10. Assessment of the Alaska Plaice Stock in the Bering Sea and Aleutian Islands

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Executive Summary

Summary of Changes in Assessment Inputs

Changes in the input data:

- 1. Updated catch estimates from 2022-2024 (2024 catch data as of October 5, 2024, the remaining catch in 2024 is estimated by assuming the weekly catch in the remaining 12 weeks equals the average catch from the three weeks prior to October 5)
- 2. Included new biomass index estimates from the 2024 EBS shelf bottom trawl survey.
- 3. Included new length-composition data from 2022-2024 from the EBS shelf bottom trawl survey.
- 4. Updated length-composition data from 2000, 2002-2007 and 2021-2024 from the fishery.

Changes in the assessment methodology:

- 1. Assessment model transitioned to Stock Synthesis versions 3.30.22 (SS3; Methot and Wetzel (2013))
- 2. Updated each year's input sample size for the survey age- and length- composition data using a general bootstrap framework implemented in the "surveyISS" Rpackage (Williams and Hulson (2024)).
- 3. Included age-1 and -2 fish in the fishery and survey age-composition data.
- 4. Adjusted the maximum age for linear growth from age-1 to age-3 and estimated all growth parameters except the coefficients of variances (CVs).
- 5. Updated the growth CVs for both males and females with new values determined through likelihood profiles.
- 6. Updated the length-weight relationship parameter values by estimating them externally using the fishery and survey length-weight data available to 2024.
- 7. Calculated weight-at-age relationship within SS3.
- 8. Tuned the variance for the recruitment deviations through SS3.

Summary of Results

For 2025, the recommended maximum allowable ABC from the Tier 3 projection model is 28,745 t. Reference values for BSAI Alaska plaice are summarized in the following table, with the recommended ABC and OFL values for 2025 in bold.

Responses to SSC and Plan Team Comments on Assessments in General

None this year.

Responses to SSC and Plan Team Comments Specific to this Assessment

From the December 2021 SSC minutes:

The author continued to investigate biomass in the NBS, noting that over 50% of the survey biomass

currently resides in the NBS. While trawling is prohibited in the Northern Bering Sea Research Area, the spatial distribution of Alaska plaice does not suggest any stock separation. The SSC appreciates the authors' investigation of this issue and recommends examining new models that include the use of the NBS data in a similar manner to many other BSAI stocks, perhaps through a combined EBS+NBS VAST index. The author should also consider the potential for differences in age-at-maturity and size-at-age between the EBS and the NBS as they move forward. Additionally, the SSC suggests that the author examine the utility of estimating catchability (q) within the model rather than relying on a fixed value (1.2).

From the November 2021 BSAI Groundfish Plan Team minutes:

The Team recommends that authors explore the relationship of the southern part of the stock in the EBS to the northern part of the stock in the NBS and consider developing models that include the NBS data.

From the December 2019 SSC minutes:

The SSC … recommends continuing to track survey biomass trends in the NBS. The assessment indicates that sampling in the NBS in 2017 by a NPRB project showed differential age-at-maturity and size-at-age compared to the EBS. For the next full assessment, the SSC requests that the authors investigate differences in length composition and sex ratios between the NBS and EBS surveys. In addition, the SSC recommends analysis of genetic information to inform whether there is evidence of stock structure between the survey regions.

Response to all comments: The goal for this assessment was to transition the model from ADMB code specifically coded for Alaska Plaice to SS3. The intention was to help provide the assessment with more potential alternative models and diagnostic tools that are available to SS3. During the transition process a variety of small errors were discovered and underlining model assumptions were updated. These changes took priority and a lot of time. Unfortunately, there was not sufficient time to explore including data on Alaska Plaice from the Northern Bering Sea (NBS) within the model. There is every intention to explore NBS data on Alaska Plaice in future assessments.

Introduction

Alaska plaice (*Pleuronectes quadrituberculatus*) are primarily distributed on the Eastern Bering Sea continental shelf, with only small amounts found in the Aleutian Islands region. In particular, the summer distribution of Alaska plaice is generally confined to depths < 110 m, with larger fish predominately in deep waters and smaller juveniles (<20 cm) in shallow coastal waters (Zhang *et al.* 1998). The Alaska plaice distribution overlaps with northern rock sole (*Lepidopsetta polyxystra*) and yellowfin sole (*Limanda aspera*), but the center of the distribution is north of the center of the other two species and seems to be positioned further north in warm years and more southern in cold years. Substantial amounts of Alaska plaice were also found between St. Matthew and St. Lawrence Islands in the 2010- 2021 northern expansions of the annual Bering Sea shelf trawl surveys.

Prior to 2002, Alaska plaice were managed as part of the "other flatfish" complex. Since then an agestructured model has been used for the stock assessment allowing Alaska plaice to be managed separately from the "other flatfish" complex as a Tier 3 single species. There has been no research on stock structure for this species.

Fishery and Management History

Since implementation of the Fishery Conservation and Management Act (FCMA) in 1977, Alaska plaice have been lightly harvested in most years since no major commercial target fishery exists for them.

Catches of Alaska plaice increased from approximately 1,000 t in 1971 to a peak of $\sim 62,000$ t in 1988, the first year of joint venture processing (JVP) (Table 10-1; Figure 10-1). Part of this apparent increase was due to increased species identification and reporting of catches in the 1970s. Because of the overlap of the Alaska plaice distribution with that of yellowfin sole, much of the Alaska plaice catch during the 1960s was likely caught as bycatch in the yellowfin sole fishery (Zhang *et al.* 1998). Since the end of JVP fishing operations in 1991, Alaska plaice have been harvested exclusively by domestic vessels. Catch data from 1980-89 by its component fisheries (JVP, non-U.S., and domestic) are available in (Walters and Wilderbuer 1990).

Alaska plaice was managed as part of the "other flatfish" complex until 2002 when it began being managed as a Tier 3 single species stock. The majority of Alaska plaice bycatch still occurs in the yellowfin yole fishery with the rock sole fishery having the second largest bycatch (Table 10-1). In 2024, the majority of the catch occurred before May or after August. The total 2024 catch is predicted to be 13,755 t (based on a catch of 9,347 t as of October 5, 2024 and an estimated additional catch of \sim 367 t/week for the remaining 12 weeks in 2024). This is well below the 2024 TAC of 21,752 t and ABC of 35,494 t (Table 10-1).

Based on the monitoring of Pacific halibut bycatch, Alaska plaice has been grouped with the rock sole, flathead sole, and other flatfish fisheries under a common prohibited species catch (PSC) limit, with seasonal and total annual bycatch allowances of these flatfish. Before 2008, these fisheries were closed prior to attainment of the TAC due to the bycatch of halibut, and typically were also closed during the first quarter due to a seasonal bycatch cap. Since the implementation of Amendment 80 in 2008 where catch and bycatch shares were assigned to groups of fishing vessels (cooperatives), these fisheries have not been subjected to time and area closures (with the exception of a halibut closure in 2010).

Substantial amounts of Alaska plaice were discarded in various eastern Bering Sea target fisheries in past years due to low market interest. Retained and discarded catches for Alaska plaice were first reported in 2002 with a 3% retention rate (Table 10-1). Similar retention rates were observed for 2003 - 2005 (5%, 5% and 7%, respectively). The discard patterns have changed, with increasing retention rates each year. As of 2015 percent retention has been above 90%. Most of the discards that do occur, occur in the yellowfin sole fishery.

Data

The following table summarizes the data used in the 2024 stock assessment model for Alaska plaice (bold denotes new data for this assessment):

Fishery data

Catch

This assessment uses fishery catches from 1975 through 2024 (Table 10-1). The total 2024 catch is predicted to be 13,755 t (based on a catch of 9,347 t as of October 5, 2024 and an estimated additional catch of \sim 367 t/week for the remaining 12 weeks in 2024).

The catch of Alaska plaice taken in scientific surveys, subsistence fishing, recreational fishing, fisheries managed under other FMPs from 2010–2023 is shown in Table 10-3. 2024 non-commercial catch data is not available yet.

Fishery sex-specific length-compositions from 1978-89, 1995 and 2000-2024 as well as sex-specific agecompositions from 2000, 2002 and 2003 were used in the model as well. The number of ages and lengths collected from BSAI fisheries are shown in Table 10-2.

Because Alaska plaice are usually taken incidentally in target fisheries for other species, CPUE from commercial fisheries is considered unreliable information for determining trends in abundance for these species. It is therefore necessary to use research vessel survey data to assess the condition of these stocks.

