APPENDIX D: DATA MATRICES

| Sexual Harassment and Violence at the US Military Service Academies APY10-11 | |
|---|--------------------|
| A. APY10-11 REPORTS OF SEXUAL ASSAULT (rape, aggravated sexual assault, aggravated sexual contact, abusive sexual contact, wrongful sexual contact, non-consensual sodomy, and attempts to commit these offenses) BY or | APY10-11 Totals |
| AGAINST Cadets/Midshipmen | |
| # VICTIMS in APY10-11 Unrestricted Reports | 38 |
| # Cadet/Midshipman victims | 35 |
| # Non-Cadet/Midshipman victims | |
| # Unrestricted Reports in the following categories | 38 |
| # Cadet/Midshipman on Cadet/Midshipman | 27 |
| # Cadet/Midshipman on Non-Cadet/Midshipman (includes other Service Members or Civilians) | 3 |
| # Non-Cadet/Midshipman (includes other Service Members or Civilians) on Cadet/Midshipman # Unidentified Subject on Cadet/Midshipman | |
| # Unrestricted Reports of sexual assault occurring | 38 |
| # On Academy Grounds | 18 |
| # Off Academy Grounds # Off Academy Grounds | 18 |
| # Unidentified location | 2 |
| # Investigations Initiated (From APY2009-2010 Unrestricted Reports) | 38 |
| # Investigations pending completion as of 31-May-11 | 16 |
| # Completed Investigations as of 31-May-11 | 22 |
| # Restricted Reports | 37 |
| # Converted from Restricted Report to Unrestricted Report* | 10 |
| # APY10-11 RESTRICTED REPORTS REMAINING RESTRICTED | 27 |
| B. DETAILS OF UNRESTRICTED REPORTS IN APY10-11 | APY10-11 Totals |
| Length of time between sexual assault and Unrestricted Report | 38 |
| # Reports made within 3 days of sexual assault | 16 |
| # Reports made within 4 to 30 days after sexual assault | 4 |
| # Reports made within 31 to 365 days after sexual assault | 12 |
| # Reports made longer than 365 days after sexual assault | 5 |
| # Unknown | 1 |
| Reported Time of sexual assault | 38 |
| # Midnight to 6 am | 20 |
| # 6 am to 6 pm | 3 |
| # 6 pm to midnight | 8 |
| # Unknown | 7 |
| Reported Day of sexual assault | 38 |
| # Sunday | 12 |
| # Monday | 2 |
| # Tuesday | 2 |
| # Wednesday | 2 |
| # Thursday | 3 |
| # Friday | |
| # Saturday # Unknown | 5 |
| C. SUMMARY OF INVESTIGATIONS OF UNRESTRICTED REPORTS (INITIATED AND COMPLETED IN APY10-11) | APY10-11 Totals |
| # Investigations initiated and completed during APY10-11 | 22 |
| # Investigations with more than one victim, subject, or both | |
| # SUBJECTS in the completed investigations | 24 |
| # Cadet/Midshipman subjects | 16 |
| # Service Member subjects | 1 |
| # Non-Service Member subjects # Unidentified subjects | 2 |
| # VICTIMS in the completed investigations | 22 |
| # Cadet/Midshipman victims | 20 |
| # Service Member victims | (|
| | |
| # Non-Service Member victims | 2 |

Unrestricted Sexual Assault Reports (Continued)

