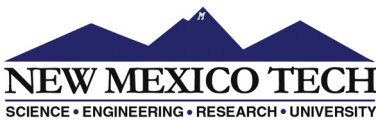




Florida Institute of Technology



[www.coe-cst.org](http://www.coe-cst.org)



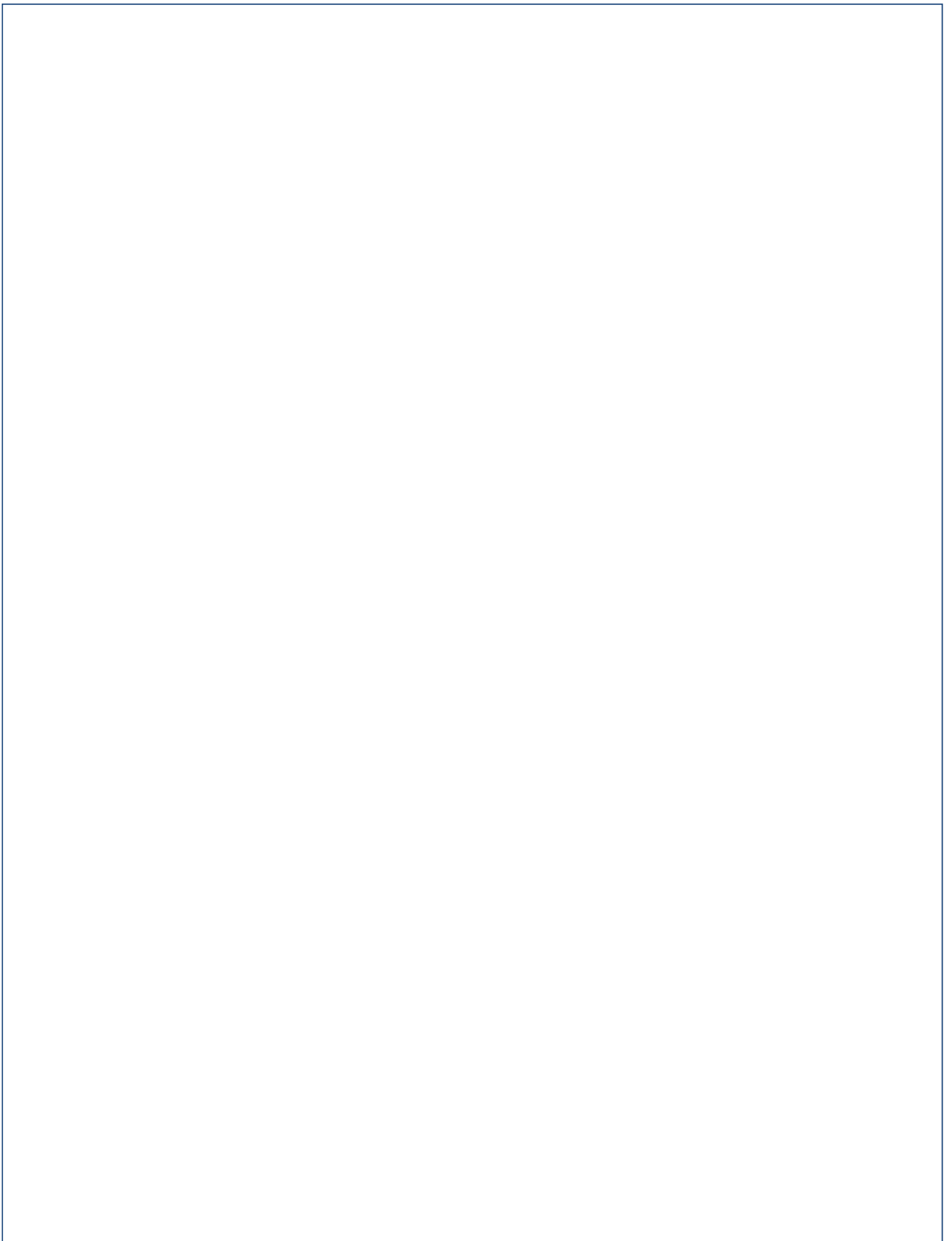
Center of Excellence for Commercial Space Transportation

# Federal Aviation Administration Center of Excellence for Commercial Space Transportation

## Year 4 Annual Report

## Volume 2. Annual Technical Meeting Presentations

December 31, 2014



## **COE CST YEAR 4 ANNUAL REPORT – VOLUME 2**

This report is produced by the FAA Office of Commercial Space Transportation in fulfillment of FAA Centers of Excellence program requirements.

The full report is broken into an Executive Summary and three volumes:

- The Executive Summary gives an overview of the FAA AST, the FAA COE program and the COE CST. A brief description of the member universities precedes a series of “quad charts,” one for each task conducted by the COE CST during the second year of operation. The document ends with a listing of the Year 4 students, supporting organizations and technical publications.
- Volume 1 gives a description of the FAA COE CST, its research, structure, member universities and research tasks.
- Volume 2 is a comprehensive set of presentation charts of each research task as presented at the fourth Annual Technical Meeting in October 2014 held in Washington, DC.
- Volume 3 is a comprehensive set of notes from all FAA COE CST teleconferences and face-to-face meetings.

This is Volume 2 of the full report.

Any questions or comments about the content of this report should be directed to Mr. Ken Davidian, FAA Program Manager for the Center of Excellence for Commercial Space Transportation, or Dr. Patricia Watts, FAA COE Program Director.

## Introduction

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This report includes a comprehensive set of presentations for each research task as presented at the fourth Annual Technical Meeting in October 2014 held in Washington, DC.

Below is the order of the non-technical presentations as they appear in this document:

- “COE CST 5 Year Review (Year 4) Briefing” presented by Dr. Patricia Watts, Program Director FAA Centers of Excellence.
- “COE CST Fourth Annual Technical Meeting (ATM4) Overview and Status” presented by Mr. Ken Davidian, FAA Program Manager for the Center of Excellence for Commercial Space Transportation.
- "Advanced ADS-B Prototype for Commercial Space: Status Update and Future Opportunities" presented by Mr. Richard S. Stansbury, Embry Riddle Aeronautic University (Affiliate Member).

Below is the order of the technical presentations as they appear in this document:

- Task 185 “Unified 4D Trajectory Approach for Integrated Traffic Management” presented by Tom Colvin and Juan Alonso of Stanford University (SU).
- Task 186 “ Space Environment MMOD Modeling and Prediction” presented by Alan Li and Sigrid Close of Stanford University (SU).
- Task 186 “ Mitigating Threats Through Space Environment Modeling/Prediction” presented by Tim Fuller-Rowell and Catalin Negrea of University of Colorado, Boulder (CU).
- Task 187 “Space Situational Awareness” presented by D.J. Scheeres and In-Kwan Park of University of Colorado, Boulder (CU).
- Task 220 “Update” presented by Patricia C. Hynes, Ph.D. of New Mexico State University (NMSU).
- Task 257 “Commercial Spaceflight Operations Curriculum Development” presented by George H. Born and Bradley Cheetham of University of Colorado, Boulder (CU).
- Task 184 "Human-Rating of Commercial Spacecraft" presented by Professor David Klaus, Christine Chamberlain, and Roger Huang of University of Colorado, Boulder (CU).
- Task 256 “Tolerance of Centrifuge-Induced G-Force by Disease State” presented by James M. Vanderploeg, MD, MPH of University of Texas Medical Branch (UTMB).
- Task 228 “Magneto-Elastic Sensing for Structural Health Monitoring” presented Andrei Zagrai and Warren Ostergren of New Mexico Institute of Mining & Technology (NMT).
- Task 241 “High-Temperature Pressure Sensors for Hypersonic Vehicles” presented by David Mills and Mark Sheplak of University of Florida (UF).
- Task 244 “Autonomous Rendezvous and Docking for Space Debris Mitigation” presented by Norman Fitz-Coy of University of Florida (UF).
- Task 244 “High Temperature Pressure Transducers” presented by William Oates and Justin Collins of Florida State University (FSU).
- Task 244 “Autonomous Rendezvous and Docking” presented by Penina Axelrad of University of Colorado, Boulder (CU).

- Task 253 “ Ultrahigh Temperature Composites for Thermal Protection Systems (TPS)” presented by Hungjiang Yang of University of Central Florida (UCF).
- Task 258 “Analysis Environment for Safety of Launch and Re-Entry Vehicles” presented by Francisco Capristan and Juan Alonso of Stanford University (SU).
- Task 293 “Nonlinear Structural Models” presented by Dr. A. Keith Miller, Dr. Warren Ostergren, and Mr. Lance Hernandez of New Mexico Institute of Mining & Technology (NMT).
- Task 298 “Evaluation of ADS-B Payloads” presented by Patricia C. Hynes, Ph.D. of New Mexico State University (NMSU).
- Task 299 “Nitrous Oxide Composite Case Testing” presented by Warren Ostergren, Michael Hargather, Robert Abernathy, and Andrei Zagrai of New Mexico Institute of Mining & Technology (NMT).
- Task 306 "Advanced ADS-B Prototype for Commercial Space: Status Update and Future Opportunities" presented by Mr. Richard S. Stansbury of Embry Riddle Aeronautic University.
- Task 307 "Flight Test of Communications in Space via Commercial Satellite Networks on Suborbital Spacecraft: Implications for Space Traffic Management" presented by M. Brian Barnett of SatWest.
- Task 311 "Robust and Low-Cost LED Absorption Sensor for Simultaneous, Time-Resolved Measurements of CO and CO<sub>2</sub>" presented by Dr. Subith Vasu, Dr. Jayanta Kapat, Dr. Bill Partridge Jr., Kyle Thurmond, and Zachary Loparo of University of Central Florida (UCF).
- Task 193 “Research Roadmap 2.0” presented by Professor Scott Hubbard, Jonah Zimmerman, and Andrew Ow of Stanford University (SU).
- Task 193 “Role of COE CST in EFP ” presented by George H. Born and Bradley Cheetham of University of Colorado, Boulder (CU).
- Task 304 “Definition and Delimitation of Outer Space" presented by Dr. Ram S. Jakhu and Andrea DiPaolo of McGill University (MU).
- Task 305 “Industrial Analysis of Orbital and Suborbital Commercial Space Transportation” presented by Scott Benjamin of Florida Institute of Technology (Florida Tech).



# Center of Excellence CST

The FAA Air Transportation Center of Excellence  
for  
Commercial Space Transportation

## 5 Year Review (Year 4)

Presented by: Patricia Watts, Program Director FAA  
Centers of Excellence

Presented to: COE CST Members

Date: October 29, 2014

Location: Washington, DC



## COE CST 5-Yr Review (Year 4)

### AGENDA

#### Part 1 – Patricia Watts

- Review/Evaluation Process & Topics
- Funding Summary
- Academic Production



Administrator, Jane Garvey, with Congressman Mica  
COE GA Dedication, Washington, DC



## COE CST 5-Yr Review (Year 4)

### AGENDA (continued)

#### Part 2 – Ken Davidian

- Projects - Open
- Significant Research Results



## Overview: DOT/FAA Grants Authority

- **DOT:** 92 Grants Programs ~ \$60 B (vs. Contracts \$ 6 B)

### 2 Centers Programs

- UTCs
- COEs

- **FAA:** Authority for Major Grants Program

1. Airway Science
2. AIP
3. Aviation Research
4. COE – P.L. 101-508 ~\$500 M / LOE

Enacted to enhance FAA's access to resources and research capabilities and facilities available at colleges, universities, and other organizations and institutions by establishing COEs on behalf of AOA



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COE - CST 5-Yr Review



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## COE Legislative Authority – P.L. 101-508



### Omnibus Budget Reconciliation Act of 1990 Public Law 101-508

Title IX – Aviation Safety and Capacity Expansion Act

*"The Administrator may make grants to one or more colleges or universities to establish and operate several regional centers of air transportation excellence, whose locations shall be geographically equitable. The responsibilities of each regional center shall include, but not be limited to, the conduct of research concerning airspace and airport planning and design, the air transportation environment, aviation safety and security; the supply of trained air transportation personnel including pilots and mechanics, and other aviation issues pertinent to developing and maintaining a safe and efficient air transportation system... each center may make contracts with nonprofit research organizations and other appropriate persons...."*

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COE - CST 5-Yr Review



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## DOT - The Secretary's RAISE Award

Recognizing Aviation and Aerospace Innovation in Science and Engineering



2013 Award Recipient  
Lieutenant Kyle Smith (USAF)  
with Secretary Anthony R. Fox

### Nominations Open until December 30, 2014

Award will be presented to individuals or teams at the high school and university level (under graduate & graduate) to recognize extraordinary achievements that have a significant impact on the future of aviation and aerospace.

Full announcement and application details at:

[www.faa.gov/go/coe](http://www.faa.gov/go/coe)

Contact:

**Patricia Watts, Ph.D**

FAA Centers of Excellence Program Office

Phone: (609) 485-5043 or

Email: [patricia.watts@faa.gov](mailto:patricia.watts@faa.gov)

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## FAA Centers of Excellence Teams

### Alternative Jet Fuels & Environment

Washington State Un. (Lead)  
Massachusetts Inst. of Tech (Co-Lead)  
Boston Un.  
Georgia Institute of Technology  
Missouri Un. of Science & Technology  
Oregon State University  
Pennsylvania State Un.  
Purdue Un.  
Stanford Un.  
Un. of Dayton  
Un. of Illinois  
Un. of North Carolina – CH  
Un. of Pennsylvania  
Un. of Tennessee  
Un. of Washington

### Noise and Emissions Mitigation (PARTNER)

MIT (Lead)

### General Aviation

Purdue Un. (Lead)  
The Ohio State Un.  
Georgia Inst. of Technology  
Florida Institute of Technology  
Texas A&M Un.

### Commercial Space Transportation

Florida Institute of Tech (Admin)  
Florida State Un.  
New Mexico Inst. of Mining & Technology  
New Mexico State Un.  
Stamper Un.  
Un. of Florida  
Un. of Central Florida  
Un. of Colorado at Boulder  
Un. of Texas Medical Branch

### Advanced Materials

Un. of Washington (Co-Lead)  
Wichita State Un. (Co-Lead)  
Edmonds Community College  
Northwestern Un.  
Purdue Un.  
Oregon State Un.  
Tufts Un.  
Un. of California at LA  
Un. of Delaware  
Un. of Utah  
Washington State Un.

### Airport Technology

Un. of Illinois (Lead)

### UAS

TBD 2015

### Operations Research

UMD (Lead)

### Airworthiness Assurance (AAE)

AAE (Lead)

### General Aviation (CGAR)

Embry Riddle Aeronautical Un. (Lead)

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COE - CST 5-Yr Review



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# COE Funding – Levels of Effort



2015-2020	Unmanned Aircraft Systems	HQ	\$ 500K
2014 – 2019	Alternative Jet Fuels & Environment (AJF&E)*	HQ	\$ 8M
2012 – 2017	General Aviation Research (PEGASAS)*	TC	\$ 6M
2010 – 2015	Commercial Space Transportation (CST)*	HQ	\$ 16.5M
2004 – 2014	Research in the Intermodal Transport Environment (RITE)*	HQ	\$ 45M
2004 – 2015	Advance Materials (JAMS)*	TC	\$ 47M
2003 – 2014	Aircraft Noise and Emissions Mitigation (PARTNER)*	HQ	\$ 112M
2001 – 2013	General Aviation (CGAR)*	TC	\$ 39M
1997 – 2007	Airworthiness Assurance (AACE)	TC	\$ 124M
1996 – 2007	Operations Research (NEXTOR) Self-Sufficient**	HQ	\$ 45M
1995 – 2013	Airport Technology (CEAT) Self-Sufficient**	TC	\$ 42M
1992 – 1996	Computational Modeling of Aircraft Structures (CIMAS)	TC	\$ 10M
	<b>Total</b>		<b>\$ ~500 M</b>

\* COE Operational = 7

\*\* Independently Operated = 2

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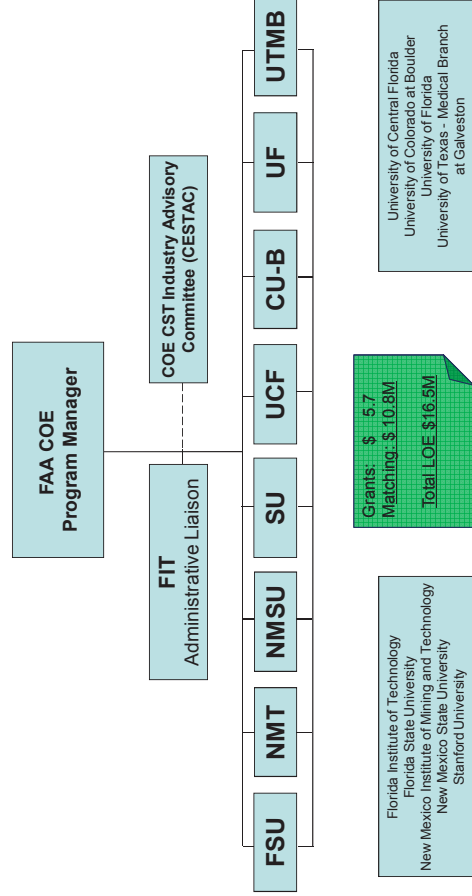
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# PART 1 – P. Watts COE CST Phase I Evaluation

## COE for Commercial Space Transportation (CST)



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COE - CST 5-Yr Review



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- JHR Consulting
- The Tauri Group
- Florida Institute of Technology Space Florida
- Spaceworks Enterprises
- National Aerospace Training and Research Center (NASTAR)
- Jacobs Technology, Inc.
- Boeing Space Exploration Scitor
- Ball Aerospace
- Lockheed Martin Space Systems Company
- United Launch Alliance (ULA)
- Commercial Spaceflight Federation
- Wyle
- ACTA
- Space Systems Loral
- SATWEST, LLC



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COE - CST 5-Yr Review



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## CST 5-Yr Review Process

- **Evaluation Input Provided by:**
  - University PIs
  - Industry Sponsors and Advisory Board Members
  - COE Students
  - FAA Technical Monitor(s)
- **Focus of Assessment:**
  - Legislative Requirements
  - FAA & Sponsor Funded Technical Projects
  - Fiscal Activities
  - COE Research, Management & Overall Activities

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COE - CST 5-Yr Review

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## CST Specific Assessment Topics

- COE Research Agenda
  - Impact
  - Relevance to the Industry
  - Benefits to the Aviation Community and to the FAA
- **Extent to Which the COE Has Met Goals**
  - **FAA's**
    - Research Outcomes & Technology Transfer
  - **Congressionally Defined**
    - Outreach and *Information Dissemination*
    - *Education & Training* NextGen of Scientists – Evidence of Student Learning
    - *Geographic Equity*
    - *Matching Contributions*

Gregory D. Winfree

Deputy Administrator, RITA w/  
Bradley Cheetham, Ut. of Colorado at Boulder  
COE for Commercial Space Transportation



2012 DOT FAA COE  
Student of the Year

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COE - CST 5-Yr Review

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## COE CST Overall Funding Summary (2010 – 2014)

• <b>FAA Grant Funds Awarded:</b>	\$5,620,140
• <b>Sponsors - Private Sector Matching Contributions:</b> (as of March, 2014 – Cash and In-Kind Excess of \$5,236,845)	\$10,856,985 (2:1)
• <b>Total Level of Effort:</b>	<b>\$16,477,125</b>

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COE - CST 5-Yr Review

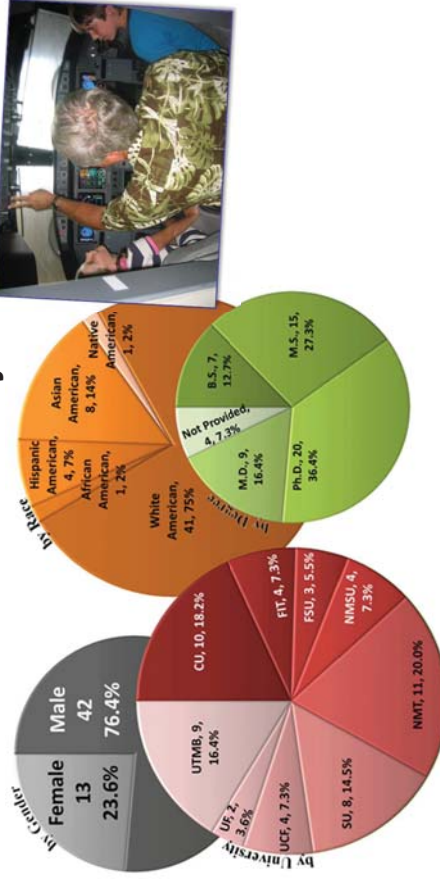
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## COE CST Academic Production (2010 – 2014) -- Center Overall

### COE CST Student Diversity



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## PART 2 – K. Davidian

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## Q and A



*The Challenges of Serious Heavy Lifting*



Scott Pipkins, Ph.D., George Donohue, Ph.D., Bruce Singer, Virginia Sahmi, Surya Aruri, Ph.D., Patricia Watts, Ph.D., Chris Seltzer

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## Center of Excellence Benefits

- **Promote** academic, government & industry scientific networks prepared to enhance the safety, security & efficiency of the national airspace system
- **Augment** government resources (\$.\$) and leverage funds through flexible and responsive public/private partnerships
- **Expand** the U.S. math & science pipeline, support STEM goals, and facilitate aerospace recruitment opportunities
- **Provide** a formal strategy & trusted structure to coordinate a national research agenda and related education, and training
- **Advance** U.S. technology and expertise while satisfying Congressional mandates



Congressman Oberstar meets with COE Students & Faculty at TRB Council of University Transportation Centers Awards Ceremony celebrating the Outstanding Students of the Year

*“The nation must immediately reverse the decline in and promote the growth of a scientifically and technologically trained U.S. aerospace workforce.”*

Final Report of the Commission on the Future of the United States Aerospace Industry

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COE - CST 5-Yr Review



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## COE University Members (1 of 3)



**Andrew Leonard, UND**  
COE for General Aviation

2010 DOT FAA COE Student of the Year

**John Porcari**  
Deputy Sec. of Transportation w/  
**Chelsea He, MIT**  
COE for Noise & Emissions



2011 DOT FAA COE Student of the Year

- Auburn University
- Boise State University
- Boston University
- Edmonds Community College
- Embry-Riddle Aeronautical University
- Florida Institute of Technology
- Florida International University
- Florida State University
- Georgia Institute of Technology
- Harvard University
- Iowa State University
- Kansas State University
- Massachusetts Institute of Technology
- New Mexico Inst. of Mining & Tech
- New Mexico State University
- Northwestern University
- Oregon State University
- Pennsylvania State University
- Purdue University
- Rensselaer Polytechnic Institute
- Stanford University

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# University Members and Co-Sponsors (2 of 3)



**Phillip Donovan, UIUC**  
COE for Airport Technology

2009 DOT FAA COE Student of the Year

**Gregory D. Winfree**  
Deputy Administrator, RITA w/  
**Bradley Cheetham**, Un. of Colorado at Boulder  
COE for Commercial Space Transportation

2012 DOT FAA COE Student of the Year



- Texas A&M University
- The Ohio State University
- Tuskegee University
- University of Alaska at Anchorage
- University of Alaska at Fairbanks
- University of California at Los Angeles
- University of Central Florida
- University of Colorado at Boulder
- University of Delaware
- University of Florida
- University of Illinois at Urbana Champaign
- Un. of Medicine & Dentistry of NJ
- University of Missouri at Rolla
- University of North Dakota
- University of North Carolina at Chapel Hill
- University of Pennsylvania
- University of Texas Medical Branch
- University of Utah
- University of Washington
- Washington State University
- Wichita State University

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# FAA Air Transportation Centers of Excellence

## Contact:

**Patricia Watts, Ph.D.**  
National Program Director  
FAA William J. Hughes Technical Center, ANG-A12  
Atlantic City International Airport, NJ 08405  
Phone: (609) 485-5043  
Email: [patricia.watts@faa.gov](mailto:patricia.watts@faa.gov)  
Website: <http://www.faa.gov/go/coe>



Secretary of Transportation Rodney Ewing and Bill Wavrik, 1998 DOT COE Student of the Year



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# COE Co-Sponsors

Advanced Transportation R&E Laboratory (ATREL)  
Aero Shell  
AeroClive  
Aerodyne Research Inc.  
Air Force Research Laboratory  
Air Tran Airways  
Air Transport Association of America (ATA)  
Airborne Express  
Airbus Industries  
Aircraft Owners & Pilots Association (AOPA)  
Airline Pilots Association (APA)  
Airports Council International-North America  
Alaska Airline's Association  
Alaska Airways  
Alaska Science and Technology  
Alcoa Technical Center  
AllcoSignal  
Allison Engine Company  
Alta Airlines  
American Airlines  
American Eagle Airlines, Inc.  
American Institute of Aeronautics and Astronautics (AIAA)  
ARINC Dayton  
Battelle

Bell Helicopter TEXTRON  
BR Goodrich R&D Center  
Boeing Company  
Bombardier Aerospace-Learjet  
Brookhaven National Lab  
California DOT  
Cessna Aircraft  
Chicago O'Hare International Airport  
Cirrus Aviation  
Comair, Inc.  
Continental Airlines  
Delta Airlines  
Donaldson Company, Inc.  
Draper Laboratory  
Elite Air Center  
Executive Jet Aviation  
Experimental Aircraft Assoc. (EAA)  
FedEx Corporation  
General Electric Company  
General Aviation Mfg. Assn.  
(GAMA)  
Goodrich  
Gulfstream Aerospace Corporation  
Harris Corporation  
Honeywell  
Illinois Department of Aeronautics

Indiana Department of Transportation  
International Centre for Indoor Environment & Energy, Technical University of Denmark  
JENITEK Sensors, Inc.  
Livemore Software Technology Corp.  
Lockheed Martin Aeronautics Co.  
Los Angeles World Airports  
Lufthansa  
Maryland Aviation Administration  
Massachusetts Port Authority  
McDonnell Douglas Aerospace  
Metrol Aviation, Inc.  
Metropolitan Washington Airport Authority  
NASA  
National Business Aviation Assn. (NBAA)  
NMS Bio-Defense  
Northrop Grumman Corporation  
Northwest Airlines  
Northwest Composites  
O'Hare Modernization Program (OMP)  
O'Hare Noise Compatibility Commission  
Ohio Department of Development  
Ohio Department of Transportation  
Pratt & Whitney

Professional Flight Attendants Association  
Raytheon Aircraft Company  
Regional Airport Authority of Louisville and Jefferson County  
Rockwell International  
Rolls Royce  
SAE International  
San Francisco Inter.  
Airport/Community Roundtable  
Sandia National Laboratories  
Seagull Technology  
Southern Air Transport  
Southern California Association of Governments  
Southwest Research Institute  
Spofline Aviation Partners  
SRI International  
STERS Corporation  
Sun Microsystems  
Transport Canada  
United Airlines  
United Parcel Service  
US Airways  
US DOT Voyle National Trans. Systems Center  
US EPA  
Virginia Department of Transportation  
Wyle Laboratories

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COE - CST 5-Yr Review



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# FAA Air Transportation Centers of Excellence

## COE Organizational Structures

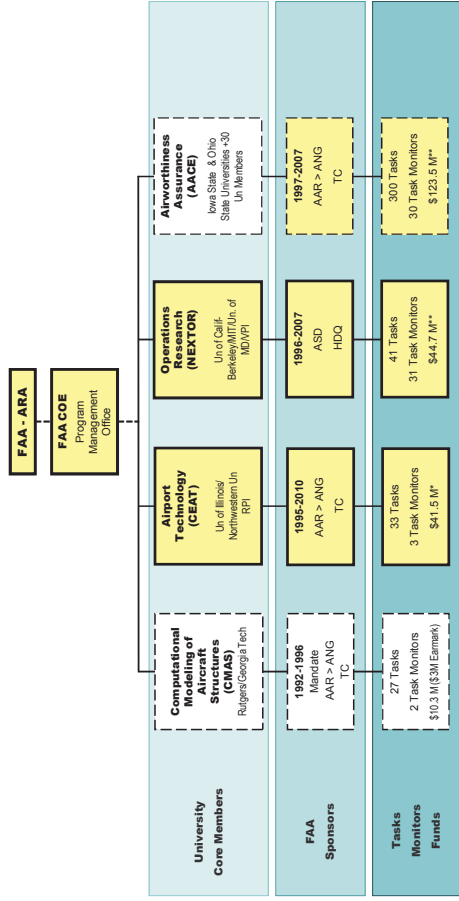
Government/Academic/Industry Public/Private Partnerships

Part II

Presented by: Patricia Watts  
FAA Centers of Excellence

Date: Tuesday, 23 September 2014 (12:30 PM)

## FAA Air Transportation Centers of Excellence



\* All dollar amounts indicated reflect grant awards and include matching funds.  
 \*\* All dollar amounts indicated reflect grant awards, matching funds, other funding vehicles, and contracts.

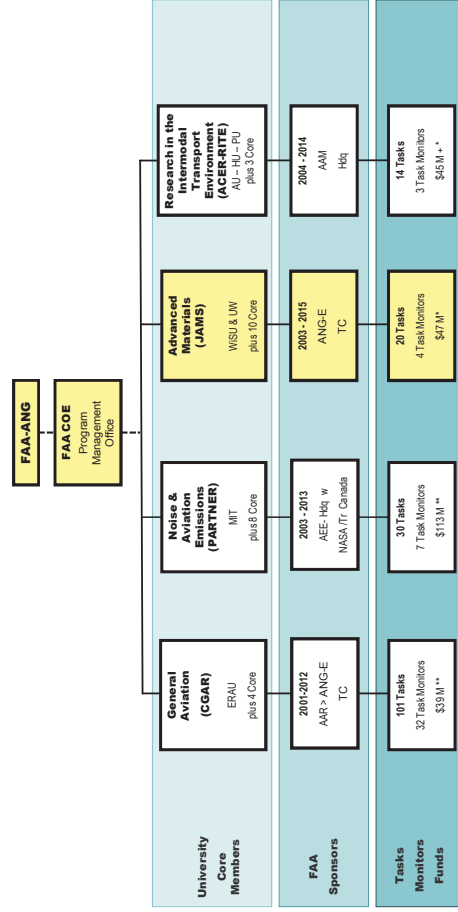
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Part II

Federal Aviation Administration

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## FAA COEs – Active: In Phase Down



\* All dollar amounts indicated reflect grant awards and include matching funds.  
 \*\* All dollar amounts indicated reflect grant awards, matching funds, other funding vehicles, and contracts.

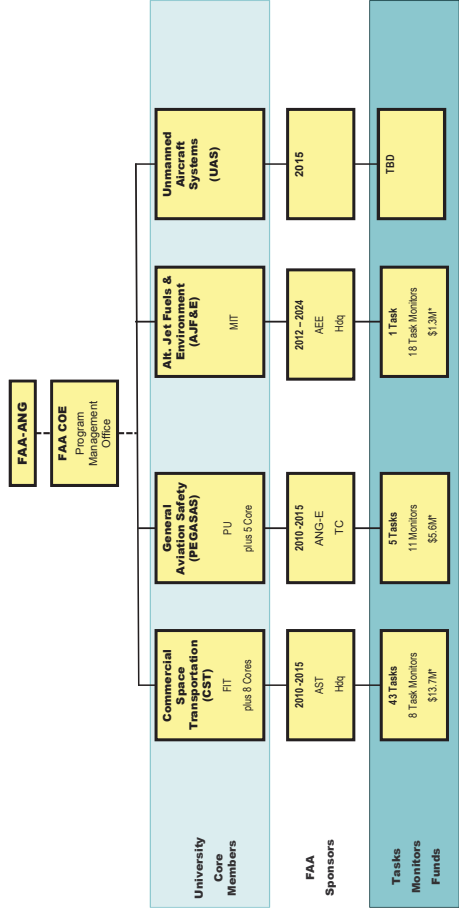
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Part II

Federal Aviation Administration

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## FAA COEs – Active: Phase I



\* All dollar amounts indicated reflect grant awards and include matching funds.  
 \*\* All dollar amounts indicated reflect grant awards, matching funds, other funding vehicles, and contracts.

