898-2754.

Sincerely,



Lillian B. Koller, J.D.
State Director

LBK:mbs

Enclosures

**STATE OF SOUTH CAROLINA
SOCIAL SERVICES BLOCK GRANT
PRE-EXPENDITURE PLAN
OCTOBER 1, 2012 – SEPTEMBER 30, 2013**



INTRODUCTION

The federal government has allocated funds to state social services programs since the mid -1950's. In 1956, the Social Security Act authorized the federal government to fund 50 percent of state social services expenditures based upon the belief that, through the provision of social services, the unemployed could achieve economic independence. In 1962, the federal match rate increased to 75 percent and broadened eligibility. This amendment allowed state social service departments to purchase services from other state agencies. In the years that followed, spending increased from \$194 million to \$1.6 billion.

In 1967, amendments were enacted requiring states to provide services to train and motivate the unemployed, strengthen the family unit and give states the authority to purchase services from private providers. Additionally, states were required to provide child day care services and homemaker services.

Congress limited federal spending for social services to \$2.5 billion annually in 1972. Then, in 1975, Congress amended the Social Security Act by adding a new provision, Title XX, which replaced the prior federal social services programs and set forth five broad national goals:

- To help people become or remain economically self-supporting.
- To help people become or remain self-sufficient.
- To protect children and adults who cannot protect themselves from abuse, neglect, and exploitation and to help families stay together.
- To prevent and reduce inappropriate institutional care as much as possible by making home and community services available.
- To arrange for appropriate placement and services in an institution when it is in a person's best interest.

During President Ronald Reagan's administration, Section 2352 of the Omnibus Budget Reconciliation Act of 1981 amended Title XX to establish the Social Services Block Grant (SSBG). The implementation of SSBG included federal funding reductions. In 1982, the block grant funds distributed to states were 19 percent below the 1981 funding level of \$35 million for South Carolina. From 1983 through 1991, South Carolina's SSBG funding fluctuated from \$33.5 million to \$39.3 million and remained at the higher level through 1995.

In 1996, Congress reduced funding by 15 percent and as a result, South Carolina's funding level was reduced to \$33.4 million. At the time of this

reduction, Congress agreed to restore SSBG to its 1995 level; however, in 2002, the legislation to enact that promise did not pass. Over the last few years, South Carolina's allocation has remained between \$24.7 and \$25.3 million.

The Social Services Block Grant Program provides flexible funding to assist States in providing a wide range of services to children and families, at-risk adolescents and young adults, vulnerable older adults, and persons with disabilities. The Office of Community Services (OCS), Administration for Children and Families (ACF) of the United States Department of Health and Human Services (HHS), administers the SSBG Program and provides technical assistance to the States. The federal oversight agency calculates state allocations for SSBG in proportion to each State's population. States have substantial discretion in the use of SSBG funds. Each State determines what services to provide, who is eligible to receive them, and how funds will be expended within the requirements of Federal laws.

Although States have this flexibility, currently, Federal Public Law 97-35 prohibits the use of SSBG funds for the following:

- To purchase or improve land, or the purchase, construction, or permanent improvement, other than minor remodeling, of any building or other facility.
- To provide cash payments for costs of subsistence or for the provision of room and board, unless: (1) the costs of subsistence occur during rehabilitation, (2) room and board are provided for the short term as an integral but subordinate part of a social service, or (3) temporary emergency shelter is provided as a protective service.
- To pay wages to any individual as a social service, other than payment of wages of welfare recipients employed in the provision of childcare services.
- To provide medical care other than family planning services, rehabilitation services, or initial detoxification of an alcoholic, or drug-dependent individual, unless it is an integral but subordinate part of a social service for which grants may be used under this Title.
- To provide social services, except services provided in and by employees of any hospital, skilled nursing facility, intermediate care facility, or prison, to any individual living in such an institution.
- To provide for any educational service which the state makes generally available to its residents without cost and without regard to their income.

- To pay for any child day care services, unless such services meet applicable standards of state and local law.
- To provide cash payment as a service.
- To pay for any item or service other than an emergency item or service furnished.

Additional provisions, conditions, Codes of the Federal Registry (CFR), and Office of Management and Budget (OMB) Circulars are also required in order for States to receive their SSBG Allotments. These requirements may be found at: <http://www.acf.hhs.gov/programs/ocs/ssbg/> .

SOUTH CAROLINA DEPARTMENT OF SOCIAL SERVICES

PUBLIC NOTICE

SCDSS posted the following notice of the SSBG Pre-Expenditure Plan for FFY 2013 on July 29, 2012, at <http://childcare.sc.gov/main/updates/index.aspx>.

SOUTH CAROLINA DEPARTMENT OF SOCIAL SERVICES

PUBLIC NOTICE

**SOCIAL SERVICES BLOCK GRANT PRE-EXPENDITURE PLAN
FFY2013**

The South Carolina Department of Social Services (SCDSS) hereby gives notice of the availability of the “FFY-2013 Social Services Block Grant (SSBG) Pre-Expenditure Report” to the citizens of South Carolina for review and comment. The report reflects plans of the SCDSS/State of South Carolina to expend SSBG funds for the 2013 federal fiscal year, October 1, 2012 through September 30, 2013.

This notice is given pursuant to the requirements of Title XX, Section 2004 of the Social Security Act (as enacted in the Omnibus Budget Reconciliation Act of 1981 [P.L. 97-35] and codified at 42 U.S.C. 1397c). Comments regarding this notice will be accepted for a period of thirty days from the date it is posted.

Written comments about the FFY-2013 Pre-Expenditure Report may be submitted to the Division of Family Assistance, Child Care Services, South Carolina Department of Social Services, Post Office Box 1520, Columbia, South Carolina 29202-1520. Any written comments submitted may be reviewed by the public at the Department of Social Services, ABC Child Care Program, 3rd floor – room 311, 1535 Confederate Avenue Extension, Columbia, South Carolina, Monday through Friday between the hours of 9:00 A.M. and 5:00 P.M.

A copy of the final and complete FFY-2013 SSBG application and post-expenditure report for FFY-2011 may be obtained through written request to the SCDSS address listed above or may be accessed through the SCDSS Internet site on the World Wide Web at <http://www.dss.state.sc.us> later this year.

ADMINISTRATIVE OPERATIONS

State Administrative Agency

SCDSS is the designated agency for South Carolina to serve children, families, and adults in need of protection, financial assistance, food assistance, and child care. SCDSS' structure consists of county and regional offices throughout the state that directly provide protective services to adults and children; foster care and adoption services for children; temporary financial assistance to needy families (TANF/Family Independence); food stamps (Supplemental Nutrition Assistance Program – SNAP); and child care vouchers to priority populations who are in school, training or employed.

Agency Mission: The mission of the South Carolina Department of Social Services is, "To *efficiently and effectively serve the citizens of South Carolina by ensuring the safety of children and adults who cannot protect themselves and assisting families to achieve stability through child support, child care, financial and other temporary benefits while transitioning into employment.*"

SCDSS strives to:

- a. Serve children and families in South Carolina with excellence
- b. Deliver services in the most meaningful and least intrusive way
- c. Provide services that promote safe and stable families; and
- d. Treat our customers with dignity and respect.

