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224

# Foreword

- 225 The *Indications Profile* (DSP1054) was prepared by the DMTF Architecture Working Group. Version 1.0
- was prepared by the DMTF WBEM Infrastructure and Protocols Working Group. Versions up to 1.2 were
   prepared by the WBEM Infrastructure Modeling Working Group.
- DMTF is a not-for-profit association of industry members dedicated to promoting enterprise and systems management and interoperability. For information about the DMTF, see <u>http://www.dmtf.org</u>.

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244

# Introduction

- 245 The information in this specification should be sufficient for a provider or consumer of this data to
- unambiguously identify the classes, properties, methods, and values that shall be instantiated to
- subscribe, advertise, produce, or consume an indication using the DMTF Common Information Model
- 248 (CIM) Schema.
- The target audience for this specification is implementers who are writing CIM-based providers or consumers of management interfaces that represent the components described in this document.

# 251 **Document conventions**

# 252 **Typographical conventions**

- 253 Any text in this document is in normal text font, with the following exceptions:
- Document titles are marked in *italics*.
- Important terms that are used for the first time are marked in *italics*.
- Terms within the text contain a link to the term definition defined in the "Terms and definitions" clause, enabling easy navigation to the term definition.
- ABNF rules are in monospaced font.

# 259 ABNF usage conventions

- Format definitions in this document are specified using ABNF (see <u>RFC5234</u>), with the following deviations:
- Literal strings are to be interpreted as case-sensitive Unicode characters, as opposed to the definition in <u>RFC5234</u> that interprets literal strings as case-insensitive US-ASCII characters.

# 264 Deprecated material

- 265 Deprecated material is not recommended for use in new development efforts. Existing and new
- implementations may use this material, but they shall move to the newer approach as soon as possible.
   An implementation of this profile in a CIM server shall use any deprecated material as if it were not
- 268 deprecated, in order to achieve backwards compatibility for clients. Although implementations of clients
- 269 may use deprecated material, it is recommended that they use the newer approach instead.
- 270 The following typographical convention indicates deprecated material:

# 271 **DEPRECATED**

272 Deprecated material appears here.

# 273 DEPRECATED

In places where this typographical convention cannot be used (for example tables or figures), the
 "DEPRECATED" label is used alone.

#### 276 Experimental material

- 277 Experimental material has yet to receive sufficient review to satisfy the adoption requirements set forth by
- the DMTF. Experimental material is included in this document as an aid to implementers who are
- 279 interested in likely future developments. Experimental material may change as implementation

### DSP1054

- 280 experience is gained. It is likely that experimental material will be included in an upcoming revision of the
- 281 specification. Until that time, experimental material is purely informational.
- 282 The following typographical convention indicates experimental material:

# 283 EXPERIMENTAL

284 Experimental material appears here.

# 285 **EXPERIMENTAL**

- 286 In places where this typographical convention cannot be used (for example tables or figures), the
- 287 "EXPERIMENTAL" label is used alone.

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289

# 291 **1 Scope**

290

The *Indications Profile* defines the CIM elements that are used to subscribe for indications of unsolicited events, to advertise the possible indications, and to represent indications used to report events in a managed system.

# 295 **2 Normative references**

The following referenced documents are indispensable for the application of this document. For dated or
 versioned references, only the edition cited (including any corrigenda or DMTF update versions) applies.
 For undated and unversioned references, the latest published edition of the referenced document
 (including any corrigenda or DMTF update versions) applies.

- 300 DMTF DSP0004, CIM Infrastructure Specification 2.6,
- 301 http://www.dmtf.org/standards/published\_documents/DSP0004\_2.6.pdf
- 302 DMTF DSP0202, CIM Query Language Specification 1.0,
   303 <u>http://www.dmtf.org/standards/published\_documents/DSP0202\_1.0.pdf</u>
- 304 DMTF DSP0207, WBEM URI Mapping Specification 1.0,
   305 <u>http://www.dmtf.org/standards/published\_documents/DSP0207\_1.0.pdf</u>
- 306 DMTF DSP0223, Generic Operations 1.0,
- 307 <u>http://www.dmtf.org/standards/published\_documents/DSP0223\_1.0.pdf</u>
- 308 DMTF DSP0228, Message Registry XML Schema 1.1,
   309 <u>http://schemas.dmtf.org/wbem/messageregistry/1/dsp0228\_1.1.xsd</u>
- 310 DMTF DSP1001, Management Profile Specification Usage Guide 1.1,
- 311 <u>http://www.dmtf.org/standards/published\_documents/DSP1001\_1.1.pdf</u>
- 312 DMTF DSP1033, Profile Registration Profile 1.0,
- 313 <u>http://www.dmtf.org/standards/published\_documents/DSP1033\_1.0.pdf</u>
- 314 IETF RFC3986, Uniform Resource Identifier (URI): Generic Syntax, January 2005,
   315 <u>http://tools.ietf.org/html/rfc3986</u>
- 316 IETF RFC5234, Augmented BNF for Syntax Specifications: ABNF, January 2008,
   317 <u>http://tools.ietf.org/html/rfc5234</u>
- 318 ISO/IEC Directives, Part 2, *Rules for the structure and drafting of International Standards*,
- 319 <u>http://isotc.iso.org/livelink/livelink.exe?func=ll&objld=4230456&objAction=browse&sort=subtype</u>

# **320 3 Terms and definitions**

In this document, some terms and verbal phrases have a specific meaning beyond the normal English meaning. Those terms and verbal phrases are defined in this clause.

323 The verbal phrases "shall" ("required"), "shall not", "should" ("recommended"), "should not" ("not

recommended"), "may", "need not" ("not required"), "can" and "cannot" in this document are to be

interpreted as described in <u>ISO/IEC Directives, Part 2</u>, Annex H. The verbal phrases in parenthesis are

alternatives for the preceding verbal phrase, for use in exceptional cases when the preceding verbal
 phrase cannot be used for linguistic reasons. Note that <u>ISO/IEC Directives</u>, <u>Part 2</u>, Annex H specifies

328 additional alternatives. Occurrences of such additional alternatives shall be interpreted in their normal

- 329 English meaning.
- The terms "clause", "subclause", "paragraph", "annex" in this document are to be interpreted as described in <u>ISO/IEC Directives</u>, Part 2, clause 5.
- 332 The terms "normative" and "informative" in this document are to be interpreted as described in <u>ISO/IEC</u>
- 333 Directives, Part 2, clause 3. In this document, clauses, subclauses or annexes indicated with
- "(informative)" do not contain normative content. Notes and examples are always informative elements.

# The terms defined in <u>DSP0004</u>, <u>DSP0223</u> and <u>DSP1001</u> apply to this document. The following additional terms are used in this document.

- 337 **3.1**
- 338 alert indication
- an indication that indicates an event related to the managed environment
- 340 For details, see 6.1.2.2.
- 341 **3.2**
- 342 client
- 343 a WBEM client that exploits applicable portions of this profile
- 344 For details, see <u>DSP1001</u>.
- 345 **3.3**
- 346 coverage
- 347 the set of indications that can pass an indication gate
- 348 For details, see 6.2.2 and 6.3.2.
- 349 **3.4**
- 350 defined coverage
- 351 the coverage specified by a profile for static filter collections through normative statements
- 352 For details, see 6.3.3.
- 353 **3.5**
- 354 dynamic indication filter
- 355 an indication filter whose lifecycle is controlled by a client
- 356 **3.6**
- 357 event
- 358 an observable occurrence of a phenomenon of interest
- 359 For details, see 6.1.

360 361 362 363 364	<ul> <li>3.7</li> <li>filter collection</li> <li>an indication gate that may contain other indication gates such as indication filters or other filter collections</li> <li>For details, see 6.3.</li> </ul>
365 366 367 368	<b>3.8</b> <b>global indication filter</b> an indication filter that covers large sets of indications, such as all alert indications For details, see 6.2.5.
369 370 371 372	<b>3.9</b> <b>global filter collection</b> a filter collection that covers large sets of indications, such as all lifecycle indications For details, see 6.3.3.5.
373 374 375 376	<b>3.10</b> <b>implementation</b> a WBEM server that implements applicable portions of this profile and of referencing profiles For details, see <u>DSP1001</u> .
377 378 379 380	<b>3.11</b> <b>indication</b> the notification about an event that occurred For details, see 6.1.
381 382 383 384 385 386	<ul> <li>3.12</li> <li>indication delivery</li> <li>the process of delivering indications from an implementation to a listener</li> <li>indication filter</li> <li>an indication gate whose coverage is defined through a query statement</li> </ul>
387 388	For details, see 6.2 3.13
389 390 391	indication filtering the process of selecting indications based on filtering rules applied by indication gates, such that only indications within the coverage of the indication gate pass the indication gate
392 393 394 395 396	<b>3.14</b> <b>indication gate</b> a managed element that filters indications such that only indications within its coverage pass. Indication gates can serve as targets for subscriptions, and control which indications are delivered to subscribed listeners.
397 398 399	<b>3.15</b> <b>indication generation</b> the process of creating an indication as the event that the indication is designed to report occurs
400 401	3.16 indication origin

- 402 the namespace out of that the indication originates For details, see 6.1.2.4.
- 403

404 **3.17** 

## 405 indication service

- 406 a component within a WBEM server for indication related processing, including handling of subscriptions
   407 and delivery of indications to a WBEM listener
- 408 **3.18**

# 409 indication system

- 410 a system that hosts a WBEM server with one or more indication services
- 411 For details, see 6.6.

# 412 **3.19**

# 413 indication-specific indication filter

- 414 a static indication filter that covers a particular indication specified in a profile
- 415 For details, see 6.2.4.

# 416 **3.20**

# 417 Interop namespace

- 418 a namespace containing CIM instances representing specific capabilities of a WBEM server
- 419 Examples include CIM\_RegisteredProfile instances representing specific versions of profiles or
- 420 CIM\_IndicationFilter instances representing indication filters. For details, see <u>DSP1033</u>.

# 421 **3.21**

# 422 lifecycle indication

423 an indication indicating an event related to the lifecycle of CIM instances or CIM classes; for details,424 see 6.1.2.3.

#### 425 **3.22**

# 426 listener

- 427 a WBEM listener that implements applicable portions of this profile
- 428 For details, see <u>DSP1001</u>.

#### 429 **3.23**

# 430 listener destination

431 an entity that maintains a reference to a listener within an implementation; for details, see 6.4.5..

### 432 **3.24**

#### 433 profile-specific filter collection

- 434 a static filter collection that covers all indications of a particular type defined in a profile
- 435 For details, see 6.3.3.4.

# 436 **3.25**

# 437 query statement

a statement expressed in a query language used to describe either (a part of) an event or the coverage ofan indication filter

#### **4**40 **3.26**

# 441 referencing profile

- 442 a profile referencing this profile
- 443 Note that <u>DSP1001</u> requires each profile that defines indications to reference this profile.

444 **3.27** 

# 445 reliable indication

- 446 an indication containing a sequence identifier enabling listeners to detect duplicate, missing, or out-of-
- 447 order indications
- 448 For details, see 6.1.5 and 7.4.

# 449 **3.28**

# 450 repeated indication

- 451 an indication that reports the same event as a previous indication
- 452 For details, see 6.1.6.

# 453 **3.29**

# 454 repeated indication delivery

- 455 the delivery of repeated indications
- 456 Repeated indication delivery typically occurs if the reported event describes a persistent situation such as
- 457 exceeding a threshold value.

# 458 **3.30**

# 459 sequence identifier

- 460 data element with a reliable indication that ensures unique identification of the reliable indication
- 461 A sequence identifier is composed of a sequence context and a sequence number
- 462 For details, see 7.4.2.

#### 463 **3.31**

# 464 sequence identifier lifetime

- 465 a maximum time interval maintained by an implementation implementing reliable indications within which
- the implementation retries failed indication delivery attempts
- 467 For details, see 7.4.2.

#### 468 **3.32**

#### 469 static filter collection

- 470 a filter collection whose lifecycle is controlled by the implementation, that is uniquely identifiable and for
- 471 which a defined coverage is established
- 472 For details, see 6.3.3.
- 473 **3.33**

#### 474 static indication filter

- 475 an indication filter whose lifecycle is controlled by the implementation
- 476 **3.34**

#### 477 subscription

- 478 the mechanism whereby a client registers a listener for the delivery of indications from an implementation
- 479 **3.35**
- 480 this profile
- 481 a short term for the Indications profile, the profile specified in this specification document (DSP1054)
- 482 **3.36**
- 483 WBEM client
- 484 a CIM client (see <u>DSP0004</u>) that supports a WBEM protocol
- 485 For details, see <u>DSP1001</u>.

- 486 **3.37**
- 487 WBEM listener
- 488 a CIM listener (see <u>DSP0004</u>) that supports a WBEM protocol
- 489 For details, see <u>DSP1001</u>.
- 490 **3.38**
- 491 WBEM server
- 492 a CIM server (see <u>DSP0004</u>) that supports a WBEM protocol
- 493 For details, see <u>DSP1001</u>.

# 494 **4** Symbols and abbreviated terms

- 495 **4.1**
- 496 CQL
- 497 CIM Query Language
- 498 **4.2**
- 499 **QoS**
- 500 Quality of service
- 501 **4.3**
- 502 URI
- 503 Uniform Resource Identifier
- 504 **4.4**
- 505 WBEM
- 506 Web Based Enterprise Management

# 507 **5 Synopsis**

- 508 Profile name: Indications
- 509 Version: 1.2.2
- 510 Organization: DMTF
- 511 **Profile type:** Component
- 512 Schema version: 2.25
- 513 **Central class adaptation:** IndicationService (see 7.3.2)
- 514 Scoping class adaptation: IndicationSystem (see 7.3.3)
- 515 **Scoping algorithm:** HostedIndicationService (see 7.3.4)
- 516 This profile extends the management capabilities defined in referencing profiles by adding the capability
- 517 to subscribe for indications of unsolicited events, and to notify about such events by means of sending
- 518 indications from the implementation to a listener. This profile defines the required content of indications 519 defined in referencing profiles.

# DSP1054

520 Table 1 lists the profile references defined by this profile.

Table	1 -	Profile	references

Profile reference name	Profile name	Organi- zation	Version	Relationship	Description
ProfileRegistration	Profile Registration	DMTF	1.0	Mandatory	Registration of this profile; the central class profile advertisement methodology is mandated by this profile; for details, see 7.3.6.

522 Table 2 lists the class adaptations that are defined in this profile.

# Table 2 – Adaptations

Adaptation	Elements	Requirement	Description
Instantiated and embedded class	adaptations		
IndicationService	CIM_IndicationService	Mandatory	See 7.3.2.
IndicationSystem	CIM_System	Mandatory	See 7.3.3.
HostedIndicationService	CIM_HostedService	Mandatory	See 7.3.4.
IndicationsProfileRegistration	CIM_RegisteredProfile	Mandatory	See 7.3.5.
ElementConformsToProfile	CIM_ElementConformsToProfile	Mandatory	See 7.3.6.
IndicationServiceCapabilities	CIM_IndicationServiceCapabilities	Conditional	See 7.3.7.
CapabilitiesOfIndicationService	CIM_ElementCapabilities	Conditional	See 7.3.8.
IndicationServiceInitialSettings	CIM_IndicationServiceSettingData	Conditional	See 7.3.9.
InitialSettingsOfIndicationService	CIM_ElementSettingData	Conditional	See 7.3.10.
IndicationFilter	CIM_IndicationFilter	See derived adaptations	See 7.3.11.
StaticIndicationFilter	CIM_IndicationFilter	See derived adaptations	See 7.3.12.
DynamicIndicationFilter	CIM_IndicationFilter	Conditional	See 7.3.13.
IndicationServiceOfIndicationFilter	CIM_ServiceAffectsElement	Mandatory	See 7.3.14.
IndicationSpecificIndicationFilter	CIM_IndicationFilter	Optional	See 7.3.15.
GlobalIndicationFilter	CIM_IndicationFilter	Conditional	See 7.3.16.
StaticFilterCollection	CIM_FilterCollection	See derived adaptations	See 7.3.17.
IndicationServiceOfFilterCollection	CIM_OwningCollectionElement	Mandatory	See 7.3.18.
IndicationFilterInFilterCollection	CIM_MemberOfCollection	Conditional	See 7.3.19.
FilterCollectionInFilterCollection	CIM_MemberOfCollection	Conditional	See 7.3.20.
ProfileSpecificFilterCollection	CIM_FilterCollection	Optional	See 7.3.21.
GlobalFilterCollection	CIM_FilterCollection	Mandatory	See 7.3.22.
ListenerDestination	CIM_ListenerDestination	Mandatory	See 7.3.23.
IndicationServiceOfListener- Destination	CIM_ServiceAffectsElement	Mandatory	See 7.3.24.
AbstractSubscription	CIM_AbstractIndication- Subscription	See derived adaptations	See 7.3.25.
FilterSubscription	CIM_IndicationSubscription	Conditional	See 7.3.26.

Adaptation	Elements	Requirement	Description
CollectionSubscription	CIM_FilterCollectionSubscription	Mandatory	See 7.3.27.
ProfileOfFilterCollection { D }	CIM_ConcreteDependency	Mandatory	See 7.3.28.
Indications and exceptions	-		
BasicIndication	CIM_Indication	See derived adaptations	See 7.3.29.
ReliableIndication	CIM_Indication	See derived adaptations	See 7.3.30.
AlertIndication	CIM_AlertIndication	See derived adaptations	See 7.3.31.
LifecycleIndication	CIM_InstIndication	See derived adaptations	See 7.3.32.
ListenerDestination- RemovalIndication	CIM_InstDeletion	Optional	See 7.3.33.
SubscriptionRemovalIndication	CIM_InstDeletion	Optional	See 7.3.34.

# 524 Table 3 lists the features that are defined in this profile.

525

# Table 3 – Features

Feature name	Granularity	Requirement	Description
DynamicIndicationFilters	IndicationService instance	Optional	See 7.2.1.
IndicationServiceInitialSettingsExposed	IndicationService instance	Optional	See 7.2.2.
IndicationServiceModification	IndicationService instance	Optional	See 7.2.3.
ReliableIndications	IndicationService instance	Optional	See 7.2.4.
SuppressRepeatNotificationPolicy	Profile implementation	Optional	See 7.2.5.
DelayRepeatNotificationPolicy	Profile implementation	Optional	See 7.2.6.
IndividualFilterSubscription	IndicationFilter instance	Optional	See 7.2.7.
FilterCollectionCoverageExposure	StaticFilterCollection instance	Conditional	See 7.2.8.
LifeCycleGlobalIndicationFilter	Profile implementation	Optional	See 7.2.9.
AlertGlobalIndicationFilter	Profile implementation	Optional	See 7.2.10.

# 526 6 Description

527 This profile defines the concept of indications as a means to notify listeners about events occurring in the

528 managed environments addressed by referencing profiles. This profile establishes basic reusable

elements enabling referencing profiles to specify indications that report events occurring in their managed

530 environments. For example, this profile defines reusable adaptations of CIM classes by defining

requirements or constraints on suitable properties and methods, by defining required relationships, andby defining the modeled object types in the managed environment.

533 Furthermore, this profile defines how clients can subscribe listeners for the delivery of indications, and

how clients can monitor and control certain aspects of the behavior of implementations of this profile,

535 such as the number of retry attempts or the retry delay when the implementation is unable to deliver 536 indications.

537 This profile also defines mechanisms for the reliable delivery of indications.

# 538 6.1 Events and indications

# 539 **6.1.1 Events**

- 540 An event is the observable occurrence of a phenomenon of interest.
- 541 Events could be distinguished into root events and secondary events.
- Root events are events directly related the managed environment; they may be related to a managedobject.
- 544 Secondary events are events that are effected by or occur as a consequence of root events. For
- example, a root event could be the emergence of a fire on a house. Smoke or heat are both possibleeffects or, in other words, secondary events, caused by the fire.
- 547 Furthermore, if a managed object is represented in CIM, the model changes resulting from the change of 548 a managed object may be visible through corresponding changes in its CIM representation.

## 549 **6.1.2 Indications**

550 **6.1.2.1 General** 

551 An indication is a notification about an event. It is possible that an indication only reports an aspect of the 552 event and not the entire event. Therefore, multiple indications may be reported in context of a particular 553 event.

554 For example, an indication could directly report the root event that a house has caught fire. In addition, or 555 alternatively, respective indications could separately report secondary events (or effects) caused by the 556 fire, such as that smoke or heat are observed.

Accordingly, if a managed object is represented in CIM, an indication could directly report the root event related to the managed object. In addition, or alternatively, respective indications could separately report events (or effects) caused by the root event, such that a CIM instance representing an aspect of the managed object was created, modified or deleted.

561 Reporting events from the managed environment is typically facilitated by means of alert indications, 562 whereas reporting events from the CIM model is typically facilitated by means of lifecycle indications.

#### 563 6.1.2.2 Alert indications

Alert indications are indications that provide notification about root events (see 6.1.1). If a reported event relates to a managed object, that managed object may or may not have a representation in CIM. Some

566 types of alert indications can also contain information about or refer to corresponding changes in the CIM 567 representation where that is available.

# 568 6.1.2.3 Lifecycle indications

- Lifecycle indications are indications that provide notification about events (see 6.1.1) related to the lifecycle of CIM instances and CIM classes, such as their creation, deletion or modification.
- 571 Only lifecycle events related to the creation, deletion, or modification of CIM instances are within the 572 scope of this profile.
- NOTE NOTE The CIM schema defines the CIM\_InstIndication class as the base class for indications reporting lifecycle
   events and other model-related events, such as the execution of methods or the execution of read
   operations; reporting the latter kinds of events is not addressed in this profile.
- 576 Lifecycle events related to CIM instances are reported using instances of adaptations of the 577 CIM\_InstCreation, CIM\_InstDeletion, or CIM\_InstModification classes.
- 578 It is important to realize that lifecycle events are events (see 6.1.1) in the CIM model, reflecting 579 corresponding events in the managed environment. This applies regardless of whether or not a change 580 was requested by means of a CIM operation; CIM instances are required to always correctly represent 581 (an aspect of) the actual state of a managed object, and thus can only change if the represented (aspect 582 of the) managed object changed.
- 583 <u>DSP1001</u> defines the existence of CIM instances as a logical concept that ties the existence of CIM 584 instances to the existence of the represented managed object in the managed environment (instead of 585 tying the existence of CIM instances to a physical representation such as a repository entry). By that 586 definition the creation of a CIM instance logically occurs when the represented managed object is added 587 to the managed environment, and the deletion of a CIM instance logically occurs when the represented 588 managed object is removed from the managed environment.
- 589 With that definition, a CIM instance logically exists even if the WBEM server containing its implementation 590 is inactive, or does temporarily not have access to the managed environment containing the represented 591 managed object. If a WBEM server is inactive when a managed object is added to the managed environment, the CIM instance(s) representing (an aspect of) that managed object still are assumed to be 592 593 "logically" created exactly at that point in time; however, because the WBEM server is inactive, no 594 lifecycle indications are sent. Furthermore, when the WBEM server is started later on, sending lifecycle 595 indications about lifecycle events occurring while the WBEM server was inactive is not to be made up for. 596 Similarly, when a WBEM server is initially started, lifecycle indications about instances initially existing 597 within that WBEM server are not to be sent. So the DSP1001 based definition of instance existence 598 provides for not having to indicate the creation / deletion of CIM instances every time a WBEM server is 599 activated or deactivated, and avoids requiring a WBEM server to determine which CIM instances were 600 created / deleted / modified while it was inactive.
- 601 With the DSP1001 based definition of instance existence, clients may exploit lifecycle indications as a 602 means to monitor the existence of the represented managed object in the managed environment. 603 However, clients cannot rely on indications as the sole means to track the lifecycle of managed objects in 604 the managed environment. At least initially, and after every WBEM server restart, clients actively need to 605 inspect (by means of invoking respective operations) the CIM model of the managed environment for 606 changes that occurred while the WBEM server was inactive. If reliable indications (see 6.1.5) are 607 implemented, a change of the value of the SequenceContext property in the stream of indications arriving at a particular listener from a particular WBEM server may be used as an indicator that a WBEM server 608 609 restart occurred; for details, see 7.3.30.2.2, and the CIM schema definition of the CIM Indication class.
- 610 A CIM model can represent different aspects of a particular managed object through several instances of
- 611 different CIM classes. Consequently, one event in the managed environment can be related to multiple
- events in the CIM model of the managed environment, such as changes in several CIM instances, each
- of which could be reported through a separate lifecycle indication.

- As an example, consider a managed environment composed of systems and their components. If a
- 615 component such as a fan is added to one of these systems, this would be constitute an event in the
- 616 managed environment and could be reported by means of an alert indication. Alternatively, or in addition,
- 617 if the added fan is represented by a CIM\_Fan instance, the creation of that CIM\_Fan instance could be
- 618 reported by means of a lifecycle indication.

# 619 6.1.2.4 Origin of indications

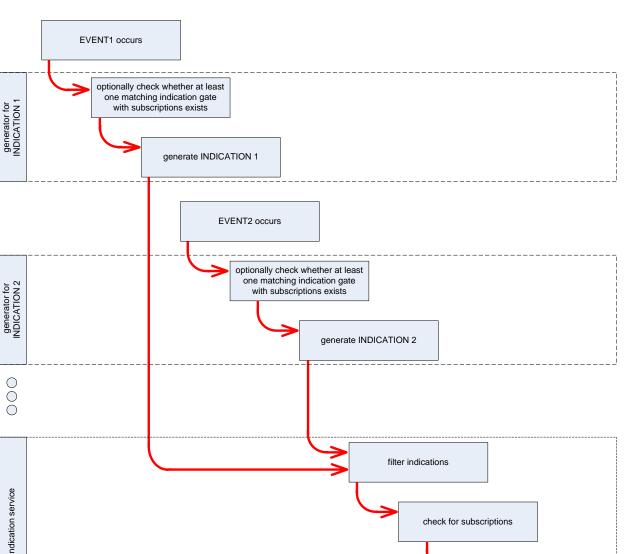
- The origin of an indication is defined as the local namespace in context of that the indication is generated; for details, see 7.3.29.3.
- The CIM representation of an indication as defined by the CIM\_Indication class does not reflect the origin namespace. Nevertheless, the process of indication filtering (see 6.1.4) is required to consider the origin namespace of an indication; for details, see 7.3.11.2.

# 625 6.1.3 Definition of events and indications in referencing profiles

- 626 Referencing profiles may define events separately through normative text, or as part of the definition of 627 indication adaptations reporting the event.
- NOTE Defining events separately is particularly useful if multiple indications reporting the same event are
   defined. However, if an event is only reported through one indication, the event definition as part of the
   definition of the indication adaptation is more compact.
- This profile defines several basic indication adaptations for the use by referencing profiles that define indications:
- The BasicIndication adaptation requires the reported event to be specified by means of a query statement; for details, see 7.3.29.2.
- The AlertIndication adaptation refines the BasicIndication adaptation for alert indications. It
   refines the definition of the query statement, delegating the event definition to an alert message
   defined in a message registry. For details, see 7.3.31.
- The LifecycleIndication adaptation refines the BasicIndication adaptation for lifecycle
   indications. A lifecycle indication refers to the CIM instance for which it reports a lifecycle event.
   The profile defining the lifecycle indications defines for which class adaptations respective
   lifecycle indications are reported. For details, see 7.3.32.

# 642 **6.1.4** Indication generation, indication filtering, and indication delivery

The indication related functionality within an implementation can be structured into indication generation,indication filtering and indication delivery. This is detailed in Figure 1.





646

Figure 1 – Indication related functionality within an implementation

Indication generation is the process of creating an indication as the event that the indication is designed
 to report occurs. As shown in Figure 1, this functionality is typically implemented separately for each
 indication, because it depends on the distinct event reported through each particular indication.

650 Optionally, in order to avoid the generation of indications for which no listeners are subscribed, part of

651 indication filtering can already occur at indication generation time, such that an indication is only

652 generated if at least one indication gate exists that has a coverage covering the indication to be

653 generated, and that has subscribed listeners; for details, see 7.3.29.5. However, even in this case

654 (complete) indication filtering is still required in order to ensure that the generated indication is checked

655 against *every* existing indication gate.

After an indication is generated it is subjected to indication filtering. Indication filtering is the process of

657 selecting indications based on specific filtering rules applied by indication gates, such that only indications

658 within the coverage of the indication gate pass. This functionality is typically implemented in common

deliver indications to all subscribed listeners 659 independent of the implementation of individual indications; however, it depends on indication gates that 660 may be provided by implementations of referencing profiles. For details, see 7.3.11.2 and 7.3.17.2.

661 Indication delivery is the process of delivering filtered indications from an implementation to a listener.

This profile defines rules for the delivery of indications as part of adaptations modeling indications
 themselves, as part of adaptations modeling indication gates such as indication filters or filter collections,

and as part of adaptations modeling subscriptions and listener destinations. For details, see 7.3.23.2 and7.3.25.2.

# 666 **6.1.5 Reliable indication delivery**

- 667 Reliable indication delivery is an optional extension of indication delivery that aims to
- enable implementations to discover and retry unsuccessful indication deliveries, and
- enable listeners to detect duplicate, missing, or out-of-order indications, and to re-order indications that arrive out of order. This includes the discovery of server restarts.
- The ReliableIndication adaptation (see 7.3.30) models reliable indications, and additional requirements are specified in 7.4.

# 673 6.1.6 Avoidance of repeated indication delivery

#### 674 6.1.6.1 General

This profile defines policies for the avoidance of repeated indication delivery (see 3.29). Policies for avoiding repeated indication delivery aim at preventing the implementation from flooding subscribed listeners with large amounts of repeated indications. This is a typical scenario if an event models a persistent situation, such as exceeding a threshold value.

For example, consider an indication modeled to report disk i/o errors. If a disk generates i/o errors at a high rate, the implementation would be required to generate a respective amount of indications and deliver them to subscribed listeners.

682 In order to avoid flooding subscribed listeners with such redundant indications, three policies are modeled 683 in this profile, as detailed in 6.1.6.2, 6.1.6.3 and 6.1.6.4.

The effective policy for the suppression of repeated indication delivery is determined at the level of subscriptions (see 6.4.1). For a particular subscription, the determination whether an indication passing the indication gate referenced by that subscription is a repeated indication — that is, an indication reporting the same event — of a first indication is made as follows: The first indication starts a monitoring time interval. Any indication passing the referenced indication gate during that monitoring time interval is considered a repeated indication if it is equal with the first indication except for the identification and the generation time.