Survey

Large-scale bottom trawl surveys of the Eastern Bering Sea continental shelf have been conducted in 1975 and 1979-2024 by the National Marine Fisheries Service (NMFS). The trawl gear was changed in 1982 from the 400 mesh eastern trawl to the 83-112 trawl, as the latter trawl has better bottom contact. This may contribute to the increase in Alaska plaice seen from 1981 to 1982, as increases between these years were noticed in other flatfish as well. Due to the differences in catchability between these two survey trawls, this assessment only uses the survey estimates from 1982-2024.

Survey estimates of total biomass are shown in Table 10-4 and Figure 10-2. The number of ages and lengths collected from the survey are shown in Table 10-5.

Survey estimates exhibit a relatively stable trend from 1982 to 2012 then start to decline. By 2019 the survey biomass estimates appeared to have leveled off at a lower below average level and has remained around there ever since (Table 10-4 and Figure 10-2).

Assessments for other BSAI flatfish have suggested a relationship between bottom temperature and survey catchability where bottom temperatures are hypothesized to affect survey catchability by affecting either stock distributions and/or the activity level of flatfish relative to the capture process. Temperature was not expected to affect Alaska plaice catchability since they are a "cold loving" species with an antifreeze protein that inhibits ice formation in their blood (Knight *et al.* 1991). This relationship was investigated in the last full assessments (2021) for Alaska plaice by using the annual temperature anomalies from surveys conducted from 1982 to 2017. Examination of the residuals from the model fit to the bottom trawl survey relative to the annual bottom temperature anomalies did not indicate a positive correlation between the two data series (-0.26 for 2021) (Ormseth 2021a). This was also the result from a past assessment (Spencer *et al.* 1990) where a fit with a LOWESS smoother indicated that little correspondence exists between the two time series, and the cross-correlation coefficient (-0.17) was not

significant at the 0.05 level. Thus, the relationship between bottom temperature and survey catchability was not pursued further.

Analytical approach

General Model Structure

The last full assessment for Alaska Plaice was conducted in 2021. It used a sex-specific, age- and lengthbased population dynamics model (Ormseth 2021a) coded in automatic differentiation model builder (ADMB) (Fournier *et al.* 2012). Model parameters were estimated by minimizing an associated objective function that describes the error structure between model estimates and observed quantities. This model was coded specifically for and only used by Alaska plaice. The sex-specific aspects in this model include length-at-age relationship, weight-at-length relationship, weight-at-age relationship, age-length transition matrix and selectivity curves. All the sex-specific aspects are estimated outside the model except the selectivity curves. The length-at-age relationship used a von Bertalanffy growth curve and the weight-atlength relationship used a exponential curve. The logistic age-at-maturity curve is also estimated outside the model and is only determined for females.

Estimated within the model are the log of mean recruitment, numbers-at-age in the initial population, annual recruitment deviations, log of mean fishing mortality, annual fishing mortality deviations and sexspecific selectivity parameters. Recruitment is determined by estimating annual deviations around mean recruitment. The model has two fleets; fishery and survey (Eastern Bering Sea (EBS) shelf bottom trawl survey). Both used sex-specific age-based logistic selectivity. Fixed within the model is natural mortality (0.13, same for males and females) survey catchability (1.2) and the sex-ratio at recruitment (0.5). The age range is 3-25 with age-25 being a plus group and ages below 3 are excluded. The length bins are 1cm long and ranged from 10 cm – 60 cm. The final length bin is a plus group and lengths below 10 cm are excluded. The input sample size for all fishery composition data (age and length) is fixed to 50 while the input sample size for all survey composition data is fixed to 200.

The 2021 assessment model was transitioned to Stock Synthesis versions 3.30.22 (SS3; Methot and Wetzel (2013)) and presented at the 2024 September Plan Team Meeting. The 2024 Alaska Plaice September SAFE details the transition process to SS3 and is in **Appendix A** of this document. **Appendix A** also provides more information about the 2021 assessment model, the equations used in the model and the differences between the 2021 assessment model and the SS3 model.

From the 2024 September Plan Team Meeting, two models were requested to be presented in November. The first is a model called "Base-3", which is an SS3 model that most closely mirrors the 2021 assessment model. The second is called "Model 24.1" which includes multiple updates to the Base-3 model. These updates included:

- 1. Updating each year's input sample size for the survey age-composition data using a general bootstrapping framework implemented in the "surveyISS" Rpackage (Williams and Hulson 2024).
- 2. Updating each year's input sample size for the survey length-composition data with the number of hauls.
- 3. Changing age range to 0-25.
- 4. Including age-1 and -2 fish in the fishery and survey age-composition data.
- 5. Adjusting the maximum age for linear growth from age-1 to age-3 and estimating all sex-specific von Bertalanffy growth parameters except the CVs within SS3.
- 6. Updating the exponential length-weight relationship parameters values by estimating them externally using fishery and survey length-weight data available up to 2024.
- 7. Updating the growth CVs for both males and females with new values determined through likelihood profiles.
- 8. Updated the SS3 model to calculate the weight-at-age relationship within SS3.

All of these changes provide improvements to the model by including additional data, updating parameter estimates with new data, and allowing for temporal variability in the statistical weighting of the survey composition data.

Description of Alternative Models

As stated above, the 2024 September Plan Team requested that two models (Base-3 which closely mirrors the 2021 assessment model and Model 24.1 which includes several updates to Base-3) were requested to be presented in November. Two additional alternative models with minor changes are presented in this document.

The first additional model (Model 24.1a), updates the input sample size for the survey length-composition from the number of hauls to values determined from a general bootstrapping framework implemented in the "surveyISS" Rpackage (Williams and Hulson 2024). Then the input sample sizes for both the survey age- and length-composition were re-weighted. This was accomplished by rerunning the model ten times, with each run using the suggested weighting from the Francis data weighting method (Francis 2011) output by SS3 from the previous run. The end result were that the survey length-composition input sample sizes were multiplied by 0.14693 while the survey age-composition input sample sizes were multiplied by 0.2749.

The second additional model (Model 24.1b) mirrors Model 24.1a except that the standard deviation in recruitment deviations (sigmaR) is tuned using the SS3 recommended value. All other models have sigmaR arbitrarily fixed at 1 while Model 24.1b has a tuned sigmaR value of 0.4243. This value was determined by running Model 24.1b 10 times with each run using the suggest SS3 sigmaR from the previous run. The difference between the last two sigmaR values was less than 0.00001.

The SS3 files used to run all four alternative models can be at [https://github.com/afsc](https://github.com/afsc-assessments/BSAI_Alaska_plaice.git)[assessments/BSAI_Alaska_plaice.git.](https://github.com/afsc-assessments/BSAI_Alaska_plaice.git)

Parameters Estimated Outside the Assessment Model

Within all four alternative models, four biological characteristics were estimated external. This included natural mortality, survey catchability, the weight-length relationship and maturity-at-age. For natural mortality (*M*), Zhang (1987) concluded that it varied by sex for Alaska plaice (males = 0.195 , females = 0.27). However, past assessments did not use a sex specific *M*. They fixed *M* at 0.25 based on an earlier analysis of natural mortality (Wilderbuer and Walters 1997). In the 2010 assessment, *M* was re-estimated using three methods from the literature based on the life history characteristics of maximum life span (Hoenig 1983), average age (Chapman and Robson 1960) and the relationship between growth and maximum length (Gislason *et al.* 2008). The results suggest a range of *M* values from 0.08 to 0.13 for males and 0.08 to 0.29 for females. For the 2021 assessment, *M* was fixed to 0.13 for both sexes. For the 2024 assessment, a likelihood profile on non-sex specific natural mortality was conducted using Model 24.1b. The results showed that an *M* of 0.13 produced the lowest total likelihood (Figure 10-3).

Herding experiments in the eastern Bering Sea have demonstrated that many of the flatfish encountered in the area between the outer end of the footrope and where the bridles contact the sea floor (outside the trawl path) are herded into the path of the bottom trawl in varying degrees (Somerton and Munro 2001).

The mean herding effect from all seven flatfish species combined resulted in a bridle efficiency of 0.234. Although Alaska plaice were not among the seven flatfish species that were explicitly studied, it is assumed that their behavior is similar to the other studied species which all exhibited herding behavior. Thus, this assessment incorporated a herding effect into the stock assessment model by fixing survey catchability at 1.2, close to the mean value from the combined flatfish species in the herding experiment.

The maturity-at-age matches the values used in the previous full assessment in 2021, which were taken from (TenBrink and Wilderbuer 2015).

The weight-length relationship was determined by fitting an exponential curve to length weight data from both the fishery (1999-2024) and survey (1982, 1988, 1992-1995, 1998, 2000-2002, 2005-2014, 2016- 2019, 2021) using the "optim" function in R. Figure 10-4 shows the data and fitted weight-at-length curves. The newly estimated weight-at-length curve is used by all alternative models except Base-3 in which the weight-at-length curve from the 2021 assessment is used.