SCDSS strategic goals and objectives categorized by program area are listed on the following page:

Program Area	Supported Agency Strategic Planning Goal	Agency Objective
Supplemental Nutrition Assistance Program (SNAP)	The goal of the SNAP program is to promote the general welfare and safeguard the health and well-being of the state's low-income citizens by providing benefits to help recipients purchase food.	Decrease poverty by providing low-income citizens resources to buy food and to provide employment and training to recipients who are able to work.
Child Care Services	<p>Child Care Services - The goal is to assist eligible low-income families to become and remain employed with the help of affordable, accessible, quality child care services.</p> <p>Child Care Licensing - The goal is protect the health and well-being of children attending child care settings.</p>	<p>Improve the quality of child care and protect the children's well-being and care while their parents are working, in school, or in training.</p> <p>Improve the quality of child care in the state.</p>
Family Independence	The goal is to provide assistance to families while they are transitioning into employment so that they will become self-sufficient.	Increase opportunities for employment by providing necessary supports.
Foster Care	The goal is to provide children a safe environment in which to live and permanent living arrangements.	Provide timely and effective services to ensure children have permanency.
Child Protective and Preventative Services	The goal is to assist children to be able to remain safely in their own home when possible.	Provide timely and effective intervention services when safety is compromised in the home or facility environment.
Adult Protective Services and Domestic Violence	The goal is to meet the needs of vulnerable/young disabled or elderly adults in the least restrictive environment; victims of domestic violence, their children and abusers will receive services to promote healthy relations.	Provide timely and effective intervention and support services.
Child Support Enforcement	The goal is to ensure that children receive needed financial support from the noncustodial parent.	Increase child support collections.

State Office and Departments

SCDSS contracts with state agencies, public and private providers, universities, and other entities to provide SSBG services. However, SCDSS is the only state agency in South Carolina that administers the state's allocated SSBG funding. SCDSS administers these funds based upon a priority plan for services. Without additional funding, SCDSS has made mandated services, such as Child and Adult Protective Services, and continuity of priority services, such as Home Based services (Homemaker), its primary focus in the distribution of SSBG funds.

Staff in SCDSS state office divisions of Child Care Services, Human Services, Cost Allocation and Budgeting Systems, and Executive Management work together in administering SSBG funds for SCDSS direct operations and allocating funding for services through contracts and grants.

Fiscal Operations

South Carolina DSS program staff, in collaboration with SCDSS Budgeting staff, annually develops a program plan for allocating SSBG funds. South Carolina does not hold a public hearing for comment on the SSBG Plan; however, the citizens of the state and service providers can review and comment on the Pre-Expenditure Plan via the SCDSS website. Through the planning process, the state seeks to allocate social service resources in a fiscally accountable manner. Consistent with state and federal requirements, citizens receive assistance to achieve, restore, and maintain a level of health, social and economic well-being. As SSBG funding is a capped resource, the ability to develop new programs and address more needs of the state is limited. Continuity of priority services and the provision of mandated services have been the State's primary focus in development of the Pre-Expenditure Plan for Federal Fiscal Years (FFY) 2009 to the present.

The development of this Plan requires a planning process to address and ensure that SSBG meets the priority service needs of the state. SCDSS Executive staff reviews and approves the Plan. The Pre-Expenditure Plan is available for public review and comment on the SCDSS website. A hard copy of the Pre-expenditure Plan is also available for review at SCDSS 1535 Confederate Avenue, Columbia, S.C., Room 311. The public can e-mail questions and comments to program staff charged with preparing the Plan.

Program areas within SCDSS are required to develop zero based budgets to ensure allocation of financial resources meets strategic objectives; that budgeted operations are within available funding; and that the agency derives maximum benefit from all available funding sources. The budget process requires an analysis of resource allocation and funding needed for budgeted operations. This process includes a review of necessary expenditures from the cost center manager through the senior management levels of the Agency.

SCDSS cost allocates SSBG funds for the services provided by SCDSS based upon its federally approved cost allocation plan. The US Department of Health and Human Services, Division of Cost Allocation, approved SCDSS' Cost Allocation Plan. Allocation of indirect costs is in accordance with this plan as well. Payroll expenditures either are charged directly to the program or are allocated using bases approved in the SCDSS' cost allocation plan. Service delivery site interviewers and/or staff complete random Moment Time Study (RMTS) and/or self-directed time studies. County offices and state office supervisors review them for accuracy and SCDSS Time Reporting Systems and Analysis staff reviews the documents for completeness. Cost Allocation and Budgeting Systems charge indirect personnel costs not included in the time studies to appropriate programs through composite bases approved in the Agency's federally approved Cost Allocation Plan.

SCDSS follows the State Procurement Code in contracting with entities to provide SSBG services. SCDSS issues Requests for Proposals (RFP) for most of its purchased services, such as Home Based (Homemaker) services. In this RFP process, potential providers must submit line item budgets for service delivery. Through this competitive process, the agency awards contracts for one year, with the option to renew an additional four years.

PROGRAM OPERATIONS

SOCIAL SERVICES DELIVERY SYSTEM

South Carolina uses a goal-directed target group approach in the social services delivery system. This approach provides a conceptual framework within which the SCDSS can identify recipient's service needs and can develop, implement and evaluate service plans with optimum participation of the recipients.

The service provider determines eligibility for purchased services. The South Carolina Department of Social Services determines eligibility for services that support Adult and Child Protective Services and Foster Care for Children.

Eligibility Process

South Carolina utilizes a three-step process for determining eligibility for the SSBG program based upon client need for the service, income eligibility for SSBG services, and target group. Applicants must meet the three criteria to be eligible. Even though a family or individual may be eligible, the provision of service depends upon availability of the service at the time.

Target Group Criteria

The first step of determining eligibility is identification of an applicant as a member of at least one of the target groups. Each target group is defined in terms of a problem or barrier experienced by SSBG service applicants.

The problem provides the parameters for developing a specific goal and measurable objectives. The target groups are prioritized, with Target Group 1 having the highest priority.

Income Criteria (Non-Child Care Services)

The second step of the eligibility process involves determining the income level of the applicant. Applicants must have a total family gross income at or below 200 percent of the poverty guidelines, or must need services provided by the state that are without regard to income. The SSBG Income Standards for FFY 2013 are listed later in this section.

<p>Target Group 1:</p> <p>Goal:</p> <p>Objective:</p>	<p>Individuals unable to protect their own interest from abuse neglect or exploitation.</p> <p>To Protect children and impaired adults from abuse neglect or exploitation.</p> <p>To investigate and assess all reports of abuse neglect or exploitation.</p>
<p>Target Group 2:</p> <p>Goal:</p> <p>Objective:</p>	<p>Family units experiencing or in immediate risk of disruption.</p> <p>To eliminate or alleviate causes of family disruption.</p> <p>To maintain the family unit or arrange for a permanent, alternate family placement.</p>
<p>Target Group 3:</p> <p>Goal:</p> <p>Objective:</p>	<p>Individuals with functional impairments or handicaps that restrict their ability to independently perform age-appropriate activities.</p> <p>To enable functionally impaired individuals to achieve and maintain their maximum potential for independent living.</p> <p>To enable clients to remain in their own homes or other residential facility or to enable clients released from institutions to resettle in the community.</p>
<p>Target Group 4:</p> <p>Goals:</p> <p>Objective:</p>	<p>Individuals unable to achieve or maintain some degree of economic self-support because of their lack of job-related skills and/or their responsibility for caring for dependent family members.</p> <p>To enable individuals to achieve or maintain employment.</p> <p>To enable clients to achieve or maintain employment due to the provision of services to dependent family members.</p>
<p>Target Group 5:</p> <p>Goal:</p> <p>Objective:</p>	<p>Individuals and families unable, due to lack of age-appropriate skills, to function effectively in home management or personal care or hygiene.</p> <p>To enable individuals or families to achieve and/or maintain self-sufficiency.</p> <p>To prevent client regression to a more severe condition as evidenced by reclassification to Target Group 1 or 2, or to achieve or maintain some degree of self-sufficiency.</p>

Need Criteria

The third step of the eligibility process requires determining an applicant's need for the service. In addition to being a member of one of the target groups and meeting the income criteria, applicants must also demonstrate a need for the specific service.