691 NOTE The identification of indications as modeled by the BasicIndication adaptation (see 7.3.29) is exposed by the value of the IndicationIdentifier property, and the generation time is exposed by the value of the 692 693 IndicationTime property. 694 Version 1.1 of this profile also considered the values of the SequenceContext and the SequenceNumber 695 properties (see 7.3.30.2.2 and 7.3.30.2.3) for the determination of repeated indications. However, the 696 values of these properties are specific for listener destinations. Once these values were determined for a 697 particular indication, that indication must be sent to the referenced listener in order to ensure a continuous 698 and homogeneous stream of indications, thereby enabling reliable indication delivery. Thus, the 699 suppression of repeated indication delivery needs to occur before reliable indication processing, and the 700 determination of repeated indications needs to occur without considering these values.

# 701 6.1.6.2 No repeated indication delivery avoidance policy

- 702 With this policy in effect, no measures against repeated indication delivery are taken (see the CIM
- schema description of the value 2 (None) for the RepeatNotificationPolicy property of the
- 704 CIM\_AbstractIndicationSubscription class).

# 705 **6.1.6.3** Suppress repeated indication delivery avoidance policy

- This policy is modeled by means of the SuppressRepeatNotificationPolicy feature (see 7.2.5, and the CIM
- schema description of the value 3 (Suppress) for the RepeatNotificationPolicy property of the
   CIM AbstractIndicationSubscription class).
- With this policy in effect, the implementation with the delivery of a first indication starts a monitoring time
  interval. If during that monitoring time interval repeated indications of the first indication accrue, these are
  likewise delivered up to a predefined threshold. If the threshold is reached while the monitoring time
- interval is not expired, the delivery of further repeated indications is suppressed until the monitoring time interval expires. After the time interval has expired, the cycle is repeated with the next accruing repeated
- 714 indication.

# 715 **6.1.6.4 Delayed indication delivery avoidance policy**

- 716 This policy is modeled by the DelayRepeatNotificationPolicy feature (see 7.2.6, and the CIM schema
- 717 description of the value 4 (Delay) for the RepeatNotificationPolicy property of the
- 718 CIM\_AbstractIndicationSubscription class).
- 719 With this policy in effect, the implementation with a first accruing indication starts a specified monitoring 720 time interval; however, the first indication is not delivered at that point in time. Only if during that
- 720 time interval; nowever, the first indication is not delivered at that point in time. Only if during that 721 monitoring time interval a specified number of repeated indications of the first indication accrue, the
- 721 implementation delivers the first indication, but suppresses delivering the remaining accrued indications
- during the monitoring time interval, and then waits for a separately specified delay time interval. After that,
- or if the specified number of repeated indications did not accrue during the monitoring time interval, the
- 725 cycle is repeated, using the next accruing repeated indication as the next first indication.
- Note that with this policy it is possible that no indications are actually delivered if the specified number of repeated indications does not accrue during the monitoring time interval.

# 728 6.2 Indication filters

# 729 **6.2.1 General**

- Indication filters are a special kind of indication gate. The main purposes of indication filters are asfollows:
- Indication filters can serve as targets for subscriptions; for details on subscriptions, see 6.4.
- Indication filters filter indications such that only indications within the coverage of the indication filter pass for further processing; for details on defining and exposing the indication filter coverage, see 6.2.2.
- Dynamic indication filters enable clients to establish indication filters with client specified coverage within the implementation; for details, see 6.2.6.
- If defined in profiles, indication filters can represent an implementation's ability to generate
   respective indications. However, in general it is not possible to conclude from the existence of
   an indication filter that an implementation actually generates and delivers any indications
   covered by that indication filter.
- The lifecycle of indication filters is controlled by the implementation. For static indication filters (see 6.2.3),
   this applies without restrictions; the concept of dynamic indication filters (see 6.2.6) provides for clients

- being able to prompt the implementation for the creation, modification or deletion of dynamic indicationfilters.
- Generally the existence of an indication filter does not imply that any of the indications covered by the
- indication filter is actually implemented. However, referencing profiles may define amended semantics for
   indication filters. For details, see 7.3.11.2.
- Listeners subscribed to an indication gate must be prepared to process any indication within the coverageof the indication gate.

# 751 **6.2.2 Indication filter coverage**

- The coverage of an indication filter is the set of indications that can pass the indication filter; it is specified
  through an indication filter query statement and a set of namespaces identifications that identify the
  namespaces out of which indications are filtered. In other words, only indications that originate (see
  6.1.2.4) in one of the identified namespaces, and match the query statement pass the indication filter. For
  details, see 7.3.11.2.
- A indication filter query statement identifies source classes, selects properties, and specifies logic that is
   used to combine instances of those classes containing the selected property values as part of generated
   indications.
- A indication filter query statement is defined using the rules of a query language, for example the CIM
   Query Language (CQL) (see <u>DSP0202</u>). Profiles that define indication filters specify the exact string that
   defines the indication filter query statement.
- Clients capable of inspecting query statements thereby can learn about the coverage of respectiveindication filters.
- Following are examples of properly formatted CQL indication filter query statements:
- 766 **EXAMPLE 1**:
- 767 SELECT \* FROM CIM AlertIndication
- 768This indication filter query statement covers all alert indications. The selection of all properties769exposed by the CIM\_AlertIndication class indicates that values of these properties are present770in CIM\_AlertIndication instances delivered to listeners. However, note that generally the value771Null is admissible unless otherwise required.

#### 772 **EXAMPLE 2**:

- 773 SELECT \* FROM CIM\_InstCreation WHERE SourceInstance ISA
  774 CIM StorageVolume
- 775This indication filter query statement covers lifecycle indications reporting the creation of776CIM\_StorageVolume instances representing newly created storage volumes within the777managed environment. This is because the schema definition of the CIM\_InstCreation778indication states that it indicates the creation of a new CIM instance (of any class), and the779WHERE clause limits that to instances of the CIM\_StorageVolume class.
- 780The selection of all properties exposed by the CIM\_InstCreation class indicates that values of781these properties are present in CIM\_InstCreation instances delivered to listeners. The schema782definition of the CIM\_InstCreation indication requires that the value of the SourceInstance783property contains a copy of the new instance (the CIM\_StorageVolume instance in this case).784However, with respect to other property values, again note that generally the value Null is785admissible unless otherwise required.

#### 786 **EXAMPLE 3**:

787 SELECT \* FROM CIM\_AlertIndication WHERE OwningEntity = 'DMTF' AND 788 MessageID = 'SVPC0123'

789This indication filter query statement covers one alert indication. The related event is defined by790an alert message defined in a message repository. The value of the OwningEntity property791identifies DMTF as the organization owning the message registry. The value of the MessageID792property allows identifying the alert message within the owning organization; for details, see7937.3.31.

## 794 **EXAMPLE 4**:

- 795 SELECT \* FROM CIM\_AlertIndication WHERE OwningEntity = 'DMTF' AND 796 MessageID LIKE 'SVPC0123|SVPC0124|SVPC0125'
- 797 This indication filter query statement covers a closed set of alert indications. Note that the use of 798 the LIKE expression implies "full like extended regular expressions" as defined in <u>DSP0202</u>.

#### 799 **6.2.3 Static indication filters**

- Static indication filters are provided by an implementation, that is, their lifecycle and coverage is
   controlled solely by the implementation, and clients are not able to create or delete static indication filters.
- Profiles define the requirements for the CIM representation of static indication filters along with a
   requirement level, such as mandatory, conditional, or optional. In addition, WBEM servers may expose
   CIM\_IndicationFilter instances representing static indication filters that are not defined by a profile.
- Profiles define the coverage of static indication filters (that is, the set of covered indications) through a
   query statement (see 6.2.2). There is a certain degree of flexibility in defining the indication filter coverage
   by means of a query statement:
- Indication filters that cover more than one indication
- 809 A referencing profile might require an indication filter of this kind in the case where one or more 810 indications covered by that indication filter are implemented.
- Indication filters that cover exactly one indication
- This is achieved by specifying a "WHERE" clause as part of the indication filter query statement that restricts the selected indication class to one particular indication. A referencing profile might require an indication filter of this kind for the case "if and only if" the covered indication is implemented. Only in this very special case clients that are aware of that profile definition upon detection of the representation of that particular indication filter would know that the covered indication is actually implemented.
- Static indication filters are uniquely identified by means of a naming convention that involves the name of the organization defining the profile, the name of this profile and a string that is required to be unique within the implementation of this profile; for details, see 7.3.12.
- Filter collections provide a means for aggregating the coverage of indication filters and other filter collections; see 6.3.

#### 823 **6.2.4 Indication-specific indication filters**

Indication-specific filters address the needs of clients requiring notifications about events reported by
 particular indications specified in a profile. Indication-specific indication filters are a specialization of static
 indication filters, and are designed to cover one or more of the indications specified in a referencing
 profile or in this profile. For details, see 7.3.15.

#### DSP1054

828 One central purpose of indication-specific indication filters is contributing to the defined coverage of 829 profile-specific filter collections; see 6.3.3.

# 830 6.2.5 Global indication filters

Global indication filters address the needs of clients requiring notifications about large sets of events,
 irrespective of a profile context. Global indication filters are a specialization of static indication filters
 (see 6.2.3), and are designed to cover large sets of indications, such as:

- All alert indications
- All lifecycle indications reporting the creation of a CIM instance
- All lifecycle indications reporting the modification of a CIM instance
- All lifecycle indications reporting the deletion of a CIM instance
- 838 For details, see 7.3.16.

# 839 6.2.6 Dynamic indication filters

840 The creation, deletion and modification of dynamic indication filters can be requested by clients and is

then performed by the implementation. If suitable static indication filters do not exist within an

implementation, clients can request the creation of dynamic indication filters with a coverage that is

specifically tailored to the notification requirements of one or more listeners. However, the implementation

of dynamic indication filters is expensive. Not all implementations, especially footprint-sensitive

845 implementations, will be able to implement dynamic indication filters. For that reason this profile models 846 dynamic indication filters in the form of the optional DynamicIndicationFilters feature; for details, see 7.2.1

Even if dynamic indication filters are implemented, clients should first look for existing indication filters or
filter collections that might satisfy listener notification requirements, before attempting to create a dynamic
indication filter. Adding unnecessary dynamic indication filters may adversely affect the performance of
indication delivery by the implementation.

# 851 6.3 Filter collections

# 852 **6.3.1 General**

Filter collections are a special kind of indication gate designed to contain other indication gates; the contained indication gates may or may not be represented in CIM.

This profile only models static filter collections (see 6.3.3). Dynamic filter collections, that is, filter collections that could be created, deleted and modified by clients, are not addressed by this profile.

- 857 The main purposes of filter collections are:
- Filter collections can serve as targets for subscriptions; for details on subscriptions, see 6.4.
- Filter collections filter indications according to their coverage; for details on defining and exposing the coverage of filter collections, see 6.3.2.
- If defined in profiles, filter collections can represent an implementation's ability to generate
   respective indications. However, in general it is not possible to conclude from the existence of a
   filter collection that an implementation actually generates and delivers any indications covered
   by that filter collection.

## 865 **6.3.2 Filter collection coverage**

The coverage of a filter collection determines the actual filtering rules for that filter collection; it is defined as the aggregated coverage of all contained indication gates. For details, see 7.3.17.2.

# 868 6.3.3 Static filter collections

# 869 6.3.3.1 General

870 Static filter collections are filter collections whose lifecycle is controlled by the implementation, that are 871 uniquely identifiable, and for which a defined coverage can be established.

# 872 6.3.3.2 Unique identification

Unique identification of static filter collections is achieved through establishing a naming convention. The naming convention enables clients to identify static filter collections about which they have prior

knowledge. For details on specifying the unique identification, see 7.3.17.4.2.

# 876 6.3.3.3 Defined coverage

The concept of the defined coverage addresses the need to reduce the memory footprint of embedded implementations. It allows defining the coverage of static filter collections by means of specification in profiles, but without requiring the CIM representation of contained indication gates. The knowledge about the defined coverages of static filter collections specified in profiles can be built into clients, such that the clients know the coverage of those static filter collections in advance, instead of determining the coverage through the inspection of the CIM representation of contained indication gates. For details on specifying the defined coverage of static filter collections, see 7.3.17.3.

# 884 6.3.3.4 Profile specific filter collections

Profile-specific filter collection address the needs of clients requiring notifications about events reported
by the indications specified in a particular profile. Profile specific filter collections are a specialization of
static filter collections. The defined coverage of a profile-specific filter collection covers all indications of a
particular type (that is, all alert indications or all lifecycle indications) defined in a profile. For details, see
7.3.21.

#### 890 6.3.3.5 Global filter collections

- Global filter collections address the needs of clients requiring notifications about large sets of events.
   Global filter collections are a specialization of static filter collections.
- 893 The defined coverage of global filter collections covers large sets of indications, such as
- All alert indications
- All alert indications specified in profiles
- All lifecycle indications
- All indications specified in profiles
- All alert indications specified in profiles
- All lifecycle indications specified in profiles
- 900 For details, see 7.3.22.

# 901 **6.4 Subscriptions, listeners, and listener destinations**

# 902 6.4.1 Subscriptions

903 Subscriptions model a mechanism that enables clients to register listeners at an indication gate for the 904 delivery of indications that are within the coverage of that indication gate.

- 905 Clients need to perform three steps in order to subscribe a listener for the delivery of indications:
- Determine if there is an existing indication gate covering the desired indication set. If an appropriate indication gate does not exist, and the support for dynamic indication filters is implemented, the client could create dynamic indication filters (see 6.2.6).
- 2) Determine if a listener destination referencing the listener already exists within the
   910 implementation. If such a listener destination does not yet exist, and the support for creating or
   911 modifying listener destinations is implemented, the client could create a new listener destination
   912 or modify an existing listener destination.
- 913 3) Create a subscription that relates the listener destination with the indication gate.

After it is created, a subscription results in indications being delivered to the listener that is referenced by
 the listener destination for each event reported through any of the indications covered by the indication
 gate referenced by the subscription.

# 917 **6.4.2 Overlapping coverages of subscriptions**

918 This profile does not specify any rules prohibiting that a listener simultaneously is subscribed to several 919 indication gates with overlapping coverages.

920 For example, a listener could simultaneously be subscribed to a filter collection and to an indication filter

921 contained by that filter collection. As another example, a listener could simultaneously be subscribed to
 922 two or more unrelated indication filters that are defined in the same or in different profiles and where the
 923 coverages as defined by respective guery statements overlap.

924 If separate subscriptions to indication gates with overlapping coverages exist, indications are

925 independently delivered for each individual subscription. This can result in multiple indications being 926 delivered to the listener for the same event. The semantical requirements pertaining to the delivery of

927 indications to subscribed listener destinations are detailed in 7.3.23.2 and 7.3.25.2.

#### 928 6.4.3 Subscription management authorization

929 This profile makes no explicit provisions for managing the permissions of a client with respect to its ability

to create, modify, or delete subscriptions. Any coordination between clients, or between a client and
 access management, to govern the ability of one client to make changes that affect the delivery of

932 indications delivered to a listener is outside the scope of this profile.

# 933 6.4.4 Listeners

A listener is a WBEM listener that implements applicable portions of this profile. Listeners can be
 subscribed at an implementation for the delivery of specific sets of indications as exposed by indication
 gates within that implementation. After a subscription is established within an implementation, indications
 are delivered to subscribed listeners as respective events occur, and the listeners need to receive and
 process these indications.

- 939 In general, a listener is different from the client that establishes its representation within the
- 940 implementation in the form of a respective listener destination (see 6.4.5); however, clients that also
- 941 implement listener functionality can establish themselves as listeners.

# 942 6.4.5 Listener destinations

A listener destination is an entity that maintains a reference to a listener within an implementation, including information about the protocol applicable to contact the listener; for details, see 7.3.23.

A free listener destination is a listener destination that does not currently reference a listener. Clients are enabled to establish a reference to a particular listener; for details, see 7.3.23.3.6.

- 947 The implementation is responsible for delivering the indications that are passed from any indication gate
- to any listener referenced by a listener destination that is subscribed to that indication gate. The
- semantical requirements pertaining to the delivery of indications to subscribed listener destinations are
- 950 detailed in 7.3.23.2 and 7.3.25.2.

951 Implementations provide functionality enabling clients to control the lifecycle of listener destinations (for 952 example, their creation and destruction), or provide a set of predefined listener destinations along with 953 functionality enabling clients to modify these to refer to different listeners, or provide a combination of 954 both approaches.

955 The second approach requiring the modification of predefined listener destinations is inherently unsafe 956 because activities of different clients can overlap, and race conditions can occur; for that reason the 957 create/delete based approach should be favored.

# 958 **6.5 Indication service and implementation**

# 959 6.5.1 Implementation

An implementation is the realization of applicable portions of this profile within a WBEM server. Within implementations, the functionality defined in this profile may be divided into common parts and referencing profile related parts; for details, see 7.1.

# 963 6.5.2 Indication service

An indication service is a component within an implementation that is responsible for delivering
indications to listeners. An indication service manages elements such as listener destinations (see 6.4.3)
and subscriptions (see 6.4.1), and it may provide support for reliable indication delivery (see 6.1.5) and
for dynamic indication filters (see 6.2.6).

# 968 **6.6 Indication system and referencing profiles**

969 An indication system is a system that hosts a WBEM server with one or more indication services.

NOTE NOTE The current version of this profile allows only one indication service per indication system; the limitation may be raised in a future version of this profile.

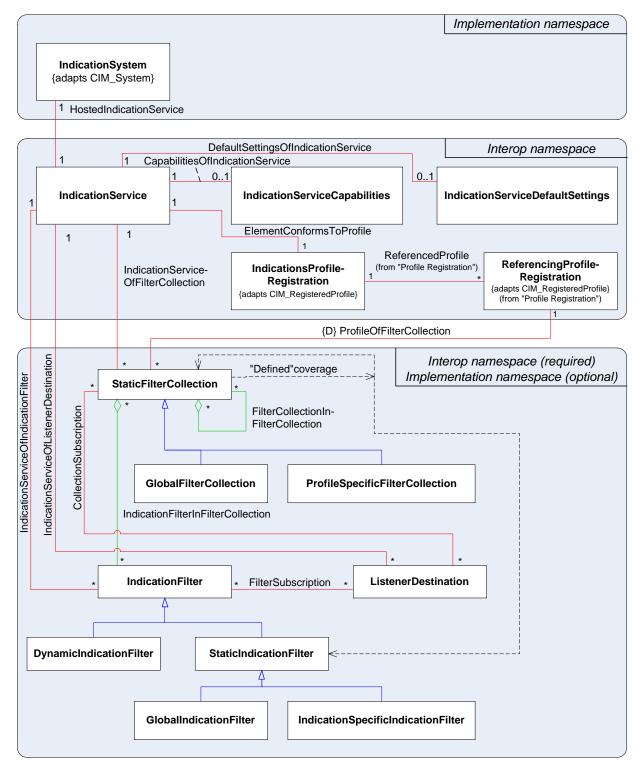
972 In the general case, the scoping systems of referencing profiles are different from the indication system, 973 that is, they are different from the system hosting the WBEM server. In other words, referencing profiles 974 are not required to provide the scope for the indication service, and the central class adaptation of a 975 referencing profile is not required to model the system that hosts the indication service. For that reason, 976 this profile requires that the central class profile advertisement methodology as defined in <u>DSP1033</u> is 977 applied for advertising this profile; for details, see 7.3.6.

For example, consider an Example Fan profile that defines a central Fan adaptation of the CIM\_Fan class
modeling fans and also defines indications reporting events related to fans and their related elements; in
this case the systems containing the fans are not required to be indication systems; particularly, they are
not required to host an indication service.

As a second example, consider an Example Virtual System profile that defines a central VirtualSystem
 adaptation of the CIM\_ComputerSystem class modeling virtual systems and also defines indications
 reporting events related to virtual systems and their components; again, the virtual systems are not
 required to be indication systems, that is, they are not required to host an indication service.

# 986 6.7 CIM model

987 Figure 2 shows the DMTF adaptation diagram for this profile.





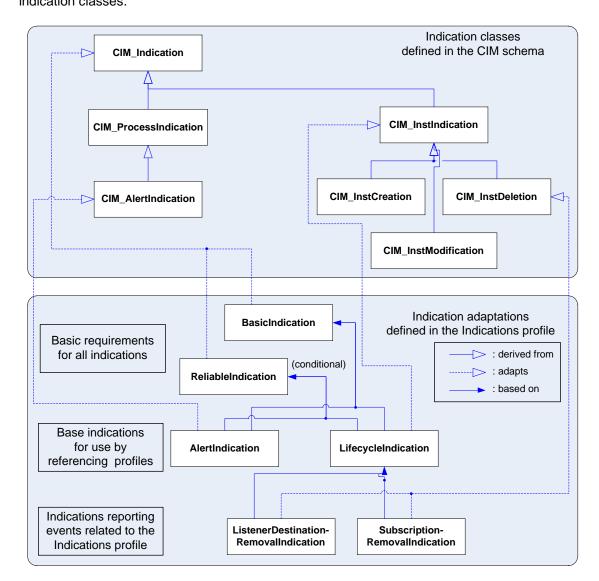
988

Figure 2 – Indications Profile: DMTF class adaptation diagram

990 991	The most essential adaptations defined in this profile are listed below, along with their modeled managed object types:			
992	•	the IndicationService adaptation (see 7.3.2) models indication services as described in 6.5.2		
993	•	the IndicationFilter adaptation (see 7.3.11) models indication filters as described in 6.2		
994 995	•	the StaticFilterCollection adaptation (see 7.3.17) models static filter collections as described in 6.3		
996 997	•	the StaticIndicationFilter adaptation (see 7.3.17) models static indication filters as described in 6.2.3		
998 999	•	the ListenerDestination adaptation (see 7.3.23) models listener destinations as described in 6.4.3		
1000	•	the AbstractSubscription adaptation (see 7.3.25) models subscriptions as described in 6.4.1		
1001 1002 1003	namesp	es of most of these adaptations are instantiated in the Interop namespace; the use of the Interop pace (see <u>DSP1033</u> ) makes it easier for clients to detect the CIM representations of respective ed objects.		
1004	DEPRE	CATED		
1005 1006		fileOfFilterCollection association adaptation models the relationship between filter collections and stration of this profile.		
1007 1008 1009	NOTE	The ProfileOfFilterCollection association adaptation (defined as the CIM_ConcreteDependency "profile class" in version 1.1 of this profile) is deprecated in version 1.2 of this profile in favor of a naming convention for static filter collections that enables their unique identification.		

# 1010 **DEPRECATED**

Figure 3 depicts the adaptations of indication classes defined by this profile along with the adapted indication classes.



1013

1014

1017

## Figure 3 – Indications Profile: Indication adaptations and adapted indication classes

1015 The most essential indication adaptations defined in this profile are listed below, along with their modeled 1016 indications:

- the BasicIndication adaptation (see 7.3.29) models indications as described in 6.1.2
- the ReliableIndication adaptation (see 7.3.30) models reliable indications as described in 6.1.5;
   this adaptation specifies additional optional requirements that can be implemented separately
   from the requirements of other indication adaptations.
- the AlertIndication adaptation (see 7.3.31) models alert indication as described in 6.1.2.2; it is an abstract adaptation available to referencing profiles in order to define their own alert indications
- the LifecycleIndication adaptation (see 7.3.32) models lifecycle indications as described
   in 6.1.2.3; it is an abstract adaptation available to referencing profiles in order to define their
   own lifecycle indications.

# 1027 **7 Implementation**

# 1028 **7.1 Separation of requirements**

1029 This profile defines implementation requirements for implementations (for example, WBEM servers 1030 implementing this profile) and for listeners (for example, WBEM listeners implementing this profile).

1031 The implementation requirements for implementations are further separated into WBEM server related 1032 requirements and referencing profile related requirements, as follows:

- Requirements that address the infrastructure for the delivery of indications (including the management of listener destinations and subscriptions) are WBEM server related requirements, and are typically implemented only once within an implementation.
- Requirements that address the generation of indications are related to the referencing profile defining those indications, and are typically implemented as part of the implementation of that referencing profile.
- Requirements that address functionality related to indication filters and filter collections are referencing profile related requirements.
- 1041However, WBEM servers may contain other facilities allowing implementations of referencing1042profiles to delegate some of their implementation responsibilities to these facilities. For example,1043within WBEM servers providing a CIM instance repository the implementations of referencing1044profiles can delegate storing indication filters and filter collections to the CIM instance1045repository, such that in this case the implementation requirements for referencing profiles are1046effectively reduced to storing respective objects into the repository when the implementation of1047the referencing profile is installed.
- 1048 In this profile WBEM server related implementation requirements are marked with a phrase such as the 1049 following:
- 1050 "The requirements in this subclause are WBEM server related implementation requirements."
- 1051 In this profile referencing profile related implementation requirements are marked with a phrase such as 1052 the following:
- 1053 "The requirements in this subclause are referencing profile related implementation requirements."
- 1054 This facilitates explicit distinction of WBEM server related implementation requirements as opposed to 1055 requirements related to the implementation of referencing profiles.

# 1056 **7.2 Features**

# 1057 **7.2.1 DynamicIndicationFilters**

- 1058 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1059 The implementation of the DynamicIndicationFilters feature provides functionality for dynamic indication 1060 filters; for a description of dynamic indication filters, see 6.2.6.
- 1061 The granularity of the DynamicIndicationFilters feature is per IndicationService instance (see 7.3.2).
- 1062 The requirement level of the DynamicIndicationFilters feature is optional.
- 1063 The implementation of the DynamicIndicationFilters feature for a particular IndicationService instance is 1064 indicated by a value of True for the FilterCreationEnabled property.

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# 1065 7.2.2 IndicationServiceInitialSettingsExposed

- 1066 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1067 The implementation of the IndicationServiceInitialSettingsExposed feature provides information about the 1068 initial settings of an indication service.
- 1069 The granularity of the IndicationServiceInitialSettingsExposed feature is per
- 1070 IndicationService instance (see 7.3.2).
- 1071 The requirement level of the IndicationServiceInitialSettingsExposed feature is optional.
- 1072 The availability of the IndicationServiceInitialSettingsExposed feature for a particular IndicationService
- 1073 instance is indicated by the presence of an IndicationServiceInitialSettings instance (see 7.3.9)
- 1074 associated through an InitialSettingsOfIndicationService instance (see 7.3.10).

# 1075 **7.2.3 IndicationServiceModification**

- 1076 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1077 The implementation of the IndicationServiceModification feature provides functionality for client requested 1078 dynamic modification of an indication service.
- 1079 The granularity of the IndicationServiceModification feature is per IndicationService instance (see 7.3.2).
- 1080 The requirement level of the IndicationServiceModification feature is optional.
- 1081 The availability of the IndicationServiceModification feature for a particular IndicationService instance is
- 1082 indicated if an IndicationServiceCapabilities (see 7.3.7) instance representing the capabilities of the
- 1083 represented indication service exists and is associated via the CapabilitiesOfIndicationService association
- 1084 (see 7.3.8), and in that instance the value True is set for any of the following properties:
- 1085 FilterCreationEnabledIsSettable, DeliveryRetryAttemptsIsSettable, DeliveryRetryIntervalIsSettable,
- 1086 SubscriptionRemovalActionIsSettable, or SubscriptionRemovalTimeIntervalIsSettable.

# 1087 **7.2.4 ReliableIndications**

- 1088 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1089 The implementation of the ReliableIndications feature provides functionality for reliable indications as 1090 described in 6.1.5. For further details, see 7.3.30 and 7.4.
- 1091 The granularity of the ReliableIndications feature is per IndicationService instance (see 7.3.2).
- 1092 The requirement level of the ReliableIndications feature is optional. The implementation of the
- 1093 ReliableIndications feature is also optional for listeners; in this case, the granularity is once per listener, 1094 and the discovery mechanism does not apply.
- 1095 The availability of the ReliableIndications feature for a particular IndicationService instance is indicated by 1096 a value larger than 0 for the DeliveryRetryAttempts property.

# 1097 **7.2.5 SuppressRepeatNotificationPolicy**

- 1098 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1099 The implementation of the SuppressRepeatNotificationPolicy feature provides functionality for
- suppressing repeated indication delivery by implementing the "suppress repeated indication delivery avoidance policy", as described in 6.1.6.3.
- 1102 The granularity of the SuppressRepeatNotificationPolicy feature is per implementation.

1103 The requirement level of the SuppressRepeatNotificationPolicy feature is optional.

1104 The availability of the SuppressRepeatNotificationPolicy feature is indicated by the value 3 (Suppress) for

- the RepeatNotificationPolicy property in AbstractSubscription instances (see 7.3.25) representing existing
- 1106 subscriptions.
- 1107NOTEThe discovery mechanism specified here is only rudimentary because the feature presence can only be<br/>discovered if at least one exploiting subscription is discovered. A future version of this profile is expected<br/>to introduce a new property into the CIM\_IndicationServiceCapabilities class that indicates the presence of<br/>the feature per indication service.

# 1111 **7.2.6 DelayRepeatNotificationPolicy**

- 1112 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- The implementation of the DelayRepeatNotificationPolicy feature provides functionality for suppressing
  repeated indication delivery by implementing the "delayed indication delivery avoidance policy", as
  described in 6.1.6.4.
- 1116 The granularity of the DelayRepeatNotificationPolicy feature is per implementation.
- 1117 The requirement level of the DelayRepeatNotificationPolicy feature is optional.
- 1118 The availability of the DelayRepeatNotificationPolicy feature is indicated by the value 4 (Delay) for the 1119 RepeatNotificationPolicy property in AbstractSubscription instances (see 7.3.25) representing existing 1120 subscriptions.
- 1121NOTEThe discovery mechanism specified here is only rudimentary because the feature presence can only be<br/>discovered if at least one exploiting subscription is discovered. A future version of this profile is expected<br/>to introduce a new property into the CIM\_IndicationServiceCapabilities class that indicates the presence of<br/>the feature per indication service.

# 1125 **7.2.7 IndividualFilterSubscription**

- 1126 The implementation of the IndividualFilterSubscription feature provides functionality for subscriptions to 1127 individual indication filters.
- 1128 The granularity of the IndividualFilterSubscription feature is per IndicationFilter instance (see 7.3.11).
- 1129 The requirement level of the IndividualFilterSubscription feature is optional.
- 1130 The availability of the IndividualFilterSubscription feature for a particular IndicationFilter instance is 1131 indicated by the value True for the IndividualSubscriptionSupported property.

# 1132 **7.2.8 FilterCollectionCoverageExposure**

- 1133 The implementation of the FilterCollectionCoverageExposure feature provides functionality for exposing 1134 the coverage of static filter collections.
- 1135 The granularity of the FilterCollectionCoverageExposure feature is per StaticFilterCollection instance (see 7.3.17).
- 1137 The requirement level of the FilterCollectionCoverageExposure feature is optional.
- 1138 The availability of the FilterCollectionCoverageExposure feature for a particular StaticFilterCollection
- 1139 instance is indicated through at least one instance of either the IndicationFilterInFilterCollection
- association adaptation (see 7.3.19) or the FilterCollectionInFilterCollection association adaptation (see
- 1141 7.3.20) referencing the StaticFilterCollection instance.