Parameters Estimated Inside the Assessment Model

A detailed description of the equations used in SS3 can be found in Appendix A of Methot and Wetzel (2013). Table 10-6 list the parameter values, except the recruitment deviations and fishing mortalities, for all four models and whether the parameters are estimated with SS3. Recruitment deviations were estimated up to 2019 because Alaska plaice do not start appearing in composition data until around age five. All estimated parameters were determine by minimizing all the likelihood components. The total number of parameters estimated in each model is listed below.

Results

Model Evaluation

Figure 10-5 and Tables 10-7, 10-8 and 10-9 compares the performance of all four models in regards to spawning stock biomass, biomass index, recruitment to age-0 and fishing mortality. All models perform similarly in regards to biomass index and fishing mortality. There are differences when looking at spawning stock biomass and recruitment. For spawning stock biomass, Base-3 has a noticeably separate trajectory than the other models, especially during the middle of the time series. This is most likely do to the dissimilarity in length-at-age (Figure 10-6), weight-at-age (Figure 10-7) and selectivity (Figure 10-8) between Base-3 and the other models. At the beginning of the spawning stock biomass time series, Model 24.1b has a higher spawning stock biomass when compared to the other models. This is most likely caused by Model 24.1b tuning sigmaR to a value of 0.42 while the other models have it fixed at 1. The impact of tuning sigmaR most apparent in the estimation of the recruitment deviations for the initial number-at-age (Figure 10-9, 1950-1975). As for recruitment, model differences occur at the beginning and end of the time series. These discrepancies are caused by the weighting of the composition data and assumptions around sigmaR. The beginning and end of the times series also has the least amount of

information in regards to recruitment and is more susceptible to difference in data weighting and model assumptions.

Figure 10-6 shows the length-at-age relationship used in each model. All the models have similar curves with Base-3 looking slightly different. Base-3 is the only model that estimates growth external. The other models estimates growth within SS3 which allows the model to have more flexibility. Figure 10-7 shows the weight-at-age relationship for each model. Again, all models have similar curves except Base-3. Base-3 determines its weight-at-age relationship externally while the other models calculate it within SS3. This ensures that the estimate growth curve within SS3 is used to calculate the weight-at-age relationship.

Figure 10-8 shows the fleet and sex specific age-based selectivity curves used by each model. There is little distinction in the female fleet specific selectivity curves between models. The same is not true of male selectivity. Model 24.1a and 24.1b have male selectivity curves that are shifted to the right of the other model curves for both fleets. This is most likely caused by the Francis re-weighting applied to the survey length-composition data.

A comparison of each models' fit to the fishery length-composition data can be found in Figures 10-10 and 10-11. The aggregated fits shows that Model 24.1a and 24.1b perform better than the other models, especially for the male length-composition data. This could explain the shift in Models' 24.1a and 24.1b male selectivity curves. The Pearson residuals show that Models 24.1, 24.1a and 24.1b tended to have small residuals when compared to Base-3 and thus better fits. As for the fits to the survey lengthcomposition data, the aggregated fits again showed that Model 24.1a and 24.1b performed better than the other models but only slightly (Figure 10-10). Model 24.1a and 24.1b also tended to have small residuals in regards to Pearson residuals when compared to other models (Figure 10-12).

Each models fit to the fishery age-composition data can be found in Figures 10-13 and 10-14. The aggregated fits shows that none of the models fit the data well while the Pearson residuals show no difference between the models. This lack of distinction could be occurring because there is only three years of age-composition data available in the fishery. As for the survey age-composition, the aggregated fits suggest that the Base-3 model performs better than the other models (Figure 10-13) while the Pearson residuals support that Model 24.1a and 24.1b have better fits since they both have smaller residuals when compared to the other models (Figure 10-15).

Overall, this author recommends Model 24.1b as the model for the 2024 assessment. It tends to have better fits to the age- and length-composition data, it has a good fit to the biomass index and it incorporates more standard practices, such as Francis re-weighting of the survey age- and lengthcomposition data and tuning of sigmaR. The remaining diagnostics will therefore only be shown for Model 24.1b.

Figure 10-16 shows expected numbers-at-age and expected mean age in each year for Model 24.1b.

Convergence Status

Convergence for Model 24.1b was determined by successful inversion of the Hessian matrix and a maximum gradient component of less than 1e-4. A jitter analysis revealed that Model 24.1b is insensitive to perturbations of parameter start values on the order of 10% (Figure 10-17). The jitter analysis had 100 runs of which only 11 converged on likelihoods whose difference from the minimal likelihood was greater than six. All parameters were estimated within their pre-specified bounds.

Retrospective Analysis

A ten-year retrospective analysis was conducted by sequential removal of all data annually beginning with 2024 and ending in 2014 (Figure 10-18). The mean terminal spawning biomass estimate from each of these retrospective models lies within the 95% confidence interval of the current base model. Hurtado-Ferro *et al.* (2014) developed suggested ranges for Mohn's ρ values that may arise without the influence of model mis-specification based on a simulation-estimation study. They found that values between -0.15 and 0.20 for longer lived species and values between -0.22 and 0.30 for shorter-lived species could arise without the influence of model mis-specification. With Alaska plaice falling into the longer lived category, the spawning stock biomass Mohn's ρ value of 0.01 for this year's assessment are within the suggested bounds.

Harvest recommendations

Amendment 56 Reference Points

Amendment 56 to the BSAI Groundfish Fishery Management Plan defines the "overfishing level" (OFL), the fishing mortality rate used to set OFL (F_{OFL}) , the maximum permissible ABC, and the fishing mortality rate used to set the maximum permissible ABC ($maxF_{ABC}$). The fishing mortality rate used to set ABC (F_{ABC}) may be less than this maximum permissible level, but not greater. The F_{OFL} and F_{ABC} are given in terms of unfished female spawning biomass (F_{SPR}) , on fully selected age groups, where unfished female biomass is the average biomass if fishing had not occurred and is estimated as the historical biomass prior to fishing. The reference points are calculated using the long-term average female spawning biomass that would be expected under average estimated recruitment (1977-2018). Because reliable estimates of reference points related to maximum sustainable yield (MSY) are currently not available but reliable estimates of reference points related to spawning per recruit are available, Alaska Plaice in the BSAI are managed under Tier 3 of Amendment 56. Tier 3 uses the following reference points: $B_{40\%}$, equal to 40% of the equilibrium spawning biomass that would be obtained in the absence of fishing; $B_{35\%}$, equal to 35% of the equilibrium spawning biomass that would be obtained in the absence of fishing; $F_{35\%}$, equal to the fishing mortality rate that reduces the equilibrium level of spawning per recruit to 35% of the level that would be obtained in the absence of fishing; and $F_{40\%}$, equal to the fishing mortality rate that reduces the equilibrium level of spawning per recruit to 40% of the level that would be obtained in the absence of fishing. The 2024 estimates of these reference points are:

Specification of OFL and Maximum Permissible ABC

The estimated catch level for year 2024 associated with an F_{QFL} of 0.17 is 34,576 t. **The 2024** recommended ABC associated with an F_{ABC} of 0.14 is 28,745 t.

A standard set of projections is required for each stock managed under Tiers 1, 2, or 3 of Amendment 56. This set of projections encompasses seven harvest scenarios designed to satisfy the requirements of Amendment 56, the National Environmental Policy Act, and the Magnuson-Stevens Act (MSA). All projection scenarios project the model out for 13 years (till 2037). SS3 was used to run all projections scenarios.

Five of the seven standard scenarios will be used in an Environmental Assessment prepared in conjunction with the final SAFE. These five scenarios, which are designed to provide a range of harvest alternatives that are likely to bracket the final TAC for the next two years, are as follow:

- Scenario 1: In all future years, F is set equal to $maxF_{ABC}$. (Rationale: Historically, TAC has been constrained by ABC, so this scenario provides a likely upper limit on future TACs.)
- Scenario 2: In all future years, *F* is set equal to a constant fraction (1) of $maxF_{ABC}$, where this fraction is equal to the ratio of the F_{ABC} value for 2025 recommended in the assessment, to the $maxF_{ABC}$ for 2025, and catches for 2025 and 2026 are estimated at their most likely values given the 2025 and 2026 recommended ABCs under this scenario. (Rationale: When F_{ABC} is set at a value below $maxF_{ABC}$, it is often set at the value recommended in the stock assessment; also, catch tends not to equal ABC exactly.)
- Scenario 3: In all future years, *F* is set equal the average *F* between 2019 to 2023. (Rationale: For some stocks, TAC can be well below ABC, and recent average *F* may provide a better indicator of F_{TAC} than F_{ABC} .)
- Scenario 4: In all future years, the upper bound on F_{ABC} is set at a selected fraction (0.75) of F_{ABC} . (Rationale: This scenario provides a likely lower bound on F_{ABC} that still allows future harvest rates to be adjusted downward when stocks fall below reference levels.).
- Scenario 5: In all future years, *F* is set equal to zero. (Rationale: In extreme cases, TAC may be set at a level close to zero.)