Fees

All services in the SSBG Plan are provided without cost to eligible individuals. SCDSS allows providers of the Older American Act services to accept Voluntary Contributions from clients as long as there is no coercion on the part of the provider to collect the contributions and no negative impact on clients who do not contribute.

Group Eligibility Criteria

Group eligibility assumes that the majority of the recipients of a particular service, a specific community, or a service site would be eligible for service if the eligibility criteria were applied. This assumption allows authorized contractors to provide services to any recipient who meets the specific characteristics established by the administering agency. Therefore, recipient eligibility is determined by the group eligibility requirements instead of the three eligibility criteria mentioned earlier.

Definition of Adult and Child

In the SSBG program, an adult is defined as an individual eighteen years of age or older. This includes emancipated minors and married minors. An individual 17 years of age or younger is defined as a child.

Definition of Family

An SSBG Family includes the following members:

- One or more adults and children related by blood or law and residing in the same household
- Stepparents
- Military spouses not residing in the same household
- Adult children 18-21 years of age living away from home to further their education if their parents claim them as dependents on their income tax return.

SSBG INCOME STANDARDS
Effective OCTOBER 1, 2012 - SEPTEMBER 30, 2013

FAMILY SIZE	GROSS FAMILY INCOME	
	MONTHLY	ANNUALLY
1	\$1,862	\$22,340
2	2,522	30,260
3	3,182	38,180
4	3,842	46,100
5	4,502	54,020
6	5,162	61,940
7	5,822	69,860
8	6,482	77,780

Note: For each additional family member above 8, add \$660 monthly or \$7,920 annually. Information and referral services, as well as any other SSBG services offered in conjunction with protective services, are available without regard to income.

SSBG SERVICES

This section describes the SSBG services that SCDSS is proposing to fund during FFY 2013. Demographics for FFY 2011 and other data provided in the charts for these services are gathered from SCDSS' Child and Adult Systems Reports (CAPSS), provider reports, and historical information that are maintained for the program. The following CAPSS reports provide demographic and client count data for services directly provided by DSS and those services for which DSS determines client eligibility during FFY 2011:

- SS310-R01--SSBG Client Profile Report - 03/30/2012
- SS190-R05--SSBG Clients and Units Summary, DSS Provided and Purchased Services
- SS260-R01--SSBG Clients and Units, Office Summary
- SS130-R02--SSBG County Flex Funds Client Summary
- SS280-R01--SSBG Combination of Services for Adult Protective Services Program Services
- SS280-R01--SSBG Combination of Services for Child Protective Services Program Services
- SS280-R01--SSBG Combination of Services for Foster Care Program Services

ADULT PROTECTIVE SERVICES

Reported on Post-Expenditure Report as Protective Services - Adults

Adult Protective Services are provided to individuals who are 18 years of age or older and are victims of actual or potential abuse, neglect, or exploitation. This mistreatment may be caused by others or may be self-inflicted. The Omnibus Adult Protection Act of the South Carolina Code of Laws authorizes the Department of Social Services to investigate all reports and provide services. Services are provided to meet the adults' basic needs and to ensure their safety. In some cases, emergency caretakers are utilized to sit with the individual temporarily. In FY 2011, SCDSS staff completed assessments for abuse, neglect or exploitation for 3,349 persons, while 2,111 persons received treatment services because of substantiated reports. Of the 2,111 clients who received treatment services, 74% were 60 years of age and older and 31% of the total population was 80 years and older. SCDSS provides this service at the county level in all 46 counties of the state.

SERVICE FACTS FOR FFY 11			
Total Persons Receiving Treatment Services	2,111	SSBG Expenditures	\$5,476,278

CHILD PROTECTIVE SERVICES

Reported on Post-Expenditure Report as Protective Services - Children

Child Protective Services (CPS) are provided to families whose children have been abused or neglected. State law requires the Department of Social Services to assess reports of child abuse/neglect to determine their validity. This includes determining whether the child is at risk and determining the need for support services for the family. In addition to investigating abuse/neglect and providing counseling and offering other treatment services to parents and children, the caseworkers are advocates for the child and family to law enforcement and the family court. During FFY 2011, SCDSS directly provided CPS treatment services to 10,317 persons throughout the state. SCDSS assessed 21,803 reports of abuse, neglect, or exploitation of children during FFY 2011.

SERVICE FACTS FOR FFY 11			
Total Persons Receiving Treatment Services :	10,317	SSBG Cost per person:	\$1,142.00

FAMILY MANAGEMENT COUNSELING
 Reported on Post-Expenditure Report as Counseling

Family Management Counseling is provided to individuals or families who require assistance in maintaining or improving their ability to manage their home, finances and/or family relationships. These services include acting as payee for an individual, providing counseling in handling limited financial resources, housekeeping and/or securing and maintaining employment. Supportive counseling in child rearing or other interpersonal relationships may be provided. Additionally, services are provided to individuals or families at risk of entry into a more restrictive living environment or service system to enhance self-sufficiency, knowledge, skills and coping mechanisms. Family Services, Inc. provides payee services in Charleston, Berkeley, and Dorchester Counties.

SERVICE FACTS FOR FY 11	
Total Persons Served:	99
SSBG Cost Per Person:	\$ 442.00

FLEX FUNDS

Reported on Post-Expenditure Report as Prevention/Intervention

Flex Funds provide flexible and easily accessible resources to Department of Social Services staff in child protective services, foster care, and adoption services to assist them in their efforts to preserve, strengthen, or reunite families with children who are receiving or are at risk of receiving child welfare services. The service goals for Flex Funds include preventing placement in foster care; preventing disruptions in foster or pre-adopt families; reunification of a child with family or a relative; preventing abuse from occurring or reoccurring; and facilitating adoption. This service is provided on a state-wide basis by SCDSS. Services include assistance with housing, electric bills, counseling, drug assessment and treatment services; medical services when Medicaid is not available and the services are an integral part of the service plan; wrap-around services, and short term child care services (no more than 2 weeks).

SERVICE FACTS FOR FY 11	
Total Persons Served:	4,551
SSBG Expenditures:	\$ 964,806

FOSTER CARE SERVICES

Reported on Post-Expenditure Report as Foster Care Services - Children

Foster Care Services provide an alternative family life experience for abused, neglected or dependent children. These services may include assessment of the child's needs; case planning and management to assure that the child receives proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the child's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. SCDSS provides this service in all 46 counties of the state. During FFY 2011, 7,793 children received this service. SSBG funds expended for this service --- \$981,956.