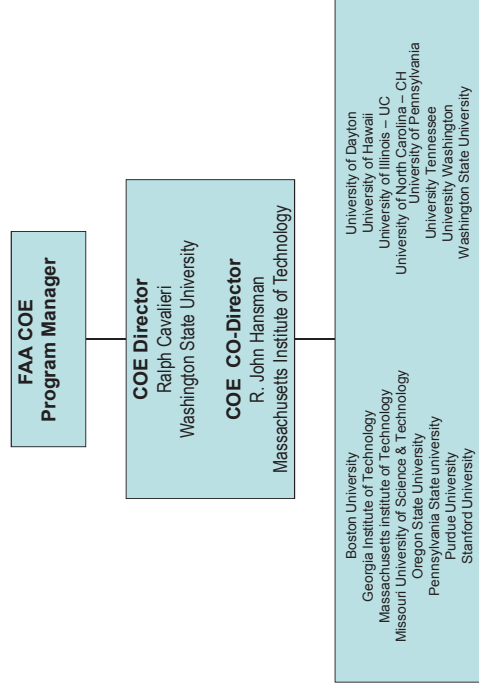
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Part II

Federal Aviation Administration

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## COE for Alternative Jet Fuels and Environment (AJF&E)



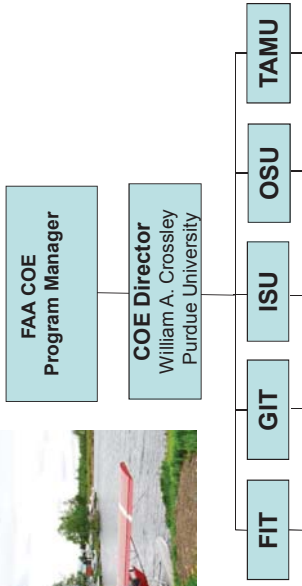
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Part II

Federal Aviation Administration

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## COE for General Aviation Safety (PEGASAS)



Purdue University  
Florida Institute of Technology  
Georgia Institute of Technology

Grants: \$2.8M  
Matching: \$2.8M

Iowa State University  
Ohio State University  
Texas A&M  
Plus 10 Affiliates

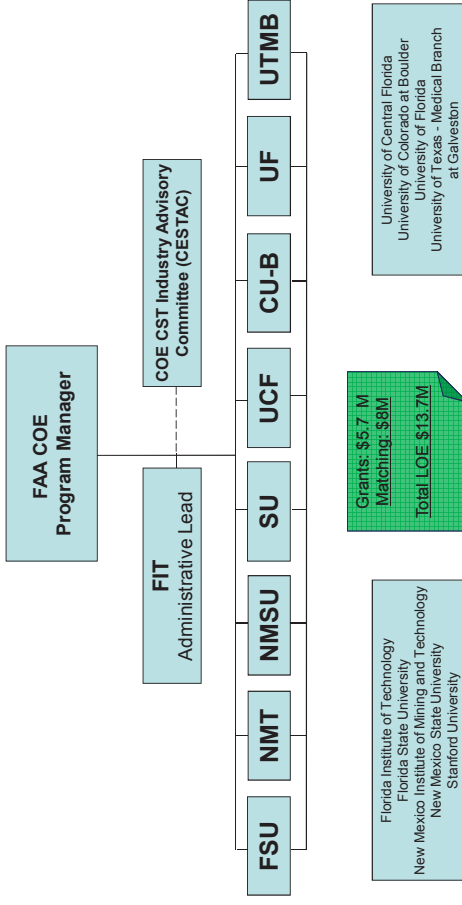
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Part II

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## COE for Commercial Space Transportation (CST)



Florida Institute of Technology  
Florida State University  
New Mexico Institute of Mining and Technology  
New Mexico State University  
Stanford University

Grants: \$5.7 M  
Matching: \$8M  
Total LOE: \$13.7M

University of Central Florida  
University of Colorado at Boulder  
University of Florida  
University of Texas - Medical Branch  
at Galveston

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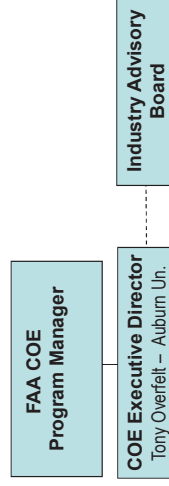
Part II

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## COE CST Industry Advisory Committee (CESTAC)

- JHR Consulting
- The Tauri Group
- Florida Institute of Technology
- Space Florida
- Affiliate, Spaceworks Enterprises
- National Aerospace Training and Research Center (NASTAR)
- Jacobs Technology, Inc.
- Boeing Space Exploration Scitor
- Ball Aerospace
- Lockheed Martin Space Systems Company
- United Launch Alliance (ULA)
- Commercial Spaceflight Federation
- Wyle
- ACTA
- Space Systems Loral
- SATWEST, LLC



Grants: \$23M  
Matching: \$28M  
Total LOE: \$51M

Auburn University  
Boise State University  
Harvard University

Kansas State University  
Purdue University  
Rutgers University of New Jersey

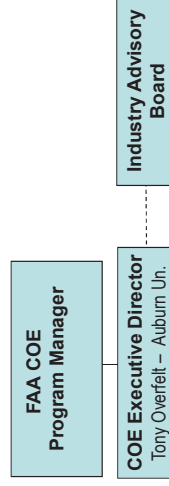
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Part II

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## COE for Cabin and Intermodal Transportation (ACERite)



Grants: \$23M  
Matching: \$28M  
Total LOE: \$51M

Auburn University  
Boise State University  
Harvard University

Kansas State University  
Purdue University  
Rutgers University of New Jersey

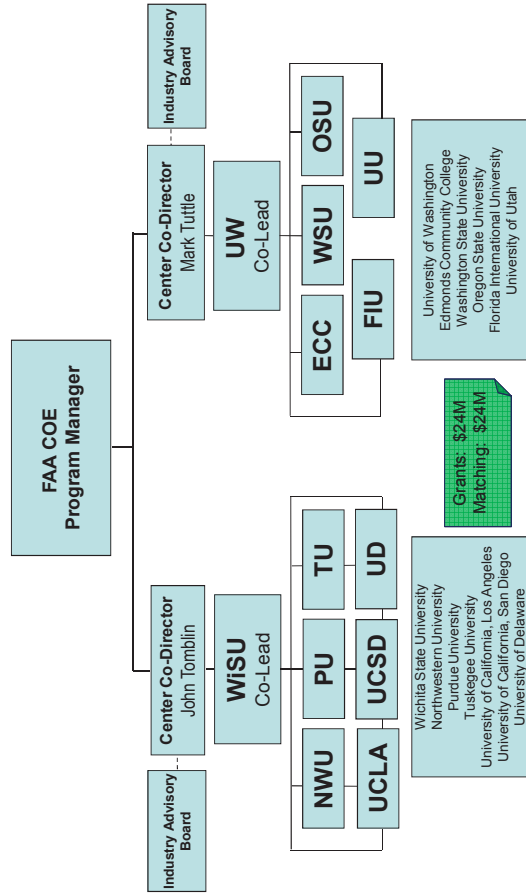
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## Joint COE for Advanced Materials (JAMS)



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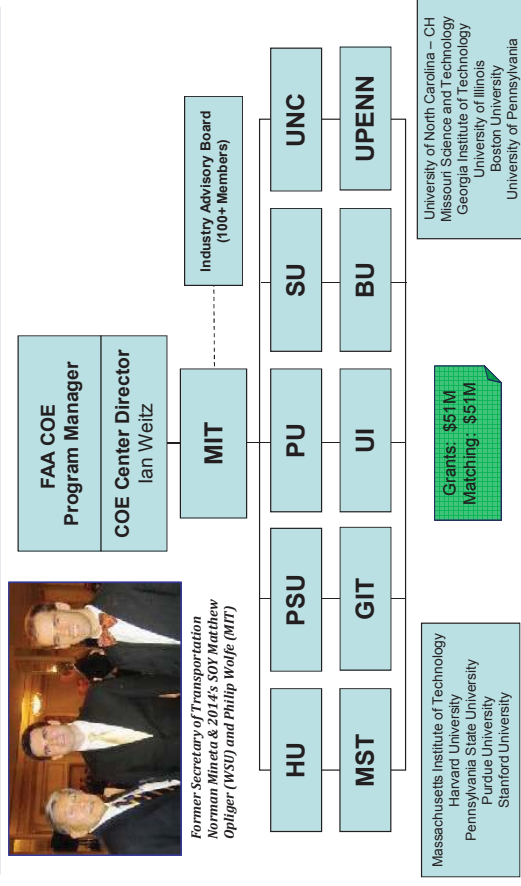
Part II

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## COE for Aircraft Noise & Aviation Emissions Mitigation (PARTNER)



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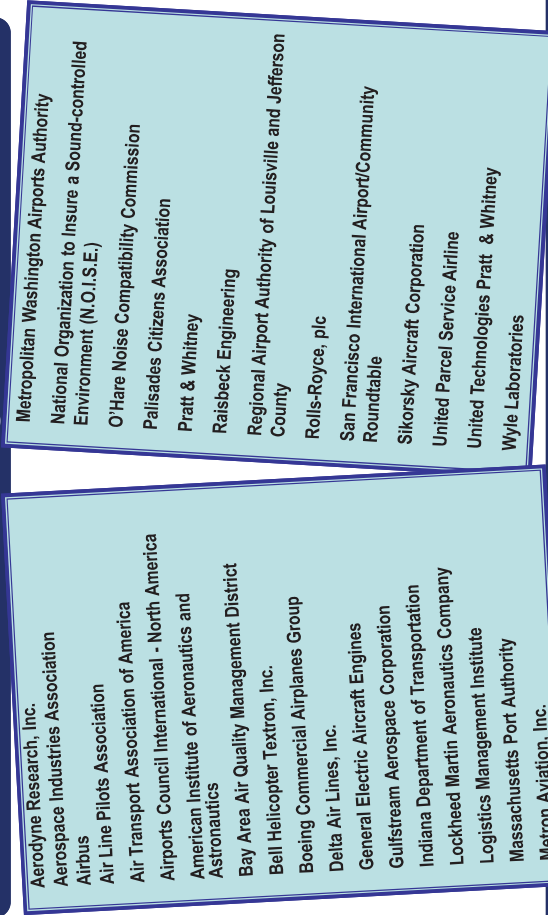
Part II

11

Federal Aviation  
Administration



## FAA / NASA / Transport Canada COE for Aircraft Noise & Aviation Emissions Mitigation (PARTNER)



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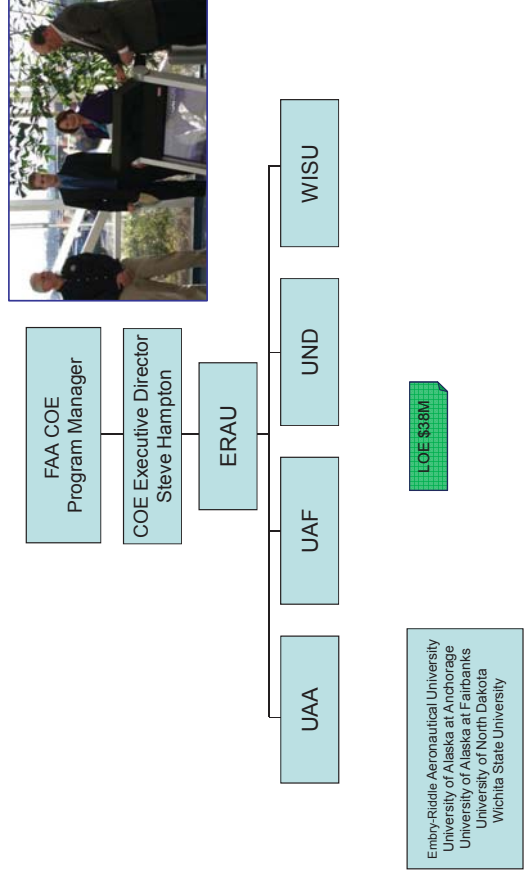
Part II

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Federal Aviation  
Administration



## COE for General Aviation (CGAR)



23 September 2014  
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Part II

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Federal Aviation  
Administration



## COE for Airworthiness Assurance Phase II - University Members

Arizona State University Baylor University Carnegie Mellon University Embry-Riddle Aeronautical University Florida International University George Washington University Iowa State University Johns Hopkins University Lehigh University Mississippi State University New Jersey Institute of Technology North Carolina A&T State University Northwestern University Ohio State University Ohio State University Pennsylvania State University	Purdue University Rutgers University Tuskegee University University of Arizona University of California at Berkeley University of California at Los Angeles University of California at Santa Barbara University of Dayton University of Maryland University of Missouri at Columbia University of North Dakota University of Utah University of Washington Wayne State University Wichita State University
--	---

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(12:30 PM)

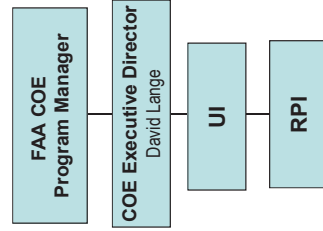
Part II



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Administration

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## COE for Airport Technology (CEAT)



University of Illinois  
Rensselaer Polytechnic Institute

LOE \$44.5M

**PUBLIC PARTNERS**  
O'Hare Modernization Program  
City of Chicago

23 September 2014  
(12:30 PM)

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### Core University Members

University of California-Berkeley  
Massachusetts Institute of Technology  
University of Maryland  
Virginia Tech  
George Mason University

### University Partners

Air Force Institute of Technology  
Rensselaer  
San Jose State University  
University of Michigan  
University of Minnesota  
University of Rochester  
University of Southern California  
University of Texas at Austin

### Industry Affiliates

The Boeing Company	Massachusetts Port Authority
California Department of Transportation	Metron Aviation, Inc.
Draper Laboratory	Northrop Grumman
Federal Express	Sabre
Honeywell	San Francisco International Airport
Leigh Fisher Associates	Seagull Technology
Logistics Management Institute	Southern California Association of Governments
Maryland Aviation Administration	Virginia Department of Transportation
Los Angeles World Airports	

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Part II



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## Joint Center for Computational Modeling of Aircraft Structures (RITE)



Dean Ellis Dill, Rutgers,  
The State University of New Jersey

- Members Designated by Congress: \$3M Directed – Operational 1993 through 1996



- Technology areas funded through matching grants:
  - Widespread Fatigue-Damage
  - Residual-Life and Residual-Strength Estimations
  - Mechanical and Composite-Patch Repairs
  - Life-Enhancement Methodologies
  - Discrete Source Damage

Rutgers University and Georgia Institute of Technology

23 September 2014  
(12:30 PM)

Part II



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Administration

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# Q + A

## Lessons Learned



Communications and collaboration are key and take time. Lots of time!  
Sustainability planning is long term  
Evaluation should be done continuously  
*Surround yourself with "can do" people*

23 September 2014  
(12:30 PM)

Part II



Federal Aviation  
Administration

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## FAA Air Transportation Centers of Excellence



### Contact:

**Patricia Watts, Ph.D.**

FAA William J. Hughes Technical Center, ANG-A12  
Atlantic City International Airport, NJ 08405

Phone: (609) 485-5043

Email: [patricia.watts@faa.gov](mailto:patricia.watts@faa.gov)

Website: <http://www.faa.gov/go/coe>



23 September 2014  
(12:30 PM)

Part II



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# Q + A

## Lessons Learned – GAM Grant Recipient



Communications and collaboration are key and take time. Lots of time!  
Sustainability planning is long term.  
Evaluation should be done continuously.  
*Surround yourself with "can do" people.*

23 September 2014  
(12:30 PM)

Part II



Federal Aviation  
Administration

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## COE CST Fourth Annual Technical Meeting (ATM4): COE CST Overview & Status



Ken Davidian  
COE CST ATM4 in Washington, DC  
Wednesday, October 29, 2014

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# Agenda

- Overview
- Team Members
- Year 4 Organizational Highlights
- Administration
- Conclusion

COE CST Fourth Annual Technical Meeting (ATM4)  
October 29-30, 2014



## Center of Excellence for Commercial Space Transportation

Created by the Omnibus Budget Reconciliation Act of 1990, Public Law 101-508, Title IX, Aviation Safety and Capacity Expansion Act.

- **What:** A 10-year partnership of academia, industry, and government to create a world-class consortium.
  - August 2010 - August 2020
- **3 Goals:** Research, Training, Outreach/STEM
- **Purpose: Improve National Competitiveness...**
  - ... through the development of advanced, specialized human, physical, and knowledge resources to address commercial space industry challenges.
- **Origins:** Openly-competed and selected by the FAA Administrator.
- **Matching Requirement:** 1:1 for All USG Funds

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October 29-30, 2014



# AST Statutory Authority

## Title 51 US Code Subtitle V, Ch. 509

- Regulate the commercial space transportation industry, only to the extent necessary, to ensure compliance with international obligations of the United States and to protect the public health and safety, safety of property, and national security and foreign policy interest of the United States
- Encourage, facilitate, and promote commercial space launches and re-entries by the private sector

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## COE CST Research Areas & Tasks

### 1. Space Traffic Management & Operations

- 1.1 Orbital
- 1.2 Suborbital
- 1.3 NAS Integration
- 1.4 Reentry Operations
- 1.5 Integrated Air/Space Traffic Management



### 2. Space Transportation Ops, Technologies & Payloads

- 2.1 Ground System & Ops Safety Techs
- 2.2 Vehicle Safety Analyses
- 2.3 Vehicle Safety Systems & Techs
- 2.4 Payload Safety
- 2.5 Vehicle Ops Safety

### 3. Human Spaceflight

- 3.1 Aerospace Physiology & Medicine
- 3.2 Personnel Training
- 3.3 ECLS
- 3.4 Habitability & Human Factors
- 3.5 Human Rating



### 4. Space Transportation Industry Viability

- 4.1 Markets
- 4.2 Policy
- 4.3 Law
- 4.4 Regulation
- 4.5 Cross-Cutting Topics

# Banner Competition



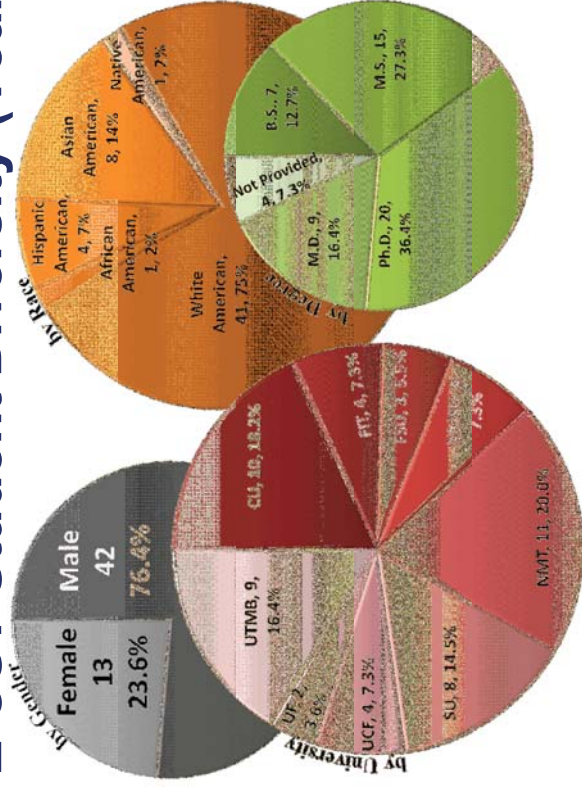
# COE CST "Team" Members

- Principal Investigators
- Students
- University Support Personnel
- Industry/CESTAC Members\*
- Affiliate Members (Universities, Industry)
- Associate Members (Fed and State Gov't Orgs)
- FAA AST Technical Monitors
- FAA Management (COE, Tech Ctr, AST)

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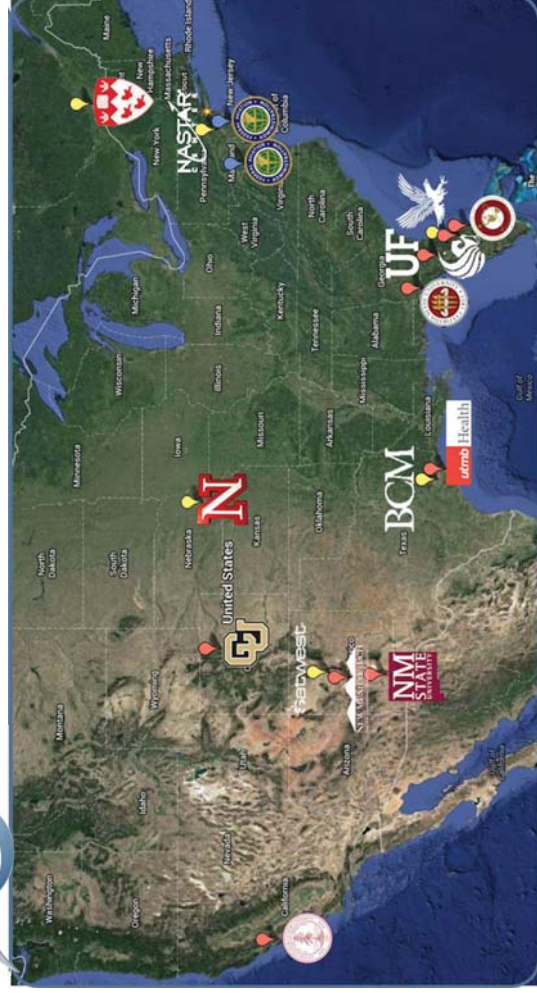
# COE CST Student Diversity (Year 3)



COE CST Fourth Annual Technical Meeting (ATM4)  
October 29-30, 2014



# FAA Center of Excellence for Commercial Space Transportation



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## COE CST Year 4 Supporters



Locked On Inc.  
Paris Surgical Assoc.  
Bachner Consultants Inc.  
Digital Solutions  
Marketing Consultants  
Spaceport America Consultants  
NM Space Development Foundation

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## COE CST Year 3 Annual Reports

- Now available on the web at:
- Exec Summary: [bit.ly/COECSTYr3ExecSumm](http://bit.ly/COECSTYr3ExecSumm)
- Volume 1: [bit.ly/COECSTYr3Vol1](http://bit.ly/COECSTYr3Vol1)
- Volume 2: [bit.ly/COECSTYr3Vol2](http://bit.ly/COECSTYr3Vol2)
- Volume 3: [bit.ly/COECSTYr3Vol3](http://bit.ly/COECSTYr3Vol3)



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## Organizational Highlights

- **Previous Affiliate Members:** McGill University, Baylor, ERAU, NASTAR, Satwest, UN Lincoln
- **Associate Members:** DLR, NASA ARC\*
- **Potential New Affiliate/Associate Members:** ASU, Berkeley, USC, NSI/DTU, UK, ...
- **Fourth Annual Administrative Meeting (AAM4)** at FL TECH on April 22-23, 2014
- **Admin Transition from FAA to COE CST** to the Coordinating Committee Lead (FL TECH)

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## COE CST Milestones Since ATM3

- April 22-23, AAM4, Melbourne, FL at FL TECH
- May 12-15, UTMB Awards at AsMA Conference



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# Body of Knowledge for Spaceport Operations

- April 16, 2014
- PI: Dr. Patricia Hynes, NMSU
- Partners: AIAA, ATK, Bachner Consultants, Inc., Ball Aerospace, Cimmaron Software Services, Digital Solutions, Marketing Consultant, National Space Grant Foundation, New Mexico Spaceport Authority, NMSU Space Development Foundation, SATWEST, Space News, Spaceport Sweden, Swedish Institute of Space Physics, Boeing CSSI, Dynelics, Jacobs Technology, Lockheed Martin, PSU Aerospace Engineering, Qinetiq, Space Works Enterprises, Spaceport America Consultants, Spaceworks, The Taubert Group, Webster University Space Programs, XCOR Aerospace

URL: [contentdm.nmsu.edu:2011/cdm/landingpage/collection/NMSGCBOK](http://contentdm.nmsu.edu:2011/cdm/landingpage/collection/NMSGCBOK)

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## COE CST @ ISPCS



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### Body of Knowledge for Spaceport Operations



About this collection  
This collection consists of a body of knowledge for spaceport operations, safety, and health readiness to be incorporated in the systems needed to create a vibrant commercial space industry. This marks a milestone for the long-term development of a commercial space transportation system. The development of a Framework for Spaceport Operations has drawn the attention of industry, academia, and government. The information in this collection is available to all members of the spaceport community, including those who are not directly involved in the development of a commercial space transportation system. The development of a Framework for Spaceport Operations has drawn the attention of industry, academia, and government. The information in this collection is available to all members of the spaceport community, including those who are not directly involved in the development of a commercial space transportation system.

Developed by the FAA Center of Excellence for Commercial Space Transportation, the body of knowledge for Spaceport Operations is an interdisciplinary, multi-disciplinary, and multi-institutional effort. The information in this collection is available to all members of the spaceport community, including those who are not directly involved in the development of a commercial space transportation system.

Framework for Spaceport Operations  
This collection is organized into three main categories: the top-level categories are:

- 1.0 Airfield Operations
- 2.0 Site Security
- 3.0 Safety
- 4.0 Visitor Management
- 5.0 Ground and Flight Safety
- 6.0 Environmental Management
- 7.0 Mission Readiness
- 8.0 FAR Requirements
- 9.0 FAR Compliance
- 10.0 Staff Inspection

Related Materials  
This collection includes related materials that are available to all members of the spaceport community, including those who are not directly involved in the development of a commercial space transportation system.

Subject Terms  
The body of knowledge uses subject terms from the NMSU Thesaurus, which are authorized for use in indexing and retrieval by the NMSU Scientific and Technical Information (STI) Program. The library of Congress subject headings and names are also used for retrieval of concepts not covered in the NMSU Thesaurus. Terms from the NMSU Thesaurus are used with (NMSU).

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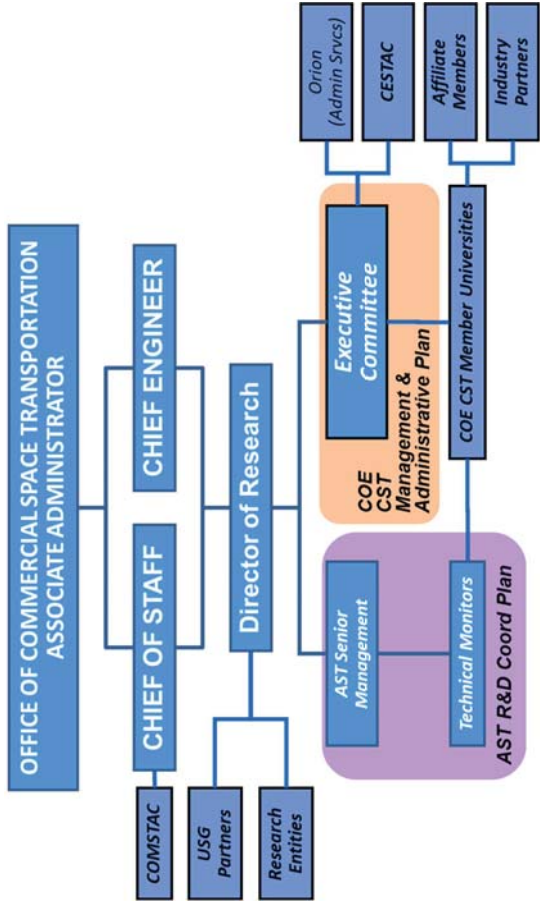
## COE CST's Official Journal: New Space



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October 29-30, 2014



## COE CST Org Chart - Year 4

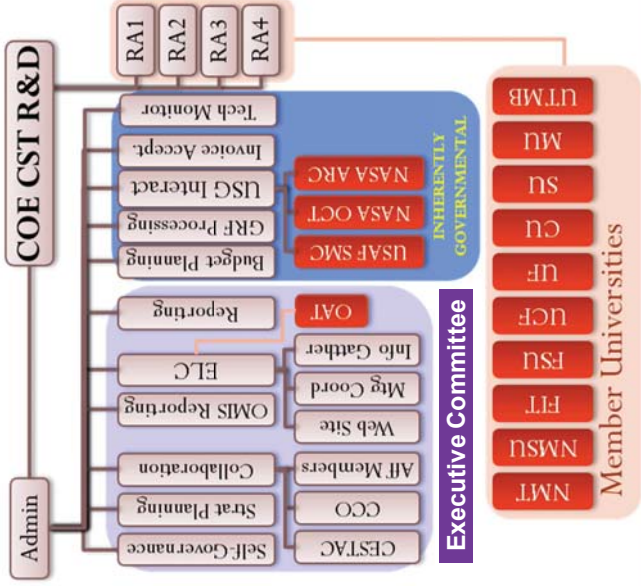


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# COE CST Metrics At-A-Glance

## Year 4 COE CST Admin. Functional Diagram (with Orgs)

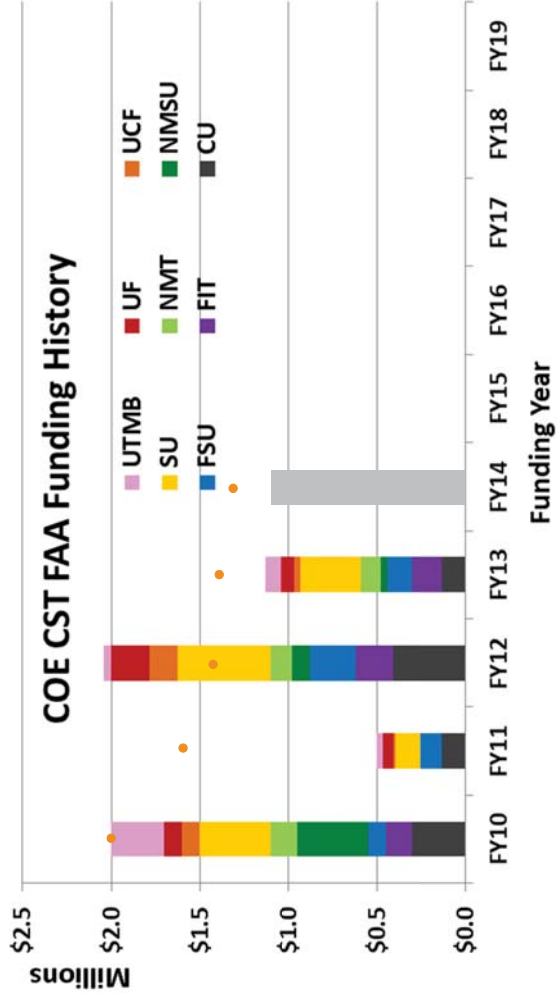


	Year 1	Year 2	Year 3	Year 4
# Tasks	25	33	26	~13
# PIs	27	24	29	~13
# Students	31	~29	55	~27
# Reports	0	~9	28	TBD
# Affil/Assoc Members	0/0	1/0	6/1	>6/1
Funding \$6.63M (5 Yrs)	\$2M (FY10)	\$2.4M (FY11-12)	\$1.13M (FY13)	\$1.1M (FY14)

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## COE CST Funding Story, FY10-14



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## Conclusions

- COE CST Sets Standard for FAA COEs
- Strong Core Membership & Growing Affiliate, Associate Membership
- Many Highlights, Research Results, & Peer Recognition

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# COE CST Fourth Annual Technical Meeting

## Advanced ADS-B Prototype for Commercial Space: Status Update and Future Opportunities

Richard S. Stansbury

Brandon Neugebauer

Richard P. Day

Yosvany Alonso

Dominic Tournour

October 29-30, 2014  
Washington, DC



# Agenda

- Team Members
- Task Description
- SpaceLoft 8 Launch Campaign
- Follow-on work and conclusions

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October 29-30, 2014



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# Team Members

- **People**
  - Principal Investigators: Richard S. Stansbury
  - Students: Brandon Neugebauer, Richard P. Day, Yosvany Alonso, and Dominic Tournour
  - FAA: Nick Demidovich, Chuck Greenlow, John Dinofrio, and others.
  - MITRE: Dave Edwards
- **Organizations**
  - Industry and Research Partners:
    - Terminal Velocity Aerospace, LLC.
    - NASA Flight Opportunities Program
      - Up Aerospace
      - Near Space Corporation

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# Purpose of Task

- Support of suborbital reusable launch vehicles (sRLVs) for commercial space transportation requires considerations for safe integration into the national airspace system (NAS)
- ADS-B technology is used for surveillance by air traffic control and situational awareness for pilots
- This research presents the potential for adaptation of existing ADS-B technology to support operations for sRLVs operations exceeding current technology limits (primarily altitude, velocity and acceleration)

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## MITRE UBR-TX

- UAT Beacon Radio – Transmit Only (UBR-TX)
  - Broadcasts state vector once per second
  - Supports both barometric and GPS-based altitudes
- Balloon / Rocket Flight Tests
  - 2009 Red Glare VII (amateur rocket)
  - 2010 AFRL research balloon
  - 2010 NASA Wallops sounding rocket
  - 2012 Up Aerospace Spaceloft VI
  - 2013 Spaceloft VII flight



**MITRE**  
TECHNOLOGY APPLIED

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## INTEGRATION FOR SPACELOFT XL

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Parameter	Specification
Length	5.75" (14.6 cm)
Width	2.5" (6.35 cm)
Height	2.5" (6.35 cm)
Weight (UBR board, daughter board, GPS, battery, and enclosure)	790 g (27.9 oz)
Weight (cables, antennas, etc.)	85-300g est.
Nominal power Consumption	840mA @ 3VDC
Nominal battery capacity	7.75 Ah



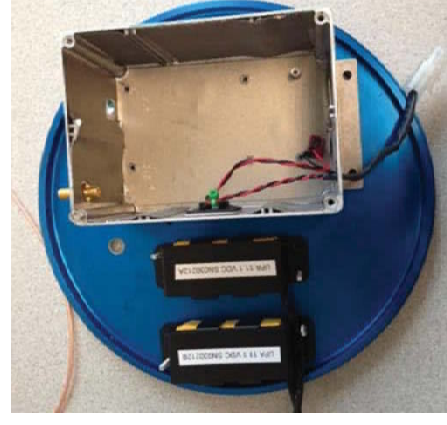
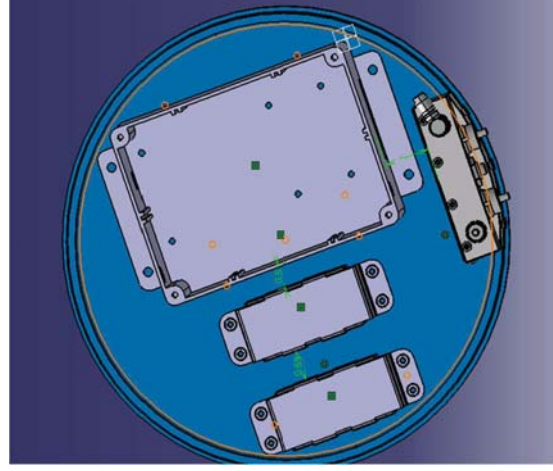
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## PTS-10 Rocket Segment



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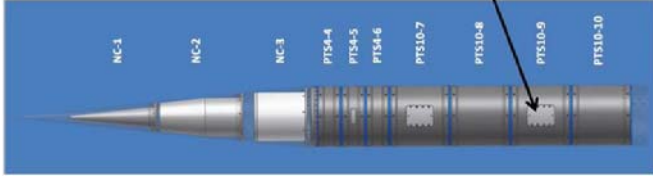


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# Vehicle Integration



# SL-8 FLIGHT DATA AND ANALYSIS

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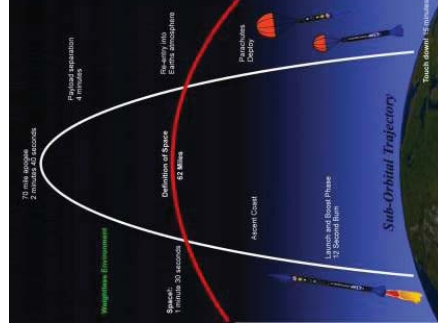
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# SL-8 Flight Profile

Event	Time (seconds)
Launch	T + 0
Despin initiated	T + 55
Apogee (384, 100 ft.)	T + 162
Payload separation	T + 240
Drogue deployment	T + 442
Chute Deployment	T + 452
Touchdown	T + 751



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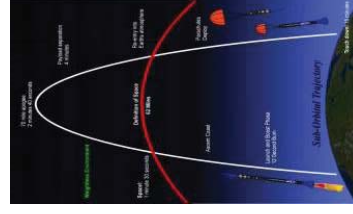
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# Flight Analysis

Phase of flight	Metric	Result
Full flight	% tracked by ADSB	73.8%
T + 62 (7 seconds post-despin initiation)	% of flight tracked by ADS-B	80.5
	Avg. time between message	1.27 s
	Max. time between message	8.00 s
	Max receivers tracking (FAA/Excelis Ground-based Transceivers GBTs only)	8
After T+315 (descent and deceleration to less than 1000 ft./sec)	Max receivers tracking (FAA GBTs and portable)	10
	Avg. latitude error	16.145E-05 deg.
	Avg. longitude error	9.170E-05 deg.
	Avg. altitude error (below 101,350 ft.)	54.31 ft.
	% of flight tracked by ADS-B	95.9%
	Avg. time between message	1.04 s
	Max. time between message	3.00 s



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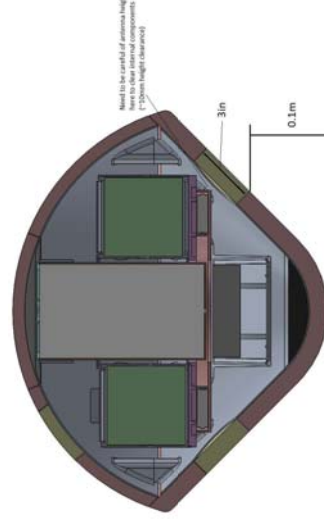
# Performance vs. Success Criteria

Criteria	Pass / Fail	Comments
Broadcasts well-formed messages	Pass	ITT GBTs and two portable Garmin GDL 90 successfully parsed data
Vehicle tracking for 90% of flight	Fail	73.8% of full flight, 80.5% post-despin, and 95.9% on descent.
Characterization of data loss	Pass	Primary characterization of data loss was configuration onboard spacecraft and NOT ADS-B unit itself.
Correlated with other data sources	Pass	Utilized truth data from WSMR primary radar. Position/altitude accuracy measured.

# FOLLOW-ON WORK AND CONCLUSION

# Terminal Velocity Aerospace

- Integration of Advanced ADS-B Unit onboard reentry vehicle
- Funded by NASA Ames
- Goals:
  - Evaluate performance of ADS-B broadcasting through experimental TPS material
  - Demonstration of UBR on new vehicle type



# Proposed Future Work

- Taking Advanced ADS-B to glass
- Goal: identify gaps in current Advanced ADS-B implementation versus DO-282B standard and implement changes
- Dual antenna configuration
- Goal: update Advanced ADS-B to meet DO-282B standard for dual antenna while ensuring sufficient transmit power to meet performance requirements
- Commercial Space Message Format for ADS-B
- Goal: better express the state of a commercial spacecraft and bypass current limits for altitude and climb rate
- ADS-B for tracking of Cubesat
- Goal: provide real-time low-cost tracking of LEO spacecraft, and demonstrate utilizing a free flying cubesat
- Previous proposal through CASIS did not make it through first phase

## Schedule

- Development of Advanced ADS-B Units
  - Preparing for future flights
    - NSC HASS, Q4 2014
    - SpaceShip2, TBA
    - Up Aerospace, SL10, TBD
  - Completing remaining ADS-B Units, 12/15/2014
- RED-4U
- System integration, Q3 2014
- System testing, Q4 2014

## Questions?

### Embry-Riddle Aeronautical University

Richard Stansbury, [rstansbu@erau.edu](mailto:rstansbu@erau.edu)  
Massood Towhidnejad, [towhid@erau.edu](mailto:towhid@erau.edu)  
Dominic Tournour, [TOURNOUD@my.erau.edu](mailto:TOURNOUD@my.erau.edu)

### FAA Office of Commercial Space Transportation

Nick Demidovich, [nickolas.demidovich@faa.gov](mailto:nickolas.demidovich@faa.gov)

### FAA William J. Hughes Technical Center

Chuck Greenlow, [chuck.ct.greenlow@faa.gov](mailto:chuck.ct.greenlow@faa.gov)  
John DiNofrio, [john.dinofrio@faa.gov](mailto:john.dinofrio@faa.gov)

### MITRE

Dave Edwards, [davee@mitre.org](mailto:davee@mitre.org)



Image courtesy of UpAerospace Inc.

## Schedule

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- System testing, Q4 2014

## Questions?

### Embry-Riddle Aeronautical University

Richard Stansbury, [rstansbu@erau.edu](mailto:rstansbu@erau.edu)  
Massood Towhidnejad, [towhid@erau.edu](mailto:towhid@erau.edu)  
Dominic Tournour, [TOURNOUD@my.erau.edu](mailto:TOURNOUD@my.erau.edu)

### FAA Office of Commercial Space Transportation

Nick Demidovich, [nickolas.demidovich@faa.gov](mailto:nickolas.demidovich@faa.gov)

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John DiNofrio, [john.dinofrio@faa.gov](mailto:john.dinofrio@faa.gov)

### MITRE

Dave Edwards, [davee@mitre.org](mailto:davee@mitre.org)



Image courtesy of UpAerospace Inc.

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October 29-30, 2014



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## Unified 4D Trajectory Approach for Integrated Traffic Management

Tom Colvin & Juan Alonso  
Stanford University

October 30 2014



## Outline

- What's the problem we want to solve?
- Compact Envelopes to the rescue
- Some Examples
- Quantify how great compact envelopes are
- Concluding thoughts and papers

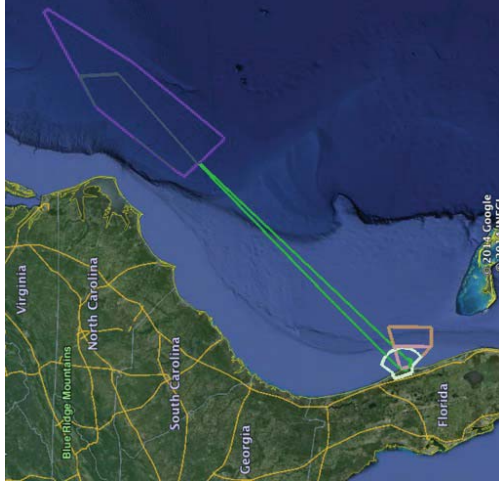
COE CST Task 185  
October 30, 2014



## What's The Problem?

- Need launch architectures to ensure NAS users are safe.
- Current method uses SUAs, NOTAMs, etc and is too big! Not altitude contoured, uses pre-existing shapes, conservative assumptions, range safety buffers, not dynamic.
- What level of safety?
- Unfair.
- Commercial space traffic in rising volume and launching from new ranges requires new ATM architectures.