Two other scenarios are needed to satisfy the MSA's requirement to determine whether a stock is currently in an overfished condition or is approaching an overfished condition. These two scenarios are as follows (for Tier 3 stocks, the MSY level is defined as $B_{35\%}$):

- Scenario 6: In all future years, *F* is set equal to F_{OFL} . (Rationale: This scenario determines whether a stock is overfished. If the stock is 1) above its MSY level in 2025 or 2026 or 2) above ½ of its MSY level in 2025 and expected to be above its MSY level in 2034 under this scenario, then the stock is not overfished.)
- Scenario 7: In 2025 and 2026, *F* is set equal to $maxF_{ABC}$, and in all subsequent years *F* is set equal to F_{QFL} . (Rationale: This scenario determines whether a stock is approaching an overfished condition. If the stock is 1) above its MSY level in 2026 or 2) above $\frac{1}{2}$ of its MSY level in 2025 and expected to be above its MSY level in 2036 under this scenario, then the stock is not approaching an overfished condition.)

Projected spawning stock biomass, fishing mortality, and catch for the seven standard projection scenarios can be found in Tables 10-10, 10-11 and 10-12.

Risk Table and ABC recommendation

The SSC in its December 2018 minutes recommended that all assessment authors use the risk table when determining whether to recommend an ABC lower than the maximum permissible. The following template is used to complete the risk table:

The table is applied by evaluating the severity of four types of considerations that could be used to support a scientific recommendation to reduce the ABC from the maximum permissible. These considerations are stock assessment considerations, population dynamics considerations, environmental/ecosystem considerations, and fishery performance. Examples of the types of concerns that might be relevant include the following:

- 1. "Assessment considerations—data-inputs: biased ages, skipped surveys, lack of fisheryindependent trend data; model fits: poor fits to fits to fishery or survey data, inability to simultaneously fit multiple data inputs; model performance: poor model convergence, multiple minima in the likelihood surface, parameters hitting bounds; estimation uncertainty: poorlyestimated but influential year classes; retrospective bias in biomass estimates.
- 2. "Population dynamics considerations—decreasing biomass trend, poor recent recruitment, inability of the stock to rebuild, abrupt increase or decrease in stock abundance.
- 3. "Environmental/ecosystem considerations—adverse trends in environmental/ecosystem indicators, ecosystem model results, decreases in ecosystem productivity, decreases in prey abundance or availability, increases or increases in predator abundance or productivity.
- 4. "Fishery performance—fishery CPUE is showing a contrasting pattern from the stock biomass trend, unusual spatial pattern of fishing, changes in the percent of TAC taken, changes in the duration of fishery openings."

Assessment considerations

BSAI Alaska plaice have been assessed annually from bottom trawl surveys conducted on the EBS shelf from 1982-2024, with one skipped year in 2020 (due to the coronavirus pandemic). Survey agecompositions are derived from otoliths collected during the surveys which are typically available for the

assessment one year after collection. Even though otoliths from the fishery are collected, there are only three years of fishery age-composition data available. The assessment model exhibits good fits to all compositional and abundance data. There is concern about the lack of age-composition data from the fishery but not enough to change the recommended ABC. Recruitment estimates track strong year classes in the most recent years which is consistent with the data. Retrospective analysis of the past 10 years of female spawning biomass estimates from the current assessment model does not indicate a pattern of concern regarding misspecification of the model. Rated Level 1, No Concern.

Population dynamics considerations

The female spawning biomass is projected to remain at levels well-above the $B_{40\%}$ value. There has been above average recruitment from ~2015-2020. The female spawning biomass trend is similar to the total biomass trend with a peak level estimated in 1985 and a slow decline thereafter that continues to the present. Fishing pressure on Alaska plaice has been light as they are mostly caught as bycatch in the yellowfin sole fishery. Fishing mortality estimates have averaged around 0.05 from 1975-2024, well below ABC levels. Projections indicate that the female spawning biomass will remain well-above the B_{MSY} level through 2036. Rated Level 1, No Concern.

Environmental/Ecosystem considerations

Environmental processes:

The eastern Bering Sea (EBS) experienced a prolonged period of above-average thermal conditions from 2014 through 2021. Since 2021, and continuing from August 2023–August 2024, thermal conditions in the EBS have been close to historical baselines of many metrics. There have been no sustained marine heatwaves over the southeastern or northern Bering Sea shelves since January 2021 (Callahan and Lemagie 2024), and observed (Rohan and Barnett 2024b) modeled (Kearney 2024a) EBS bottom temperatures were mostly near-normal over the past year. Sea surface temperatures (SSTs) and bottom temperatures were near the long-term means in all regions by summer 2024. Notable deviations include (i) warm SSTs in the outer domain from fall 2023 through spring 2024 and (ii) unusually warm bottom temperatures in the northern outer domain since spring 2024 that may indicate an intrusion of shelf water (Siddon 2024).

Atmospheric conditions are one of the primary drivers that impact the oceanographic setting in the EBS. Both the North Pacific Index (NPI) and Aleutian Low Index (ALI) provide complementary views of the atmospheric pressure system in the North Pacific. During winter 2023-2024, the NPI was average (Kearney 2024b) and the strength and location of the Aleutian Low Pressure System were both near climatological averages (Overland and Wang 2024). Thus, despite delayed formation of sea ice in fall 2023 (Thoman 2024b), cold winds from the Arctic helped advance sea ice to near-normal extent by midwinter. Near-normal sea ice extent and thickness (Thoman 2024a,c) may have contributed to a cold pool (\leq 2°C water) of average spatial extent (Kearney 2024b), though the footprint of the coldest waters (\leq 0°C) in 2024 was 75% smaller than in 2023 (Rohan and Barnett 2024a).

Alaska plaice contain a glycol-protein that works to inhibit ice crystal formation in the blood, indicating this species may tolerate colder bottom water temperature. However, the condition of Alaska plaice (based on length-weight residuals) was just below average in the NBS and was at or above average in the SEBS between 2021-2023 (L/W condition information not available for Alaska place in 2024) (Prohaska *et al.* 2024).

For projections into 2025, the National Multi-Model Ensemble (NMME) predicts that SSTs over the EBS are expected to be near normal (anomalies within <0.5°C of the 1982–2010 baseline) (Lemagie 2024). With the expected transition to La Niña, cooler conditions in the EBS may follow. Relatively cool SSTs may contribute to earlier formation of sea ice than has been observed over the last several years (Thoman 2024a).

Prey:

The dominant prey of Alaska plaice are polychaete worms and clam siphons. Direct measurements of infaunal abundance trends are not available, however, abundance trends of motile epifauna that also consume infauna (i.e., indirect measurements) are quantified from the bottom trawl survey. Trends in motile epifauna biomass indicate benthic productivity, although individual species and/or taxa may reflect varying time scales of productivity. The biomass of motile epifauna increased from 2023 to 2024 and remains above the long term mean (Kearney 2024b), indicating that sufficient prey may have been available for Alaska plaice over the southeastern Bering Sea shelf . No direct or indirect measures of prey availability exist for the northern Bering Sea shelf.

Competitors:

Competitors for Alaska plaice prey resources include other benthic foragers, like northern rock sole and yellowfin sole, included in the benthic foragers guild. The trend in biomass of the benthic foragers guild from the standard bottom trawl survey grid increased from 2023 to 2024, but remained below the time series mean. Trends in benthic forager biomass indirectly indicate availability of infauna (i.e., prey of these species), suggesting competition for prey resources remains low in 2024 (Kearney 2024b).

Predators:

Predators of Alaska plaice include Pacific cod, Pacific halibut, and yellowfin sole. The biomass of apex predators, including Pacific cod and Pacific halibut, measured during the standard bottom trawl survey in 2024 was nearly equal to their value in 2023 and below their long term mean. However, the trend in the apex predator guild is largely driven by Pacific cod, which decreased 5.5% from 2023 (Kearney 2024b). The spatial distribution of Pacific halibut overlaps with that of Alaska plaice, suggesting potential increased risk of predation. Examining such spatio-temporal overlaps would better inform the potential predation impacts for Alaska plaice in the EBS. As stated above, the trend in biomass of the benthic foragers guild, including yellowfin sole, increased from 2023 to 2024 but remains below the time series mean (Kearney 2024b).