| D. FINAL DISPOSITIONS FOR SUBJECTS IN APY10-11 INVESTIGATIONS | APY10-1 Totals |
|--|-------------------|
| SUBJECTS in APY10-11 completed investigations | 2 |
| OD Action Precluded: | |
| # Unknown Subjects | |
| # Unfounded by Investigative Agency | |
| # Civilian or Foreign Authority Prosecutions of Persons Subject to the UCMJ | |
| # Civilian or Foreign Authority Prosecutions of Persons NOT Subject to the UCMJ | |
| f Total Command Action Precluded or Declined for Sexual Assault | |
| # Probable cause for only non-sexual assault offense | |
| # Subject deceased or deserted | |
| # Victim deceased | |
| # Victim declined to participate in the military justice action | |
| # Insufficient evidence of any offense | |
| # Statute of limitations had expired | |
| # Unfounded by Command | |
| # Commander declined action pursuant to UCMJ Rules of Courts-Martial 306(c)(1) | |
| subjects awaiting completion of command action as of 31-May-11 | |
| f Subjects for whom command action was completed as of 31-May-11 | 1 |
| F Evidence Supports Command Action for the following APY10-11 Sexual Assault Subjects | 1 |
| # Court-martial charges preferred (Initiated) | |
| # Disciplinary board actions (Initiated) | |
| # Nonjudicial punishments (Article 15 UCMJ) | |
| # Administrative discharges | |
| # Adverse Administrative Actions | |
| . FINAL DISPOSITIONS FOR SUBJECTS IN Pre-APY10-11 INVESTIGATIONS | APY10-1 Totals |
| ^c Total Number of Investigations, from all reporting periods prior to current APY, pending completion at the end of | |
| # Total Number of Investigations, from all reporting periods prior to current APY, pending completion at the end of NPY10-11 # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 | |
| | |
| NPY10-11 # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 | |
| # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 # Pre-APY10-11 Investigations completed of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 # Final APY10-11 DISPOSITIONS for SUBJECTS from Pre-APY10-11 investigations that were completed in APY10-11 | |
| # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 # Pre-APY10-11 Investigations completed of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 | |
| # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 # Pre-APY10-11 Investigations completed of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 # Final APY10-11 DISPOSITIONS for SUBJECTS from Pre-APY10-11 investigations that were completed in APY10-11 | |
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| # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 # Pre-APY10-11 Investigations completed of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 # Final APY10-11 DISPOSITIONS for SUBJECTS from Pre-APY10-11 investigations that were completed in APY10-11 DOD Action Precluded: # Unknown Subjects # Unfounded by Investigative Agency # Civilian or Foreign Authority Prosecutions of persons subject to the UCMJ # Civilian or Foreign Authority Prosecutions of Persons NOT Subject to the UCMJ | |
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| # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 # Pre-APY10-11 Investigations completed of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 # Final APY10-11 DISPOSITIONS for SUBJECTS from Pre-APY10-11 investigations that were completed in APY10-11 DOD Action Precluded: # Unknown Subjects # Unfounded by Investigative Agency # Civilian or Foreign Authority Prosecutions of persons subject to the UCMJ # Civilian or Foreign Authority Prosecutions of Persons NOT Subject to the UCMJ # Total Command Action Precluded or Declined for Sexual Assault # Probable cause for only non-sexual assault offense | |
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| # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 # Pre-APY10-11 Investigations completed of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 # Final APY10-11 DISPOSITIONS for SUBJECTS from Pre-APY10-11 investigations that were completed in APY10-11 DOD Action Precluded: # Unknown Subjects # Unfounded by Investigative Agency # Civilian or Foreign Authority Prosecutions of persons subject to the UCMJ # Civilian or Foreign Authority Prosecutions of Persons NOT Subject to the UCMJ # Total Command Action Precluded or Declined for Sexual Assault # Probable cause for only non-sexual assault offense # Subject deceased or deserted # Victim deceased | |
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| # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 # Pre-APY10-11 Investigations completed of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 # Final APY10-11 DISPOSITIONS for SUBJECTS from Pre-APY10-11 investigations that were completed in APY10-11 DOD Action Precluded: # Unknown Subjects # Unfounded by Investigative Agency # Civilian or Foreign Authority Prosecutions of persons subject to the UCMJ # Total Command Action Precluded or Declined for Sexual Assault # Probable cause for only non-sexual assault offense # Subject deceased or deserted # Victim declined to participate in the military justice action # Insufficient evidence of any offense # Statute of limitations had expired # Unfounded by Command # Commander declined action pursuant to UCMJ Rules of Courts-Martial 306(c)(1) # Pre-APY10-11 Subjects for whom command action was completed as of 31 May-10 # Evidence Supports Command Action for the following Pre-APY10-11 Sexual Assault Subjects | |
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| # Pre-APY10-11 Investigations STILL PENDING completion as of 31-May-11 # Pre-APY10-11 Investigations completed of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 # SUBJECTS from Pre-APY10-11 investigations completed as of 31-May-11 # Final APY10-11 DISPOSITIONS for SUBJECTS from Pre-APY10-11 investigations that were completed in APY10-11 DOD Action Precluded: # Unknown Subjects # Unfounded by Investigative Agency # Civilian or Foreign Authority Prosecutions of persons subject to the UCMJ # Civilian or Foreign Authority Prosecutions of Persons NOT Subject to the UCMJ # Total Command Action Precluded or Declined for Sexual Assault # Probable cause for only non-sexual assault offense # Subject deceased or deserted # Victim declined to participate in the military justice action # Insufficient evidence of any offense # Statute of limitations had expired # Unfounded by Command # Commander declined action pursuant to UCMJ Rules of Courts-Martial 306(c)(1) # Pre-APY10-11 Subjects awaiting completion of command action as of 31-May-10 # Pre-APY10-11 Subjects for whom command action was completed as of 31 May-10 # Evidence Supports Command Action for the following Pre-APY10-11 Sexual Assault Subjects # Courts-martial charge preferred (Initiated) # Disciplinary board actions (Initiated) | |