Falcon9 March 1st 2013



COE CST Task 185  
October 30, 2014



Thursday, October 30, 14

## Compact Envelope Concept



COE CST Task 185  
October 30, 2014

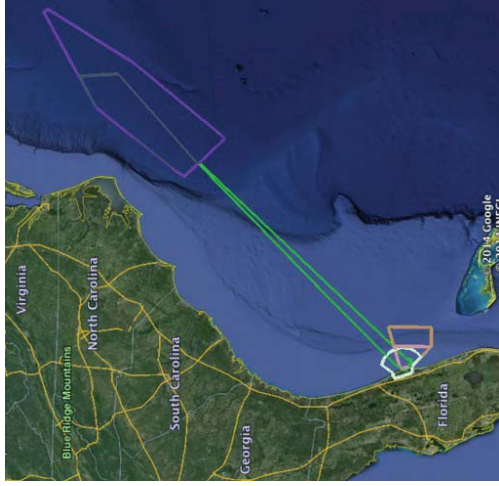


Thursday, October 30, 14

## What's The Problem?

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- Unfair.
- Commercial space traffic in rising volume and launching from new ranges requires new ATM architectures.

Falcon9 March 1st 2013

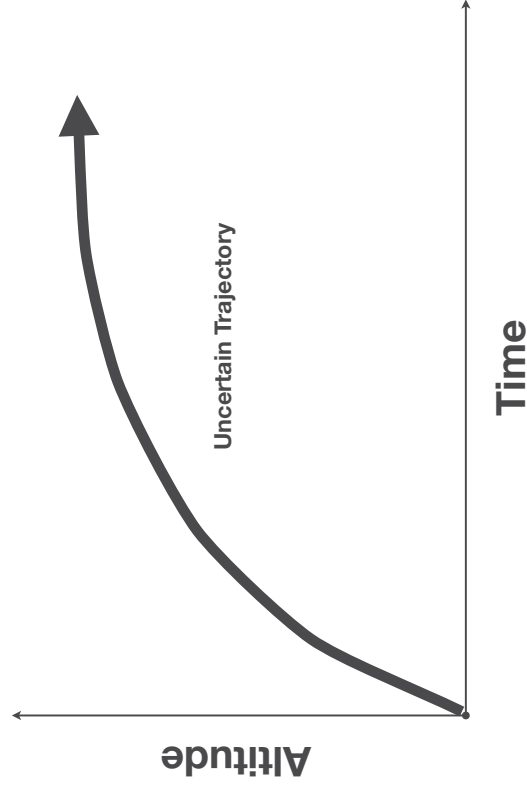


COE CST Task 185  
October 30, 2014



Thursday, October 30, 14

## Compact Envelope Concept

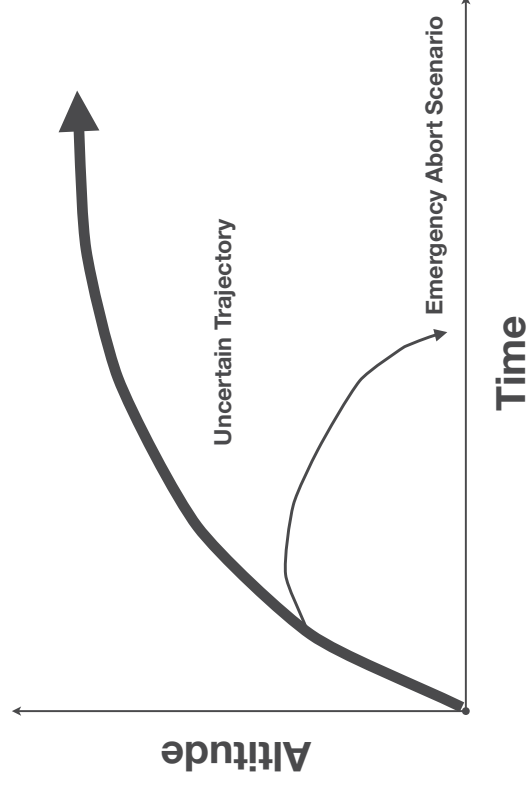


COE CST Task 185  
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## Compact Envelope Concept

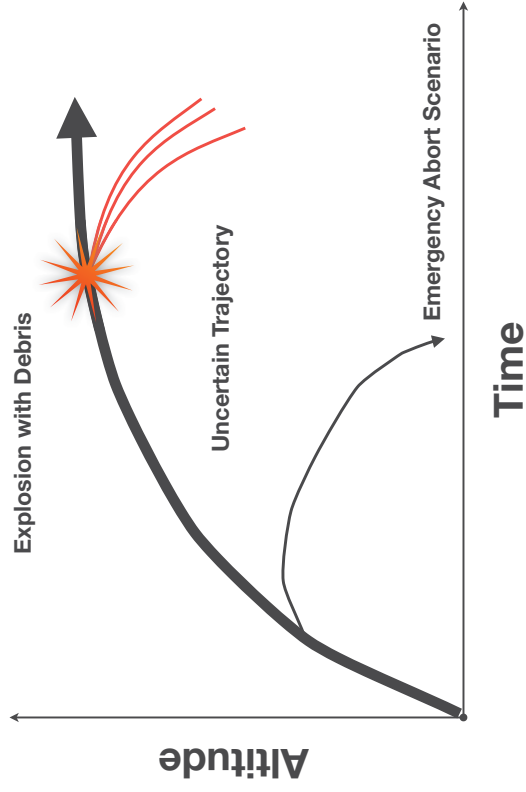


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# Compact Envelope Concept

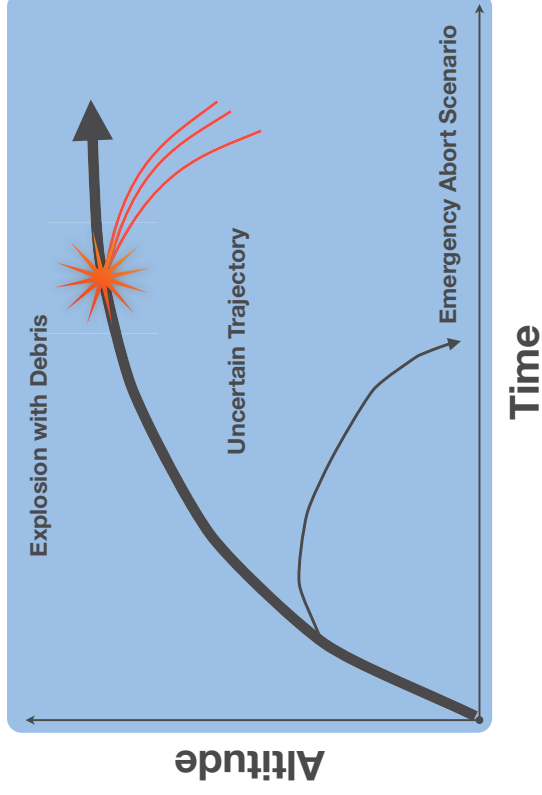


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# Compact Envelope Concept

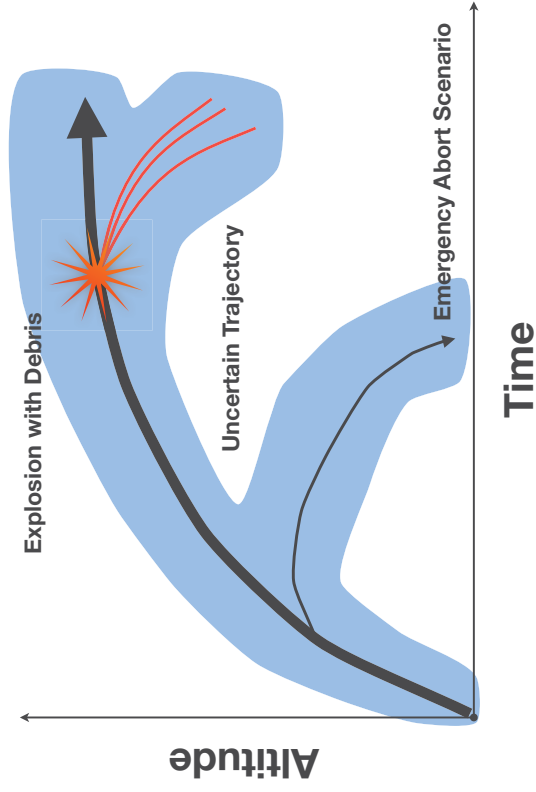


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# Compact Envelope Concept



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# Suborbital Example: Lynx

- Using NAS reaction time of five minutes.
- Using instantaneous vehicle health monitoring.
- Envelope corresponds to probability of casualty < 1e-7 (one in ten million)
- Calculation includes uncertainties due to winds, time of explosion, debris properties, etc.

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# Suborbital Example: Lynx (Another View)



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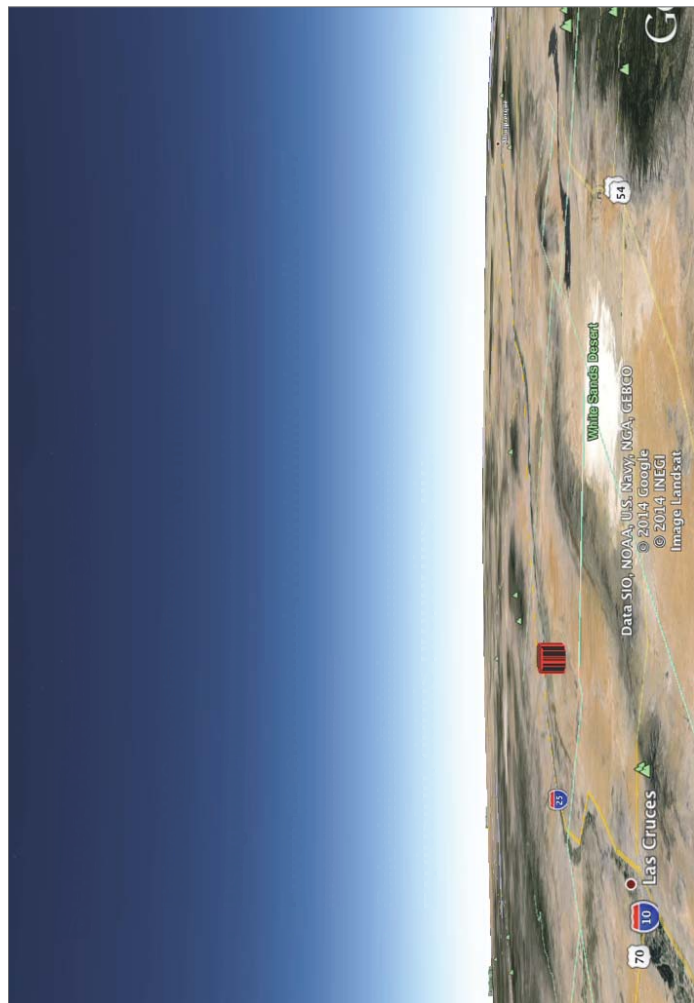
# Orbital Example: Falcon9

	Actual SUAs	Compact Envelopes	Units
Flights Rerouted			#
Added Flight Time			Min
Added Flight Distance			N.M.
Added Fuel Burn			lbs

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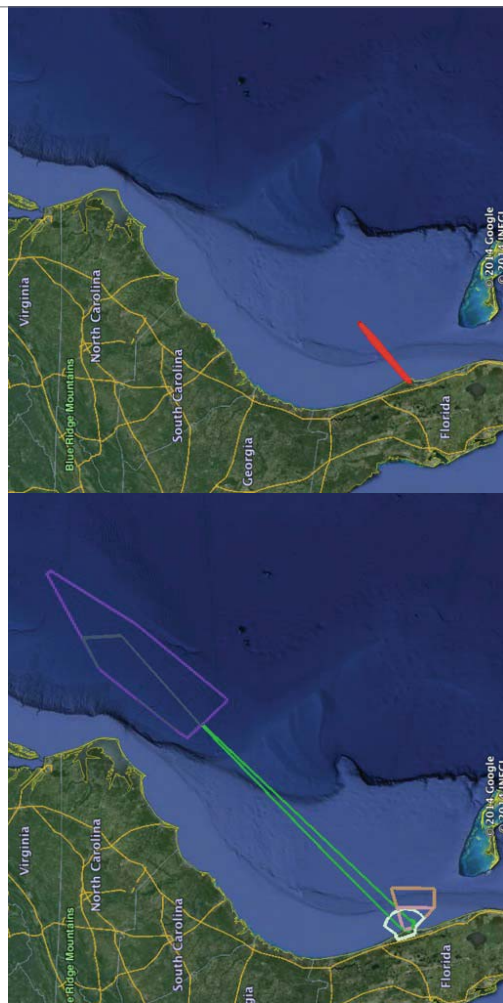


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# Orbital Example: Falcon9



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# Orbital Example: Falcon9

	Actual SUAs	Compact Envelopes	Units
Flights Rerouted	45		#
Added Flight Time	537		Min
Added Flight Distance	2110.773		N.M.
Added Fuel Burn	22641.262		lbs

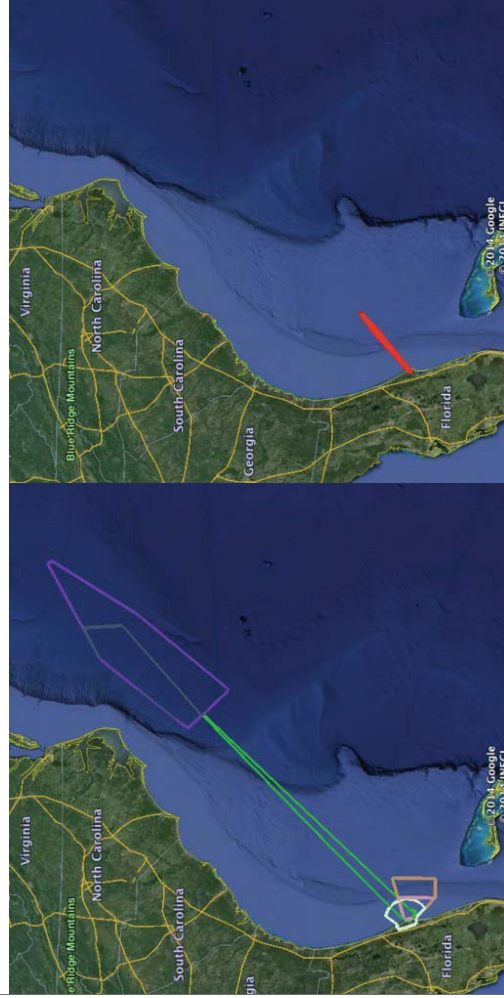


# Orbital Example: Falcon9

	Actual SUAs	Compact Envelopes	Units
Flights Rerouted	45	0	#
Added Flight Time	537	0	Min
Added Flight Distance	2110.773	0	N.M.
Added Fuel Burn	22641.262	0	lbs



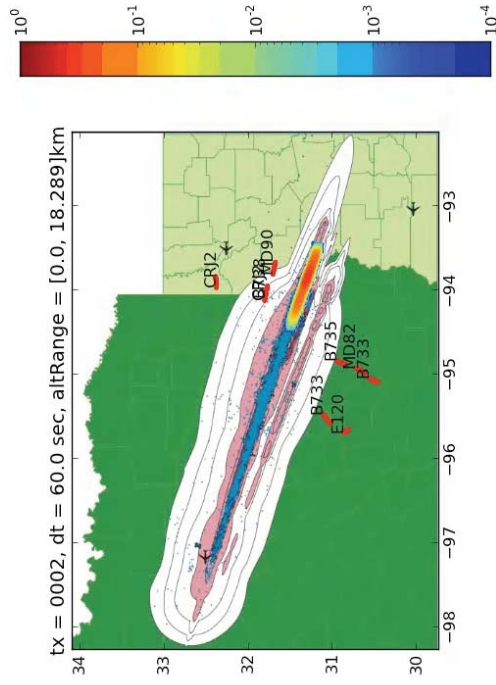
# Orbital Example: Falcon9



# Reentry Example: Columbia



## Reentry Example: Columbia



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Thanks!

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## Conclusion

- I am developing a novel method, called Compact Envelopes, for keeping launch and reentry traffic safely separated from traditional air traffic.
- I have created a software environment to assess risk to aircraft from debris hazards and to create Compact Envelopes.
- Compact Envelopes incorporate probabilistic risks to generate a no-fly zone boundary that is contoured in space, dynamic in time, and quantifiably safe. Leverages NextGen!
- Preliminary validation against Columbia disaster case.
- Paper and talk at SciTech 2015 (January!).
- NAS-wide simulation in close collaboration with FAA and NASA.

COE CST Task 185  
October 30, 2014



Thursday, October 30, 14

## COE CST Fourth Annual Technical Meeting:

### Space Environment MMOD Modeling and Prediction

Alan Li and Sigrid Close

October 29-30, 2014  
Washington, DC



## Overview

- Team Members
- Task Description
- Goals
- Results
- Conclusions and Future Work

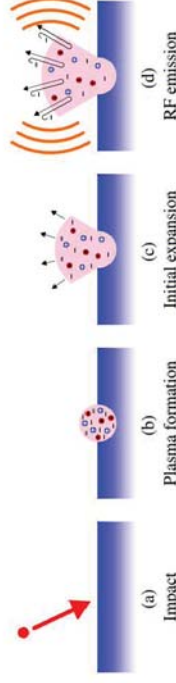
## Team Members

- Sigrid Close, Stanford University (PI)
- Alan Li, Stanford University (graduate student)
- Steven Pifko, Ryan Volz and Jonathan Yee, Stanford University (graduate students supported by NSF)

## Purpose of Task

- **Spacecraft are routinely impacted by space debris and natural impactors**

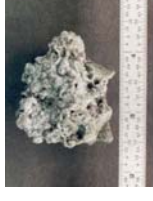
- Mechanical damage: “well-known”, larger (> 120 microns), rare
- Electrical damage: “unknown”, smaller/faster, more numerous



- **Goal: Characterize impactor population and provide predictive threat assessment**

## Impactors

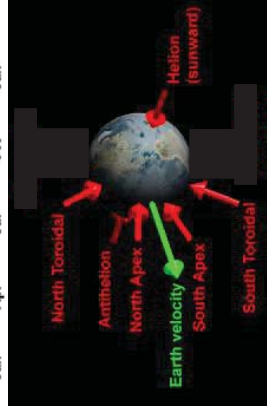
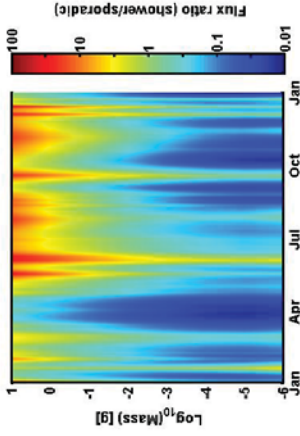
- **Meteoroids**
  - **Speeds**
    - 11 to 72.8 km/s (interplanetary)
    - 30-60 km/s (average)
  - **Densities**
    - $\leq 1 \text{ g/cm}^3$  (icy) or  $> 1 \text{ g/cm}^3$  (rocky/stony)
  - **Sizes**
    - $< 0.3 \text{ m}$  (meteoroid)
    - $< 62 \mu\text{m}$  (dust)
- **Space Debris**
  - **Speeds in LEO**
    - $< 12 \text{ km/s}$
    - 7-10 km/s (average)
  - **Densities**
    - $> 2 \text{ g/cm}^3$
  - **Sizes**
    - $< 10 \text{ cm}$  (small)



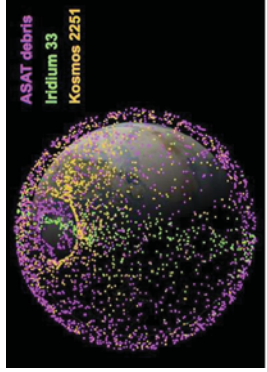
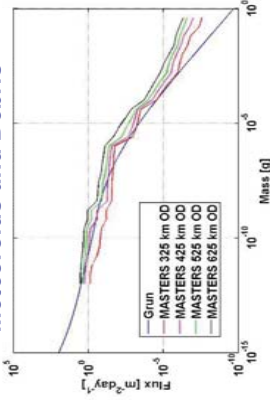


# Flux

## Meteoroids



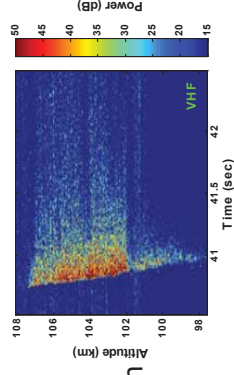
## Meteoroids and Debris



# Methodology: Meteoroids

## • Atmospheric Plasma

- *Data:* ground-based radar
- *Models:* Particle-In-Cell (PIC) for plasma development, Finite Difference Time Domain (FDTD) for EM interaction with plasma
- *Deliverables:* energy flux, mass, bulk density, orbit prediction



## • Impact Plasma

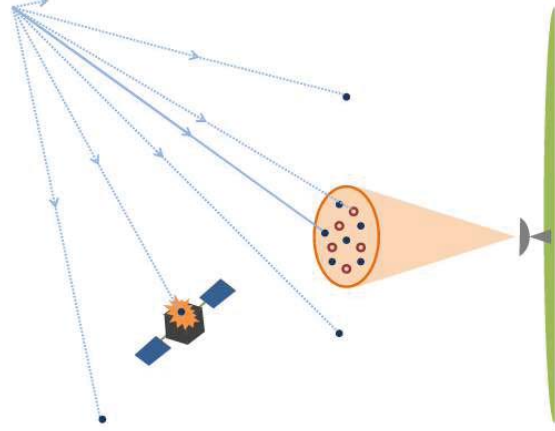
- *Data:* ground-based accelerators
- *Models:* Computational Fluid Dynamics (CFD) for initial conditions, PIC for plasma development and RF emission
- *Deliverables:* plasma composition, temperature, RF spectra



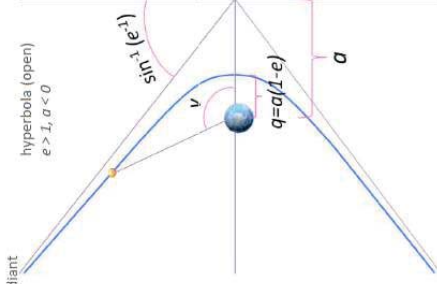
# Atmospheric Data: Meteors

## • Radars

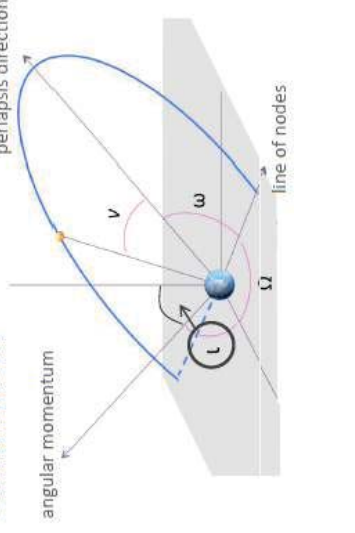
- ALTAIR
- Arecibo Observatory
- MIT Millstone
- MU



## SHAPE:

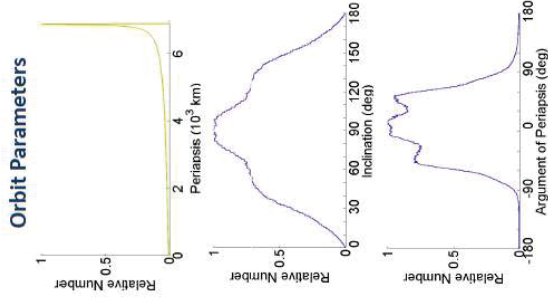
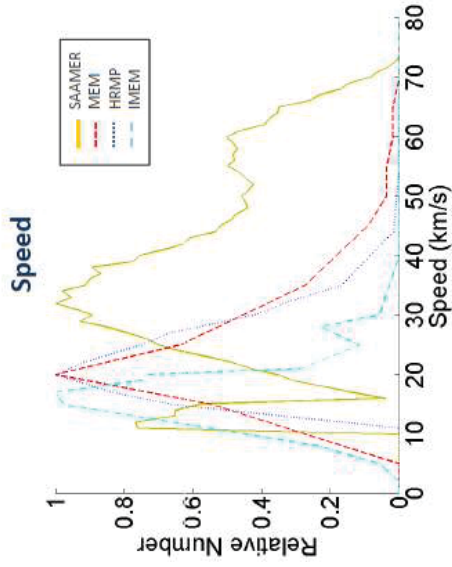


## ORIENTATION:



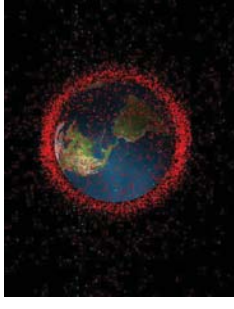
# Orbit Determination

# Meteoroids at LEO



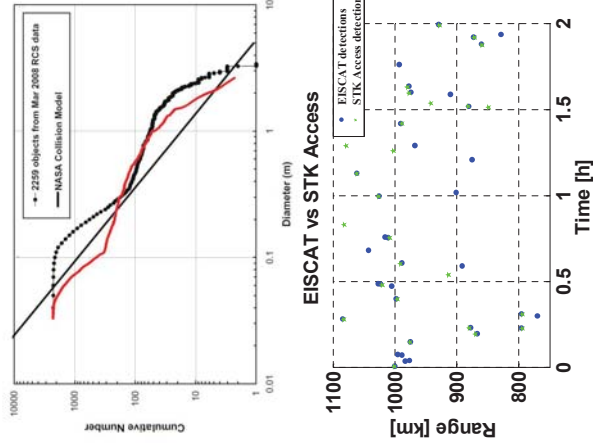
# Methodology: Debris

- **Remote Sensing**
  - Data: ground-based radar
  - Models: ORDEM for environment, LEGEND and MASTERS for collision and propagation
- **In Situ**
  - Data: CubeSats
- **Impact Experiments**
  - Data: future light-gas gun tests
  - Models: Computational Fluid Dynamics (CFD) for initial conditions, PIC for plasma development and RF emission



# Debris

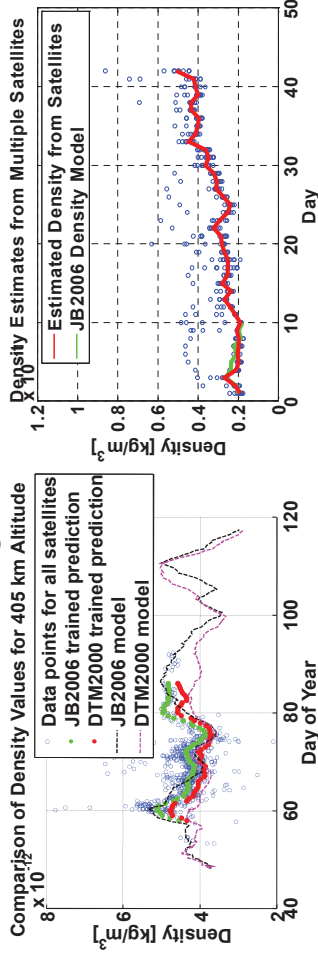
- Characterization of debris field during impact
- EISCAT Radar
- Minimum eccentricity solution gives inclination bands
- Cumulative number of debris detected of smaller size than reported by NASA
- Correlation with Spacetrack to see untracked debris
  - 42.4% confirmed detections from ASAT test



# In Situ Data and Models

- **Advent of Cubesats**
  - Many Cubesats in orbit, now starting in constellations
  - Known mass & area
  - Low Earth Orbit
  - Commercial Space Flight likely to remain in this region
- **Drag in LEO is the predominant force other than gravity**
- **Models:**
  - Jacchia-Bowman (JB)
  - Drag Temperature Model (DTM)
  - High Accuracy Satellite Drag Model (HASDM)
- **Issues:**
  - Most models have error of 10%-20% depending on solar conditions
  - Specialized drag satellites required

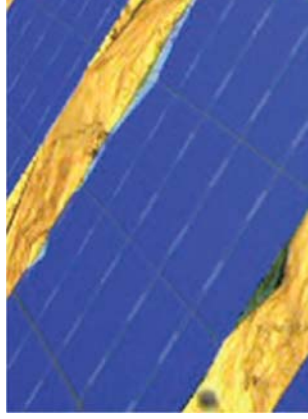
# Neutral Density Estimation



- Known minimum ballistic coefficient
- Likelihood of satellite frontal area given ADACS and decay measurements
- Calculate most likely neutral density observed with confidence intervals

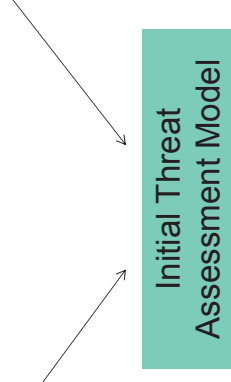
# Thank You!

- Alan Li ([alanli@stanford.edu](mailto:alanli@stanford.edu))
- Sigrid Close ([sigriddc@stanford.edu](mailto:sigriddc@stanford.edu))



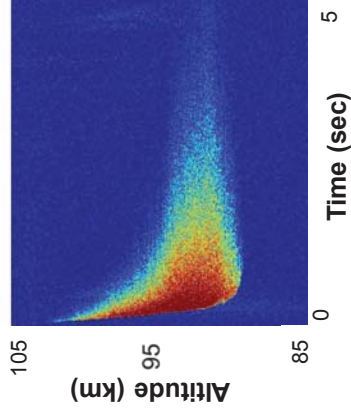
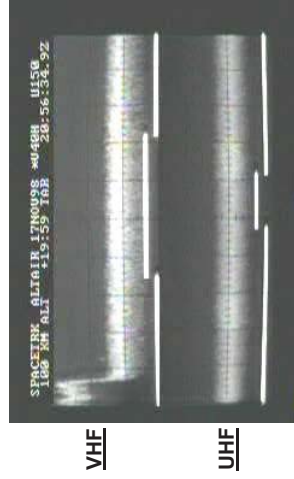
# Conclusions and Future Work

- **Meteoroids**
  - Bulk density determination
  - FDTD scattering
  - Effect of charging on electrical failure mechanism
- **Debris**
  - Filtering methods for larger constellation of satellites
  - Propagation of debris using near real time density data

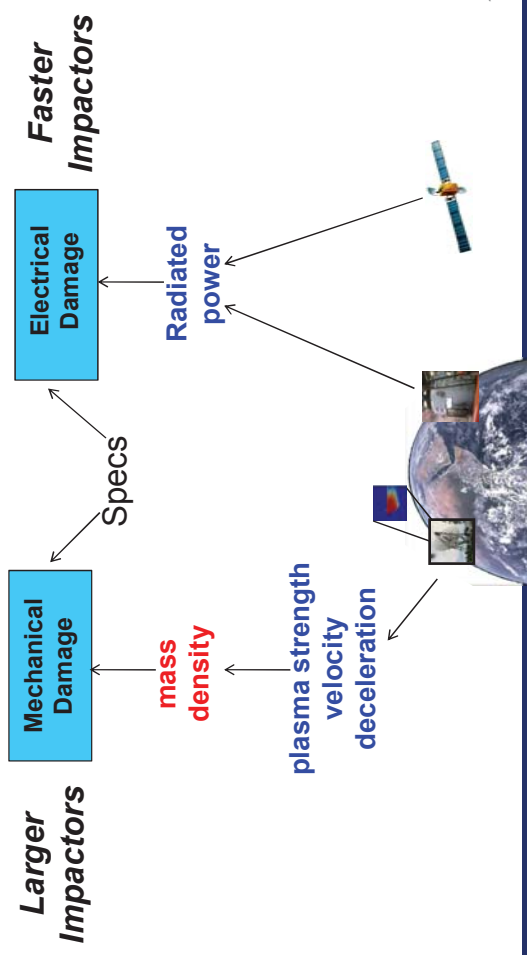


# Backup

# ALTAIR Radar Data



# Mechanical and Electrical Damage



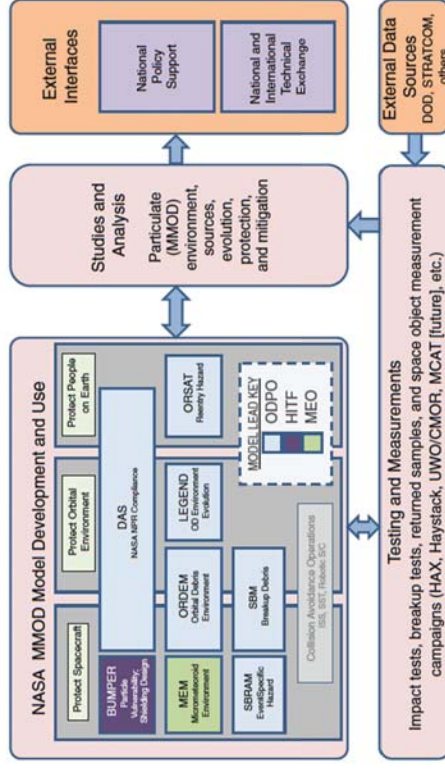
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# NASA Approach



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# Results or Schedule/Milestones

- 1-2 slides

## Next Steps

## Contact Information

## Overview

- Team Members
- Motivation
- Task Description
- Research Methodology
- Results
- Conclusions and Future Work
- Contact Information

October 29-30, 2014  
Washington, DC



COE CST Fourth Annual Technical Meeting (ATM4)  
October 29-30, 2014



## Team Members

**Tim Fuller-Rowell, Tomoko Matsuo, Houjun Wang, Tzu-Wei Fang**  
Cooperative Institute for Research in Environmental Sciences (CIRES)  
University of Colorado, Boulder and NOAA Space Weather Prediction Center



**Catalin Negrea**

Student, Electrical, Computer, and Energy Engineering, University of Colorado



**Mihail Codrescu, Rodney Viereck, Mark Iredell**

NOAA Space Weather Prediction Center, Boulder, CO  
and Environmental Modeling Center, Camp Springs, MD

**Jeffrey Forbes**

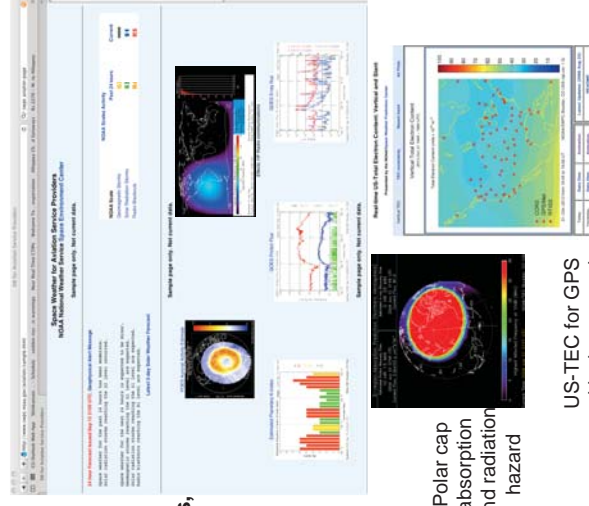
Aerospace Engineering Sciences, University of Colorado, Boulder



## Current: Aviation Space Weather Support

: conditions above ~80 km from  
NOAA Space Weather Prediction  
Center impacting communications,  
navigation, and radiation hazard

- Solar flare prediction: D-region absorption, HF radio blackout
- Solar proton events: polar cap absorption, radiation hazard
- Coronal mass ejections: geomagnetic activity forecast, ionospheric disturbances
- Empirical neutral density model for orbit prediction (Jaccchia-Bowman 2008)



US-TEC for GPS positioning correction

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**Current:**

**Aviation Weather Support**

: conditions below 50 km from National Weather Service Global Forecast System (GFS) model and Gridpoint Statistical Interpolation (GSI) data assimilation system

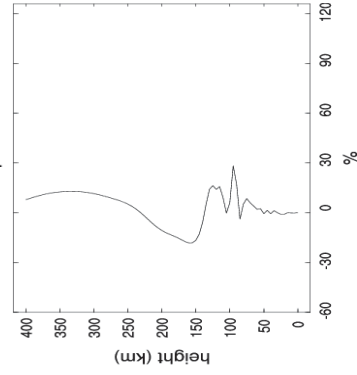
- Winds and temperature
- Turbulence
- Icing
- Analysis and Forecasts

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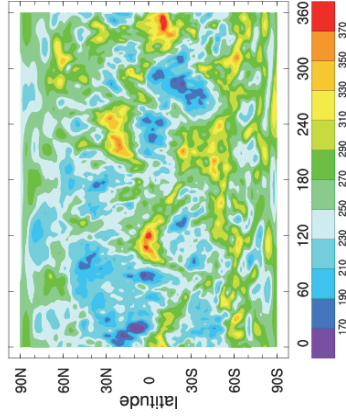


**Structure and variability between 80 and 120 km altitude**

Jan 1 UT00 Ap 5.5N 166.5E



Sep 03 UT00:00 110km WAM T

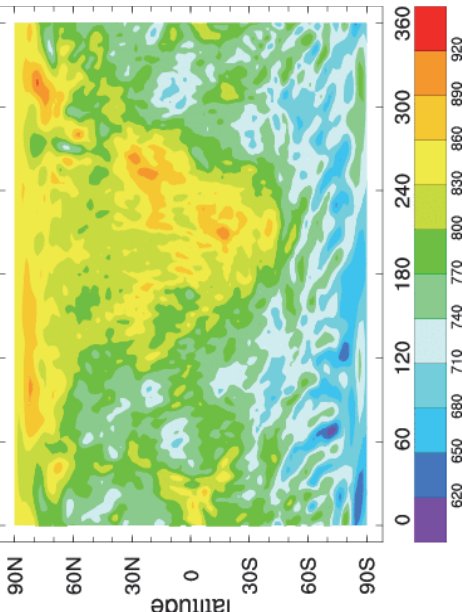
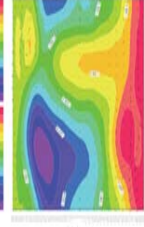


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Temperature 200 km altitude  
Sep 03 UT00:00 200km WAM T

Is this reality in the upper atmosphere?