Summary for Environmental/Ecosystem considerations:

- **Environment:** The EBS shelf experienced oceanographic conditions that were largely average based on historical time series of multiple metrics over the past year (August 2023 - August 2024).
- **Prey:** Sufficient prey may have been available for Alaska plaice over the SEBS based on indirect measurements of motile epifauna.
- **Competition:** The trend in biomass of benthic foragers increased from 2023 to 2024 but remained below the time series mean, indicating competition for prey resources remains low in 2024.
- **Predation:** Predation pressure may be mixed; a decrease in Pacific cod biomass and potential refuge from predation in the inner domain may be countered by the spatial overlap with Pacific halibut in the inner domain of the SEBS. Increases in the benthic forager guild, including Yellowfin sole, may indicate a relative increase in predation pressure from 2023, though the guild overall remains below its long-term mean.

Together, the most recent data available suggest an ecosystem risk Level 1 – Normal: "No apparent ecosystem concerns related to biological status (e.g., environment, prey, competition, predation), or minor concerns with uncertain impacts on the stock."

Fishery performance

Because Alaska plaice are a non-target stock, fishery performance indicators (e.g. CPUE) are not good indicators of population status. Rated Level 1, No Concern.

Summary and ABC recommendation

Status Determination

Under the MSA, the Secretary of Commerce is required to report on the status of each U.S. fishery with respect to overfishing. This involves answering three questions: 1) Is the stock being subjected to overfishing? 2) Is the stock currently overfished? 3) Is the stock approaching an overfished condition?

Is the stock being subjected to overfishing? The official catch estimate for the most recent complete year (2023) is 15,252 t. This is less than the 2023 OFL of 40,823 t. Therefore, the stock is not being subjected to overfishing.

Is the stock currently overfished? This is determined through Scenario 6. The expected stock size in the current year (2024) of scenario 6 is 147,511 t, which is higher than $B_{35\%}$ (103,743 t). Thus the stock is not currently overfished.

Is the stock approaching an overfished condition? This is determined through Scenario 7. The expected spawning stock size in the year 2036 of scenario 7 (113,528 t) is greater than $B_{35\%}$ (103,743 t); thus, the stock is not approaching an overfished condition.

Estimated fishing mortality is plotted against spawning stock biomass relative to the harvest control rule in Figure 10-19.

Data Gaps and Research Priorities

Currently the suggest model (Model 24.1b) has age-based selectivity for the fishery. There is only three years of age-composition data available from the fishery (2000,2002 and 2003). There are otoliths collected from the fishing in other years (Table 10-2). The survey also has age-based selectivity and uses 25 years of age-composition data to help estimate it. It would be beneficial to have additional years of age-composition data from the fishery to better inform age-based selectivity estimates.

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Tables

Table 10-1. Harvest specifications and catch (t) for Alaska plaice in BSAI from 1975-2024. Retained is the percent of Catch retained.

* 2024 catch as of October 5, 2024 , sourced October 8, 2024 from the NMFS Alaska Regional Office using the AKFIN database (http://www.akfin.org).

	Lengths		Ages					
Year	# hauls w/ lengths	# Lengths	Year	# Otoliths	# Otoliths Aged			
1988	2	197	1982	253	$\boldsymbol{0}$			
1990	$\overline{4}$	83	1983	200	$\boldsymbol{0}$			
1991	$\overline{4}$	102	1984	327	$\boldsymbol{0}$			
1992	$\mathbf{1}$	178	1985	2,044	$\overline{0}$			
1993	15	594	1986	1,681	$\boldsymbol{0}$			
1994	$\overline{2}$	31	1987	761	$\boldsymbol{0}$			
1995	44	3,908	1988	953	$\overline{0}$			
1996	$\mathbf 1$	45	1999	5	$\boldsymbol{0}$			
1997	$\mathbf{1}$	$\mathbf{1}$	2000	167	159			
1998	$\mathbf{1}$	68	2001	99	$\boldsymbol{0}$			
1999	$\overline{7}$	178	2002	96	93			
2000	817	3,918	2003	140	135			
2001	484	2,091	2004	115	$\boldsymbol{0}$			
2002	411	2,123	2005	108	$\boldsymbol{0}$			
2003	671	3,100	2006	198	$\boldsymbol{0}$			
2004	492	2,188	2007	232	$\boldsymbol{0}$			
2005	521	2,182	2008	380	$\boldsymbol{0}$			
2006	908	4,458	2009	443	$\boldsymbol{0}$			
2007	1,034	5,330	2010	398	$\boldsymbol{0}$			
2008	1,634	7,459	2011	686	$\boldsymbol{0}$			
2009	1,939	8,763	2012	600	$\boldsymbol{0}$			
2010	1,808	8,770	2013	787	$\boldsymbol{0}$			
2011	2,798	14,320	2014	714	$\boldsymbol{0}$			
2012	2,960	13,604	2015	577	$\overline{0}$			
2013	3,467	16,640	2016	581	$\mathbf{0}$			
2014	3,106	14,362	2017	667	$\boldsymbol{0}$			
2015	2,496	11,891	2018	1,155	$\boldsymbol{0}$			
2016	2,647	12,243	2019	988	$\boldsymbol{0}$			
2017	2,997	14,445	2020	739	$\boldsymbol{0}$			
2018	4,455	24,897	2021	751	$\boldsymbol{0}$			
2019	4,323	21,090	2022	515	$\boldsymbol{0}$			
2020	3,002	16,389	2023	546	$\boldsymbol{0}$			
2021	2,889	16,080						
2022	2,524	11,160						
2023	2,162	11,610						
2024	1,174	6,036						

Table 10-2. Number of Alaska plaice lengths and otoliths collected from BSAI fishery.

Year	NMFS area								Total		
	519	508	509	512	513	514	516	517	521	524	Catch
2010	0.001	0.029	1.426	1.433	2.664	13.744	1.418	0.184	0.571	6.170	27.641
2011	0.000	0.018	1.841	1.288	2.570	9.619	1.591	0.002	0.354	1.188	18.471
2012	0.000	0.022	1.410	1.401	3.552	9.950	1.299	0.120	0.635	1.759	20.147
2013	0.000	0.002	2.858	0.820	4.071	6.407	1.675	0.014	0.542	0.791	17.181
2014	0.000	0.005	1.487	0.990	3.614	6.113	0.957	0.003	0.700	1.661	15.529
2015	0.000	0.030	0.845	0.739	2.922	5.541	0.601	0.001	0.586	1.198	12.464
2016	0.000	0.024	1.259	0.831	3.715	4.686	0.556	0.009	1.848	1.993	14.923
2017	0.000	0.027	1.675	0.908	3.189	13.084	0.938	0.027	2.818	6.090	28.756
2018	0.000	0.018	1.561	0.542	3.334	5.864	0.664	0.010	3.839	3.593	19.425
2019	0.006	0.044	1.176	0.821	2.648	8.785	0.760	0.010	2.876	7.115	24.240
2021	0.000	0.083	0.741	0.987	1.842	10.471	1.198	0.027	0.745	6.829	22.924
2022	0.000	0.084	1.050	1.533	1.386	9.899	1.096	0.001	0.376	7.738	23.163
2023	0.000	0.049	0.783	1.232	1.027	6.480	1.064	0.009	0.180	2.121	12.945

Table 10-3. Non-commercial catches (t) of Alaska plaice in the BSAI,2010-2023.

Table 10-4. Estimated biomass, 95% confidence intervals and standard deviations (t) of Alaska plaice from the eastern Bering Sea shelf trawl survey, 1982-2024. No survey occurred in 2020 due to the coronavirus pandemic.

Table 10-5. Number of Alaska plaice lengths and otoliths collected from NMFS Eastern Bering Sea Shelf bottom trawl survey. ISS is the input sample size determined through the general bootstrap framework implemented in the "surveyISS" Rpackage

Table 10-6. All parameter values and standard deviations (std) from Base-3, Model 24.1, Model 24.1a and Model 24.1b. If the std is NA then the parameter was not estimated in that model.

Table 10-7. Time series of predicted total biomass, spawning stock biomass, and associated standard deviations from Base-3, Model 24.1, Model 24.1a and Model 24.1b. "Tot Bio" is total biomass for ages 3+, SSB is the spawning stock biomass, and std is the standard deviation of spawning stock biomass.

Table 10-8. Age-0 recruitment estimates and their standard deviations from Base-3, Model 24.1, Model 24.1a and Model 24.1bs. REC is the age-0 recruitment, and std is the standard deviation of age-0 recruitment.

Table 10-9. Estimated yearly fishing mortality with corresponding standard deviations from Base-3, Model 24.1, Model 24.1a and Model 24.1bs. F is the fishing mortality, and std is the standard deviation of fishing mortality.

