June 1998



منظمة الإغذية والزراعة للأمم المتصدة



Food end Agriculture Organization of the United Nations

Organisation des Nations Unies pour l'alimentation et l'agriculture Organización de las Naciones Unidas para la Agricultura y la Alimentación

# COUNCIL

### Hundred-and-fifteenth Session

Rome, 23 - 28 November 1998

JIU REPORT ON TRAINING INSTITUTIONS IN THE UNITED NATIONS SYSTEM:
PROGRAMMES AND ACTIVITIES
(JIU/REP/97/6)

The attached Report of the Joint Inspection Unit is preceded by the Comments of the Director-General. The Comments of the Administrative Committee on Coordination (ACC) on the Report will be brought to the attention of the Governing Bodies as soon as they are available.

• .

### COMMENTS OF THE DIRECTOR-GENERAL OF FAO ON JIU REPORT 97/6 "TRAINING INSTITUTIONS IN THE UNITED NATIONS SYSTEM: PROGRAMMES AND ACTIVITIES"

### General

As paragraph 1 of the report indicates, this study by the JIU was apparently prompted by perceptions of possible duplication between, or unclear focus of the activities of institutions such as UNITAR, the ILO/ITC, the UNSCP, UNU or INSTRAW, institutions which are generally, but not exclusively, involved with training of UN system staff and/or representatives of Member States. In fact, the gist of the four recommendations made relate to this type of institution, and the main chapter (IV) covers their activities in some detail.

However, despite the caveat in the last paragraph of the front-end section entitled "Conclusions", the remainder of the report is unclear as to what is effectively addressed, i.e.:

- to effectively review the institutions (as defined in paragraph 22 and further expanded upon in paragraphs 39 to 46) and assess eventual problems of coordination;
- to address the problematique (and methods) of UN system staff training, as this seems to be the essence of Chapter II and incidentally the use by the system of the major training institutions such as the ILO Centre or UNITAR for that purpose, as alluded to in Section III-C; or
- to cover training activities at large, including training carried out under substantive programmes or projects for beneficiaries other than staff; this is to a large extent the impression one gets from Chapter III.

Also, the term "training institutions" may be used interchangeably to refer to system bodies (e.g. UNSC, UNITAR, etc.) as well as the individual training units within UN system agencies, compounding problems of understanding.

No initiative of FAO falls under the definition given in paragraph 22, so the report is only of limited direct relevance to its activities except to the extent it may make use of training offered by for instance the UNSCP. Nevertheless, it is quite pleased to note the favourable coverage given to its staff training activities (e.g. in paragraphs 10, 11, 16 or 21).

### Specific

Paras: 23-24: If these generalized statements apply to staff training activities in the system - falling outside the scope of the report, as observed above - they certainly do not reflect the situation in FAO, where a detailed study has not only identified immediate staff training needs but also put in place longer-term strategies and policies for the Organization.

Paras. 31-35 "New Information Technology and Training": Although also fully outside the scope of the report, the considerations here are strongly supported and FAO is undertaking a number of projects to support decentralized delivery of training through use of distance learning, multimedia, Intranet and Internet.

Chapter IV - C. UN Staff College Project: From its inception, FAO has been a supporter of the UNSC concept and as stated above, subject to the nature and quality of programmes developed, would anticipate actively participating in UNSC programmes.

Chapter V - Inter-agency Cooperation and Coordination Mechanisms: FAO would support greater rationalization of inter-agency mechanisms for coordinating system-wide staff training issues.



# TRAINING INSTITUTIONS IN THE UNITED NATIONS SYSTEM: PROGRAMMES AND ACTIVITIES

Prepared by

**Joint Inspection Unit** 



Geneva 1997

; ÷

# Contents

		·	<u>Paragraphs</u>	<u>Page</u>
Acron	yms			V
		summary: objective, conclusions nmendations		vii
I.	INT	RODUCTION	1 - 7	1
II.		AINING IN THE UNITED NATIONS SYSTEM RGANIZATIONS	8 - 35	3
	A.	Importance of training	8 - 11	3
	В.	Changing needs and contents of training	12 - 17	3
•	C.	From the "training" to the "learning" concept	18 - 22	4
	D.	Coping with training needs	23 - 30	6
	E.	New information technology and training	31 - 35	7
III.	GENERAL PATTERNS AND USE OF TRAINING INSTITUTIONS BY THE SYSTEM ORGANIZATIONS.		36 - 51	9
	A.	Patterns	36 - 38	9
	В.	Categories of training institutions	39 - 46	9
	C.	Use of the major institutions by the system organizations	47 - 49	- 11
	D.	Evaluation and assessment of the activities of the training institutions	50 - 51	11
IV.	STA	NDATES, PROGRAMMES, FINANCING AND AFFING OF THE MAJOR UNITED NATIONS AINING INSTITUTIONS	52 - 102	13
	А.	United Nations Institute for Training and Research	52 - 66	13
	B.	International Training Centre of the International Labour Organization	67 - 76	17
	C.	United Nations Staff College Project	77 - 85	18
	D.	United Nations University	86 - 93	21
	E.	International Research and Training Institute for the Advancement of Women	94 - 102	23 ./.

# Contents (continued)

			i aragrapiis i	age
V.	INTER-AGENCY COOPERATION AND COORDINATION MECHANISMS		103 - 135	25
	A.	General	103 - 117	25
	B.	Consultative Committee on Administrative Questions Subcommittee on Staff Training	118 - 123	27
	C.	Consultative Committee on Programme and Operational Questions Advisory Panel	124 - 129	29
	D.	Joint Consultative Group on Policy Sub-group on Personnel and Training: Working Group on Training	130 - 131	30
	E.	Annual meeting of the heads of United Nations institutes and divisions for training, research and planning	132 - 133	30
	F.	Proposed coordinating consultative mechanism	134 - 135	31
Votes				33

### Acronyms

ACC Administrative Committee on Coordination

CCAQ Consultative Committee on Administrative Questions

CCPOQ Consultative Committee on Programme and Operational Questions

CCSQ/OPS Consultative Committee on Substantive Questions (Operational Activities)

ECA Economic Commission for Africa

ECE Economic Commission for Europe

EDI/IBRD Economic Development Institute of the International Bank for Reconstruction and

Development (World Bank)

EDP Electronic data processing

ESCAP Economic and Social Commission for Asia and the Pacific

ESCWA Economic and Social Commission for Western Asia

FAO Food and Agriculture Organization of the United Nations

IAEA International Atomic Energy Agency

IBRD International Bank for Reconstruction and Development (World Bank)

ICAO International Civil Aviation Organization

ICC International Computing Centre

ICSC International Civil Service Commission

IDEP African Institute for Economic Development and Planning

IFAD International Fund for Agricultural Development

ILO International Labour Organization

IMO International Maritime Organization

INSTRAW International Research and Training Institute for the Advancement of Women

ISCC Information Systems Coordination Committee

ITC/ILO International Training Centre of ILO

ITU International Telecommunication Union

### Acronyms (continued)

**JCGP** 

Joint Consultative Group on Policy

JIU

Joint Inspection Unit

LAN

Local area network

UNCHS

United Nations Centre for Human Settlements (Habitat)

UNCTAD

United Nations Conference on Trade and Development

UNCTAD/ITC International Trade Centre/United Nations Conference on Trade andDevelopment

**UNDP** 

United Nations Development Programme

UNEP

United Nations Environment Programme

UNESCO

United Nations Educational, Scientific and Cultural Organization

**UNFPA** 

United Nations Population Fund

UNHCR

Office of the United Nations High Commissioner for Refugees

UNICEF

United Nations Children's Fund

UNICRI

United Nations Interregional Crime and Justice Research Institute

UNIDO

United Nations Industrial Development Organization

UNIFEM

United Nations Development Fund for Women

UNITAR

United Nations Institute for Training and Research

**UNRWA** 

United Nations Relief and Works Agency for Palestine Refugees in the Near East

UNSC

United Nations Staff College

UNSCP

United Nations Staff College Project

UNU

**United Nations University** 

UNU/WIDER UNU World Institute for Development Economics Research

UPU

Universal Postal Union

WFP

World Food Programme

WHO

World Health Organization

**WIPO** 

World Intellectual Property Organization

**WMO** 

World Meteorological Organization

### Executive summary: objective, conclusions and recommendations

### **Objective**

To take stock of the United Nations system training institutions and, having analysed their mandates and related aspects, to propose, whenever possible, concrete and practical measures, including networking, designed to coordinate their activities.

#### Conclusions

The initiative for the preparation of the report has come from the United Nations General Assembly. The Inspectors believe that no occasion could be more propitious for an in-depth analysis of the subject than the present one. A new, more meaningful role for the training institutions can and should be devised within the framework of the reform of the United Nations system as a whole.

During their analysis of the subject, the Inspectors have found several problems that hinder the effective functioning of the United Nations training institutions:

- (a) A widespread absence of coherent training strategies, policies, guidelines and standards, both for many single organizations and for the system as a whole, formulated in direct response to the well-defined needs of beneficiary target groups and in full consultation with them;
- (b) The lack of transparency and visibility of the activities of training institutions, owing partly to their reporting to different legislative organs where their activities are discussed and acted upon in isolation from one another;
- (c) The proliferation of training institutions, programmes and activities and the consequent fragmentation of their efforts;
  - (d) The inadequate interaction, or even total lack of coordination, among training institutions;
- (e) The absence of clear and well-defined procedures for the training institutions to report to the legislative organs of United Nations system organizations, as appropriate:
- (f) The lack of a clear identification of training and research functions and of a differentiation between them and the consequent use of both terms indistinctly.

No analysis of training issues would be complete without a reference to the revolutionary changes in training methods and processes resulting from the introduction of modern information technology. To respond to the ever-increasing demands of the international community, United Nations system organizations must adjust to technological change and derive full advantage from it. For their part, United Nations system staff must embrace a culture of "continuous learning", so as to retain their professional skills, broaden their knowledge and absorb technological innovations. It is in the organizations' best interests to encourage and motivate their staff to invest more time, including their own time, in building and developing work-related skills.

The importance of staff training to meet effectively and efficiently the objectives of the organizations cannot be overemphasized. The present report, however, has focused on training institutions in the United Nations system and not on the internal staff training programmes of the organizations as a key component of human resources management or the training delivered by the organizations to their constituents as part of their technical cooperation programmes. In view of the scope and complexity of the questions involved, the Inspectors believe that far more collective review and discussion of overall training issues, particularly as regards the internal staff training programmes of the organizations, might be necessary.