#### 1142 **7.2.9 LifeCycleGlobalIndicationFilter**

- 1143 The implementation of the LifeCycleGlobalIndicationFilter feature provides functionality for exposing a 1144 way to listen for a subset of life cycle indications.
- 1145 The granularity of the LifeCycleGlobalIndicationFilter feature is per implementation.
- 1146 The requirement level of the LifeCycleGlobalIndicationFilter feature is optional. Note that referencing 1147 profiles can require the LifeCycleGlobalIndicationFilter feature to be implemented.
- 1148 The availability of the LifeCycleGlobalIndicationFilter feature is indicated through the existence of the 1149 GlobalIndicationFilter (7.3.16) instances defined in 7.3.16.3.2.

#### 1150 **7.2.10 AlertGlobalIndicationFilter**

- 1151 The implementation of the AlertGlobalIndicationFilter feature provides functionality for exposing a way to 1152 listen for a subset of life cycle indications.
- 1153 The granularity of the AlertGlobalIndicationFilter feature is per implementation.
- 1154 The requirement level of the AlertGlobalIndicationFilter feature is optional. Note that referencing profiles 1155 can require the AlertCycleGlobalIndicationFilter feature to be implemented.
- 1156 The availability of the AlertGlobalIndicationFilter feature is indicated through the existence of the
- 1157 GlobalIndicationFilter (7.3.16) instances defined in 7.3.16.3.1.

#### 1158 7.3 Adaptations

#### 1159 **7.3.1 Conventions**

1160 This profile repeats the effective values of certain Boolean qualifiers as part of property requirements, or 1161 of method parameter requirements. The following convention is established: If the name of a qualifier is 1162 listed, its effective value is True; if the qualifier name is not listed, its effective value is False. The 1163 convention is applied in the following cases:

- In: indicates that the parameter is an input parameter
- Out: indicates that the parameter is an output parameter
- Key: indicates that the property is a key (that is, its value is part of the instance part)
- Required: indicates that the element value shall be non-Null
- 1168 This profile defines operation requirements based on <u>DSP0223</u>.
- 1169 For adaptations of ordinary classes and of associations the implementation requirements for operations
- 1170 are specified in adaptation-specific subclauses of 7.3.

# 1171 **7.3.2 IndicationService: CIM\_IndicationService**

#### 1172 7.3.2.1 General

- 1173 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1174 The IndicationService adaptation models indication services; indication services are described in 6.5.2.
- 1175 The implementation type of the IndicationService adaptation is: "instantiated".
- 1176 The IndicationService adaptation shall conform to the requirements for "central classes" defined in the 1177 Profile Registration profile; for details, see <u>DSP1033</u>.

#### 1178 7.3.2.2 Initial behavior

1179 If the IndicationServiceInitialSettingsExposed feature (see 7.2.2) is implemented, the initial behavior of an
indication service shall be as exposed by the IndicationServiceInitialSettings instance (see 7.3.9) that is
associated with the IndicationService instance representing that indication service through an
InitialSettingsOfIndicationService instance (see 7.3.10).

- 1183 If the IndicationServiceInitialSettingsExposed feature (see 7.2.2) is not implemented, then the initial 1184 behavior of the indication service shall be as follows:
- Retry the delivery of an indication after a delivery failure three additional times, each time
   waiting 20 seconds before the retry, and indicate this behavior with a value of 3 for the
   DeliveryRetryAttempts property (see 7.3.2.3.3) and the value 20 for the DeliveryRetryInterval
   property (see 7.3.2.3.4) in the IndicationService instance representing the indication service
- Remove affected subscriptions after 30 days, and indicate this behavior with a value of 2
   (Remove) for the SubscriptionRemovalAction property (see 7.3.2.3.5), and a value of 2,592,000
   seconds (30 days) for the SubscriptionRemovalTimeInterval property (see 7.3.2.3.6) in the
   IndicationService instance representing the indication service
- 1193NOTEWith respect to the availability of DynamicIndicationFilters feature (see 7.2.1) as indicated by the value of<br/>the FilterCreationEnabled property an recommended initial behavior is not established; instead the<br/>implementation is required to always expose the available behavior; see 7.3.2.3.2.

## 1196 7.3.2.3 Element requirements

- 1197 7.3.2.3.1 General
- 1198 Table 4 lists the element requirements for the IndicationService adaptation.
- 1199

## Table 4 – IndicationService: Element requirements

Elements	Requirement	Description
Properties		·
Name	Mandatory	Key: See CIM schema definition.
CreationClassName	Mandatory	Key: See CIM schema definition.
SystemName	Mandatory	Key: See CIM schema definition.
SystemCreationClassName	Mandatory	Key: See CIM schema definition.
FilterCreationEnabled	Mandatory	See 7.3.2.3.2.
DeliveryRetryAttempts	Mandatory	See 7.3.2.3.3.
DeliveryRetryInterval	Mandatory	See 7.3.2.3.4.
SubscriptionRemovalAction	Mandatory	See 7.3.2.3.5.

Elements	Requirement	Description
SubscriptionRemovalTimeInterval	Mandatory	See 7.3.2.3.6.
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .
Associators()	Mandatory	See <u>DSP0223</u> .
AssociatorNames()	Mandatory	See <u>DSP0223</u> .
References()	Mandatory	See <u>DSP0223</u> .
ReferenceNames()	Mandatory	See <u>DSP0223</u> .
ModifyInstance()	Conditional	See 7.3.2.3.7 and <u>DSP0223</u> .

1200 If the ModifyInstance() operation is implemented (see 7.3.2.3.7), the values of some properties might be 1201 modifiable through client requests; see 7.3.7 for details on indicating those properties whose values are

1202 actually modifiable.

#### 1203 **7.3.2.3.2 Property: FilterCreationEnabled**

The value of the FilterCreationEnabled property shall reflect whether the DynamicIndicationFilters feature (see 7.2.1) is available for the IndicationService instance. A value of False indicates that the feature is not available; a value of True indicates that the feature is available.

#### 1207 **7.3.2.3.3 Property: DeliveryRetryAttempts**

1208 The value of the DeliveryRetryAttempts property shall reflect the number of times that the implementation 1209 is going to retry the delivery of an indication to a particular listener in the case of delivery failures. This 1210 value does not include the initial delivery attempt.

1211 A value larger than 0 indicates that the ReliableIndications feature (see 7.2.4) is available. The value 0 1212 indicates that the ReliableIndications feature is not available.

## 1213 7.3.2.3.4 Property: DeliveryRetryInterval

1214 The value of the DeliveryRetryInterval property shall reflect the minimal time interval in seconds that the 1215 implementation waits before delivering an indication to a particular listener destination after a previous 1216 delivery failure.

#### 1217 **7.3.2.3.5 Property: SubscriptionRemovalAction**

1218 The value of the SubscriptionRemovalAction property shall reflect the removal action for subscriptions 1219 after two failed indication deliveries where the time interval between the failed deliveries, without any

1220 intermediate successful indication delivery, exceeds the timeout reflected by the value of the

1221 SubscriptionRemovalTimeInterval property.

#### 1222 **7.3.2.3.6 Property: SubscriptionRemovalTimeInterval**

- 1223 The value of the SubscriptionRemovalTimeInterval property shall reflect the minimum time interval that
- implementations shall wait after two failed indication deliveries without any intermediate successful
- 1225 indication delivery, before performing the activity designated by the value of the
- 1226 SubscriptionRemovalAction property.

#### 1227 7.3.2.3.7 Method: ModifyInstance()

- 1228 The implementation of the ModifyInstance() operation enables clients to modify aspects of the behavior 1229 of the represented indication service.
- 1230 The requirement level of the ModifyInstance() operation is conditional.
- 1231 Condition: The IndicationServiceModification feature is implemented; for a description, see 7.2.3.

1232 Information about which properties are modifiable is provided by an IndicationServiceCapabilities
1233 instance that is associated to the IndicationService instance representing the indication service; see 7.3.7
1234 and 7.3.8.

- Table 5 lists the error reporting requirements for the ModifyInstance() operation on IndicationService instances. If any of the error situations described in the Description column of Table 5 matches, the operation shall fail and the corresponding CIM status code shall be returned. In addition, the error reporting requirements defined in DSP0223 for the ModifyInstance() operation apply.
- 1239

#### Table 5 – ModifyInstance(): Error reporting requirements

Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the behavior requested by the value of the FilterCreationEnabled property in the input IndicationService instance, as described in 7.3.2.3.2.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the behavior requested by the value of the DeliveryRetryAttempts property in the input IndicationService instance, as described in 7.3.2.3.3.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the delivery retry interval requested by the value of the DeliveryRetryInterval property, as described in 7.3.2.3.4.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the subscription removal action requested by the value of the SubscriptionRemovalAction property in the input IndicationService instance, as described in 7.3.2.3.5.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the subscription removal time interval requested by the value of the SubscriptionRemovalTimeInterval property in the input IndicationService instance, as described in 7.3.2.3.6.
CIM_ERR_NOT_SUPPORTED	Mandatory	The IndicationServiceModification feature is not implemented; see 7.2.3 and 7.3.7.
CIM_ERR_FAILED	Mandatory	The IndicationServiceModification feature is not available for the IndicationService instance; see 7.2.3 and 7.3.7.

1240 If the ModifyInstance() operation is successful, the requested modification on the indication service shall
 1241 be applied, and — as a consequence — shall be reflected in all IndicationService instances that

1242 represent the modified indication service and are exposed by the implementation.

1243 If the ModifyInstance() operation fails, the requested modification on the indication service shall not be 1244 applied, and — as a consequence — all IndicationService instances that represent the indication service

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#### 1246 **7.3.2.4** Instance requirements

1247 Within an implementation there shall be exactly one indication service. That indication service shall be 1248 represented by an IndicationService instance in the Interop namespace.

- 1249NOTE 1The reasons for requiring exactly one indication service are a) other elements defined in this profile (such<br/>as subscriptions, listener destinations, or dynamic indication filters) require a relationship to the indication<br/>service, and b) the modeled use of the CreateInstance() operation does not provide for expressing that<br/>required relationship at creation time. For these reasons an indication service. Future versions of this<br/>profile might lift the single instance restriction, for example by modeling respective creation methods with<br/>parameters that enable establishing the required relationship to a specifiable indication service.
- NOTE 2 In some places in this profile multiple indication services are mentioned. This is not meant to lift the restriction established in this subclause, but to accommodate the future introduction of multiple indication services.
- 1259 7.3.3 IndicationSystem: CIM\_System
- 1260 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1261 The IndicationSystem adaptation models indication systems; indication systems are described in 6.6.
- 1262 The implementation type of the IndicationSystem adaptation is: "instantiated".
- 1263 The IndicationSystem adaptation shall conform to the requirements for "scoping classes" defined in the 1264 Profile Registration profile; for details, see <u>DSP1033</u>.
- 1265 Table 6 lists the element requirements of the IndicationSystem adaptation.
- 1266

#### Table 6 – IndicationSystem: Element requirements

Elements	Requirement	Description
Properties		
Name	Mandatory	Key: See CIM schema definition.
CreationClassName	Mandatory	Key: See CIM schema definition.
Operations		
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .
Associators()	Mandatory	See <u>DSP0223</u> .
AssociatorNames()	Mandatory	See <u>DSP0223</u> .
References()	Mandatory	See <u>DSP0223</u> .
ReferenceNames()	Mandatory	See <u>DSP0223</u> .

## 1267 7.3.4 HostedIndicationService: CIM\_HostedService

- 1268 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1269 The HostedIndicationService adaptation models the relationship between an indication service and its 1270 hosting indication system.
- 1271 The implementation type of the HostedIndicationService association adaptation is: "instantiated".
- 1272 Table 7 lists the element requirements for the HostedIndicationService association adaptation.
- 1273

#### Table 7 – HostedIndicationService: Element requirements

Elements	Requirement	Description
Properties		
Antecedent	Mandatory	Key: Value shall reference the IndicationSystem instance Multiplicity: 1
Dependent	Mandatory	Key: Value shall reference the IndicationService instance Multiplicity: 1
Operations	·	
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .

1274 Each IndicationSystem instance (see 7.3.3) shall be associated through a HostedIndicationService

instance with the IndicationService instance (see 7.3.2) representing the indication service hosted by theindication system represented by the IndicationSystem instance.

## 1277 **7.3.5** IndicationsProfileRegistration: CIM\_RegisteredProfile

#### 1278 7.3.5.1 General

1279 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.

1280 The IndicationsProfileRegistration adaptation models the profile registration of this profile, that is, the 1281 representation of the specific implemented version 1.2.2 of this profile.

- 1282 The implementation type of the IndicationsProfileRegistration adaptation is: "instantiated".
- 1283 The specific implemented version of this profile shall be represented by IndicationsProfileRegistration 1284 instances in the Interop namespace.
- 1285NOTEThe existence of an instance of this adaptation indicates that version 1.2.2 of this profile is implemented at<br/>least once within the WBEM server.
- 1287 Table 8 lists the element requirements for the IndicationsProfileRegistration adaptation.

1288

Elements	Requirement	Description
Base adaptations	-	
ProfileRegistration::CIM_RegisteredProfile		The IndicationsProfileRegistration adaptation shall conform to the requirements for the CIM_RegisteredProfile "profile class" defined in the Profile Registration profile; see <u>DSP1033</u> .
Properties		
InstanceID	Mandatory	Key: See CIM schema definition.
RegisteredName	Mandatory	Value shall be "Indications".
RegisteredVersion	Mandatory	Value shall be "1.2.2".
RegisteredOrganization	Mandatory	Value shall be 2 (DMTF).

#### Table 8 – IndicationsProfileRegistration: Element requirements

 1289
 NOTE
 Operation requirements are defined by the base "profile class" CIM\_RegisteredProfile defined in

 1290
 DSP1033.

## 1291 7.3.6 ElementConformsToProfile: CIM\_ElementConformsToProfile

- 1292 The ElementConformsToProfile adaptation models the relationship between an indication service and the 1293 profile registration of this profile (see 7.3.5).
- 1294 The implementation type of the ElementConformsToProfile association adaptation is: "instantiated".
- 1295 Table 9 lists the element requirements for the ElementConformsToProfile association adaptation.
- 1296

#### Table 9 – ElementConformsToProfile: Element requirements

Elements	Requirement	Description
Base adaptations		
Profile Registration::CIM_Element- ConformsToProfile	Mandatory	The ElementConformsToProfile association adaptation shall conform to the requirements for the CIM_ElementConformsToProfile "profile class" defined in the Profile Registration profile; see <u>DSP1033</u> .
Properties		
ConformantStandard	Mandatory	Key: Value shall reference the IndicationsProfileRegistration instance Multiplicity: 1
ManagedElement	Mandatory	Key: Value shall reference the IndicationService instance. Multiplicity: 1
Operations	·	
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .

1297 Each IndicationService instance (see 7.3.2) shall be associated through an ElementConformsToProfile 1298 instance with an IndicationsProfileRegistration instance (see 7.3.5). 1299NOTEBy requiring the implementation of the ElementConformsToProfile adaptation, this profile in fact requires1300the central class profile advertisement methodology defined in DSP1033. The scoping class profile1301advertisement methodology is not applicable because the central instances of implementations of1302referencing profiles will in almost all cases not be identical with the central instance of this profile, that is,1303the IndicationSystem instance required by 7.3.3. Note that this does not restrict referencing profiles from1304choosing a different methodology for their profile advertisement.

#### 1305 7.3.7 IndicationServiceCapabilities: CIM\_IndicationServiceCapabilities

#### 1306 7.3.7.1 General

- 1307 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- The IndicationServiceCapabilities adaptation models the capabilities of indication services; indicationservices are described in 6.5.2.
- 1310 The requirement level of the IndicationServiceCapabilities adaptation is conditional.
- 1311 Condition: The IndicationServiceModification feature is implemented; see 7.2.3.
- 1312 The implementation type of the IndicationServiceCapabilities adaptation is: "instantiated".

#### 1313 7.3.7.2 Element requirements

- 1314 7.3.7.2.1 General
- 1315 Table 10 lists the element requirements for the IndicationServiceCapabilities adaptation.
- 1316

#### Table 10 – IndicationServiceCapabilities: Element requirements

Element	Requirement	Description		
Properties	Properties			
InstanceID	Mandatory	Key: See CIM schema definition.		
FilterCreationEnabledIsSettable	Mandatory	See 7.3.7.2.2		
DeliveryRetryAttemptsIsSettable	Mandatory	Value shall indicate whether the implementation supports modification of the DeliveryRetryAttempts property of the associated IndicationService instance		
DeliveryRetryIntervalIsSettable	Mandatory	Value shall indicate whether the implementation supports modification of the DeliveryRetryInterval property of the associated IndicationService instance		
SubscriptionRemovalActionIsSettable	Mandatory	Value shall indicate whether the implementation supports modification of the SubscriptionRemovalAction property of the associated IndicationService instance		
SubscriptionRemovalTimeIntervalIs- Settable	Mandatory	Value shall indicate whether the implementation supports modification of the SubscriptionRemovalTimeInterval property of the associated IndicationService instance		
MaxListenerDestinations	Mandatory	Value shall indicate the maximum number of listener destinations		
MaxActiveSubscriptions	Mandatory	Value shall indicate the maximum number of active subscriptions		
SubscriptionsPersisted	Mandatory	Value shall indicate whether subscriptions are persisted across restarts of the indication service		

Element	Requirement	Description
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .
Associators()	Mandatory	See <u>DSP0223</u> .
AssociatorNames()	Mandatory	See <u>DSP0223</u> .
References()	Mandatory	See <u>DSP0223</u> .
ReferenceNames()	Mandatory	See <u>DSP0223</u> .

## 1317 7.3.7.2.2 Property: FilterCreationEnabledIsSettable

#### 1318 **DEPRECATED**

- 1319 The value of the FilterCreationEnabledIsSettable property shall indicate whether the implementation
- 1320 supports modification of the FilterCreationEnabled property of the associated IndicationService instance.
- 1321NOTEValues other than False are deprecated because it does not make sense enabling clients to set values of<br/>properties that represent functionality that is either implemented or not implemented.

## 1323 **DEPRECATED**

- 1324 The value of the FilterCreationEnabledIsSettable property should be False, indicating that the
- implementation does not support the modification of the FilterCreationEnabled property of the associatedIndicationService instance.

## 1327 **7.3.8 CapabilitiesOfIndicationService: CIM\_ElementCapabilities**

- 1328 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1329 The CapabilitiesOfIndicationService adaptation models the relationship between an indication service and 1330 its capabilities.
- 1331 The requirement level of the CapabilitiesOfIndicationService adaptation is conditional.
- 1332 Condition: The IndicationServiceModification feature is implemented; see 7.2.3.
- 1333 The implementation type of the CapabilitiesOfIndicationService association adaptation is: "instantiated".
- 1334 Table 11 lists the element requirements for the CapabilitiesOfIndicationService association adaptation.
- 1335

#### Table 11 – CapabilitiesOfIndicationService: Element requirements

Elements	Requirement	Description
Properties		
ManagedElement	Mandatory	Key: Value shall reference the IndicationService instance Multiplicity: 1
Capabilities	Mandatory	Key: Value shall reference the IndicationServiceCapabilities instance Multiplicity: 01
Operations		

Elements	Requirement	Description
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .

Each IndicationService instance (see 7.3.2) shall be associated through a CapabilitiesOfIndicationService instance with at most one IndicationServiceCapabilities instance (see 7.3.7) representing the capabilities

1338 of the indication service represented by the IndicationService instance.

# 1339 **7.3.9 IndicationServiceInitialSettings: CIM\_IndicationServiceSettingData**

1340 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.

The IndicationServiceInitialSettings adaptation models initial settings for indication services; indication services are described in 6.5.2. The initial settings of an indication service are the settings that apply at the point in time when the WBEM server hosting the indication service initially starts up the indication service.

- 1345 The requirement level of the IndicationServiceInitialSettings adaptation is conditional.
- 1346 Condition: The IndicationServiceInitialSettingsExposed feature is implemented; see 7.2.2.
- 1347 The implementation type of the IndicationServiceInitialSettings adaptation is: "instantiated".
- 1348 Table 12 lists the element requirements for the IndicationServiceInitialSettings adaptation.
- 1349

#### Table 12 – IndicationServiceInitialSettings: Element requirements

Elements	Requirement	Description
Properties		
InstanceID	Mandatory	Key: See CIM schema definition.
FilterCreationEnabled	Mandatory	Value shall be the initial value for the FilterCreationEnabled property in the associated IndicationService instance; the requirements of 7.3.2.3.3 apply.
DeliveryRetryAttempts	Mandatory	Value shall be the initial value for the DeliveryRetryAttempts property in the associated IndicationService instance; the requirements of 7.3.2.3.4 apply.
SubscriptionRemovalAction	Mandatory	Value shall be the initial value for the SubscriptionRemovalAction property in the associated IndicationService instance; the requirements of 7.3.2.3.5 apply.

Elements	Requirement	Description
SubscriptionRemovalTimeInterval	Mandatory	Value shall be the initial value for the SubscriptionRemovalTimeInterval property in the associated IndicationService instance; the requirements of 7.3.2.3.5 apply.
SubscriptionRemovalTimeInterval Mandatory		Value shall be the initial value for the SubscriptionRemovalTimeInterval property (see 7.3.2.3.6) in the associated IndicationService instance
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .
Associators()	Mandatory	See <u>DSP0223</u> .
AssociatorNames()	Mandatory	See <u>DSP0223</u> .
References()	Mandatory	See <u>DSP0223</u> .
ReferenceNames()	Mandatory	See <u>DSP0223</u> .

1350 The initial settings of an indication service shall be represented by an IndicationServiceInitialSettings 1351 instance in the Interop namespace.

## 1352 7.3.10 InitialSettingsOfIndicationService: CIM\_ElementSettingData

- 1353 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1354 The InitialSettingsOfIndicationService association adaptation models the relationship between an 1355 indication service and its initial settings; indication services are described in 6.5.2.

1356 The requirement level of the InitialSettingsOfIndicationService association adaptation is conditional.

- 1357 Condition: The IndicationServiceInitialSettingsExposed feature is implemented; see 7.2.2.
- 1358 The implementation type of the InitialSettingsOfIndicationService association adaptation is: "instantiated".
- 1359 Table 13 lists the element requirements for the InitialSettingsOfIndicationService association adaptation.
- 1360

Table 13 – InitialSettingsOfIndicationService: Element requirements

Elements	Requirement	Description
Properties		
ManagedElement	Mandatory	Key: Value shall reference an IndicationService instance Multiplicity: 1
SettingData	Mandatory	Key: Value shall reference the IndicationServiceInitialSettings instance Multiplicity: 01
IsDefault	Mandatory	Value shall be 1 (Is Default)
IsNext Mandatory		Value shall be 1 (Is Next)
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .

Elements	Requirement	Description
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .

1361 Each IndicationService instance (see 7.3.2) shall be associated through a

- 1362 InitialSettingsOfIndicationService instance with at most one IndicationServiceInitialSettings instance (see
- 7.3.9) representing the initial settings of the indication service represented by the IndicationServiceinstance.

## 1365 7.3.11 IndicationFilter: CIM\_IndicationFilter

#### 1366 **7.3.11.1 General**

1375

1376

- 1367 The requirements in this subclause are referencing profile and WBEM server related implementation 1368 requirements.
- 1369 The IndicationFilter adaptation models indication filters; indication filters are described in 6.2.
- 1370 The implementation type of the IndicationFilter adaptation is: "abstract".

#### 1371 7.3.11.2 Semantical requirements

- For a particular indication filter the implementation shall filter any indication generated by (indicationspecific parts of) the implementation that is within the coverage of the indication filter, that is, that meets
  both of the following requirements:
  - it matches the query statement (see 7.3.11.3.5) given by the value of the Query property in the IndicationFilter instance representing the indication filter
- its indication origin (see 6.1.2.4) is one of the local namespaces identified by the value of the
   SourceNamespaces[] array property in that instance, or, in case that value is NULL, is the local
   namespace in which the IndicationFilter instance representing the indication filter resides
- For the particular indication filter the implementation shall ignore any generated indication that does not meet these requirements.
- 1382 Indications that passed an indication filter need to be further processed; see the requirements on the 1383 IndicationFilterName property defined in 7.3.29.4.2, and the semantical requirements on listener
- 1384 destinations defined in 7.3.23.2, and on subscriptions defined in 7.3.25.2. If implemented, the 1385 requirements for reliable indications as defined in 7.3.30 and 7.4 may apply.
- 1386 Note that the indication filter semantics apply regardless of which profile specified the indications and 1387 indication filters; thus an indication specified in one referencing profile is required to be considered by 1388 indication filters specified in that referencing profile, but also by those specified in any other referencing 1389 profile or in this profile and by those not specified in any profile.
- The indication filter semantics defined in this subclause do not require that an implementation implements any of the indications within the coverage of an indication filter. However, referencing profiles may define additional semantics for indication filters they define, including the case that the existence of a particular IndicationFilter instance indicates that one or all indications within the coverage of the represented indication filter are implemented. Of course, this approach is only feasible if the coverage covers one or just a few indications.

#### 1396 **7.3.11.3 Element requirements**

#### 1397 7.3.11.3.1 General

1398 Table 14 lists the element requirements for the IndicationFilter adaptation.

#### 1399

#### Table 14 – IndicationFilter: Element requirements

Elements	Requirement	Description
Properties		
Name	Mandatory	<b>Key</b> : See 7.3.11.3.2.
CreationClassName	Mandatory	Key: See CIM schema definition.
SystemName	Mandatory	Key: See CIM schema definition.
SystemCreationClassName	Mandatory	Key: See CIM schema definition.
SourceNamespaces[]	Mandatory	See 7.3.11.3.3.
IndividualSubscriptionSupported	Mandatory	See 7.3.11.3.4.
Query	Mandatory	See 7.3.11.3.5.
QueryLanguage	Mandatory	See 7.3.11.3.6.
Operations		
Associators()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .
Associators()	Mandatory	See <u>DSP0223</u> .
AssociatorNames()	Mandatory	See <u>DSP0223</u> .
References()	Mandatory	See <u>DSP0223</u> .
ReferenceNames()	Mandatory	See <u>DSP0223</u> .

#### 1401 **7.3.11.3.2 Property: Name**

- 1402 The value of the Name property shall be the name of the indication filter; it shall be formatted as defined 1403 by the following ABNF rule:
- 1404 OrgID ":" RegisteredName ":" UniqueID
- OrgID shall identify the business entity owning the referencing profile. OrgID shall include a copyrighted,
  trademarked, or otherwise unique name that is owned by that business entity or that is a registered ID
  assigned to that business entity by a recognized global authority. In addition, to ensure uniqueness,
  OrgID shall not contain a colon (:). For referencing profiles owned by DMTF, OrgID shall match
  "DMTF".
- RegisteredName shall be the registered name of the referencing profile, as defined by the value of the
   RegisteredName property in the RegisteredProfile instance representing the implemented version of that
   profile.
- 1413 UniqueID shall uniquely identify the represented indication filter within the referencing profile.

#### 1414 **DEPRECATED**

For compatibility with version 1.0 of this profile, referencing profiles owned by business entities other than DMTF may in addition define values for the Name property that are formatted as defined by the following ABNF rule:

1418 OrgID ":" UniqueID

- 1419 Where:
- 1420 OrgID is defined above in this subclause.
- 1421UniqueID shall uniquely identify the instance within the business entity owning the referencing1422profile.
- 1423 Version 1.1 of this profile has deprecated this additional format.

#### 1424 **DEPRECATED**

#### 1425 **7.3.11.3.3 Property: SourceNamespaces**

- A non-Null value of this property is required for IndicationFilter instances in the Interop namespace; for IndicationFilter instances in other namespaces it is optional.
- 1428 If not Null, the value of the SourceNamespaces[] array property shall contain the names of local
- namespaces that are considered as potential indication origin namespaces (see 6.1.2.4) during indication filtering; see 7.3.11.2. The value shall not be an empty array.
- 1431 It is not required that the local namespaces identified by elements of value of the SourceNamespaces[] 1432 array property exist. If a non-existing local namespace is identified, no indications can originate out of that 1433 non-existing namespace; consequently, that element does not have an effect on indication filtering.
- Hard However, if the identified namespace is added to the implementation at a later point in time, per the
- 1435 requirements of 7.3.11.2 indications originating out of that namespace are to be considered for indication
- 1436 filtering from then on.
- 1437 The value elements of the SourceNamespaces[] array property shall be formatted using the format that 1438 the implementation uses for value of the Name property in instances of the CIM\_Namespace class that 1439 represent namespaces.
- 1440 **7.3.11.3.4 Property: IndividualSubscriptionSupported**
- 1441 The value of the IndividualSubscriptionSupported property shall be True if the IndividualFilterSubscription 1442 feature (see 7.2.7) is available for the IndicationFilter instance; otherwise, the value shall be False.

#### 1443 **7.3.11.3.5 Property: Query**

1444 The value of the Query property shall be a properly formed query statement that is conformant to the 1445 requirements of the query language identified by the value of the QueryLanguage property, and that 1446 states the coverage of the indication filter.

#### 1447 **7.3.11.3.6 Property: QueryLanguage**

1448 The value of the QueryLanguage property shall identify the query language in which the query statement 1449 exposed by the value of the Query property is expressed.

1450NOTEThis profile presently does not define a straight forward mechanism enabling clients to discover the set of<br/>query languages supported by an implementation. A future version of this profile is expected to introduce<br/>such a mechanism. For now, a rudimentary workaround may be inspecting the CIM representation of<br/>existing indication filters, thereby discovery a lower boundary for the set of supported query languages.

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#### 1454 **7.3.11.4 Instance requirements**

1455 Indication filters (see 6.2) shall be represented by IndicationFilter instances in the Interop namespace.

1456 The representation in namespaces other than the Interop namespace should be avoided. However, if 1457 additional IndicationFilter instances represent an indication filter also in implementation namespaces,

1458 these instances shall have the same key property values as the one in the Interop namespace.

#### 1459 **7.3.12 StaticIndicationFilter: CIM\_IndicationFilter**

#### 1460 **7.3.12.1 General**

- 1461 The requirements in this subclause are referencing profile and WBEM server related implementation 1462 requirements.
- 1463 The StaticIndicationFilter adaptation models static indication filters; static indication filters are described in 1464 6.2.3.
- 1465 The implementation type of the StaticIndicationFilter adaptation is: "abstract".

#### 1466 **7.3.12.2 Element requirements**

- 1467 **7.3.12.2.1 General**
- 1468 Table 15 lists the element requirements for the StaticIndicationFilter adaptation.
- 1469

Table 15 – StaticIndicationFilter: Element requirements

Elements	Requirement	Description	
Base adaptations			
IndicationFilter	Mandatory	See 7.3.11.	
Properties	·		
QueryLanguage Mandatory		See 7.3.12.2.2.	
Operations			
CreateInstance()	Prohibited	The implementation shall return the CIM status code CIM_ERR_NOT_SUPPORTED.	
DeleteInstance()	Prohibited	The implementation shall return the CIM status code CIM_ERR_NOT_SUPPORTED.	
ModifyInstance()	Prohibited	The implementation shall return the CIM status code CIM_ERR_NOT_SUPPORTED.	