Unrestricted Sexual Assault Reports Continued

| Sexual Harassment and Violence at the Military Service Academies APV10-17 - Unrestricted Reports by Status and Gonder | / Status and | Gender | | | | | | | | | | | | | | | | | |
|--|--------------------|-----------------------------------|---|--|-------------------|---------------------------------|---|-------------------------------------|---|-------------------|-------------|---|---------------------------------|--|---------------------------------------|-------------------------------|-------------------------------------|--------------------------------|---|
| | Male | Male | Female | Female | Unknown | Unknown | | | | | | | | | | | | | |
| F. REPORTED SEXUAL ASSAULTS INVOLVING CADETS/MOSHIPMEN AS SUBJECTS OR VICTIMS IN THE BELOW CATEGORIES FOR ALL ANYJO-11 UNIXESTRUCTED REPORTS | | on Male | on Male | on Female | onMale | on Female | e Totals | | | | | | | | | | | | |
| # Cadet/Midshipman on Cadet/Midshipman | 22 | 0 | 0 | 0 | | | o c | 13 8 | | | | | | | | | | | |
| # GateVMidshpman on Non-GateVMidshpman # Non-GateVMidshpman on GateVMidshpman | υnω | - 0 | 00 | 00 | | | 00 | σ ω | | | | | | | | | | | |
| | Sexual Harassment | | nce at the US | of 0 0 0 0 0 0 0 0 0 0 0 0 0 7 0 0 0 0 0 | ice Academie | S APY10-11, | UNRESTRIC | TED REPORT | S BY OFFEN | SE TYPE | | | | | | | | | |
| THE STRICTED REPORTS AND EINAPPARTS | DENDO-PA | | Pre-UCM3 Change Incidents (Prior to 1 Oct 87) | | Pra-APY10- | 11 Incidents | Occurred be | tween I Oct | 07 and 31 M | ay 10, but nei | reported un | H this AF AP | NO-11 INC | NEWTS (Occ. | ered between | 01 m£ 1 m | and 31 May | is and repu | Pro-APTIO-11 Incidents (Occurred backness 1 Oct 07 and 31 May 10, but not reported until this AP APTIO-11 INCIDENTS (Occurred between 1 Ins 10 and 31 May 11 and reported this APT) |
| G. REPORTED SEXUA ASSAULTS BY OF AGAINST CADETS (MIDSHEMEN IN THE FOLLOWING CATGORIES FOR ALLAPYTO 11 INVESTIGATIONS | Rape (Art. 120) | Indecent Assault (Art. 134) | Non- consensual Sodomy (Art. 125) | Attempts to Commit Offenses (Art. 80) | Rape (Art 120) | Aggravated Sexual Assault | d Aggravated Sexual Contact (Art. 120) | ed Abusive Sesual Contact (Art 120) | ve Wrongful d Sesual ct Contact (Art 120) | onsens (act Sodon | Non- Atte | Attempts to Commit # Offenses (Art. 80) | Agg Rape 5: (Art. 120) As | Aggravated Agg Sexual 5 Assault 0 Ast 120) (A | Aggravated A Sexual S Contact C | Abusive W. Sexual 5 Contact C | Wrongful Sexual cor Contact S | Non- At consensual to Sodomy 0 | Attempts to Commit APY10-11 Offenses Totals (Art. 80) |
| | 0 | 0 | 0 | 0 | | | 4 | P | - | 우 | 0 | 0 | 12 | 6 | 1 | 0 | (A | - | ω |
| # CadetMidshigman on CadetMidshigman # CadetMidshigman on Non-CadetMidshigman | | | | | | | 0 2 | - | <u> </u> | - | # | - | <u> </u> | | - | # | <u> </u> | # | |
| # Unidentified subject on Cadet/Midshipman | 0 6 | 0 | 0 | 0 | | Ĭ | | 0 0 | 0 | 0 | 0 | 0 | ω | | <u>.</u> | 0 | 0 | 0 | |
| #TOTAL Cadet/Midshipman Victims | 0 | 0 | 0 | 0 | | | 4 | 0 | - | 0 | 0 | 0 | 11 | 4 | - | 0 | | 1 | ω |
| # CadetMidshipman Victims Female | 0 | 0 | 0 | 0 | | | 4 | 0 |) | 0 | 0 | 0 | . 11 | 4 | | 0 | o un | | ω |
| * CODENT BY OFFENSE TYPE FOR UNRESTRICTED REPORTS OF SEXUAL ASSAULT MADE IN APY10-11 | | 9 | 9 | | | ľ | 9 | 9 | 9 | 2 | 9 | 2 | _ | <u>.</u> | ٩ | 2 | 2 | _ | 9 |
| | 0 | 0 | 0 | 0 | | 0, | 4 | 0 | 1 | 0 | 0 | 0 | 12 | 6 | 1 | 0 | 5 | 1 | 3 |
| # Managar (3) 6 am | | 0 0 | 00 | | | | 0 6 | 00 | 0 - | 00 | 0 0 | 00 | <u></u> | 0 2 | 0 - | 0 | 0 - | ۰ | |
| # 6 cm to midright | | | | | | Ī | 3 | 0 | 0 | 0 | | 00 | ٥٥ | J N | | | s rs | 0 | 012 |
| # CIRIOMII Day of sexual assault | 0 | 0 | 0 | 0 | | 5 | 4 | 0 | 1 | 0 | 0 | 0 | 12 | 6 | 1 | 0 | 5 | 1 | w |
| # Sunday | | | | | | Ī | 2 12 | 0 | - | - | - | | - kn | 2 12 | | - | - | - | |
| # Tuesday | | | | | | | | | 0 | | | | | | | | | | |
| # Wednesday | | 0 0 | | | | | | 0 0 | 0 0 | 0 0 | - | 0 0 | | 0 0 | - | - | + | 0 0 | |
| # Friday | | 0 | | | | | 0 | 0 | o 🗠 | 0 | 0 | 0 | <u>سم</u> در | ۰. | 0 | | - 0 | |) m |
| # Likhown | 0 | 0 | 0 | 0 | | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | ٥ | 8 | 0 | H | ۵ | 0 |
| DEMOGRAPHICS ON VICTIMS IN COMPLETED APYTO-11 INVESTIGATIONS | 0 | 0 | 0 | | | | | 0 | 9 | n | 0 | 0 | 9 | 2 | | - | 4 | 0 | 3 |
| # Male | 0 | 0 | 0 | 0 | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Linknowin | 0.0 | 0 | 0.0 | 0 | | | 0 | 00 | 0.0 | 0.0 | 0 0 | 0 | 0 4 | 0 2 | 0 | 0 0 | 0 4 | 0 | 0 4 |
| Grade/Status of Victims | 0 | 0 | 0 | 0 | | 2 | 1 | 0 | 0 | 0 | 0 | 0 | 9 | 2 | 1 | 0 | 4 | 0 | 3 |
| # Prep School Scudenc | 00 | 0 0 | 0 0 | 0 | | | | 00 | 0 0 | 0 0 | 0 0 | 0 0 | 2 0 | - 0 | 0 0 | 0 0 | 2 | 0 0 | |
| # 20d Year | | | | | | | 0 | 0 | 0 | | | . 0 | . 12 | 0 | | | - | . 0 | |
| # 4th Year | 0 | | | | | | 0 | 0 | 0 | 0. | 0 | 0 | ω, | | | | | | |
| # Active Duty Service Member | 0.0 | 0 | 00 | ٥ | | | 0 | 00 | 00 | 0 0 | 00 | 00 | 00 | 00 | 0 | 00 | 0 0 | 00 | 0 0 |
| # US Civilian | | . 0 | . 0 | | | | 0 | 0 | 20 | 20 | , 0 | 20 |) <u>-</u> | <u>.</u> | 20 | , 0 | 2 0 | , 0 | , 0 |
| # Unknown | 0 | 0 | 0 | ٥ | 200 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| AND | | | | | | | | | | | | | | | | | | | |
| DEMOGRAPHICS ON SUBJECTS IN COMPLETED JUSTICIAL INVESTIGATIONS | 0 | 0 | 0 | | | | - | 0 | 0 | | | | == | 2 2 | | - 0 | 4 4 | . 0 | ی د |
| DERMANDERIES ON SUBJECTS IN CONTRETED PETITION INVESTIGATIONS BY MAN. | | | | | | | | 0. | | | | ٥, | | | | | | | |
| THE PROPERTY OF THE PROPERTY O | 0 | | | | | | 0 | 0 | 0 | | | | | | | | | | 0 |
| LEADAGHAS MISHAGUS IN DOMPLE RELIPERTADA INVESTIGATIONS Gendler & SUBJECTS # 10th # 2 th Thrown | 0 | 0 | | | | | 0 | 0 = | 0 | 0 | | | | 0 × | | | 0 4 | | |
| CONTRACTOR CONTRACTOR AND | 0 | 0 | 0 | 0 | | | 0 | D | 0 | 0 | 0 | 0 | 0 | | 0 | 0 | ٥ | 0 | 0 |
| ENGLAS DE SINCE SE LA COMPTETE DE PRESENTATIONS GORder de SINCE S É traviè É traviè É traviè E traviè | | | | | | | | | | 2 | , 0 | 00 | - | . 0 | | | - 2 | 00 | - 0 |
| LEADURE LA SUBJECTS ENDRE OF SUBJECTS # District # Entrance # En | 0 | 0 0 | | | 20 | | 0 | 00 | 00 | 0.0 | | , | cn i | l | | ٥, | F | 0 | |
| Conclus of SUBJECTS Explored SUBJECTS Explored SUBJECTS Explored SUBJECTS Explored SUBJECTS Explored Subject S | | 000 | 000 | 000 | | | 00 | 000 | 000 | 000 | 66 | | | 0 | | - | | 200 | |
| EACH CARLS CONTROL CONTROL OF THE PROPERTY INTERNATIONS GOING OF SUBJECTS # Excelle | 0. | | | 00000 | | | | 00000 | 00000 | 0000 | 0000 | 000 | -6 | | 0 | 0 | 0 | 9 | ١ |
| Cardio of Studies Cardio of Studies Cardio of Studies | | 00000 | | | | | | 000000 | 000000 | 00000 | | | | | | | | 000 | |