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**Many Lower Atmosphere Sources of Waves**

- Tropical convection
- Hurricanes, tornados, thunderstorms
- Wind shear, frontal systems
- Large-scale ocean swell
- Orographic effects such as airflow over mountains
- Jet stream, polar stratospheric vortex
- Equatorial Kelvin waves
- Ozone and water vapor absorption of solar radiation



• etc., etc., .....

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# Task Description

**Purpose:** An integrated air and space traffic management system requires real-time access to:

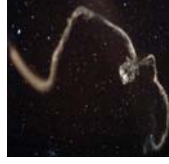
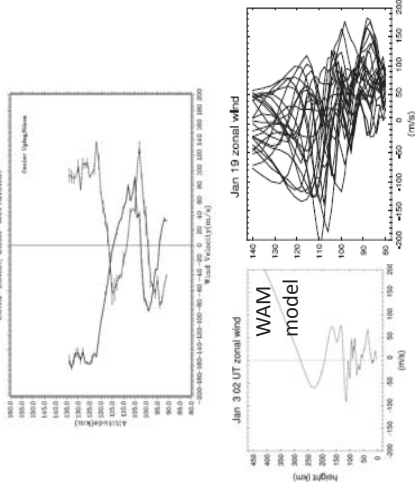
1. Knowledge of the environmental conditions and their impact on flight conditions from the ground to 600 km, including forecast of:
2. Neutral density variability and structure for on-orbit collision avoidance and atmospheric re-entry, and forecast of near-surface and space weather conditions (winds, wind shear, temperature, variability and turbulence, storms, lightning, etc.),
3. Plasma density, D-region absorption, total electron content, ionospheric structure and irregularities; and radiation conditions, for communications, navigation, and safety in flight

**Objectives:** Fill the gap between terrestrial and space weather forecasts and develop a "weather" prediction model extending from Earth's surface to the top of the atmosphere

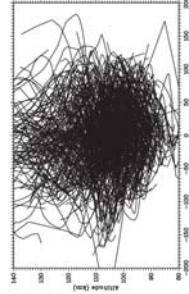
**Goals:** Predict the environmental conditions needed for safe orbital, sub-orbital, re-entry, descent, and landing

## Chemical trails show steep horizontal wind shear

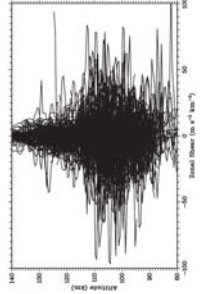
courtesy Miguel Larsen, Tianyu Zhan



Four decades of wind measurements in lower thermosphere  
Larsen, 2002



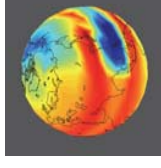
Typical peak zonal wind +/- 100 m/s



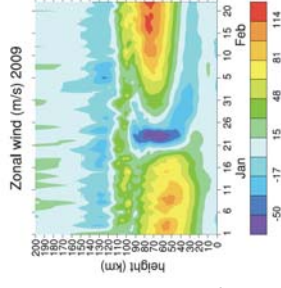
Vertical wind shear +/- 50 m/s per km

# Research Methodology

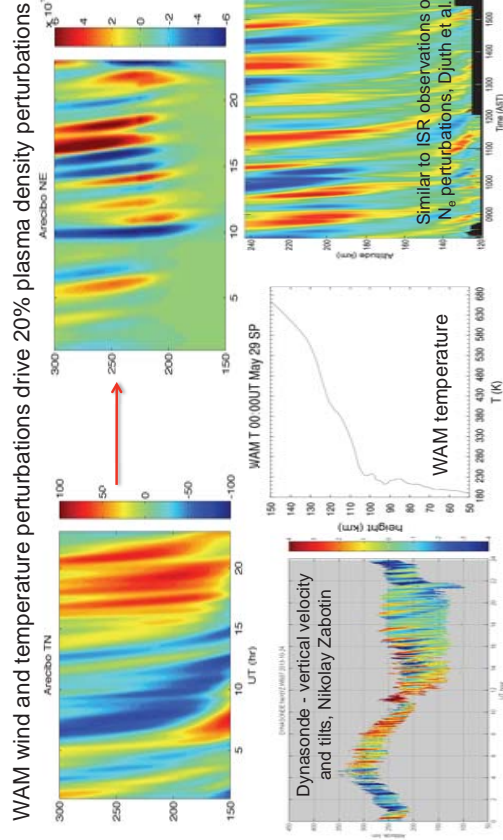
- Global seamless neutral whole atmosphere model (WAM) 0-600 km, 0.25 scale height, 2° x 2° lat/long, hydrostatic, 10-fold extension of Global Forecasting System (GFS) US weather model.
- O<sub>3</sub> chemistry and transport
- Radiative heating and cooling
- Cloud physics and hydrology
- Sea surface temperature field and surface exchange processes
- Orographic gravity wave parameterization
- Eddy mixing and convection
- Diffusive separation of species
- Composition dependent C<sub>p</sub>
- Height dependent g(z)
- EUV, UV, and non-LTE IR
- Ion drag and Joule heating



Coupled to a global ionosphere, plasmasphere, electrodynamics module (GiP) for plasma parameters

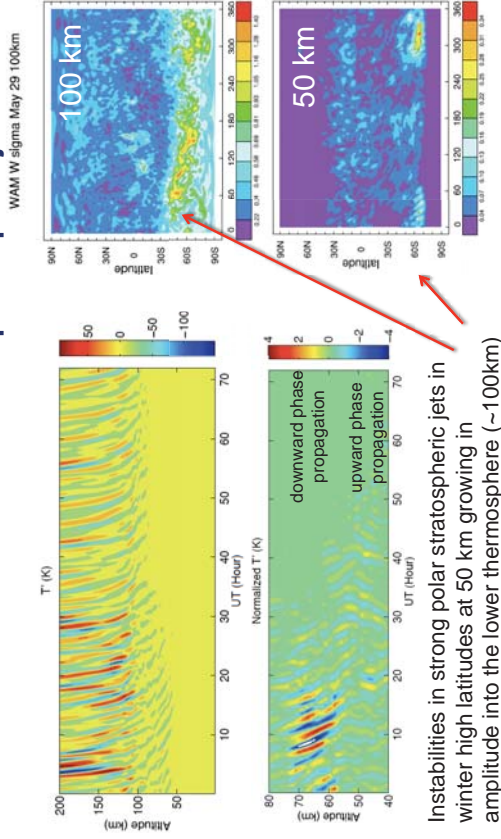


Use WAM winds, composition, density to drive plasma density  
- agrees well with Arecibo ISR observations by Djuth et al. -





## Tracing the origin of one of the many source of waves – unbalance flow of stratospheric polar jets –



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## Summary, Conclusions, and Next Steps:

- WAM and plasma model are developed and are being validated to combine terrestrial and space weather conditions through the whole atmosphere-ionosphere
- WAM is being integrated into the NOAA Environmental Modeling System (NEMS) to be transitioned into operations in ~2016
- WAM predicts strong neutral wind and density shear at sub-orbital apogee and re-entry region 80-120 km
- WAM spectrum of variability agrees with observations of ISR  $N_e$ , Fe LIDAR, and winds from rocket trails and Fabry-Perot

### Next steps:

- **Continue to validate** WAM and ionospheric model and determine what is it about the environmental conditions that impacts CST
- Establish full **two-way coupling of WAM to the ionosphere** module to determine balance between lower atmosphere and solar/magnetospheric space weather forcing
- **Extend WAM data assimilation** into the lower thermosphere (SABER, MLS temperatures, etc.)
- Test **higher resolution WAM T382** (35 km resolution) to resolve small-scale wave field penetrating to the thermosphere and impacting density, wind shear, and ionosphere structure
- Explore **assimilation of ionospheric data**

Whole atmosphere/ionosphere data assimilation at high resolution

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## Opportunity

- To define the weather and space weather products tailored to suborbital flights and commercial space transportation needs
- Integrate terrestrial and space weather conditions (from one coordinated source)
- Seamless model from the ground to 600 km altitude to fill gap between conventional weather and space weather for commercial space transportation
- Neutral atmosphere weather forecast for winds, temperature, density, turbulence, wind shears, deviations from average, and vehicle drag
- Ionospheric space weather forecast for plasma density and ionospheric structure and irregularity conditions
- Radiation hazard (e.g., NAIRAS potential new start)



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## Contact Information

- **Dr. Tim Fuller-Rowell**, Physicist, Cooperative Institute for Research in Environmental Sciences, University of Colorado/Space Weather Prediction Center, [Tim.Fuller-Rowell@noaa.gov](mailto:Tim.Fuller-Rowell@noaa.gov)
- **Dr. Tomoko Matsuo**, Physicist, Cooperative Institute for Research in Environmental Sciences, University of Colorado/Space Weather Prediction Center, [Tomoko.Matsuo@noaa.gov](mailto:Tomoko.Matsuo@noaa.gov)
- **Dr. Houjun Wang**, Physicist, Cooperative Institute for Research in Environmental Sciences, University of Colorado/Space Weather Prediction Center, [Houjun.Wang@noaa.gov](mailto:Houjun.Wang@noaa.gov)
- **Dr. Fei Wu**, Physicist, Cooperative Institute for Research in Environmental Sciences, University of Colorado/Space Weather Prediction Center, [Fei.Wu@noaa.gov](mailto:Fei.Wu@noaa.gov)
- **Catalin Negrea**, Student, CU Electrical, Computer, and Energy Engineering, [Catalin.Negrea@noaa.gov](mailto:Catalin.Negrea@noaa.gov)
- **Dr. Mihail Codrescu**, Physicist, NOAA/Space Weather Prediction Center, [Mihail.Codrescu@noaa.gov](mailto:Mihail.Codrescu@noaa.gov)
- **Dr. Rodney Viereck**, Physicist, NOAA/Space Weather Prediction Center, [Rodney.Viereck@noaa.gov](mailto:Rodney.Viereck@noaa.gov)
- **Dr. Jun Wang**, Physicist, NOAA/Environmental Modeling Center, [Jun.Wang@noaa.gov](mailto:Jun.Wang@noaa.gov)
- **Professor Jeffrey M. Forbes**, Department Chair, Aerospace Engineering Sciences, University of Colorado, [Forbes@Colorado.edu](mailto:Forbes@Colorado.edu)



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# COE CST Fourth Annual Technical Meeting

## Task 187: Space Situational Awareness

### Scheeres / Park University of Colorado

October 29-30, 2014  
Washington, DC



## Agenda

- Team Members
- Task Description
- Research Methodology
- Research Results
- Next Steps
- Conclusions and Future Work

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## Team Members

### Direct Current / Past Support from the FAA COE

- Dan Scheeres, CU Professor, PI
- George Born, CU Professor, Co-I
- Bob Culp, CU Professor Emeritus, Co-I
- Brandon Jones, CU Assistant Research Professor
- Jay McMahon, CU Assistant Research Professor
- Kohei Fujimoto, CU PhD Student (graduated May 2013)
- In-Kwan Park, CU PhD Student (current support)

### Related Research from Fellowship Students

- Aaron Rosengren, CU Graduate Student, NSF Fellow (graduated March 2014)
- Antonella Albuja, CU Graduate Student, NSF Fellow
- Daniel Lubey, CU Graduate Student, NSTRF Fellow

### Government and Industry Partners

- AFRL Kirtland and Maui
- NASA Orbit Debris Program Office
- Analytical Graphics, Incorporated
- Orbital Sciences Corporation

## Task Description

### • Space Situational Awareness

SSA = Cognizance of Resident Space Objects (RSO) and activities in orbital regions of interest, both now and in the short and long-range future.

- **Objectives:** Improve SSA abilities in regions of interest to the FAA for space-based activities.
- **Current regions of focus:** LEO-down and GEO-up
- **Goals are to improve:** uncertainty modeling and propagation, precision long-term debris orbit and attitude propagation, non-gravitational model prediction and estimation, orbit estimation techniques.

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## Research Methodology

- Directly funded FAA research on initial orbit determination, object correlation, uncertainty mapping and conjunction analysis
- Leverage other student support models to perform research of relevance to the overall goals of the FAA COE CST
  - Long-term orbit and physical dynamics of space debris, and their implications
  - Current student support from NSF and NASA through fellowships
- Previous research output and results
  - Presented 26 papers at 14 international conferences
  - Published 7 papers in peer-reviewed journals
  - Submitted additional 4 papers to journals

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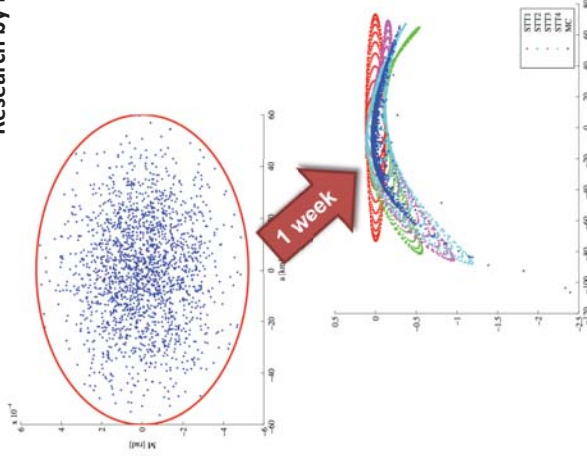


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## Analytic Propagation of Uncertainty

Research by Kohei Fujimoto

- Rapid non-linear uncertainty propagation
  - Special soln. to the Fokker-Planck eqn. for deterministic systems
  - State transition tensor description of the solution flow
- Added effects due to atm. drag
  - Classical results (King-Hele) applied to a modern problem
- Developed new approach to conjunction analysis
  - Mixes the use of analytical theories and GMMs
  - Currently submitted to JGCD for publication



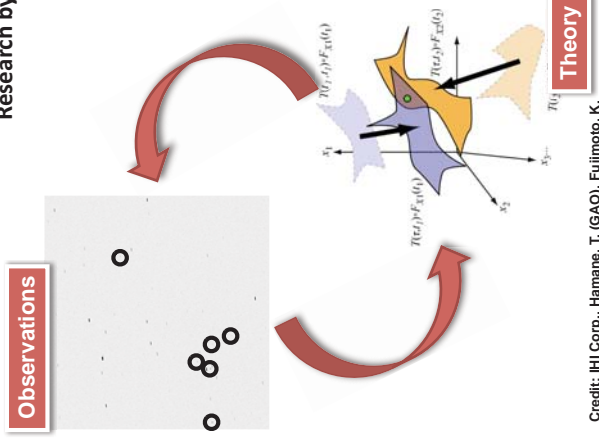
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## Association of Optical Observations

Research by Kohei Fujimoto

- Direct Bayesian approach to observation association
  - Exploits sparseness of the estimation problem
  - Robust with little tuning
  - Presented at IAC 2012
- Experimentation with real-world observations
  - Collaboration with IHI Corp., University of Bern
  - Developed techniques to take into account measurement error
  - Presented at ISTS 2013
- “Closing the loop” on the too-short-arc problem
  - Papers describing our research advances published in Journal ASR



Credit: IHI Corp., Hamane, T. (GAO), Fujimoto, K.

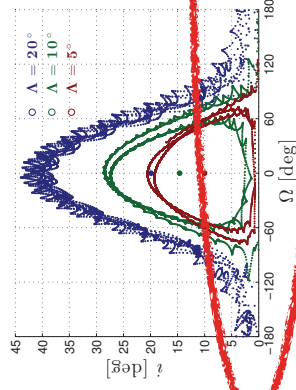
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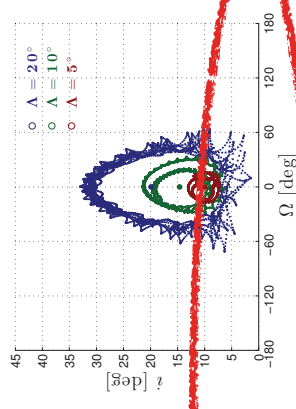
## Long-Term GEO Disposal Orbits

- Research by Aaron Rosengren:
  - Current GEO “disposal” orbits are boosted to higher altitudes, but stay in the same plane. Debris shed from these defunct satellites can – and will – cross into the GEO belt
  - Transferring satellites into the Laplace Plane for disposal will minimize future risk of orbit debris at GEO, maintaining this natural resource for future generations
- Published in ASR

Super-synchronous disposal orbit



Laplace plane disposal orbit



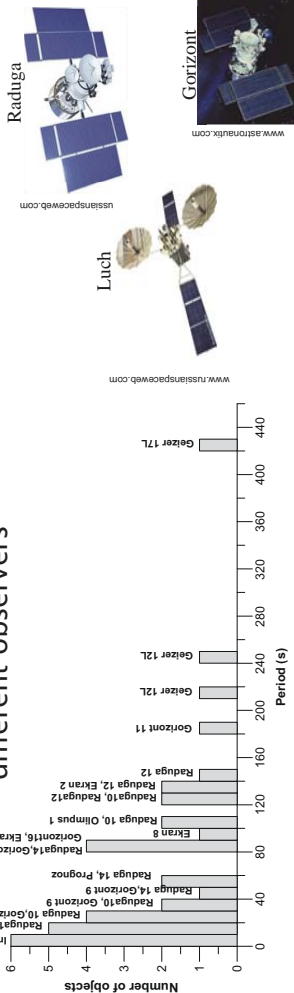
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## Evolution of Defunct Satellites



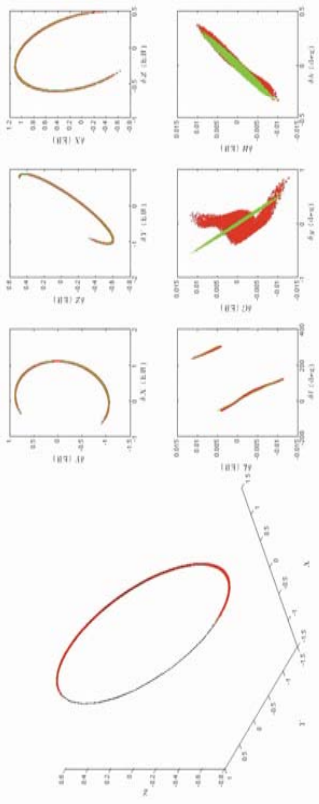
- Research by Antonella Albuja:
  - Observations of defunct GEO satellites shows that their rotation periods change over time, and that many of them rotate rapidly
  - Such evolutionary changes can occur due to environmental perturbations — especially due to solar radiation pressure torques
  - Understanding the physical evolution of defunct satellites is crucial for developing mitigation approaches
    - Currently pursuing collaborations with several different observers



## Necessary Accuracy for Uncertainty



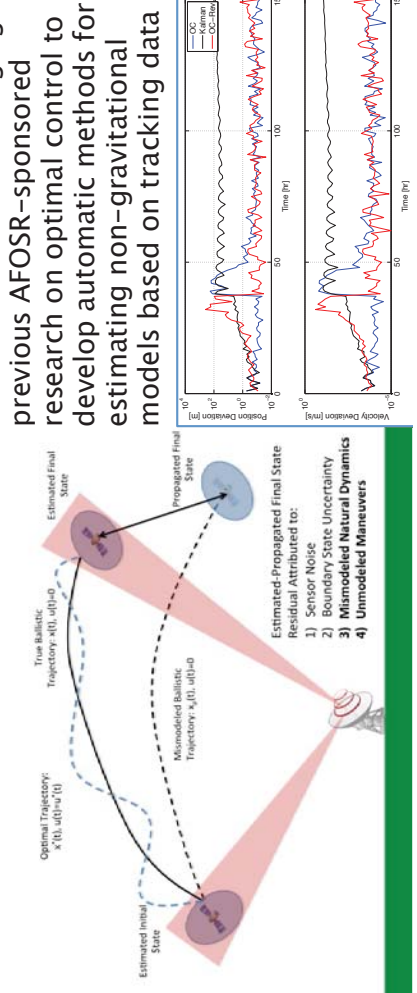
- Research by In-Kwan Park: Focus on a fundamental question – **“How much precision is needed in describing the dynamical motion of a spacecraft to ensure an accurate determination of propagated orbit uncertainty?”**
- Answer: Secular dynamics approximations can fully capture the first few moments of a statistical PDF distribution
- Implications: Computationally fast theories can capture debris uncertainty, motivating new approaches to conjunction analysis



## Orbit Debris Model Estimation



- Research by Daniel Lubey:
  - Space debris orbit estimation is limited by non-gravitational effects
  - These are unique for each body, and must be modeled and estimated accurately for generating precise long-term predictions
  - Current research is leveraging previous AFOSR-sponsored research on optimal control to develop automatic methods for estimating non-gravitational models based on tracking data



## Next Steps

- Combine recent research by Fujimoto and Park to explore methods for rapidly and accurately propagating orbit uncertainty distributions and performing conjunction analyses
- Will use secular equations to propagate orbit uncertainty distributions
- Will use State Transition Tensors and GMMs to analytically compute conjunction probabilities
- Can achieve orders of magnitude increase in computational efficiency

## Conclusions and Future Work

- Spent allocated funds through May 2014
- New funds for research support through May 2015 just allocated
- Next stage of FAA directly-funded research:
  - Plan to integrate previous research on uncertainty propagation, model estimation and conjunction analysis to develop a tool for rapid, long-term assessment of debris impact hazard and risk

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## COE CST 2014

### ANNUAL TECHNICAL MEETING #4:

Task 220: Update

PI: Patricia C. Hynes, Ph.D.  
New Mexico State University



October 30, 2014

## TASK 187. Space Situational Awareness

- **PROJECT AT-A-GLANCE**
- UNIVERSITY: University of Colorado at Boulder
- PRINCIPAL INVESTIGATOR: Dr. Dan Scheeres
- STUDENT RESEARCHER: Mr. In-Kwan Park (PhD)

### RELEVANCE TO COMMERCIAL SPACE INDUSTRY

- Orbit debris remains a fundamental issue for all aspects of space utilization. Specific challenges remain in performing long-term forecasts for specific pieces of orbit debris. While the population of debris is relatively well understood — research advances continue to open new windows on this population.

### STATEMENT OF WORK

- Effective space situational awareness faces the challenge of bringing together observations from disparate sensors and sources, developing computationally efficient dynamic propagation schemes for orbits and their uncertainty distributions, and formulating accurate estimation methods for the purpose of quantifying and qualifying space-based activities.
- Maximize the information extracted from usual sources of SSA data (minimize uncertainty)
- Identify how data should be collected to maximize information content (maximize efficiency)
- Recover and predict the space domain with more accuracy
- Timely estimation of the space-based environment to create actionable information.

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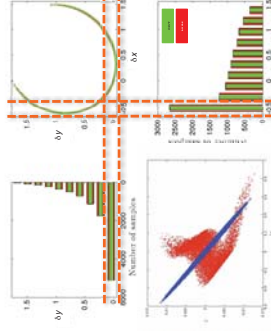


Federal Aviation  
Administration

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### Analytical vs Numerical Uncertainty Propagation



### STATUS

- Graduated one funded PhD student: Kohei Fujimoto, May 2013
- Combined student team focused on relevant SSA research topics of direct interest to the COE
- Presented over 26 distinct papers at 14 conferences
- 7 papers published, 4 more in peer review at journal

### FUTURE WORK

- Next stage of direct FAA funded research will focus on developing a rapid asset/debris conjunction analysis tool
- Non-directly funded research will focus on:
  - Long-term space debris dynamics (orbit and attitude)
  - Modeling and estimation of debris non-gravitational forces

## QUIZ

- OPERATIONS AND MAINTENANCE (O&M) FOR COMMERCIAL SPACEPORTS IS SIMILAR.
- QUESTION 2

- TRUE OR FALSE?

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## ANSWER – FALSE

- ONE OF THE BIGGEST OPERATING COSTS IS FIREFIGHTING AND EMT SERVICES.
- Some spaceports are within range of local firefighting facilities - like Mojave Air and Space Port
- Spaceport America established their own fire department, hired firefighters, EMTs and purchased equipment no local facilities available

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**False**

- Population densities would preclude the majority of airport site locations... to be continued

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## COMMERCIAL SPACEPORTS CAN BE SITED IN SIMILAR LOCATIONS AS COMMERCIAL AIRPORTS.

- True or **False**

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## Overview

- Quiz
- Team Members
- Task History
- Current statuses
- Next Steps
- Next Step Task 4 – Disseminate and test results

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# Team Members

- **Pat Hynes**, Principal Investigator, New Mexico State University
- **Paul Arthur**, Deceased in June, 2014.  
Rear Admiral (Retired), Former Technical Director/Deputy Commander, White Sands Missile Range
- **Herb Bachner**, HBachner & Associates
- **Jim Hayhoe**, Spaceport America Consultants
- **Lou Gomez**, Program Manager, Spaceport America
- **Norice Lee**, Associate Dean, Library, NMSU
- **Ingrid Schneider**, Metadata & Authority Control Librarian, Library, NMSU
- **Marianne Bowers**, Graduate Intern, Dept. of Government, NMSU



# Task 1

- Develop a Framework to capture the Body of Knowledge for Spaceport Operation Best Practices

# Task 2

- Integrate into the framework Applicable Documents Relevant Materials;
- Enable Documents to Be Found by Title, Subject, Or Keyword;
- Assure Copyright Protections.



# Task 3

- Implement a Document Management System (DMS)
- Add documents to the Body of Knowledge DMS Database
- Create an online searchable database.
- House the database at the NMSU Library to provide 24/7/365 access
- Assure annual updates and maintenance of site and its contents



## Results

Current Status:

Online searchable data base of 124 categories related to spaceport operations.

<http://contentdm.nmsu.edu/>

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## Next Steps

- Present at ATM-5
- Publish paper in journal
- Submit two papers for publication
- Present at three conferences, create three conference papers

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## Next Steps

- Disseminate results through conference papers and presentation at industry meetings.
- Survey online users
- Implement analytics onto Digital Collections site

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## Conclusion

- Encourage, Facilitate Promote
- Enable & Facilitate education of a new workforce through this Digital Collection
- Promote Best Practices by Encouraging industry to share what they can to keep this collection relevant

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## COE CST Fourth Annual Technical Meeting:

### Task 257: Commercial Spaceflight Operations Curriculum Development

PI: George Born  
Bradley Cheetham

October 30<sup>th</sup> 2014



## Overview

- Team Members
- Purpose of Task
- Research Methodology
- Schedule & Milestones
- Next Steps
- Contact Information

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## Team Members

- George H. Born – Director Emeritus, Colorado Center for Astrodynamics Research
- Bradley Cheetham – Graduate Research Assistant, CU Boulder, Aerospace Engineering Sciences

- Ken Davidian – Program Manager, FAA AST

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## Industry Partners



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## Recent/Notable Industry Partners



Lab project mentorship/direction



Commercial satellite lecture



Industry guest lecture

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## Purpose of the Task

To develop graduate level curriculum that will serve as a bridge between academic theory and commercial applications and to prepare students to become real-world problem solvers.

## COE Objectives

### Research

- Student research projects investigate current constraints and explore potential solutions

### Training

- Preparing students to enter industry with commercial perspective

### Outreach

- Educating academia about developments in commercial space

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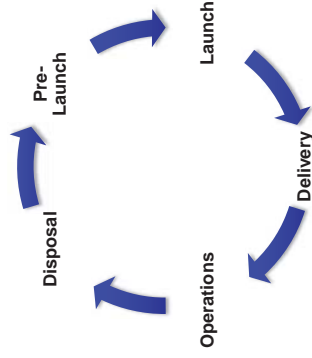
## Approach

### Objectives:

- Develop one-semester course
- Develop one-semester lab
- Refine content based on student and industry feedback
- Standardize and establish Graduate Certificate
- Increase collaboration between academia and industry

## Curriculum Scope

- Full mission lifetime
- Transfer knowledge
  - Industry ↔ Academia
  - Established ↔ Emerging
- Provide context



## Operations Lab

- Guiding principles:
- Push the state-of-the-art for education
  - Extensively involve industry
  - Apply theories to real-world challenges
  - Assist research
  - Enable other courses
  - Exhibit research

Entirely constructed with University cost-share

## Operations Lab

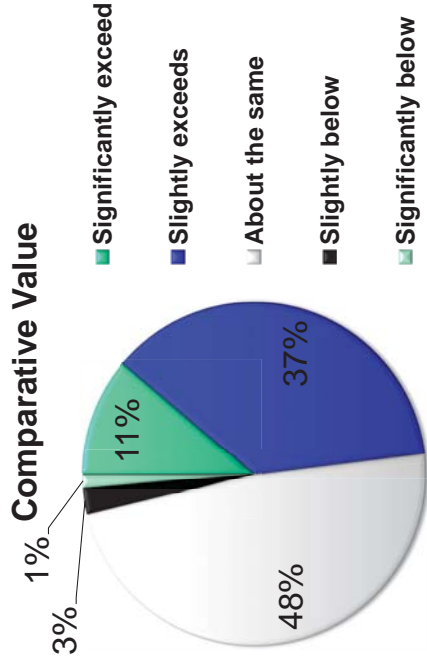


## Schedule/Milestones

- Lecture offered: fall 2011, 2012, 2013, 2014
- Lab offered: spring 2013, 2014, 2015
- Total students: 102
- Industry feedback incorporated into all content
- Student feedback incorporated where possible
  - Changes to course lay-out
  - Changes to lab assignments
  - Changes to lab offering

## Results

Value proposition to students:



## Next Steps

- Spring 2015 – Lab offered with industry partner
- Certificate Development (in progress)
- Broaden impact via distance learning and collaboration

## Publications

Cheetham, B.W., J. Feldhacker, J. Herman, and G.H. Born, “Bringing Together Industry and Academia via Graduate Commercial Spaceflight Operations Curriculum,” 2014 Spaceflight Operations Conference.

Cheetham, B.W., J. Feldhacker, J. Herman, E. Heeren, G. Born, “Commercial Spaceflight Operations: Graduate Level Curriculum Development” IAC-12-E1.4.5, 63<sup>rd</sup> International Astronautical Congress, Naples, Italy.

## Contact Information

- George Born  
George.Born@Colorado.edu
- Bradley Cheetham  
Bradley.Cheetham@Colorado.edu

ccar.colorado.edu/CSO

## COE CST Fourth Annual Technical Meeting

### Task 184: Human-Rating of Commercial Spacecraft

Prof. David Klaus,  
Christine Chamberlain,  
And Roger Huang

October 29-30, 2014  
Washington, DC

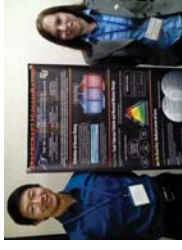


## Team Members

- FAA AST TM: Henry Lampazzi (no photo)
- Principal Investigator: David Klaus
- Students
  - *current*: Christine Chamberlain
  - *former*: Christine Fanchiang
  - *SNC*: Robert Ocampo
  - *unfunded*: Stefan Neis (no photo)



University of Colorado  
Boulder



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## Task Description

### Comprehensive

- Define and assess criteria and protocols typically employed for ensuring human-rating objectives (primarily safety) are met, including extension beyond crew and space flight participants toward an era of passenger carrying spacecraft, and while also minimizing risk to the uninformed public

### Current Efforts

- Medical Levels of Care for CST
- Emergency Crew Survival Methods
- Risk Perception / Communication

## What does 'human-rated' mean?

- "A human-rated system **accommodates** human needs, effectively **utilizes** human capabilities, controls hazards and manages **safety** risk associated with human spaceflight, and provides, to the maximum extent practical, the capability to safely recover the crew from hazardous situations." NASA (2012)
- **How is it assessed?**
  - Requirements-driven or Outcome-determined?
  - NASA Requirements and FAA Airworthiness Certificate?
- **How is it confirmed?**
  - License, Certificate, Permit, Waiver, Other?

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## Human-Rating



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## How is it confirmed?

- **License** (i.e., Driver's)
  - to give permission
  - document recording that permission
- **Certification** (i.e., Pilot's)
  - refers to certain characteristics of an object, person, or organization
  - some are valid for a lifetime, once the exam is passed, others have to be recertified again after a certain period of time
  - certification does not refer to the state of legally being able to practice or work in a profession (that is licensure)
  - usually, licensure is administered by a governmental entity for public protection purposes and a professional association administers certification
  - licensure and certification are similar in that they both require the demonstration of a certain level of knowledge or ability

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## What does 'human-rated' mean?

- For CST, this translates to protect the crew and passengers (*occupants*) from harm, to accommodate their physiological needs, and to utilize the crew's capabilities to safely and effectively achieve the goals of the mission, *while also minimizing risk the uninvolved public.*
- The protection element of this research, which has been the focus to date, is directed at supporting an informed decision making process that will allow the FAA to ultimately develop appropriate safety regulations and verification strategies

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## How is it confirmed?

- **Permit**
  - authorization or consent to someone to do something
  - a written warrant or license granted by one having authority
  - various legal licenses
- **Waiver**
  - regulatory agencies or governments may issue waivers to exempt companies from certain regulations
- **Other means of assuring safe operations?**
  - Safety is addressed through use of published guidelines and recommendations, industry protocols and best practices, and established standards. Licensing, certification and requirements can also be used to systematically assess safety compliance. Ultimately, safety is a product of proper design, quality workmanship and proficient operations.  
(Ocampo and Klaus, 2013)

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## Historical Perspective

*“In the early days of airmail flying, the mail pilots came to believe that their crash rate was unacceptable, even for people accustomed to danger. Finally a group of them convinced the US. Air Mail Service that postal supervisors at the airports were ordering them aloft in bad storms and poor visibility. The solution? Not a new regulation spelling out what weather was safe and unsafe, but rather this simple order: if an outgoing pilot desired, his supervisor had to join him in the cockpit to fly a circuit around the airport before the pilot went off on his mail run. Quickly the supervisors’ tolerance for bad weather dropped.”*

“Inviting Disaster: Lessons from the Edge of Technology” by James Chiles, 2002

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## Schedule

(comprehensive June 2011 through December 2014)

- **2011/12**
  - Historical Perspectives on Human-Rating
  - Human-Rating Terms and Definitions
- **2012/13**
  - FAA Human-Rating Ground Rules and Assumptions
  - FAA Established Practices for Human Spaceflight Occupant Safety
- **2013/14**
  - FAA Recommended Practices for Human Space Flight Occupant Safety
  - **Medical ‘Levels of Care’ for Commercial Spaceflight**
  - **Crew Survival Methods**
  - **Risk Perception / Communication**

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## Historical Perspective

- The human-rating process for the Mercury, Gemini, and Apollo Programs was centered on **safety**
- As Mercury and Gemini evolved into Apollo and Skylab, human-rating began to focus on improvements to **operability** in addition to the focus on safety
- Skylab and Shuttle Programs added an emphasis on human **performance** and **health** management
- CST focus is on **safety**

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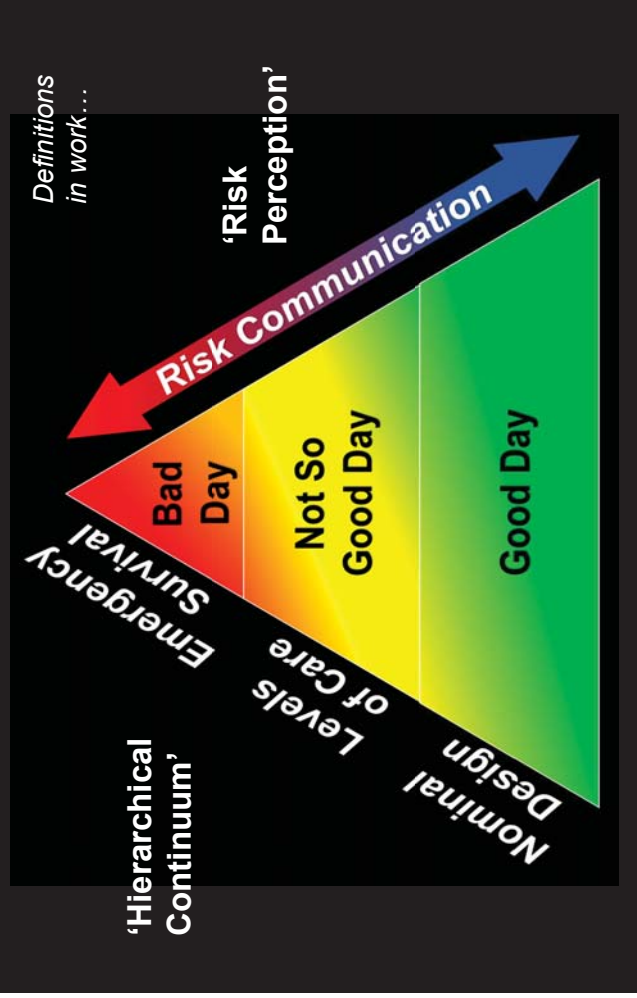
## Goals: Risk perception/communication plan

- **Human-Rating Guidelines** – defined to help ensure a ‘good day’ occurs through risk mitigation and fault tolerant vehicle design
- **Medical ‘Levels of Care’** – intended to address minor (non-life threatening) injury or illness leading to a ‘not so good day’
- **Emergency Survival** – allow potential to deal with life-threatening illness/injury or recover from catastrophic vehicle failure to keep a ‘bad day’ from getting worse...