#### 1470 **7.3.12.2.2 Property: QueryLanguage**

1471 In adaptations based on the StaticIndicationFilter adaptation in referencing profiles owned by DMTF, the 1472 value shall be "DMTF:CQL", thereby requiring CQL as the query language.

## 1473 **7.3.13 DynamicIndicationFilter: CIM\_IndicationFilter**

#### 1474 7.3.13.1 General

1475 The requirements in this subclause are WBEM server related implementation requirements.

1476 The DynamicIndicationFilter adaptation models dynamic indication filters; dynamic indication filters are 1477 described in 6.2.6.

- 1478 The requirement level of the DynamicIndicationFilter adaptation is conditional.
- 1479 Condition: The DynamicIndicationFilters feature is implemented; see 7.2.1.
- 1480 The implementation type of the DynamicIndicationFilter adaptation is: "instantiated".

#### 1481 **7.3.13.2 Element requirements**

- 1482 7.3.13.2.1 General
- 1483 Table 16 lists the element requirements for the DynamicIndicationFilter adaptation.
- 1484

#### Table 16 – DynamicIndicationFilter: Element requirements

Elements	Requirement	Description
Base adaptations		
IndicationFilter Mandatory		See 7.3.11.
Operations		
CreateInstance()	Mandatory	See 7.3.13.2.2.
DeleteInstance()	Mandatory	See 7.3.13.2.3.
ModifyInstance()	Optional	See 7.3.13.2.4.

## 1485 7.3.13.2.2 Operation: CreateInstance()

- 1486 Table 17 lists the error reporting requirements for the CreateInstance() operation on
- 1487 DynamicIndicationFilter instances. If any of the error situations described in the Description column of
- 1488 Table 17 matches, the operation shall fail and the corresponding CIM status code shall be returned. In
- addition, the error reporting requirements defined in <u>DSP0223</u> for the CreateInstance() operation apply.
- 1490

Table 17 – CreateInstance(): Error reporting requirements

Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the filter name requested by the value of the Name property, as described in 7.3.11.3.2.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the namespaces requested by the value of the SourceNamespaces[] array property, as described in 7.3.11.3.3. Note that the identified local namespaces do not have to exist.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the query language requested by the value of the QueryLanguage property, as described in 7.3.11.3.6.
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Query property in the embedded CIM_IndicationFilter instance is not a well formed query statement in the implemented subset of the query language expressed by the value of the QueryLanguage property.
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Query property in the embedded CIM_IndicationFilter instance covers lifecycle indications, but does not contain a WHERE clause.

Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the behavior requested by the value of the Query property, as described in 7.3.11.3.5.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the behavior requested by the value of the IndividualSubscriptionSupported property, as described in 7.3.11.3.4.
CIM_ERR_FAILED	Mandatory	The implementation is unable to create the requested dynamic indication filter for other unspecified reasons.

If the CreateInstance() operation is successful, the requested dynamic indication filter shall be created,
 and — as a consequence — shall be represented by a DynamicIndicationFilter instance in the requested
 namespace.

1494 Clients should abstain from requesting the creation of DynamicIndicationFilter instances in namespaces 1495 other than the Interop namespace. However, if the requested namespace is not the Interop namespace, 1496 the implementation shall expose an additional DynamicIndicationFilter instance representing the dynamic 1497 indication filter in the Interop namespace. That instance shall have identical values for all properties 1498 except for the SourceNamespaces[] array property for which the provisions of 7.3.11.3.3 apply.

1499 If the CreateInstance() operation is fails, no dynamic indication filter shall be created, and — as a 1500 consequence — no representing DynamicIndicationFilter instances shall be exposed in any namespace.

## 1501 DEPRECATED

1502 If the returned CIM status code is CIM\_ERR\_FAILED because an indication filter with the same coverage 1503 as that requested already exists, the object path of the CIM\_IndicationFilter instance representing the 1504 existing indication filter in the Interop namespace shall be returned as the value of the ErrorSource 1505 property in the CIM\_Error instance accompanying the CIM status code.

1506NOTEOnly this specific ad-hoc use of CIM\_Error is deprecated. It is intended that a future version of this profile1507introduces extended error handling based on standard error messages.

## 1508 DEPRECATED

1509 With respect to input values for key properties the rules defined in <u>DSP1001</u> apply, namely that

1510 implementation may ignore any input value for non-reference key properties, and that clients should 1511 abstain from providing input values for key properties.

## 1512 7.3.13.2.3 Operation: DeleteInstance()

- 1513 Table 18 lists the error reporting requirements for the DeleteInstance() operation on
- 1514 DynamicIndicationFilter instances, and related CIM status codes. If any of the error situations described
- 1515 in the Description column of Table 18 matches, the operation shall fail and the corresponding CIM status
- 1516 code shall be returned. In addition, the error reporting requirements defined in <u>DSP0223</u> for the
- 1517 DeleteInstance() operation apply.
- 1518

#### Table 18 – DeleteInstance(): Error reporting requirements

Reporting mechanism	Requirement level	Description
CIM_ERR_FAILED	Mandatory	The represented dynamic indication filter is referenced by subscription(s).

1519 If the DeleteInstance() operation succeeds, the represented dynamic indication filter shall be deleted and 1520 — as a consequence — no longer be represented by any DynamicIndicationFilter instances in any

- 1521 namespace exposed by the implementation.
- 1522NOTEThe instance requirements of associations representing relationships of the deleted dynamic indication1523filter imply that respective association instances in any namespace exposed by the implementation cease1524to exist; in this case this applies to IndicationServiceOfIndicationFilter instances (see 7.3.14). However,1525note that the DeleteInstance() operation for the dynamic indication filter is required to fail if subscriptions1526exist.

1527 If the DeleteInstance() operation fails, the dynamic indication filter shall not be deleted, and — as a

# 1528 consequence — any representing DynamicIndicationFilter instances shall continue to exist as before.

# 1529 7.3.13.2.4 Operation: ModifyInstance()

- 1530 The implementation of the ModifyInstance() operation enables clients to modify aspects of the behavior 1531 of the represented indication filter.
- 1532 The requirement level of the ModifyInstance() operation is optional.
- 1533 Table 19 lists the error reporting requirements for the ModifyInstance() operation on

1534 DynamicIndicationFilter instances. If any of the error situations described in the Description column of

1535 Table 19 matches, the operation shall fail and the corresponding CIM status code shall be returned. In

addition, the error reporting requirements defined in <u>DSP0223</u> for the ModifyInstance() operation apply.

1537

## Table 19 – ModifyInstance(): Error reporting requirements

Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the query language requested by the value of the Name property, as described in 7.3.11.3.2.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the query language requested by the value of the SourceNamespaces[] array property, as described in 7.3.11.3.3. Note that the identified local namespaces do not have to exist.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the query language requested by the value of the QueryLanguage property, as described in 7.3.11.3.6.
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Query property in the embedded CIM_IndicationFilter instance is not a well formed query statement in the query language expressed by the value of the QueryLanguage property.
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Query property in the embedded CIM_IndicationFilter instance covers lifecycle indications, but does not contain a WHERE clause.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the behavior requested by the value of the Query property, as described in 7.3.11.3.5.
CIM_ERR_INVALID_PARAMETER	Mandatory	The implementation is unable to support the behavior requested by the value of the IndividualSubscriptionSupported property, as described in 7.3.11.3.4.
CIM_ERR_FAILED	Mandatory	The implementation is unable to apply the requested changes on the dynamic indication filter for other unspecified reasons.

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- 1538 If the ModifyInstance() operation is successful, the requested modification on the dynamic indication filter
- 1539 shall be applied, and — as a consequence — shall be reflected in all DynamicIndicationFilter instances 1540 that represent the modified dynamic indication filter and are exposed by the implementation.
- If the ModifyInstance() operation is fails, the requested modification on the dynamic indication filter shall 1541
- 1542 not be applied, and — as a consequence — all DynamicIndicationFilter instances that represent the 1543
- dynamic indication filter shall remain unchanged.

#### 1544 7.3.13.3 Instance requirements

1545 Dynamic indication filters shall be represented by DynamicIndicationFilter instances; the additional 1546 requirements of 7.3.11.4 apply.

#### 7.3.14 IndicationServiceOfIndicationFilter: CIM ServiceAffectsElement 1547

- The requirements in this subclause are referencing profile and WBEM server related implementation 1548 1549 requirements.
- 1550 The IndicationServiceOfIndicationFilter adaptation models the relationship between indication services 1551 and the indication filters they manage.
- 1552 The implementation type of the IndicationServiceOfIndicationFilter association adaptation is: "instantiated". 1553
- 1554 Table 20 lists the element requirements for the IndicationServiceOfIndicationFilter association adaptation.
- 1555

Table 20 – IndicationServiceOfIndicationFilter: Element requirements

Elements	Requirement	Description
Properties		
AffectingElement	Mandatory	<b>Key</b> : Value shall reference the IndicationService instance <b>Multiplicity</b> : 1
AffectedElement	Mandatory	Key: Value shall reference an IndicationFilter instance Multiplicity: *
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .

- 1556 Each IndicationService instance (see 7.3.2) shall be associated through an
- 1557 IndicationServiceOfIndicationFilter instance with each IndicationFilter instance (see 7.3.11) representing
- 1558 an indication filter managed by the indication service represented by the IndicationService instance.

#### 7.3.15 IndicationSpecificIndicationFilter: CIM\_IndicationFilter 1559

#### 7.3.15.1 General 1560

- 1561 The requirements in this subclause are referencing profile and WBEM server related implementation 1562 requirements.
- 1563 The IndicationSpecificIndicationFilter adaptation models indication-specific indication filters for indications 1564 defined in referencing profiles or in this profile; indication-specific indication filters are described in 6.2.4.

- 1565 The requirement level of the IndicationSpecificIndicationFilter adaptation is optional.
- 1566 The IndicationSpecificIndicationFilter adaptation should be implemented if indications defined in a 1567 referencing profile or in this profile are implemented.
- 1568 The implementation type of the IndicationSpecificIndicationFilter adaptation is: "instantiated".

#### 1569 7.3.15.2 Element requirements

- 1570 **7.3.15.2.1 General**
- 1571 Table 21 lists the element requirements for the IndicationSpecificIndicationFilter adaptation.
- 1572

#### Table 21 – IndicationSpecificIndicationFilter: Element requirements

Element	Requirement	Description		
Base adaptations				
StaticIndicationFilter	Mandatory	See 7.3.12.		
Properties	Properties			
Name	Mandatory	See 7.3.15.2.2.		
Query	Mandatory	See 7.3.15.2.3.		

#### 1573 7.3.15.2.2 Property: Name

- 1574 The value of the Name property shall be formatted as defined by the following ABNF rule:
- 1575 OrgID ":" RegisteredName ":" IndicationAdaptationName "Filter" [ "/"
  1576 MessageIdentification ]
- 1577 OrgID and RegisteredName shall be specified as detailed in 7.3.11.3.2.
- 1578 IndicationAdaptationName shall be the name of the indication adaptation defined in the profile
   1579 identified by the RegisteredName rule. If the indication adaptation defines more than one possible
   1580 indication.
- The MessageIdentification suffix only applies for the representation of indication-specific indication filters covering alert indications modeled by an adaptation based on the AlertIndication adaptation (see 7.3.31); in this case for each alert indication defined by an alert message reference in the profile, a specific IndicationSpecificIndicationFilter instance is defined, where MessageIdentification shall be set as defined in 7.3.31.2 for the CIM representation of the alert indication. Thus, for alert indications, there is a one-to-one relationship between defined referenced alert messages and possible corresponding IndicationSpecificIndicationFilter instances.
- For lifecycle indications the suffix is not necessary because adaptations based on the LifecycleIndication adaptation (see 7.3.32) only can address one event, as defined by a (constant) query statement. Thus, for lifecycle indications, there is a one-to-one relationship between defined lifecycle indications and possible corresponding IndicationSpecificIndicationFilter instances.

## 1592 **7.3.15.2.3 Property: Query**

The value of the Query property shall be identical with the event definition query statement (see 7.3.29.2)
of the indication adaptation defined in the referencing profile or in this profile that is covered by the
represented indication-specific indication filter. In the case IndicationSpecificIndicationFilter instances
covering alert indications modeled by an adaptation based on the AlertIndication adaptation, the value of

56

- 1597 the Query property shall apply the ABNF rule named EventQuerySingle (see 7.3.31.2); that way for
- alert indication adaptation referencing more than one alert message, separate
- 1599 IndicationSpecificIndicationFilter instances are defined for each referenced alert message.

#### 1600 7.3.15.3 Instance requirements

- 1601 If a profile defines an indication adaptation based on the AlertIndication adaptation (see 7.3.31) or the
   1602 Lifecycle adaptation (see 7.3.32), a corresponding indication-specific indication filter may be represented
   1603 by an IndicationSpecificIndicationFilter instance, with respective values of the Name and Query
   1604 properties.
- 1605NOTEAs with any indication filter (see 6.2.1), the existence of an indication-specific indication filter and its1606representation by an IndicationSpecificIndicationFilter instance does not imply that the covered indication1607is actually implemented. Furthermore, in the case where multiple implementations of the referencing profile1608exist in a WBEM server, multiple IndicationSpecificIndicationFilter instances with identical values for Name1609and Query properties may result.
- 1610 This profile leaves the decision whether or not to represent indication-specific indication filters as
- 1611 IndicationSpecificIndicationFilter instances to the implementation; however, referencing profiles can
- 1612 define an adaptation based on IndicationSpecificIndicationFilter adaptation that state more strict instance 1613 requirements.
- 1614 In any case, if an implementation decides to represent indication-specific indication filters, these are to be
- represented as required by the IndicationSpecificIndicationFilter adaptation. In addition, the requirements
- 1616 of related adaptations such as the ProfileSpecificFilterCollection adaptation (see 7.3.21) or the
- 1617 IndicationFilterInFilterCollection associations adaptation (see 7.3.19) apply.

#### 1618 **7.3.16 GlobalIndicationFilter: CIM\_IndicationFilter**

- 1619 **7.3.16.1 General**
- 1620 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 1621 The GlobalIndicationFilter adaptation models global indication filters; global indication filters are described 1622 in 6.2.5.
- 1623 The requirement level of the GlobalIndicationFilter adaptation is conditional.
- 1624 Condition: The LifeCycleGlobalIndicationFilter feature (see 7.2.9) or the AlertGlobalIndicationFilter feature (see 7.2.10) is implemented.
- 1626 The implementation type of the GlobalIndicationFilter adaptation is: "instantiated".

#### 1627 7.3.16.2 Element requirements

- 1628 Table 22 lists the element requirements for the GlobalIndicationFilter adaptation.
- 1629

#### Table 22 – GlobalIndicationFilter: Element requirements

Element	Requirement	Description
Base adaptations		
StaticIndicationFilter	Mandatory	See 7.3.12.

#### 1630 7.3.16.3 Instance requirements

#### 1631 **7.3.16.3.1 Instance requirements related to alert indications**

- 1632 Table 23 lists the property value requirements for GlobalIndicationFilter instances covering all alert 1633 indications.
- 1634 1635

# Table 23 – GlobalIndicationFilter: Instance requirements for instances covering all alert indications

Value of Name property	Value of Query property
"DMTF:Indications:GlobalAlertIndicationFilter"	"SELECT * FROM CIM_AlertIndication"

1636 The requirement level of the instance requirements related to alert indications is conditional.

1637 Condition: The AlertGlobalIndicationFilter feature is implemented; see 7.2.10.

#### 1638 7.3.16.3.2 Instance requirements related to lifecycle indications

1639 Table 24 lists the property value requirements for GlobalIndicationFilter instances covering all lifecycle 1640 indications of a particular subtype.

# 1641Table 24 – GlobalIndicationFilter: Instance requirements for instances covering all lifecycle1642indications

Value of Name property	Value of Query property
"DMTF:Indications:GlobalInstCreationIndicationFilter"	"SELECT * FROM CIM_InstCreation"
"DMTF:Indications:GlobalInstDeletionIndicationFilter"	"SELECT * FROM CIM_InstDeletion"
"DMTF:Indications:GlobalInstModificationIndicationFilter"	"SELECT * FROM CIM_InstModification"

- 1643 The requirement level of the instance requirements related to lifecycle inications is conditional.
- 1644 Condition: The LifeCycleGlobalIndicationFilter feature is implemented; see 7.2.9.

# 1645 **7.3.17 StaticFilterCollection: CIM\_FilterCollection**

- 1646 **7.3.17.1 General**
- 1647 The requirements in this subclause are referencing profile and WBEM server related implementation 1648 requirements.
- 1649 The StaticFilterCollection adaptation models static filter collections; static filter collections are described in 1650 6.3.
- 1651 The implementation type of the StaticFilterCollection adaptation is: "abstract".

## 1652 **7.3.17.2 Semantical requirements**

- 1653 The coverage of a filter collection shall be the aggregated coverage of all the indication gates contained 1654 by the filter collection. This definition applies recursively to contained filter collections.
- 1655NOTESince filter collections aggregate the coverages of contained indication filters and contained other filter1656collections, and do not specify a filter query statement on their own, the defined coverage of a static filter1657collection is finally described by the set of query statements of its (directly or indirectly) aggregated1658indication filters.

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- 1659 The implementation shall filter all indications generated by (indication-specific parts of) the 1660 implementation that are within the coverage of a filter collection.
- 1661 The implementation shall ignore any generated indication that is outside the coverage of the filter 1662 collection.

1663 If a particular indication is within the coverage of more than one indication gate contained by a filter 1664 collection, that indication shall pass the filter collection only once, and shall not be replicated for every 1665 matching contained indication gate.

1666 Indications that passed a filter collection need to be further processed; see the requirements on the 1667 IndicationFilterName property defined in 7.3.29.4.2, and the semantical requirements on listener 1668 destinations defined in 7.3.23.2, and on subscriptions defined in 7.3.25.2. If implemented, the

requirements for reliable indications as defined in 7.3.30 and 7.4 may apply.

1670 These semantics apply regardless of whether all, some or no contained indication gates are represented as collection members in CIM. Thus clients and listeners need to be aware of the fact that the coverage of 1671 a static filter collection may be larger than that observable through inspection of CIM represented 1672 1673 members of that static filter collection. In other words, indications could be delivered to subscribed 1674 listeners that are within the coverage of members of the static filter collection that are not currently represented in CIM; in the extreme case no members at all are CIM represented. On the other hand, 1675 1676 even if the coverage of a static filter collection is not represented through CIM, clients may have a priori 1677 knowledge about the defined coverage of that static filter collection, for example by means of built-in 1678 program code or data; see 7.3.17.3.

1679<br/>1680NOTEDuring runtime, the set of members of a static filter collection and the extent to which such members are<br/>represented in CIM may change. For example, consider the global filter collection with a defined coverage<br/>covering all alert indications defined in referencing profiles, as defined in 7.3.22.4.1. Its member set might<br/>grow or shrink over time as implementations of referencing profiles are installed in or removed from the<br/>implementation; however, the conceptual defined coverage of "all alert indications defined in referencing<br/>profile" remains constant.

## 1685 **7.3.17.3 Requirements pertaining to the defined coverage**

For concrete adaptations based (directly or indirectly) on the StaticFilterCollection adaptation, profiles
 shall specify a defined coverage (see 6.3.3.3) through normative text that identifies indication filters
 and/or other filter collections as the *contained members* of the static filter collection, and thereby —
 because of 7.3.17.2 — as contributors to the coverage of the static filter collection.

- 1690NOTEIf in a chain of (abstract and concrete) adaptations based on the StaticFilterCollection adaptation the<br/>defined coverage is defined as part of an intermediate (abstract or concrete) adaptation, that definition<br/>propagates into adaptations (directly or indirectly) based on that intermediate adaptation.
- 1693 The defined coverage or a static filter collection always applies regardless of whether any members are 1694 represented in CIM. For contained static filter collections the specification of a defined coverage is 1695 likewise required.
- 1696 The definition of the defined coverage may be specified at the level of adaptations, or may be broken 1697 down to individual adaptation instances, or both.
- 1698 For examples of how to specify a defined coverage, see 7.3.21.3 and 7.3.22.
- 1699 **7.3.17.4 Element requirements**
- 1700 **7.3.17.4.1 General**
- 1701 Table 25 lists the element requirements for the StaticFilterCollection adaptation.

#### 1702

#### Table 25 – StaticFilterCollection: Element requirements

Element	Requirement	Description
Properties		
InstanceID	Mandatory	Key: See CIM schema definition.
CollectionName	Mandatory	See 7.3.17.4.2.
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .
Associators()	Mandatory	See <u>DSP0223</u> .
AssociatorNames()	Mandatory	See <u>DSP0223</u> .
References()	Mandatory	See <u>DSP0223</u> .
ReferenceNames()	Mandatory	See <u>DSP0223</u> .

#### 1703 **7.3.17.4.2 Property: CollectionName**

- 1704 The value of the CollectionName property shall be formatted as defined by the following ABNF rule:
- 1705 OrgID ":" RegisteredName ":" UniqueID

OrgID shall identify the business entity owning the referencing profile. OrgID shall include a copyrighted,
trademarked, or otherwise unique name that is owned by that business entity or that is a registered ID
assigned to that business entity by a recognized global authority. In addition, to ensure uniqueness,
OrgID shall not contain a colon (:).

1710 For referencing profiles owned by DMTF, OrgID shall match "DMTF".

1711 RegisteredName shall be the registered name of the referencing profile, as defined by the value of the 1712 RegisteredName property in the RegisteredProfile instance representing the implemented version of the

- 1713 referencing profile.
- 1714 UniqueID shall uniquely identify the instance within the implementation of the referencing profile.

#### 1715 **DEPRECATED**

- For compatibility with version 1.0 of this profile, referencing profiles owned by business entities other than DMTF may in addition define values for the CollectionName property that are formatted as defined by the following ABNF rule:
- The following Abrillian.
- 1719 OrgID ":" UniqueID
- 1720 Where:
- 1721 OrgID is defined above in this subclause.
- 1722UniqueID shall uniquely identify the instance within the business entity owning the referencing1723profile.
- 1724 Version 1.1 of this profile has deprecated this additional format.

## 1725 **DEPRECATED**

#### 1726 7.3.17.5 Instance requirements

- 1727 Static filter collections (see 6.3.3) shall be represented by StaticFilterCollection instances in the Interop 1728 namespace.
- 1729 The representation in namespaces other than the Interop namespace should be avoided. However, if
- additional StaticFilterCollection instances represent a static filter collection in implementation
- 1731 namespaces, these StaticFilterCollection instances shall have the same key property values as the one in 1732 the Interop namespace.
- 1733 If the FilterCollectionCoverageExposure feature (see 7.2.8) is available for a particular
- 1734 StaticFilterCollection instance, the contained members of the represented static filter collection (see
- 1735 7.3.17.3), and their containment relationship to the static filter collection are required to be represented in
- 1736 CIM; see 7.3.12 for the representation of contained static indication filters, see 7.3.17 for the
- representation of contained static filter collections, and see 7.3.19 and 7.3.20 for the representation of the containment relationship.

## 1739 **7.3.18 IndicationServiceOfFilterCollection: CIM\_OwningCollectionElement**

- 1740 The requirements in this subclause are referencing profile and WBEM server related implementation 1741 requirements.
- 1742 The IndicationServiceOfFilterCollection adaptation models the relationship between a filter collection and 1743 the indication service that owns the filter collection.
- 1744 The implementation type of the IndicationServiceOfFilterCollection association adaptation is: 1745 "instantiated".
- 1746 Table 26 lists the element requirements for the IndicationServiceOfFilterCollection adaptation.
- 1747

#### Table 26 – IndicationServiceOfFilterCollection: Element requirements

Elements	Requirement	Description
Properties		
OwningElement	Mandatory	Key: Value shall reference the IndicationService instance Multiplicity: 1
OwnedElement	Mandatory	Key: Value shall reference the StaticFilterCollection instance Multiplicity: *
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .

- 1748 Each IndicationService instance (see 7.3.2.4) shall be associated through an
- 1749 IndicationServiceOfFilterCollection instance to every StaticFilterCollection instance (see 7.3.17)
- 1750 representing a static filter collection managed by the indication service represented by the
- 1751 IndicationService instance.

## 1752 **7.3.19 IndicationFilterInFilterCollection: CIM\_MemberOfCollection**

- 1753 The IndicationFilterInFilterCollection adaptation models the relationship between a filter collection and its 1754 contained indication filters.
- 1755 The requirement level of the IndicationFilterInFilterCollection adaptation is conditional.
- 1756 Condition: The FilterCollectionCoverageExposure feature (see 7.2.8) is implemented.
- 1757 The implementation type of the IndicationFilterInFilterCollection association adaptation is: "instantiated".
- 1758 Table 27 lists the element requirements for the IndicationFilterInFilterCollection adaptation.
- 1759

 Table 27 – IndicationFilterInFilterCollection: Element requirements

Elements	Requirement	Description
Properties		
Collection	Mandatory	Key: Value shall reference a StaticFilterCollection instance representing a filter collection containing indication filters Multiplicity: *
Member	Mandatory	Key: Value shall reference an StaticIndicationFilter instance representing a contained static indication filter Multiplicity: *
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .

1760 Each StaticFilterCollection (see 7.3.17) instance shall be associated through an

1761 IndicationFilterInFilterCollection instance with each of the IndicationFilter (see 7.3.11) instances

1762 representing contained indication filters.

## 1763 **7.3.20 FilterCollectionInFilterCollection: CIM\_MemberOfCollection**

- 1764 The requirements in this subclause are referencing profile and WBEM server related implementation 1765 requirements.
- 1766 The FilterCollectionInFilterCollection adaptation models the relationship between a filter collection and its 1767 contained other filter collections.
- 1768 The requirement level of the FilterCollectionInFilterCollection adaptation is conditional.
- 1769 Condition: All of the following:
- The static filter collections in the managed environment are capable of containing other static filter collections
- The FilterCollectionCoverageExposure feature (see 7.2.8) is implemented.
- 1773 The implementation type of the FilterCollectionInFilterCollection association adaptation is: "instantiated".
- 1774 Table 28 lists the element requirements for the FilterCollectionInFilterCollection adaptation.

1775

Elements	Requirement	Description
Properties		
Collection	Mandatory	Key: Value shall reference a StaticFilterCollection instance representing a filter collection containing other filter collections Multiplicity: *
Member	Mandatory	Key: Value shall reference a StaticFilterCollection instance representing a contained filter collection Multiplicity: *
Operations	·	
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .

#### Table 28 – FilterCollectionInFilterCollection: Element requirements

1776 Each StaticFilterCollection instance (see 7.3.17) representing a static filter collection that contains other

1777 static filter collections shall be associated through a FilterCollectionInFilterCollection instance with each of

the StaticFilterCollection instances (see 7.3.17) representing a contained static filter collection.

# 1779 **7.3.21 ProfileSpecificFilterCollection: CIM\_FilterCollection**

#### 1780 **7.3.21.1 General**

1781 The requirements in this subclause are referencing profile and WBEM server related implementation 1782 requirements.

1783 The ProfileSpecificFilterCollection adaptation models profile-specific filter collections; profile-specific filter 1784 collections are described in 6.3.3.4.

- 1785 The requirement level of the ProfileSpecificFilterCollection adaptation is optional.
- 1786 The ProfileSpecificFilterCollection adaptation should be implemented.
- 1787 The implementation type of the ProfileSpecificFilterCollection adaptation is: "instantiated".

#### 1788 7.3.21.2 Element requirements

- 1789 **7.3.21.2.1 General**
- 1790 Table 29 lists the element requirements for the ProfileSpecificFilterCollection adaptation.
- 1791

#### Table 29 – ProfileSpecificFilterCollection: Element requirements

Element	Requirement	Description
Base adaptations		
StaticFilterCollection	Mandatory	See 7.3.17.
Properties		
CollectionName	Mandatory	See 7.3.21.2.2.

#### 1792 7.3.21.2.2 Property: CollectionName

- 1793 The value of the CollectionName property shall be formatted as defined by the following ABNF rule:
- 1794 OrgID ":" RegisteredName ":"
- 1795 "ProfileSpecified" Type "IndicationFilterCollection"
- 1796 OrgID and RegisteredName shall be specified as detailed in 7.3.17.4.2.

Type shall be "Alert" in case the represented profile-specific filter collection covers all alert indications,
 and shall be "Lifecycle" in case the represented profile-specific filter collection covers all lifecycle
 indications defined in the referencing profile identified by RegisteredName.

- 1800NOTEThis requirement does not preclude more than one instance in the Interop namespace from having<br/>identical values for the CollectionName property, because, for example, the referencing profile could be<br/>implemented more than once.
- 1803 **7.3.21.3 Requirements pertaining to the defined coverage**
- 1804 Requirements pertaining to the defined coverage are specified on a per instance basis; see 7.3.21.4 1805 and 7.3.21.4.2.
- 1806 **7.3.21.4 Instance requirements**
- 18077.3.21.4.1 Instance requirements for profile-specific filter collections covering all alert indications1808specified in a profile
- 1809 If and only if a referencing profile defines alert indications, the implementation may expose a
- 1810 ProfileSpecificFilterCollection instance in the Interop namespace that covers all alert indications defined 1811 in that profile. The element requirements defined in 7.3.21.2 apply.
- 1812NOTEThe existence of that ProfileSpecificFilterCollection instance does not imply that any alert indications are<br/>actually implemented. Furthermore, in the case where multiple implementations of the referencing profile<br/>exist in a WBEM server, multiple ProfileSpecificFilterCollection instances may result.
- 1815 The members of a profile-specific filter collection covering all alert indications defined in a referencing
- 1816 profile shall be all indication-specific indication filters covering the alert indications defined in that
- referencing profile; see 7.3.15. This definition in effect defines the defined coverage as all alert indications defined in the referencing profile.
- 1819NOTEFor existing ProfileSpecificFilterCollection instances the instance requirements of association instances1820representing relationships of the represented profile-specific filter collection apply; for example, see 7.3.18,18217.3.19 or 7.3.20.

# 18227.3.21.4.2Instance requirements for profile-specific filter collections covering all lifecycle1823indications specified in a profile

- 1824 If and only if a referencing profile defines lifecycle indications, the implementation may expose a
  1825 ProfileSpecificFilterCollection instance in the Interop namespace that covers all lifecycle indications
  1826 defined in that profile. The element requirements defined in 7.3.21.2 apply.
- 1827NOTEThe existence of such a ProfileSpecificFilterCollection instance does not imply that any lifecycle indications<br/>are actually implemented. Furthermore, in the case where multiple implementations of the referencing<br/>profile exist in a WBEM server, multiple ProfileSpecificFilterCollection instances may result.
- 1830 The members of a profile-specific filter collection covering all lifecycle indications defined in a referencing 1831 profile shall be all indication-specific indication filters covering the lifecycle indications defined in that 1832 referencing profile or in this profile; see 7.3.15. This definition in effect defines the defined coverage as all 1833 lifecycle indications defined in the referencing profile.
- 1833 lifecycle indications defined in the referencing profile.