Restricted Sexual Assault Reports

| RESTRICTED REPORTS OF SEXUAL ASSAULT - MILITARY SERVICE ACADEMIES, APY10-11 | |
|---|--|
| A. APY10-11 RESTRICTED REPORTS OF SEXUAL ASSAULT | APY10-11 TOTALS |
| # Cadet/Midshipman VICTIMS in Restricted Reports | 37 |
| # Converted from Restricted Report to Unrestricted Report* | 10 |
| # APY10-11 RESTRICTED REPORTS REMAINING RESTRICTED | 27 |
| # Reported sexual assaults AGAINST Cadet/Midshipman victims in the following categories | 37 |
| # Cadet/Midshipman on Cadet/Midshipman | 2: |
| # Non-Cadet/Midshipman on Cadet/Midshipman | 14 |
| # Unidentified subject on Cadet/Midshipman | |
| # Reported sexual assaults occurring | 37 |
| # On Academy Grounds | 12 |
| # Off Academy Grounds | 24 |
| # Unidentified location | The state of the s |
| B. INCIDENT DETAILS | APY10-11 TOTALS |
| Length of time between sexual assault and Restricted Report | 37 |
| # Reports made within 3 days of sexual assault | <u>C</u> |
| # Reports made within 4 to 30 days after sexual assault | |
| # Reports made within 31 to 365 days after sexual assault | 13 |
| # Reports made longer than 365 days after sexual assault | (|
| # Unknown | |
| Time of sexual assault incident | 37 |
| # Midnight to 6 am | 16 |
| # 6 am to 6 pm | 4 |
| # 6 pm to midnight | 13 |
| # Unknown Day of sexual assault incident | 5- |
| # Sunday | 37 |
| # Monday | |
| # Tuesday | |
| # Wednesday | |
| # Thursday | (|
| # Friday | 2 |
| # Saturday | 14 |
| # Unknown | 1: |
| C. DEMOGRAPHICS FOR APY10-11 RESTRICTED REPORTS OF SEXUAL ASSAULT | APY10-11 TOTALS |
| Gender of VICTIMS | 37 |
| # Male | |
| # Female | 34 |
| # Unknown | (|
| Grade/Status of VICTIMS | 37 |
| # Prep School | (|
| # 1st Year | 12 |
| # 2nd Year | 10 |
| # 3rd Year | (|
| # 4th Year | g |
| | (|
| # Other Cadet Year # Active Duty Service Members | |