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## Medical 'Levels of Care' for CST

- **Determining appropriate 'Level of Care' for commercial space flights should consider**
  - unique risks posed by each phase of suborbital and orbital flight
  - means of effectively accommodating safety and medical concerns as they relate to the vehicle design and operations and the onboard crew's degree of training
- **Implementing an appropriate 'Level of Care'**
  - function of vehicle design and operations, including personnel training

## Medical 'Levels of Care' for CST

- **Primary** care covers generalized treatment for minor, acute injuries or illnesses.
- **Secondary** care follows with more specialized services on specific body systems or for specific diseases or chronic health conditions.
- **Ambulatory** care typically refers to outpatient treatment.
- **Tertiary** care involves highly specialized equipment and expertise for complex treatments such as surgeries or other procedures associated with hospitalization.
- **Quaternary** care is an extension into even more specialized and highly unusual treatment options, up to and including experimental medicine

## Results: COE Reports to FAA

1. Safe Return to Earth, 2012
2. Human Spaceflight Terminology and Definitions, 2013
3. Human Spaceflight Safety Terms and Definitions, 2013
4. Human Spaceflight Safety Perspectives, 2013
5. Thoughts and Considerations on Necessary Levels of Care for Commercial Spaceflight Transportation, 2014



## Results: Contributions to FAA Documents

1. FAA Human-Rating Ground Rules and Assumptions Document (pre-decisional, 2013)
2. FAA Established Practices for Human Spaceflight Occupant Safety draft (7/31/13), with rationale (9/23/13)
3. FAA Recommended Practices for Human Space Flight Occupant Safety Version 1.0, (8/27/2014)

## Results: Publications to date

1. Fanchiang, C. **Characterization and Evaluation of Manned Spacecraft Operability Factors**. 63rd IAC, Naples, Italy, Oct 2012 (presentation and proceedings)
2. Fanchiang, C., Johnson, M., and Ocampo, R. (2012) **Evaluation of Commercial Human Spaceflight Laws and Regulations in the United States**, IAC-12-D6.1.7 63rd IAC, Naples, Italy, Oct 2012
3. Klaus, D.M., Fanchiang, C. and Ocampo, R.P. (2012) **Perspectives on Spacecraft Human-Rating**. AIAA 2012-3419
4. Ocampo, R.P. and Klaus, D.M. (2013) **A Review of Spacecraft Safety: from Vostok to the International Space Station**. New Space 1(2): 73-80
5. Klaus, D.M., Ocampo, R.P. and Fanchiang, C. (2014) **Spacecraft Human-Rating: Historical Overview and Implementation Considerations**. IEEE Aerospace Proceedings (978-1-4799-1622-1/14, no. 2272)
6. Neis, S.M. and Klaus, D.M. (2014) **Considerations toward Defining Medical 'Levels of Care' for Commercial Spaceflight**. New Space [accepted]

## Conclusions and Future Work

- **Next Steps**
  - Explore Emergency Crew Survival Methods
    - All occupants should have a 'reasonable chance of survival' in the event of an emergency. (FAA, 2014)
  - **Assess Risk Perception / Communication**
    - Contrasting with familiar Earth-based activities can be used to improve public perception of spaceflight risk
      - e.g., *In recent years, the odds of dying on Mount Everest were virtually equivalent to those of dying in a space shuttle accident*
  - **Compile Final Report for Task 184**
    - Guidelines and Considerations for Spacecraft Human-Rating
- **Final Remarks**
  - *Current funding runs out 12/31/14*

## COE CST Fourth Annual Technical Meeting

### Task 256: Tolerance of Centrifuge-induced G-force by Disease State

PI: James Vanderploeg, MD  
Co-I's: Rebecca Blue, MD; Tarah Castleberry, DO; Charles Mathers, MD  
Students: James Pattarini, MD; David Reyes, MD; Robert Mulcahy, MD;  
Natacha Chough, MD; Eric Blacher, MD

October 29-30, 2014  
Washington, DC



## Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work

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## Team Members

- People
  - PI: James Vanderploeg, MD
  - Co-Investigators: Rebecca Blue, MD; Tarah Castleberry, DO; Charles Mathers, MD
  - Students: James Pattarini, MD; David Reyes, MD; Robert Mulcahy, MD; Natacha Chough, MD; Eric Blacher, MD
- Organizations:



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## Task Description

- Purpose:
  - To evaluate research subjects with defined disease states under the G-loads expected during commercial spaceflight using centrifuge-induced acceleration
  - Disease cohorts:
    - Cardiovascular disease
    - Hypertension
    - Diabetes
    - Pulmonary Disease
    - Spinal Disease or Injury



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## Schedule

- Funding and IRB approval obtained during 2012
- Test subject recruiting and testing from December 2012 through November 2013
- Data analysis completed in April 2014
- Publication of results in July 2014

*Aviation, Space, and Environmental Medicine* Vol. 85, No. 7 July 2014

### Tolerance of Centrifuge-Simulated Suborbital Spaceflight by Medical Condition

Rebecca S. Blue, James M. Pattarini, David P. Reyes,  
Robert A. Mulcahy, Alejandro Garbino, Charles H. Mathers,  
Johnené L. Vardiman, Tarah L. Castleberry, and James M.  
Vanderploeg

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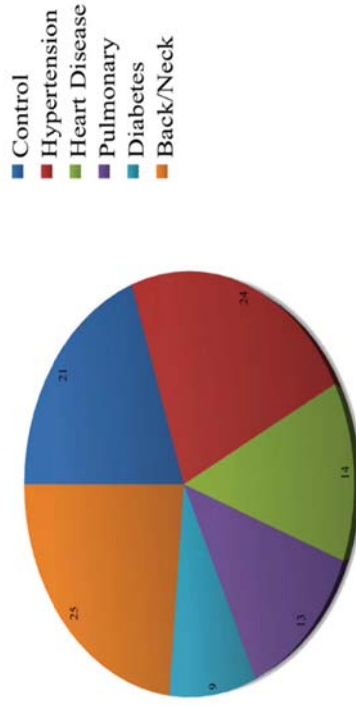
56

## Goals

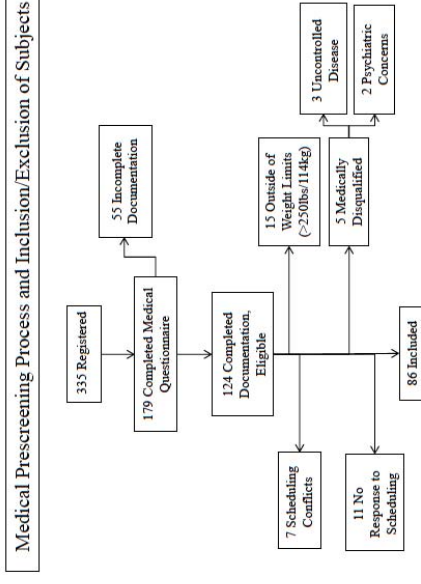
- Goals of Task 256
- How do “non-career astronauts” tolerate increased G-force acceleration profiles?
- What are the diseases of greatest concern for commercial human spaceflight?
- Relevance to Commercial Space Industry
  - How do individuals with controlled, chronic medical conditions tolerate spaceflight acceleration profiles?
- Can these people safely take a space flight?

## Results

### Past Medical History of Participants



## Results



## Results

- Five subjects voluntarily withdrew
  - Anxiety: 3 subjects
  - Back pain: 1 subject
  - Time constraints: 1 subject
- Despite significant medical history, NO subject experienced significant adverse or abnormal physiological responses to centrifuge profiles

# Results

- Technical Reports and Journal Articles
- Two panel presentations at 2014 AsMA meeting
- Three publications completed
  - Blue RS, Pattarini JM, Reyes DP, Mulcahy RA, Garbino A, Mathers CH, Vardiman JL, Castleberry TL, Vanderploeg JM. Tolerance of centrifuge-simulated suborbital spaceflight by medical condition. *Aviat Space Environ Med* 2014; 85(7): 721-9.
  - Mulcahy RA, Blue RS, Vardiman JL, Mathers CH, Castleberry TL, Vanderploeg JM. Subject Anxiety and Psychological Considerations for Centrifuge-Simulated Suborbital Spaceflight. *Aviat Space Environ Med* 2014; 85(8): 847-851.
  - Pattarini JM, Blue RS, Castleberry TL, Vanderploeg JM. Preflight screening techniques for centrifuge-simulated suborbital spaceflight. *Aviat Space Environ Med* 2014; 85(12).
- Three additional manuscripts in preparation
  - Case study of subjects with a cardiac pacemaker
  - Case study of subjects with an implanted insulin pump
  - Case study of subject with congenital heart defects and valve replacement

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# Conclusions and Future Work

Most individuals with well-controlled medical conditions should be able to tolerate a commercial spaceflight experience.

## Next Steps:

- Assessment of Screening and Training Requirements for SFPs regarding Anxiety during Repeated Exposures to Sustained High Acceleration
- Assessment of Screening and Training Requirements for Pilots with Repeated Exposures to Sustained High Acceleration
- Assessment of methods, procedures, and technologies available for protection of passenger-occupied space in commercial spaceflight vehicles

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## Task 256: Tolerance of Centrifuge-induced G-force by Disease State

### Project At-A-Glance

- University: The University of Texas Medical Branch
- Principal Investigator: James Vanderploeg, MD
- Co-Investigators: Rebecca Blue, MD; Tarah Castleberry, DO; Charles Mathers, MD
- Residents: James Pattarini, MD; David Reyes, MD; Robert Mulcahy, MD; Natacha Chough, MD; Eric Blacher, MD

### Relevance to Commercial Spaceflight Industry

- There is little to no data on how individuals with chronic diseases will perform in a high-performance environment such as commercial spaceflight. This study provides data on how individuals with chronic diseases responded to G-force.

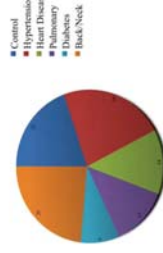
### Statement of Work

- Characterization of responses of individuals with common medical conditions to G-force
- Development of risk mitigation strategies for individuals with those medical conditions

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Past Medical History of  
Participants



### Status

- Completed testing and evaluation using the NASTAR centrifuge
- Performed data analysis
- Published results

### Future Work

- Develop optimal acceleration training protocols for passengers
- Further evaluate role of training in reducing anxiety

## Task 308: Assessment of Screening and Training Requirements for SFPs regarding Anxiety during Repeated Exposures to Sustained High Acceleration

### Project At-A-Glance

- University: The University of Texas Medical Branch
- Principal Investigator: James Vanderploeg, MD
- Co-Investigators: Rebecca Blue, MD; Tarah Castleberry, DO; Charles Mathers, MD
- Residents: Robert Mulcahy, MD; Eric Blacher, MD; Ben Johansen, DO; James Pattarini, MD; Natacha Chough, MD

### Relevance to Commercial Spaceflight Industry

- Psychological stressors can be significant challenges in the operational environment. This study will provide data on how individuals with high anxiety levels can best be prepared for suborbital spaceflight through training and anxiety mitigation techniques.

### Statement of Work

- Identify individuals with high anxiety levels through screening questionnaires and psychological testing
- Develop risk mitigation strategies and training techniques for individuals with higher levels of anxiety
- Develop recommendations for optimum training protocols to reduce anxiety prior to and during suborbital flight

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### Status

- Research protocol submitted to IRB
- Psychological testing methods defined

### Future Work

- Complete IRB approval process
- Recruit test subjects
- Conduct training and testing at NASTAR centrifuge throughout 2015

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## Task 309: Assessment of Screening and Training Requirements for Pilots with Repeated Exposures to Sustained High Acceleration

### Project At-A-Glance

- University: The University of Texas Medical Branch
- Principal Investigator: James Vanderploeg, MD
- Co-Investigators: Rebecca Blue, MD; Tarah Castleberry, DO; Charles Mathers, MD
- Residents: Eric Blacher, MD; Benjamin Johansen, DO; Robert Mulcahy, MD; James Pattarini, MD; Natacha Chough, MD

### Relevance to Commercial Spaceflight Industry

- Repeated exposure of the crew to sustained high +Gx and +Gz acceleration in highly demanding spaceflight profiles is a new and untested paradigm. Identifying the unique physiological challenges and medical clearance requirements will enable spaceflight operators to ensure safe operations.

### Statement of Work

- Compare pilot performance and physiological response in aerobatic flights, centrifuge acceleration profiles, and actual spaceflight.
- Develop recommendations for pilot training and medical screening.

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### Status

- Preliminary monitoring techniques for use in the Extra acrobatic plane are being conducted.
- IRB research protocol being prepared

### Future Work

- Complete IRB approval process
- Recruit pilots for research study
- Conduct aerobatic flights and NASTAR testing throughout 2015
- Conduct physiological monitoring during spaceflights in 2015 and 2016

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## Task 310: Assessment of methods, procedures, and technologies available for protection of SFPs in commercial spaceflight vehicles

### Project At-A-Glance

- University: The University of Texas Medical Branch
- Principal Investigator: James Vanderploeg, MD
- Co-Investigators: Charles Mathers, MD; Rebecca Blue, MD; Tarah Castleberry, DO
- Residents: Benjamin Johansen, DO; Eric Blacher, MD; Robert Mulcahy, MD; James Pattarini, MD; Natacha Chough, MD

### Relevance to Commercial Spaceflight Industry

- Optimization of crew and passenger compartments to promote the survival of occupants during human spaceflight operations is a necessary component of vehicle interior fit out. Dedicated efforts towards the de-lethalization and advanced crashworthiness of spaceflight vehicles will improve the safety of commercial space endeavors.

### Statement of Work

- This project will evaluate methods for the de-lethalization of the cabin environment, space vehicle crashworthiness, individual restraint systems, emergency evacuation systems, and survival equipment.

### Future Work

- Complete literature review and analysis.
- Compare current spaceflight operators' interior cabin designs with historical precedents for cabin safety.

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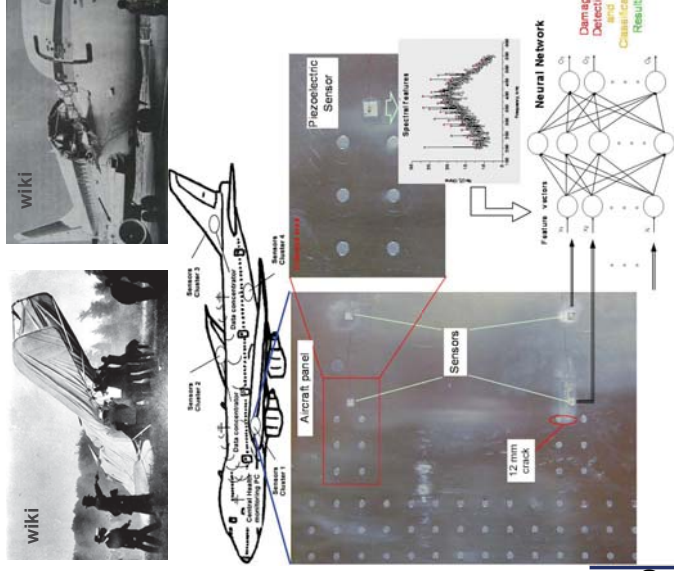
## COE CST Third Annual Technical Meeting:

## Task 228: Magneto-Elastic Sensing for Structural Health Monitoring

Andrei Zagrai and Warren Ostergren



## Aircraft Structural Condition Assessment



### ■ PAST/CURRENT

- Pre-flight critical components assessment
- In-flight data (control, voice, communication, altitude, etc.) recording in "black box"
- Mandatory periodic inspections (often manual) of structural elements (**downtime!**)

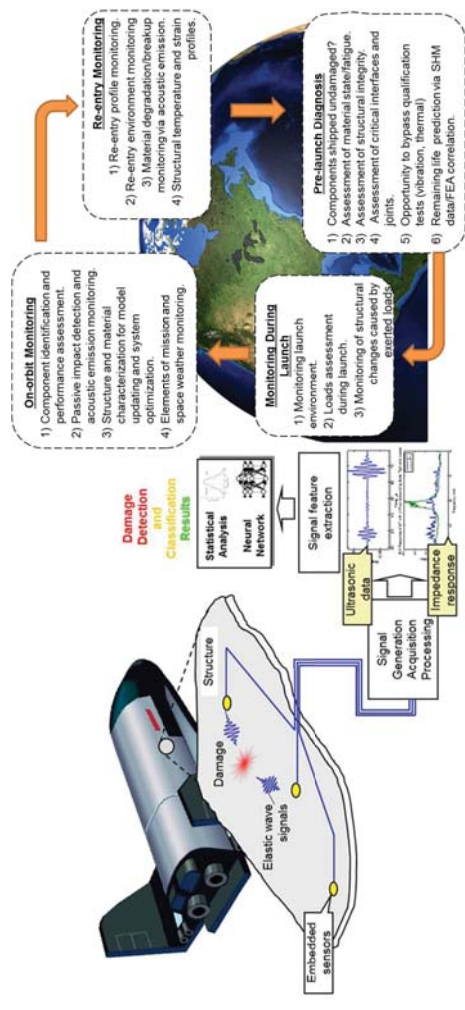
### ■ + CURRENT/FUTURE

- In-flight video
- Improved inspections (corrosion, composites)
- Automatic structural condition assessment using EMBEDDED sensor system
- Real time structural assessment

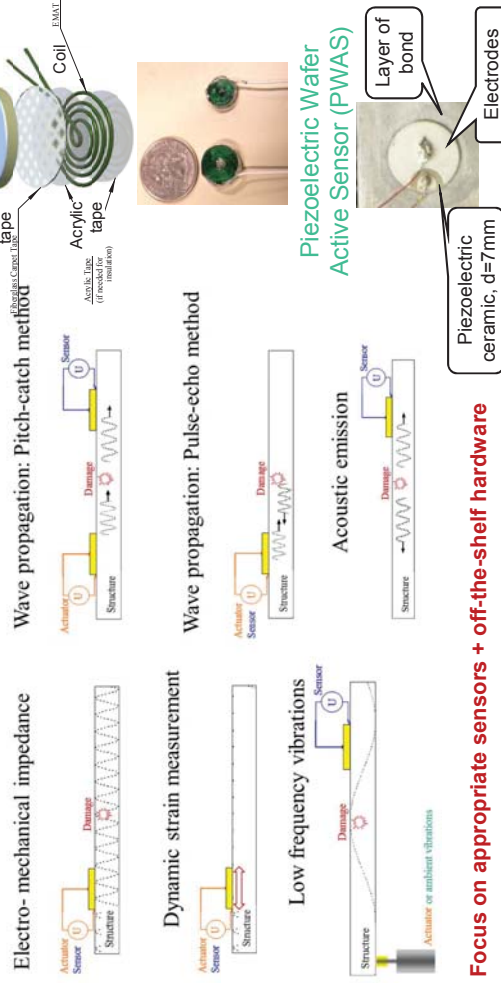
## Spacecraft Structural Condition Assessment

- Operational loads on spacecraft are higher, it fatigues faster
- No guidelines on what and how often to assess
- Likely require special sensors
- Data recorder WILL NOT be similar to aircraft "blackbox", Guidelines?
- Currently no work on this subject in emerging commercial space industry. Companies are busy developing launchable systems.
- If structural safety will be regulated, what are critical issues and potential solutions?

## Flight Safety: Certification/anomaly detection



## SHM Strategies for Commercial Space Vehicles



## Purpose of Task

- Demonstrate utility of various SHM strategies during suborbital space flight
- Investigate potential of magneto-elastic active sensors and embeddable thin wafer piezoelectric sensors to record acoustic emission activity due to structural fatigue and thermal damage
- Develop portable hardware for electro-mechanical impedance SHM

## Team Members

### Task 228 NMT Team

- Blaine Trujillo (GR ME)
- Joel Runnels & William Masker (UG ME/EE) (Graduated)
- Andrei Zagrai & Warren Ostergren

### Collaborators

- Igor Sevostianov (MAE NMSU)
- Whitney Reynolds (AFRL Space Vehicles)



### 038 BS NASA FOP Flight Team

- Andrei Zagrai (NMT), Nickolas Demidovich (FAA), Ben Cooper (NMT), Jon Schlavin (NMT), Chris White (NMT), Seth Kessler (Metis Design Corporation), Joe MacGillivray, Sam Chesebrough, Levi Magnuson, Lloyd Puckett, Karen Tena, Jaclene Gutierrez, Blaine Trujillo, Tiffany Gonzales. (NMT-undergrads)

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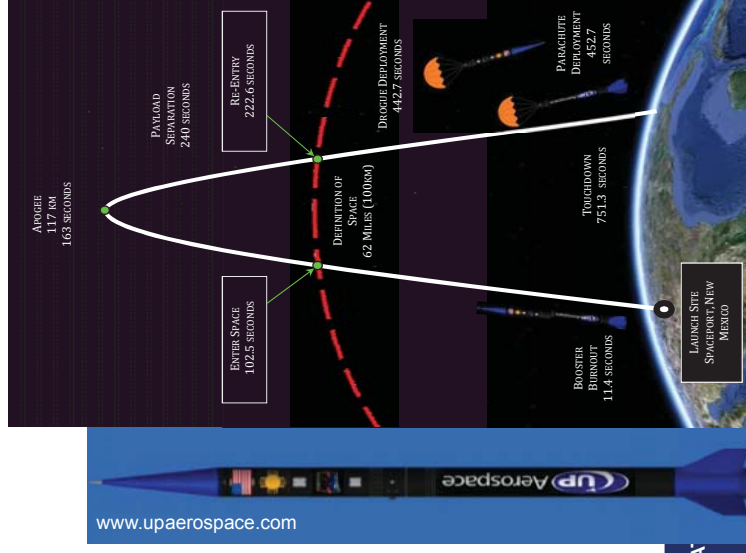
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## SL8 – Suborbital Mission

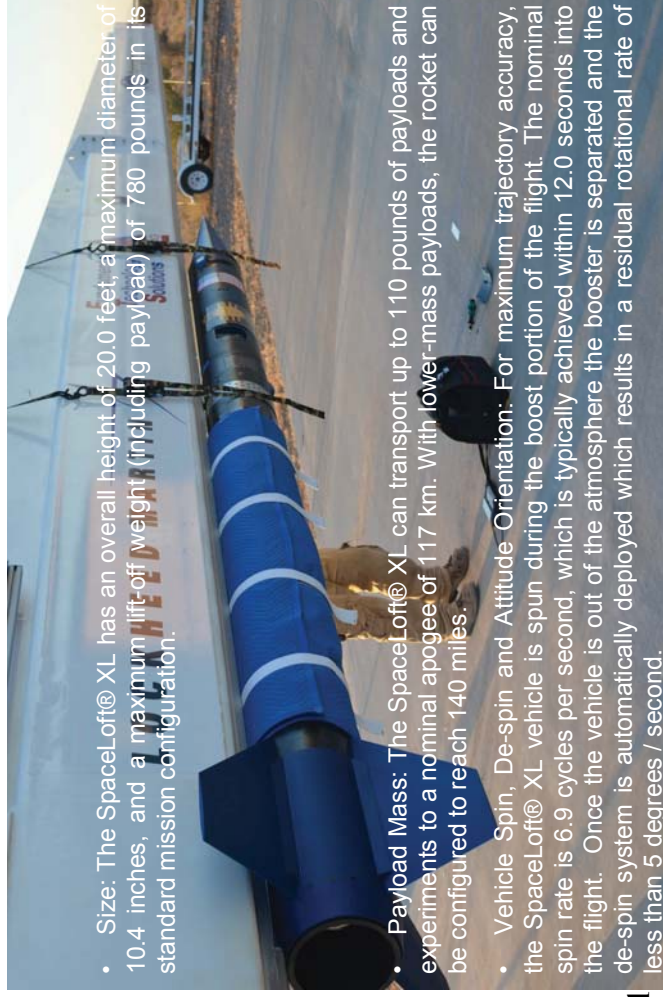
New Mexico Spaceport commercial launch of SpaceLoft rocket on November 12, 2013.

- **Goal:** Test innovative sensing technologies for real-time assessment of spacecraft structural integrity.
- **Results:** Experimental data on influence of space environment on structural dynamic signatures associated with spacecraft's integrity.



## SL8 Rocket

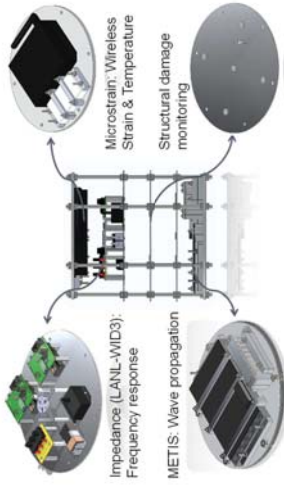
- Size: The SpaceLoft® XL has an overall height of 20.0 feet, a maximum diameter of 10.4 inches, and a maximum lift-off weight (including payload) of 780 pounds in its standard mission configuration.
- Payload Mass: The SpaceLoft® XL can transport up to 110 pounds of payloads and experiments to a nominal apogee of 117 km. With lower-mass payloads, the rocket can be configured to reach 140 miles.
- Vehicle Spin, De-spin and Attitude Orientation: For maximum trajectory accuracy, the SpaceLoft® XL vehicle is spun during the boost portion of the flight. The nominal spin rate is 6.9 cycles per second, which is typically achieved within 12.0 seconds into the flight. Once the vehicle is out of the atmosphere the booster is separated and the de-spin system is automatically deployed which results in a residual rotational rate of less than 5 degrees / second.



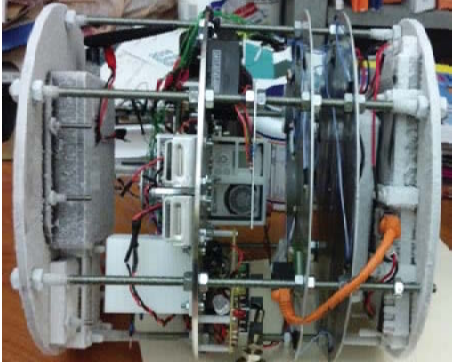
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# SL8 – Payload

EXP 5: Electro-mechanical impedance structural dynamic measurements  
EXP 6: Wireless strain and temperature sensing

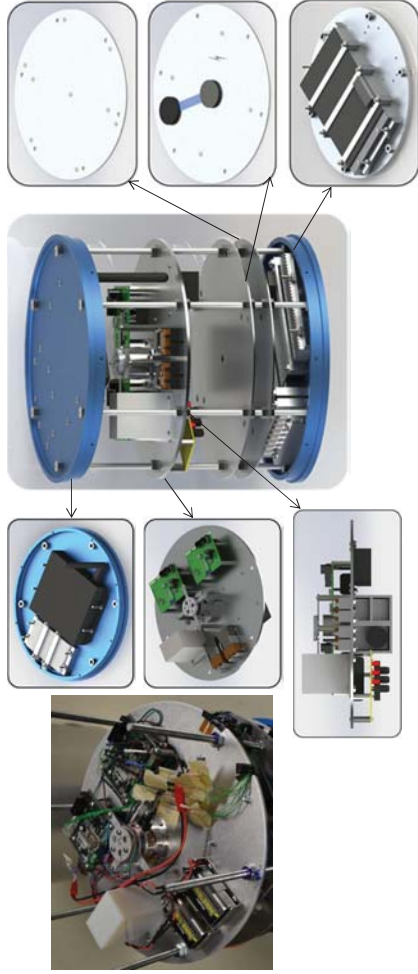


EXP 1: Structural sound speed measurements  
EXP 2: Crack detection  
EXP 3: Loose bolt detection  
EXP 4: Acoustic emission (AE) measurements



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# SL8 – Payload

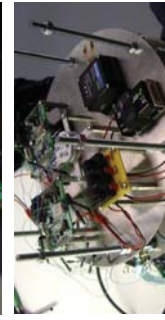


# SL8 Launch, November 12, 2013

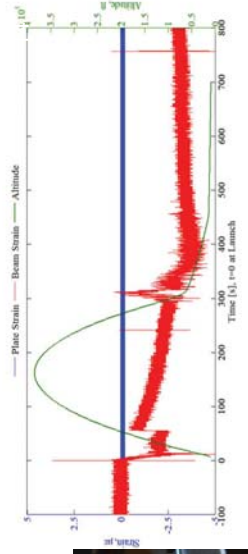


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# Wireless Test



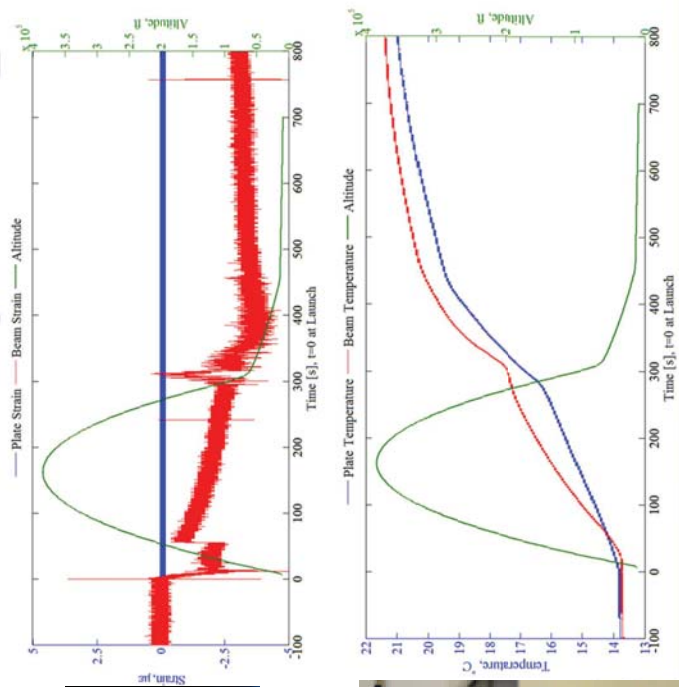
Two SG-Link -LXRS 3 Channel Wireless Analog Sensor Node (about 50 grams each)  
120Ω foil strain gauges connected in Full Wheatstone bridge configurations  
256 Hz synchronous sampling



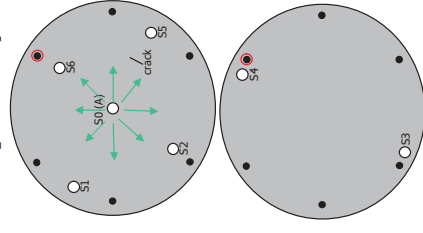
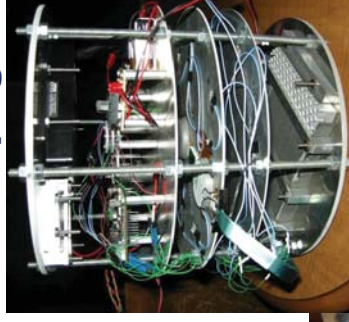
UP Aerospace Inc.  
SpaceLoft-8  
Launched November 12, 2013  
NASA Flight Opportunities Program



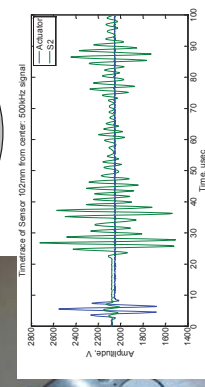
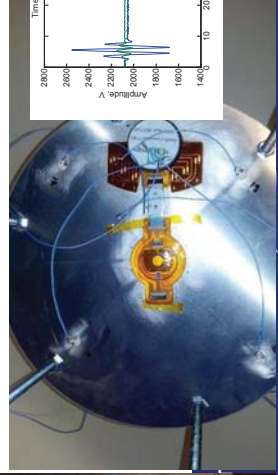
# Wireless Strain and Temp. Sensing



# Wave Propagation (SHM & Sound Speed)

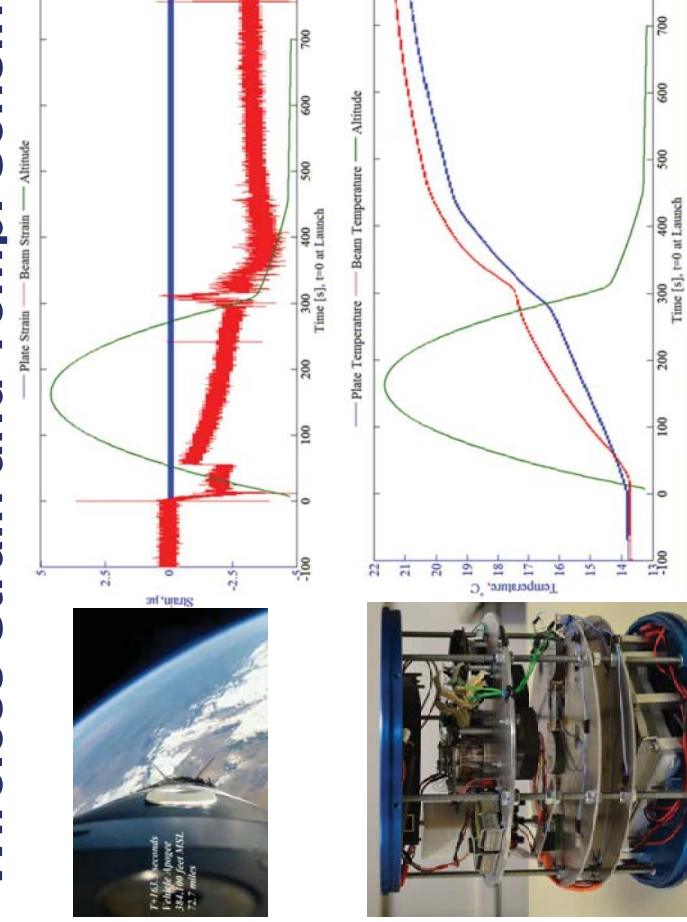


Metis Design hardware

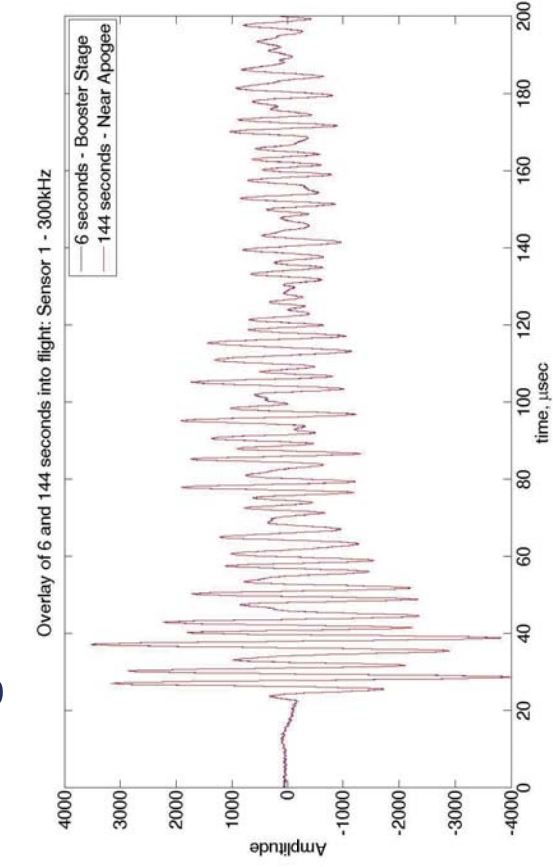


ics for Transportation

# SL8 Flight Noise Effects



# Loose Bolt and Crack Detection

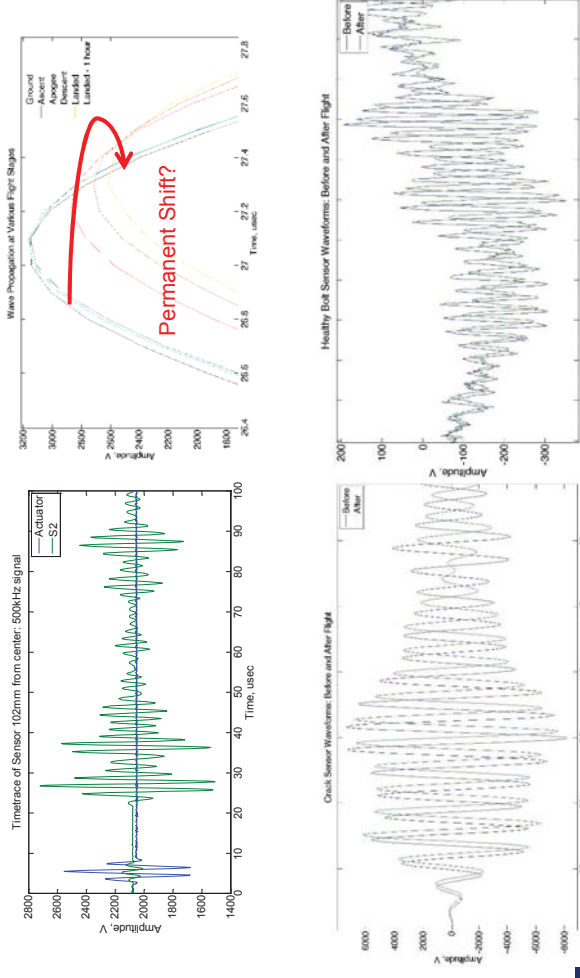


Loose Bolt Assessment: Amplitude reduced in loose bolt transmission

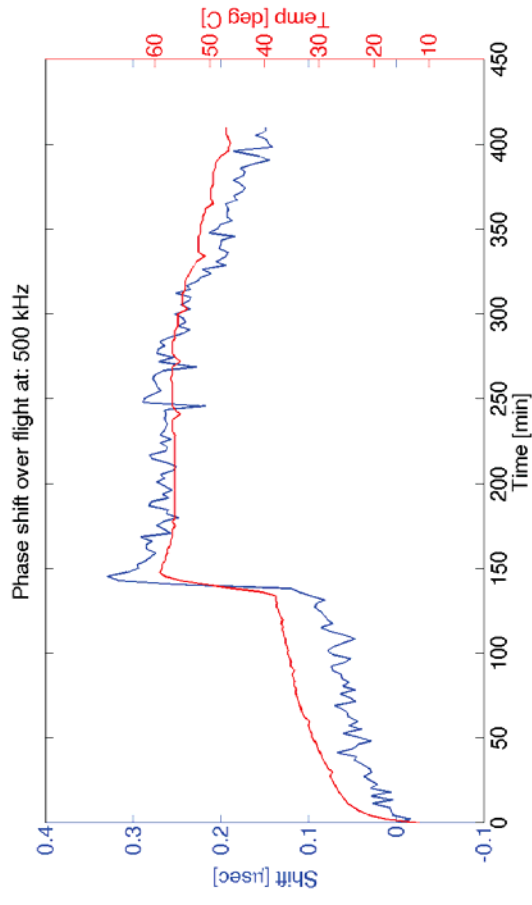
Crack Detection: Energy scattered by crack

Pulse reflected by crack

# SL8 – Suborbital Flight Temperature Effects



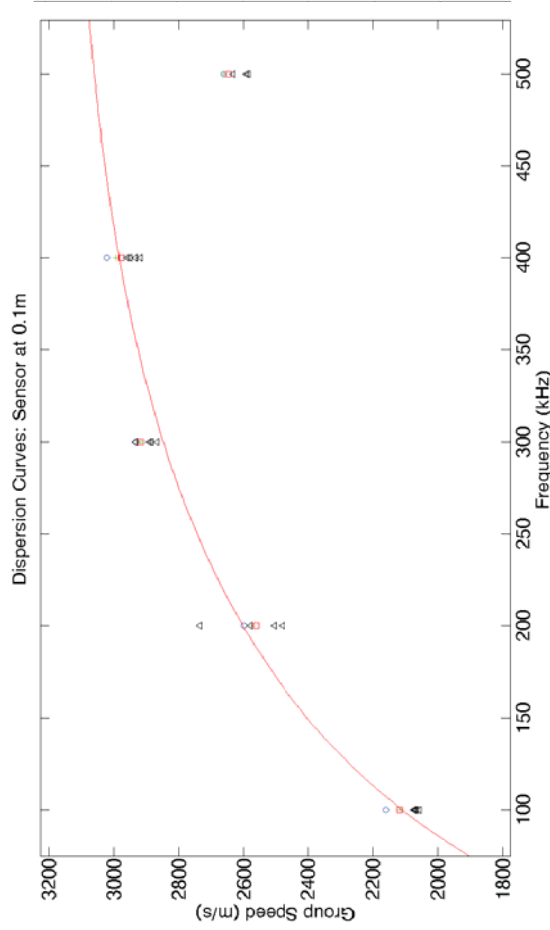
# Phase Shift vs. Temperature



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# Phase Shift vs. Temperature

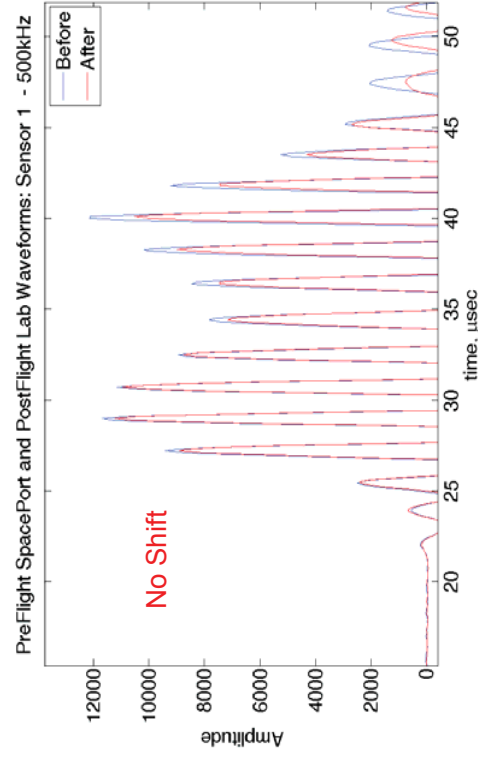


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# PreFlight and PostFlight at Same Temp

- Two records at 36.6°C, week before and week after space flight.
- Little, if any, permanent shift due to space environment is observed when temperature is accounted for.