HOMEMAKER SERVICES

Reported on Post-Expenditure Report as Home-Based Services

Homemaker Services are provided to adults and children receiving protective services and to individuals who are frail, chronically ill or disabled, and who do not qualify for Medicaid-sponsored skilled or intermediate nursing care. Priority is given to those who live alone with no support system or with another individual who is also incapable of self-care. Activities include light housecleaning, meal planning, marketing and food preparation, personal care and home management, budgeting, child rearing skills, and assistance in following treatment plans prescribed by a physician. This service is also provided to foster children and their foster families and families receiving child or adult protective services. Of the adults served during FFY 2011, approximately 31% were recipients of adult protective services.

SCDSS provides this service in all 46 counties of the state. During FFY 2011, 293 children, their families, and 588 adults received services from SCDSS staff. SCDSS also contracts with quasi-public and private for profit providers to provide this service in 19 counties of the state. These eight providers served 311 adult clients.

SERVICE FACTS FOR FY 11	
Total Persons Served:	1,192
SSBG Funds Expended:	\$3,094,813

PREGNANCY AND PARENTING SERVICES
 Reported on Post-Expenditure Report as Other Services

Pregnancy and Parenting Services, formerly **Special Services for Pregnant Women**, are available to expectant mothers who are in need of out-of-home placement to ensure the health and safety of the mother and unborn child; to help with concerns related to pregnancy; and to prepare them to parent their child or relinquish the child for adoption. SCDSS contracted with two SSBG private not for profit providers to provide this service to women 21 years and under, on a statewide basis in FFY 2011.

SERVICE FACTS FOR FY 11	
Total Women Served: 72	SSBG Expenditures: \$322,581

TRAINING

Reported on Post-Expenditure Report as Education and Training Services

SSBG funds expended for training promote quality service provision to individuals and families by contracting for specific training services. Training funds allow SCDSS staff, foster parents, and adoptive parents to select training to address their specific needs and licensing requirements. The SC Department of Social Services provided training for 5,062 front line staff, supervisors, and State Office level personnel, as well as 537 foster and adoptive families and providers. Training activities play a vital role in helping providers receive the training needed to deliver quality services. SCDSS contracts with the University of South Carolina, the Children's Law Center, and other public or private entities to provide this service in the state.

SERVICE FACTS FOR FY 11	
Total Persons Trained: 5,599	SSBG Expenditures: \$2,001,644

Pre and Post – Expenditure Reports

Pre-Expenditure Report for FFY 2013
Amended Pre-Expenditure Report for 2012
Amended Pre-Expenditure Report for FFY 2011
Amended Post-Expenditure Report for FFY 2011

SERVICES TO BE FUNDED IN TOTAL OR IN PART BY SSBG FEDERAL FISCAL 2013

Adult Protective Services are available to protect incapacitated adults from abuse, neglect and exploitation, and if possible, to help them resume their role as primary protector of themselves. (Listed in the SSBG Plan under federal definition Protective Services Adults)

Child Protective Services are provided to families whose children have been abused or neglected. (Listed in the SSBG Plan under federal service definition Protective Services Children)

Family Management Counseling includes an array of services to enhance self-sufficiency, knowledge, skills and coping mechanisms provided to individuals or families at risk of entry into a more restrictive living environment or service system. (Listed in the SSBG Plan under federal service definition Counseling Services)

Foster Care Services include assessment of abused, neglected or abandoned children's needs; case planning and management to assure that children receive proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the child's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. (Listed in the SSBG Plan under federal service definition Foster Care Services - Children)

Homemaker Services are offered to adults and children receiving protective services and to individuals who are frail, chronically ill or disabled, and who do not qualify for Medicaid-sponsored skilled or intermediate nursing care. (Listed in the SSBG Plan under the federal service definition Home Based Services)

Flex Funds are those services or activities designed to provide early identification and/or timely intervention to support families and prevent or ameliorate the consequences of, abuse, neglect, or family violence. Component services and activities include time-limited clinical interventions designed to defuse crises that threaten a child's stability within the home environment, case management, and developmental and parenting skills training. (Listed in the SSBG Plan under federal service definition Prevention/Intervention Services)

Pregnancy and Parenting Services are available to expectant mothers under the age of 21 years who are in need of out-of-home placement to ensure the health and safety of the mother and unborn child, and to help with concerns related to pregnancy. (Listed in the SSBG Plan under federal service definition for **Other Services**)

Training supports the training of SCDSS staff, foster parents, and adoptive families. Training promotes quality service provision to individuals and families by making funds for training and conferences available to line staff as well as families who provide care for children who are in custody of SCDSS. (Listed in the SSBG Plan under federal service definition Education/Training Services)

Part A. Estimated Expenditures and Proposed Provision Method

OMB NO.: 0970-0234

EXPIRATION DATE: 06/30/2014

STATE: South Carolina	FISCAL YEAR: 2013	REPORT PERIOD:10/1/12-9/30/13
Contact Person: Liz Smith	Phone Number: 803-898-2754	
Title: Program Coordinator II	E-Mail Address: Liz.Smith@dss.sc.gov	
Agency: SC Dept. of Social Services	Submission Date: September 1, 2012	

Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Total Expenditures	Provision Method	
	SSBG Allocation	Funds transferred into SSBG*			Public	Private
1 Adoption Services						
2 Case Management						
3 Congregate Meals						
4 Counseling Services	32,117			32,117		X
5 Day Care--Adults						
6 Day Care--Children						
7 Education and Training Services	2,945,684			2,945,684	X	X
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults						
11 Foster Care Services--Children	1,740,000			1,740,000	X	X
12 Health-Related Services						
13 Home-Based Services	3,342,409			3,342,409	X	X
14 Home-Delivered Meals						
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention	1,200,000			1,200,000	X	X
21 Protective Services--Adults	4,200,000			4,200,000	X	
22 Protective Services--Children	9,216,148			9,216,148	X	
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled						
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***	370,000			370,000		X
30 SUM OF EXPENDITURES FOR SERVICE	23,046,358			23,046,358		
31 Administrative Costs	2,279,310					
32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	25,325,668					