#### Recommendations

The Inspectors, having analysed the relevant information made available to them by United Nations system organizations and training institutions and the problems identified during the preparation of the present report, put forward the following recommendations:

### Recommendation 1

An effective and flexible division of labour should be established among the main United Nations system training and research institutions: the United Nations Institute for Training and Research (UNITAR), United Nations University (UNU) and - once its present legal status is modified from its condition as a project - United Nations Staff College (UNSC). This division of labour along general lines should be complemented by continuing full access to all training activities by all beneficiary and target groups of the training institutions. To this end:

- (a) The General Assembly should entrust UNITAR primarily with clearing-house functions as regards the needs of personnel from Member States for general or non-specialized training and, upon request from the specialized agencies and in consultation with them, specialized training. Specialized agencies, organizations and institutions will retain primary responsibility for specialized training in their respective areas. In its clearing-house capacity, UNITAR would receive requests for training from Member States and secure the expertise and financial resources needed to carry out training programmes. In addition, the role of UNITAR should be expanded to cover the training needs of Member States throughout the United Nations system. The legislative organs of United Nations system organizations should take the necessary action to achieve these objectives and subsequently work out the appropriate modalities together with UNITAR;
- (b) Similarly, UNSC should primarily perform clearing-house functions as regards the needs of United Nations system staff members for general or non-specialized training in core areas. Specialized agencies and institutions will retain primary responsibility for specialized training in their respective areas. Upon the completion of the United Nations Staff College Project's five years of existence, the United Nations Secretary-General should submit to the General Assembly his proposals concerning UNSC, including, as appropriate, its reinforcement in the light of the high priority attached to staff training and the definition of its legal status;
- (c) The General Assembly may wish to request UNU to conduct research, including research into common training policies, standards, methodologies and guidelines, which could be used by all United Nations system training institutions, thus stimulating cooperation and coordination among them.

### Recommendation 2

Training programmes and activities can best be strengthened through cooperation primarily among the main United Nations training and research institutions: UNITAR, UNU and - once its present legal status is modified further from its condition as a project - UNSC. To that end, the General Assembly and the legislative organs of the other JIU participating organizations should encourage a strengthening of the present informal cooperation and coordination arrangements among training institutions through the establishment of a more structured mechanism. Specifically, the General Assembly and the other legislative organs should establish a coordinating consultative mechanism composed of UNITAR, UNU and UNSC acting jointly and duly networked. This mechanism would develop modalities for regular meetings to consider training and training-related research issues in the United Nations system. It could also elaborate, in consultation with other training institutions, the International Civil Service Commission (ICSC) and the Administrative Committee on Coordination (ACC) machinery, a common conceptual training framework and strategy. Taking fully into account the UNU training research output referred to in recommendation 1 (c) above, and in consultation with other training institutions as appropriate, the mechanism would also set forth objectives, establish priorities and develop policies, guidelines and standards for the consideration and approval of the governing bodies of the training institutions. A database to be developed by the ACC machinery would furnish a sound basis for the mechanism to establish training priorities.

### Recommendation 3

The General Assembly should ask ACC to submit to the respective legislative organs of JIU participating organizations an evaluation report on the use and impact of the activities of the training institutions under their jurisdiction.

#### Recommendation 4

The General Assembly and the legislative organs of the other JIU participating organizations should decide to consider all major training issues under a single item of their respective agendas with a view to enhancing the transparency and visibility of the activities of the training institutions and, at the same time, stimulating cooperation and coordination among the training institutions in the planning and execution of their activities.



#### I. INTRODUCTION

- 1. By resolution 51/188 of 16 December 1996, the General Assembly requested the Joint Inspection Unit, in close cooperation with UNITAR and relevant United Nations bodies, to prepare a study on the training institution programmes and activities of the United Nations system, and to submit a report thereon for consideration by the General Assembly at its fifty-second session. The Assembly adopted the resolution after considering the report of the Secretary-General (A/51/554), the report of the then Acting Executive Director of UNITAR¹ and the JIU report entitled "Feasibility study on the relocation of UNITAR to the Turin Centre" (A/51/642 and Add.1).
- 2. As the United Nations system embarks upon an ambitious process of reform, its organizations increasingly recognize training as a high priority. The General Assembly has requested that training activities should be accorded a larger and more visible role in support of the management of international affairs and in the execution of the economic and social development programmes of the United Nations system (resolution 51/188). For his part, the Secretary-General has emphasized that training represents a valuable investment in the future of the Organization. His statement applies equally to programmes geared to the training requirements of Member States and of United Nations system staff, whose ultimate objective is to respond to the needs of Member States by enhancing the capacity of the system organizations to react effectively to the evolving priorities of their membership.
- 3. In the light of the growing role of training, efficient and effective planning and execution of training activities is of critical importance. Paramount in this respect are relevance and consistency, relative need and cost-effectiveness. To ensure that the training programmes carried out by United Nations system institutions are relevant and consistent and respond to real needs, they should be more visible and transparent so as to facilitate scrutiny.
- 4. In its feasibility study on the possible relocation of UNITAR, JIU noted the existence of a general consensus on the need to find concrete and implementable ways to coordinate the United Nations system training activities in order to use resources more rationally, avoid duplication and overlap, and consolidate activities to the extent possible (A/51/642, para. 64). JIU also observed an apparent general agreement to tackle this question in a comprehensive manner and not to follow a piecemeal approach (ibid.).
- 5. Chapter II of the present report discusses training trends, developments and issues before the United Nations system organizations. Chapter III deals with general patterns, such as categories of United Nations system training institutions and their use by the organizations, their cooperation and evaluation of activities. Chapter IV describes the mandates, programmes, financing and staffing of the five training institutions that, because of their functions, budgets and prestige, may be considered as the most significant within the United Nations system: UNITAR, International Training Centre (ITC) of the International Labour Organization (ILO), UNSCP, UNU and International Research and Training Institute for the Advancement of Women (INSTRAW). Finally, chapter V reviews inter-agency cooperation and coordination of training activities and the mechanisms responsible for it.

- 6. In the course of the preparation of the present report, the Inspectors have encountered some obstacles. Because of the report's system-wide nature, it was necessary to process voluminous documentation and to seek the views of both the participating organizations and the training institutions throughout the system, and to do this within a very tight time-frame. In certain cases, the difficulties experienced were exacerbated because the information furnished to the Inspectors was incomplete. The Inspectors were also hindered in their task by the lack of transparent and comparable system-wide data. As a result, narrative sections have taken the place that could have more advantageously been filled by system-wide comparative tables. In the Inspectors' view, such information as well as information on the efficiency and effectiveness of the training institutions is indispensable to ensure that the United Nations receives the full benefit of their work.
- 7. Furthermore, the interviews conducted by the Inspectors with senior training officials revealed that there was in the United Nations system neither a common definition nor a common understanding of training. The Inspectors also ascertained that there was no common perception of what is meant by "training institutions", and, accordingly, no list of such institutions. Consequently, the Inspectors thought it essential to suggest definitions of both training and training institutions to the system organizations (see paras. 20 and 22 below) and to elaborate a comprehensive list of training institutions (see annex\*).

<sup>\*</sup> To be issued at a later date.

### II. TRAINING IN THE UNITED NATIONS SYSTEM ORGANIZATIONS

#### A. Importance of training

- 8. In these times, characterized by dramatic organizational changes, the United Nations system organizations must invest in training with the objective of enhancing their staff's ability to cope with new legislative mandates and preparing them to adapt to change and to assume new tasks. Staff who fail to acquire the necessary knowledge and skills may contribute to a regrettable situation both for themselves, who become unable to assume their proper share of the organizations' work, and for the organizations, whose ability to operate in an effective manner is adversely affected.
- 9. The organizations increasingly recognize training as an essential activity and a top-priority component of human resources management and encourage their staff to undergo regular training. The Food and Agriculture Organization of the United Nations (FAO) has stressed in recent years the importance of staff training for supporting changes in organizational direction and improving programme quality as well as a means of increasing productivity at a time of reductions in overall budgets. In this context, FAO has conducted a comprehensive staff training needs study that has set in place not only overall training priorities and policies but also a planning process and an ongoing mechanism for keeping current with changing needs.
- 10. Despite serious financial problems, several organizations have maintained and even increased the resources devoted to training. FAO, for example, has commenced a gradual process of increasing the funding available to support staff training. From 0.66 per cent of staff costs, it will increase to 1.0 per cent of staff costs in 1998-1999, with further increases planned for later bienniums. During 1996-1997, FAO has budgeted for training US\$ 2.6 million as 0.66 per cent of divisional budgets and \$1.8 million for central staff development activities. The total staff development funding of FAO for this period is therefore \$4.4 million. The United Nations will increase training funds from 0.6 per cent of staff costs to 0.75 per cent in 1998-1999 and even further over the next two bienniums to bring them closer to public sector standards (1.5-2.0 per cent). ILO has the highest rate of investment in staff development and training, corresponding to 1.1 per cent of its payroll.
- 11. There is also a trend towards decentralizing training from not only an administrative viewpoint but also a financial one. Central funds are thus becoming only one source of funding for training. An integral part of the FAO training strategy is to give line managers greater responsibility for decisions concerning the training needs of their staff by including training needs as part of each divisional budget. Many of the central training services are then delivered to divisions on a fee-per-service basis. The United Nations allocates funds for the upgrading of substantive skills to each department and office to administer in a decentralized manner, on the assumption that individual departments and offices know best the substantive needs of their staff.

### B. Changing needs and contents of training

12. The examination of the organizations' training programmes reveals the following trends: heightened efforts to increase the proportion of resources devoted to management training; a shift towards more competency-based approaches; more holistic approaches to management development; an emphasis on individual responsibility for learning; mandatory participation in certain programmes; and the integration of gender and other policy issues into the programmes.<sup>2</sup>

- 13. A feature common to all United Nations system organizations is the change that is taking place in the mix of administrative and technical work. There is a growing tendency to lay more emphasis on job-related training. To cope with their training needs, the organizations concentrate on the following training and staff development areas: (a) orientation and induction; (b) programme-related training; (c) operations-related training, including overall management and leadership development, team building and work process management training, supply, human resources management, administration, budget, finance, computer skills, language skills and other support skills; (d) external relations-related training, including information and fund-raising; (e) emergency programme and operations management, including security preparedness and management; and (f) training of staff trainers and facilitators throughout the system to strengthen the capacity to deliver quality training programmes.
- 14. Probably the most acute need experienced throughout the system is for management skills. Indeed, despite the recent progress in management training observed by the Member States and by JIU in recent reports, the organizations have yet to create a layer of professional managers. The management skills needed fall into various categories: general management, people management, project management, negotiating skills, legal and financial contract management and others.
- 15. Technical skills come a close second. Information technology, characterized by growing complexity and rapid change, is likely to be a major factor in the future evolution of the United Nations system. It also seems that organizations have not addressed adequately the training implications of new information technologies and systems.<sup>3</sup> For their part, the staff of the organizations must embrace a continuous learning culture, so as to retain their professional skills, broaden their knowledge and absorb technological innovations. Staff should, in many instances, learn how to look for information.
- 16. Language training still accounts for a large proportion of training budgets in most organizations, but its conception, financing and delivery are under increasingly closer scrutiny. While ensuring the same level of delivery, FAO, for example, has changed funding arrangements for language training. It now charges divisions for language training on a fee-per-service basis. Managers now consider more carefully the priority and value of the training requested. The International Atomic Energy Agency (IAEA) has outsourced its language training services. Because of the financial crisis of the United Nations Industrial Development Organization (UNIDO), its language training programme is under review.<sup>4</sup>
- 17. As the importance of United Nations peacekeeping operations grows, so grows the demand for the specialized skills necessary to tackle the diverse requirements of those operations. The United Nations, in particular, conducts a series of training courses designed to acquaint personnel selected for service with peacekeeping missions with different aspects of the duties they may be called to perform. Such courses may range from general information on living conditions in a particular mission area to very specific administrative and financial functions to be performed in the field.