* The Restricted Reports that converted to Unrestricted Reports are now included in the total number of Unrestricted Reports provided in Worksheet 1a. However, this page describes all Restricted Reports as received, before conversion.

Victim Services

| APY10-11 SUPPORT SERVICES FOR VICTIMS OF SEXUAL ASSAULT U.S. Military Service Academies | |
|---|--------------------|
| NOTE: Totals of referrals and military protective orders are for all activities during the reporting period, regardless of when assault report was made . | the sexual |
| A. SUPPORT SERVICE REFERRALS TO MILITARY VICTIMS FROM UNRESTRICTED REPORTS: | APY10-11 TOTALS |
| # Support service referrals for VICTIMS in the following categories | |
| # MILITARY facilities | 87 |
| # Medical | 23 |
| # Counseling | 33 |
| # Legal | 31 |
| # CIVILIAN facilities (Referred by DoD) | 8 |
| # Medical | 2 |
| # Counseling | 6 |
| # Legal | (|
| # Unrestricted Reports where SAFEs were conducted | 9 |
| # Unrestricted Reports where SAFE kits or other needed supplies were not available at time of victim's exam | |
| # Cadets/Midshipmen making an UR that received service referrals for an incident that occurred prior to military service | C |
| B. SUPPORT SERVICE REFERRALS FOR MILITARY VICTIMS IN RESTRICTED REPORTS: | APY10-11 TOTALS |
| # Support service referrals for VICTIMS in the following categories | |
| # MILITARY facilities | 48 |
| # Medical | 15 |
| # Counseling | 26 |
| # Legal | 5 |
| # CIVILIAN facilities (Referred by DoD) | 1 |
| # Medical | (|
| # Counseling | |
| # Legal | |
| # Restricted Reports where SAFEs were conducted | |
| # Restricted Reports where SAFE kits or other needed supplies were not available at time of victim's exam | |
| # Cadets/Midshipmen making a RR that received service referrals for an incident that occurred prior to military service | |
| C. APY10-11 MILITARY PROTECTIVE ORDERS (MPO)* | APY10-11 TOTALS |
| # Military Protective Orders issued due to an APY10-11 Unrestricted Report of Sexual Assault | 5 |
| # Reported MPO Violations in APY10-11 Completed Investigations | i |
| # Reported MPO Violations by Subjects | |
| # Reported MPO Violations by Victims of Sexual Assault | |
| *In accordance with DoD Policy, Military Protective Orders are only issued in Unrestricted Reports. A Restricted Report can when there is a safety risk to the victim. | not be made |