- 1834NOTEFor existing ProfileSpecificFilterCollection instances the instance requirements of association instances1835representing relationships of the represented profile-specific filter collection apply; for example, see 7.3.18,18367.3.19 or 7.3.20.
- 1837 The requirements specified in this subclause for lifecycle indications defined in referencing profiles shall 1838 also apply for the lifecycle indications defined in this profile; see 7.3.33 and 7.3.34.

## 1839 **7.3.22** GlobalFilterCollection: CIM\_FilterCollection

- 1840 **7.3.22.1 General**
- 1841 The requirements in this subclause are referencing profile and WBEM server related implementation 1842 requirements; see 7.1.
- 1843 The GlobalFilterCollection adaptation models global filter collection; global filter collections are described 1844 in 6.3.3.5.
- 1845 The implementation type of the GlobalFilterCollection adaptation is: "instantiated".

#### 1846 **7.3.22.2 Element requirements**

- 1847 Table 30 lists the element requirements for the GlobalFilterCollection adaptation.
- 1848

#### Table 30 – GlobalFilterCollection: Element requirements

Element	Requirement	Description
Base adaptations		
StaticFilterCollection	Mandatory	See 7.3.17.

#### 1849 **7.3.22.3** Requirements pertaining to the defined coverage

1850 Requirements pertaining to the defined coverage are specified on a per instance basis; see 7.3.22.4.1,
1851 7.3.22.4.2, 7.3.22.4.3 and 7.3.22.4.4.

#### 1852 **7.3.22.4 Instance requirements**

# 18537.3.22.4.1 Instance requirements for the global filter collection covering all alert indications1854specified in profiles

1855 If any alert indications specified in referencing profiles or in this profile are implemented, the 1856 implementation may expose a GlobalFilterCollection instance in the Interop namespace that covers all 1857 alert indications defined in profiles. In implementations where it is not possible to determine whether alert 1858 indications specified in referencing profiles are implemented, the instance may be exposed if the delivery 1859 of alert indications is implemented in general.

- 1860 In the GlobalFilterCollection instance the value of the CollectionName property shall be as defined by the1861 following ABNF rule:
- 1862 "DMTF:Indications:"
  1863 "GlobalProfileSpecifiedAlertIndicationFilterCollection".
- 1864 In this case the members of the represented global filter collection shall be all profile-specific filter

1865 collections covering the alert indications defined in any implemented referencing profile or in this profile;

1866 see 7.3.21.4. This definition in effect specifies the defined coverage as all alert indications defined in

referencing profiles and in this profile; if instantiated by an implementation, the coverage would be all implemented alert indications out of that set.

1869 NOTE For existing GlobalFilterCollection instances the instance requirements of association instances
 1870 representing relationships of the represented global filter collection apply; for example, see 7.3.18, 7.3.19
 1871 or 7.3.20.

# 18727.3.22.4.2 Instance requirements for the global filter collection covering all lifecycle indications1873specified in profiles

1874 If any lifecycle indications specified in referencing profiles or in this profile are implemented, the 1875 implementation may expose a GlobalFilterCollection instance in the Interop namespace that covers all 1876 lifecycle indications defined in profiles. In implementations where it is not possible to determine whether 1877 lifecycle indications specified in referencing profiles are implemented, the instance may be exposed if the 1878 delivery of lifecycle indications is implemented in general.

- 1879 In GlobalFilterCollection instance the value of the CollectionName property shall be as defined by the 1880 following ABNF rule:
- 1881 "DMTF:Indications:"

1882 "GlobalProfileSpecifiedLifecycleIndicationFilterCollection".

1883 The members of the represented global filter collection shall be all profile-specific filter collections 1884 covering the lifecycle indications defined in any implemented referencing profile or in this profile; see 1885 7.3.21.4.2. This definition in effect specifies the defined coverage as all lifecycle indications defined in 1886 referencing profiles and in this profile; if instantiated by an implementation, the coverage would be all 1887 implemented lifecycle indications out of that set.

1888NOTEFor existing GlobalFilterCollection instances the instance requirements of association instances1889representing relationships of the represented global filter collection apply; for example, see 7.3.18, 7.3.191890or 7.3.20.

# 1891**7.3.22.4.3** Instance requirements for the global filter collection covering all indications specified1892in profiles

- 1893 If any indications specified in referencing profiles or in this profile are implemented, the implementation 1894 may expose a GlobalFilterCollection instance in the Interop namespace that covers all indications defined 1895 in profiles. In implementations where it is not possible to determine whether indications specified in 1896 referencing profiles are implemented, the instance may be exposed if the delivery of indications is 1897 implemented in general.
- 1898 In the GlobalFilterCollection instance, the value of the CollectionName property shall be as defined by the 1899 following ABNF rule:
- 1900 "DMTF:Indications:"
  1901 "GlobalProfileSpecifiedIndicationFilterCollection"
- 1902 The members of the represented global filter collection shall be the following global filter collections (if 1903 existing):
- the global filter collection covering all alert indications defined in any implemented referencing profile, as required in 7.3.22.4.1
- the global filter collection covering all lifecycle indications defined in any implemented referencing profile, as required in 7.3.22.4.2

This definition in effect specifies the defined coverage as all indications defined in referencing profiles and in this profile; if instantiated by an implementation, the coverage would be all implemented indications out of that set.

1911NOTEFor existing GlobalFilterCollection instances the instance requirements of association instances1912representing relationships of the represented global filter collection apply; for example, see 7.3.18, 7.3.191913or 7.3.20.

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#### 1914 7.3.22.4.4 Instance requirements for the global filter collection covering all lifecycle indications

1915 If the implementation supports the delivery of lifecycle indications, the implementation shall expose a GlobalFilterCollection instance in the Interop namespace that covers all lifecycle indications defined in

- 1916
- 1917 profiles.
- In GlobalFilterCollection instance the value of the CollectionName property shall be as defined by the 1918 1919 following ABNF rule:
- 1920 "DMTF:Indications:GlobalLifecycleIndicationFilterCollection".
- 1921 The members of the represented global filter collection shall be all profile-specific filter collections covering the global indication filters that each cover all indications of one of the three subtypes of lifecycle 1922 indications (CIM InstCreation, CIM InstDeletion and CIM InstModification); see 7.3.16.3.2. 1923
- 1924 This definition in effect specifies the defined coverage as all lifecycle indications defined in referencing 1925 profiles and in this profile.
- 1926 NOTE For existing GlobalFilterCollection instances the instance requirements of association instances 1927 representing relationships of the represented global filter collection apply; for example, see 7.3.18, 7.3.19 1928 or 7.3.20.

#### 7.3.23 ListenerDestination: CIM ListenerDestination 1929

#### 1930 7.3.23.1 General

- 1931 The ListenerDestination adaptation models listener destinations; listener destinations are described in 1932 6.4.5.
- 1933 The implementation type of the ListenerDestination adaptation is: "instantiated".

#### 1934 7.3.23.2 Semantical requirements

1935 For a particular listener destination, an implementation shall deliver any indication that passed the indication gate (see 6.2 or 6.3) referenced by any subscription (see 6.4.1) that also references the listener 1936 destination, to the listener referenced by that listener destination. See also the semantical requirements 1937 on indication filters defined in 7.3.11.2, on filter collections defined in 7.3.17.2, and on subscriptions 1938 1939 defined in 7.3.25.2.

- 1940 NOTE It is possible that a particular indication is delivered more than once to a particular listener for various 1941 reasons, such as that the listener is referenced by more than one listener destination, or that the indication 1942 is within the coverage of more than one indication gate, each of which is referenced by a subscription 1943 referencing the listener destination referencing the listener.
- 1944 7.3.23.3 Element requirements

#### 1945 7.3.23.3.1 General

- Table 31 lists the element requirements of the ListenerDestination adaptation. 1946
- 1947

#### Table 31 – ListenerDestination Element requirements

Element	Requirement	Description
Properties		
Name	Mandatory	Key: See CIM schema definition.
CreationClassName	Mandatory	Key: See CIM schema definition.
SystemName	Mandatory	Key: See CIM schema definition.
SystemCreationClassName	Mandatory	Key: See CIM schema definition.

Element	Requirement	Description
ElementName	Mandatory	See CIM schema description.
Destination	Mandatory	See 7.3.23.3.2.
PersistenceType	Mandatory	See 7.3.23.3.3.
Protocol	Mandatory	See CIM schema description.
Operations		
GetInstance()	Mandatory	See <u>DSP0223</u> .
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .
Associators()	Mandatory	See <u>DSP0223</u> .
AssociatorNames()	Mandatory	See <u>DSP0223</u> .
References()	Mandatory	See <u>DSP0223</u> .
ReferenceNames()	Mandatory	See <u>DSP0223</u> .
CreateInstance()	Optional	See 7.3.23.3.4 and <u>DSP0223</u> .
DeleteInstance()	Optional	See 7.3.23.3.5 and <u>DSP0223</u> .
ModifyInstance()	Optional	See 7.3.23.3.6 and <u>DSP0223</u> .

#### 1948 **7.3.23.3.2 Property: Destination**

- 1949 The value of the Destination property shall identify the listener referenced by the listener destination.
- 1950 A value of Null for the Destination property indicates a free listener destination (see 6.4.5).
- 1951 If the value of the Destination property is not Null, it shall be a valid IETF Uniform Resource Identifier 1952 value (as defined in <u>RFC3986</u>) including the scheme, host and port as part of the URI Location.

#### 1953 **7.3.23.3.3 Property: PersistenceType**

- 1954 The value of the PersistenceType property shall describe the durability of the represented listener 1955 destination.
- 1956 The property values shall be constrained to 3 (Transient), 2 (Permanent), and Null.

1957 If the listener destination is permanent, then the value of the PersistenceType property shall be either Null 1958 or 2 (Permanent). Permanent listener destinations are long-lived and are expected to be available for 1959 indication delivery. For example, a typical listener referenced by a permanent listener destination would 1960 be a system log file. The inability of an implementation to deliver indications to a listener referenced by a 1961 permanent listener destination will be treated as an error condition by the implementation, as defined in 1962 7.4.3.5.

- 1963 If the listener destination is transient, then the value of the PersistenceType property shall be 3
- 1964 (Transient). Transient listener destinations are short-lived and have less strong requirements (than
- 1965 permanent listener destinations) regarding their availability for indication delivery. For example, a typical 1966 listener referenced by a transient listener destination would be a task progress meter in a graphical
- 1967 management application. The inability of an implementation to deliver indications to a listener described
- 1968 by a transient listener destination will be handled by removing the listener destination and its
- 1969 subscriptions from the implementation, as defined in 7.4.3.6.

## 1970 7.3.23.3.4 Operation: CreateInstance()

- 1971 Table 32 lists the error reporting requirements for the CreateInstance() operation on ListenerDestination
- 1972 instances. If any of the error situations described in the Description column of Table 32 matches, the

- 1973 operation shall fail and the corresponding CIM status code shall be returned. In addition, the error
- 1974 reporting requirements defined in <u>DSP0223</u> for the CreateInstance() operation apply.
- 1975

Table 32 – CreateInstance(): Error reporting requirements

Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The behavior requested by the value of the PersistenceType/OtherPersistenceType properties in the embedded CIM_ListenerDestination instance request a persistence type that is not implemented by the implementation.
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Destination property in the embedded CIM_ListenerDestination instance does not constitute a valid URI as required in 7.3.23.3.2.
CIM_ERR_INVALID_PARAMETER	Mandatory	The behavior requested by the value of the Protocol/OtherProtocol properties in the embedded CIM_ListenerDestination instance request a protocol that is not implemented by the implementation.
CIM_ERR_FAILED	Mandatory	The number of listener destinations managed by the implementation would exceed the maximum number of listener destinations supported by the implementation; also see the description of the MaxListenerDestination property in 7.3.7.

1976 If the CreateInstance() operation is successful, the requested listener destination shall be created, and —

as a consequence — shall be represented by a ListenerDestination instance in the requested

- 1978 namespace. In addition, if the requested namespace is not the Interop namespace, the implementation 1979 shall expose an additional ListenerDestination instance representing the listener destination in the Interop
- 1980 namespace (see 7.3.23.4).
- 1981 If the CreateInstance() operation fails, no listener destination shall be created, and as a consequence
   1982 no representing ListenerDestination instances shall be exposed in any namespace.
- 1983 The implementation may ignore the values of key properties in the embedded CIM\_ListenerDestination 1984 instance passed as the value of the NewInstance parameter.
- 1985 Clients should abstain from providing the values of key properties in the embedded
- 1986 CIM\_ListenerDestination instance passed as the value of the NewInstance parameter.
- 1987 Clients should abstain from requesting the creation of ListenerDestination instances in namespaces other 1988 than the Interop namespace.
- 1989 Clients should favor the re-use of an existing listener destination referencing a particular listener over the 1990 creation of a new listener destination referencing the same listener.
- 1991 7.3.23.3.5 Operation: DeleteInstance()
- Table 33 lists the error reporting requirements for the DeleteInstance() operation on ListenerDestination instances, and related CIM status codes. If any of the error situations described in the Description column of Table 33 matches, the operation shall fail and the corresponding CIM status code shall be returned. In addition, the error reporting requirements defined in DSP0223 for the DeleteInstance() operation apply.
- , **1 3 1** \_\_\_\_
- 1996

Reporting mechanism	Requirement level	Description
CIM_ERR_FAILED	Mandatory	The represented listener destination is referenced by subscription(s).

1997 If the DeleteInstance() operation is successful, the represented listener destination shall be deleted and
 1998 — as a consequence — shall no longer be represented by ListenerDestination instances in any
 1999 namespace exposed by the implementation.

NOTE
 2001
 2001
 2002
 2002
 2003
 The instance requirements of associations representing relationships of the deleted listener destination imply that respective association instances in any namespace exposed by the implementation cease to exist; in this case this applies to IndicationServiceOfListenerDestination instances (see 7.3.24). However, note that the DeleteInstance() operation for the listener destination is required to fail if subscriptions exist.

If the DeleteInstace() operations fails, the listener destination shall not be deleted, and — as a
 consequence — any representing ListenerDestination instances shall continue to exist as before.

## 2006 7.3.23.3.6 Operation: ModifyInstance()

- 2007 The ModifyInstance operation may be available for an instance of CIM\_ListenerDestination.
- The implementation of the ModifyInstance() operation enables clients to modify existing listener destinations.
- 2010 The requirement level of the ModifyInstance() operation is optional.

2011 Table 34 lists the error reporting requirements for the ModifyInstance() operation on ListenerDestination

2012 instances. If any of the error situations described in the Description column of Table 34 matches, the

2013 operation shall fail and the corresponding CIM status code shall be returned. In addition, the error

2014 reporting requirements defined in <u>DSP0223</u> for the ModifyInstance() operation apply.

2015

## Table 34 – ModifyInstance(): Error reporting requirements

Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The behavior requested by the value of the PersistenceType/OtherPersistenceType properties in the embedded CIM_ListenerDestination instance request a persistence type that is not implemented by the implementation.
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Destination property in the embedded CIM_ListenerDestination instance does not constitute a valid URI as required in 7.3.23.3.2.
CIM_ERR_INVALID_PARAMETER	Mandatory	The behavior requested by the value of the Protocol/OtherProtocol properties in the embedded CIM_ListenerDestination instance requests a protocol that is not implemented by the implementation.
CIM_ERR_FAILED	Mandatory	A modification of the Destination and/or the Protocol/OtherProtocol properties was requested, but the represented listener destination is still referenced by subscription(s).

2016 If the ModifyInstance() operation is successful, the requested modification on the listener destination

shall be applied, and — as a consequence — shall be reflected in all ListenerDestination instances that represent the modified listener destination and are exposed by the implementation.

2019 If the ModifyInstance() operation fails, the requested modification on the listener destination shall not be 2020 applied, and — as a consequence — all ListenerDestination instances that represent the listener

2021 destination shall remain unchanged.

#### 2022 7.3.23.4 Instance requirements

Listener destinations (see 6.4.5) shall be represented by ListenerDestination instances in the Interop namespace.

The representation in namespaces other than the Interop namespace should be avoided. However, if additional ListenerDestination instances represent the listener destination in implementation namespaces, these ListenerDestination instances shall have the same key property values as the one in the Interop namespace.

#### 2029 7.3.24 IndicationServiceOfListenerDestination: CIM\_ServiceAffectsElement

- The IndicationServiceOfListenerDestination adaptation models the relationship between indication
   services and the listener destinations they manage. Indication services are described in 6.5.2; listener
   destinations are described in 6.4.5.
- 2033 The implementation type of the IndicationServiceOfListenerDestination association adaptation is: 2034 "instantiated".
- 2035 Table 35 lists the elements requirements of the IndicationServiceOfListenerDestination adaptation.

#### Table 35 – IndicationServiceOfListenerDestination: Element requirements

Elements	Requirement	Description			
Properties					
AffectingElement	Mandatory	<b>Key</b> : Value shall reference the IndicationService instance <b>Multiplicity</b> : 1			
AffectedElement	Mandatory	Key: Value shall reference a ListenerDestination instance Multiplicity: *			
Operations					
GetInstance()	Mandatory	See <u>DSP0223</u> .			
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .			
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .			

2037 Each IndicationService (see 7.3.2) instance shall be associated through an

2038 IndicationServiceOfListenerDestination instance with each ListenerDestination (see 7.3.23) instance 2039 representing a listener destination managed by the indication service represented by the

2039 representing a listener destination managed by the indication service represented by the

2040 IndicationService instance.

# 2041 7.3.25 AbstractSubscription: CIM\_AbstractIndicationSubscription

# 2042 7.3.25.1 General

2043 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.

- 2044 The AbstractSubscription adaptation models subscriptions for the delivery of indications from an
- indication gate to a listener referenced by a listener destination; subscriptions are described in 6.4.

<sup>2036</sup> 

2046 The implementation type of the AbstractSubscription association adaptation is: "abstract".

#### 2047 7.3.25.2 Semantical requirements

An implementation shall deliver any indication that passed the indication gate referenced by the subscription (that is, any indication generated by the implementation that is within the coverage of the indication gate) to the listener referenced by the listener destination referenced by the subscription.

A listener that is referenced by the listener destination referenced by a subscription needs to be prepared
 to receive any indication that is within the coverage of the indication gate referenced by that subscription.
 Of course, listeners may ignore received indications.

#### 2054 7.3.25.3 Element requirements

2055 Table 36 lists the element requirements for the AbstractSubscription adaptation.

2056

#### Table 36 – AbstractSubscription: Element requirements

Elements	Requirement	Description			
Properties					
Filter	Mandatory	<b>Key</b> : Value shall reference the IndicationFilter instance or the StaticFilterCollection instance			
Handler	Mandatory	<b>Key</b> : Value shall reference the ListenerDestination instance			
OnFatalErrorPolicy	Mandatory	See 7.3.25.3.1.			
OtherOnFatalErrorPolicy	Conditional	Condition: The OnFatalErrorPolicy property can have the value 1 (Other). Pattern (".+") Value shall be non-Null if the value of the OnFatalErrorPolicy property is 1 (Other).			
FailureTriggerTimeInterval	Mandatory	Value shall be the minimum delay before the policy indicated by the value of the OnFatalErrorPolicy property is applied			
SubscriptionState	Mandatory	See CIM schema definition.			
OtherSubscriptionState	Conditional	Condition: The SubscriptionState property can have the value 1 (Other). Pattern (".+") Value shall be non-Null if the value of the SubscriptionState property is 1 (Other).			
RepeatNotificationPolicy	Mandatory	See 7.3.25.3.2.			
RepeatNotificationInterval	Conditional exclusive	See 7.3.25.3.3.			
RepeatNotificationGap	Conditional exclusive	See 7.3.25.3.4.			
RepeatNotificationCount	Conditional exclusive	See 7.3.25.3.5.			

Elements	Requirement	Description
Operations		
DeleteInstance()	Mandatory	See 7.3.25.3.6 and <u>DSP0223</u> .
ModifyInstance()	Optional	See 7.3.25.3.7 and <u>DSP0223</u> .
NOTE The CreateInstance() operation is defined in adaptations based on the AbstractSubscription adaptation; see 7.3.26 and 7.3.27.		

### 2057 **7.3.25.3.1 Property: OnFatalErrorPolicy**

The value of the OnFatalErrorPolicy property shall indicate the behavior that the implementation exposes with respect to represented subscriptions in case of failures that imply that some aspect of indication generation processing or indication delivery is no longer functioning and indications may be lost.

A value of 4 (Remove) shall indicate that the implementation performs implicit subscription removal as detailed in 7.4.3.6; this shall be the default behavior.

# 2063 **7.3.25.3.2 Property: RepeatNotificationPolicy**

The value of the RepeatNotificationPolicy property shall indicate the policy that the implementation applies with respect to the avoidance of repeated indication delivery of repeated indications as described in 6.1.6.

- 2067 Table 37 lists constraints for the value of the RepeatNotificationPolicy property.
- 2068

#### Table 37 – RepeatNotificationPolicy: Value constraints

Subscription behavior for the avoidance of repeated indication delivery	Required value
No avoidance of repeated indication delivery	2 (None)
The implementation applies the policy of suppressing the repeated indication delivery for the represented subscription, as described in 6.1.6.	3 (Suppress)
The implementation applies the policy of delaying the repeated indication delivery for the represented subscription, as described in 6.1.6.	4 (Delay)

#### 2069 7.3.25.3.3 Property: RepeatNotificationInterval

- 2070 The requirement level of the RepeatNotificationInterval property is conditional exclusive.
- 2071 Condition: Either the SuppressRepeatNotificationPolicy feature (see 7.2.5) or the
- 2072 DelayRepeatNotificationPolicy feature (see 7.2.6) is available.
- 2073 If the implementation applies the SuppressRepeatNotificationPolicy feature (see 7.2.5) for the
- 2074 represented subscription, as indicated by the value 3 (Suppress) for the RepeatNotification property, the
- 2075 value of the RepeatNotificationInterval property shall be the length of the time interval in seconds that the
- 2076 implementation waits after initial delivery of a number of repeated indications as indicated by the value of
- 2077 the RepeatNotificationCount property before delivering the next repeated indication.

2078If the implementation applies the DelayRepeatNotificationPolicy feature (see 7.2.6) for the represented2079subscription, as indicated by the value 4 (Delay) for the RepeatNotification property, the value of the

2080 RepeatNotificationInterval property shall be the length of the monitoring time interval in seconds during

2081 which the implementation monitors the indication gate referenced by the subscription for a number of

2082 additional repeated indications. Furthermore, only if during that monitoring interval at least the number of

2083 repeated indications as indicated by the value of the RepeatNotificationCount accrue, delivers only the

first indication as a substitute for all the repeated indications accrued during the monitoring time interval.

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#### 2085 7.3.25.3.4 Property: RepeatNotificationGap

- 2086 The requirement level of the RepeatNotificationGap property is conditional exclusive.
- Condition: The DelayRepeatNotificationPolicy feature (see 7.2.6) is implemented. 2087

2088 The value of the RepeatNotificationGap property shall be the length of the delay time interval in seconds 2089 that the implementation waits after delivering the first of a number of repeated indications that accrued 2090 during the monitoring time interval, before starting another monitoring time interval, as described in

2091 7.3.25.3.5 with respect to implementations of the DelayRepeatNotificationPolicy feature.

#### 2092 7.3.25.3.5 Property: RepeatNotificationCount

- 2093 The requirement level of the RepeatNotificationCount property is conditional exclusive.
- 2094 Condition: Either the SuppressRepeatNotificationPolicy feature (see 7.2.5) or the
- 2095 DelayRepeatNotificationPolicy feature (see 7.2.6) is implemented.
- 2096 If the implementation applies the SuppressRepeatNotificationPolicy feature (see 7.2.5) for the
- represented subscription, as indicated by the value 3 (Suppress) for the RepeatNotification property, the 2097 value of the RepeatNotificationCount property shall be the number of repeated indications that the 2098
- 2099 implementation delivers before suppressing the delivery of further repeated indications within the time
- 2100
- interval exposed by the value of the RepeatNotificationInterval property.
- 2101 If the implementation applies the DelayRepeatNotificationPolicy feature (see 7.2.6) for the represented 2102 subscription, as indicated by the value 4 (Delay) for the RepeatNotification property, the value of the
- 2103 RepeatNotificationCount property shall be the number of repeated indications that the implementation is required to monitor and delay during the monitoring time interval exposed by the value of the 2104
- 2105 RepeatNotificationInterval property. Only if during that monitoring time interval the number of accrued
- 2106 repeated indications reaches that number, the implementation shall deliver the first of repeated indication
- 2107 as a substitute for the accrued repeated indications. In other words, the quotient of the values of the
- RepeatNotificationCount and the RepeatNotificationInterval properties expresses a rate of repeated 2108
- indications that must have been reached or exceeded during the monitoring time interval before one 2109
- 2110 indication is delivered at the end of the monitoring time interval.

#### 2111 7.3.25.3.6 Operation: DeleteInstance()

- 2112 The error situations and CIM status codes defined in DSP0223 for the DeleteInstance() operation apply.
- 2113 If the DeleteInstance() operation succeeds, the represented subscription shall be deleted and — as a
- 2114 consequence — shall no longer be represented by any AbstractSubscription instances in any namespace 2115 exposed by the implementation.
- 2116 If the DeleteInstance() operation fails, the subscription shall not be deleted, and — as a consequence — 2117 any representing AbstractSubscription instances shall continue to exist as before.

#### 2118 7.3.25.3.7 Operation: ModifyInstance()

- 2119 The requirement level of the ModifyInstance() operation is optional.
- 2120 Table 38 lists the error reporting requirements for the ModifyInstance() operation on AbstractSubscription
- 2121 instances, and related CIM status codes. If any of the error situations described in the Description column
- of Table 38 matches, the operation shall fail and the corresponding CIM status code shall be returned. In 2122
- addition, the error reporting requirements defined in DSP0223 for the ModifyInstance() operation are 2123 2124 applicable.

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Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the OnFatalErrorPolicy/OtherOnFatalErrorPolicy properties (see 7.3.25.3.1) in the embedded CIM_AbstractSubscription instance request a fatal error policy that is not supported by the implementation, or the implementation does not support client-initiated changes of the fatal error policy.
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the FailureTriggerTimeInterval property in the embedded CIM_AbstractSubscription instance requests a time interval that is not supported by the implementation, or the implementation does not support client-initiated changes of the failure trigger time interval.
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the RepeatNotificationPolicy/RepeatNotificationInterval- /RepeatNotificationGap/RepeatNotificationCount properties in the embedded CIM_AbstractSubscription instance request a change in the repeat notification behavior of the represented subscription state that is not supported by the implementation, or the implementation does not support client-initiated changes of the repeat notification behavior.
CIM_ERR_INVALID_PARAMETER	Mandatory	The embedded CIM_AbstractSubscription instance has non- Null values for properties for which the implementation does not support client-initiated modifications.

2126 If the ModifyInstance() operation is successful, the requested modification on the represented

subscription shall be applied, and — as a consequence — shall be reflected in all AbstractSubscription
 instances that represent the modified subscription.

2129 If the ModifyInstance() operation fails, the requested modification on the subscription shall not be

applied, and — as a consequence — all AbstractSubscription instances that represent the subscription
 shall remain unchanged.

#### 2132 **7.3.25.4 Instance requirements**

2133 Subscriptions (see 6.4.1) shall be represented by AbstractSubscription instances in the Interop

2134 namespace that relate either IndicationFilter instances (see 7.3.11) or StaticFilterCollection instances
 2135 (see 7.3.17) with ListenerDestination instances (see 7.3.23).

The representation in namespaces other than the Interop namespace should be avoided. However, if both the indication filter/filter collection and the related listener destination represented by the referenced instances in the Interop namespace are also represented by additional instances in other namespaces, respective AbstractSubscription instances shall represent the subscription in these other namespaces as well.

# 2141 **7.3.26 FilterSubscription: CIM\_IndicationSubscription**

2142 7.3.26.1 General

2143 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.

The FilterSubscription adaptation models subscriptions for the delivery of indications from an indication filter to a listener referenced by a listener destination; subscriptions are described in 6.4.

2146 The requirement level of the FilterSubscription adaptation is conditional.

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- 2147 Condition: The IndividualFilterSubscription feature (see 7.2.7) is implemented.
- 2148 The implementation type of the FilterSubscription association adaptation is: "instantiated".

# 2149 7.3.26.2 Semantical requirements

2150 The semantical requirements of 7.3.25.2 apply respectively for the FilterSubscription adaptation.

# 2151 7.3.26.3 Element requirements

- 2152 **7.3.26.3.1 General**
- 2153 Table 39 lists the element requirements for the FilterSubscription adaptation.
- 2154

# Table 39 – FilterSubscription: Element requirements

Elements	Requirement	Description			
Base adaptations	Base adaptations				
AbstractSubscription	Mandatory	See 7.3.25.			
Properties	·				
Filter	Mandatory	Key: Value shall reference the IndicationFilter instance Multiplicity: *			
Handler	Mandatory	Key: Value shall reference the ListenerDestination instance Multiplicity: *			
Operations					
CreateInstance()	Mandatory	See 7.3.26.3.2 and <u>DSP0223</u> .			

# 2155 7.3.26.3.2 Operation: CreateInstance()

2156 Table 40 lists the error reporting requirements for the CreateInstance() operation on FilterSubscription

2157 instances. If any of the error situations described in the Description column of Table 40 matches, the

2158 operation shall fail and the corresponding CIM status code shall be returned. In addition, the error

2159 reporting requirements defined in <u>DSP0223</u> for the CreateInstance() operation apply.

2160

# Table 40 – CreateInstance(): Error reporting requirements

Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Filter property in the embedded CIM_IndicationSubscription instance references an instance that does not exist, or is not an IndicationFilter instance (see 7.3.11).
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Handler property in the embedded CIM_IndicationSubscription instance references an instance that does not exist, or is not ListenerDestination instance (see 7.3.23).
CIM_ERR_FAILED	Mandatory	The IndividualFilterSubscription feature (see 7.2.7) is not available for the indication filter represented by the IndicationFilter instance referenced by the value of the IndicationFilter property in the embedded CIM_IndicationSubscription instance.

Reporting mechanism	Requirement level	Description
CIM_ERR_FAILED	Mandatory	The number of subscriptions managed by the implementation would exceed the maximum number of subscriptions supported by the implementation; also see the description of the MaxSubscriptions property in 7.3.7.
NOTE With version 1.2 of this profile the requirements for CIM status code values were refined, fixing the incorrect		

requirement for a value named CIM\_ERROR\_NOT\_SUPPORTED mandated by previous versions.