### C. From the "training" to the "learning" concept

18. As mentioned in paragraph 7 above, there are not at present commonly agreed definitions of training and training institutions. Yet a definition of training is indispensable for formulating strategies and plans. Such a definition would facilitate (a) clarifying which activities are to be considered as training and what objectives and results are expected from those activities; (b) establishing responsibility for training, that is to say, determining which activities should be organized and monitored

centrally, which at the departmental level and which by individuals; (c) defining responsibility for training, that is to say, determining which activities should be financed by central training funds, which by departments and which by individuals or by a combination of the above; and (d) defining responsibility for setting priorities and formulating appropriate roles.

- 19. Regrettably, it would appear that in many instances staff and management alike use a narrow definition of training. Typically, training is defined as a formal programme, such as a course, which imparts a specific skill. A broader definition would be beneficial to all concerned and more in tune with the human resources development requirements of the United Nations system as a whole. This definition should encompass a variety of means of acquiring skills or updating knowledge, such as on-the-job training, distance learning and self-development, and would enable managers and staff to utilize current work programmes as training opportunities. Equally important, such a definition would enhance not only staff skills but also staff morale.
- 20. The Inspectors felt that a definition of training was needed to establish a common language for the system organizations and training institutions and to identify what they mean by training. Accordingly, they proposed to the organizations the following definition appearing in a popular personnel management handbook which describes training as "the systematic development of the knowledge, skills, and attitudes required by an individual to perform adequately a given task or job".<sup>5</sup>
- 21. From the replies received from the system organizations it appears that this definition of training is generally acceptable. While concurring with the definition, the United Nations nonetheless noted that it was moving away from the term "training" and placing more emphasis on the terms "development" and "learning", in an effort to promote learning as a continuous process, much of which takes place outside the context of formal training. "Learning" is broader than "training", since it implies a "two-way process" combining training and participation of beneficiaries, the use of best practices and self-preparation. FAO emphasized that the training requirements of the organizations, as well as those of the individual, need to be recognized. The Inspectors accordingly propose that training in the United Nations system should be defined as "the systematic development of the knowledge, skills, and attitudes required by an individual to perform adequately a given task or job and by an organization to deliver its programmes and achieve its objectives".
- 22. Likewise, the Inspectors put forward the following definition of a training institution: "A training institution of the United Nations system is a structurally and identifiably distinct, and separately budgeted entity, established by any United Nations system legislative organ for the promotion of training of staff and/or representatives of the Member States and/or other partners". The United Nations system organizations and training institutions have generally agreed with this definition. In the Inspectors' view, it should now be possible, through the use of this definition, to distinguish official United Nations system training institutions from those that, though they may bear the name of United Nations system organizations or be informally connected with them, are not formally part of the system. This would in turn facilitate the compilation and maintenance of a comprehensive system-wide list of institutions (see annex\*). More information on the categories of training institutions and their classification is given in paragraphs 39 to 46 below.

<sup>\*</sup> To be issued at a later date.

### D. Coping with training needs

- 23. Perhaps the most serious problem hindering training activities is the absence of coherent training strategies, policies, guidelines and standards observed in respect of both many single organizations and the system as a whole. Training programmes are not often enough designed, as they certainly should be, in direct response to the well-defined needs of beneficiary target groups and in full consultation with them.
- 24. To some extent, problems in the training area may be ascribed to the lack of a methodical approach to the entire conceptualized training process. In some instances, training institutions appear to follow a desultory and haphazard procedure to the detriment of their activities. It seems evident that, as a first step, training institutions should ascertain the training needs of their beneficiary or target groups for a given period and then assess those needs against their overall mandate, clearly visualizing them in terms of all the factors that must intervene for such needs to be efficaciously met. Steps that must logically follow are the systematic planning of training activities and the consideration of their financing bearing in mind, in particular, the long-term unreliability of the voluntary contributions on which many institutions largely depend and their consequent financial vulnerability. Specific training projects should continue to be self-financed. Organizations should, whenever possible, increase the allocation of core funds for core training functions. And training institutions should approach fundraising more proactively.
- 25. The execution of training programmes should be supported by a monitoring mechanism and succeeded by evaluation arrangements designed to gauge the impact of specific training activities on the target group and to generate the feedback necessary to adjust and fine-tune the training output. The whole process should be capped by a follow-up feature to ensure that the experience acquired is utilized to full effect.
- 26. At present, United Nations system organizations use several training modalities: (a) internally, that is, using in-house expertise and resources; (b) externally, that is, using expertise external to the United Nations system; (c) jointly with other system organizations; and (d) through United Nations system training institutions.
- 27. Most training provided by the organizations is internal. It usually covers subjects of a general nature, such as general management principles or job-related basic information technology, and permits making economies of scale.
- 28. On the other hand, training aimed at developing very specialized skills in areas not covered by training institutions' programmes such as, for instance, drug abuse control, benefits greatly from the availability of in-house expertise. By conducting their own programmes, the organizations can tailor them to their specific needs and keep their staff abreast of current developments affecting their policies, programming and procedures.
- 29. External training is normally organized through individual agreements and partnerships with Governments, non-governmental organizations and universities and local and regional training institutions. For example, three Institutes have been established under the auspices of the International Maritime Organization (IMO). Together with those institutes, IMO provides technical and financial assistance for establishing many of the existing maritime training institutes in the developing countries.

One of its main objectives is to develop and support such institutes. The Economic Commission for Africa (ECA) and the Economic Commission for Europe (ECE) cooperate with Governments and international organizations active in their respective regions as well as with the business community. UNCHS makes use of the Economic Development Institute of the International Bank for Reconstruction and Development (EDI/IBRD) training programmes. The Economic and Social Commission for Western Asia (ESCWA), FAO, the International Telecommunications Union (ITU) and the World Health Organization (WHO) have extensive recourse to consulting firms, individual consultants and local universities.

30. United Nations system organizations apparently use jointly only a few training programmes and activities. The most widespread are language training and training in other generic subjects, such as administration, management and communication skills. Those training programmes are normally organized by the United Nations or some specialized agencies, such as the United Nations Educational, Scientific and Cultural Organization (UNESCO) or WHO, and offered to other organizations on a cost-sharing basis.

### E. New information technology and training

- 31. For many years, training was bound to a classroom approach where both the trainer and the trainees must be present. That traditional notion of learning now coexists with many other approaches. It has long been realized that it is in many instances cheaper to bring the trainer to the trainees than the trainees to the trainer. As technology advances, basic training premises must evolve even further to embrace new, bold options. Training devices that can bring learning to the trainees over a long distance and that may dispense with the presence of the trainers and even permit the recording and repetition of their teaching as often as desired, for the benefit of the same or of many target groups simultaneously, are causing a new revolution in training.
- 32. Computer-based training packages and videoconferencing have become common throughout the United Nations system. Expanded utilization of the Internet and interactive networking, using the Internet and the World Wide Web, have significant training implications, including the introduction of distance learning. This new technology is very important for the United Nations system, which "must not limit itself to the knowledge and expertise available within its own family but must reach out and tap the knowledge, analyses and expertise of the world's leading institutions in each of its fields of activity" (A/51/950, para. 263).
- 33. Multimedia are becoming a main training feature in the United Nations system. Recent advances in video packages, electronic mail and computer systems have improved efficiency in the delivery and use of training programmes and slashed training costs. Electronic mail has facilitated the organization of training programmes. Videos, CD-ROMs and other multimedia packages produced by system organizations are available for language and substantive training to both Member States officials and staff.
- 34. On-line training is rapidly gaining ground in the United Nations system. Electronic data processing (EDP) self-study materials are now available over the local area network (LAN) in many organizations. Some of them use the Internet and Intranet extensively. World Wide Web search engines to locate information across the United Nations system web sites are under development. In many instances, staff should be trained to look for information.

35. United Nations system training institutions should be encouraged to take full advantage of these revolutionary training methods in responding to the needs of Member States, staff and the international community as a whole. At the same time, it must be borne in mind that technology advances so fast that it is hardly possible to keep abreast of it all. Technology should therefore be introduced with the necessary scientific and financial flexibility, lest commitments are made to systems that may become obsolete before they can yield an adequate return on the investments made on them. Since state-of-the-art technology may consume large proportions of scarce resources, training institutions must strike a balance between the immediate benefits offered by technology and the long-term interests of their target groups.

# III. GENERAL PATTERNS AND USE OF TRAINING INSTITUTIONS BY THE SYSTEM ORGANIZATIONS

### A. Patterns

- 36. Over the years, the United Nations system has created or has contributed to create a diverse and largely unrelated mix of training institutions scattered round the world, often because training needs were met on an ad hoc basis through the development of a specific programme or the outsourcing of training services. The efficiency of this myriad of institutions is adversely affected by their lack of visibility, due partly to their reporting to different United Nations system bodies where their activities are discussed and acted upon in isolation from one another. For example, three main United Nations training and research institutions, namely, UNITAR, UNU and INSTRAW, report under different agenda items to the Second and Third Committees of the General Assembly. A fourth institution, UNSCP, which is a United Nations Secretariat venture and not a subsidiary body of the General Assembly, has been entrusted to ITC/ILO for implementation and administration. As such, UNSCP is part of the training operations of ITC/ILO and reports regularly and is accountable for its activities to the ITC/ILO Board and, through it, to the ILO Governing Body.
- 37. Perhaps because of the proliferation of training institutions and the consequent fragmentation of their efforts, it seems virtually impossible to determine with any certainty how many training and research institutions exist within the system, what they do, what is their official status or how they are financed and staffed. Little or no information is available on their official status or on whether they are under the jurisdiction of legislative bodies or are autonomous or semi-autonomous. In many instances, nothing much is known about their financing either.
- 38. Nor is there an official definition of "training institution" or a comprehensive list of United Nations system training institutions. Views on the subject vary. For the Office of Legal Affairs of the United Nations Secretariat, for example, an appropriate manner of defining a "training institution" would be to examine its functions and responsibilities. As shown in section B, Categories of training institutions, this is not always easy. As a first step, the Inspectors have suggested the definition given in paragraph 22 above and have compiled the list of training institutions contained in the annex to the present report.\*

### B. Categories of training institutions

39. The United Nations training institutions differ considerably from one another in terms of their mandates, funding modalities, governing structures and reporting procedures. In the absence of an official definition of training institutions, it has sometimes been difficult to identify and categorize them. On the whole, training and research functions have not been clearly identified or differentiated and the terms tend to be used indistinctly. Certainly, there are institutions that use the word "training" as part of their name; but they are not the only ones that can be considered as training institutions in a broad sense. Indeed, several research institutes have a training component. At the same time, some institutions that are not explicitly designated as training institutions nevertheless fall into that category.