Sexual Assault Case Synopses

| USMA2 | USMA2 B | USMA2 A | USMA1 | Combin No. |
|---|---|--|---|--|
| Rappe | Rape | Rape | Rape | ed Synopses of UCMJ Offense Reported |
| On Academy Grounds | On Academy Grounds | On Academy Grounds | Off Academy Grounds | Unrestricted F |
| 4th Year | 4th Year | 4th Year | 4th Year | Reports of S Subject Grade |
| Male | Male | Male | Male | exual Assa Subject Gender |
| 4th Year | 4th Year | 4th Year | 4th Year | ault Involvi Victim Grade |
| Female | Female | Female | Female | ng Cadets/ Victim Gender |
| ۵ | Q | Q | O3 | Midshipmen, V Quarter Disposition Completed |
| Disoplinary Board (Initiated) | Disoplinary Board (initiated) | Disoplinary Board (Initiated) | Court-Martial Charge Preferred (intiated) | Combined Synopses of Unrestricted Reports of Saxual Assault Involving Cadets Midshipmen, With Enal Disposition in APY10.11 No. UCMJ UCMJ Subject Subject Virtin Virtin Disposition Dispos |
| | | | Conviction - Sexual Offender Registration Requirement | .PY10.11 Court Case Outcome |
| | | | | Confine- ment (# Months) |
| | | | \$700.00 | Fines & Forfeitures (\$ Amount) |
| | | | | Reduction in Rank (# Reduced) |
| | | | | Court- Martial Discharge Type |
| Other | Other | Other | Other | Adverse Admin Action Type |
| | | | | Admin. Discharge Type |
| If three male Cadets and one female Cadet were dinking at an off post stabilishment. All four Cadets returned to post and continued to drink alcohol in the female Cadet sherads mon. The intoxicated female Cadet sexually assaulted her. The three male Cadets sexually assaulted her. The three male Cadets sexually assaulted her. The three male Cadets were found guilty of violating the Cadets were found guilty of violating the Cadets sexually assaulted her. The consuming alcohol in the barrads, and engaging in sexual intercourse. Two of the male Cadet subjects received Commandant's boards, enrollment in the Respect Menorship Program (RMP), with earliest possible graduation dates (pending successful completion of the RMP) being December 2011. The third male Cadet enrollment in the Leadership Mentorship Program (LMP). Upon successful completion of the LMP, he may graduate in August 2011. | Three male Cadets and one female Cadet were dinking at an off post stabilishment. All four cadets returned to post stabilishment. All four cadets returned to post and combrued to drink alcohol in the female Cadets sexually assaulted her. The introcicated female Cadets sexually assaulted her. The intree male Cadets were found upin of violating the Academy policies of being in a female Cadets barracks room with the door closed after Taps. Consurring alcohol in the barracks, and engaging in sexual intercourse. Two of the male Cadet subjects received Commandant's boards, enrollment in the Respect Menorship Program (RMP), with earliest possible graduation dates (pending successful completion of the RMP) being December 2011. The third male Cadet received a Brigade Board with subsequent enrollment in the Leadership Menorship Program (LMP). Upon successful completion of the LMP, he may graduate in August 2011. | Three male Cadets and one female Cadets were dinking at an off post establishment. All four Cadets returned to post and continued to drink alchold in the female Cadets semands room. The imbicitated female Cadet alleged that at least two of the male Cadets sexually assaulted her. The three male Cadets were found guilty of voldening the Cadets were found guilty of voldening the Cadets were found guilty of voldening the Cadets come with the door closed after Taps, consuring alcohol in the barracks, and engaging in sexual intercourse. Two of the male Cadets subjects received Commandant's boards, enrollment in the Respect Mentorship Program (RMP), with earliest possible graduation dates (pending successful completion of the RMP) being December 2011. The third male Cadet received a Brigade Board with subsequent enrollment in the Leadership Mentorship Program (LMP). Upon successful completion of the LMP, he may graduate in August 2011. | The Cadet subject and Cadet victim were participating in summer training at Fot Dum, NY while off-duly from training, the alleged sexual assault took place in a friend of the subject is frome. The subject received at court marrial and was found guilty of committing a sexual act in public. He was required to pay a fine (in the amount of approximately \$700.00) and register as as of friender. Subsequently, the subject was seperated from the Academy. | Cæe Sympsis |

Sexual Assault Case Synopses (Continued)

| USNA7 | USNA6 | USNA5 | USNA4 | USNA3 | USNA2 | USNA1 | USMA7 | USMAB | USMA5 | USMA4 | USMA3 | No. |
|---|---|---|---|---|--|--|---|--|--|---|--|--|
| Rape | Rape | Aggravated Sexual Assault | Attempt to Commit Crime | Aggravated Sexual Assault | Rape | Aggravated Sexual Assault | Rape | Wrongful Sexual Contact | Rape | Rape | Rape | UCMJ Offerse Reported |
| Off Academy Grounds | On Academy Grounds | On Academy Grounds | On Academy Grounds | Off Academy Grounds | On Academy Grounds | Off Academy Grounds | Off Academy Grounds | On Academy Grounds | Off Academy Grounds | On Academy Grounds | On Academy Grounds | Location |
| Civilian | | Civilian | | 0-1 | 4th Year | 1st Year | 4th Year | 4th Year | | | 2nd Year | Subject Grade |
| Male | Male | Male | Male | Male | Male | Male | Male | Male | Male | Male | Male | Subject Gender |
| 1st Year | 4th Year | 1st Year | 3rd Year | 3rd Year | 4th Year | 2nd Year | Civilian | 3rd Year | 2nd Year | 2nd Year | 1st Year | Victim Grade |
| Female | Female | Female | Female | Female | Female | Female | Female | Female | Female | Female | Female | Victim Gender |
| Q3 | Q | Q2 | 02 | 03 | Q4 | Q4 | S | S | <u>0</u> | Q2 | Q3 | Quarter Disposition Completed |
| Civilian Prosecution of Person Not Subject to UCMJ | Unknown Subject | Civilian Prosecution of Person Not Subject to UCMJ | Unknown Subject | Nonjudicial Punishment | Nonjudicial Punishment | Administrative Discharge | Victim Declined to Participate in Military Justice Action | Disoplinary Board (Initiated) | Victim Declined to Participate in Military Justice Action | Victim Declined to Participate in Military Justice Action | Insufficient Evidence of Any Offense | Ho. Offerse Location Grade Gender Grade Gender Completed Disposition Disposition |
| | | | | | | | | | | | | Court Case Outcome |
| | | | | | | | | | | | | Confine- ment (# Months) |
| | | | | | | | | | | | | Fines & Forfeitures (\$ Amount) |
| | | | | | | | | | | | | Reduction in Rank (# Reduced) |
| | | | | | | | | | | | | Court. Martial Discharge Type |
| | | | | LOR | Other | Other | | Other | | | | Adverse Admin Action Type |
| | | | | | Disenrollment | Disenrollment | | | | | | Admin. Discharge Type |
| Victim declined to participate in investigation with Anne Arundel Police Department and NCIS. | Victim unable to remember event, suspect unknown. | Suspect prospective USNA applicant. Subject will not be considered for any future applications. | Victim assaulted in dark stainwell, unable to identify suspect. | Suspect charged and found guilty of violation of UCMJ Art 133. Awarded Punative Letter of Reprimand at NJP. | Suspect chagged and found guilty on volation of UCMJ articles 134 (kidnapping), 134 (communicating threats), 92 (failure to obey an It order or other regulation) and 133 (conduct unbecoming). Suspect separated from the USNA on 08 Sep 10. | Midshipman suspect voluntarily resigned from t Naval Academy, victim satisfied, no further action pursued. | The Cadet subject allegedly raped the civilian student victim while visting her college. The civilian student reported the effense to local authorities in her community. Due to the slow progress of the case, the Cadet subject graduated from the Academy. The civilian victim declined to participate in the military justice system, when asked by CID to provide a statement. | The Cadet subject in this case groped the buttocks of the Cadet victim following a chain of command meeting between the two (he-cadet platon leader and she-cadet platon leader and she-cadet platon sergeant). She reported the incident to her chain of command, the SARC and CID. The incident was founded by the CID investigator, and the Cadet subject received a Brigade Board, enrollment in the Respect Mentorship Program (RMIP). Upon successful completion of RMIP, he is expected to graduate in August 2011. | Cadet victim reported being sexually assaulted while visiting a friend in Gainesville. FL. She made an unrestricted reported and provided information to CID. CID put the victim in contact with the Gainesville police department. She declined to make a statement to the police department. | Cadet victim informed several Cadet friends that she had been sexually assaulted. She declined to make a statement to CID in order to assist in the investigation. | Female Cadet victim alleged to being sexually assaulted in the barracks after drinking an unspecified amount of alcohol. The victim could not specifically recall any details of the assault itself, but recalled events that occurred before the assault, followed by waking up in her barracks room with the knowledge that she had been sexually violated. She was unable to positively identify the subject. | Case Sympsis |

