



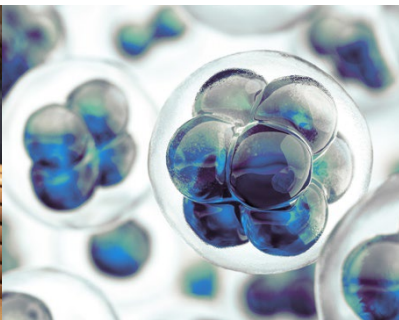
Europäisches
Patentamt
European
Patent Office
Office européen
des brevets

IP5 CS&E

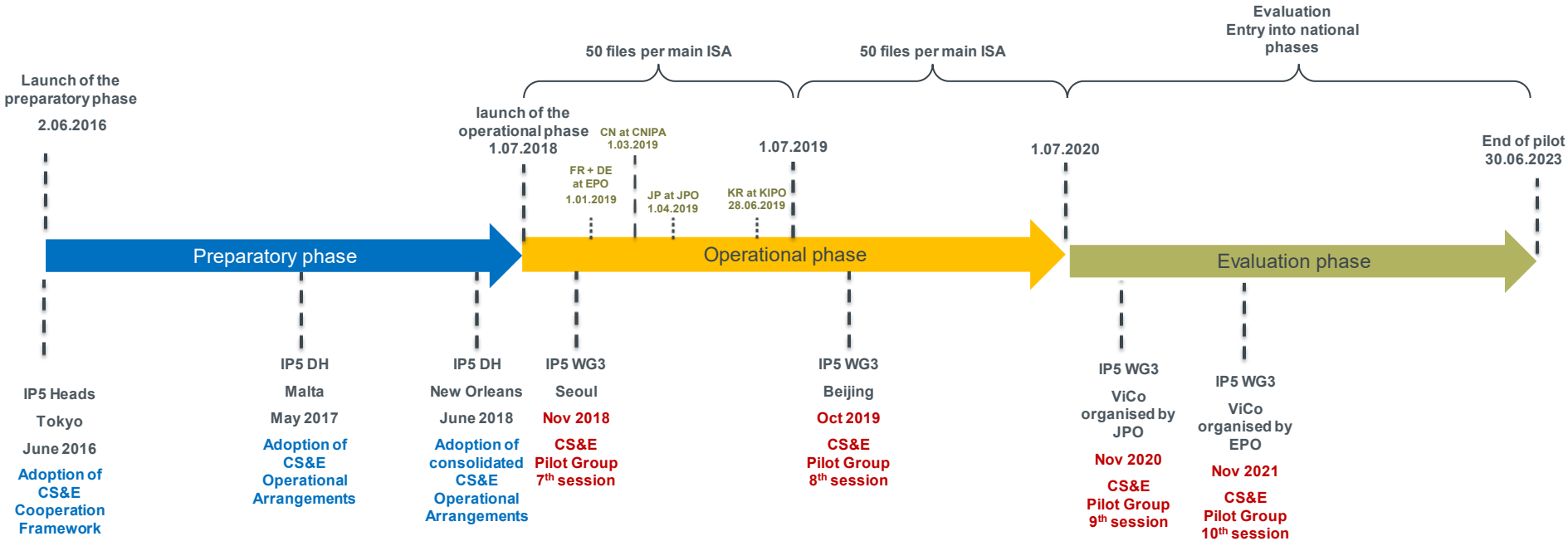
Status report on CS&E Pilot

five IP offices

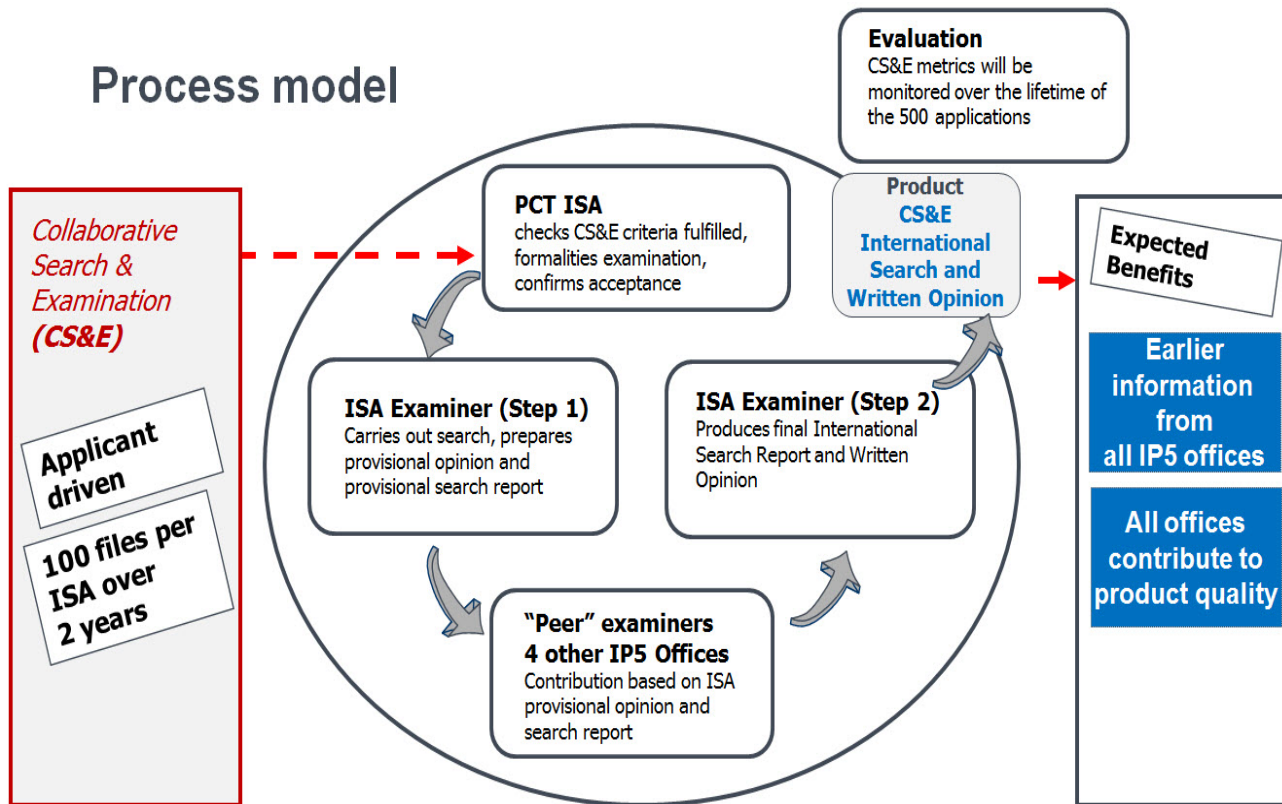
European Patent Office /// Japan Patent Office ///
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United States Patent and Trademark Office



CS&E Pilot - Roadmap



Operational phase – CS&E Process model



CS&E results of the operational phase

1 July 2018 – 30 June 2020



Requests accepted by
all IP5 Offices

✓ **468**

(incl. in DE – 13, FR – 2,
JA – 20, KO – 3, ZH – 17)



Provisional SR
uploaded by all IP5
Offices & special cases

✓ **468**

(incl. 36 non-unity, 4 sequence
listings, 11 partial searches, 1 PCT-
CLAR)



Final SR delivered
by all IP5 Offices

✓ **468**



Receiving Offices

EP – 32 JP – 60
CN – 92 KR – 26
US – 216 IB – 42



Main ISA

CN – 93 US – 100
EP – 100 KR – 100
JP – 75



Technological fields

- A – 87 E – 10
- B – 53 F – 14
- C – 62 G – 145
- D – 6 H – 91

Some preliminary results of the international phase

Compared to the provisional ISR...

- 70% of the final ISRs contained new citations
- 53% of the final ISRs contained new XYE citations
- On average, the number of citations increased from 6,1 to 8,1 in the final ISRs.
- While 12.8% of provisional ISRs contained only A-citations, this percentage is 4% lower in the final ISRs.

CS&E Pilot - Next steps (1/2)

- **In 2022, the IP5 CS&E Pilot Group will continue to:**
 - Analyse all CS&E files entering into the IP5 national/regional phases
 - Analyse the results of the users' satisfaction survey carried out by WIPO
 - Continue discussions on fee-related aspects
- **June 2022: IP5 Heads meeting**
 - The Pilot Group recommends a **further 1-year extension** of the evaluation phase
 - Presentation by the Pilot Group of an intermediary report of the CS&E indicators

CS&E Pilot - Next steps (2/2)

- **Oct./Nov. 2022:** 11th session of the IP5 CS&E Pilot Group
- **April 2023:** CS&E report and recommendations endorsed by IP5 Deputy Heads
- **June 2023:** CS&E report and recommendations presented to the IP5 Heads