- If the CreateInstance() operation is successful, the requested filter subscription was created, and
   consequently as required by 7.3.26.4 shall be represented by a FilterSubscription instance in the
   requested namespace. In addition, if the requested namespace is not the Interop namespace, the
   implementation shall expose an additional FilterSubscription instance representing the subscription in the
   Interop namespace (see 7.3.26.4).
- If the CreateInstance() operation fails, no subscription shall be created, and as a consequence no
   representing FilterSubscription instances shall be exposed in any namespace.
- 2168 Clients should abstain from requesting the creation of FilterSubscription instances in namespaces other 2169 than the Interop namespace.

# 2170 **7.3.26.4 Instance requirements**

2171 The requirements of 7.3.25.4 apply respectively for FilterSubscription instances.

# 2172 **7.3.27 CollectionSubscription: CIM\_FilterCollectionSubscription**

# 2173 7.3.27.1 General

- 2174 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- The CollectionSubscription adaptation models subscriptions for the delivery of indications from a filter collection to a listener referenced by a listener destination; subscriptions are described in 6.4.
- 2177 The implementation type of the FilterCollectionSubscription association adaptation is: "instantiated".

# 2178 7.3.27.2 Semantical requirements

2179 The semantical requirements of 7.3.25.2 apply respectively for the CollectionSubscription adaptation.

#### 2180 7.3.27.3 Element requirements

- 2181 **7.3.27.3.1 General**
- 2182 Table 41 lists the element requirements for the CollectionSubscription adaptation.
- 2183

#### Table 41 – CollectionSubscription: Element requirements

Elements	Requirement	Description	
Base adaptations			
AbstractSubscription	Mandatory	See 7.3.25.	
Properties	Properties		
Filter	Mandatory	Key: Value shall reference the StaticFilterCollection instance Multiplicity: *	

Elements	Requirement	Description
Handler	Mandatory	Key: Value shall reference the ListenerDestination instance Multiplicity: *
Operations		
CreateInstance()	Mandatory	See 7.3.27.3.2 and <u>DSP0223</u> .

# 2184 7.3.27.3.2 Operation: CreateInstance()

- 2185 Table 42 lists the error reporting requirements for the CreateInstance() operation on
- 2186 CollectionSubscription instances. If any of the error situations described in the Description column of
- 2187 Table 42 matches, the operation shall fail and the corresponding CIM status code shall be returned. In
- 2188 addition, the error reporting requirements defined in <u>DSP0223</u> for the CreateInstance() operation apply.
- 2189

#### Table 42 – CreateInstance(): Error reporting requirements

Reporting mechanism	Requirement level	Description
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Collection property in the embedded CIM_FilterCollectionSubscription instance references an instance that does not exist, or is not a StaticFilterCollection instance (see 7.3.17).
CIM_ERR_INVALID_PARAMETER	Mandatory	The value of the Handler property in the embedded CIM_FilterCollectionSubscription instance references an instance that does not exist, or is not a ListenerDestination instance (see 7.3.23).
CIM_ERR_FAILED	Mandatory	The number of subscriptions managed by the implementation would exceed the maximum number of subscriptions supported by the implementation; also see the description of the MaxSubscriptions property in 7.3.7.

NOTE With version 1.2 of this profile the requirements for CIM status code values were refined, fixing the incorrect requirement for a value named CIM\_ERROR\_NOT\_SUPPORTED mandated by previous versions.

2190 If the CreateInstance() operations is successful, the requested filter subscription was created, and

2191 consequently — as required by 7.3.27.4 — shall be represented by a CollectionSubscription instance in

- the requested namespace. In addition, if the requested namespace is not the Interop namespace, the
- 2193 implementation shall expose an additional CollectionSubscription instance representing the subscription
- in the Interop namespace (see 7.3.27.4).
- If the CreateInstance() operation fails, no subscription shall be created, and as a consequence no
   representing CollectionSubscription instances shall be exposed in any namespace.
- Clients should abstain from requesting the creation of CollectionSubscription instances in namespacesother than the Interop namespace.

# 2199 **7.3.27.4 Instance requirements**

2200 The instance requirements of 7.3.25.4 apply respectively for CollectionSubscription instances.

# 2201 DEPRECATED

# 2202 **7.3.28** ProfileOfFilterCollection: CIM\_ConcreteDependency

The ProfileOfFilterCollection adaptation models the relationship between a filter collection defined in a referencing profile and the profile registration of that referencing profile.

2205 The implementation type of the ProfileOfFilterCollection association adaptation is: "instantiated".

Each StaticFilterCollection instance (see 7.3.17) representing a filter collection defined in a referencing
 profile shall be associated through a ProfileOfFilterCollection instance with the ProfileRegistration
 instance (see DSP1033) representing the implemented version of the referencing profile.

- 2209NOTEThis profile assumes that a future version of the Profile Registration profile (see DSP1033) will be based2210on version 1.1 of the Profile Usage Guide (see DSP1001), and define the ProfileRegistration adaptation;2211until then, substitute that by the definition of the CIM\_RegisteredProfile "profile class" defined in version22121.0 of DSP1033.
- Table 43 lists the element requirements for the ProfileOfFilterCollection adaptation.

2214	4

Table 43 – ProfileOfFilterCollection: Element requirements

Elements	Requirement	Description		
Properties				
Antecedent	Mandatory	Key: Value shall reference the ProfileRegistration instance Multiplicity: 1		
Dependent	Mandatory	Key: Value shall reference the StaticFilterCollection instance Multiplicity: *		
Operations				
GetInstance()	Mandatory	See <u>DSP0223</u> .		
EnumerateInstances()	Mandatory	See <u>DSP0223</u> .		
EnumerateInstanceNames()	Mandatory	See <u>DSP0223</u> .		

#### 2215 **DEPRECATED**

#### 2216 **7.3.29 BasicIndication: CIM\_Indication**

#### 2217 **7.3.29.1 General**

- 2218 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- 2219 The BasicIndication adaptation models indications; indications are described in 6.1.
- 2220 The implementation type of the BasicIndication indication adaptation is: "abstract".

#### 2221 **7.3.29.2** Event definition requirements

Referencing profiles that model indications through adaptations based on the BasicIndication adaptation shall define event that the indication is designed to report. This event definition shall be accomplished by means of an event definition query statement stated in CQL (see <u>DSP0202</u>).

The purpose of an event definition query statement is to formally define the event(s) that an indication adaptation is designed to report, such that by inspecting the event definition query statements an

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implementer knows how to implement the indication adaptation. A CIM representation of event definition
 query statements is not defined, thus there is no requirement for implementations or clients to be able to
 programmatically interpret event definition query statements.

- 2230NOTEEvent definition query statements are different from indication filter query statements. An indication filter2231query statement (see 7.3.11.3.5) defines the coverage of an indication filter, and is exposed to clients by2232the value of the Query property in the IndicationFilter instance representing the indication filter. The2233IndicationSpecificIndicationFilter adaptation (see 7.3.15) models indication-specific indication filters (see22346.2.4) and addresses the needs of clients requiring notifications about events reported by particular2235indications specified in a profile.
- 2236 The CQL query statement defining the event shall comply with the following ABNF rule:
- 2237 "SELECT" WS PropertySet WS "FROM" WS IndicationClass WS "WHERE" WS
  2238 SelectionExpression
- 2239 PropertySet shall be "\*", or a comma-separated list of property names.
- 2240 IndicationClass shall be the adapted indication class, that is, CIM\_Indication or a subclass thereof.
- 2241 SelectionExpression shall be a constant string that defines a selection expression conformant with 2242 the rules for selection expressions defined by <u>DSP0202</u>.
- 2243 WS represents one or more whitespace characters.

The requirements in this subclause may be refined by requirements defined in adaptations based on the BasicIndication adaptation, including the case that a refined query statement references an external element (such as an alert message definition in a message registry) that defines the event.

# 2247 7.3.29.3 Indication origin

- Each indication shall be assigned an origin namespace (see 6.1.2.4).
- In general, an implementation is free to select any local namespace as the origin namespace for a
  generated indication; however, adaptations based on the BasicIndication adaptation such as the
  AlertIndication adaptation (see 7.3.31) and the LifecycleIndication (see 7.3.32) establish additional
  constraints.
- The indication origin is not represented in the CIM representation of an indication as defined by the CIM\_Indication class.
- 2255 The implementation class of the indication is required to reside in the origin namespace.
- 2256<br/>2257NOTE<br/>is does not sufficiently indicate that the indication is really implemented. Additional requirements such<br/>as the presence and integration of functional code implementing the indication apply, but are outside of<br/>the scope of this profile.
- 2260 The indication origin is required to be considered during indication filtering; see 6.1.4 and 7.3.11.2.
- 2261 **7.3.29.4 Element requirements**
- 2262 7.3.29.4.1 General
- Table 44 lists the element requirements for the BasicIndication adaptation.

2264

Elements	Requirement	Description
Properties		
IndicationFilterName	Mandatory	See 7.3.29.4.2.
IndicationIdentifier	Mandatory	See CIM schema definition.
IndicationTime	Mandatory	See CIM schema definition.

#### Table 44 – BasicIndication: Element requirements

#### 2265 **7.3.29.4.2** Property: IndicationFilterName

The value of the IndicationFilterName property shall contain the name of the indication gate that the indication passed before being delivered to the listeners subscribed to that indication gate. For indication filters, the name is exposed by the value of the Name property in representing IndicationFilter instances (see 7.3.11). For filter collections, the name is exposed by the value of the CollectionName property in representing StaticFilterCollection instances (see 7.3.17).

Because an indication is generated independently and before it is subjected to filtering, the name of the filtering indication gate is not known at indication-generation time. Instead, a generated indication might match a large number of indication gates. During indication filtering (see 6.1.4 and 7.3.11.2), each time a generated indication matches an indication gate with existing subscriptions, and before delivering that indication to subscribed listeners, the implementation shall set the value of the IndicationFilterName property in the BasicIndication instance representing the indication to the identification of that indication gate, as follows:

- in case of indication filters, the identification shall be the value of the Name property of the IndicationFilter instance representing the indication filter
- in case of filter collections, the identification shall be the value of the CollectionName property of the StaticFilterCollection instance representing the filter collection.
- 2282 NOTE 1 The requirement for referencing filter collections was added with version 1.2. of this profile.
- NOTE 2 A listener may use the value of the IndicationFilterName property to determine which indication gate was passed by the indication before being delivered to the listener.
- 2285 **7.3.29.5** Indication generation requirements
- Adaptations based on the BasicIndication adaptation are required to define the event that the modeled indication is designed to report; see 7.3.29.2.
- If the event defined by such an adaptation occurs, and if subscriptions exist for any indication gate
  covering the modeled indication, an instance of the indication adaptation based on the BasicIndication
  shall be generated.
- 2291<br/>2292NOTEThe way this requirement is stated it provides for the optimized approach of checking for the presence of<br/>matching indication gate with subscriptions already at indication generation time; however, even in this<br/>case indication filtering is required as a subsequent step (see 6.1.4) in order to ensure that all matching<br/>indication gates are considered, and indication delivery occurs to all listeners subscribed to any of the<br/>indication gates covering the indication.

# 2296 **7.3.30 ReliableIndication: CIM\_Indication**

# 2297 7.3.30.1 General

- 2298 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.
- The ReliableIndication adaptation models reliable indications; the concept of reliable indications is introduced in 6.1.5. Additional requirements for reliable indication delivery are specified in 7.4.
- 2301 The implementation type of the ReliableIndication indication adaptation is: "abstract".
- NOTE
   2303
   2304
   The ReliableIndications adaptation is intentionally not based on the BasicIndication adaptation, such that it can be implemented independently as a separate option. Reliable indication delivery is typically implemented centrally once for the delivery of all indications implemented by an implementation.
- 2305 7.3.30.2 Element requirements

#### 2306 **7.3.30.2.1 General**

2307 Table 45 lists the element requirements for the ReliableIndication adaptation.

```
2308
```

#### Table 45 – ReliableIndication: Element requirements

Elements	Requirement	Description
Properties		
SequenceContext	Mandatory	See 7.3.30.2.2.
SequenceNumber	Mandatory	See 7.3.30.2.3.

#### 2309 7.3.30.2.2 Property: SequenceContext

The value of the SequenceContext property shall contain the sequence context portion of the sequence identifier (see 3.30 and 7.4.2). See the CIM schema description for additional constraints and the required semantics, and see 7.4 for additional requirements on reliable indication delivery.

- 2313<br/>2314NOTE 1The CIM schema definition of the CIM\_Indication class requires for the SequenceContext property that the<br/>implementation maintains the context for this property separately for each registered listener destination,<br/>and that restarts of the WBEM server cause the value to change. This requirement enables a listener to<br/>detect WBEM server restarts, and to differentiate the indication streams from a particular WBEM server<br/>that were processed (within that WBEM server) through different listener destinations referring to the<br/>listener.
- 2319NOTE 2Indications can be lost when a listener fails and restarts, with the WBEM server continuing to send2320indications while the listener is inactive. In that case, upon restart of the listener, if does not persist the last2321received sequence identifier, the listener would establish the sequence identifier of the first received2322indication after the restart as check value, failing to notice that while it was inactive additional indications2323were sent (and lost). One approach for discovering an actual loss of indications might be to persist the2324latest sequence identifier as part of a listener termination routine, and upon restart use the persisted value2325as a check value (instead of that taken from the first arriving indication after the restart).

#### 2326 7.3.30.2.3 Property: SequenceNumber

The value of the SequenceNumber property shall contain the sequence number portion of the sequence identifier (see 3.30 and 7.4.2). See the CIM schema description for additional constraints and the required semantics, and see 7.4 for additional requirements on reliable indication delivery.

2330NOTEThe CIM schema definition of CIM\_Indication class requires for the SequenceNumber property in the2331stream of instances processed through a particular listener destination, that the value starts at 0 whenever2332the value of the SequenceContext property changes.

- 2333 7.3.31 AlertIndication: CIM\_AlertIndication
- 2334 7.3.31.1 General
- 2335 The AlertIndication adaptation models alert indications; alert indications are described in 6.1.3.
- 2336 The implementation type of the AlertIndication indication adaptation is: "abstract".
- It is expected that the AlertIndication adaptation is used as a base adaptation for modeling alertindications in referencing profiles.

#### 2339 7.3.31.2 Event definition requirements

- This subclause refines the event definition requirements established by the BasicIndication adaptation; see 7.3.29.2.
- The query statement defined by the following ABNF rules define the event(s) that are reported by AlertIndication instances:
- If the AlertIndication adaptation identifies only one related alert message (see 7.3.31.3), the event query statement is defined as follows:
- 2346EventQuerySingle = "SELECT" WS PropertySet WS "FROM" WS2347AlertIndicationClass WS "WHERE" WS "OwningEntity='" OwningEntity "'"2348WS "AND" WS "MessageID=" MessageId WS AdditionalWhereElements
- If the AlertIndication adaptation identifies more than one related alert message (see 7.3.31.3), the event query statement is defined as follows:
- 2351EventQueryMulti = "SELECT" WS PropertySet WS "FROM" WS2352AlertIndicationClass WS "WHERE" WS "OwningEntity='" OwningEntity2353WS "AND" WS "MessageID LIKE" WS "'" MessageSet "'" [WS2354AdditionalSelectionExpression ]
- 2355 MessageSet = MessageIdentification [ "|" MessageSet ]
- 2356NOTERecall that the purpose of the event definition query statement is to formally define the event(s) that an2357indication is designed to report; see 7.3.29.2. Event definition query statements are not represented in2358CIM; thus there is no requirement for implementations or clients to interpret event definition query2359statements.
- 2360 PropertySet shall be "\*", or a comma-separated list of property names.
- AlertIndicationClass shall be CIM\_AlertIndication, or, if adaptations based on the
   AlertIndication adaptation adapt a class derived from CIM\_AlertIndication, shall be replaced by the name
   of the adapted alert indication class.
- 2364 OwningEntity shall be the name of the organization defining the alert indication. In profiles owned by 2365 DMTF, the value shall be "DMTF".
- 2366 MessageIdentification shall identify each referenced alert message, as required by 7.3.31.3.
- Referencing profiles in their adaptations based on the AlertIndication adaptation may refine the event
   definition; however, such refinements shall remain within the constraints established by the query
   statement specified in this subclause.
- 2370 If a referencing profile defining an adaptation based on the AlertIndication adaptation does not require
- 2371 refining the query statement specified in this subclause, then a repetition of the query statement is not
- required as part of the adaptation in the referencing profile, and compliance with this subclause is achieved through designating a related alert message as required in 7.3.31.3.

- 2374 AdditionalSelectionExpression shall be a constant string that defines a selection expression
- conformant with the rules for selection expressions defined by <u>DSP0202</u>. For example, the value of the
   PerceivedSeverity property could be constrained to specific values.

# 2377 7.3.31.3 Related alert messages

Referencing profiles defining adaptations based on the AlertIndication adaptation as part of their alert
 indication adaptation shall reference one or more related CIM alert message(s) that are defined in a
 message registry conformant to <u>DSP0228</u>.

The formal requirements for referencing alert messages through message identifications as part of adaptation definitions are detailed in <u>DSP1001</u>; as defined there, the main elements of a message identification are the name of the registry reference referring to the registry defining the alert message, and the message id as the concatenation of the value of the PREFIX attribute and the SEQUENCE\_NUMBER attribute from the MESSAGE\_ID element that defines the message within the message registry.

CIM alert messages provide for a formalized and widely self-contained approach to define alert
 indications. CIM alert messages are defined in message registries. A message registry is an XML
 document that contains message definitions. <u>DSP0228</u> defines an XML schema for message registries.
 The schema defines the XML elements that can be used for message definitions. Each element is
 formally defined using the XML schema language. Each of these element definitions is annotated with
 documentation that may define formal requirements for the use of the message element.

Each message definition in a message registry consists of a standard message identifier and a
 description of static and dynamic message elements and of other message components; for details, see
 <u>DSP0228</u>.

The MESSAGE\_ID element within the message definition identifies the message within the scope of the message registry through a prefix and a sequence number.

The MESSAGE\_DESCRIPTION element within an alert message definition contains a plain text description of the event that is reported by the defined alert message. A profile modeling an alert indication shall rely on the event definition provided in the alert message description. In case the alert-message-based definition of the event is insufficient in the context of the profile, the profile may augment the event definition within its definition of the alert indication; however, the amendments to the event definition stated in a profile shall remain within the constraints defined by the event definition in the alert message definition in the message repository.

2405The <MESSAGE\_COMPONENTS> element within an alert message definition defines a sequence of static2406and dynamic elements that together compose the message. The static elements define constant text2407parts of the message. The dynamic elements reference property values in identified CIM instances, such2408that the property values become dynamic parts of the alert message.

#### 2409 **7.3.31.4** Indication origin

2410 If the alert indication is related to a managed object, and the CIM representation of that managed object is 2411 referenced by the value of the AlertingManagedElement property in the CIM representation of the alert 2412 indication, then the indication origin as required by 7.3.29.3 should be the namespace in which the CIM

2412 representation of that managed object exists.

2414 7.3.31.5 Element requirements

# 2415 **7.3.31.5.1 General**

Table 46 lists the element requirements for the AlertIndication adaptation.

2417

Table 46 – AlertIndication:	Element	requirements
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Elements	Requirement	Description
Base adaptations		
BasicIndication	Mandatory	See 7.3.29.
ReliableIndication	Conditional	Condition: The ReliableIndications feature (see 7.2.4) is implemented.
		See 7.3.30; note that this is a WBEM server related implementation requirement; see 7.1.
Properties		
AlertingElementFormat	Mandatory	Value shall match 2 (CIMObjectPath)
AlertingManagedElement	Mandatory	See 7.3.31.5.2.
AlertType	Mandatory	See 7.3.31.5.3.
Message	Optional	See 7.3.31.5.4.
MessageID	Mandatory	See 7.3.31.5.5.
OtherAlertType	Conditional	Condition: The AlertType property can have the value 1 (Other). Value shall be non-Null if the value of the AlertType property is 1 (Other).
OwningEntity	Mandatory	See 7.3.31.5.6.
PerceivedSeverity	Mandatory	See 7.3.31.5.7.
ProbableCause	Mandatory	See CIM schema definition.
ProbableCauseDescription	Conditional	Condition: The ProbableCause property can have the value 1 (Other). Value shall be non-Null if the value of the
		ProbableCause property is 1 (Other).
SystemName	Mandatory	See 7.3.31.5.8.
MessageArguments[]	Mandatory	See 7.3.31.5.9.

#### 2418 7.3.31.5.2 Property: AlertingManagedElement

If the managed element for which the alert indication is reported is represented by one or more CIM
instances within the implementation, then the value of the AlertingManagedElement property shall identify
the most prominent of these CIM instances, using the format of a WBEM-URI-UntypedInstancePath (as
defined in DSP0207); otherwise the value of the AlertingManagedElement property shall be Null.

#### 2423 **7.3.31.5.3 Property:** AlertType

2424The requirements of DSP0228 apply. Note that DSP0228 requires the value of the AlertType property in2425CIM\_AlertIndication instances conveying an alert message from a message registry to be set to the

2426 content of the ALERT\_TYPE element from the alert message definition in the message registry.

# 2427 **7.3.31.5.4** Property: Message

- 2428 The requirement level of the Message property is optional.
- 2429 The Message property may contain the formatted alert message from the registry.

# 2430 7.3.31.5.5 Property: MessagelD

The requirements of <u>DSP0228</u> apply. Note that <u>DSP0228</u> requires the value of the MessageID property in CIM\_AlertIndication instances conveying an alert message from a message registry to be set to the

- 2433 concatenation of the PREFIX and SEQUENCE\_NUMBER attribute values from the alert message definition 2434 in the message registry (that is, no further padding or adjustment of these values takes place).
- 2435NOTEThe SEQUENCE\_NUMBER attribute value is not to be confused with the sequence number within a2436sequence identifier that enables unique identification of the indications originating from a particular WBEM2437server to a particular WBEM listener; see 7.4.2.

# 2438 7.3.31.5.6 Property: OwningEntity

The requirements of <u>DSP0228</u> apply. Note that <u>DSP0228</u> requires the value of the OwningEntity property in CIM\_AlertIndication instances conveying an alert message from a message registry to be set to the content of the OWNING ENTITY element from the alert message definition in the message registry.

# 2442 7.3.31.5.7 Property: PerceivedSeverity

The requirements of <u>DSP0228</u> apply. Note that <u>DSP0228</u> requires the value of the PerceivedSeverity property in CIM\_AlertIndication instances conveying an alert message from a message registry to be set to the content of the <u>PERCEIVED\_SEVERITY</u> element from the alert message definition in the message registry.

# 2447 7.3.31.5.8 Property: SystemName

If the managed element for which the alert indication is reported is represented by a CIM instance within the implementation, and the managed element is a component of a system that is represented by a CIM\_System instance, then the value of the SystemName property in the AlertIndication instance shall be identical with the value of the Name property in the CIM\_System instance; otherwise, the value of the SystemName property shall be Null.

2453 7.3.31.5.9 Property: MessageArguments[]

The requirements of <u>DSP0228</u> apply. Note that <u>DSP0228</u> requires the (string typed) MessageArguments array property in CIM\_AlertIndication instances conveying an alert message from a message registry to contain one array entry for each dynamic element defined in the alert message, in the order specified by the alert message definition in the message registry, where the value of the array element provides the value of the dynamic element.

If for a particular alert indication defined by a referencing profile the definition of a dynamic element (including its description) within an alert message definition in a message registry is not sufficient to identify a particular CIM instance and property as required by the referencing profile, then the referencing profile shall specify augmenting provisions that explicitly identify an instance and a property that are compatible with the definition of the dynamic element within the alert message.

2464 For example, assume that an alert message is defined in a message repository, as follows:

2465	<message name="System state change"></message>
2466	<message_id prefix="svpc" sequence_number="0123"></message_id>
2467	<message description=""></message>
2468	This message describes a system state change.
2469	
2470	<message components=""></message>
2471	<pre><static element="">The system </static></pre>
2472	<pre><dynamic <="" element="" name="SystemElementName" pre=""></dynamic></pre>
2473	SOURCE PROPERTY="CIM System.ElementName" DATATYPE="string"/>
2474	<static element=""> changed its state to </static>
2475	<pre><dynamic <="" element="" name="SystemState" pre=""></dynamic></pre>
2476	SOURCE PROPERTY="CIM System.EnabledState" DATATYPE="string"/>
2477	<pre><static element=""> .</static></pre>
2478	
2479	<fixed_message_instance_values type="ALERT"></fixed_message_instance_values>

2480	
2481	
2482	
2483	

An Example System Virtualization profile might model an indication reporting state changes of both host systems and virtual systems. In both cases the SVPC0123 alert message would be used, but the identification of affected instances would need to be specialized separately for each case.

Assuming that the profile defines a HostSystem adaptation of the CIM\_System class for the representation of host systems, and defines a HostStateChange indication adaptation in order to report state changes of host systems, the requirements for the MessageArguments[] array property as part of the HostStateChange indication adaptation would need to augment the alert message definition from the message registry, as follows:

- The value of MessageArguments[0] shall be the value of the ElementName property of the HostSystem instance representing the host system that changed its state.
- The value of MessageArguments[1] shall be the new value of the EnabledState property of the 2495 HostSystem instance representing the host system that changed its state.

### 2496 **7.3.31.6 Indication generation requirements**

2497 The indication generation requirements of 7.3.29.5 apply respectively for the AlertIndication adaptation.

# 2498 7.3.32 LifecycleIndication: CIM\_InstIndication

#### 2499 7.3.32.1 General

- The LifecycleIndication adaptation models lifecycle indications of CIM instances; lifecycle indications are described in 6.1.2.3.
- 2502 The LifecycleIndication adaptation adapts the CIM\_InstIndication class and is based on the
- BasicIndication adaptation (see 7.3.29); in addition, if the ReliableIndications feature (see 7.2.4) is implemented, it is also based on the ReliableIndication adaptation (see 7.3.30).
- 2505 The implementation type of the LifecycleIndication indication adaptation is: "abstract".

2506 It is expected that the LifecycleIndication adaptation is used as a base adaptation for modeling lifecycle 2507 indications in referencing profiles.

#### 2508 **7.3.32.2 Event definition requirements**

This subclause refines the event definition requirements established by the BasicIndication adaptation (see 7.3.29.2) for the LifecycleIndication adaptation.

Recall that lifecycle indication reports secondary events (see 6.1.1). The secondary event that is reported
 by LifecycleIndication instances shall be described by an event definition query statement that conforms
 to the following ABNF rule:

- 2514"SELECT" WS PropertySet WS "FROM" WS LifecycleIndicationClass WS2515"WHERE" WS "ISA" WS ModelElement [ WS "WHERE" SelectionExpression ]
- 2516 PropertySet shall be "\*", or a comma-separated list of property names.
- 2517 LifecycleIndicationClass shall be one of CIM InstCreation, CIM InstDeletion, or
- 2518 CIM InstModification, or a subclass of these indication classes.

#### **Indications Profile**

2519 ModelElement shall identify a class for that the referencing profile defines a class adaptation, and for 2520 which the modeled lifecycle indication reports secondary events. The class adaptation of that class shall 2521 be stated as part of the description of the lifecycle indication adaptation in the referencing profile.

- 2522 NOTE For examples that comply with this requirement, see 7.3.33 and 7.3.34.
- 2523 SelectionExpression shall be a constant string that defines a selection expression conformant with 2524 the rules for selection expressions defined by <u>DSP0202</u>.
- 2525 NOTE These rules provide for referencing profiles being able to define one lifecycle indication for one target 2526 adaptation per lifecycle indication adaptation. If for a particular target adaption a referencing profile intends 2527 to model lifecycle indications for different lifecycle events (such as the creation, destruction or modification 2528 of instances of the target adaptation), for each of these lifecycle events separate lifecycle indication 2529 adaptations are required. Furthermore, if lifecycle indications are to be modeled for different target 2530 adaptations, for each target adaptation separate lifecycle indication adaptations are required. As usual, if 2531 common requirements exist for such lifecycle indication adaptations, these can be defined in a common 2532 abstract base adaptation that is used as a base for the specific lifecycle indication adaptations, thereby 2533 avoiding the repetition of the commonalities.

# 2534 **7.3.32.3** Indication origin

- The indication origin as required by 7.3.29.3 shall be the namespace of the CIM instance referenced by the value of the SourceInstanceModelPath property (see 7.3.32.4.3).
- 2537 7.3.32.4 Element requirements
- 2538 7.3.32.4.1 General
- 2539 Table 47 lists the element requirements for the LifecycleIndication adaptation.
- 2540

Elements	Requirement	Description
Base adaptations		
BasicIndication	Mandatory	See 7.3.29.
ReliableIndication	Conditional	Condition: The ReliableIndications feature (see 7.2.4) is implemented.
		See 7.3.30; note that this is a WBEM server related implementation requirement; see 7.1.
Properties		
SourceInstance	Mandatory	See 7.3.32.4.2.
SourceInstanceModelPath	Mandatory	See 7.3.32.4.3.

# 2541 **7.3.32.4.2 Property: SourceInstance**

The value of the SourceInstance property shall be an embedded instance of the class selected in the query statement defining the event. The embedded instance shall be a copy of the instance for which the lifecycle indication is reported. If the query statement specifies a specific selection of properties (other than "\*"), then the set of properties contained in the embedded instance shall be limited to those selected; otherwise, the embedded instance shall at least contain values for each of the properties required by the related adaptation of the selected class in the same referencing profile; see 7.3.29.2.

# 2548 **7.3.32.4.3 Property: SourceInstanceModelPath**

The value of the SourceInstanceModelPath property shall refer to the same instance that is copied as an embedded instance through the value of the SourceInstance property.

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#### 2551 7.3.32.5 Indication generation requirements

The indication generation requirements of 7.3.29.5 apply respectively for the LifecycleIndication adaptation.

# 2554 **7.3.33 ListenerDestinationRemovalIndication: CIM\_InstDeletion**

2555 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.

The ListenerDestinationRemovalIndication adaptation models a lifecycle indication that reports the destruction of a CIM\_ListenerDestination instance, as modeled in this profile by the ListenerDestination adaptation (see 7.3.23). The destruction of a ListenerDestination instance is a secondary event caused by the destruction of the represented listener destination; see 6.4.5.

- 2560 The requirement level of the ListenerDestinationRemovalIndication indication adaptation is optional.
- The implementation type of the ListenerDestinationRemovalIndication indication adaptation is: "indication".
- 2563 Table 48 lists the element requirements for the ListenerDestinationRemovalIndication adaptation.

2564

#### Table 48 – ListenerDestinationRemovalIndication: Element requirements

Elements	Requirement	Description
Base adaptations		
LifecycleIndication	Mandatory	See 7.3.32.