<sup>\*</sup> To be issued at a later date.

- 40. Quite apart from the best known ones, such as UNITAR, UNSCP, ITC/ILO and INSTRAW, there are a plethora of United Nations system training institutions throughout the world (see annex\*). Their total number, including those set up within the framework of capacity-building, is close to 80.
- 41. The Economic and Social Commission for Asia and the Pacific (ESCAP), for example, has established three subsidiary regional institutions: the Asian and Pacific Centre for Transfer of Technology (APCTT) at New Delhi; the Regional Coordination Centre for Research and Development of Coarse Grains, Pulses, Roots and Tuber Crops in the Humid Tropics of Asia and the Pacific (CGPRT Centre) at Bogor, Indonesia; and the Statistical Institute for Asia and the Pacific (SIAP) at Tokyo. Another example is the 15 regional and subregional training institutions set up by ECA. For seven of them, training is the main activity. Among them is the African Institute for Economic Development and Planning (IDEP), a subsidiary body of ECA. As part of its overall mission to provide services to Palestine refugees, including educational services, the United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA) operates eight vocational and technical training centres within its area of operations.
- 42. United Nations system organizations sponsor many training institutions, quite a few of which bear the organizations' names. By way of example, three institutions have been established under IMO auspices to train representatives of Member States. They are the IMO International Maritime Law Institute (IMO/IMLI), located at Msida, Malta; the IMO World Maritime University (IMO/WMU), located at Malmo, Sweden; and the IMO International Maritime Academy (IMO/IMAT), located at Trieste, Italy. But, although they bear IMO's name, they are not part of IMO. Similar is the case of the more than 120 International Civil Aviation Organization (ICAO) civil aviation training centres established with the assistance of the technical cooperation programme.
- 43. Even though they have not established training institutions officially, some specialized agencies have created or helped to create other structures within the framework of capacity-building. Thus, the Universal Postal Union (UPU), in collaboration with the United Nations Development Programme (UNDP), has developed regional postal training schools in Africa and Asia (e.g. the Asian Pacific Postal Training Centre (APPTC) in Bangkok, and the Ecole multinationale supérieure des postes (EMSP) in Abidjan. In accordance with the criteria established at the thirty-fourth session of its Executive Committee, the World Meteorological Organization (WMO) recognizes regional meterological training centres operated and financed by Member States.
- 44. The United Nations system training institutions can be classified as follows: (a) institutions established by the legislative bodies of United Nations system organizations as entities thereof; (b) institutions established by the legislative bodies of United Nations system organizations but not as entities thereof; (c) institutions established within the framework of institution-building; (d) institutions that have a training component but not as their main mandate; and (e) institutions that use the name of a United Nations system organization although they actually have no official status.
- 45. Another criterion to categorize training institutions may be their coverage or scope. There are thus training institutions that provide specialized training meeting the needs of a single organization, while others provide training geared to the needs of several organizations or even of the United Nations system as a whole. Still another criterion is that of the issues covered by an institution, which may be relevant to a single organization, several organizations or the system as a whole.

46. The Inspectors have examined whether the beneficiaries of training institutions could furnish yet another criterion to categorize them. But this criterion is not easy to use, because most institutions have a mixed audience. UNSCP, for example, is mainly concerned with staff training, but has other target audiences besides staff, including personnel from Member States and non-governmental organizations. The situation is much clearer in respect of some training institutions - such as those listed in paragraph 43 above - that train personnel from Member States within the framework of capacity-building.

### C. Use of the major institutions by the system organizations

- 47. ECA, ICAO and UPU reported that they do not use any United Nations system training institution. So did the International Trade Centre of the United Nations Conference on Trade and Development (UNCTAD/ITC), although it has collaborated with ITC/ILO on training trainers and with INSTRAW on the development of women in trade. ITU does not subscribe to any United Nations training institution, but would do so once they fit ITU needs. For ECE, training for policy makers on various issues related to transition processes is highly specialized and only in exceptional cases can the expertise of the United Nations training institutions be used in that respect.
- 48. ECE, ESCWA, the Office of the United Nations High Commissioner for Refugees (UNHCR), the United Nations Children's Fund (UNICEF), UNIDO, the United Nations, the World Intellectual Property Organization (WIPO) and the World Food Programme (WFP) use, in different degrees, ITC/ILO training programmes. ITC/ILO has cooperated in providing lectures on "teaching methods" at the WMO regional training seminars for national instructors. It has also organized, at the World Meteorological Organization's request of training courses on the management of meteorological training centres. United Nations Headquarters, ECE, UNCHS, the United Nations Environment Programme (UNEP), UNHCR and the International Computing Centre (ICC) use UNITAR programmes. FAO, the United Nations Drug Control Programme, ICC, UNHCR, United Nations/Headquarters and UNICEF use UNSCP programmes. UNCHS, UNEP and ICC use UNU training programmes.
- 49. A variety of factors may be at the root of the limited use of these training institutions by the organizations. Perhaps the most important is that the institutions' programmes apparently meet only a very small percentage of the organizations' training and staff development requirements. The organizations often need specific substantive, sectoral and technological training that the training institutions do not cover or cover only partially. Among these needs are information technology, management development, telecommunications, personal effectiveness, stress and crisis management, supplies and transport, secretarial, marketing and sales, finance and accounting, and logistics.

# D. <u>Evaluation and assessment of the activities of the training institutions</u>

50. Few organizations formally evaluate the effectiveness of the training institutions' programmes. According to the replies received by the Inspectors, only UNICEF has guidelines to evaluate staff training. ILO, UNHCR and UNICEF regularly provide formal and informal feedback on training to its staff internally and externally so that training programmes and techniques can be improved. The organizations that carry out evaluations usually confine themselves to analysing answers to questionnaires distributed among course participants.

51. As a rule, the United Nations system organizations using training programmes emphasize the need to identify those training centres that have real training skills, those that can help other organizations to organize effective training programmes. The organizations also stress that UNSCP has a good potential for strengthening further staff capacities in management and humanitarian assistance and offering cost-effective training in areas of common concern to the organizations.

# IV. MANDATES, PROGRAMMES, FINANCING AND STAFFING OF THE MAJOR UNITED NATIONS TRAINING INSTITUTIONS

### A. United Nations Institute for Training and Research

- 52. The initiative for the launching of UNITAR in 1966 came from the General Assembly. As envisaged by the Assembly, UNITAR would be an institution to train personnel, particularly from the developing Member States, for administrative and operational assignments with the United Nations and the specialized agencies as well as for national service. UNITAR would also provide advanced training for persons already serving in such posts and would conduct research and seminars on the operations of the United Nations and the specialized agencies. The initial work programme of UNITAR was based on several broad principles. Training should not be subordinated to research. Research should relate to practical and specific objectives. Because of its guiding role, UNITAR should take a long-range view of its work.
- 53. UNITAR consistently held that training and research were mutually reinforcing activities in pursuing the maintenance of peace and security and the promotion of economic and social development. Yet, because of an organizational issue, training and research were carried out as separate activities with independent programmes. Except for the guidance received from the UNITAR Board of Trustees and its Executive Director, the two activities had little in common.
- 54. The Assembly had conceived UNITAR as an independent institution financed from voluntary contributions, both public and private. Unfortunately, voluntary contributions, which were quite generous at the beginning, thinned out in time. As a consequence, the Institute's financial basis was seriously compromised. Other difficulties experienced by UNITAR sprang from a trend towards spreading its efforts over a wide range of activities, thus fragmenting and diluting them, instead of concentrating on major issues. Eventually, UNITAR was precipitated into a crisis whose nature related both to its financing and to its mandate.
- 55. Until recently, UNITAR was suffering the consequences of a long and painful crisis that eroded its prestige as the first training institution in the United Nations system. Yet, even throughout this difficult phase, it remained the largest training institution in the system in terms of programmes, budget and staff. UNITAR has now successfully completed an ambitious restructuring and reorganization process. As a result, it enjoys again the full confidence of Member States and can develop and strengthen its programmes without any financial support from the United Nations regular budget.
- 56. The General Assembly, in its resolution 45/219 of 21 December 1990, requested the Secretary-General to appoint an independent consultant to prepare recommendations on the relevance of the Institute's mandate, its overall staffing requirements to meet the needs of the United Nations and its Member States and the financial means for meeting those needs. Having conducted a review of all aspects of the activities of UNITAR, the consultant concluded that, while the Institute's mandate remained on the whole relevant, it had nevertheless to be redefined. In his view, training, while continuing to be the backbone of the Institute's activities, had to be focused on training for international cooperation, training of United Nations and specialized agency staff and training for peacekeeping operations (A/46/482, annex, para. 3).

- 57. At its fifty-first session, the General Assembly, following consideration of the report of the Secretary-General (A/51/554), the report of the Executive Director of UNITAR¹ and the JIU feasibility study (A/51/642 and Add.1) adopted resolution 51/188. The resolution reaffirmed the relevance of UNITAR and the pertinence of research activities related to training undertaken by the Institute within its mandate; requested the Secretary-General to explore in consultation with the Executive Director of the Institute, as well as with the heads of United Nations programmes and funds, ways and modalities of cooperation, in order to better define the role of the Institute in the fields of training, research and methodology, assessment and capacity-building within the United Nations system, and to report thereon to the General Assembly at its fifty-second session; and invited the Institute to strengthen its cooperation with other United Nations institutes and relevant national, regional and international institutes.
- 58. Its difficulties overcome, UNITAR is ready to play a leading role as the clearing house for the training of personnel from Member States within the United Nations system. UNU and, once established, the UNSCP, are in a position to play similar roles with respect to their own areas of competence and target groups. Despite their sometimes precarious financial situation, other institutions respond effectively to specific training needs. Even though these training institutions do not duplicate or overlap one another, they are not really capable of developing their full potential owing to their lack of interface and synergy. Perhaps the only effective means to ensure the smooth functioning and interfacing of all United Nations system training institutions is a mechanism to enhance their cooperation and coordination.