Sexual Assault Case Synopses (Continued)

| USAFA 9 | USNA8 | USAFA 8 | USAFA 7 | USAFA 6 | USAFA 5 | USAFA 4 | USAFA 3 | USAFA 2 | USAFA 1 | No. |
|---|---|--|--|--|---|---|--|--|--|--|
| Aggravated Sexual Assault | Aggravated Sexual Assault | USAFA Aggravated 8 Sexual Assault Case from Prior APY | Wrongful Sexual Contact | Aggravated Sexual Assault | Aggravated Sexual Assault | Attempt to Commit Crime | Aggravated Sexual Contact | Wrongful Sexual Contact | Wrongful Sexual Contact | UCMJ Offense Reported |
| Off Academy Grounds | Off Academy Grounds | Off Academy Grounds | On Academy Grounds | Off Academy Grounds | On Academy Grounds | On Academy Grounds | Off Academy Grounds | Off Academy Grounds | On Academy Grounds | Location |
| Qvillan | 2nd Year | 2nd Year | 2nd Year | 3rd Year | 1st Year | 3rd Year | | 3rd Year | 2nd Year | Subject Grade |
| Male | Male | Male | Male | Male | Male | Male | | Male | Male | Subject Gender |
| 1st Year | 2nd Year | 1st Year | 2nd Year | Civilian | 1st Year | 2nd Year | 2nd Year | 1st Year | 1st Year | Victim |
| Female | Female | Female | Female | Female | Female | Female | Female | Female | Female | Victim Gender |
| | Ω2 | Q 4 | Ω2 | Ω2 | Q4 | 03 | Ω3 | S | B | Quarter Quarter Disposition Completed |
| Civillan Prosecution of Person Nat Subject to UCMJ | Insufficient Evidence of Any Offense | Adverse Administrative Action | Adverse Administrative Action | Insufficient Evidence of Any Offense | Insufficient Evidence of Any Offense | Adverse Administrative Action | Unknown Subject | Unfounded by Law Enforcement | Nonjudicial Punishment | No. Offerse Location Grade Gender Grade Gender Completed Disposition Dispositi |
| t Conviction | | | | | | | | | | Court Case Outcome |
| 3.0 | | | | | | | | | | Confine- ment (# Months) |
| | | | | | | | | | \$487×2 months | Fines & Forfeitures (\$ Amount) |
| | | | | | | | | | | Reduction in Rank (# Reduced) |
| | | | | | | | | | | Court- Martial Discharge Type |
| | | LO _R | Other | | | LOR | | | | Adverse Admin Action Type |
| | | | | | | | | | General | Admin Discharge Type |
| Victim attended a party off base and was dinhising Victim fell asleep in subject's house and victim awdie undressed while subject was kissing her Subject pied guilly to sexual assault, a class 3 fellony. Subject's sentence included registering as a sex offender, no contact with anyone under 18 until completion of sex offender program, and 20 years probation. | Case carried over from APV(8+1). After an evening of underage driving, the victim woke up in a host room with two unidentified males, believing site may have been sexually assaulted. The victim reported to host's from desk where police took her to the local hospital for SAFE examination. One of the subjects was clientified as a midishipman, the other turned out to be a civilian male not knowled in the allegation. Investigation disclosed no chargeable offenses. Both victim and subject submitted voluntary separations and left USNA. | vulnir lejured una subject perter aret ine vajir a with its fingers and peris. Victim reported blacking out during the incident. Victim declined to cooperate with prosecution. No alcohol was involved. | Vidim reported that the subject was kissing her and tred to pull open her legs. Vidim told subject no, and he left the room. The member received caste Dissipline; CSA punishment, CAT II, 25 dements, 15 continement, and 2 weeks restriction for collateral misconduct. | Vidim reported that she was off base with the subject in a hotel room, and the subject sexually assaulted her. Victim became uncooperative with the investigation. Subject stated the sex was consensual and passed a polygraph examination. | Victim reported going into the woods with subject to listen to music and that subject held her down and penetrated her vagina with his fingers and penis. | Subject went into victim's room while she was sleeping. He climbed into her bed but never touched the victim. Subject has a pending disempliment for this issue and unrelated misconduct. | Victim reported she was in a parking garage off base when she was assaulted by the subject. OSI worked with the civilians authorities but did not find any evidence. The identity of the subject is unknown. | Victim reported subject tried to get her to touch his penis while in an off base hotel. After coordinating with JA, OSI and SFS declined to investigate due to a lack of evidence and an unfounded allegation. | Subject returned to USAFA doms intoocated. He went rinto the victims soom and climbed into her bed while victim was sleeping and souched her near her vagina and butbods over her clothes. Subject left the room immediately when victim value up. | Case Synopsis |