Figure 10-1. Alaska plaice catch, ABC and TAC from 1977-2024, with the projected 2024 catch estimate shown as a red asterisk. Data reflect catch posted through October 5, 2024 (sourced October 8, 2024 from the NMFS Alaska Regional Office using the AKFIN database [\[http://www.akfin.org\]](http://www.akfin.org/))).

Figure 10-2. Alaska plaice biomass estimates from the EBS shelf trawl survey using the standard grid (no Northern EBS), 1982-2024. No survey was conducted in 2020 due to the COVID-19 pandemic. Data, sourced from the AKFIN database, may differ slightly from previous assessments due to minor modification in the strata definitions. The 1982-2024 long-term average biomass (507,313 t) is shown in the horizontal dashed red line.

Figure 10-3. Likelihood profile of non-sex specific natural mortality in Model 24.1b. The dotted line indicates the location of 0.13 on the x-axis.

Figure 10-4. Fits to the weight-at-length data from both the survey and fishery. The red line is the fitted weight-at-length curve.

Figure 10-5. A comparison of spawning biomass (top-left), biomass index (top-right), recruits to age-0 (bottom-left) and fishing mortality (bottom-right) between Base-3 (red), Model 24.1 (green), Model 24.1a (blue) and Model 24.1b (purple).

Figure 10-6. A comparison of the sex specific length-at-age between Base-3 (red), Model 24.1 (green), Model 24.1a (blue) and Model 24.1b (purple). The dots are the actual length-at-age data from the fishery (1999-2024) and survey (1982, 1988, 1992-1995, 1998, 2000-2002, 2005-2014, 2016-2019, 2021). Note that the length-at-age data was not used to estimate the length-at-age curve with SS3.

Figure 10-7. A comparison of the sex specific weight-at-age between Base-3 (red), Model 24.1 (green), Model 24.1a (blue) and Model 24.1b (purple).

Figure 10-8. A comparison of the fleet and sex-specific selectivity curves used in Base-3 (red), Model 24.1 (green), Model 24.1a (blue) and Model 24.1b (purple). The line type indicates the fleet (solid = Fishery, dashed = Survey)

Figure 10-9. A comparison of the estimate recruitment deviations between Base-3 (black), Model 24.1 (red), Model 24.1a (blue) and Model 24.1b (green).

Figure 10-10. A comparison of fits to the sex and fleet specific aggregated length-composition data between Base-3 (top-left), Model 24.1 (bottom-left), Model 24.1a (top-right) and Model 24.1b (bottomright).

Figure 10-11. A comparison of Pearson residuals for fishery length-composition data between Base-3 (top-left), Model 24.1 (bottom-left), Model 24.1a (top-right) and Model 24.1b (bottom-right). Red bubbles along the top of the plots area legend that show scale. Filled circles are positive residuals (observed > expected) and open circles are negative residuals (observed < expected), blue indicates males and red indicates females.

Figure 10-12. A comparison of Pearson residuals for survey length-composition data between Base-3 (top-left), Model 24.1 (bottom-left), Model 24.1a (top-right) and Model 24.1b (bottom-right). Red bubbles along the top of the plots area legend that show scale. Filled circles are positive residuals (observed > expected) and open circles are negative residuals (observed < expected), blue indicates males and red indicates females.

Figure 10-13. A comparison of fits to the fleet and sex specific aggregated age-composition data between Base-3 (top-left), Model 24.1 (bottom-left), Model 24.1a (top-right) and Model 24.1b (bottom-right).

Figure 10-14. A comparison of Pearson residuals for fishery age-composition data between Base-3 (topleft), Model 24.1 (bottom-left), Model 24.1a (top-right) and Model 24.1b (bottom-right). Red bubbles along the top of the plots area legend that show scale. Filled circles are positive residuals (observed > expected) and open circles are negative residuals (observed < expected), blue indicates males and red indicates females.

Figure 10-15. A comparison of Pearson residuals for survey age-composition data between Base-3 (topleft), Model 24.1 (bottom-left), Model 24.1a (top-right) and Model 24.1b (bottom-right). Red bubbles along the top of the plots area legend that show scale. Filled circles are positive residuals (observed > expected) and open circles are negative residuals (observed < expected), blue indicates males and red indicates females.

Figure 10-16. Expected numbers-at-age at the beginning of the year for females (left panel) and males (right panel) for Model 24.1b. Red lines show expected mean numbers-at-age

Figure 10-17. Four plots showing the results from a jitter analysis (with 100 runs) on Model 24.1b. The top-left shows the total likelihood, the top-right shows the spawning biomass, the bottom-left shows the age-3+ biomass and the bottom-right showing the fishing mortality. Each plots shows the result from all 100 runs.

Figure 10-18. Spawning stock biomass from retrospective model runs leaving out 0 to 10 years of the most recent data for Model 24.1b. The grey shaded region represents the 95% confidence interval for the Model 24.1b run with all years of data.

Figure 10-19. Phase-plane diagram of the relative trajectories of female spawning biomass and fullselection fishing mortality. Horizontal axis contains model-estimated female spawning biomass relative to B35%; vertical axis contains model-estimated full-selection fishing mortality relative to F35%. The solid red line shows the OFL Tier 3 control rule and the dotted line shows the ABC Tier 3 control rule. The red dot is the value from 1975, the blue dot is the current-year (2024) value and the purple dots are the projected 2025 & 2026 values.

Appendix 10a: Transitioning the Bering Sea and Aleutian Islands Alaska Plaice Stock Assessment to Stock Synthesis

Introduction

This document outlines a proposed change of switching the Bering Sea and Aleutian Islands (BSAI) Alaska Plaice (*Pleuronectes quadrituberculatus*) stock assessment model to Stock Synthesis versions 3.30.22 (SS3; Methot and Wetzel (2013)). Once a base SS3 model that best mirrors the previous Alaska plaice assessment model from 2021 was established, alternative SS3 model configurations were explored for 2024 assessment cycle using all available new data.

Past Alaska plaice assessments used a sex-specific, age- and length-based population dynamics model coded in automatic differentiation model builder (ADMB) (referred to as "the 2021 model"). This model was coded specifically for and only used for Alaska plaice. The sex-specific aspects in this model are the length-at-age relationship, weight-at-length relationship, weight-at-age relationship, age-length transition matrix and selectivity curves. All the sex-specific aspects are estimated outside the model except the selectivity curves. The age-at-maturity is also estimated outside the model and is only determined for females. Estimated within the 2021 model are the log of mean recruitment, numbers at age in the initial population, annual recruitment deviations, log of mean fishing mortality, annual fishing mortality deviations and sex-specific selectivity parameters. The 2021 model has two fleets; fishery and survey (Eastern Bering Sea (EBS) shelf bottom trawl survey). Both used sex-specific age-based logistic selectivity. Fixed within the 2021 model is natural mortality (0.13, same for males and females) survey catchability (1.2) and the sex-ratio at recruitment (0.5). The age range is 3-25 with age-25 being a plus group and ages below 3 are excluded. The length bins are 1cm long and ranged from 10cm – 60cm. The final length bin is a plus group and lengths below 10cm are excluded.

SS3 is a more flexible assessment model framework then the 2021 model. It is better documented, continually updated, and has a wide variety of external resources such as the r4ss package that allows for easier exploration of alternative models. What makes the 2021 model more rigid is that it was coded specifically for Alaska plaice. This means exploring alternative model configurations requires manually changing the ADMB code which is time consuming and prone to potential human error. In addition, since the model is coded specifically for Alaska plaice, few people interact with the model which makes is it harder to catch potential mistakes within the ADMB code.

Data bridging

An important difference between the 2021 model and SS3 occurs within the population dynamics ageclasses. The youngest possible age-class in the 2021 model is age-3, while SS3 always begin at age-0. The inputted age-composition data in SS3 can start at ages larger than zero, however the first age-bin is considered a plus group ranging from 0 to the minimum age from the age-composition data. The same applies to length-composition data with the smallest length-bin being a plus group ranging from 0 to the upper limit of the smallest length-bin. The 2021 model omits data on ages 0-2 and excluded lengths smaller than the lower end of the smallest length-bin. Ignoring this difference between models will result in differences between expected and observed age- and length-compositions for the youngest age and smallest length-bins when selectivity at these ages and lengths is estimated to be greater than 0 in SS3. In addition, information on younger ages and smaller lengths can be valuable since it informs selectivity estimates at the younger ages (even if they are zero) and can improve recruitment estimates in the most recent years.