### **Financing**

- 59. UNITAR is funded by the voluntary contributions of Member States and intergovernmental and non-governmental organizations to the General Fund and earmarked donations to Special Purpose Grants. The General Fund covers mainly training in international affairs management through courses for representatives of Member States at Permanent Missions in New York, Geneva and Vienna. Table 1 shows that, in 1996, General Fund expenditures amounted to US\$ 1,124,449 (against \$843,046 in 1995), representing a decrease of \$22,937 vis-à-vis the appropriation approved by the Board of Trustees.
- 60. This decrease is due to a reduction in voluntary contributions from the Institute's major donors, which amounted to only \$248,209, compared to \$315,714 in 1995 and \$445,864 in 1994. In the Institute's view, this can be attributed, at least in part, to the uncertainty that existed for a time regarding the Institute's location and future. It also reflects the donors' preference to contribute earmarked funds rather than purely voluntary contributions. The situation is, however, improving, with some donors transferring their 1996 contributions in early 1997 and, also, with some States having officially decided to resume their voluntary contributions to UNITAR.

Table 1. UNITAR: General Fund direct costs and estimates, 1997

(United States dollars)

	1996		_	
Main objects of expenditure	Appropriation	Actual expenditures	1997 estimates	
Staff/personnel costs	648 000	760 020	577 000	
Travel	30 000	29 841	30 000	
Contractual services	100 000	21 952	50 000	
Operating expenses <sup>b</sup>	199 727	153 430	180 000	
Equipment	20 000	12 744	10 000	
Subtotal	977 727	977 987	847 000	
Reserve	149 659	146 698	197 050	
Grand total	1 147 386	1 124 685	1 044 050	

<sup>&</sup>lt;sup>a</sup> Includes audit.

61. The opening balance for 1997 amounted to \$392,989, as opposed to \$550,555 as at 1 January 1996. This indicates that a decrease of the reserve is carried over. Several measures are being considered to resolve this difficulty. The Board of Trustees, for instance, will launch an in-depth fund-raising campaign. Should its results not be sufficient, other ways would be contemplated, such as cutting the number of training programmes offered free of charge or charging higher overhead from the special purpose grant programmes for the administrative services rendered by staff paid out of the General Fund.

Table 2. <u>UNITAR: special purpose grants - costs and</u>
<u>requirements, 1997</u>

(United States dollars)

· ·	1996		19	1997	
Main objects of expenditure	Appropriation	Actual expenditures	Estimates	Additional estimates	
International affairs training	914 505	701 463	695 203	790 203	
Environmental and natural resource management					
training	2 649 585	2 679 113	3 964 838	1 653 826	
Financial management training	1 142 012	833 190	548 050	858 800	
Disaster management training	131 217	122 917	53 110		
Grand total	4 837 319	4 336 683	5 261 201	3 303 280	

<sup>&</sup>lt;sup>b</sup> Includes rental of premises.

62. Expenses from special purpose grants constitute earmarked funds for specific training programmes. Table 2 shows that, in 1996, actual expenditures amounted to \$4,336,683, as against \$3,756,966 in 1995. These expenditures fell short of the paid-in funds and are 12 per cent lower than the funds appropriated by the Board of Trustees. This is attributed to three factors: (a) continued strengthening of the programmes; (b) the phasing out of some minor projects; and (c) delays in the implementation of fully funded programmes. The amount of funds allocated for 1997 under special purpose grants exceeds \$5 million. The programmes are all developing and expanding, thus demonstrating the credibility of the Institute's methodology in the fields selected by the Board of Trustees.

### Training activities

- 63. The Institute's operations have now reached a critical mass: some 70 different training programmes with approximately 4,000 participants are organized every year over the five continents. During the restructuring phase, the programmes have been streamlined in fields where UNITAR enjoys comparative advantages. They have also been diversified and special emphasis has been placed on capacity-building.
- 64. As for the methodology, UNITAR has introduced a more participatory approach, implying that (a) the programmes have been conceived and designed together with the recipient countries, at the national and regional levels, to meet their priorities, and (b) the main responsibility for managing the programmes is left to the national authorities or regional authorities. More recently, new types of programmes have been launched with a high cost/efficiency ratio, including training of trainers and development of training packages and correspondence instruction.
- 65. The Institute's major training programmes cover international affairs management and economic and social development. Programmes devoted to international affairs management issues deal with topics such as multilateral diplomacy, peacemaking and preventive diplomacy, international affairs management, international organizations, international law, United Nations peacekeeping operations and environmental law.
- 66. Programmes devoted to economic and social development issues deal with topics such as management of economic and social development, chemicals and waste management, implementation of the London guidelines and the prior informed consent (PIC) procedure, preparation of national profiles to assess the national infrastructure for the sound management of chemicals, design and implementation of national pollutant release and transfer registers (PRTRs), implementation of the United Nations Framework Convention on Climate Change (UNFCCC), new information technologies and modern communication means at the service of the post-Rio Conventions, desertification information systems in the context of the United Nations Conference on Environment and Development, legal aspects of debt, economic, and financial management, and disaster reduction management in the countries of the Sahel.

# B. <u>International Training Centre of the International</u> <u>Labour Organization</u>

### **Mandate**

- 67. The International Training Centre (ITC/ILO) was established at Turin, Italy, in 1963 as the training arm of ILO. It operates under the overall authority of the ILO Governing Body but has a separate Statute and Board. Its mandate is to provide training activities at the service of economic and social development, in accordance with, and through, the promotion of international labour standards. It may establish arrangements with the United Nations, specialized agencies and other international organizations to pursue activities pursuant to its objectives.
- 68. At its November 1995 session, the ITC/ILO Board approved a second five-year development plan up to the year 2000. The plan identifies the following objectives for ITC/ILO: (a) mobilizing and spreading, through training, the standards, experience and expertise accumulated by ILO and by the United Nations system, as well as by other development partners; (b) supporting through appropriate training actions the reform efforts undertaken within the multilateral development cooperation system; (c) renewing and developing the ITC/ILO range of training products on work rights, as well as on key areas of the United Nations development agenda, including human rights, governance and the management of development cooperation; and (d) strengthening the capacities of Governments, employers and workers in the planning and management of the development process.

### **Financing**

- 69. When ITC/ILO was established, 88 per cent of its budget consisted of direct contributions and only 12 per cent of its income came from training activities. During the period covered by the first development plan, 1990-1995, ITC/ILO faced an abrupt reduction in income from ILO technical cooperation activities. The individual fellowship programme linked to UNDP-funded projects implemented by ILO decreased substantially, with a US\$ 5 million reduction in 1995 compared with 1990.
- 70. ITC/ILO is primarily a self-financed organization. Approximately two thirds of its income is generated by training activities, including activities carried out on behalf of other organizations and direct fees from sponsored individual participants, consultancy assignments, development of training materials and others. Other funding comes from a variety of sources, including Italian local, provincial and national authorities; Governments, intergovernmental organizations and international non-governmental organizations; and gifts, grants and legacies.
- 71. Considerable efforts have been made to broaden and diversify the ITC/ILO funding base, mainly through the strengthening of relationships with the European Union and IBRD, as well as through the presentation of open courses to the international market and the submission of competitive bids in response to international calls for tenders. The recipient countries themselves finance a growing and strategically significant, yet still slight, number of training activities.

72. No significant increase in direct contributions may be expected in the ever more competitive technical assistance environment in which ILO and the United Nations system operate. In the ILO Director-General's view, the ITC/ILO resource-mobilization strategy needs to be adapted accordingly. ITC/ILO must increase its volume of activities by enhancing the quality and range of its programmes and products and by expanding and diversifying its training initiatives with new partners, in accordance with its programme objectives and its research and development strategy.

### Training activities

- 73. At present, ITC/ILO hosts other research and training entities of the United Nations system, such as UNSCP. An agreement was signed between the United Nations and ILO for the relocation of another United Nations institute, the United Nations Interregional Crime and Justice Research Institute (UNICRI) to the ITC/ILO campus and the use of its facilities and services.<sup>6</sup>
- 74. While the training activities of ITC/ILO originally related mainly to ILO, over the past five years they have increasingly concerned the United Nations system as a whole. The target groups trained through ITC/ILO comprise not only United Nations system staff, but also personnel from Member States and non-governmental organizations and other individuals, some from the private sector.
- 75. The ITC/ILO training programme grew in 1994 and 1995 with respect to the overall number of activities and the number of participants in both individual and group training. The total number of trainees increased from 2,738 in 1993 to 2,784 in 1994 and 3,488 in 1995. Despite a tendency towards training for shorter periods, the number of participant/days also rose. During 1995, ITC/ILO offered programmes to participants from 159 countries. Approximately 75 per cent of group training took place at Turin. Training was given in the field whenever that option was more effective or less costly.
- 76. As indicated in the ILO Director-General's programme and budget proposals for 1998-1999, ITC/ILO needs to develop its capacities in three areas: (a) development of training products based on a cooperative network of training institutions; (b) technical capacity to provide training advisory services and carry out training operations in the field and on the campus through cost-effective delivery structures; and (c) an improved communication and marketing capacity necessitated by the heavy dependence of ITC/ILO on donors. In addition, ITC/ILO will continue to expand its programme in the core mandate areas of ILO, such as training in support of reforms in social protection, labour relations and labour market institutions.

### C. United Nations Staff College Project

77. At the beginning of the 1970s, it was suggested to organize training programmes of the "staff college" type to provide United Nations system staff with the skills, knowledge and techniques necessary to respond efficiently and effectively to the requirements of the Member States. UNITAR, the Administrative Committee on Coordination and, to a certain extent, the Fifth Committee of the General Assembly supported the initiative. The idea was later dropped because of financial complications. But the need for a staff college continued to be felt.

### **Mandate**

- 78. Following the positive findings of a 1995 feasibility study undertaken by the United Nations in collaboration with the ITC/ILO, the United Nations Secretary-General established UNSCP on 8 January 1996 in his capacity as Chairman of ACC. The project, to be initially implemented over a five-year period, has received the support of the executive heads of the United Nations system organizations in the context of ACC and the subsidiary body on system-wide training activities of the Consultative Committee on Administrative Questions (Financial and Budgetary Questions) (CCAQ(FB)) (A/51/554). During prior consultations, four core needs were identified: (a) to satisfy current demands that individual organizations could not meet because of limited resources; (b) to strengthen the organizations' ability to meet future challenges; (c) to engender greater cooperation and coordination; and (d) to satisfy increasing calls for reform.
- 79. The Secretary-General opened UNSCP officially in April 1997. Soon afterwards, JIU requested the Office of Legal Affairs to clarify the legal status of UNSCP. The Office of Legal Affairs replied that UNSCP is a United Nations project initiated by the Secretary-General and under its overall guidance. The United Nations has entrusted the implementation of UNSCP and its overall management to the ILO International Training Centre at Turin. UNSCP draws on financial resources specifically raised for this purpose and therefore does not affect the budget of the United Nations.
- 80. UNSCP is a system-wide training and learning venture executed by ITC/ILO on behalf of the United Nations system. It is conceived as an interconnected, system-wide network of learning programmes and opportunities, which offers the additionality of the interorganizational dimension and cooperation with the public and private sectors. The main objective of UNSCP is to design and deliver system-wide training for both United Nations staff and national partners in order to (a) strengthen management capacities and promote a more cohesive management culture; and (b) build more effective cross-programme linkages and support cross-sectoral integration in the major areas of activity of the United Nations.
- 81. According to the UNSCP architects, the additionality will be achieved through (a) building on shared experiences and lessons learned to strengthen coordination and collaboration among partners in endeavours that cut across traditional lines of organizational responsibility; (b) building a shared vision for the future and finding commonalities of approach and opportunities to increase effectiveness; and (c) building a shared management philosophy and approach to form a United Nations system management culture. In their view, UNSCP will not, in any way, duplicate existing training programmes, but will rather aim to ensure better linkages and integration between sectors of activity involved in joint endeavours.
- 82. The declared aim of UNSCP is not only to supplement and expand training in areas of common concern but also to pool resources and share experiences as appropriate. In his July 1997 report, entitled "Renewing the United Nations: a programme for reform", the Secretary-General observed that "the United Nations Staff College will be requested, in preparing programmes for international civil servants throughout the United Nations system, to make full use of the research and capacity-building experience of the research institutes" (A/51/950, action 29 (b)). UNSCP offers an opportunity to take advantage of the system-wide capacities and facilities available at the ITC/ILO in Turin. Thus, UNSCP will also be acting as the support structure of the network.