Formal Sexual Harassment Reports

| Formal Sexual Harassment Reports at the US Military Service Academies APY10-11 | |
|--|--------------------|
| The second of the second process of the seco | |
| A form of sex discrimination that involves unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature when: a. Submission to such conduct is made either explicitly or implicitly a term or condition of a person's | |
| job, pay, or career, or b. Submission to or rejection of such conduct by a person is used as a basis for career or employment | APY10-11 TOTALS |
| decisions affecting that person, or c. Such conduct has the purpose or effect of unreasonably interfering with an individual's work | 1017120 |
| performance or creates an intimidating, hostile, or offensive working environment. | |
| A. Formal Sexual Harassment Complaints (total) | 1 |
| # Cadet/Midshipman Complainants | 1 |
| # Non-Cadet/Midshipman Complainants | C |
| B. Location of Incident | 1 |
| # On Academy Grounds | 1 |
| # Off Academy Grounds | C |
| # Unidentified location | C |
| C. # Total Sexual Harassment Investigations opened during APY10-11 | 1 |
| # Investigations pending completion as of 31 May 11 | 0 |
| # Completed investigations as of 31 May 11 | 1 |
| D. Sexual Harassment Details - Length of time between incident and formal report | 1 |
| # Reports made within 60 days of the sexual harassment | 1 |
| # Reports made more than 60 days following the sexual harassment | 0 |
| # Unknown | C |
| E. # Reports forwarded to General Court Martial Convening Authority | 1 |
| # Reports forwarded to GCMCA within 72 Hours | 1 |
| # Reports forwarded to GCMCA beyond 72 Hours | 0 |
| F. Time of Sexual Harassment Incident | 1 |
| # Midnight to 6 am | 0 |
| # 6 am to 6 pm | 1 |
| # 6 pm to midnight | 0 |
| # Unknown | 0 |
| G. Day of Sexual Harassment Incident | 1 |
| # Sunday | 0 |
| # Monday | 1 |
| # Tuesday | 0 |
| # Wednesday | 0 |
| # Thursday | C |
| # Friday | C |
| # Saturday # Unknown | C |
| | |
| H. # APY10-11 COMPLETED INVESTIGATIONS # Investigations with more than any complainant, more than one subject, or both | 1 |
| # Investigations with more than one complainant, more than one subject, or both | 0 1 |
| # of Subjects in Completed Investigations I. APY10-11 FINAL DISPOSITIONS FOR SUBJECTS IN COMPLETED INVESTIGATIONS | 1 |
| # Subjects with Unsubstantiated Complaints | 0 |
| | |
| # Pending command action as of 31 May 11 # Subjects for whom command action was completed of 31 May 11 | 1 |
| J. # Commander Actions (APY10-11 Subjects) | 1 |
| # Courts-martial | C |
| # Courts-Indical # Nonjudicial Punishments | C |
| # Norigudicial Pullishments # Discharges in lieu of courts-martial | C |
| # Discharges in lieu of disciplinary actions | C |
| # Honor board actions | 0 |
| # Other administrative actions | 1 |
| # Outer autilition and a chiotic | |

Sexual Harassment Case Synopsis

| USAFA 1 Feb-11 | Number | |
|---|---|---|
| eb-11 | Month Closed | |
| 3rd year | Month Closed Subject Status | |
| Male | Subject Gender | |
| 4th year | Complainant Status | Synopses of Forma |
| Female | Complainant Gende | al Sexual Harassmen |
| Complainant overheard the offender discussing his sexual endeavors, in detail, with another cadet | Subject Gender Complainant Status Complainant Gender Initial Allegation Reported Misconduct Charg | Synopses of Formal Sexual Harassment Investigations Involving Cadets/Midshipmen |
| Sexual Harassment | Misconduct Charged | dets/Midshipmen |
| Closed | Disposition | |
| The fermale cadet was walking behind the male cadet when the male cadet started discussing his sexual endeavors over the weekend with a cadet he was walking with. The fermale cadet asked the male cadet to stop his conversation. He refused and became louder with the discussion and gave more sexual details. Investigation substantiated sexual harassment. | Brief Synopsis of Incident and Outcome | |

Prepared by Department of Defense Sexual Assault Prevention and Response Office

www.sapr.mil (For Program and Policy)
www.myduty.mil (For Victim Care)