* From which block grant(s) were these funds transferred? N/A

** Please list the sources of these funds:

*** Please list other services: Pregnancy and Parenting Svces.

Part B. Estimated Recipients

OMB NO.: 0970-0234

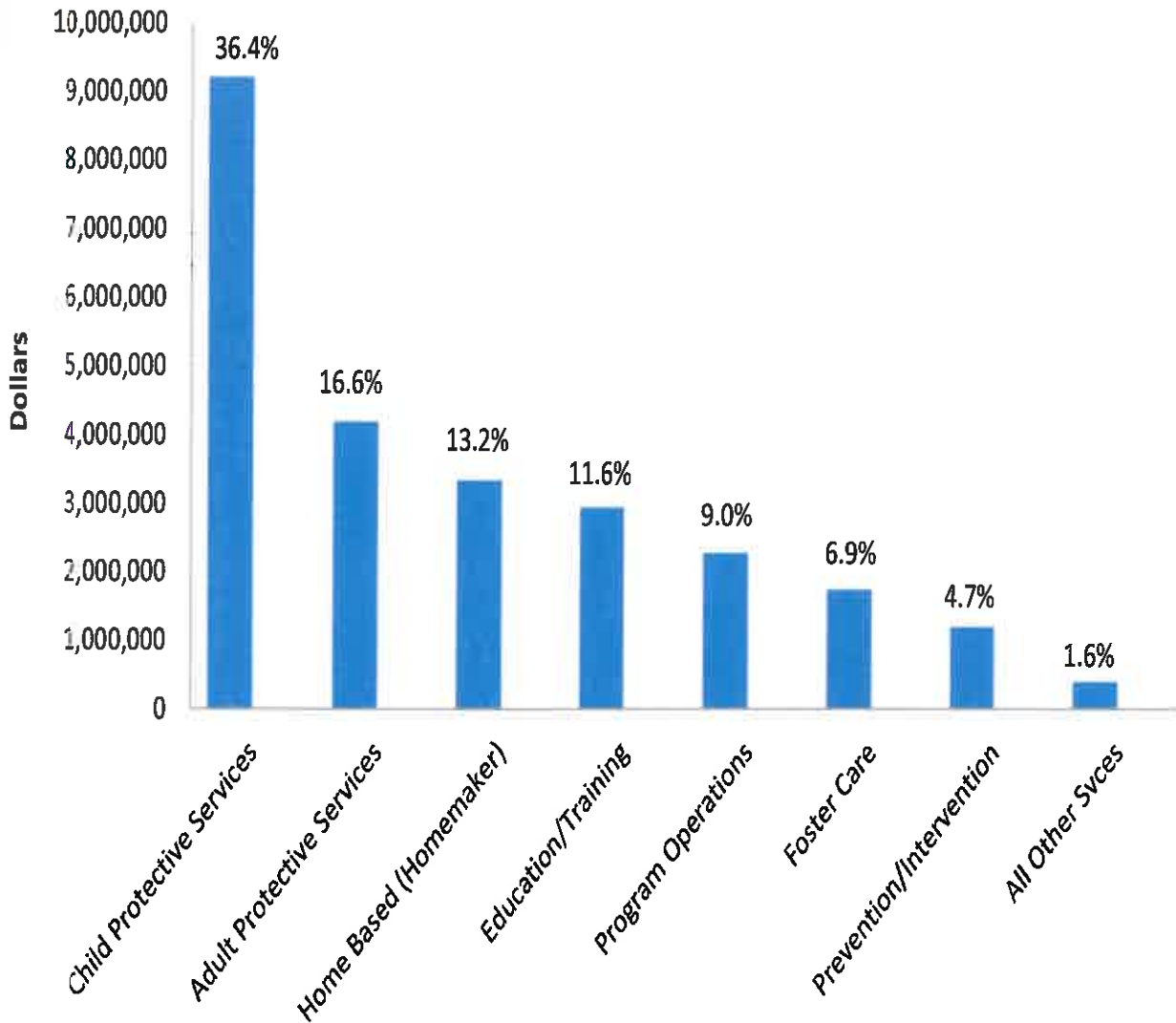
EXPIRATION DATE:

06/30/2014

STATE: South Carolina
FISCAL YEAR: 2013

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age		
1 Adoption Services						
2 Case Management						
3 Congregate Meals						
4 Counseling Services		83	17		100	100
5 Day Care--Adults						
6 Day Care--Children						
7 Education and Training Services				3,500	3,500	3,500
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults						
11 Foster Care Services--Children	7,800					7,800
12 Health-Related Services						
13 Home-Based Services	300	170	750		920	1,220
14 Home-Delivered Meals						
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention	4,500					4,500
21 Protective Services--Adults		560	1,600		2,160	2,160
22 Protective Services--Children	10,500					10,500
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled						
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***		75			75	75
30 SUM OF RECIPIENTS OF SERVICES	23,100	888	2,367	3,500	6,755	29,855

PLANNED EXPENDITURES BY SERVICE FFY 2013



AMENDED SERVICES TO BE FUNDED IN TOTAL OR IN PART BY SSBG FEDERAL FISCAL 2012

SCDSS has amended the services to be provided and the planned expenditures for FFY 2012 as follows:

Adult Protective Services are available to protect incapacitated adults from abuse, neglect and exploitation, and if possible, to help them resume their role as primary protector of themselves. (Listed in the SSBG Plan under federal definition **Protective Services Adults**)

Child Protective Services are provided to families whose children have been abused or neglected and also includes temporary emergency placement of children as a service component. (Listed in the SSBG Plan under federal service definition **Protective Services Children**)

Family Management Counseling includes an array of services to enhance self-sufficiency, knowledge, skills and coping mechanisms provided to individuals or families at risk of entry into a more restrictive living environment or service system. (Listed in the SSBG Plan under federal service definition **Counseling Services**)

Foster Care Services include assessment of abused, neglected or abandoned children's needs; case planning and management to assure that children receive proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the child's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. (Listed in the SSBG Plan under federal service definition **Foster Care Services - Children**)

Homemaker Services are offered to adults and children receiving protective services and to individuals who are frail, chronically ill or disabled, and who do not qualify for Medicaid-sponsored skilled or intermediate nursing care. (Listed in the SSBG Plan under the federal service definition **Home Based Services**)

Prevention/Intervention Services are those services or activities designed to provide early identification and/or timely intervention to support families and prevent or ameliorate the consequences of, abuse, neglect, or family violence. Component services and activities include time-limited clinical interventions designed to defuse crises that threaten a child's stability within the home environment, case management, and developmental and parenting skills training.

Pregnancy and Parenting Services are available to expectant mothers under the age of 21 years who are in need of out-of-home placement to ensure the health and safety of the mother and unborn child, and to help with concerns related to pregnancy. (Listed in the SSBG Plan under federal service definition for **Other Services**)

Amended Expenditure Plan for FFY 2012

Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Total Expenditures	Provision Method	
	SSBG Allocation	Funds transferred into SSBG*			Public	Private
Adoption Services						
Case Management						
Congregate Meals						
Counseling Services	32,117	0		32,117		X
Day Care--Adults						
Day Care--Children						
Education and Training Services				0	X	X
Employment Services						
Family Planning Services						
Foster Care Services--Adults						
Foster Care Services--Children	1,995,414	0		1,995,414	X	X
Health-Related Services						
Home-Based Services	3,500,000	0		3,500,000	X	X
Home-Delivered Meals						
Housing Services						
Independent/Transitional Living Services						
Information & Referral						
Legal Services						
Pregnancy & Parenting						
Prevention & Intervention	1,600,000	0		1,600,000	X	X
Protective Services--Adults	5,200,000	0		5,200,000	X	
Protective Services--Children	10,158,280	0		10,158,280	X	
Recreation Services						
Residential Treatment						
Special Services--Disabled						
Special Services--Youth at Risk						
Substance Abuse Services						
Transportation						
Other Services***	370,000	0		370,000		X
SUM OF EXPENDITURES FOR SERVICES	22,855,811	0		22,855,811		
Administrative Costs	1,336,705	0				
SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	24,192,516					