### Financing

83. UNSCP is financed from several sources, including voluntary contributions of individual Member States, contributions by United Nations and other organizations, revenues from its own activities and contributions from foundations and the civil society, as appropriate, for specific training initiatives within its mandate. The relative importance of the sources is reflected in table 3. Initial grants from the host Government and United Nations system organizations have taken the form of up-front contributions in cash, in kind, or both. These grants have been invested in a basic UNSCP infrastructure and in programme development. Private sector in-kind contributions have been used for specific programme development. Over its initial five-year, start-up, phase, UNSCP is intended to become self-sustaining, being financed largely through user service fees and income generated through training activities.

Table 3. UNSCP: sources of funding, 1996-1997

(United States dollars)

_	1996	1997
Sources of funding	Income	Foreseen income
United Nations programmes and funds	1 969 100	1 630 432
Specialized agencies	158 669	106 180
Governments	1 891 975	1 734 320
Non-United Nations system intergovernmental organizations	3 460	
Non-governmental organizations	92 081	10 214
Others		654 202
Grand total	4 115 285	4 135 348

### Training activities

- 84. Currently, UNSCP work areas are as follows: (a) support of peacekeeping, peacemaking and peace-building and management of complex emergencies and post-conflict recovery, representing a combination of joint training and shared reflection on key aspects of field operations in those areas and their cross-sectoral and inter-institutional dimensions; (b) support of management development, organizational learning and change in the United Nations system; and (c) support of economic and social development, development management and development studies.
- 85. The ongoing training activities of UNSCP are, to a large extent, carried out in direct response to General Assembly resolutions on operational activities. Apart from putting training and substantive skills and experience to use in specific programmes and activities, UNSCP staff work on various consultancy assignments together with the UNSCP vast associated network of United Nations system organizations, experts and academic and private institutions.

### D. United Nations University

86. By resolution 2951 (XXVII) of 11 December 1972, the General Assembly established UNU as an autonomous organ under the joint sponsorship of the United Nations and UNESCO. The University started its operations in Tokyo in May 1975.

### **Mandate**

- 87. As defined by its Charter, UNU is a community of scholars and worldwide network of advanced study institutions devoted to research, post-graduate training and the dissemination of knowledge. Its scope comprises the study of the most pressing problems of concern to the international community. Within that framework, the University is intended to act as a bridge between the international scientific community and, in its broad sense, the world of policy-making. The four areas of concentration articulated in the University's third medium-term perspective are peace and governance; development; environment; and science and technology.
- 88. The UNU network of research and training centres comprises: (a) the UNU World Institute for Development Economics Research (UNU/WIDER), established in 1984 in Helsinki; (b) the UNU Institute for Natural Resources in Africa (UNU/INRA), established in 1990 in Accra, with a Mineral Resources Unit in Lusaka; (c) the UNU Institute for New Technologies (UNU/INTECH), established in 1990 in Maastricht, the Netherlands; (d) the UNU International Institute for Software Technology (UNU/IIST), established in 1992 in Macau; and (e) the UNU Institute of Advanced Studies (UNU/IAS), established in 1996 in Tokyo.
- 89. The functions and objectives of these research and training centres are as follows:
- (a) UNU/WIDER, the University's first research and training centre, studies global economic policies to improve human life. Its main purpose is to help developing countries formulate economic policies leading to robust, equitable and environmentally sustainable growth;
- (b) UNU/INRA assists in efforts to enhance the management and rehabilitation of Africa's natural resources. Its main objectives are to strengthen national institutions in Africa, mobilize scientists and technologists throughout the continent and empower them with knowledge so they can formulate self-reliant policy options. Its activities focus on (i) soil and water conservation and management; (ii) indigenous food crops and other useful plants; and (iii) mineral resource development;
- (c) UNU/INTECH conducts integrative policy-oriented research and training on the economic and social implications of new technologies for developing countries. Its programmes emphasize research on the economic and social impact of new technologies, policy studies on urgent matters and training of Ph.D. fellows;
- (d) UNU/IIST helps developing countries to strengthen their capabilities and become self-reliant in three areas: (i) development of software for export and domestic use; (ii) university-level software curriculum development; and (iii) international software research. It also helps to bridge gaps between theory and practice, university and industry, consumer and producer, and industrialized countries and developing countries. In its programme activities, UNU/IIST concentrates on advanced joint research and development, and dissemination of public domain software and publications;

- (e) UNU/IAS develops solutions to problems at the interface of societal and natural systems. Its research programme concerns three interlinked themes of environmentally sustainable development: eco-restructuring for sustainable development, mega-cities and urban development, and multilateralism and governance. It also has a postgraduate education programme offering Ph.D. fellowships and short training courses in these subjects.
- 90. The Academic Division at UNU headquarters in Tokyo also coordinates worldwide programmes and project networks on subjects ranging from democracy and human rights and the United Nations in the twenty-first century to mountain ecology and sustainable development. The University has two specialized research and training programmes. The first is the Programme for Biotechnology in Latin America and the Caribbean (UNU/BIOLAC), located in Caracas. The second is the UNU International Network on Water, Environment and Health (UNU/INWEH), located in Hamilton, Canada. In addition, the International Leadership Academy (UNU/ILA), located in Amman, serves as a focal point for the exchange of information and experience among future world leaders.

### **Financing**

91. UNU receives no funds from the United Nations regular budget. Its activities are supported entirely by voluntary contributions from Governments, international organizations, private companies and foundations. Its basic annual income for operating expenses comes from investment income derived from its endowment fund. As at 1 October 1996, pledges to the University's endowment fund and operating and specific contributions made by 54 Governments and 109 other sources totalled US\$ 318.5 million, of which US\$ 291.2 million had already been received. More than US\$ 120 million had been raised in the past nine years. The financial constraints have made it necessary for UNU to streamline its activities and reduce expenditures wherever possible. At its fifty-first session, the General Assembly recommended that UNU adopt sound administrative practices and find a successful fund-raising strategy.

### Training activities

- 92. Training is an essential component of the overall academic programme of UNU. Fellowships, in particular, account for quite a large proportion of this programme. Between 1976 and 1996, more than 1,450 fellows received postgraduate training in UNU networks. Through fellowships, UNU has played a much-needed role in the capacity-building of key developing country institutions likely to have a multiplier effect on human resource development within the country or region. UNU is also developing initiatives for expanding its training activities through joint sponsorship arrangements with appropriate national, regional and international organizations.
- 93. In addition, UNU has initiated a concerted effort to streamline and give sharper focus and relevance to its main research, training and dissemination work. Acting on the recommendations of high-level advisory groups composed of scholars, policy-makers and United Nations officials, the University has reorganized its programme of work in conformity with Agenda 21, the programme of action adopted by the United Nations Conference on Environment and Development, and An Agenda for Peace. UNU is also seeking to strengthen further its academic contributions in areas at the forefront of the United Nations and to provide useful policy inputs whenever possible.

# E. <u>International Research and Training Institute for</u> the Advancement of Women

#### **Mandate**

- 94. In conformity with General Assembly resolution 3520 (XXX) of 15 December 1975, and responding to a recommendation made by the World Conference of the International Women's Year, held at Mexico City from 19 June to 2 July 1975, on 12 May 1976, the Economic and Social Council adopted resolution 1998 (LX) establishing the International Research and Training Institute for the Advancement of Women. On 16 December 1976, the Assembly adopted resolution 31/135 endorsing the establishment of INSTRAW, thus ratifying the above-mentioned Council resolution.
- 95. INSTRAW is an autonomous institution within the framework of the United Nations. Its objectives, as set out in its Statute (A/39/511, annex, para. 4), are to stimulate and assist, through research, training and the collection and dissemination of information, the advancement of women and their integration in the developmental process as participants and beneficiaries. The Institute accordingly conducts research and performs training and clearing-house functions. It also establishes training programmes through which it "shall endeavour to raise awareness on issues concerning women and development and strive to achieve equal participation of women in all aspects of economic and social development and to increase the opportunities for women to acquire new skills in order to meet the challenges of rapid change in today's society" (ibid.).
- 96. In its resolution 50/163 of 22 December 1995, the General Assembly reaffirmed the original mandate and distinct capacity of the Institute to carry out research and training for the advancement of women, as stipulated in its resolution 3520 (XXX). As in previous resolutions, such as resolution 48/105 of 20 December 1993, the Assembly emphasized the unique function of the Institute as the only entity within the United Nations system devoted exclusively to research and training for the integration of women in development. The mandate of INSTRAW on the advancement of women was also recognized in the Beijing Platform for Action. Beginning with the Assembly's fifty-second session, and in compliance with resolution 50/163, INSTRAW, while continuing to report to the Third Committee, will start reporting to the Second Committee on agenda items relating to the Institute's research and training activities.

## **Financing**

- 97. The Institute's activities are funded by voluntary contributions from States, intergovernmental and non-governmental organizations, foundations and private sources. Its financial operations are governed by the Financial Regulations and Rules of the United Nations, as well as by the financial policies and procedures established by the Secretary-General.
- 98. Since its establishment, INSTRAW has received pledges from 72 Member States, both developed and developing countries. Voluntary contributions received by the United Nations Trust Fund for INSTRAW for the biennium 1994-1995, including specific contributions for special projects and programmes, amounted to US\$ 2,180,273. In the current biennium, this amount is expected to increase through additional contributions from donor countries that have pledged but have not yet paid their contributions. As at July 1997, it totalled \$1,805,265. According to the data submitted by INSTRAW,

no contributions were made to its budget from sources other than States over the past two bienniums. In keeping with the JIU recommendation concerning autonomous institutes of the United Nations, INSTRAW has attained a financial reserve of \$1 million, which should enable it to meet any shortfall in expected pledges.