For the bridging process, the same data used in the 2021 model (Ormseth 2021b) are used to transition to SS3. This means that the age-composition data excluded age 0-2 individuals and the length-composition

data excluded individuals smaller than 10 cm even though there is data available in both excluded groups. The table below lists the data use during the bridging process.

Note that for the EBS bottom trawl survey age- and length- composition data there are no overlapping years. This is because the two data types are not independent since the length-composition data is used to calculate the age-composition data with a separate age-length transition matrix.

Differences in analytic approach

There are several fundamental differences between the 2021 model and SS3 that prevent the two from fully matching each other.

Recruitment

The 2021 model assumes that new recruits are added to age-3 while SS3 adds new recruits to age-0.The 2021 model assumes that the selectivity for fish younger than age-3 is zero and SS3 has an option to specify the minimaum age of selected fish (*i.e.* age-3). Therefore, to have the mean recruitment from SS3 $[R_30]$ to better mirror the 2021 model mean recruitment (R30), Equation 1 was used:

$$
R_0 0 = R_3 0 * e^{3M} \qquad (1)
$$

where *M* is natural mortality. Equation 1 was only used when mean recruitment was fixed in SS3.

Selectivity

In the 2021 model, selectivity is an age-based sex-specific logistic curve. SS3 can have a length-based sex-specific logistic curve but not an age-based one. It can have a sex-specific age-based double normal selectivity curve that can be modified to behave similarly to the logistic selectivity curve. In SS3, the double normal selectivity curve is defined by six parameters; *Peak*, *Top*, *ascending width*, *descending width*, *initial* and *final*. By fixing *Top* to 30, *descending width* to 8, *initial* to -1003 (note that the 3 ensures that selectivity below age-3 is zero) and *finale* to 999 and only modify/estimate Peak and ascending width then the double normal selectivity curve will behave similarly to a logistic selectivity curve.

Growth

The 2021 model incorporates growth in two ways; mean weight-at-age and age-length transition matrix. Both are sex-specific and are estimated independently of each other. The mean weight-at-age is calculated externally by multiplying the mean length-age by the mean weight-at-length. The mean length-at-age is estimated externally with a von-Bertalanffy growth curve for each sex. Both growth curves were last updated in 2016 using the EBS bottom trawl survey age-length data. The weight-at-length relationship is estimated externally as sex-specific curves using the following equation:

 $Weight = a_s (Length)^{b_s}$ (2)

where a_s and b_s are parameters that define the weight-at-length relationship for sex *s*. The weight-atlength relationship was last updated in 2016 using the EBS bottom trawl survey weight-length data. Neither the estimated age-length relationship nor the weight-length relationship are directly inputted into the 2021 model.

The sex-specific age-length transition matrices used in the 2021 models are estimated completely separately from the length-at-age relationship. They are estimated directly from the length-at-age data from the EBS bottom trawl survey by determining the proportion in each length-bin for a given age-class. These matrices have not been updated since at least 2003. SS3 uses a modified version of the length-atage von-Bertalanffy growth curve in which younger ages (defined by the user) are assumed to have a linear age-length relationship while older ages follow a von-Bertalanffy growth curve. The younger and older growth curves each have their own coefficient of variance (CV). The growth parameters and associate CVs can be estimated or fixed to specific values in SS3 and be sex-specific. The age-length transition matrix in SS3 is calculated internally using the von-Bertalanffy growth parameters and CVs. This matrix cannot be manually entered.

The differences in growth estimation between the 2021 model and SS3 make it hard for growth curves and age-length transition matrices to perfectly match. However, the weight-at-age relationship can be either calculated internally or entered manually within SS3. Thus the weight-at-age relationship in SS3 can perfectly match the 2021 model.

Model bridging

A variety of alternative models were explored to try and bridge the 2021 model to SS3. Below are four models that demonstrate how the best bridging SS3 model was chosen:

- Base-1 was a deterministic SS3 model that aimed to have all the characteristics and parameter values from the 2021 model. Mean recruitment, recruitment deviations, mean weight-at-age and parameters for the sex-specific length-at-age curve, female length-at-maturity vector, natural mortality, and survey catchability were all fixed to the values from the 2021 model. The younger age linear growth curve was set between age-0 to age-1 and the age-1 length-at-age was set to the age-1 length determined from the von Bertalanffy growth curve defined by the growth parameters estimated externally for the 2021 model. The younger and older age sex-specific growth CVs were visually estimated from the age-length transition matrix used in 2021 model then fixed in SS3. The age-length transition matrix could not be copied from the 2021 model and, therefore, was internally calculated in SS3 (described above). The only things estimated in the Base-1 model are the annual fishing mortalities and the sex-specific age-based selectivity curves for the fishery and survey fleets. The selectivity curves were estimated in Base-1 to try and get the double normal selectivity curves in SS3 to match the logistic selectivity curves from 2021 as best as possible.
- Base-2 updated the Base-1 model by changing the old age von Bertalanffy growth parameters (for males and females) with newly externally estimated sex-specific von Bertalanffy growth

parameters that were estimated using the survey and fishery age-length data through 2021. These updated growth parameters were fixed in SS3.

- Base-3 updated the Base-2 model by estimated mean recruitment, annual recruitment deviations in addition to mean fishing mortality, fishing morality deviations, and selectivity. The growth parameters were fixed to the updated growth parameters used in Base-2.
- Base-4 model is a modified version of the Base-3 model with the difference being that growth is estimated within SS3. Specifically, the sex-specific maximum length, sex-specific growth rate and male length at age-1, used to define the maximum length for the younger age linear growth curve, were estimated within SS3. The female length at age-1 was fixed to the value used in Base-3.

Table 10-13 describes the number of parameters in each population dynamics process and whether they were estimated in each bridging model and the 2021 model.

Bridging results

Figure 10-20 and 10-21 show comparisons plots between Base-1 and 2021 models. Overall, Base-1 model performs very similarly to the 2021 model. However, the goal of using a deterministic model is to ensure that if all the parameter values match up then the two models should produce identical results. Unfortunately that did not happen. There are slight differences especially in the age 3+ total biomass (Figure 10-20) with the Base-1 model having a larger total biomass after 1990. The main issue causing this difference is growth. As described above, the 2021 model incorporates growth in two independent ways (mean weight-at-age and the age-length transition matrix). When comparing the mean length-at-age from the weight-at-age calculation and the age-length transition matrix in the 2021 model (green and red lines in Figure 10-22) it is apparent that they don't match for either sex. Thus, the 2021 model uses two separate growth curves for each sex when there should only be one for each sex.

The growth curves in the Base-1 model only matches the growth curves used to calculate the mean weight-at-age in the 2021 model. This means the age-length transition matrix in SS3 does not match the age-length transition matrix in 2021 model. There is no underlining growth curve defining the 2021 model age-length transition matrix so it cannot be used in SS3. To improve the growth curve in the Base-1 model, new sex-specific von Bertalanffy growth curves were externally estimated using the age-length data up to 2021 from the survey and fishery (blue line in Figure 10-22). The newly estimated growth curves ended up closely mirroring the mean age-at-length from the age-length transition matrix from the 2021 model. The Base-2 model is the same as the Base-1 model but with the updated growth curve. Figure 10-23 and 10-24 show comparison plots between the Base-2, Base-1 and 2021 models. The results reveals that the Base-2 model matches the 2021 model much better that Base-1.

The Base-3 model is a modified version of the Base-2 model in that mean recruitment and recruitment deviations are estimated. The intention is to estimate the same set of parameters as the 2021 model. The Base-3 model matches the 2021 model fairly well (Figure 10-25 and 10-26). There are noticeable slight differences in 3+ total biomass and Age-3 recruitment (Figure 10-25). The Age-3 recruitment difference occur predominantly at the tail end of the time series. This is most likely do to the lack of information on new recruits in the composition data at the end of the time series. Younger fish don't start appearing in the age-composition data from the survey until around age-5. Interestingly the Base-3 model has the lowest total likelihood value when compared to the Base-1 and Base-2 models suggesting an overall better fit to the data (Table 10-14). However, the recruitment component of the Base-3 total likelihood is larger than Base-1 and Base-2 recruitment component. This implies that the better fit to the composition data is driving the differences in recruitment deviations between the Base-3 and Base-1 and -2 models.

The Base-4 model mirrors the Base-3 model except that growth is estimated instead of fixed. The Base-4 model matched the 2021 model well (Figure 10-27 and 10-28). There are noticeable slight differences in 3+ total biomass and Age-3 recruitment (Figure 10-27. The Age-3 recruitment difference occur predominantly at the tail end of the time series which is most likely do to the lack of information on new recruits in the composition data at the end of the time series. The total likelihood for the Base-4 model is lower than the Base-1 and -2 models suggesting an overall better fit to the data (Table 10-14). However, the Base-3 total likelihood is smaller than Base-4's.