*Not Applicable

**Not Available

*** Pregnancy and Parenting Svces.

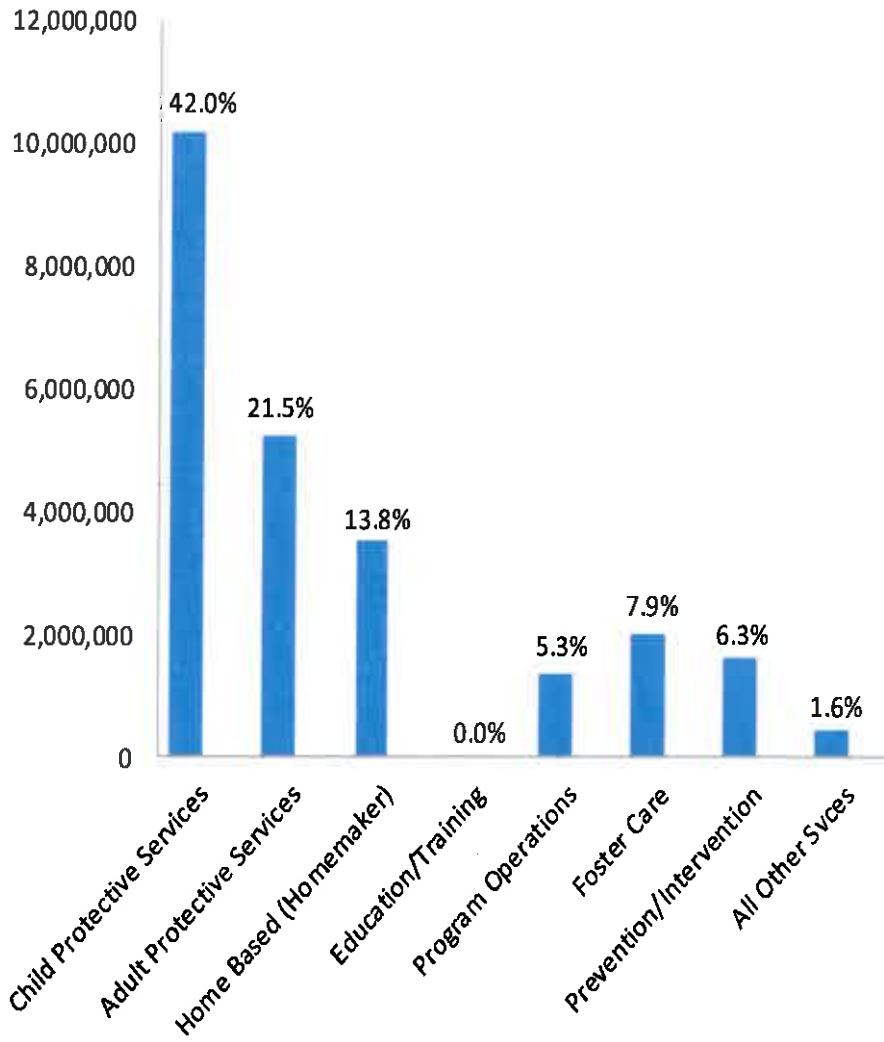
**Amended FFY 2012 Pre-Expenditure Plan, Cont.
Part B. Estimated Recipients**

OMB NO.: 0970-0234
EXPIRATION DATE: 07/31/2011

STATE: SOUTH CAROLINA
FISCAL YEAR: 2012

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age		
1 Adoption Services						
2 Case Management						
3 Congregate Meals						
4 Counseling Services		85	20		105	105
5 Day Care--Adults						
6 Day Care--Children						
7 Education and Training Services					0	0
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults						
11 Foster Care Services--Children	9,000					9,000
12 Health-Related Services						
13 Home-Based Services	500	250	850		1,100	1,600
14 Home-Delivered Meals						
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention	5,800					5,800
21 Protective Services--Adults		720	1,800		2,520	2,520
22 Protective Services--Children	11,000					11,000
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled						
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***	80					80
30 SUM OF RECIPIENTS OF SERVICES	26,380	1,055	2,670	0	3,725	30,105

SSBG PLANNED EXPENDITURES FFY 2012



SSBG EXPENDITURES FOR FFY 2011

The Amended Pre Expenditure Reporting Form and Amended Post Expenditure Reporting Form are included on the following pages.

SERVICES TO BE FUNDED IN TOTAL OR IN PART BY SSBG FEDERAL FISCAL 2011

Adult Protective Services are available to protect incapacitated adults from abuse, neglect and exploitation, and if possible, to help them resume their role as primary protector of themselves. (Listed in the SSBG Plan under federal definition Protective Services Adults)

Child Protective Services are provided to families whose children have been abused or neglected and also includes temporary emergency placement of children as a service component. (Listed in the SSBG Plan under federal service definition Protective Services Children)

Day Care for Adults is offered to individuals who require hands-on assistance with any two activities of daily living or who may require supervision in a structured environment due to moderate memory or cognitive impairment and who lack other formal or informal resources.

Family Management Counseling includes an array of services to enhance self-sufficiency, knowledge, skills and coping mechanisms provided to individuals or families at risk of entry into a more restrictive living environment or service system. (Listed in the SSBG Plan under federal service definition Counseling Services)

Foster Care Services include assessment of abused, neglected or abandoned children's needs; case planning and management to assure that children receive proper care in a licensed or approved environment; room and board or medical care; counseling of the child, the child's parents and foster parents; and referral and assistance in obtaining other necessary supportive services. (Listed in the SSBG Plan under federal service definition Foster Care Services -Children)

Home Delivered Meals are provided to individuals of any age who are homebound because of a physical or mental disability.

Homemaker Services are offered to adults and children receiving protective services and to individuals who are frail, chronically ill or disabled, and who do not qualify for Medicaid-sponsored skilled or intermediate nursing care. (Listed in the SSBG Plan under the federal service definition Home Based Services)

Prevention/Intervention Services are those services or activities designed to provide early identification and/or timely intervention to support families and prevent or ameliorate the consequences of, abuse, neglect, or family violence. Component services and activities

include time-limited clinical interventions designed to defuse crises that threaten a child's stability within the home environment, case management, and developmental and parenting skills training.

Pregnancy and Parenting Services are available to expectant mothers who are in need of out-of-home placement to ensure the health and safety of the mother and unborn child, and to help with concerns related to pregnancy. It also includes services to parents of young children to assist them in achieving independence and providing nurturing care for their children. (Listed in the SSBG Plan under federal service definition for Other Services)

Training includes the DSS Training Fund and supports training of SCDSS caseworkers. The DSS Training Fund is designed to promote quality service provision to individuals and families by making funds for training and conferences available to all SSBG providers. (Listed in the SSBG Plan under federal service definition Education/Training Services)

Part A. Estimated Expenditures and Proposed Provision Method

OMB NO.: 0970-0234

EXPIRATION DATE: 07/31/2011

STATE: SOUTH CAROLINA	FISCAL YEAR: 2011	REPORT PERIOD:10/01/2010-09/30/2011
Contact Person: Elizabeth D. Smith	Phone Number: 803-898-2754	
Title: Program Coordinator II	E-Mail Address: liz.smith@dss.sc.gov	
Agency: SC Department of Social Services	Submission Date: 09/01/10; Revised: 07/28/11	

Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Total Expenditures	Provision Method	
	SSBG Allocation	Funds transferred into SSBG*			Public	Private
1 Adoption Services	0	0		0		x
2 Case Management						
3 Congregate Meals						
4 Counseling Services	61,283	0		61,283		x
5 Day Care--Adults		0		0	x	x
6 Day Care--Children						
7 Education and Training Services	2,260,343	0		2,260,343	x	x
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults						
11 Foster Care Services--Children	1,677,059	0		1,677,059	x	x
12 Health-Related Services						
13 Home-Based Services	3,634,409	0		3,634,409		
14 Home-Delivered Meals	220,000	0		220,000	x	
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention	1,870,000	0		1,870,000	x	x
21 Protective Services--Adults	7,057,830	0		7,057,830	x	
22 Protective Services--Children	7,271,000	0		7,271,000	x	
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled						
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***	385,000	0		385,000		x
30 SUM OF EXPENDITURES FOR SERVICES	24,436,924			24,436,924		
31 Administrative Costs	2,260,400	0				
32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	26,697,324					