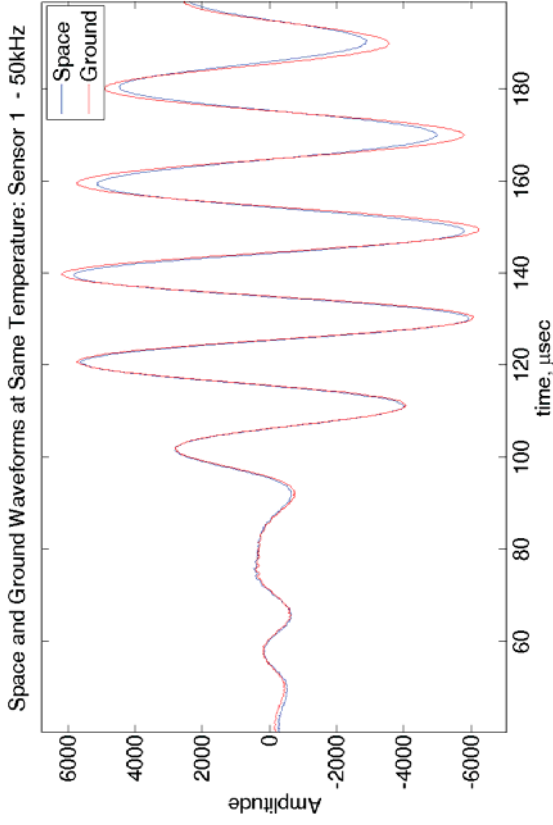


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## Same Temp 50.79° C : 500 kHz waveform

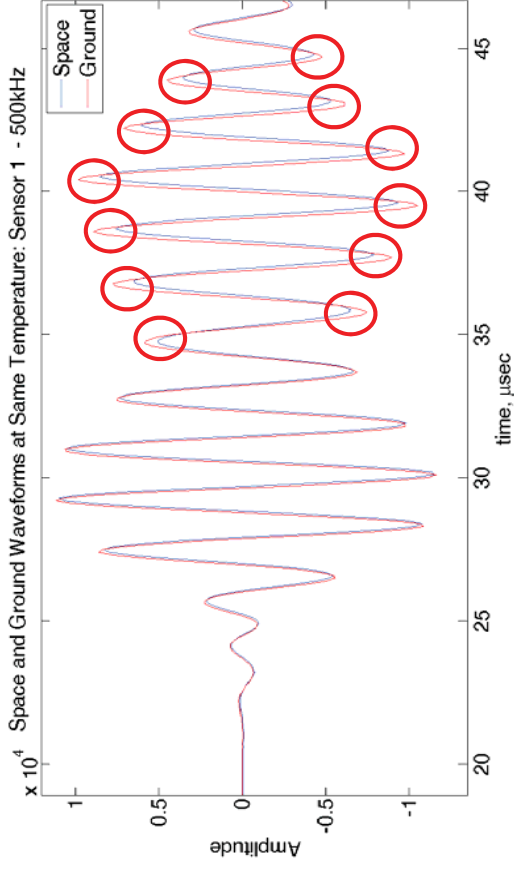


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## Same Temp 50.79° C : 500 kHz waveform

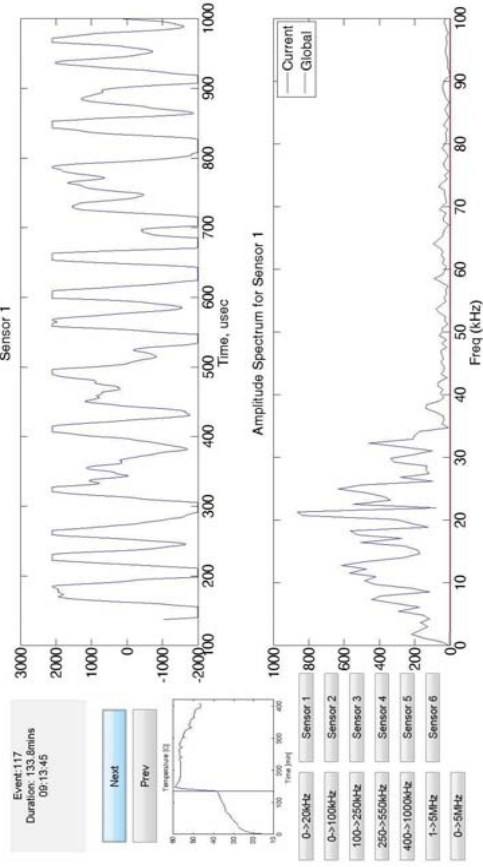


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## Passive Observations – Booster/Ascent

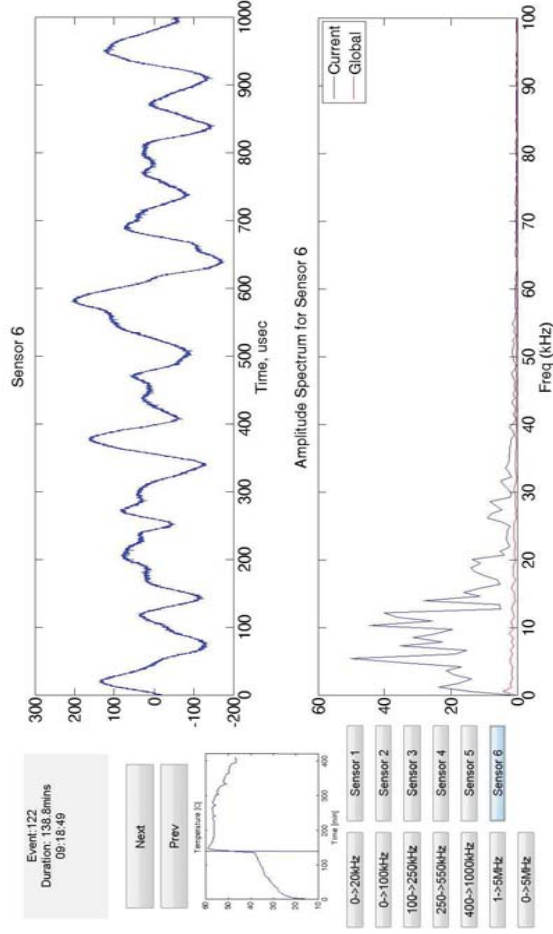


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## Passive – Drogue/Chute Deployment



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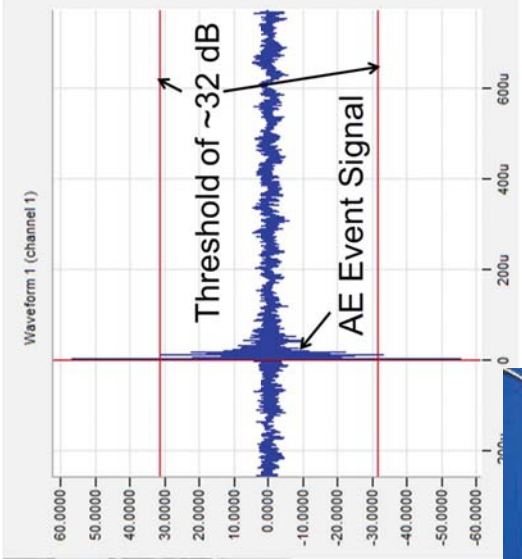


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# Acoustic Emission Investigation



- PWAS and conventional AE sensors were compared
- PWAS demonstrated utility in recording AE activity, but is more noisy
- New sensor design with shielding options is recommended.



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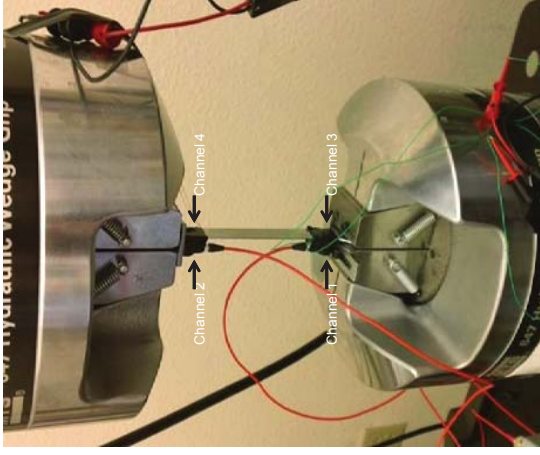


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# Fatigue Test Parameters

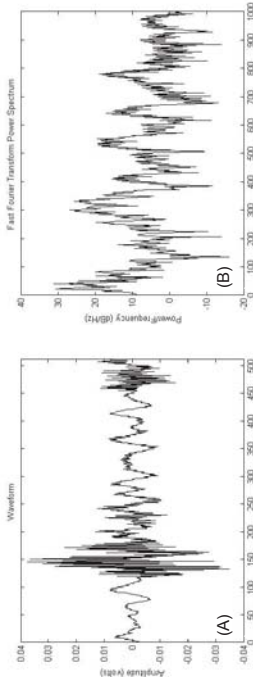
- ASTM Standard 557M-06 aluminum 6061 dog-bone specimens we used
- MTS 810 machine applied 10 Hz harmonic fatigue load
- 2 Micro-80 sensors (CH 1,2) and 2 PWAS (CH 3,4) were tested



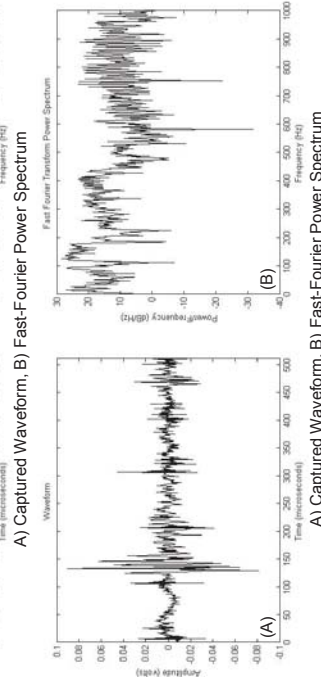
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# Fatigue Test Waveform Data

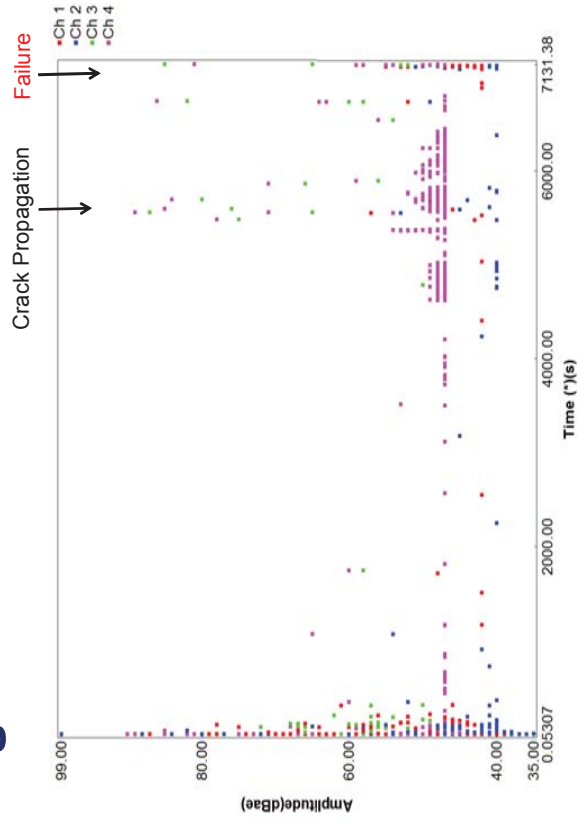
Micro-80 Sensor, Ch. 1,2



Piezoelectric Wafer Active Sensor, Ch 3,4



# Fatigue Test Results



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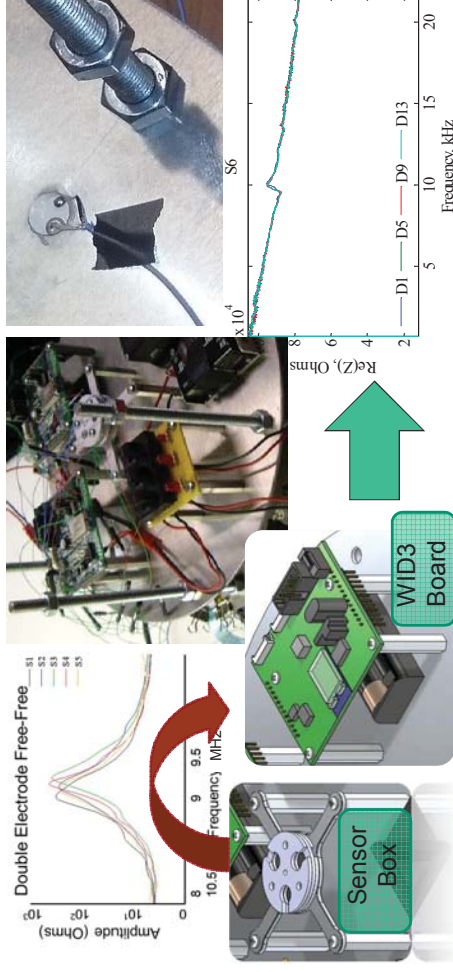
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# Impedance Measurements

- Electro-mechanical impedance measurements using LANL WID-3
  - Sensor characterization in near-space environment
  - Impedance-based SHM



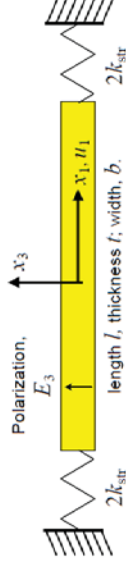
# Principles of EMI Method

Giurgiutiu, 2000

$$Y(\omega) = \frac{I(\omega)}{V(\omega)} = i\omega \cdot C \left( 1 - \kappa_{31}^2 \left( 1 - \frac{1}{\phi \cot \phi + r(\omega)} \right) \right)$$

$$r(\omega) = \frac{k_{sr}(\omega)}{k_{PIFAS}^b}$$

Admittance  
Sensor dynamics  
Structural dynamics  
stiffness



- Structural dynamic characteristics can be obtained through electro-mechanical impedance measurements
- Damage effects are reflected in the structural dynamic stiffness ratio
- Fatigue and other types of damage modify structural stiffness and thus impedance.

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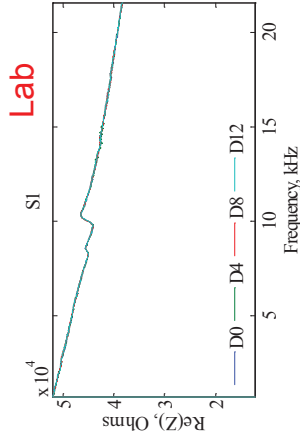
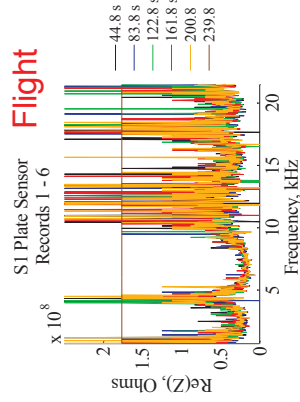


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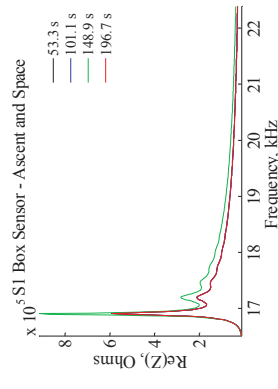


# SL-8 Impedance Measurements

## Structural Data

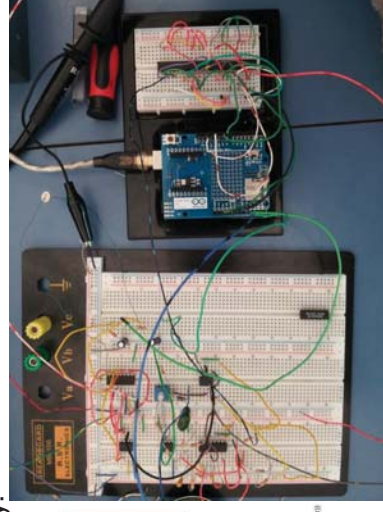
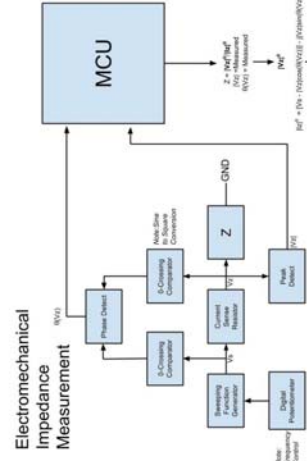


## Sensor Data



# NMT Electro-mechanical Impedance Board

- Reliable impedance (amplitude and phase) measurements in high-altitude and space environments.
- Frequency band up to 0.5 MHz, at least 10 Hz sweep resolution.
- On-board impedance processing, frequency tracking
- Compact, light, and user friendly.



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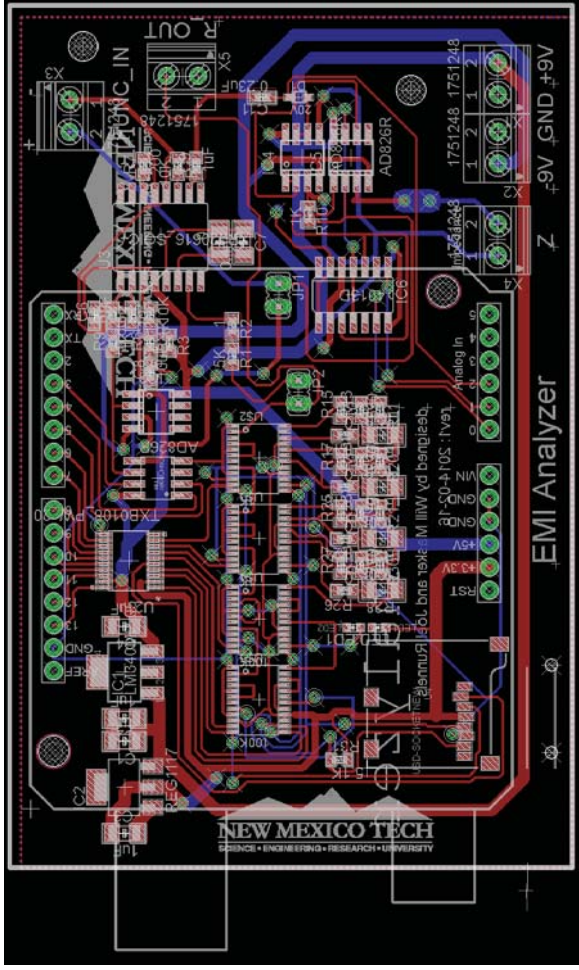
4)

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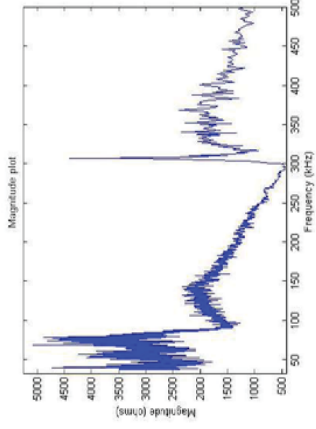


# First Circuit Prototype



# Piezoelectric Sensor Impedance Measurements

NMT EMI Board

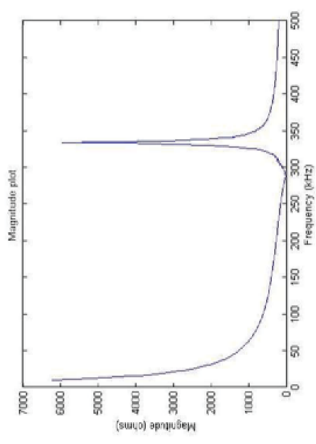


- Resonant Peak at 308 kHz

Potential response corrections:

- Measure Frequency (vs Calculate)
- Linearize Resolution

HP 4192A



- Resonant Peak at 334 kHz

- Industry Standard Impedance Analyzer (HP 4192A)

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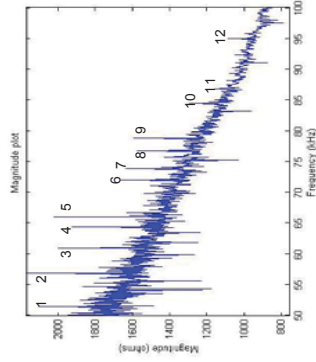


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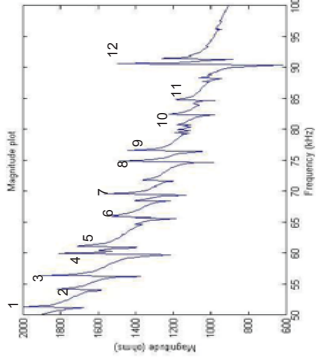
# Structural Impedance Measurements

NMT EMI Board



- Points 1-12 show peaks
- Decreasing with freq. noise level
- Lower resolution at end of sweep

HP 4192A



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# + and - of the NMT EMI Board

- **Advantages**
  - Low cost
  - Flexible bandwidth
  - Customizable programming
  - Expandable to provide wireless capabilities
- **Disadvantages**
  - Bandwidth limited to 500KHz
  - Currently no method to verify excitation frequency
  - Only one impedance measurement port (expandable in future)

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## Publications/Presentations

- Zagrai, A., (2013) "Structural Health Monitoring in Space and Near-Space Environments", presentation at EI, EIK Los Alamos National Laboratory Workshop, 5 December 2013, Los Alamos, NM, USA
- Zagrai, A., (2013) "Embedded Ultrasonics – Path From Aircraft to Spacecraft Applications", keynote presentation on First International Symposium on Aviation Maintenance and Management (ISAMM 2013) & Maintenance Equipment Exhibition, 25-28 November 2013, Xi'an, China.
- Zagrai, A., (2014) "High-frequency Sensor Technology", presentation at AFOSR Workshop on Microsecond State Monitoring of Multicomponent Structures, 8 April 2014, Niceville, Florida 32578-1295
- Masker, W., Runnels, J., and Zagrai, A., (2014) "Small-factor Electromechanical Impedance Measurement Board for Space Applications", presentation at SPIE's 21th Annual International Symposium on Smart Structures and Materials + NDE for Health Monitoring and Diagnostics, 9 - 13 March 2014, CA
- Trujillo, B. and Zagrai, A., (2014) "Monitoring of Acoustic Emission Activity using Thin Water Piezoelectric Sensors", paper at SPIE's 21th Annual International Symposium on Smart Structures and Materials + NDE for Health Monitoring and Diagnostics, 9 -13 March 2014, CA
- Zagrai, A, Cooper, B., Schlavin, J., Clemens, R., White, C., Kessler, S., (2014) "Assessing structural condition during suborbital space flight," Technical presentation at ASME Conference on Smart Materials, Adaptive Structures and Intelligent Systems, September 9, 2014, Newport, RI, presentation: SMASIS2014-7726.

COE CST Third Annual Technical Meeting (ATM3)  
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## Acknowledgements

- FAA, NASA, AFOSR for support
- New Mexico Space Grant Consortium and Patricia C. Hynes
- The flight opportunity was provided by the NASA Flight Opportunities Program <http://flightopportunities.nasa.gov> , flight 38 BS
- Federal Aviation Administration (FAA) through Center of Excellence for Commercial Space Transportation, AFRL Space Vehicles Directorate, and NMT Department of Mechanical Engineering for assistance and support
- Los Alamos National Laboratory Engineering Institute for providing WID3 impedance measurements boards (Charles Farrar, Stuart Taylor, Gyuhae Park)
- Metis Design and LORD Microstrain for collaboration on measurement hardware and assistance with tests.
- UPAerospace and Near Space Corporation for payload integration, launch and recovery.

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## Conclusions

- Commercial hardware (wave propagation, wireless) ran entire suborbital flight. Impedance hardware malfunctioned. Camera batteries discharged.
- Passive acoustic emission correlated with mechanical events during flight.
- Damage (crack and loose bolt) was detectable at all stages of flight
- Temperature has major influence on wavespeed
- The **first anti-symmetric mode (A0) appears to be modified** between space and ground, even with matched temperature. Symmetric mode (S0) appears unchanged in both
- Fatigue studies demonstrated feasibility of using embeddable piezoelectric sensors for Acoustic Emission monitoring.
- Compact EMI measurement board is under development

COE CST Third Annual Technical Meeting (ATM3)  
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## Contact Information

- Andrei Zagrai
- Department of Mechanical Engineering
- New Mexico Institute of Mining and Technology
- 801 Leroy Pl., Weir Hall, Room 124, Socorro, NM
- Ph: 575-835-5636;
- Fax: 575-835-5209;
- E-mail: [azagrai@nmt.edu](mailto:azagrai@nmt.edu)

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## TASK 228: MAGNETO-ELASTIC SENSING FOR STRUCTURAL HEALTH MONITORING

### PROJECT AT-A-GLANCE

- UNIVERSITY: New Mexico Tech
- PRINCIPAL INVESTIGATOR:  
Dr. Andrei Zagrai and Dr. Warren Ostergren.
- STUDENT'S: Blaine Trujillo (MS),  
Joel Runnels (UG) and William Masker (UG)

### RELEVANCE TO COMMERCIAL SPACE INDUSTRY

The benefits of SHM for space vehicles include: pre-launch diagnostic, monitoring during launch and/or re-entry, in-orbit structural verification and structural assessment for rapid re-launch.

### STATEMENT OF WORK

- Demonstrate utility of various SHM strategies during suborbital space flight
- Investigate potential of magneto-elastic active sensors and embeddable thin water piezoelectric sensors to record acoustic emission activity due to structural fatigue and thermal damage
- Develop portable hardware for electro-mechanical impedance measurements in space environment.



F-16S Ascends  
1-minute Apogee  
384.7 km feet MSL  
72.7 miles

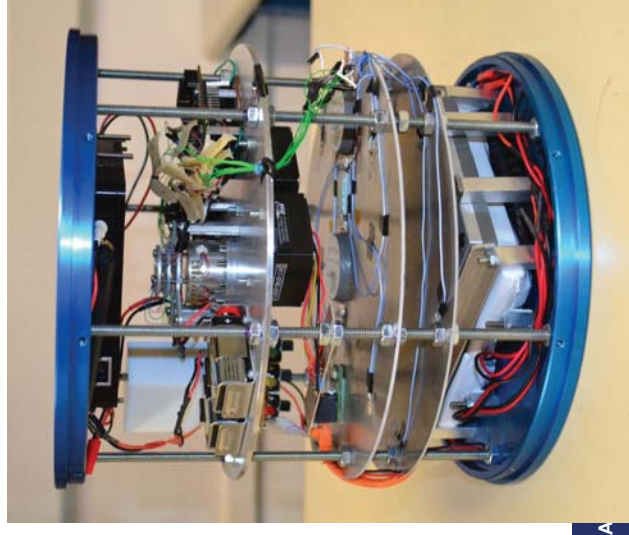
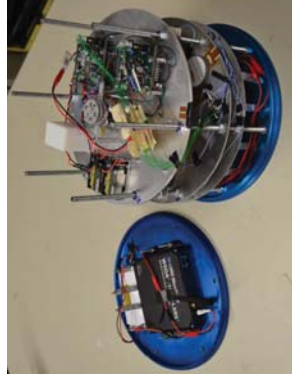
### STATUS

- 038S NASA FOP Flight completed & analyzed
- Acoustic emission measurements of fatigue damage is explored. PWAS AE validated.
- Development of portable EMI board started

### FUTURE WORK

- Electro-mechanical impedance manifestation of dynamic behavior of bolted joints
- Modeling of temperature effects on electro-mechanical impedance

## S38 Payload



## Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work

## COE CST Fourth Annual Technical Meeting

### High-Temperature Pressure Sensors for Hypersonic Vehicles

David Mills  
Mark Sheplak

October 29-30, 2014  
Washington, DC



## Team Members

- **University of Florida**
- **Mark Sheplak** – Professor, Dept. of Mechanical and Aerospace Engineering
- **David Mills** – Graduate Research Assistant
- **Daniel Blood** – Graduate Research Assistant
- **Florida State University**
- **William Oates** – Asst. Professor, Dept. of Mechanical Engineering
- **Justin Collins** – Graduate Research Assistant

## Task Description

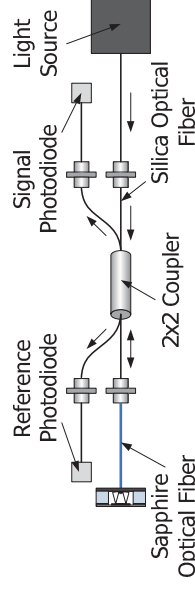
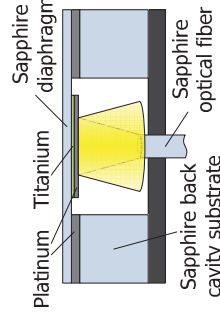
- Conventional instrumentation is unsuitable for continuous measurement in high-temperature environments such as:
  - High-speed reentry vehicles
  - Hypersonic transports
  - Gas Turbines
  - Scramjets
- Temperature mitigation techniques:
  - Stand-off tubes - cause signal attenuation and degradation
  - Water cooling - impart unknown aerothermal effects on the surrounding flow
- Pressure sensors capable of high-temperature operation (>1000°C) **without use of these techniques** will improve understanding of shock-wave/boundary layer interactions which directly influence critical vehicle characteristics such as lift, drag, and propulsion efficiency

## Goals

- Identify a suitable sensing method, material, and fabrication process for a high-bandwidth pressure sensor capable of continuous operation in temperatures in excess of 1000°C
- Fabricate a prototype sensor and create a robust high-temperature package
- Characterize the packaged sensor at room temperature and in high-temperature environments
- Implement the packaged sensor in a hypersonic or hot jet flow facility and/or a gas turbine

## Sensor Overview

- Fiber-optic lever
  - Intensity modulation via diaphragm deflection
  - Single send/receive fiber
- Optical configuration
  - 850 nm LED source with multimode fibers
  - Silica optical fiber components reduce packaging costs
  - Reference photodiode monitors drift in LED source



# Fabrication



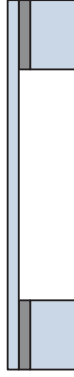
(a) Begin with 10 mm x 10 mm x 1 mm sapphire substrate.



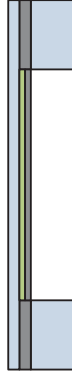
(b) Machine 7 mm diameter hole to form back cavity.



(c) Sputter deposit 500 nm of platinum for bonding layer.



(d) Bond 50  $\mu$ m thick diaphragm to back cavity using SPS.

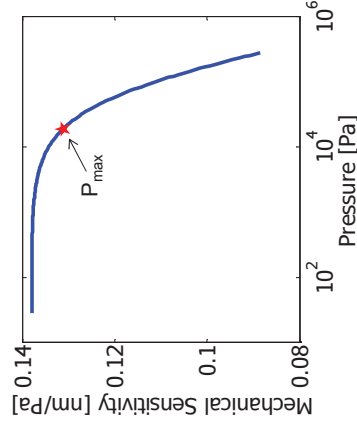


(e) Sputter deposit 20 nm of Ti and 200 nm of Pt under continuous vacuum.



# Post-bond Buckling Analysis

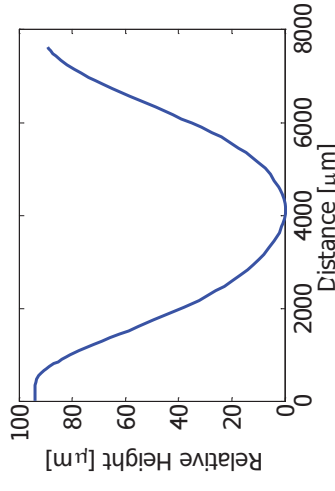
- Nonlinear plate model developed by Williams et al<sup>1</sup>
  - Compression factor,  $k^2 = 42.8$
  - Residual compressive stress = 296 MPa
  - Mechanical sensitivity = 0.138 nm/Pa
  - 4x reduction in sensitivity compared to unstressed diaphragm
  - Max pressure (5% reduction in sensitivity) = 19.3 kPa



[1] Williams, M., Griffin, B., Hommeijer, B., Sankar, B., and Sheplak, M., "The nonlinear behavior of a post-buckled circular plate," Sensors 2007 IEEE, 349-352 (2007).