When determining the new base model for the 2024 Alaska Plaice assessment, I would recommend the Base-4 model over the Base-3 model. The differences in the models ability to estimate total biomass, biomass index, age-3 recruits and fishing mortality is small (Figure 10-27 with the largest difference occurring in age-3 recruits at the tail end of the time series. The likelihood components suggest that the Base-3 model fit the data better, but this difference is small (Table 10-14). I would argue that it is better to estimate growth within the model because it provides the model with more flexibility and ensures that growth is re-estimated for each full assessment. This is especially important given that growth was a big issue in the 2021 model.

2024 Assessment

Before exploring alternative models for the 2024 assessment, all available data was updated. See the table below for all the updated data.

With the data updated, two alternative SS3 models are proposed to address some limitations in the Base-4 model. The first alternative model (Model 24.0) include the following changes:

- 1. Updating each year's input sample size for the survey age-composition data using a general bootstrap framework implemented in the "surveyISS" Rpackage (Williams and Hulson 2024).
- 2. Updating each year's input sample size for the survey length-composition data with the number of hauls.
- 3. Including age-1 and -2 fish in the fishery and survey age-composition data.
- 4. Adjusting the maximum age for linear growth from age-1 to age-3 and estimating all growth parameters except the CVs.
- 5. Updating the parameters values for the length-weight relationship by estimating them externally using the fishery and survey length-weight data available up to 2024.
- 6. Updating the old age growth CVs for both males and females with new values determined through likelihood profiles.

The second alternative model (Model 24.1) has all the same changes as Model 24.0 with the addition of calculating the weight-at-age relationship within SS3 instead of externally. This ensures that the weightat-age relationship is derived from the growth parameters estimated within SS3 instead of being calculated externally from a separate growth curve that is not guaranteed to match the estimated SS3 growth curve.

2024 Results

Figure 10-29 and 10-30 show that the three models (Base-4, Model 24.0, and Model 24.1) behave relatively similarly with the major (yet small) differences occurring when estimating the spawning biomass and number of recruits to age-0. Model 24.0 and Model 24.1 have much better fits to the data when compared to the Base-4 model, with Model 24.0 having the lowest total likelihood value (Table 10- 15). This is because Model 24.0 and 24.1 do a better job at fitting the length- and age- composition data when compared to the Base-4 model.

Overall, I would recommend Model 24.1 as the assessment model for 2024. Though Model 24.0 has a better likelihood value, I would argue that is it better to calculate the weight-at-age relationship within SS3. This ensures that the weight-at-age relationship is derived directly from the estimated growth parameters instead of being calculated externally from a separate growth curve that is not guaranteed to match the estimated SS3 growth curve.

References

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Ormseth, O.A. (2021a) Assessment of the alaska plaice stock in the bering sea and aleutian islands. In: *Stock assessment and fishery evaluation report for the groundfish resources of the Bering Sea and Aleutian Islands regions.* North Pacific Fishery Management Council, Anchorage, AK.

Williams, B. and Hulson, P. (2024) surveyISS: Survey composition input sample size.

Appendix 10a Tables

Table 10-13. Lists the number of parameters for each population dynamics process and whether they were estimated in each bridging model and the 2021 model.

Table 10-14. Components of the objective function, the number of parameters estimated and the derived 2021 total biomass for the bridging and 2021 models. Note that the likelihood components in the 2021 model are not comparible to the bridging models.

Table 10-15. Components of the objective function, the number of parameters estimated and the derived 2021 total biomass for the bridging and 2021 models. Note that the likelihood components in the 2021 model are not comparible to the bridging models.

Figure 10-20. Population dynamics plots comparing the 2021 model to the Base-1 model where only selectivity and annual fishing mortality are estimated. The top left panel shows the estimated total biomass from age-3 and older (i.e. ages-2 and younger fish are not included in this plot). The top right panel shows the estimated biomass index with the black dots with error bars representing that actual biomass index data. The bottom left panel shows the number of individuals at age-3. The bottom right panel shows the estimated fishing mortality. In each panel the solid black line represents the 2021 model and the dashed yellow line represents the Base-1 model.

Figure 10-21. Comparison plots between the 2021 model to the Base-1 model where only selectivity and annual fishing mortality are estimated. The top panels show the estimated selectivity curves from the fishery (red) and survey (blue) fleets with females on the left and males on the right. The bottom panels show the growth curves with females on the left and males on the right. In all the panels, the solid line represents the 2021 model and the dashed line represents the Base-1 model.

Figure 10-22. Comparison between the von Bertalanffy growth curves used to determine the mean weight-at-age in the 2021 model (green), the mean length-at-age determined from the age-length transition matrix used in 2021 model (red), and the new externally estimated von Bertalanffy growth curves using the age-length data up to 2021 from the survey and fishery (blue). The black dots represent the age-length data up to 2021 from the survey and fishery. The left panels shows the female growth curves and data points while the right panel shows the male growth curves and data points.

Figure 10-23. Population dynamics plots comparing the 2021 model and the Base-1 model to the Base-2 model with an updated growth curve. The top left panel shows the estimated total biomass from age-3 and older (i.e. ages-2 and younger fish are not included in this plot). The top right panel shows the estimated biomass index with the black dots with error bars representing that actual biomass index data. The bottom left panel shows the number of individuals at age-3. The bottom right panel shows the estimated fishing mortality. In each panel the solid black line represents the 2021 model, the dashed yellow line represents the Base-1 model and the dashed blue line represents the Base-2 model.

Figure 10-24. Comparison plots between the 2021 model to the Base-2 model with the updated growth curve. The top panels show the estimated selectivity curves from the fishery (red) and survey (blue) fleets with females on the left and males on the right. The bottom panels show the growth curves with females on the left and males on the right. In all the panels, the solid line represents the 2021 model and the dashed line represents the Base-2 model.

Figure 10-25. Population dynamics plots comparing the 2021, Base-1 and Base-2 models to the Base-3 model where recruitment, fishing mortality and selectivity are estimated. The top left panel shows the estimated total biomass from age-3 and older (i.e. ages-2 and younger fish are not included in this plot). The top right panel shows the estimated biomass index with the black dots with error bars representing that actual biomass index data. The bottom left panel shows the number of individuals at age-3. The bottom right panel shows the estimated fishing mortality. In each panel the solid black line represents the 2021 model, the dashed yellow line represents the Base-1 model, the dashed blue line represents the Base-2 model and the dashed green line represents the Base-3 model.

Figure 10-26. Comparison plots between the 2021 model to the Base-3 model where recruitment, fishing mortality and selectivity are estimated. The top panels show the estimated selectivity curves from the fishery (red) and survey (blue) fleets with females on the left and males on the right. The bottom panels show the growth curves with females on the left and males on the right. In all the panels, the solid line represents the 2021 model and the dashed line represents the Base-3 model.

Figure 10-27. Population dynamics plots comparing the 2021, Base-1, Base-2 and Base-3 models to the Base-4 model which mirrors the Base-3 model except that growth is estimated. The top left panel shows the estimated total biomass from age-3 and older (i.e. ages-2 and younger fish are not included in this plot). The top right panel shows the estimated biomass index with the black dots with error bars representing that actual biomass index data. The bottom left panel shows the number of individuals at age-3. The bottom right panel shows the estimated fishing mortality. In each panel the solid black line represents the 2021 model, the dashed yellow line represents the Base-1 model, the dashed blue line represents the Base-2 model, the dashed green line represents the Base-3 and the dashed pink line represents the Base-4 model.

Figure 10-28. Comparison plots between the 2021 model to the Base-4 model which mirrors the Base-3 model except that growth is estimated. The top panels show the estimated selectivity curves from the fishery (red) and survey (blue) fleets with females on the left and males on the right. The bottom panels show the growth curves with females on the left and males on the right. In all the panels, the solid line represents the 2021 model and the dashed line represents the Base-4 model.

Figure 10-29. Population dynamics plots comparing the Base-4 (red solid), Model 24.0 (green dashed) and Model 24.1 (blue dashed) models. The top left panel shows the estimated spawning biomass. The top right panel shows the estimated biomass index with the black dots with error bars representing that actual biomass index data. The bottom left panel shows the number of age-0 recruits. The bottom right panel shows the estimated fishing mortality. The shaded regions in the top left and bottom right panels represent the 95% confidence interval for the associated color.

Figure 10-30. Comparison plots between the models Base-4 (red solid), Model 24.0 (green dashed) and Model 24.1 (blue dashed). First row of panels is selectivity in the fishery. The second row is selectivity in the survey and the third row is the length-at-age. The left panels are for female specific curves and the right panels are for male specific curves.