- 99. In 1994-1995, INSTRAW spent on research an amount of budget resources (\$371,500) one and a half times the amount spent on training (\$245,000). In 1996-1997, INSTRAW spent \$209,500 on training, double the amount spent on research (\$106,000).
- 100. INSTRAW is also responsible for providing administrative support to all its programmes. This includes financial management and budgetary controls, monitoring of financial commitments, recruitment and personnel administration, procurement and other activities. Despite the constraints springing from external circumstances and the insufficiency of qualified Professional staff, INSTRAW has successfully carried out the biennium programme and has a sound financial base.

## Training and training-related activities

- 101. Since its inception, INSTRAW has made every effort to strengthen its research, training, information and advocacy role in key areas affecting the advancement of women, and to facilitate their empowerment and inclusion as equal partners in development. The Institute's training activities target three major audiences: (a) development practitioners at all levels, including planners and policy makers; (b) technical personnel, development planners, trainers, administrative and extension workers; and (c) women's organizations and non-governmental organizations involved with women, gender and development. These three audiences are selected first, on the basis of their potentially key motivation and catalytic roles in the promotion of gender issues in various development sectors, and secondly, bearing in mind the training-of-trainers approach, with the objective of transferring training techniques in a self-reliant manner to wider audiences.
- 102. In resolution 50/163, the General Assembly commended the efforts of the Institute to address all levels of poverty that hamper dramatically the advancement of women. With regard to the Institute's future work, the Board of Trustees, at its sixteenth session, recommended that INSTRAW should undertake steps to address the 12 critical areas of concern in the Beijing Platform for Action relevant to its research and training programme.

#### V. INTER-AGENCY COOPERATION AND COORDINATION MECHANISMS

#### A. General

- 103. Cooperation and coordination among the United Nations system training institutions have long been a concern of the legislative organs of the organizations and of the institutions themselves. For instance, the United Nations General Assembly, aware of the danger of duplication, stated in resolution 2951 (XXVII), that close coordination should be maintained between the activities of UNESCO, UNITAR and other organizations of the United Nations system and those of the University. Such coordination was ensured through regular consultations, exchange of information and overall mutual support among the organizations concerned. Numerous efforts were made to differentiate between the particular programmes of UNITAR and UNU and to establish clear arrangements to prevent any possible overlapping and duplication.
- 104. In several recent resolutions, the General Assembly has invited UNITAR to strengthen its cooperation with United Nations institutes and other national, regional and international institutes and develop collaborative links with organs and agencies within the organization and the United Nations system. In resolution 51/188, the Assembly requested the Secretary-General to submit to it at its fifty-second session a report on ways and modalities of cooperation, in order to better define the role of the Institute in the fields of training, research and methodology, assessment and capacity-building within the United Nations system.
- 105. Over the past few years, the Secretary-General and, upon instructions from the UNITAR Board of Trustees, the Executive Director of UNITAR, have actively pursued avenues for increased inter-agency cooperation. For example, UNITAR and UNSCP have cooperated in various ways, including participation by UNITAR in UNSCP curriculum development workshops. Priority areas for this type of cooperation include peacekeeping and peacemaking; provision of humanitarian assistance and management of complex emergencies; and management of development activities.
- 106. In the Secretary-General's view, the training activities of both UNITAR and UNSCP would benefit from association, in terms of yielding mutual benefits in strengthening and rationalizing respective curriculum development in areas and disciplines of shared interest and activity. Such association, in his view, would also enhance the cost-effectiveness of programme development and delivery and have the added benefit of avoiding "donor fatigue". Moreover, joint training and learning opportunities for government representatives and United Nations system staff will contribute to building operational partnerships for more effective action in areas of shared concern.
- 107. Another instance of UNITAR's efforts to promote inter-agency cooperation is through "project task forces" established by UNITAR's training and capacity- building programmes. Through these task forces, UNITAR offers to the institutions and bodies concerned the possibility not only to participate in workshops and seminars but also to contribute to the drafting of the programmes and the selecting of priorities. In this way, the task forces facilitate cooperation at the same time as they help to avoid duplication.

- 108. During its March 1997 session, the UNITAR Board of Trustees recommended that the catalysing role of the Institute, its networking capacity and its ability to mobilize much larger institutions should be better explained to Member States and potential donors. The Board also requested the Executive Director to prepare a detailed overview of this matter with special reference to the network established by UNITAR with other United Nations organizations.
- 109. At its special session held at Geneva from 3 to 5 September 1997, the UNITAR Board of Trustees considered the document prepared at its request by the Executive Director. Under item 5, Inter-agency cooperation, the Board noted that the document had considerable informative value for UNITAR and its partners, in particular as an indication of the Institute's networking character. To strengthen networking further, the document would be widely circulated.
- 110. At the same session, the UNITAR Board of Trustees took note of a letter dated 1 August 1997 from the United Nations Secretary-General to the Executive Director of UNITAR, inviting him to become an <u>ex officio</u> member of the UNSC Board and noted the Secretary-General's statement that UNSC has been designed to provide learning and training opportunities to staff throughout the world and to associate, where possible, with partner organizations. Though UNITAR catered almost exclusively to the training needs of Member States, the Board considered that when and where possible, cooperation and exchanges between the two institutes could be mutually beneficial.
- 111. For its part, UNSCP, which was conceived as a worldwide network of training and teaching services, has "learning partnerships" with a number of institutions in all parts of the world. Its implementation unit is acting as a network hub and works closely with prominent national and regional institutions to share relevant expertise, curriculum design and development, and exchange programmes and staff as well as training methods and materials.
- 112. INSTRAW is required by its Statute to carry out its activities in close collaboration and coordination with other bodies within and outside the United Nations system (A/39/511, annex, para. 3). Furthermore, INSTRAW shall make every effort to develop and utilize networking, as appropriate, in carrying out its functions (ibid., para. 2). In resolution 50/163, the General Assembly encouraged INSTRAW further to develop close cooperation with the specialized agencies and related organizations of the United Nations system and with other institutions, such as universities and research institutions, so as to promote programmes that contribute to the advancement of women.
- 113. In consultation with the Statistics Division of the United Nations Secretariat and the United Nations Development Fund for Women (UNIFEM), INSTRAW has produced a training package on gender statistics in development. Since 1986, INSTRAW has prepared all its training packages on environmental matters in cooperation with ITC/ILO. It has also cooperated with ITC/ILO to ensure that the gender dimension was fully integrated into the preparation of the latter's curricula. ITC/ILO has also provided a venue for INSTRAW training seminars. Both institutions jointly organized a subregional seminar for countries with economies in transition.
- 114. To develop further its cooperation with UNSCP, INSTRAW will employ its research and training expertise on gender issues in the preparation of United Nations International Drug Control Programme/UNSCP training materials. At its sixteenth session, the INSTRAW Board, concerned about the necessity to enhance cooperation with other institutes, requested that the Institute update its communication technologies in order to benefit from the Internet and electronic mail.

- 115. In his July 1997 report on reform, the United Nations Secretary-General emphasized that the capacities of the institutes represent an important potential resource. Yet, he also observed that one of the major characteristics of the research institutes was that they had little or no contact with one another and that, consequently, there was no mechanism to identify significant overlap in work programmes and, equally important, no opportunity to exploit complementarities and identify areas of potential conflict or inconsistency. In his view, it is necessary to devise ongoing mechanisms and procedures that would engender more systematic and regular contact between the research institutes and the United Nations Secretariat. He then announced that the Strategic Planning Unit in his Office would serve as the principal liaison with the research institutes, providing them with suggestions for research topics and constituting one of the primary consumers of relevant research topics.
- 116. During their examination of this subject, the Inspectors took note of the institutions' efforts to enhance inter-agency cooperation. The Inspectors nevertheless concluded that none of those efforts went far enough and that the inadequate interaction, or even total lack of coordination, among the training institutions was a major obstacle in their way. Yet it was obvious that their closer coordination and interaction could lead to significant economies of scale throughout the system through the elimination of overlap, the more rational use of their human and financial resources and the utilization of the best technical expertise and most advanced methodologies and materials for any given training programme or activity. Furthermore, the Inspectors regretted the absence of adequate links between the training institutions and the governing bodies and substantive programmes of United Nations system organizations.
- 117. The following sections of the present report contain an overview of existing coordination mechanisms and the Inspectors' suggestions for the strengthening of coordination and cooperation throughout the United Nations system.

# B. Consultative Committee on Administrative Questions Subcommittee on Staff Training

118. In 1974, CCAQ established its Subcommittee on Staff Training to (a) advise CCAQ on staff training matters; (b) function as coordination agency for regular exchange of information on future training programmes and past and present experiences; (c) develop a common terminology in staff training activities; and (d) act as inter-agency clearing house on staff training matters.<sup>8</sup> Over time, the Subcommittee has made efforts to enhance its responsiveness to CCAQ through the development of appropriate strategies and products such as (a) guidelines for the evaluation of training, (b) a generic framework for training in performance evaluation, (c) the delineation of basic principles for institutionalizing management development programmes and (d) a competency-based management development framework. In addition, the Subcommittee provided a unique forum for exchanging views, learning from others' experiences and promoting the sharing and harmonization of strategies and materials. Subcommittee sessions also provided an opportunity for training specialists to be kept abreast of new trends in their profession, especially through presentations by specialists from outside the United Nations system.<sup>9</sup>