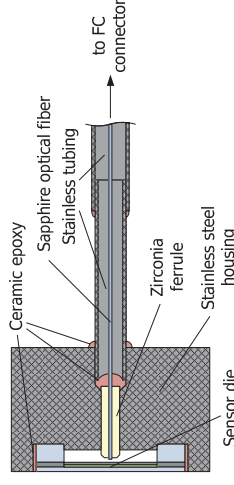
# Post-bond Buckling Analysis

- Static deflection of the diaphragm measured using a scanning white-light interferometer
- Axisymmetric buckling profile – 94.1  $\mu$ m center deflection



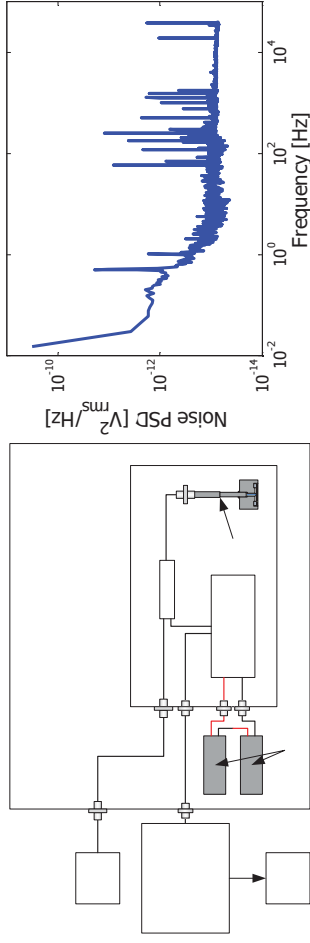
# Packaging

- High-temperature alumina ceramic epoxy used to package sensor in stainless steel housing
- Stainless steel tubing protects sapphire optical fiber and attaches to standard FC optical connector
- Package enables operation up to 900°C



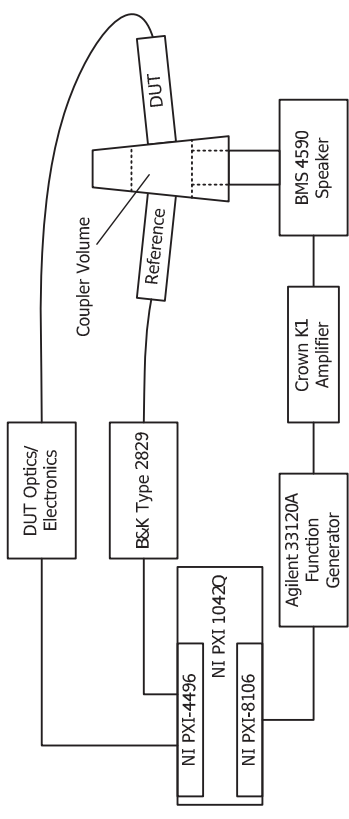
# Noise Floor Measurement

- Noise spectrum dominated by photodiode shot noise
- 1/f corner frequency: 8 Hz
- Noise floor: 1.2  $\mu\text{V}$  @ 1 kHz w/ 1 Hz bin



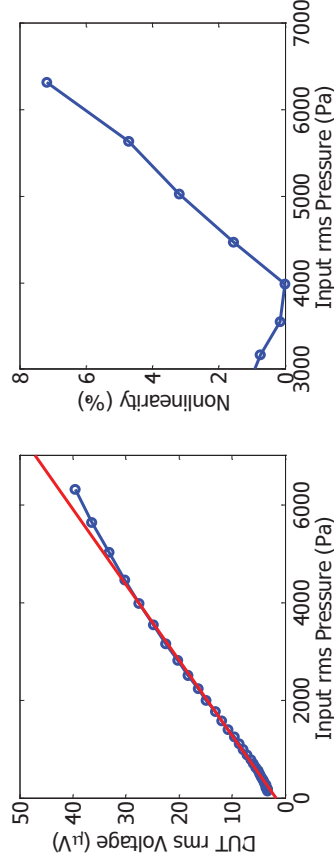
# Acoustic Characterization – Setup

- Wedge-shaped acoustic coupler
  - Reduces number of supported modes within the cavity
  - Cavity volume: 0.5 cm<sup>3</sup>



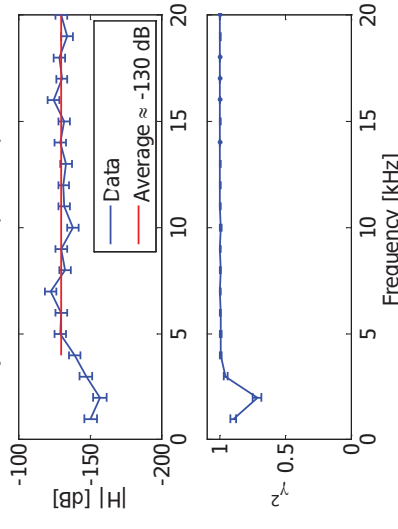
# Acoustic Linearity

- Testing frequency: 1.9 kHz
- Input pressure level: 138-170 dB (ref 20  $\mu\text{Pa}$ )
- Sensitivity: -164 dB (ref 1 V/Pa)
- 5% acoustic nonlinearity: 5.7 kPa



# Frequency Response

- Single-tone measurements from 1-20 kHz at 145 dB in 1 kHz steps
- Flat-band sensitivity: -130 dB re 1 V/Pa (0.32  $\mu\text{V/Pa}$ )
- Minimum detectable pressure (MDP): 3.8 Pa



# Conclusions and Future Work

- **Summary**
  - Demonstrated novel SPS bonding process for joining sapphire substrates
  - Developed high-temperature package for operation up to 900°C
  - Determined noise floor, linearity, and frequency response of the packaged sensor at room temperature
- **Next Steps**
  - Further modification of SPS bonding process to reduce residual stress and eliminate buckling in diaphragm
  - Fabricate thinner sapphire diaphragms to improve sensitivity
  - Packaging improvements to extend high-temperature capability and enable dc pressure measurement
  - High-temperature calibration
- **Conference Publications**
  - D. Mills, D. Blood, J. Collins, W. Oates, T. Schmitz, and M. Sheplak, "Development of processing technology for high-temperature optical pressure sensors," Technical Digest of the 2012 Solid-State Sensor and Actuator Workshop, Hilton Head Isl., SC, 6/4-7/2012, pp. OP6.
  - D. Mills, D. Alexander, G. Subhash, and M. Sheplak, "Development of a sapphire optical pressure sensor for high-temperature applications," Proc. SPIE 9113, Sensors for Extreme Harsh Environments, Baltimore, MD, 6/5/2014.

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# Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work

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# COE CST Fourth Annual Technical Meeting

## Task 244: Autonomous Rendezvous & Docking for Space Debris Mitigation

**Norman Fitz-Coy**

October 29-30, 2014  
Washington, DC

COE CST Fourth Annual Technical Meeting (ATM4)

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# Team Members

- Principal Investigator
- Norman Fitz-Coy
- Students
  - Tristan Newman (MS student)
  - Kathryn Cason (accepted job with MIE)
  - Takashi Hiramatsu (PhD in 2012 – NESTRA)
- Organizations
  - Collaborator: NASA ODPO (J.-C. Liou)
  - Matching provided by: Space Florida

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## Task Description (Original)

- Active debris removal is required
- Interests in small satellites (e.g., CubeSats) especially by new space entrant leads to:
- More spacecraft → more failure (debris)
- Debris likely to be non-cooperative
- Objective
- Develop strategies to minimize interactions during removal of non-cooperative debris
- Develop strategies for safe proximity operations / collision avoidance during removal

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## Task Description (Revised)

- Objectives
- Identify/quantify the global growth trends of CubeSat-class satellite; assess the interests of US and international communities for CubeSat applications and investigate emerging CubeSat products (e.g., Planet Labs constellation of CubeSats).
- Survey the assembly integration and testing practices of these CubeSat developers and utilize that information to investigate the mortality rates of CubeSats
- Assess the space debris mitigation strategies utilized / implemented by these developers

Replace CubeSats with “Containerized” Satellites

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## Goals

- Outcomes
- Utilize the growth trends, mortality information, and mitigation strategies to access the impact of “containerized” satellites to LEO debris
- Relevance to FAA
- Debris in LEO will re-enter the airspace and could interact with sub-orbital flights and/or air traffic
- Collisions with 5 mm sized debris could be consequential

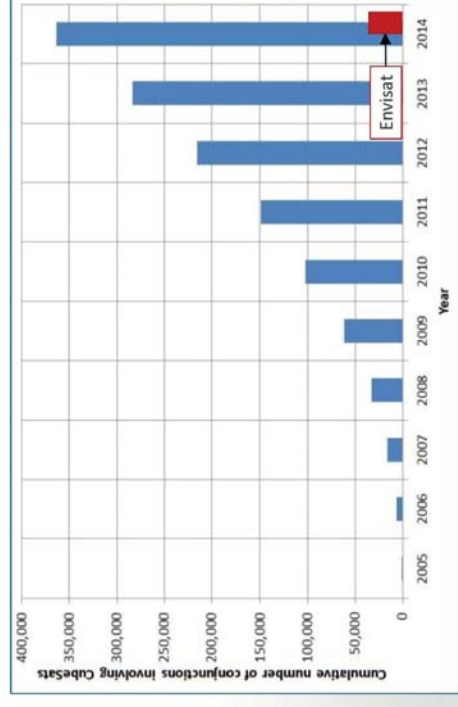
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## Task Motivation (1/2)

### Historical CubeSat Conjunctions (1)



Excerpted from H.G. Lewis, B.S. Schwarz, S.G. George and H. Stokes, “An Assessment of CubeSat Collision Risk,” Paper IAC-14-A6.4.1, presented at 65<sup>th</sup> International Astronautical Congress, Toronto, Canada, 2014

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# Task Motivation (2/2)

## Conclusions

- More than 360,000 conjunctions < 5 km involving CubeSats since November 2005
- Millions of conjunctions predicted to occur in the next 30 years even for relatively low CubeSat launch rates
  - Many orbital regimes in LEO are affected
  - Most likely collision scenario is CubeSat and large object in Sun-synchronous orbit
  - Relatively few collisions (< 2) predicted
  - Forecasted CubeSat activity is not sustainable without

**Take Away:**  
**The sky is NOT falling – these are opportunities for us to develop innovative solutions!!**

EXCERPT FROM THE COE CST 4TH ANNUAL TECHNICAL MEETING (ATM4) OCTOBER 29-30, 2014, PRESENTED AT 65th INTERNATIONAL ASTRONAUTICAL CONGRESS, TORONTO, CANADA, 2014

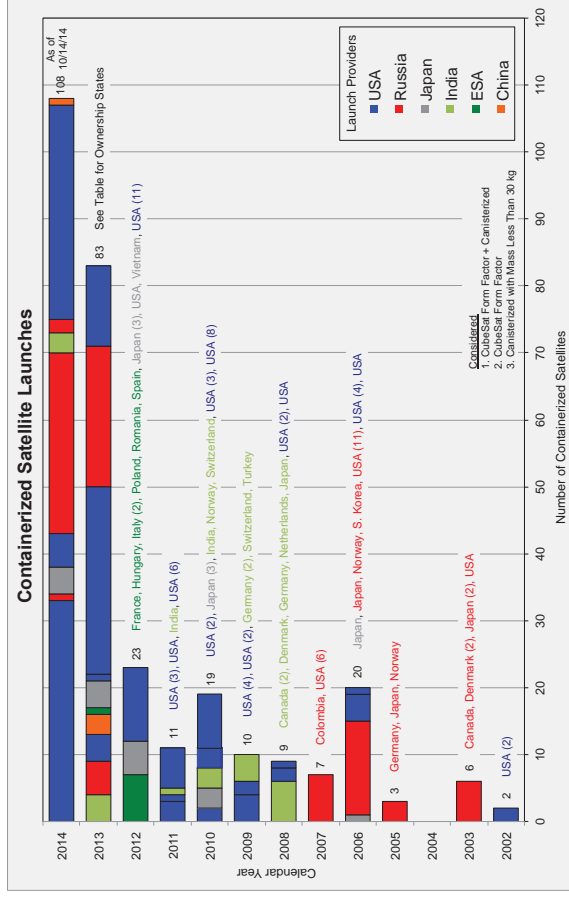
# Schedule

- Start date: September 2014
- Develop survey strategy: ongoing
- Pilot test questionnaire: November 2014
- Disseminate questionnaire: January 2015
- Analyze survey results: March 2015
- Finalized results: April 2015

# Approach

- Survey research process phases
  1. Identify research objectives
  2. Identify and characterize target audience
  3. Design sampling plan
  4. Design and write questionnaire
  5. Pilot test questionnaire
  6. Disseminate questionnaire
  7. Analyze results and write results

# Preliminary Results



# Preliminary Results

Year	Launch (Country)	#	Owner States (Country)
2013	PSLV (India)	4	Austria, Canada, Denmark, UK
	Soyuz-2 (Russia)	5	Germany (3), S. Korea, USA
	Antares 110 (USA)	4	USA (4)
	Long March 2D (China)	3	Argentina, Ecuador, Turkey
	Vega (ESA)	1	Estonia
	H-II/B (Japan)	4	USA (3), Vietnam
	Falcon-9 (USA)	1	USA
	Minotaur-1 (USA)	28	USA (28)
	DNEPR-1 (Russia)	21	INT (3), USA (2), Germany (2), Netherlands (2), Spain (2), Argentina, Denmark, Ecuador, Japan Norway, Pakistan, Poland, Peru, Singapore, S. Africa
	Atlas-V (USA)	12	USA (12)
2014	Antares 120 (USA)	33	USA (30), Lithuania (2), Peru
	Soyuz-U (Russia)	1	Peru
	H-II/A (Japan)	4	Japan (4)
	Falcon-9 (USA)	5	USA (5)
	DNEPR-1 (Russia)	27	USA (13), INT (3), Canada (2), Russia (2), Brazil, Denmark, Israel, Singapore, Taiwan, Ukraine, Uruguay
	PSLV (India)	3	Canada (2), Singapore
	Soyuz-2 (Russia)	2	Norway, UK
	Antares 120 (USA)	32	USA (31), Greece
	Long March 4B (China)	1	Poland

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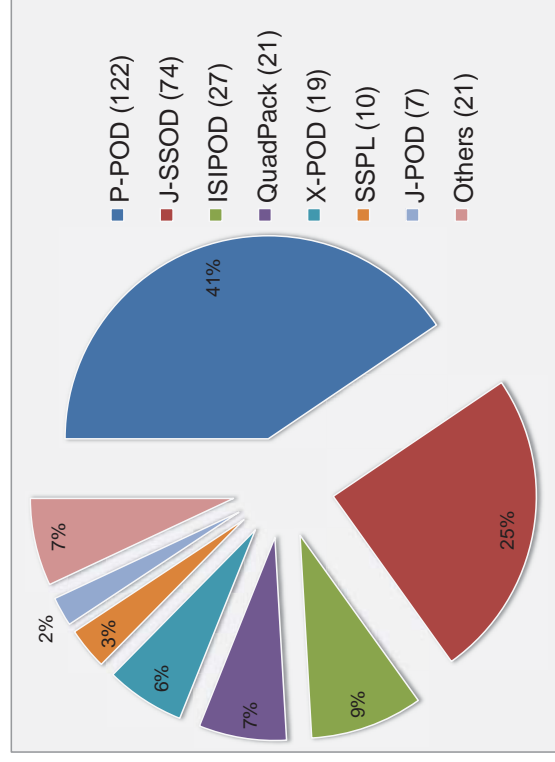
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# Preliminary Results



Others include, T-POD, Dragon, SPL, PEPOD, CSS, FlyMate, CSD, Hand deployment from ISS, Unknowns

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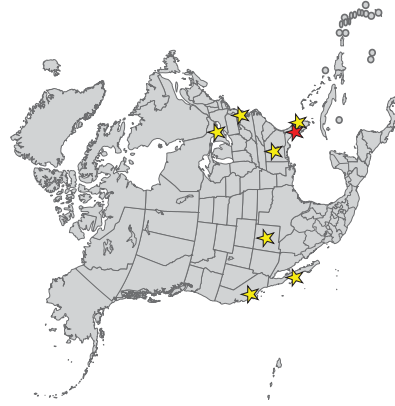
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# Dissemination Strategy (1/2)

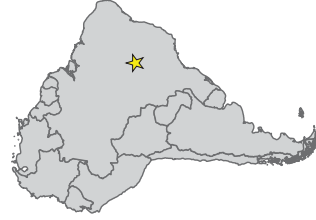


## North America

- CubeSat Listserve, CalPoly
- USA: AIAA SmSTC, AMSAT, AFRL, NRO, NSF, NASA, SMDC, SMC, universities
- Canada: University of Toronto, Canada (Freddy Pranajaya)

## South America

- Brazil: Brazilian Space Agency (AEB), INPE (Otavio Durao)
- Columbia (Camillo Guzman Gomez)
- Mexico (Carlos Duarte)



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# Dissemination Strategy (2/2)



## Africa

- South Africa: CPUT (Robert van Zyl)
- Ghana: ANU (Quarshie Manfred)

## Asia

- Japan: University of Tokyo (Shinichi Nakasuka), Kyushuu Institute of Technology (Mengu Chou), University Space Engineering Consortium (UNISEC)

## India

- TBD

## Europe

- Denmark (GomSpace Aps)
- Netherlands (Innovative Solutions in Space)
- Spain (University of Vigo)
- United Kingdom (Clyde Space Ltd, Univ. of Leicester)



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## Draft Survey Questions (1/7)

To be disseminated to containerized satellite developers and operators.

- A **containerized satellite** is any satellite that is enclosed in a separate structure/volume that interfaces between the satellite and the launch vehicle. Such container may contain one or more satellites and prevents any harm to the primary, launch vehicle, or other secondary satellites

## Draft Survey Questions (7/7)

3. Please select activities that your team/group has conducted for quality assurance of your satellite(s). Select all that apply.
- o Simulations and analysis
    - o Structural and Thermal
    - o Orbital
    - o Functionality
  - o Functionality testing of hardware and software
  - o Reliability analysis
  - o Requirements verification matrix (i.e., traceability)
  - o Internal(peer) and external(subject matter experts) reviews
  - o Configuration management
  - o Systems engineering process
  - o Others (Please briefly describe):

Optional questions

Name of satellite(s), Name of container(s), Size of team/group, Team/Group's association (academia, industry, government), Mission description, etc

## Draft Survey Questions (2/7)

1. Is your team/group a designer, developer, and/or manufacturer of containerized satellites?
- Yes       No
- 1-A. Have any of your team/group's containerized satellites been launched?
- Yes       No
- 1-A-1. Select the mass range(s) which accurately describe your satellites. For each range, please note the number of satellites.

Mass	Count
Less than 1 kg	0
1 kg to 10 kg	1 to 5
10 kg to 100 kg	0
100 kg to 500 kg	0
Greater than 500 kg	0

## TASK #244. Autonomous Rendezvous & Docking for Space Debris Mitigation

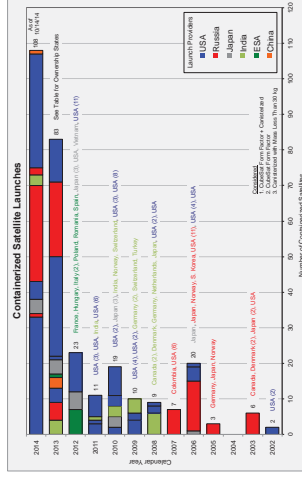
- **PROJECT AT-A-GLANCE**
- ASTROAB POC: Stephen Earle, Ken Davidson
- UNIVERSITY: University of Florida
- PRINCIPAL INVESTIGATOR: Dr. Norman Fitz-Coy
- STUDENT(s): Tristan Newman (MS)

### RELEVANCE TO COMMERCIAL SPACE INDUSTRY

- The proliferation of small satellites will eventually contribute to space debris and thus methodologies for the mitigation and remediation of space debris are required. The 2010 US Space Policy strongly encourages the development of commercial capabilities to enhance safe space operations.

### STATEMENT OF WORK

- The objective of this research effort is the development of computationally efficient and robust methodologies for active space debris remediation. As this research proceeds, it is expected to make the following contributions:
  - Development of artificial potential function-based guidance (APFG) algorithms for proximity operations and autonomous rendezvous/docking.
  - Development of strategies to minimize the interactions between a rescue spacecraft and a non-cooperative (disabled) spacecraft. These strategies will be based on game theoretic strategies.
  - Modification (Sept. 2014): Assess the impact of launch rate and satellite densities (i.e., number of satellites launched simultaneously) on LEO debris growth and identify strategies to mitigate debris growth caused by containerized satellites



### STATUS

- Identified some potential impact factors (e.g., launch rate, satellites per launch, orbit, etc)
- Drafting survey questions
- Identified POC for dissemination of survey

### FUTURE WORK

- Survey the "containerized" satellite community to assess their impact on space debris in LEO
- Complete analysis of survey results
- Report findings to FAA, NASA ODPO, IADC, AIAA SmSTC



# DebrisSat – Hypervelocity Impact

- Performed at USAF Arnold Engineering Development Complex Range-G which operates the largest two-stage light gas gun in the U.S.
- Diagnostic instruments include X-rays, high-speed Phantom cameras, lasers, IR cameras, piezoelectric sensors, witness plates, etc
- Polyurethane foam panels of various densities were installed inside target chamber to “soft catch” fragments



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# DebrisSat – Hypervelocity Impact

- After impact, all intact foam panels, broken foam pieces, loose fragments, and dust were carefully collected, documented, and stored
  - Estimated  $\geq 2$  mm DebrisSat fragments are on the order of 85,000
  - All fragments will be characterized and used to update orbital debris models



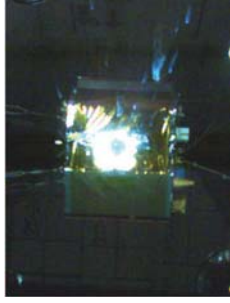
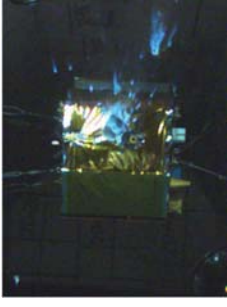
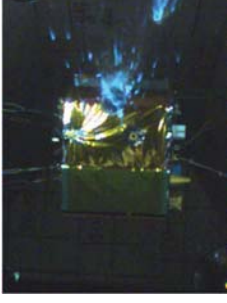
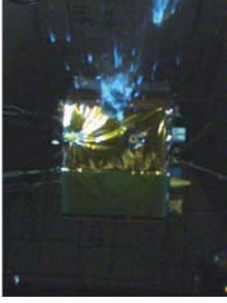
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# DebrisSat – Hypervelocity Impact

- DebrisSat hypervelocity impact conducted on April 15, 2014
  - Projectile travelling at 6.8 km/sec at impact with DebrisSat
  - Impact released 13.2 MJ of energy



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# COE CST Fourth Annual Technical Meeting

## High Temperature Pressure Transducers

William Oates  
Justin Collins

October 29-30, 2014  
Washington, DC



# Outline

- Team Members
- Motivation
- Background
- Experimental results and microscopy
  - Indentation characterization
  - Electron microscopy (SEM, TEM)
- Computational mechanics and uncertainty analysis
  - 1-D low fidelity model
  - 2-D high fidelity model
- Conclusions and future work

# Team Members

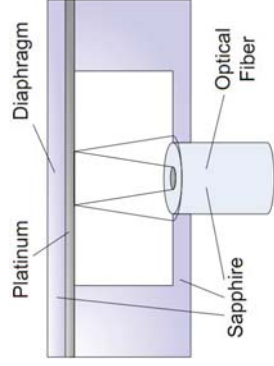
- University of Florida
  - Mark Sheplak (PI)
  - David Mills
  - Daniel Blood
- Florida State University
  - William Oates (PI)
  - Justin Collins

# Motivation

- ▶ Commercial sensors capable of up to approximately 600 °C
  - Uses SOI technology
- ▶ Alternative material sapphire: potentially capable of up to 1500 °C
- ▶ Laser machining to cut specimens
  - Hard
  - Chemically inert



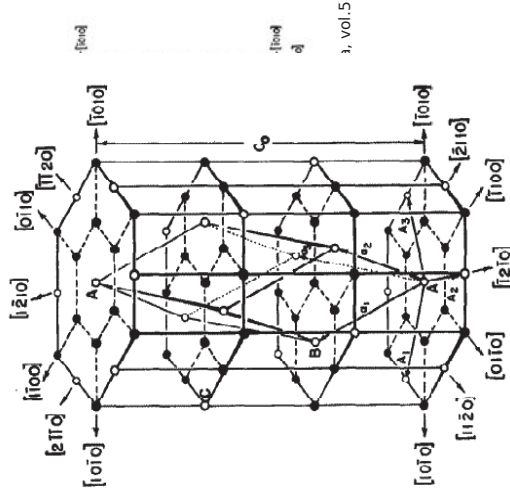
Kulite Pressure Transducer



Conceptual Design

# Background

- Sapphire crystallographic structure
  - Complicated by hexagonal cage & internal rhombohedral structure
- \*Anisotropic elastic behavior
  - Rhombohedral—not hexagonal
- Melting temperature 2030 °C

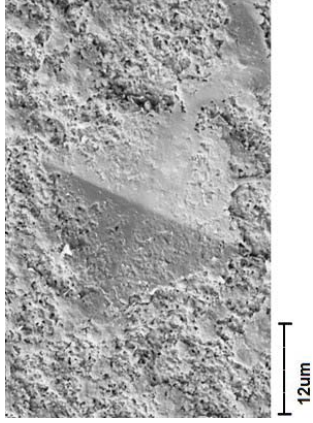
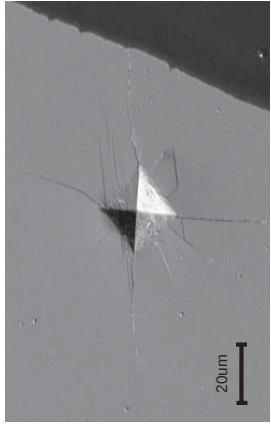


Basal half loop dislocation

Hockey, Journal of the American Ceramic Society, May 1971, Vol. 54, No. 5

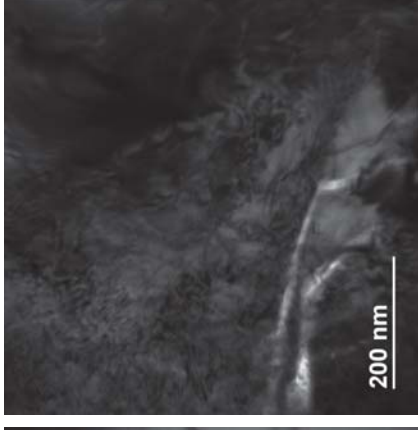
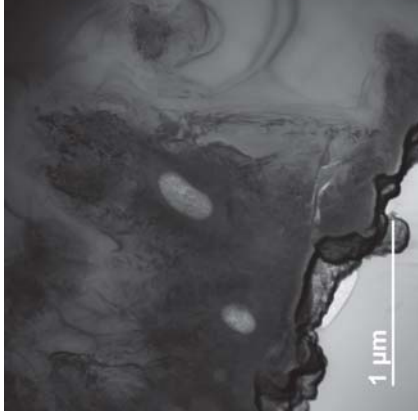
# Experimental Results-SEM

- ▶ Vicker's indentation characterization
- ▶ No visible cracks in laser machined specimens
- ▶ Laser machining parameters
  - 10 kHz rep rate, 10 mm/s scanning speed, 3.8 J/cm<sup>2</sup> fluence, 3µm stepover

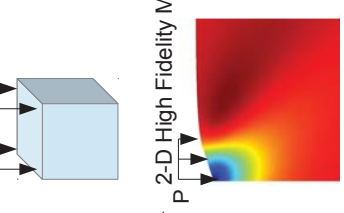


# Experimental Results-TEM

- ▶ High resolution TEM located at the NHMFL
  - 0.8 Angstrom resolution



# Computational Mechanics Modeling

- ▶ Simulate the mechanisms associated with toughening
- ▶ Two models
  - 1-D Low Fidelity Model
    - ▶ Key equations
      - Conservation of Linear Momentum
 
$$T_{j,i,j} = 0$$
      - slip rate density evolution
 
$$\xi_{i,i} - (\eta - \tau) = \beta \dot{\gamma}$$
      - Plastic deformation
 
$$F_{ik}^p - \dot{\gamma} (s_i m_j) F_{jk}^p = 0$$
  - 2-D High Fidelity Model
 

# Low Fidelity Model

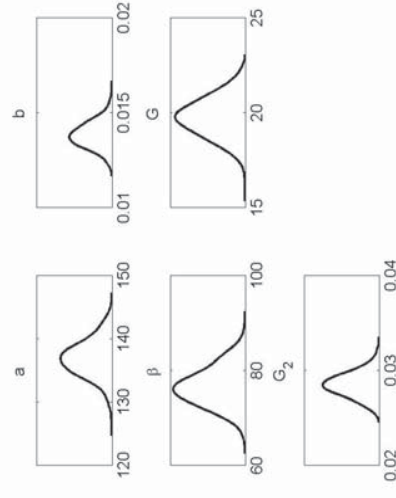
- ▶ 1-D Analysis using Bayesian statistics
- ▶ Quantifies uncertainty in model parameters

$$\xi_{i,i} - (\eta - \tau) = \beta \dot{\gamma}$$

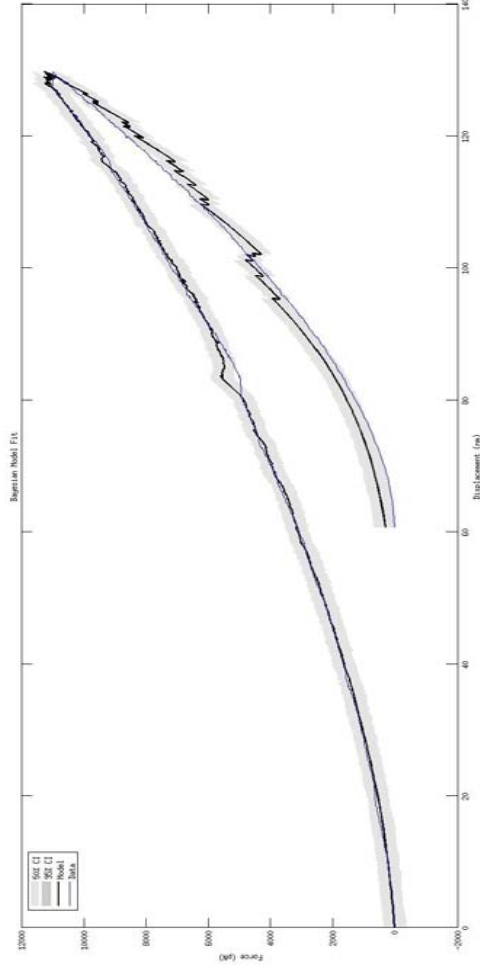
▶ Where

$$\eta = A\gamma + B\dot{\gamma}^3$$

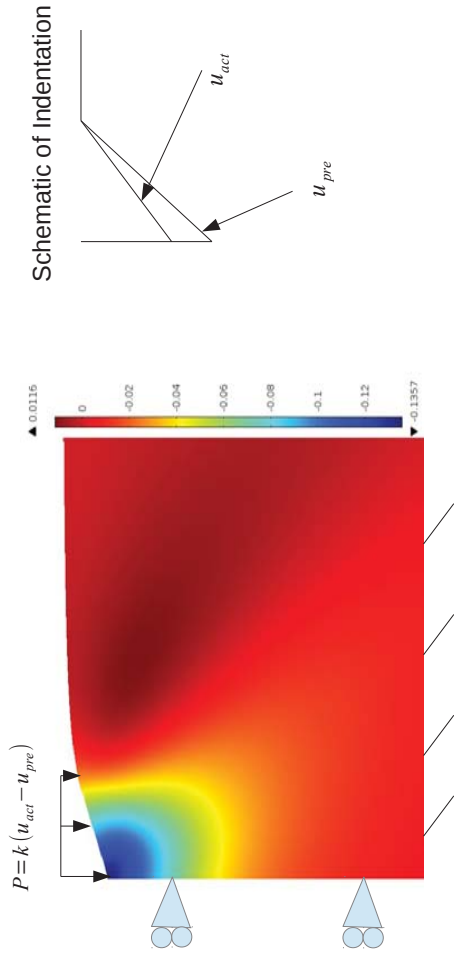
$$\tau = Ds_j \sigma_{ij} m_j \approx G\epsilon + G_2 \epsilon^2$$



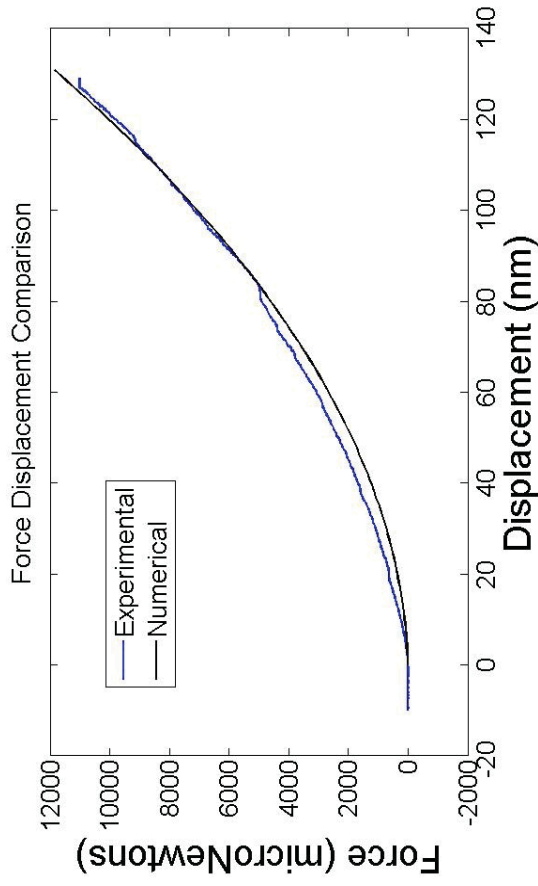
# Low Fidelity Model



# High Fidelity Model-Finite Element Analysis



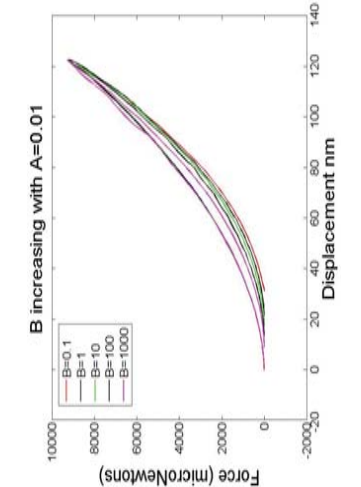
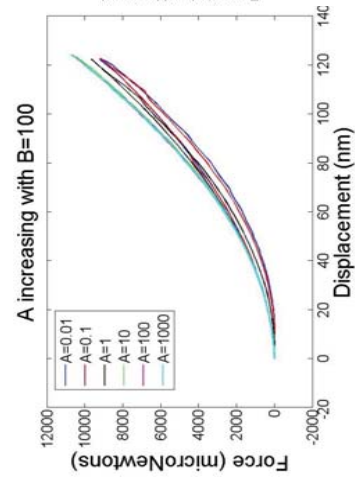
# High Fidelity Model-Data Comparison



# High Fidelity Model-Parameter Analysis

$$\xi_{i,i} - (\eta - \tau) = \beta \dot{\gamma}$$

$$\eta = Ay + By^3$$



## Summary and Conclusions

- ▶ Experimental data showed that there were dislocations in the material due to laser machining process.
- ▶ 1-D low fidelity model developed to estimate model parameters and their uncertainty
- ▶ 2-D finite element model developed to quantify single crystal dislocation evolution due to a nanoindent during loading

## Future Work

- Quantify material hysteresis under different states
  - Material property effects on constitutive behavior sensitive to mechanical damage and laser damage

## Contact Information

- ▶ Justin Collins
  - Research Assistant
  - Email: [justin.collins.eng@gmail.com](mailto:justin.collins.eng@gmail.com)
- ▶ William Oates
  - Associate Professor
  - Email: [woates@eng.fsu.edu](mailto:woates@eng.fsu.edu)
  - Phone: (850) 645-0139
  - Fax: (850) 410-6337

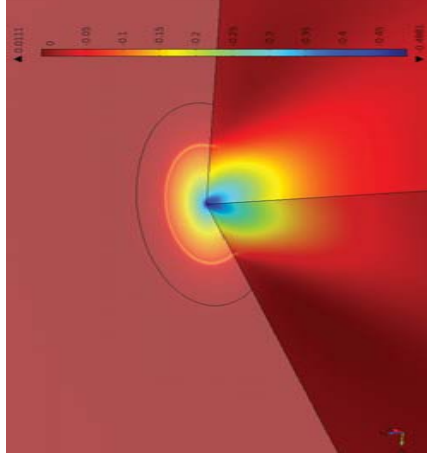
## High Fidelity Model-Governing Equations

- ▶ 2-D Finite Element Model
- ▶ Key equations
  - Conservation of Linear Momentum
$$\sigma_{ji,j} = 0$$
  - Slip rate density evolution
$$\xi_{i,i} - (\eta - \tau) = \beta \dot{\gamma}$$
  - Plastic deformation
$$\dot{F}_{iK}^P - \dot{\gamma} (s_i m_j) F_{jK}^P = 0$$

# High Fidelity Model-Strain Fields

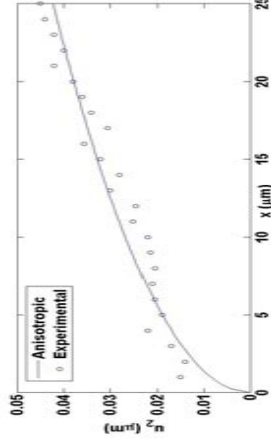
2-D  $E_{zz}$

3-D  $E_{zz}$



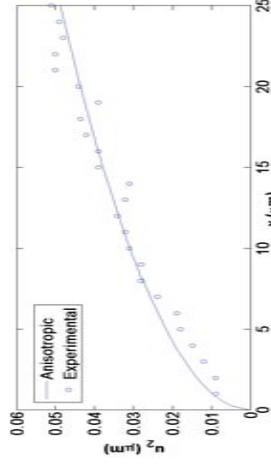
# Fracture Toughness

- $K_{1c} \cong 2.2 \text{ MPa} \cdot \text{m}^{\frac{1}{2}}$
- $J_c \cong 11.64 \frac{\text{N}}{\text{m}}$



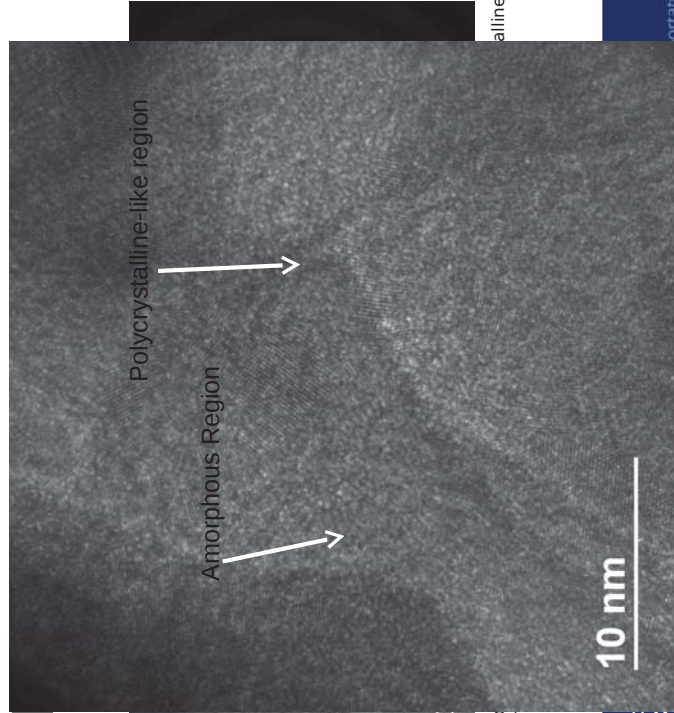
Indentation at  $\sim 0^\circ$

- $K_{1c} \cong 2.50 \text{ MPa} \cdot \text{m}^{\frac{1}{2}}$
- $J_c \cong 15.25 \frac{\text{N}}{\text{m}}$



Indentation at  $\sim 45^\circ$

# TE



High Reso  
like regio  
between.