* From which block grant(s) were these funds transferred? N/A

** Please list the sources of these funds: Not Available

*** Please list other services: Pregnancy and Parenting Services

Part B. Estimated Recipients

OMB NO.: 0970-0234

EXPIRATION DATE: 07/31/2011

STATE: SOUTH CAROLINA
FISCAL YEAR: 2011

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age		
1 Adoption Services	120					120
2 Case Management						
3 Congregate Meals						
4 Counseling Services	70	80	20		100	170
5 Day Care--Adults		18	41		59	59
6 Day Care--Children						
7 Education and Training Services				6,000	6,000	6,000
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults						
11 Foster Care Services--Children	9,300					9,300
12 Health-Related Services						
13 Home-Based Services	980	414	1,386		1,800	2,780
14 Home-Delivered Meals		150	1,300		1,450	1,450
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention	10,000					10,000
21 Protective Services--Adults		800	1,800		2,600	2,600
22 Protective Services--Children	12,020					12,020
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled						
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***	95	90			90	185
30 SUM OF RECIPIENTS OF SERVICES	32,585	1,552	4,547	6,000	12,099	44,684

Part A. Expenditures and Provision Method

OMB NO.: 0970-0234

EXPIRATION DATE: 06/30/2014

State: South Carolina	FISCAL YEAR: 2011	REPORT PERIOD:10/01/10-09/30/11
Contact Person: Liz Smith	Phone Number: 803-898-2754	
Title: Program Coordinator II	E-Mail Address: Liz.Smith@dss.s.gov	
Agency: S.C. Department of Social Services	Submission Date: 03/31/2012;	

Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Total Expenditures	Provision Method	
	SSBG Allocation	Funds transferred into SSBG*			Public	Private
1 Adoption Services				0		X
2 Case Management						
3 Congregate Meals						
4 Counseling Services	43,738			43,738		X
5 Day Care--Adults	13,823			13,823	X	X
6 Day Care--Children						
7 Education and Training Services	2,001,644			2,001,644	X	X
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults						
11 Foster Care Services--Children	981,956			981,956	X	
12 Health-Related Services						
13 Home-Based Services	3,094,813			3,094,813	X	X
14 Home-Delivered Meals	183,513			183,513	X	X
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention	964,806			964,806	X	X
21 Protective Services--Adults	5,476,278			5,476,278	X	
22 Protective Services--Children	11,737,178			11,737,178	X	
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled						
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***	322,581			322,581		X
30 SUM OF EXPENDITURES FOR SERVICES	24,820,330			24,820,330		
31 Administrative Costs	1,203,345					
32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	26,023,675					

* From which block grant(s) were these funds transferred N/A

** Please list the sources of these funds:

*** Please list other services: Pregnancy and Parenting Svces

Part B. Recipients

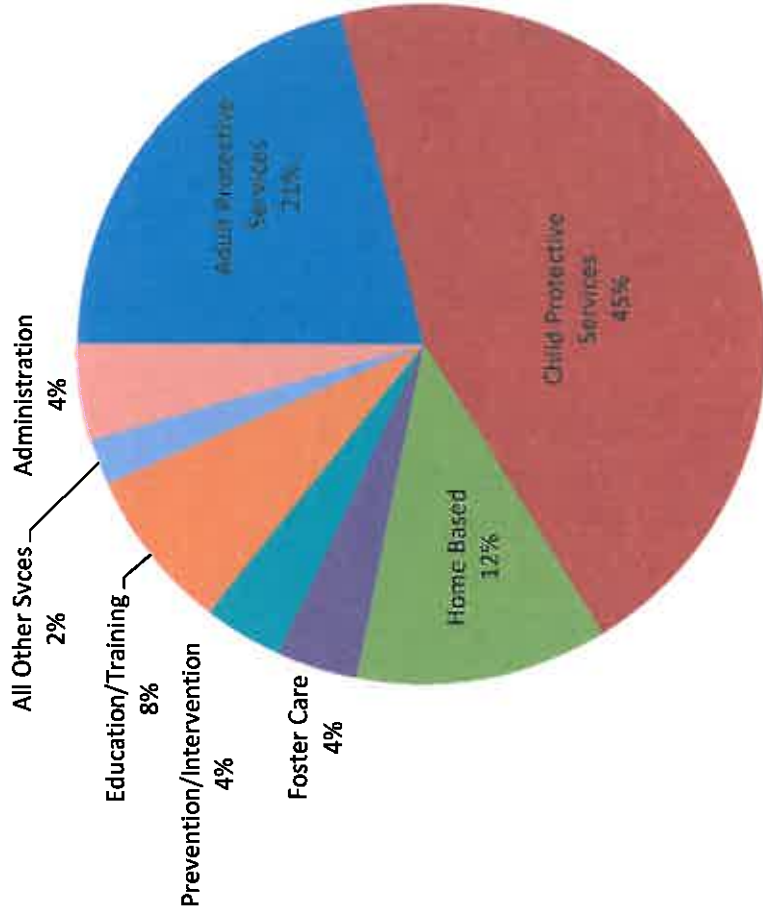
OMB NO.: 0970-0234
 EXPIRATION DATE: 06/30/2014

State: South Carolina
FISCAL YEAR: 2011

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age		
1 Adoption Services						0
2 Case Management						
3 Congregate Meals						
4 Counseling Services		83	16		99	99
5 Day Care--Adults				23	23	23
6 Day Care--Children						
7 Education and Training Services				5,599	5,599	5,599
8 Employment Services						
9 Family Planning Services						
10 Foster Care Services--Adults						
11 Foster Care Services--Children	7,793					7,793
12 Health-Related Services						
13 Home-Based Services	293	166	733		899	1,192
14 Home-Delivered Meals		67	350		417	417
15 Housing Services						
16 Independent/Transitional Living Services						
17 Information & Referral						
18 Legal Services						
19 Pregnancy & Parenting						
20 Prevention & Intervention	4,551					4,551
21 Protective Services--Adults		555	1,556		2,111	2,111
22 Protective Services--Children	10,317					10,317
23 Recreation Services						
24 Residential Treatment						
25 Special Services--Disabled						
26 Special Services--Youth at Risk						
27 Substance Abuse Services						
28 Transportation						
29 Other Services***	72					72
30 SUM OF RECIPIENTS OF SERVICES	23,026	871	2,655	5,622	9,148	32,174

SSBG EXPENDITURES BY SERVICE

FFY 2011



CERTIFICATIONS AND ASSURANCES

Non-Discrimination

In accordance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000 D et. seq.), Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 706), and the regulations at 45 CFR Parts 80 and 84, the Title XX agency assures that no individual shall be subjected to discrimination under this plan on the grounds of race, color, national origin, or handicap.

The Social Services Block Grant agency has methods of administration to assure that each program or activity for which it receives federal financial assistance will be operated in accordance with Title VI regulations and with regulations issued pursuant to Section 504 of the Rehabilitation Act of 1973. The state agency will amend its methods of administration from time to time as necessary to carry out the purposes for which this statement is given.