- 2565 The requirement level of the ListenerDestinationRemovalIndication adaptation is optional.
- The event reported by the ListenerDestinationRemovalIndication adaptation is defined by the following event definition query statement:

```
2568 SELECT * FROM CIM_InstDeletion WHERE SourceInstance ISA
2569 CIM ListenerDestination
```

# 2570 **7.3.34 SubscriptionRemovalIndication: CIM\_InstDeletion**

2571 The requirements in this subclause are WBEM server related implementation requirements; see 7.1.

2572 The SubscriptionRemovalIndication adaptation models a lifecycle indication that reports the destruction of

a CIM\_AbstractIndicationSubscription instance, as modeled in this profile by the AbstractSubscription

adaptation (see 7.3.25). The destruction of a CIM\_AbstractIndicationSubscription instance is a secondary

event caused by the destruction of the represented subscription; see 6.1.1.

- 2576 The requirement level of the SubscriptionRemovalIndication indication adaptation is optional.
- 2577 The implementation type of the SubscriptionRemovalIndication indication adaptation is: "indication".
- 2578 Table 49 lists the element requirements for the SubscriptionRemovalIndication adaptation.
- 2579

#### Table 49 – SubscriptionRemovalIndication: Element requirements

Elements	Requirement	Description
Base adaptations		
LifecycleIndication	Mandatory	See 7.3.32.

2580 The requirement level of the SubscriptionRemovalIndication adaptation is optional.

- The event reported by the SubscriptionRemovalIndication adaptation is defined by the following query statement:
- 2583SELECT \* FROM CIM\_InstDeletion WHERE SourceInstance ISA2584CIM AbstractIndicationSubscription

# 2585 7.4 Reliable indication delivery

# 2586 **7.4.1 General**

- This subclause defines mechanisms for the reliable delivery of indications from an implementation to a listener as described in 6.1.5.
- Implementations implementing the ReliableIndications feature (see 7.2.4) shall comply with the
   requirements specified in 7.4.3; note that in addition the requirements of the ReliableIndications
   adaptation (see 7.3.30) apply.
- Implementations not implementing the ReliableIndications feature are not required to comply with the provisions in this subclause or those in 7.3.30.

Listeners implementing the ReliableIndications feature (see 7.2.4) shall comply with the provisions stated in 7.4.4. Listeners not implementing the ReliableIndications feature are not required to comply with these provisions and may ignore the sequence identifiers in received indications, as exposed by the values of the SequenceContext and SequenceNumber properties in any received CIM Indication instances.

- 2598 **7.4.2 Sequence identifier and sequence identifier lifetime**
- 2599 This subclause defines the concepts of sequence identifier and sequence identifier lifetime.
- 2600 The *sequence identifier* within an indication enables unique identification of the indications originating 2601 from a particular WBEM server to a particular WBEM listener.
- A sequence identifier is composed of a sequence context and a sequence number.
- 2603
   NOTE
   The sequence number within a sequence identifier is not to be confused with the SEQUENCE\_NUMBER

   2604
   attribute value that is part of the identification of the alert message that defines an alert indication; see

   2605
   7.3.31.5.5.
- The sequence context is required to be unique for each listener destination maintained by the indication service within a WBEM server; within that context the sequence number is required to be unique for each indication delivered from the WBEM server to the listener referenced by the listener destination. The requirements for the CIM representation of the sequence identifier in reliable indications are defined in 7.3.30.
- 2611 The sequence identifier lifetime maintained by an implementation is a duration defined as follows:
- 2612 sequence-identifier-lifetime = number-of-retry-attempts \* delivery-retry-interval \* 10

2613 In this formula the number-of-retry-attempts is the number of retry attempts as indicated by the value of

the DeliveryRetryAttempts property (see 7.3.2.3.3) in the IndicationService instance representing the

- indication service within the implementation, and the delivery-retry-interval is the duration of the delivery
   retry interval as indicated by the value of the DeliveryRetryInterval property (see 7.3.2.3.4) in the same
   instance.
- 2618 Within the sequence identifier lifetime an implementation that is implementing reliable indications may
- attempt to retry failed indication delivery attempts, as detailed in 7.4.3, and a listener implementing
- reliable indications may expect the delivery of anticipated indications, as detailed in 7.4.4.

# 2621 7.4.3 WBEM server requirements

### 2622 7.4.3.1 General

Indication delivery is based on a publish/subscribe event paradigm, where an implementation delivers
indications to subscribed listeners. The indication delivery may fail for various reasons, including
unavailability of the listener or network issues. This subclause describes the requirements for the
implementation that are related to reliable indication delivery. The mechanisms to deliver indications and
to determine success or failure of indication delivery are protocol dependent; see the specifications of
applicable protocols that specify mechanisms for indication delivery.

# 2629 7.4.3.2 Prohibition of indication delivery for disabled or removed subscriptions

If a subscription is disabled or has been removed, the implementation should discard any undelivered
 indications for that subscription. For example, this applies if the implementation has queued indications
 for delivery retry, and the subscription is removed by a client before the delivery retry is executed.

#### 2633 7.4.3.3 Prohibition of repeated indication delivery

After an implementation has successfully delivered an indication to a listener, it shall not deliver that indication again to that same listener.

# 2636 **7.4.3.4** Requirements for the retry of failed indication deliveries

- 2637 If the attempt to deliver an indication to a particular listener fails, the implementation shall retry the2638 indication delivery as detailed in this subclause.
- The implementation shall wait for the duration of the delivery retry interval, as exposed by the value of the DeliveryRetryInterval property in the IndicationService instance (see 7.3.2)
   representing the indication service within the implementation.
- 2642
   2) If the actual number of retry attempts is less than the maximum number of retry attempts as
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- If the retry is successful, delivery of that indication to the particular listener is complete.
  - If the retry is not successful, and preconditions of step 2) still apply, then the implementation shall re-iterate starting with step 1).
- Otherwise, the indication shall be considered as not deliverable to the particular listener, and the requirements defined in 7.4.3.5 apply.

#### 2652 7.4.3.5 Requirements for undeliverable indications

- This subclause defines the implementation behavior if an indication has been considered unable to be delivered to a listener, as described in 7.4.3.4.
- If the listener destination referencing that listener is permanent (see 7.3.23.3.3), the implementation shall
  record an error and shall no longer attempt to deliver that indication to that listener (that is, the
  implementation shall discard it). This action does not modify the listener destination and any of its
  subscriptions.

2659 If the listener destination referencing that listener is transient (see 7.3.23.3.3), the implementation shall

- record an error and shall no longer attempt to deliver that indication to that listener (that is, the
- implementation shall discard it). In addition, the listener destination and its subscriptions may be removed from the implementation as described in 7.4.3.6.

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# 2663 7.4.3.6 Requirements for the implicit removal of subscriptions and listener destinations

An implementation may remove a subscription and the referenced listener destination if the delivery of one or more indications to the represented listener failed as described in 7.4.3.4 and 7.4.3.5.

The implementation behavior with respect to the implicit removal of subscriptions and listener destinations
 shall be exposed by the value of the SubscriptionRemovalAction property in the IndicationService
 instance representing the responsible indication service; see 7.3.2.3.5.

# 2669 7.4.3.7 Behavior related to WBEM server restarts

Indications that have been generated but not yet delivered may get lost during a WBEM server crash orrestart because there is not requirement to persist such indications.

2672 If the implementation chooses an algorithm for the construction of the sequence context part of the
2673 sequence identifier (see 7.4.2) that includes the WBEM server startup time, the potential re-use of the
2674 same sequence identifier is implicitly avoided. That way listeners can deal with indication delivery failures
2675 caused by WBEM server restarts in the same way they deal with other kinds of indication delivery failures.

# 2676 **7.4.4 WBEM listener requirements**

#### 2677 7.4.4.1 General

A listener shall keep track of each distinct sequence identifier of any indications received from a particular indication service for the duration of the sequence identifier lifetime maintained by that indication service, counting from the last time that sequence identifier was detected in a received indication from that indication service. If the same sequence identifier is used by two different indication services (for example, in two different implementations), the listener shall keep track of them independently.

After the lifetime of a sequence identifier expires, the listener should discard the knowledge about that sequence identifier from that indication service. After the knowledge about a sequence identifier for an indication service has been discarded by the listener, a new usage of that sequence identifier in an indication from that indication service shall be treated by the listener like a new, unknown sequence identifier from that indication service.

Keeping track of sequence identifiers in listeners enables the detection of lost and duplicate deliveries,
and the detection and re-ordering of indications arriving out of order, as described in 7.4.4.5. Discarding
the knowledge about sequence identifiers minimizes the resource requirements of the listener.

# 2691 **7.4.4.2** Determination of the expected sequence identifier of the next indication

From the sequence identifier of the last indication received from a particular implementation, a listener shall infer the expected sequence identifier of the next indication by incrementing the sequence number by 1, wrapping to an initial value of 0 if the maximum limit has been reached, and maintaining the sequence context.

#### 2696 7.4.4.3 Lost indications

If the sequence identifier of the next received indication sent from the same implementation does not
match the expected value as described in 7.4.4.2, the listener shall consider the expected indication as a
candidate for a lost indication. After waiting for the sequence identifier lifetime period as maintained by
the implementation sending that indication, the listener shall conclude that the expected indication is lost.

#### 2701 7.4.4.4 Duplicate indications

Any additional indications received from the same implementation with the same sequence identifier shall be considered duplicates. In this case, the lifetime for the sequence identifier shall be adjusted starting

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with the delivery time of the most recently received duplicate indication, and adding the sequence identifier lifetime period as maintained by the implementation sending that indication.

# 2706 7.4.4.5 Out-of-order indications

A listener that intends to re-establish the original order of indications before processing them needs to defer the processing of any prematurely arriving indication that does not have the expected sequence number, until the decision can be made as to whether the expected indications are lost.

2710 If the sequence identifier of the next received indication does not match the expected sequence identifier

as described in 7.4.4.2, the listener shall cache such prematurely arriving indications and wait for delivery of the indication with the expected sequence identifier for a period of time defined by the sequence

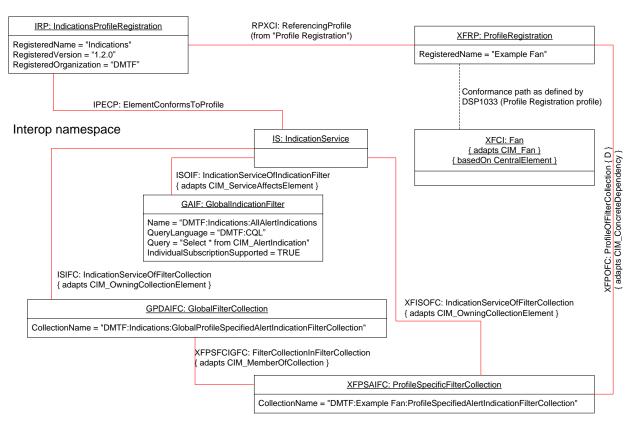
- identifier lifetime (as defined in 7.4.4.1) of the last received indication from the same implementation.
- 2714 If the indication with the expected sequence identifier is not received during that period, the expected 2715 indication should be considered lost (see 7.4.4.3).
- 2716 If the indication with the expected sequence identifier is received during that period, the indication order
- shall be re-ordered using their sequence numbers, such that the indications are processed in the order
- they were sent by the implementation.

# 2719 8 Use cases

# 2720 8.1 Object diagrams

Figure 4 depicts a DMTF object diagram. It shows CIM instances exposed by the implementation of an Example Fan profile that defines some indications (not shown in the diagram), and thus is required by DSP1001 to reference this profile, implying the implementation of respective elements defined in this profile.

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# Figure 4 – DMTF object diagram: Global and profile-specific filter collections

The implemented version of this profile is represented by the RegisteredProfile instance IRP, the
implemented version of the Example Fan profile is represented by RegisteredProfile instance XFRP, and
the reference relationship is shown by the ReferencingProfile association instance RPXCI.

The implementation of this profile exposes the IndicationService (see 7.3.2) instance IS representing the implemented indication service. It also exposes the GlobalIndicationFilter (see 7.3.16) instance GAIF representing the global indication filter covering all alert indications.

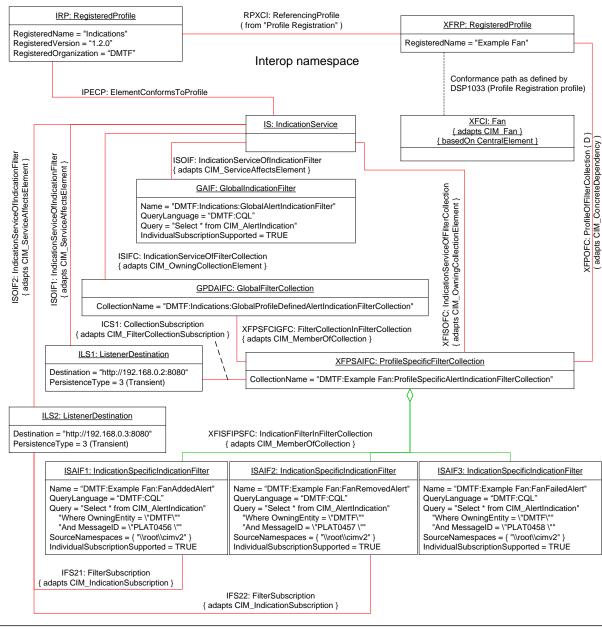
Furthermore, the implementation of this profile exposes the GlobalFilterCollection (see 7.3.22) instance GPDAIFC representing the global filter collection for alert indications with a defined coverage covering all profile defined alert indications. The implementation of the Example Fan profile exposes the ProfileSpecificFilterCollection (see 7.3.21) instance XFPSAIFC representing the related profile-specific filter collection for alert indications with a defined coverage covering all alert indications defined in the Example Fan profile.

The global filter collection for alert indications represented by GPDAIFC contains the profile-specific filter collection for alert indications represented by XFPSAIFC; this containment relationship is represented by the FilterCollectionInFilterCollection (see 7.3.20) instance XFPSFCIGFC. Because the coverage of the

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- 2743 global filter collection is explicitly represented by containment, in this case its coverage is inspectable by
- 2744 clients. However, the CIM representation of the contained profile-specific filter collection for alert
- indications represented by XFPSAIFC does not expose any contained elements. In that case clients
   would require prior knowledge of the defined coverage, that is, all alert indications defined in the Example
- Fan profile, which (because of the explicitly represented containment relationship) is in this example also
- the coverage of the global filter collection for alert indications represented by GPDAIFC.

#### Figure 5 depicts a DMTF object diagram. It shows a variant of the situation illustrated in Figure 4.



#### \root\cimv2 namespace

NOTE: The indications originate in this namespace, but do not exist in the namespace because they are transitionary objects

XFALERT1: FanAddedAlert	XFALERT2: FamRemovedAlert	XFALERT3: FanFailedAlert
IndicationIdentifier = "XFALERT1"	IndicationIdentifier = "XFALERT2"	IndicationIdentifier = "XFALERT3"
IndicationTime = "23:30:00 09/30/2009"	IndicationTime = "23:45:00 09/30/2009"	IndicationTime = "23:55:00 09/30/2009"
OwningEntity = "DMTF"	OwningEntity = "DMTF"	OwningEntity = "DMTF"
MessageID = "PLAT0456"	MessageID = "PLAT0457"	MessageID = "PLAT0458"
AlertingManagedElement = " <uri referencing<="" td=""><td>AlertingManagedElement = "<uri referencing<="" td=""><td>AlertingManagedElement = "cURI referencing</td></uri></td></uri>	AlertingManagedElement = " <uri referencing<="" td=""><td>AlertingManagedElement = "cURI referencing</td></uri>	AlertingManagedElement = "cURI referencing
a CIM_Fan instance representing	a CIM_Fan instance that represented	a CIM_Fan instance representing
the added fans"	the removed fans"	the failed fan>"
AlertType = 5 (Device Alert)	AlertType = 5 (Device Alert)	AlertType = 5 (Device Alert)
PerceivedSeverity = 2 (Information)	PerceivedSeverity = 3 (Degraded / Warning)	PerceivedSeverity = 4 (Minor)



# Figure 5 – DMTF object diagram: Filter collections and contained indication filters

The first difference from the situation shown in Figure 4 is that in Figure 5 the profile-specific filter collection for alert indications represented by XFISAIFC contains three indication filters, represented by

#### DSP1054

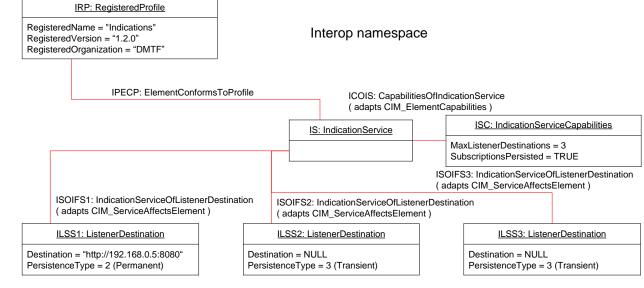
- the IndicationSpecificIndicationFilter instances ISAIF1, ISAIF2 and ISAIF3. Hence the coverage of the
- profile-specific filter collection for alert indications represented by XFPSAIFC is now defined by the
  contained indication filters, that is, it covers the three alert indications described by the alert messages
  with the IDs PLAT0456, PLAT0457, and PLAT0458.

2758 It is important to recapture that — as with any indication gate — the presence of the CIM representation of specific indication filters does not indicate that the covered indications are actually implemented. The 2759 semantics of indication gates are defined with respect to *filtering*, but not with respect to generating. 2760 indications (see 7.3.11.2 and 7.3.17.2). Thus, a subscribed listener is guaranteed only to be delivered any 2761 generated indication that is within the coverage of the indication gate, but the generation of the indication 2762 2763 is not guaranteed. For that reason referencing profiles need to model other elements — such as capabilities — for the purpose of conveying the information about which indications defined in the 2764 2765 referencing profile are actually implemented and thus generated when the respective event occurs; the 2766 definition of such mechanisms is outside the scope of this profile.

The second difference between Figure 4 and Figure 5 is that in Figure 5 listener destinations are represented by the ListenerDestination instances ILS1 and ILS2. The listener referenced by ILS1 is subscribed to the profile-specific filter collection represented by XFPSAIFC, and the listener referenced by ILS1 is subscribed to the indication-specific indication filters represented by ISAIF1 and ISAIF2.

Lastly, the representations of three indications are shown at the bottom of Figure 5, along with their origin namespace. Each of these indications is within the coverage of the indication filter represented directly above it. Thus, the alert indications represented by XFALERT1 and XFALERT2 are delivered to both the listeners represented by ILS1 and ILS2, whereas XFALERT3 is only delivered to ILS1.

Figure 6 depicts the DMTF object diagram for an implementation that supports a fixed number of listener destinations.



# 2777 2778

# Figure 6 – DMTF object diagram: Static listener destinations

2779 In the example shown in Figure 6, an implementation supports a maximum of three listener destinations, indicated by the value of the MaxListenerDestinations property in the IndicationServiceCapabilities 2780 instance ISC that describes the capabilities of the indication service within the implementation. The three 2781 2782 listener destinations are represented by the three respective Listener Destination instances ILSS1, ILSS2, 2783 and ILSS3. The listener destination represented by ILSS1 is currently configured as a permanent listener 2784 destination, referencing the listener reachable under URI "http://192.168.0.5:8080". The listener 2785 destinations represented by ILSS2 and ILSS3 currently are free listener destinations as indicated by the 2786 value Null for the Destination property, that is, they are not currently configured for a specific listener. A

#### **Indications Profile**

client can request modifications of any of the listener destinations in order to reference a desired listener
 for indication delivery by modifying the representing ListenerDestination instances.

# 2789 8.2 LocateIndicationService: Locate the indication service provided by an 2790 implementation of this profile

# 2791 8.2.1 Preconditions

- 2792 The client knows the following:
- The identifying information of a WBEM server (for example, its IP address and the port number if the WBEM server implements CIM operations over http as described in <u>DSP0223</u>)
- Name, required version, and registered organization of this profile as stated in 7.3.5

# 2796 8.2.2 Flow of activities

- 27971)The client obtains all IndicationsProfileRegistration instances (see 7.3.5), applying respective2798use cases described in DSP1033 to locate CIM\_RegisteredProfile instances representing profile2799registrations of particular profiles and selecting those instances where the values of the2800RegisteredName, RegisteredVersion, and RegisteredOrganization properties match the2801required input values.
- 2802 The result is zero or more IndicationsProfileRegistration instances (see 7.3.23).
- 2803NOTE 1Typically only one instance is returned, but if this profile is implemented more than once within the<br/>identified WBEM server, more than one instance may be returned.
- 2805If no instance was detected, this use case is complete and the client knows that the required2806version of this profile is not implemented within the WBEM server. If one or more instances2807were detected, any of them represents the required version of this profile, and the client can2808select any of these for further processing.
- 2809
   2) The client applies use cases described in <u>DSP1033</u> in order to locate instances of the IndicationService adaptation that is the central class adaptation defined in this profile.
- 2811 The result is zero or one IndicationService instances (see 7.3.2).
- 2812 NOTE 2
   2813 Technically, more than one instance could be returned, but that would indicate a non-compliant implementation of this profile.
- 2814If no instance was detected, this use case is complete and the client knows that an indication2815service is not presently active within the identified WBEM server. If one or more instances were2816detected, any of them represents an indication service compliant to the requirements specified2817in this profile, and the client can select any of these for further processing.

# 2818 8.2.3 Postconditions

Unless errors occurred, the client either knows an IndicationService instance (including its object path)
 representing an indication service within the identified WBEM server with a behavior compliant to the
 requirements specified in this profile or knows that either this profile is not implemented within the
 identified WBEM server or that no indication service is presently active within the identified WBEM server.

# **8.3** LocateProfileIndicationService: Locate the indication service responsible for delivering indications defined by a referencing profile

### 2825 8.3.1 Preconditions

- 2826 The client knows the following:
- The ProfileRegistration instance (including its object path) representing the profile registration of the referencing profile

# 2829 8.3.2 Flow of activities

- For the input ProfileRegistration instance, find the IndicationsProfileRegistration instances (see 7.3.5) associated through ReferencedProfile instances (see <u>DSP1033</u>) (for example, using the Associators() operation).
- 2833 The result is zero or one IndicationsProfileRegistration instances (see 7.3.5).
- 2834NOTE 1Technically, more than one instance could be returned, but that would indicate a non-compliant2835implementation of the referencing profile.
- 2836 If no instance was detected, this use case is complete and the client knows that the 2837 implementation of the referencing profile did not implement indications.
- 2838
   2) For the IndicationsProfileRegistration instance obtained in step 1), find the IndicationService
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   For the IndicationsProfileRegistration instance obtained in step 1), find the IndicationService
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- 2841 The result is zero or one IndicationService instances (see 7.3.2).
- 2842NOTE 2Technically, more than one instance could be returned, but that would indicate a non-compliant2843implementation of this profile.

#### 2844 8.3.3 Postconditions

Unless errors occurred, the client knows an IndicationService instance (including its object path)
 representing an indication service that is responsible for delivering indications defined by the referencing
 profile.

# 2848 8.4 DetermineIndicationServiceCapabilities: Determine the capabilities of an 2849 indication service

- 2850 8.4.1 Preconditions
- 2851 The client knows all of the following:
- a copy of the IndicationService instance (including its object path) representing the indication
   service within the implementation
- 2854NOTEFor example, that IndicationService instance could be obtained by applying the LocateIndicationService2855use case (see 8.2) or the LocateProfileIndicationService use case (see 8.3).

# 2856 8.4.2 Flow of activities

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- 2857 1) Inspecting property values of the IndicationService instance (see 7.3.2.3), the client can already determine some aspects of the behavior of the represented indication service.
- 2859For example, the value of the FilterCreationEnabled property indicates whether the support for2860dynamic indication filters as modeled by the DynamicIndicationFilters feature (see 7.2.1) is2861available.
- 2862The values of the DeliveryRetryAttempts, the DeliveryRetryInterval, the2863SubscriptionRemovalAction, and the SubscriptionRemovalTimeInterval indicate if and to what2864extent the support for reliable indications as modeled by the ReliableIndications feature (see28657.2.4) is available.
- 2866
   2) Find the IndicationsServiceCapabilities instance (see 7.3.7) representing the capabilities of the input indication service, by traversing the CIM\_ServiceAffectsElement association modeled by the CapabilitiesOfIndicationService association adaptation (see 7.3.8) by invoking the Associators() operation with the following actual values for the input parameters:
- 2870 InstanceName: the object path to the input IndicationService instance
- 2871-AssocClass: "CIM\_ElementCapabilities", the adapted class of the2872CapabilitiesOfIndicationService association adaptation
  - ResultClass: "CIM\_IndicationServiceCapabilities", the adapted class of the IndicationServiceCapabilities adaptation
- 2875 The result is zero or one IndicationServiceCapabilities instance.
- 2876NOTETechnically, more than one instance could be returned, but that would indicate a non-compliant2877implementation of this profile.
- 2878 If an IndicationServiceCapabilities instance was returned, the use case continues with step 3);
   2879 otherwise, it continues with step 4).
- 2880 3) Inspect the property values of the returned IndicationServiceCapabilities instance (see 7.3.7). 2881 The values of those properties with names ending with "IsSettable" enable the client to 2882 determine whether client modification of respective aspects of the behavior of the input 2883 indication service is possible. The values of the MaxListenerDestinations and the 2884 MaxActiveSubscriptions properties expose the upper limits for the number of listener 2885 destinations and for the number of subscriptions supported by the indication service, and the value of the SubscriptionsPersisted property exposes whether subscriptions are persisted over 2886 restarts of the input indication service. This step completes this use case. 2887
- 2888
   4) Continue here after step 2) if no IndicationServiceCapabilities instance was returned. In this case, client modification of the indication service is not supported, and the upper limits for the number of supported listener destinations and subscriptions is not exposed by the implementation; in addition, whether subscriptions are persisted over indication service restarts is not exposed.

# 2893 8.4.3 Postconditions

2894 Unless errors occurred, the client knows the capabilities of the input indication service as far as it is 2895 exposed by the representing IndicationService instance, by the related IndicationServiceCapabilities 2896 instance, and by initial behavior specified in this profile.

# 2897 8.5 ModifyIndicationService: Modify functional aspects of an indication service

- 2898 The client knows all of the following:
- a copy of the IndicationService instance (including its object path) (see 7.3.2) representing the indication service within the implementation (see the LocateIndicationService use case in 8.2)
- a copy of the IndicationServiceCapabilities instance (including its object path) (see 7.3.7)
   representing the capabilities of the indication service within the implementation (See the
   DetermineIndicationServiceCapabilities use case in 8.4.)

#### 2904 8.5.1 Flow of activities

- Inspect the property values in the input IndicationsServiceCapabilities instance (see 7.3.7)
   representing the capabilities of the input indication service to determine which properties in the
   IndicationService instance are modifiable. (See step 3) in the
   DetermineIndicationServiceCapabilities use case in 8.4.)
- 2909
   2) If admissible by the determination of step 1), in the input local copy of the input
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- 2914 3) Use the ModifyInstance() operation to request the desired change in the behavior of the
   2915 indication service, providing the modified copy of the IndicationService instance as the actual
   2916 value of the ModifiedInstance parameter.

# 2917 8.5.2 Postconditions

2918 Unless errors occurred, the desired change of functional aspects of the input indication service is 2919 effective.

# 2920 8.6 ListListenerDestinations: List all listener destinations exposed by an 2921 implementation

- 2922 8.6.1 Preconditions
- 2923 The client knows all of the following:
- the object path to the IndicationService instance representing the indication service within the implementation (see 8.2)

#### 2926 **8.6.2** Flow of activities

- 29271)Find all listener destinations within the responsibility of the indication service by traversing the<br/>CIM\_ServiceAffectsElement association modeled by the IndicationServiceOfListenerDestination<br/>adaptation (see 7.3.24) by invoking the Associators() operation with the following actual values<br/>for the input parameters:
- 2931 InstanceName: the object path to the input IndicationService instance
- 2932-AssocClass: "CIM\_ServiceAffectsElement", the adapted class of the2933IndicationServiceOfListenerDestination adaptation
- 2934-ResultClass: "CIM\_ListenerDestination", the adapted class of the ListenerDestination2935adaptation
- 2936 The result is a set of ListenerDestination instances (see 7.3.23).

# 2937 8.6.3 Postconditions

2938 Unless errors occurred, the client knows all ListenerDestination instances (including their object paths) 2939 representing all the listener destinations maintained by the implementation.

# 8.7 SelectListenerDestination: Select an existing listener destination referencing a desired listener

# 2942 8.7.1 Preconditions

- 2943 The client knows all of the following:
- the object path to the IndicationService instance representing the indication service within the implementation (see 8.2)
- the URI exposed by the desired listener
- the particular protocol to be applied when delivering these indications
- 2948 **8.7.2** Flow of activities
- 2949 1) Execute the ListListenerDestinations use case (see 8.6).
- 2950 The result is a set of ListenerDestination instances (see 7.3.23).
- 2951 2) Inspect each ListenerDestination instance resulting from step 1) by checking the value of the
   2952 Destination property against the input URI, and by checking whether the value of the Protocol
   2953 property matches the particular protocol for this use case.
- 2954If both conditions are met, the located ListenerDestination represents a listener destination that2955within the implementation represents the particular listener, and this use case is complete;2956otherwise, the client needs to repeat step 2), inspecting further ListenerDestination instances2957from the result of step 1).
- If all result elements from step 1) checked in step 2) did not yield a ListenerDestination instance
   referencing the listener, then this use case is complete and the client knows that the listener is
   not presently represented by a listener destination within the implementation.

# 2961 8.7.3 Postconditions

Unless errors occurred, the client either knows a ListenerDestination instance (including its object path)
 representing a listener destination within the implementation that references the particular listener, or
 knows that the listener is not referenced by any listener destination within the implementation.

In the latter case, and if the implementation has also implemented the dynamic creation of listener
 destinations, the client could apply the CreateListenerDestination use case (see 8.8) to dynamically
 create a respective listener destination within the implementation that represents the desired listener.

# 2968 **8.8 CreateListenerDestination: Create a new listener destination**

# 2969 8.8.1 Preconditions

- 2970 The client knows all of the following:
- The same as for the SelectListenerDestination use case; see 8.7.1.