- 119. Pursuant to the request of CCAQ at its eighty-third session, the Subcommittee reviewed its role and functioning. It recommended its revised terms of reference as follows: (a) to advise CCAQ on staff training policies and issues and, as appropriate, broader human resources development issues in response to emerging or anticipated trends; (b) to act as the inter-agency clearing house on staff training matters through the regular exchange of experience, information and training products; (c) to develop common approaches, strategies, standards and other training products wherever feasible and cost-effective; (d) to serve as a forum for the furtherance of knowledge on evolving theories and best practices in staff training and related issues for members from the organizations of the common system.<sup>10</sup>
- 120. With regard to its methods of work, the Subcommittee itself recognized that there were cost-effective technologies available which would facilitate more regular and sustained communication and allow it to keep its sessions to the minimum necessary; these included Internet and the use of videoconferencing. It proposed to employ such means whenever feasible and cost-effective. Nonetheless, meetings that allowed for face-to-face contacts were considered of continuing importance. They could, however, be made more efficient through the exchange of more information in advance and the use of regional sub-groups to develop issues and products for the Subcommittee's consideration.<sup>11</sup>
- 121. At its seventeenth session, the Subcommittee adopted 10 principles for institutionalizing management development programmes within the organizations of the common system and recognized management development as a vital part of staff development. At its eighteenth session, the Subcommittee reaffirmed the need to move forward in this area through developing a prototype of a competency-based framework for management development in the organizations of the common system. At its eighty-second session, CCAQ expressed appreciation for the work carried out in defining the elements of the competency framework. Following the exchange of views on current trends in management development and performance management, in particular the introduction of competencies in organizations' human resources programmes, the Subcommittee was expected to undertake further work on the management development framework under the joint leadership of FAO and the United Nations Office at Geneva for presentation to CCAQ. The Inspectors hope that the Subcommittee will complete its important task of developing a common management development framework for competency-based performance management.
- 122. In 1994, the Subcommittee carried out a survey of Geneva-based organizations which revealed that: (a) EDP training needed analysis, planning and organization; and (b) inter-agency cooperation was desirable in the areas of common courses, information exchange and training-facility sharing. Organizations at other duty stations confirmed those findings and expressed their interest in inter-agency cooperation in those areas.
- 123. At its eighteenth session, the Subcommittee appointed a working group to develop an effective inter-agency information-sharing system that would foster collaboration among EDP trainers, EDP specialists and training officers across the system, as well as with other inter-agency bodies concerned with information technology and services. The group recommended that an information network be established with other relevant inter-agency bodies to encourage greater cooperation in EDP training and to prepare for future training requirements. It also recommended further contacts with ICC, which regularly conducts surveys of software in use in all organizations, and the Information Systems Coordination Committee (ISCC), which provides strategic advice to ACC on information systems technology and services. To

# C. Consultative Committee on Programme and Operational Questions Advisory Panel

- 124. In March 1990, the Consultative Committee on Substantive Questions (Operational Activities) (CCSQ/OPS), later CCPOQ, established the Advisory Panel on Operational Activities Training for the specific purpose of providing guidance on the content, format and approach of the programme of management of field coordination for senior United Nations system representatives. Initially, the Panel had a relatively limited membership, comprising a cross-section of representatives of large and small technical organizations. In 1992, CCSQ/OPS decided to maintain the Advisory Panel and to open its membership to all CCPOQ members. This was followed in September 1994 by a full review of the role and functioning of the Panel and the adoption in February 1995 of revised terms of reference. The Advisory Panel meets at least once a year and as otherwise determined by the Chairperson of CCPOQ. Reports and recommendations of the Advisory Panel are submitted to CCPOQ for consideration and approval.
- 125. Under the terms of reference approved by CCPOQ at its fifth session, 18 the Advisory Panel will:
- (a) Provide advice and guidance to CCPOQ on the effective and efficient development and conduct of common system-wide training programmes in support of operational activities for development within the United Nations system;
- (b) Systematically review and provide advice and guidance to CCPOQ and to the Turin Centre on all aspects, substantive and operational, of (i) the programme on management of field coordination for senior United Nations system representatives; (ii) the training of trainers programme on management of development: national capacity strengthening; (iii) any other system-wide and common training in support of operational activities;
- (c) Generate an exchange of information between member organizations on these programmes and other training programmes on operational activities. The Panel will seek to facilitate harmonized approaches between and within agencies on all aspects of this training.

The terms of reference also stipulate that the Advisory Panel may establish sub-groups as may be required for the conduct of its activities.

- 126. At its thirteenth session, in July 1997, the Advisory Panel felt that because of a number of recent developments in the field of operational activities training, a review of its role and functioning would be appropriate. The principal development relates to the launching of UNSCP in January 1996 and its subsequent formal opening by the Secretary-General on 12 April 1997.
- 127. It will be recalled that the terms of reference of the Panel were modified in 1992, so as to open its membership to all CCPOQ members as well as related inter-agency bodies. Representation was further encouraged by a mix of officials dealing with operational activities, staff training or human resources development, in order to ensure appropriate technical expertise. There have been undoubted advantages from these arrangements, notably in ensuring a comprehensive exchange of information and synergies with other training exercises.

- 128. Recent meetings of the Advisory Panel have nevertheless encountered some difficulties. A proposal was accordingly made to consider whether the Panel was functioning in an optimal manner. The main problem appears to arise from the need for all organizations to reduce travel and meeting costs. As Panel meetings generally last one or two days at the most, travel specifically for this purpose becomes hard to justify. The result is that Panel meetings have been systematically held in conjunction with other events attended by CCPOQ members.
- 129. It was also felt that the larger and more general participation at Panel meetings had also reduced the time and effort that could be devoted to the substantive analysis of issues such as the recent evaluation report on the management of development/national capacity strengthening programme. In some respects, Panel meetings now resemble a standard CCPOQ plenary session, thereby diffusing the advice and guidance given to ITC/ILO. It was suggested to consider whether a more limited participation based on rotational membership would enable a more flexible and targeted convening of meetings, including at locations other than Geneva and New York, such as Turin, and a more focused and specialized treatment of issues. The view was also expressed that the functions of the Advisory Panel might overlap with those of the CCAQ Subcommittee on Staff Training.<sup>19</sup>

# D. <u>Joint Consultative Group on Policy Sub-Group on Personnel</u> <a href="mailto:and-Training">and Training</a>: Working Group on Training</a>

- 130. The JCGP Sub-Group on Personnel and Training (a) reviews areas for policy development on personnel matters of special interest to field-oriented organizations; (b) proposes areas for joint or coordinated action by JCGP organizations; (c) ensures exchanges of information and experiences in areas of mutual concern; and (d) promotes exchange of staff among JCGP agencies. The Working Group on Training of the Sub-Group on Personnel and Training (a) shares information on current training policies, activities and plans of the JCGP agencies; (b) proposes areas for common training around personnel and programme themes of relevance to the JCGP agencies; (c) prepares materials to assist this process, such as, for example, orientation to the United Nations, training of trainers on HIV/AIDS in the workplace and training materials to support International Conference on Population and Development follow-up; and (d) promotes mechanisms to support better coordination of training activities in the field.
- 131. CCPOQ and JCGP comprising UNICEF, UNDP, the United Nations Population Fund (UNFPA), WFP and the International Fund for Agricultural Development (IFAD), with the United Nations and UNHCR as observers have become increasingly involved in staff training issues. Close collaboration has been developed between the CCAQ Subcommittee and JCGP, for example, through the sharing of information on training initiatives on HIV/AIDS in the workplace.

# E. Annual meeting of the heads of United Nations institutes and divisions for training, research and planning

132. The annual meeting of the heads of United Nations institutes and divisions for training, research and planning was organized by UNITAR to foster coordination and cooperation among the various entities engaged in planning, training and research activities. Its objective was to provoke reflection and an exchange of ideas on specific problems and possible solutions. It brought together officials from within and outside the United Nations who were directly involved in the topics selected for discussion.

133. In 1995, the heads of United Nations institutes held their 26th meeting since 1965, which was also the last one. The main reason for discontinuing the meeting was financial: UNITAR had to pay to the United Nations Office at Geneva all costs involved, such as room and services.

### F. Proposed coordinating consultative mechanism

- 134. In the Inspectors' view, training programmes and activities can best be strengthened through cooperation primarily among the main United Nations system training and research institutions: UNITAR, UNU and - once its present legal status is modified further from its present condition as a project - UNSC. To that end, the United Nations General Assembly and the legislative organs of the other JIU participating organizations should improve upon the present informal cooperation and coordination arrangements among training institutions through the establishment of a more structured mechanism. Specifically, the General Assembly and the other legislative organs should establish a coordinating consultative mechanism composed of UNITAR, UNU and UNSC acting jointly and duly networked. This mechanism would develop modalities for regular meetings to consider training and training-related research issues in the United Nations system. One of its main functions would be to elaborate, in consultation with other training institutions, the International Civil Service Commission and the Administrative Committee on Coordination machinery, a common conceptual training framework and strategy. Taking fully into account the UNU training research output referred to in recommendation 1 (c) above and in consultation with other training institutions as appropriate, the mechanism would also set forth objectives, establish priorities and develop policies, guidelines and standards for the consideration and approval of the governing bodies of the training institutions. A database to be developed by the ACC machinery would furnish a sound basis for the mechanism to establish training priorities.
- 135. In carrying out its tasks, the coordinating consultative mechanism should be guided by the following principles:
  - (a) Due respect for the interests of all participating organizations;
  - (b) Full exchange of information;
- (c) Training programmes and activities must be considered as coherent cycles entailing the retraining of different target groups, in which each step of the cycle must have its own objectives;
- (d). In contrast to the current practice, "one-off" training events should be the exception and not the rule;
- (e) Training methodologies and didactic and audio-visual materials should be developed using modern tools, including the most advanced information and telecommunication systems, subject to the availability of resources;
- (f) Training programmes must be regularly and systematically evaluated to quantify and qualify their impact, to identify the best prevailing practices, which should then be institutionalized, and to introduce other improvements;

- (g) Training programmes should be evaluated mainly on the basis of self-assessments prepared by the organizations, institutions and specific programmes themselves;
  - (h) Beneficiaries and donors should participate in all self-evaluations and overall evaluations;
- (i) Even though training needs and means may be disparate, training strategies, concepts, methodologies, policies, guidelines and standards should be common or, at least, complementary.

### **Notes**

- <sup>1</sup> Official Records of the General Assembly, Fifty-first Session, Supplement No. 14 (A/51/14), part
- <sup>2</sup> Report on the nineteenth session of the Subcommittee on Staff Training (ACC/1996/PER/R.5), para. 22).
  - <sup>3</sup> Ibid., para. 19.
  - <sup>4</sup> Ibid., para, 23.
- <sup>5</sup> Michael Armstrong and John Lorentzen, <u>Handbook of Personal Management Practice</u>, Englewood Cliffs, New Jersey, Prentice Hall, 1992, p. 123.
- <sup>6</sup> Agreement between the United Nations and the International Labour Organization regarding the use and occupation of the premises at the International Training Centre of ILO at Turin and the facilities and services.
- <sup>7</sup> International Labour Office, Governing Body, "The Director-General's programme and budget proposals for 1998-1999" (GB.268/PFA/6/1), para. 75.3.
- <sup>8</sup> See report on the nineteenth session of the Subcommittee on Staff Training (ACC/1996/PER/R.5), annex VI.
  - <sup>9</sup> Ibid., para. 45.
  - <sup>10</sup> Ibid., para. 47.
  - <sup>11</sup> Ibid., para. 48.
  - 12 lbid., para. 6.
  - <sup>13</sup> Ibid., para. 12.
  - <sup>14</sup> Ibid., para. 3.
  - <sup>15</sup> Ibid., para. 14.
  - <sup>16</sup> Ibid., para. 13.
  - <sup>17</sup> Ibid., para. 16.
- <sup>18</sup> See report of the Consultative Committee on Programme and Operational Questions on its fifth session (ACC/1995/1 and Corr.1), para. 17 and annex III.
- <sup>19</sup> See report on the nineteenth session of the Subcommittee on Staff Training (ACC/1996/PER/R.5), para. 46.