Drug-Free Workplace

In accordance with the Drug-Free Workplace Act, S.C. Code Ann. 44-107-10 et seq. (1976 as amended) and the Federal Drug Free Workplace Act of 1988 as set forth in 45 CFR Part 76, Subpart F (1994), the Social Services Block Grant agency certifies that it will comply with all requirements.

Debarment, Suspension, and Other Responsibility Matters

In accordance with 45 CFR Part 76, the Social Services Block Grant agency certifies that it will comply with all requirements.

Lobbying

In accordance with 31 U.S.C. 1352, the Social Services Block Grant agency certifies that funds received will not be expended to pay any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with any of the following covered federal actions: the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

Environmental Tobacco Smoke

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.



Lillian B. Koller, J.D.
State Director

SINGLE AUDIT

The State Auditor's Office completed the Single Audit in Compliance with OMB Circular 133 for SSBG funds administered by the Department of Social Services for the period ending June 30, 2011. The entire audit report is located on the Office of the State Auditor's website:

<http://osa.sc.gov/stateengagements/stateofsc/Pages/SingleAudit.aspx>

State of South Carolina



RICHARD H. GILBERT, JR., CPA DEPUTY STATE AUDITOR

Office of the State Auditor

1401 MAIN STREET, SUITE 1200
COLUMBIA, S.C. 29201

(803) 253-4160
FAX (803) 343-0723

**INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE WITH
REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL
EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL
CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR
A-133 AND ON THE SUPPLEMENTARY SCHEDULES OF
EXPENDITURES OF FEDERAL AWARDS**

May 24, 2012

The Honorable Nikki R. Haley, Governor
and
Members of the General Assembly
Columbia, South Carolina

Compliance

We have audited the State of South Carolina's (the State) compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the State's major federal programs for the year ended June 30, 2011. The State's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the State's management. Our responsibility is to express an opinion on the State's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the State's compliance with those requirements.

The Honorable Nikki R. Haley, Governor

and
Members of the General Assembly
May 24, 2012

In our opinion, the State complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011. However, the results of our auditing procedures disclosed instances of noncompliance with those requirements, which are required to be reported in accordance with OMB Circular A-133 and which are described in the accompanying schedule of findings and questioned costs as items 11E24-1, 11E24-2, 11H59-1, 11H59-2, 11H63-1 through 11H63-6, 11H73-1, 11H73-2, 11J02-1, 11J04-1 through 11J04-6, 11K05-1, 11K05-2, 11L04-1, 11L04-2, 11L24-1, 11L24-2, 11N04-1, and 11N04-2.

Internal Control Over Compliance

Management of the State of South Carolina is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the State's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of the internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be significant deficiencies or material weaknesses and therefore, there can be no assurance that all deficiencies, significant deficiencies, or material weaknesses have been identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and other deficiencies that we considered to be significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questioned costs as items 11E24-1, 11E24-2, 11H63-3, 11J02-1, 11J04-2, 11J04-4, 11N04-1, and 11N04-2 to be material weaknesses.

A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the deficiencies in internal control over compliance described in the accompanying schedule of findings and questions costs as items 11H59-1, 11H63-2, 11H63-6, 11H73-2, 11H73-3,

The Honorable Nikki R. Haley, Governor
and
Members of the General Assembly
May 24, 2012

11J04-5, 11J04-6, 11K05-2, 11L04-3 through 11L04-6, and 11L24-2 to be significant deficiencies.


The State's responses to the findings identified in our audit are described in the accompanying schedule of findings and questioned costs. We did not audit the State's responses and, accordingly, we express no opinion on the responses.

Schedules of Expenditures of Federal Awards

We have jointly audited, with Clifton Gunderson, LLP, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of South Carolina as of and for the year ended June 30, 2011, and have issued our report thereon dated December 8, 2011. Our audit was performed for the purpose of forming opinions on the financial statements that collectively comprise the State's basic financial statements. The accompanying schedules of expenditures of federal awards are presented for purposes of additional analysis as required by OMB Circular A-133 and are not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

The State's basic financial statements included the operations of certain agencies and component units that have been excluded from the schedules of expenditures of federal awards because each of the entities engaged other auditors to perform an audit in accordance with the Single Audit Act Amendments of 1996. As described in Note 1, these agencies and component units expended \$4,834,607,565 in federal awards during the year ended June 30, 2011.

This report is intended solely for the information and use of the Governor and Members of the General Assembly, the governing body and management of State agencies, the cognizant federal agency, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.



Richard H. Gilbert, Jr.,
CPA Deputy State Auditor

STATE OF SOUTH CAROLINA
Schedule of Expenditures of Federal Awards
By Federal Grantor and CFDA Number
For the Year Ended June 30, 2011

<u>Federal Grantor/Program Title/Grant Title Number</u>	<u>Agency Number</u>	<u>CFDA Number</u>	<u>Grant/Contract Number</u>	<u>Expenditures</u>
NONCLUSTERED GRANTS, CONTINUED				
DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Child Welfare Services - State Grant		93.645		
Child Welfare Services: State Grants	L04		G-1101SC1400	<u>1,201,914</u>
Program Total				<u>3,578,464</u>
Foster Care - Title IV-E		93.658		
Foster Care: Title IV-E	L04		0901SC1401	0
Foster Care: Title IV-E	L04		1001SC1401	5,935,480
Foster Care: Title IV-E – ARRA	L04		1001SC1402	284,429
Foster Care: Title IV-E	L04		1101SC1401	23,120,461
Foster Care: Title IV-E - ARRA	L04		1101SC1402, 1101SC1404	<u>507,206</u>
Program Total				** <u>29,847,576</u>
Adoption Assistance		93.659		
Adoption Assistance	L04		0901SC1407	0
Adoption Assistance - ARRA	L04		1001SC1403	182,472
Adoption Assistance	L04		1001SC1407	2,474,101
Adoption Assistance - ARRA	L04		1101SC1403	314,866
Adoption Assistance	L04		1101SC1407	<u>11,201,657</u>
Program Total				<u>14,173,096</u>
Social Services Block Grant		93.667		
Social Services Block Grant	L04		G-0802SCSOSR	0
Social Services Block Grant	L04		G-0902SCSOSR	0
Social Services Block Grant	L04		G-1002SCSOSR	7,911,834
Social Services Block Grant	L04		G-1102SCSOSR	<u>18,499,392</u>
Program Total				** <u>26,411,226</u>
Child Abuse and Neglect State Grants		93.669		
Child Abuse and Neglect State Grants	L04		G-0901SCCA01	188,312
Child Abuse and Neglect State Grants	L04		G-1001SCCA01	<u>188,983</u>
Program Total				<u>377,295</u>
Child Abuse and Neglect Discretionary Activities		93.670		
Supporting Evidence-Based Home Visitation Programs to Prevent Child Maltreatment	J04		90CA1765/02; MC-1-372	0
Supporting Evidence-Based Home Visitation Programs to Prevent Child Maltreatment	J04		90CA1765/03; MC-1-373	<u>37,339</u>
Program Total				<u>37,339</u>
Family Violence Prevention and Services/Grants for Battered Women's Shelters - Grants to States and Indian Tribes		93.671		
Family Violence Prevention and Services/Grants for Battered Women's Shelters- Grants to States and Indian Tribes	L04		G-0901SCFVPS	139,790
Family Violence Prevention and Services/Grants for Battered Women's Shelters- Grants to States and Indian Tribes	L04		G-1001SCFVPS	1,165,312
Program Total				<u>1,305,102</u>