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#### 2972 **8.8.2 Flow of activities**

- 2973 1) Execute the SelectIndicationFilter use case (see 8.7).
- 2974 If a listener destination referencing the desired listener is found, use that; in this case, this use 2975 case is complete.
- 2976
   2) Prepare a local instance of the CIM\_ListenerDestination class that complies with the requirements of the ListenerDestination adaptation (see 7.3.23), inserting property values as follows:
- 2979-Destination: the identification of the listener that the new listener destination is to2980reference, using the format required in 7.3.23.3.2. The format needs to be compatible2981with the requested protocol.
  - PersistenceType: the durability requested for the new listener destination, using the format required in 7.3.23.3.3.
- 2984-Protocol: the protocol to used for the communication with the listener, using the format2985-required by the CIM schema definition of the CIM\_ListenerDestination class.
- 29863)Request the creation of the new listener destination in the implementation by invoking the2987CreateInstance() operation, providing the CIM\_ListenerDestination instance prepared in step 2)2988as the actual value of the NewInstance parameter.
- 2989If successful, the operation returns the object path of the ListenerDestination instance2990representing the newly created listener destination.
- 2991If not successful, the operation returns a CIM status code providing details about the failure2992(see 7.3.23.3.4).

# 2993 8.8.3 Postconditions

Unless errors occurred, the client knows the object path of a ListenerDestination instance representing a
 listener destination referencing the desired listener that either preexisted or was created; otherwise, the
 client knows details about why it was not possible to find or dynamically create the respective listener
 destination.

# **8.9** FindFreeListenerDestination: Find a free listener destination

- 2999 8.9.1 Preconditions
- 3000 The client knows all of the following:
- the object path to the IndicationService instance representing the indication service within the implementation (see 8.2)

#### 3003 8.9.2 Flow of activities

- 3004 1) Execute the ListListenerDestinations use case (see 8.6).
- 3005The result of this step is the set of ListenerDestination instances (including their object paths)3006representing all the listener destinations within the implementation.
- From the result of step 1), select a free listener destination; free listener destinations are
   represented by those ListenerDestination instances where the value of the Destination property
   Null.

# 3010 8.9.3 Postconditions

3011 Unless errors occurred, the client knows a free listener destination, or knows that presently no free 3012 listener destinations exist within the implementation.

# 3013 **8.10** ModifyListenerDestination: Modify an existing listener destination

# 3014 8.10.1 Preconditions

- 3015 The client knows all of the following:
- a local copy of a ListenerDestination instance (see 7.3.23)
- 3017NOTEFor example, the listener destination and its representing ListenerDestination instance might have been<br/>obtained by executing the FindFreeListenerDestination use case described in 8.9.

# 3019 8.10.2 Flow of activities

- 3020 1) Modify the local copy of the ListenerDestination instance, maintaining compliance with the requirements of the ListenerDestination adaptation (see 7.3.23).
- 3022 2) Modify the listener destination maintained by the implementation by invoking the
   3023 ModifyInstance() operation, providing the CIM\_ListenerDestination instance prepared in step 1)
   3024 as the actual value of the ModifiedInstance parameter.
- 3025 If successful, the operation returns without error; otherwise, the operation returns a CIM status code providing details about the failure (see 7.3.23.3.6).

# 3027 8.10.3 Postconditions

3028 Unless errors occurred, the listener destination represented by the input ListenerDestination instance was
 3029 modified; otherwise, the client knows details about why it was not possible to modify the represented
 3030 listener destination.

# 3031 8.11 DeleteListenerDestination: Delete an existing listener destination

- 3032 8.11.1 Preconditions
- 3033 The client knows all of the following:
- the object path to a ListenerDestination instance (see 7.3.23)

# 3035 **8.11.2 Flow of activities**

- 30361)For the input ListenerDestination instance, find all AbstractSubscription instances (see 7.3.25)3037referencing the ListenerDestination instance (for example, using the ReferenceNames()3038operation).
- 30392)Delete all subscriptions referencing the input listener destination by executing the3040DeleteSubscription use case (see 8.21) for each AbstractSubscription instance returned by step30411).
- 3042 3) Invoke the DeleteInstance() operation on the input ListenerDestination instance, effecting the deletion of the referenced listener destination.

# 3044 8.11.3 Postconditions

3045 Unless errors occurred, the input listener destination is deleted and no longer represented by any3046 ListenerDestination instances.

# **8.12** FindIndicationFilter: Find an indication filter covering a particular indication

### 3048 8.12.1 Preconditions

3049 The client knows all of the following:

- the object path to the IndicationService instance representing the indication service within the implementation (see 7.3.2)
- an implemented indication. Knowledge about whether or not a particular indication is actually
   implemented could for example be obtained by inspecting respective capabilities exposed by an
   implementation of a referencing profile that defines an adaptation of the particular indication.

#### 3055 8.12.2 Flow of activities

- 30561)Find all indication filters within the responsibility of the indication service by traversing the<br/>CIM\_ServiceAffectsElement association modeled by the IndicationServiceOfIndicationFilter<br/>association adaptation (see 7.3.14) by invoking the Associators() operation with the following<br/>actual values for the input parameters:
- 3060 InstanceName: the object path to the input IndicationService instance
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   AssocClass: "CIM\_ServiceAffectsElement", the adapted class of the

   3062
   IndicationServiceOfIndicationFilter association adaptation
- 3063 ResultClass: "CIM\_IndicationFilter", the adapted class of the IndicationFilter adaptation
- 3064 The result of this step is a set of IndicationFilter instances (see 7.3.11).
- 30652)Inspect each IndicationFilter instance resulting from step 1) by first checking the value of the<br/>QueryLanguage property. If the query language indicated by that value is interpretable by the<br/>client, interpret the query statement presented by the value of the Query property; otherwise,<br/>continue inspecting the next IndicationFilter instance returned by step 1).
- 3069 If the desired indication is not within the coverage as expressed by the query statement, then 3070 continue inspecting the next IndicationFilter instance returned by step 1).
- 3071
   3) If the client desires to subscribe to the indication filter, continue by inspecting the IndicationFilter instance resulting from step 1) by checking whether the value of the IndividualSubscriptionSupported property is True. If so, this use case is complete; otherwise, continue with step 2) inspecting the next IndicationFilter instance returned by step 1); otherwise, this use case is complete.

#### 3076 8.12.3 Postconditions

3077 Unless errors occurred, and if step 3) produced a suitable IndicationFilter instance, the client by that 3078 instance (including its object path) knows an indication filter that covers the desired indication and that 3079 supports individual subscriptions; otherwise, the client knows that within the responsibility of the indication 3080 service no such indication filter exists.

# 3081 8.13 DetermineQueryLanguages: Determine the set of query languages 3082 supported for query statements

- 3083 **8.13.1 Preconditions**
- 3084 The client knows all of the following:
- The same as for the FindIndicationFilter use case described in 8.12.1.
- 3086NOTEThe procedure outlined in this use case is only an auxiliary approach to be pursued if preliminary<br/>knowledge about the query languages supported by an implementation is not available to the client.

# 3088 8.13.2 Flow of activities

30891)Execute steps 1) and 2) of the FindIndicationFilter use case (see 8.9), but vary step 2) to collect<br/>the query languages applied by all the inspected indication filters.

# 3091 8.13.3 Postconditions

- 3092 Unless errors occurred, the client knows all the query languages in use by existing indication filters.
- 3093NOTEBecause not all query languages supported by an implementation might be in use by indication filters, the<br/>set of query languages obtained by executing this use case is actually an open subset of the set of<br/>supported query languages.

# 8.14 CreateIndicationFilter: Create a dynamic indication filter covering a particular indication

- 3098 8.14.1 Preconditions
- 3099 The client knows all of the following:
- The same as for the FindIndicationFilter use case described in 8.12.1.

# 3101 **8.14.2 Flow of activities**

- 3102 1) Execute the FindIndicationFilter use case (see 8.9).
- 3103If a suitable indication filter covering the desired indication is found, use that; in this case, this<br/>use case is complete.
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   If not already done previously, execute step 1) of the DetermineIndicationServiceCapabilities use case (see 8.4) and determine by the value of the FilterCreationEnabled property whether the support for dynamic indication filters as modeled by the DynamicIndicationFilters feature (see 7.2.1) is available.
- 3109
   3) If the set of query languages supported by the implementation is not known a priori, execute the
   3110
   DetermineQueryLanguages use case (see 8.13).
- 3111 4) Prepare a local instance of the CIM\_IndicationFilter class that complies with the requirements of the DynamicIndicationFilter adaptation (see 7.3.13), inserting property values as follows:
  - QueryLanguage: a query language supported by the implementation; see 7.3.11.3.6.
    - Query: the query statement covering the desired set of indications; see 7.3.11.3.5.
    - NOTE Additional constraints on properties of the CIM\_Indication class selected by the query statement may be specified through the WHERE clause; however, if the implementation is unable to comply with these constraints, the operation will fail.
- 3118-SourceNamespaces[]: a list of local namespace names identifying the namespaces3119-considered as ; see 7.3.11.3.3.
- 3120 5) Request the creation of the new dynamic indication filter in the implementation by invoking the
   3121 CreateInstance() operation, providing the CIM\_IndicationFilter instance prepared in step 4) as
   3122 the actual value of the NewInstance parameter.
- 3123If successful, the operation returns the object path of the DynamicIndicationFilter instance3124representing the newly created dynamic indication filter.
- 3125If not successful, the operation returns a CIM status code providing details about the failure3126(see 7.3.13.2.2).

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# 3127 8.14.3 Postconditions

3128 Unless errors occurred, the client knows the object path of an IndicationFilter instance representing an

indication filter covering the desired indication that either preexisted or was dynamically created;
otherwise, the client knows details about why it was not possible to find or dynamically create the
respective indication filter.

# **8.15** ModifyIndicationFilter: Modify a dynamic indication filter

### 3133 **8.15.1 Preconditions**

- 3134 The client knows all of the following:
- a local copy of an DynamicIndicationFilter instance (see 7.3.13)
- 3136NOTEFor example, that dynamic indication filter and its representing DynamicIndicationFilter instance might3137have been created by executing the CreateIndicationFilter use case; see 8.14.

#### 3138 8.15.2 Flow of activities

- Modify the local copy of the DynamicIndicationFilter instance, maintaining compliance with the requirements of the DynamicIndicationFilter adaptation (see 7.3.13).
- 3141 2) Modify the dynamic indication filter maintained by the implementation by invoking the
   3142 ModifyInstance() operation, providing the DynamicIndicationFilter instance prepared in step 1)
   3143 as the actual value of the ModifiedInstance parameter.
- 3144 3) If successful, the operation returns without error; otherwise, the operation returns a CIM status code providing details about the failure (see 7.3.13.2.4).

### 3146 **8.15.3 Postconditions**

3147 Unless errors occurred, the dynamic indication filter represented by the input DynamicIndicationFilter 3148 instance was modified; otherwise, the client knows details about why it was not possible to modify the 3149 represented dynamic indication filter.

# 3150 **8.16 DeleteIndicationFilter: Delete a dynamic indication filter**

#### 3151 8.16.1 Preconditions

- 3152 The client knows all of the following:
- the object path to a DynamicIndicationFilter instance (see 7.3.13)

#### 3154 **8.16.2 Flow of activities**

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   For the input DynamicIndicationFilter instance, find all AbstractSubscription instances (see 7.3.25) referencing the DynamicIndicationFilter instance (for example, using the ReferenceNames() operation).
- 31582)Delete all subscriptions referencing the input listener destination, by executing the3159DeleteSubscription use case (see 8.21) for each AbstractSubscription instance returned by step31601).
- 3161 3) Invoke the DeleteInstance() operation on the input DynamicIndicationFilter instance, effecting
   3162 the deletion of the referenced dynamic indication filter.

# 3163 8.16.3 Postconditions

Unless errors occurred, the input dynamic indication filter is deleted and no longer represented by any DynamicIndicationFilter instances.

# 3166 **8.17 CheckCollectionCoverage: Check the coverage of a filter collection**

# 3167 8.17.1 Preconditions

- 3168 The client knows all of the following:
- a local copy of a StaticFilterCollection instance (see 7.3.17), and the object path referencing the original StaticFilterCollection instance within the implementation

# 3171 8.17.2 Flow of activities

- 3172 1) Check whether the input filter collection contains any elements by resolving from the
   3173 StaticFilterCollection instance the CIM\_ConcreteComponent association as modeled by the
   3174 IndicationFilterInFilterCollection association adaptation (see 7.3.19) and the
   3175 FilterCollectionInFilterCollection association adaptation (see 7.3.20).
- 3176 If no contained elements are discovered, a defined coverage may apply as the coverage; in this 3177 case, skip to step 4).
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   For each of the contained elements found in step 1), determine the contributed coverage and add that to the resulting aggregated coverage of the input filter collection.
- 3180In the case of a contained indication filter, the contributed coverage is determined by inspecting3181the values of the QueryLanguage property and that of the Query property containing the query3182statement.
- 3183In the case of a contained filter collection, the contributed coverage is determined by recursively3184applying this use case (8.17).
- 3185
   3) Aggregate the contributed coverage of each contained element as determined in step 2) into the resulting aggregated coverage of the input filter collection. After completing this step the client knows the aggregated coverage of the input filter collection, and this use case is complete.
- 3188 4) This step applies if no contained elements were discovered in steps 2) and 3).
- 3189Check the value of the CollectionName property in the StaticFilterCollection instance for the<br/>pattern required for the name the global filter collection covering all instance lifecycle<br/>indications, as detailed in 7.3.22.4.4.
- 3192If the pattern matches, the client knows that the represented filter collection is the global filter3193collection covering all instance lifecycle indications; in this case, the client knows that the3194coverage of the input filter collection is all instance lifecycle indications and this use case is3195complete.
- S) Check the value of the CollectionName property in the StaticFilterCollection instance for the pattern required for the name of global filter collections for profile defined indications, as defined in 7.3.22.
- 3199If the pattern matches, the client knows that the represented filter collection is a global filter3200collection for profile defined indications with a defined coverage as detailed in 7.3.22. The client3201needs to have a priori knowledge about the defined coverage of each referencing profile, and3202this use case is complete.
- 3203
   6) Check the value of the CollectionName property in the StaticFilterCollection instance for the pattern required for the name of profile-specific filter collections as defined in 7.3.21.2.2.

- 3205If the pattern matches, the client knows that the input filter collection is a profile-specific filter3206collection with a defined coverage as detailed in 7.3.21.3. The client needs to have a priori3207knowledge about the defined coverage of the identified referencing profile, and this use case is3208complete.
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# 3213 8.17.3 Postconditions

3214 Unless errors occurred, or in the cases determined in steps 5) and 6) above the client does not have a 3215 priori knowledge about the defined coverage(s), the client knows the coverage of the input filter collection.

# 3216 8.18 ObtainNamedCollection: Obtain a named filter collection

#### 3217 8.18.1 Preconditions

- 3218 The client knows all of the following:
- the object path to the IndicationService instance representing the indication service within the implementation (see 7.3.2)
- the name of the named filter collection, for example, the name of a global filter collection or of a profile-specific filter collection

#### 3223 8.18.2 Flow of activities

- 32241)Find all filter collections within the responsibility of the indication service by traversing the3225CIM\_ServiceAffectsElement association modeled by the IndicationServiceOfFilterCollection3226association adaptation (see 7.3.18) by invoking the Associators() operation with the following3227actual values for the input parameters:
- 3228 InstanceName: the object path to the input IndicationService instance
- 3229
   –
   AssocClass: "CIM\_ServiceAffectsElement", the adapted class of the

   3230
   IndicationServiceOfFilterCollection association adaptation
- 3231
   –
   ResultClass: "CIM\_FilterCollection", the adapted class of the StaticFilterCollection

   3232
   adaptation
- 3233 The result of this step is a set of StaticFilterCollection instances (see 7.3.17).
- Inspect each StaticFilterCollection instance resulting from step 1) by checking the value of the
   CollectionName property. If the name of the static filter collection as indicated by that value
   matches the desired name, this use case is complete; otherwise, continue inspecting the next
   IndicationFilter instance returned by step 1).

# 3238 8.18.3 Postconditions

Unless errors occurred, the client knows the named filter collection by means of the representingStaticFilterCollection instance (including its object path).

# 3241 8.19 CreateSubscription: Create a subscription

- 3242 8.19.1 Preconditions
- 3243 The client knows all of the following:
- the object path to the IndicationService instance representing the indication service within the implementation (see 7.3.2)
- an object path to an IndicationFilter instance representing an indication filter covering the desired indication or set of indications
- 3248 For example, see the FindIndicationFilter (8.12) or CreateIndicationFilter (8.14) use cases about 3249 how to obtain that object path.
- Alternatively, an object path to a StaticFilterCollection instance representing a filter collection covering the desired indication or set of indications. For example, see the
   ObtainNamedCollection use case (8.18) about how to obtain the object path to a
   StaticFilterCollection instance representing a global filter collection or a profile-specific filter
   collection.
- an object path to a ListenerDestination instance representing a listener destination that
   represents the desired listener within the implementation. For example, see the
   SelectListenerDestination use case (8.7) about how to obtain that object path.

# 3258 8.19.2 Flow of activities

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3264

- Prepare a local instance of the CIM\_IndicationSubscription class (or the CIM\_FilterCollectionSubscription for a subscription to a filter collection) that complies with the requirements of the FilterSubscription adaptation (see 7.3.26) or the CollectionSubscription adaptation (see 7.3.27), inserting property values as follows:
  - Filter: input object path to the indication filter (or to the filter collection)
    - Handler: input object path to the listener destination
- 3265The values of other properties should be specified in conformance with the capabilities of the3266implementation as exposed by instances of the IndicationService adaptation and the3267IndicationServiceCapabilities adaptation; see the DetermineIndicationServiceCapabilities use3268case (8.4) to obtain knowledge about these capabilities.
- 3269Values not described through these adaptations may or may not be respected by the3270implementation; in this case it is implementation dependent whether in step 2) the3271implementation imposes a respective default behavior, or whether it fails in creating the new3272subscription.
- 3273 2) Define the new subscription to the implementation by invoking the CreateInstance() operation,
   3274 providing the CIM\_IndicationSubscription (or CIM\_FilterCollectionSubscription) instance
   3275 prepared in step 1) as the actual value of the NewInstance parameter.
- 3276 If successful, the operation returns the object path of the DynamicIndicationFilter instance 3277 representing the newly created subscription.
- 3278 If not successful, the operation returns a CIM status code providing details about the failure (see 7.3.26.3.2 or 7.3.27.3.2).

# 3280 8.19.3 Postconditions

Unless errors occurred, the client knows the object path of an AbstractSubscription instance representing
 the newly created subscription; otherwise, the client knows details about why it was not possible to create
 the subscription.

# 8.20 CheckSubscriptions: Determine whether subscriptions exist for a given indication and listener

# 3286 8.20.1 Preconditions

- 3287 The client knows all of the following:
- the object path to the IndicationService instance representing the indication service within the implementation (see 8.2)
- the URI exposed by the desired listener

#### 3291 8.20.2 Flow of activities

- 3292 1) Execute the ListListenerDestinations use case (see 8.6). The result is a set of
   3293 ListenerDestination instances (including their object paths) representing all the listener
   3294 destinations within the implementation.
- From the result of step 1), drop all ListenerDestination instances not referencing the desired
   listener. The result is a set of ListenerDestination instances (including their object paths)
   representing all the listener destinations referencing the desired listener.
- 32983)For each ListenerDestination instance resulting from step 2), find all IndicationFilter instances3299(see 7.3.11) associated with the ListenerDestination instance (see 7.3.23) through a3300FilterSubscription instance (see 7.3.26).The result of this step is a set of IndicationFilter3301instances representing indication filters to which the desired listener is subscribed.
- Inspect each IndicationFilter instance resulting from step 3) by checking the values of the QueryLanguage and the Query properties. Interpret the query statement expressed by the value of the Query property and check whether the input indication is covered. If the input indication is covered, add the identification of the represented listener destination to a filter result list, and continue inspecting the next IndicationFilter instance returned by step 3).
- For each ListenerDestination instance resulting from step 2), find all StaticFilterCollection
   instances (see 7.3.17) associated through a CollectionSubscription instance (see 7.3.27). The
   result of this step is a set of StaticFilterCollection instances representing static filter collections
   to which the desired listener is subscribed.
- For each StaticFilterCollection instance resulting from step 5), apply the
   CheckCollectionCoverage use case (see 8.17).
- 3313If the input indication is covered, add the identification of the represented static filter collection to3314a collection result list, and continue inspecting the next StaticFilterCollection instance returned3315by step 5).

#### 3316 8.20.3 Postconditions

Unless errors occurred, the client knows (the identifications of) all listener destinations and filtercollections to which the desired listener is subscribed.

# 3319 8.21 DeleteSubscription: Delete a subscription

# 3320 8.21.1 Preconditions

- 3321 The client knows all of the following:
- the object path to the AbstractSubscription instance (see 7.3.25) representing a subscription within the implementation

# 3324 8.21.2 Flow of activities

- Invoke the DeleteInstance() operation on the AbstractSubscription instance, effecting the
   deletion of the represented subscription.
- 3327NOTEIf the subscription referenced a dynamic indication filter, and no other subscriptions reference it, and the<br/>client does not plan to create a new subscription for this filter, the client can delete the dynamic indication<br/>filter using the DeleteFilter use case (see 8.16); likewise, unless referenced by other subscriptions, the<br/>client can delete the listener destination that was referenced by the deleted subscription, using the<br/>DeleteListenerDestination use case (see 8.11).

# 3332 8.21.3 Postconditions

Unless errors occurred, the subscription is deleted and no longer represented by anyAbstractSubscription instance.

# **8.22** FindAlertingSystem: Find the system containing a component causing an alert indication

- 3337 8.22.1 Preconditions
- 3338 The client knows all of the following:
- an AlertIndication instance representing an alert indication that references the alerting managed
   element

# 3341 8.22.2 Flow of activities

- 3342 1) Obtain the CIM element referenced by the value of the AlertingManagedElement in the input
   3343 AlertIndication instance.
- 3344
   2) Determine the profile with which the CIM element is conformant and where the central class
   3345
   adaption adapts the CIM\_System class.
- 3346NOTEThis step implies client knowledge about profiles defining adaptations of the class of the CIM3347element obtained in step 1). More than one profile could impact the CIM element, but the<br/>scoping CIM\_System instance should be the same in all cases.
- 3349 3) Use the scoping algorithm defined by the profile determined in step 2) to find the related instance of the scoping class adaptation of that profile.

# 3351 8.22.3 Postconditions

Unless errors occurred, the client knows the CIM\_System instance representing the system containing a component causing the generation of the input alert indication.

# **8.23 DetermineIndicationGate: Determine the indication gate of an indication**

- 3355 8.23.1 Preconditions
- 3356 The client knows all of the following:
- an AlertIndication instance representing an alert indication that references the alerting managed
   element

In addition, subscriptions for the listener that received the input alert indication should have been
established such that within the set of subscribed to indication gates within a particular implementation
each is uniquely identified with a name as exposed by the value of the Name property in representing
IndicationFilter instances (see 7.3.11), or as exposed by the value of the CollectionName property in
representing StaticFilterCollection instances (see 7.3.17).

3364NOTEThis policy ensures that indication gate names are unique with respect to one implementation;3365implementations are unable to (and not required to) maintain that uniqueness, but clients can ensure it<br/>through carefully applying the subscription policy stated above for each listener that a client controls.

# 3367 **8.23.2 Flow of activities**

- 3368 1) Extract the value of the IndicationFilterName from the input AlertIndication instance as the name3369 of the sought-after indication gate.
- 3370If the input alert indication originates from an implementation that is known to the client by3371reference to its representing IndicationFilter instance, skip to step 8); otherwise, continue with3372step 2).
- 3373 2) Inspect the value of the AlertingManagedElement property of the input AlertIndication instance.
- 3374If that value is Null, then the indication gate cannot be determined, and this use case is3375complete without success; this is also the case of the value is a URI that does not reference a3376CIM instance that represents the alerting managed element. In subsequent steps it is assumed3377that the value is a URI that references a CIM instance that represents the alerting managed3378element.
- 3379 3) Determine the ProfileRegistration instance that is providing the CIM instance referenced by the 3380 URI found in step 2), using one of the algorithms described in <u>DSP1033</u> for that purpose.
- Apply the LocateProfileIndicationService use case (see 8.3) in order to determine the
   IndicationService instance (see 7.3.2) that represents the indication service from which the input
   alert indication originated.
- 33845)Find all IndicationFilter instances (see 7.3.11) associated with the IndicationFilter instance (see33857.3.23) found in step 4) through an IndicationServiceOfIndicationFilter instance (see 7.3.14), for3386example by executing the Associators() operation.
- 33876)For each IndicationFilter instance obtained in step 5), determine if the value of the Name3388property matches the name of the sought-after indication gate determined in step 1).
- 3389If it matches, and the subscription policy mentioned in the preconditions was maintained, then3390the indication filter represented by the IndicationFilter instance is the sought-after indication3391gate.
- 3392If the name matches, and the subscription policy was not maintained, then all IndicationFilter3393instances determined in step 5) need to be checked with step 6) in order to ensure that the3394name as exposed by the value of the Name property is not used more than once. If this is the3395case, the sought-after indication gate cannot be exactly determined; however, at least it can be3396limited to the set of indication filters using the name as determined in step 1).

- 3397 If a name does match, continue with step 8).
- 3398 If the name does not match, the next instance from the set determined in step 5) needs to be 3399 checked with step 6); if no additional instances remain, continue with step 7).
- Repeat steps 5) and 6) for filter collections, searching for StaticFilterCollection instances (see
  7.3.17) associated through an IndicationServiceOfFilterCollection instance (see 7.3.18) in step
  and checking the value of the CollectionName property in step 6).
- 34038)If an indication filter was determined as the sought-after indication gate in steps 1), 6), or 7), the<br/>client can check the query statement exposed by the value of the Query property in the<br/>representing IndicationFilter instance (or in case the alert indication was received through a<br/>filter collection in at least one of the contained IndicationFilter instances), and verify that the<br/>input alert indication is indeed within the coverage of the identified indication filter or filter<br/>collection.

# 3409 **8.23.3 Postconditions**

3410 Unless errors occurred, the client knows the indication gate emitting the input alert indication by means of 3411 its representing IndicationFilter or StaticFilterCollection instance.

# 3412 8.24 SubscribeForProfileIndications: Subscribe for all of the indications defined 3413 in a referencing profile

# 3414 8.24.1 Preconditions

- 3415 The client knows the following:
- the registered name of the referencing profile
- the object path to the IndicationService instance representing the indication service within the implementation (see 7.3.2)
- the object path to the ListenerDestination instance (see 7.3.23) representing the desired listener
   destination

# 3421 **8.24.2 Flow of activities**

- 3422 1) Construct the name for the profile-specific filter collection for alert indications, applying the
   3423 pattern defined in 7.3.21.2.2.
- 3424 2) Execute the ObtainNamedCollection use case (see 8.18), providing the name constructed in 3425 step 1) as input; the result is either Null or the object path referencing the 3426 ProfileSpecificAlertIndicationFilterCollection instance (see 7.3.21) representing the profile-3427 specific filter collection for alert indications of the referencing profile.
- 3428 3) If an object path was returned on step 2), execute the CreateSubscription use case (see 8.19), 3429 providing that object path and the input object path to the ListenerDestination instance as input.
- 3430 4) Perform steps 1), 2) and 3) analogously for lifecycle indications.

# 3431 8.24.3 Postconditions

3432 Unless errors occurred, the desired listener destination is subscribed for all alert indications and all3433 lifecycle indications defined by the referencing profile.

3434

3435	ANNEXA
3436	(informative)
3437	
3438	Profiles defining indications
3439	Referencing profiles define indications and related requirements in the following ways:
3440	Reference this profile as a mandatory or conditional profile
3441 3442 3443 3444	<ul> <li>Define lifecycle indications and/or alert indications by defining adaptations based on the LifecycleIndication adaptation (see 7.3.32) and/or the AlertIndication adaptation (see 7.3.31). This requires but is not limited to defining the requirement level, the reported event, and the query statement; however, the latter two may be implied by the respective base adaptation.</li> </ul>
3445 3446 3447 3448 3449	<ul> <li>Optionally, define indication filters by defining adaptations based on the StaticIndicationFilter adaptation (see 7.3.11). The definition of indication-specific indication filters covering each lifecycle indication and each alert indication defined in a referencing profile is implied by this profile through the IndicationSpecificIndicationFilter adaptation (see 7.3.15), but may be refined by referencing profiles.</li> </ul>
3450 3451 3452 3453 3453	<ul> <li>Optionally, define filter collections by defining adaptations based on the StaticFilterCollection adaptation (see 7.3.17). The definition of profile-specific filter collections covering all lifecycle indications and/or alert indications defined in a referencing profile is implied by this profile through the ProfileSpecificFilterCollection adaptation (see 7.3.21), but may be refined by referencing profiles.</li> </ul>

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# ANNEX B (informative)

# Change log

Version	Date	Description
1.0.0	2008-12-05	
1.0.1	2009-09-07	<ul> <li>Released as DMTF Standard, with the following changes:</li> <li>Updated profile conventions for operations and their usage</li> <li>Fixed incorrect CIM Schema version (from 2.16 to 2.22)</li> </ul>
1.1.0	2010-05-20	<ul> <li>Released as DMTF Standard, with the following changes: <ul> <li>Clarified and added some terms in clause 3.</li> <li>Clarified that there is only one indication service in a WBEM server, but added a recommendation for clients to expect more than one in the future.</li> <li>Fixed incorrect verbiage of sending indications to clients, to sending indications to listeners.</li> <li>Changed ambiguous "conditional/optional" requirement to "conditional or optional" in all cases but one.</li> <li>Clarified that listeners that intend to re-establish the original order of indications need to buffer indications that do not have the predicted sequence number until decision about loss can be made.</li> <li>Lowered the requirement not to interpret sequence numbers in case of not implementing them, to a permission to ignore them.</li> </ul> </li> </ul>
1.2.0	2011-06-30	<ul> <li>Released as a DMTF Standard, with the following changes:</li> <li>Confirmed the CIM schema definition of CIM_Indication wrt. that a sequence identifier needs to be maintained on a per listener destination basis (and not on a per listener basis)</li> </ul>
1.2.1	2011-10-26	<ul> <li>Released as a DMTF Standard, with the following errata corrected:</li> <li>Allow OrgID values other than "DMTF" as first part of the value of the InstanceID property in ProfileSpecificFilterCollection instances</li> <li>Fix copy/paste error in GlobalFilter element requirement table</li> <li>Fix value constraint for the IndicationFilter.QueryLanguage property to "DMTF:CQL"</li> <li>Updated owning working group (Architecture) and author list.</li> </ul>
1.2.2	2014-04-24	<ul> <li>Released as DMTF Standard with the following errata corrected:</li> <li>Fixed use of incorrect status code CIM_ERR_NOT_IMPLEMENTED to CIM_ERR_NOT_SUPPORTED</li> <li>Changed the requirement for GlobalIndicationFilter for lifecycle indications to an optional feature: LifeCycleGlobalIndicationFilter (see 7.2.9)</li> <li>Changed the requirement for GlobalIndicationFilter for alert indications to an optional feature: AlertGlobalIndicationFilter (see 7.2.10)</li> <li>Updated the operation names as per DSP0223 1.0.2</li> <li>Fixed editorial issues</li> </ul>

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