# SEM Characterization

- ▶ Fracture characterization
  - Virgin vs. laser machining
- ▶ Crack opening quantified
  - Intrinsic crack tip toughness measured



## Anisotropic Fracture Stroh's Formalism

- ▶ Equilibrium
  - $\nabla \cdot \sigma = 0$
- ▶ Constitutive Relation
  - $\sigma_{ij} = C_{ijkl} u_{k,s}$
- ▶ Boundary Condition
  - $t_i = \sigma_{ji} n_j$
- ▶ Generalized Displacement Potential
  - $u_i = 2 \sum_{j=1}^3 \text{Re}\{A_{ijf}(z_j)q_f\}$
- ▶ Generalized Stress potential
  - $\varphi_i = 2 \sum_{j=1}^3 \text{Re}\{B_{ijf}(z_j)q_f\}$



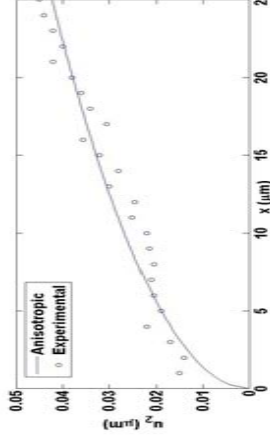
## Background

- ▶ Brittle
- ▶ Extremely hard material
  - Ranks a 9 on the Mohs scale
- ▶ Melting temperature of 2030°C
- ▶ Chemically inert

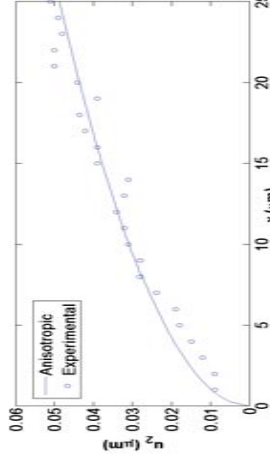


## Fracture Toughness

- ▶  $K_{1c} \cong 2.2 \text{ MPa} \cdot m^{\frac{1}{2}}$
- $J_c \cong 11.64 \frac{N}{m}$
- $K_{1c} \cong 2.50 \text{ MPa} \cdot m^{\frac{1}{2}}$
- $J_c \cong 15.25 \frac{N}{m}$



Indentation at  $\sim 0^\circ$

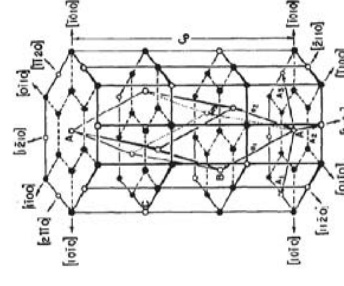


Indentation at  $\sim 45^\circ$



## Introduction

- Crystallographic Structure
  - Hexagonal
  - Rhombohedral



Kronberg, acta metallurgica, vol.5, 1957

Table 4. Determined elastic constants of corundum and their standard deviations in GPa. Previous data are also shown

$C_{11}$	$C_{33}$	$C_{44}$	$C_{12}$	$C_{13}$	$C_{14}$	Ref.
$496.9 \pm 1.4$	$500.3 \pm 1.6$	$146.8 \pm 0.2$	$162.3 \pm 1.6$	$115.2 \pm 1.6$	$-21.9 \pm 0.2$	present work
496	502	141	135	117	-23	[8]
$496.8 \pm 1.8$	$498.1 \pm 1.4$	$147.4 \pm 0.2$	$163.6 \pm 1.8$	$110.9 \pm 2.2$	$-23.5 \pm 0.3$	[9]
490.2	490.2	145.4	165.4	113.0	-23.2	[10]
497.4	499.4	147.4	164.0	112.3	-23.6	[11]
$497.60 \pm 0.18$	$501.85 \pm 0.21$	$147.24 \pm 0.13$	$162.6 \pm 0.4$	$117.18 \pm 0.19$	$-22.90 \pm 0.11$	[12]

Ohno, Phys. Chem. Solids Vol. 47, No. 12, pp. 1109-108, 1986



## COE CST Fourth Annual Technical Meeting

### Autonomous Rendezvous and Docking

**Dr. Penina Axelrad**  
Dr. Jay McMahon  
Heather LoCraсто  
Steve Gehly  
Caleb Lipscomb

October 29-30, 2014  
Washington, DC



## Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work

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## Team Members

- PI: Dr. Penina Axelrad, University of Colorado Boulder
- Dr. Jay McMahon
- Students: Aerospace Engineering Sciences  
Heather LoCraсто (MS student)  
Steve Gehly (PhD student)  
Caleb Lipscomb, Ricky Rohr (Undergraduate students)
- Industry Partner: Ball Aerospace

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## Task Description

- Understand the requirements for autonomous rendezvous and docking of commercial spacecraft in LEO for the purposes of material transfer, servicing, or retirement.
- Develop description, requirements, and list of key technologies for ARD mission phases.
- Provide tools for the FAA to establish architecture, requirements, and processes for future ARD operations.
- Evaluate FLASH LIDAR as key technology for ARD and investigate performance for relative navigation and attitude estimation.

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## Schedule for task completion

- No-cost extension provided through May 2015.
- Complete remaining mission phase requirements and technology gap analysis by Dec 2014.
- Complete LIDAR image processing to be integrated with OLTAE algorithms by Dec 2014.
- Establish complete case study for LIDAR use in approach phases by February 2015.
- Evaluate methods and requirements for non-cooperative unknown targets by May 2015.

## Commercial AR&D Mission Types

Increasing Challenge

	Marked	Drawings	None
<b>Knowledge</b>	Marked	Drawings	None
<b>Controlled</b>	Active	Passive Stable	Tumbling
<b>Cooperative</b>	Maneuvers	Measurements 2-way Comm	2-way Comm None

	Knowledge	Controlled	Cooperative
<b>Configuration</b>	Marked	Active	2-way Comm
<b>Refuel/Material Delivery</b>	Drawings	None	None
<b>Repair/Retire</b>	Marked	Passive Stable	None
<b>Debris Disposal</b>	Drawings	None	None
	None	Tumbling	None

## Goals

- **Motivation:**
  - Standards are required to enable the FAA to license multiple vendor vehicle systems to make orbital rendezvous and docking a routine and safe activity.
  - These standards must be established to define appropriate requirements for safe operations without specifying a particular design.
  - Increase autonomy, improve flexibility, robustness, reduce cost
- **Goals**
  - Develop an approach for ARD standards and identify/resolve key technology gaps for automated rendezvous and docking of vehicles in LEO/GEO encompassing approach trajectories, sensing, estimation, guidance and control, and human interaction.
  - Systems engineering analysis for draft standards
  - Feasibility of Flash LIDAR based relative position and attitude

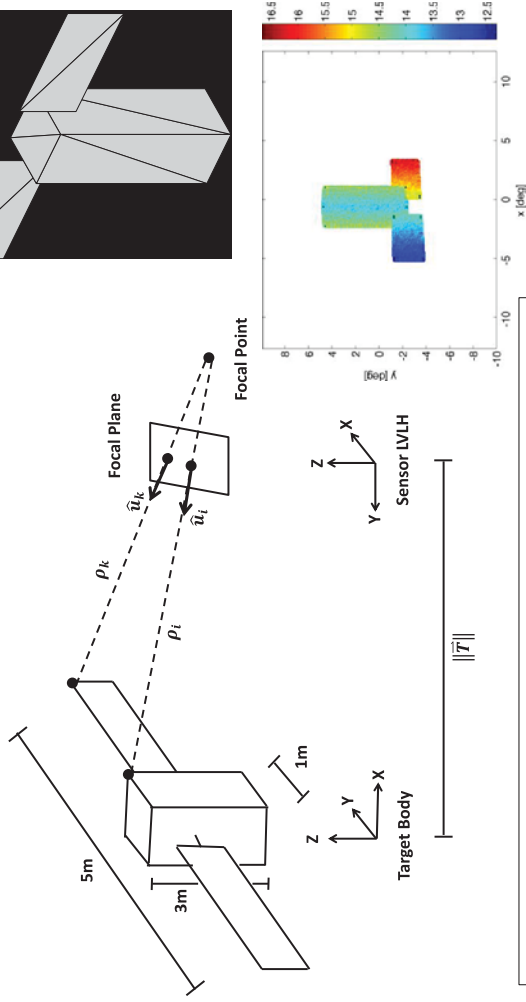
## Mission Phases

Phase	~Range	Objective	Sensor	Safety
Launch	>10,000 km	• Insert chaser into orbit in same orbit plane, below target	GPS	Resume mission on nav failure
Phasing	>5 km	• Reduce range to target • Chaser acquires initial aimpoint for approach	GPS	
Homing/Closing	3500-250 m	• ReInav • Reach then enter approach ellipsoid	Radar, Lidar, RGPS	• Preclude collision • Maintain target sensing
Final Approach	0-250 m	• Chaser achieves docking capture conditions • Interfaces within docking range	Optical, RF, LIDAR	• Preclude collision • Low velocity • Keep-out zone • Avoid plume impingement

# Key Concepts for Requirements

- Availability of sensors for long-range phases not required 100% because hold can be used
- Closing phases require 100% availability
- Use of passive-safe trajectories in final approach phase.
  - When aimpoint is at the target
  - Thruster failure only to off
  - Loss of communications or sensors (stops thruster firing)
- Timing of ARD is flexible if visual sensors and ground monitoring are not required
- Max relative velocity for final approach, mating, & joint maneuvers, must be determined to avoid damage to vehicles

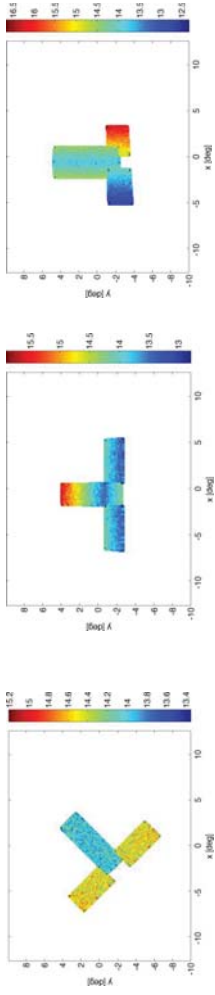
# FLASH LIDAR & TARGET S/C



128 x 128 pixels, 20 degree FoV, 30 Hz  
Range noise ~ 1% of range (from R. Rohrschneider at Ball)

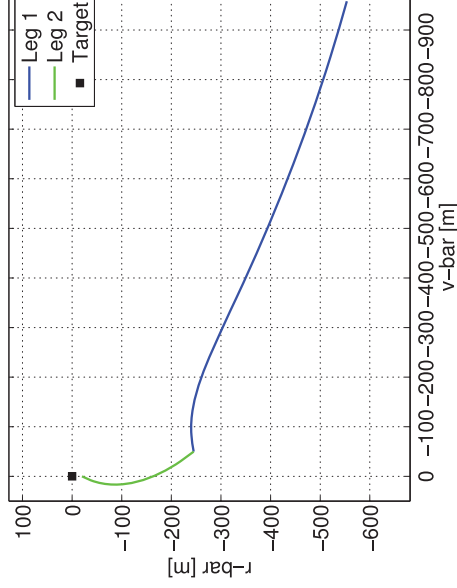
# Flash LIDAR Use for ARD

- Flash LIDAR instrument serves as a “3D Camera” with intensity and range for each pixel
- High frame rates (up to ~30 Hz)
- Eliminates slewing/pointing/search requirements of single-beam systems
- Not dependent on ambient lighting conditions
- Can be used from mating to few km range



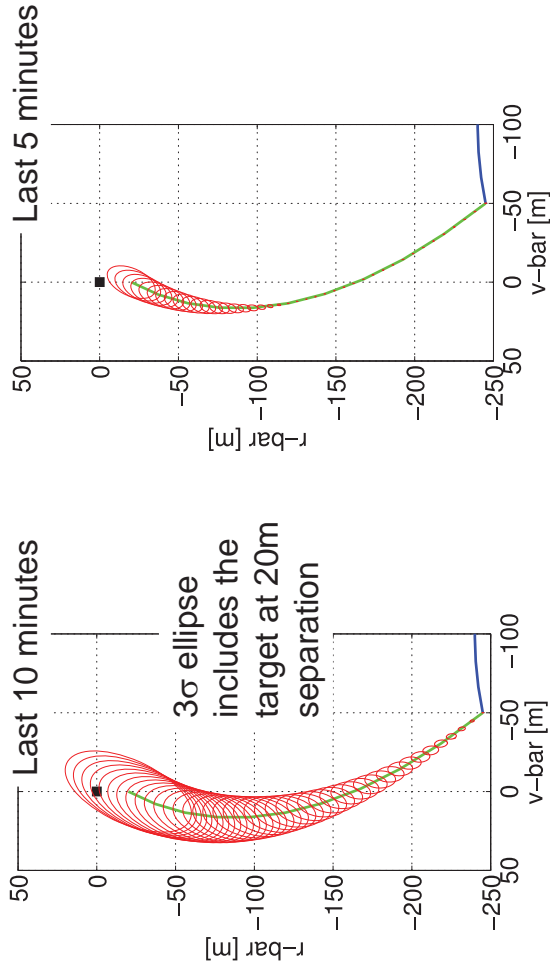
# Approach Trajectory

- **Leg 1:** 1.1km to 250m in 30 minutes
- **Leg 2:** 250m to 20m in 10 minutes
- For most of the approach target dimension is negligible
- Estimate the position of the center of figure, which is offset from the true center of mass.
- Accuracy is well within requirements with continuous observations



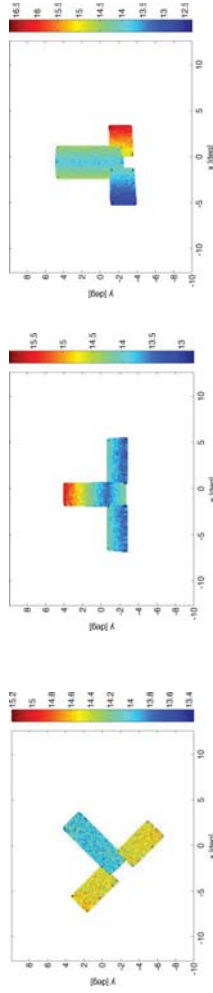
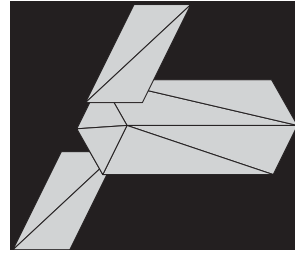
**3σ pos err < 1 m at 100m range, vel err < 10cm/s with 1-s updates**

# Loss of Measurements



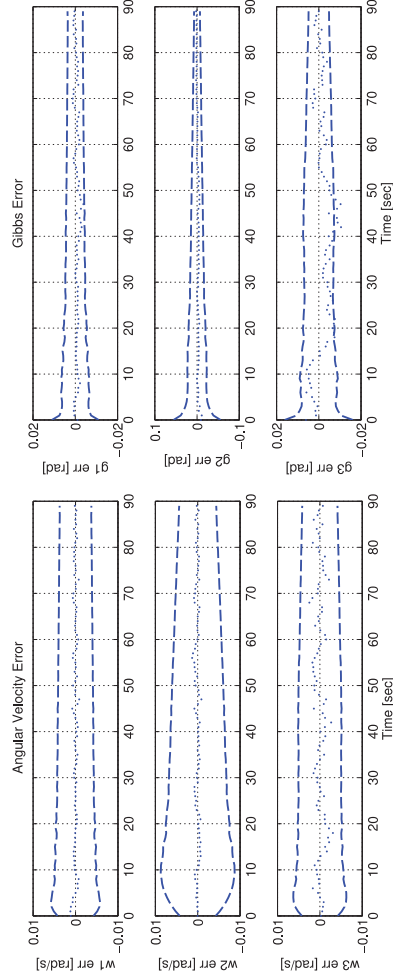
# Relative Position and Attitude

- Within 250 m start solving for pos + attitude
- Use corners of s/c as feature points
- Assume 1cm 1- $\sigma$  ranging errors, and 1 pixel 1- $\sigma$  angle errors
- Range from 20 m to 5 m in 90 s
- We assume features are matched
- Use OLTAE algorithm to get point solution



# Filtering

- Use EKF or UKF with OLTAE solutions for position and Gibbs vector as measurements



# Reports and Papers

- McMahon, J., S. Gehly, and P. Axelrad, "Enhancing Relative Attitude and Trajectory Estimation for Autonomous Rendezvous Using Flash LIDAR," AIAA/AAS Astrodynamics Specialist Conference, San Diego, CA, August 4-8, 2014.

LoCraсто, H. and P. Axelrad

- CU\_FAA\_Task244\_Background\_Summary\_Report\_2013-06-19
- CU\_FAA\_Task244\_Mission\_Phases\_Report\_2013-10-01
- CU\_FAA\_Task244\_Requirements\_Report\_2014-07-24



## Conclusions and Future Work

- Continuing to work to identify and quantify key requirements for ARD missions using existing requirements and standards documents and lessons learned from past missions
- Optimize approach trajectories for maximum information gain/robustness
- Currently working on Flash LIDAR image processing for feature identification, using Argos P100 time-of-flight camera

## COE CST Fourth Annual Technical Meeting:

### Ultrahigh Temperature Composites for Thermal Protection Systems (TPS)

Hongjiang Yang, Donovan Lui,  
Jay Kapat, Jan Gou

Department of Mechanical and  
Aerospace Engineering  
University of Central Florida

October 29-30, 2014  
Washington, DC



## Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Research Methodology
- Results
- Conclusions and Future Work

## Team Members

### Principle Investigators

- **Jan Gou** - Composite materials and structures, nanocomposite materials, advanced composites manufacturing: PMCs, CMCs and C/C composites
- **Jay Kapat** - Heat transfer, film cooling, aerodynamics testing

### Graduate Students

- **Hongjiang Yang**: nanocomposite materials, design, manufacturing, characterization and testing of composite materials
- **Donovan Lui**: Ablation testing and CMC fabrication



# Task Description

Develop **anisotropic** thermal conductivity, **ultrahigh temperature**, **light weight** and **cost effective** carbon nanotube preform reinforced polymer derived ceramics (PDC) matrix composites for thermal protection systems.

## STATEMENT OF WORK

- Develop carbon nanotube preforms (vertically aligned carbon nanotube (VACNT) arrays and buckypapers) for ceramic composites
- Design and fabrication of polymer derived ceramics (PDC) based ceramic matrix composites.
- Ground testing of CMC thermal protection systems with Oxyacetylene Exposure Test, Shock Tube Test and Hot Jet Facilities.
- Multi-scale modeling of CMC thermal protection systems.

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# Manufacturing of CMCs

## Manufacturing of Fiber Reinforced Polymer Derived Ceramics (PDC) Composites

### Prepregging with Resin Impregnator

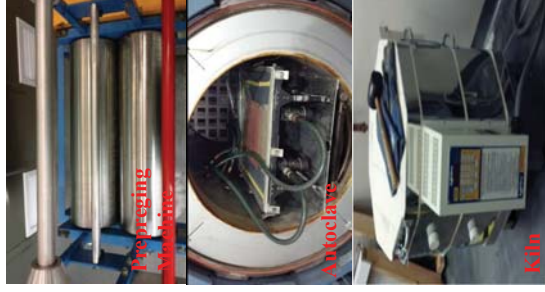
Prepreg woven fiber fabrics (ceramic fiber, carbon fiber, glass fiber, etc.) with polymer derived ceramics (PDC) resin

### Autoclave Curing

PDC resin curing in an autoclave with computer control over all process variables (max pressure 200 psi, max temperature 800°F) to become a greenware

### Pyrolysis in Kiln

Pyrolyze the greenware into a ceramic part (max temperature 1,200 °C)

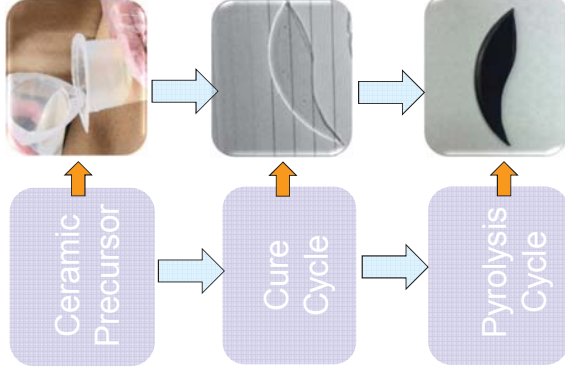


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# Polymer Derived Ceramics Matrix



Property	Starfire System Polysiloxane(PSO)	Starfire System Polycarbosilane (PCS)
Denotation	SPR-688/SPR-212	SMP-10
Operating temperature	1,100 °C	1,800 °C
Density	1.11 g/cm <sup>3</sup>	0.998 g/cm <sup>3</sup>
Catalyst	Platinum CAT-776	Dicumyl Peroxide

## High performances:

- > Low cost
- > Near net shape manufacturing
- > Outstanding thermo-chemical stability

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# High Temperature Ceramic Fibers

Trade-Name	Manufacturer	Use Temperature	Cost (\$/kg)	Filament Diameter (µm)	Density(g/cc)	Tensile Strength (MPa)	Tensile Modulus (GPa)	Composition	Thermal Expansion (Ppm/°C)
T300	Toray	300-350 °C	68	7	1.74	3100	230	C	-0.7
Nextel 720	3M	1204 °C	660	10-12	3.4	2930	260	Al <sub>2</sub> O <sub>3</sub> /SiO <sub>2</sub>	6
SCS-Ultra	Specialty Material	1371 °C	~9000	142	3.08	3900	380	SiC	4.1
SiC-1900X	MATECH	~1482 °C	-	10-12	3.14	2500	367	β-SiC	-
Nicalon NL-200	Nippon Carbon	1100 °C	~2000	14	2.55	3000	220	SiC	3.1-3.2
Hi-Nicalon	Nippon Carbon	1230 °C	8000	14	2.74	2800	270	SiC	3.3-3.5
Hi-Nicalon Type S	Nippon Carbon	1450 °C	13000	12	3.1	2600	420	SiC	3.5
Sylramic	COI Ceramics	1420 °C	10000	10	3.55	3200	380	SiC	5.4
Tyranno SA 1-3	Ube Industries	1700 °C	5000	10	3.02	2800	375	SiC	-

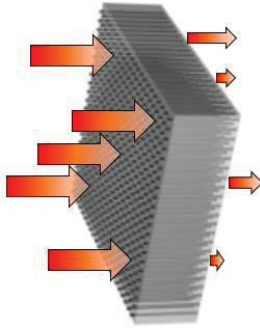
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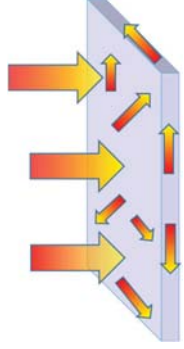


# Research Methodology

Control the direction of heat transfer “pathway” by arranging the orientation of carbon nanotubes: through-thickness direction and in-plane direction



VACNT Array



Buckypaper

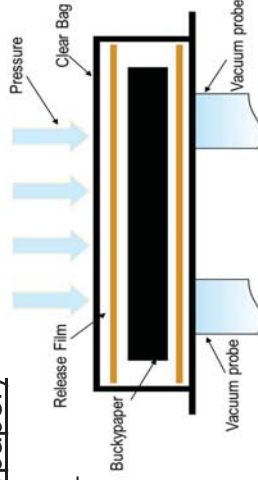
## RELEVANCE TO COMMERCIAL SPACE INDUSTRY

Ultra-high temperature, light weight, highly anisotropic thermal properties and cost effective thermal protection systems (TPS) are enabling technologies for viable commercial space transportation vehicles and their high-temperature systems.

# Research Methodology

## Carbon Nanotube Paper (Buckypaper)

- Manufactured by using the pressure-assisted infiltration process
- Carbon nanotubes are aligned in the plane



Autoclave

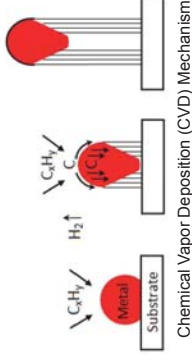
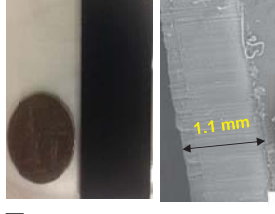


Buckypaper + PDC matrix

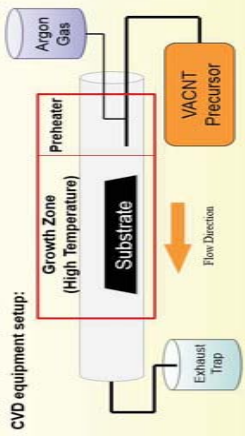
# Research Methodology

## Vertically Aligned Carbon Nanotubes (VACNT)

- Grown by Chemical Vapor Deposition (CVD)
- Highly anisotropic properties



Chemical Vapor Deposition (CVD) Mechanism



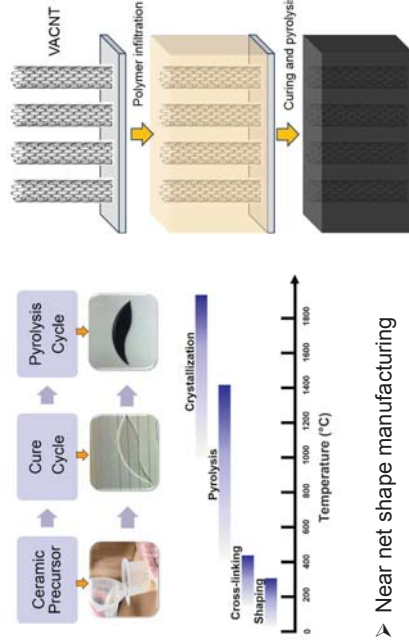
CVD equipment setup:



Tube Furnace

# Research Methodology

## Polymer Infiltration Process (PIP)



- Near net shape manufacturing
- Outstanding thermochemical stability
- Lower pyrolysis temperature, lower energy consuming



Kiln



VACNTs + PDC matrix

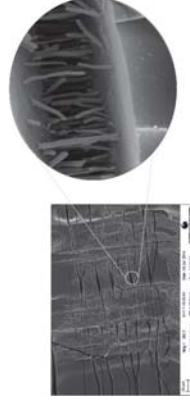
# Results

Electrical conductivity in two directions

Sample	Conductivity Through-thickness $\sigma$ (S/m)	Conductivity In-plane $\sigma$ (S/m)
Buckypaper/PDC	~0	0.161
VACNT/PDC	80.1	~0

Hardness

Samples	Hardness (GPa)
Buckypaper/PDC	2.5
VACNT/PDC	1.85
Pure PDC	8.5



- Alignment in the nanocomposite
- VACNTs have a good bonding with PDCs

# Conclusions

- Vertically aligned carbon nanotube (VACNT) forest was successfully grown with good quality.
- The VACNT/PDC ceramic composite was fabricated through curing and pyrolysis procedures.
- The highly anisotropic conductivity was observed.
- Scanning electronic microscopic images indicate that small cracks and pores have been developed after the PDC pyrolysis. The fracture toughness of the ceramic composite could be improved.

# Future Work

- Resin transfer molding (RTM) process with carbon nanotube preforms will be designed to solve the shrinkage problem in PDC curing and pyrolysis cycles.
- Thermal conductivity in both in-plane and through-thickness directions will be characterized.
- Ground testing of ceramic composites will be conducted with Oxyacetylene Exposure Test, Shock Tube Test and Hot Jet Facilities

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# Future Work

- COE CST Fourth Annual Technical Meeting
- Analysis Environment for Safety Assessment of Launch and Re-Entry Vehicles**
- Task 258

Francisco Capristan and Juan Alonso  
Department of Aeronautics and Astronautics  
Stanford University

COE CST Fourth Annual Technical Meeting (ATM4)  
October 29-30, 2014

October 29-30, 2014  
Washington, DC

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COE CST



## Overview

- Team Members
- Purpose of Task
- Research Methodology
  - Range Safety Assessment Tool (RSAT)
  - Surrogate Modeling for Uncertainty Quantification (UQ) and Optimization
- Results / Progress to Date
- Conclusions / Future Work

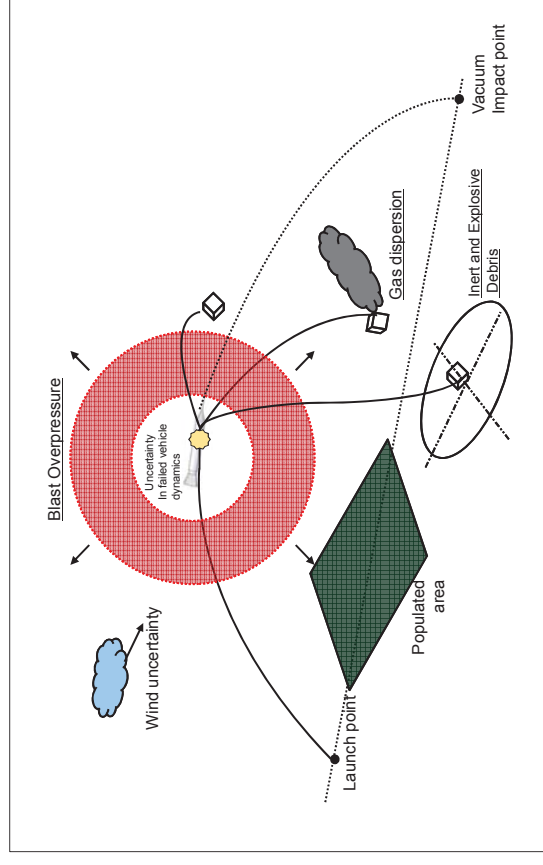
## Team Members

- PI: Juan J. Alonso, Aero & Astro, SU
- Francisco Capristan, Aero & Astro, Graduate Student, SU
- Paul Wilde, FAA
- Program Manager: Ken Davidian

## Purpose of Task/Goals

- To provide the FAA and the community with an independent analysis tool capable of quantifying the safety of the uninvolved public due to launch and re-entry vehicle malfunctions.
- To study uncertainty effects on the current safety metrics and evaluate if they are appropriate for a variety of commercial space transportation vehicles.
- To validate the resulting tool with existing and proposed vehicles so that the resulting tool/environment can be confidently used.
- To increase the transparency of the safety assessment of future vehicles via a common analysis tool that is entirely open source and, thus, streamline the licensing process for a variety of vehicle types.

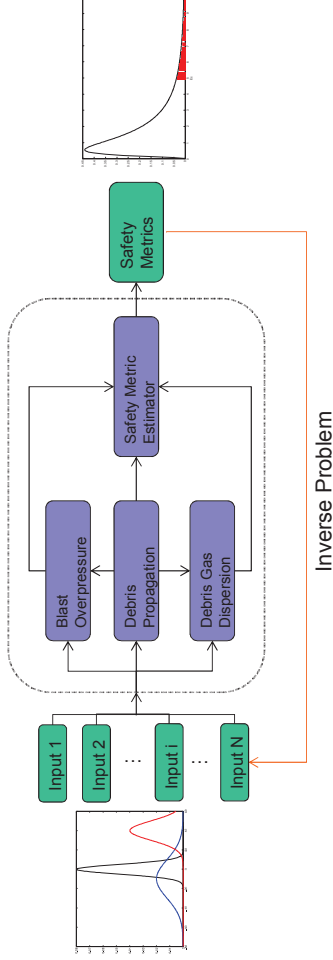
## Range Safety Assessment Tool (RSAT)





# RSAT

- Main focus is on safety to the uninvolved public (expected casualties).
- There are 3 main modeling modules.



- Results obtained by solving the inverse problem could be used to inform licensing restrictions or influence design.

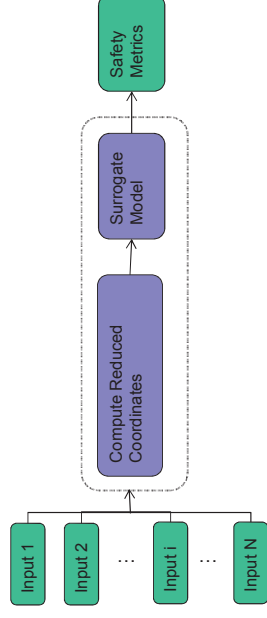
\*Details in "Range Safety Assessment Tool (RSAT): An analysis environment for safety assessment of launch and reentry vehicles (AIAA 2014-0304), Francisco M. Capristan, Juan J. Alonso, 52nd Aerospace Sciences Meeting, 2014, 10.2514/6.2014-0304"

# UQ and Optimization

Some of the challenges include:

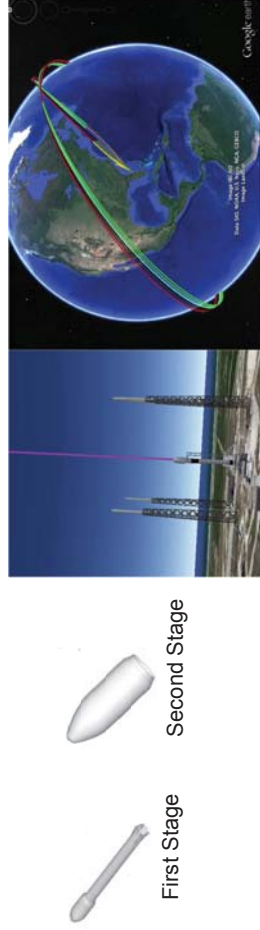
- The cost for each evaluation, coupled with the large number of samples required for UQ demand large computational resources.
- The value of interest tends to be noisy due to the stochastic nature of the problem.
- Most methods suffer from the curse of dimensionality.

We are proposing the use of Active Subspaces to decrease the dimensionality of the problem and apply Gaussian Process Regression (GPR) as the surrogate to decrease the computational cost.



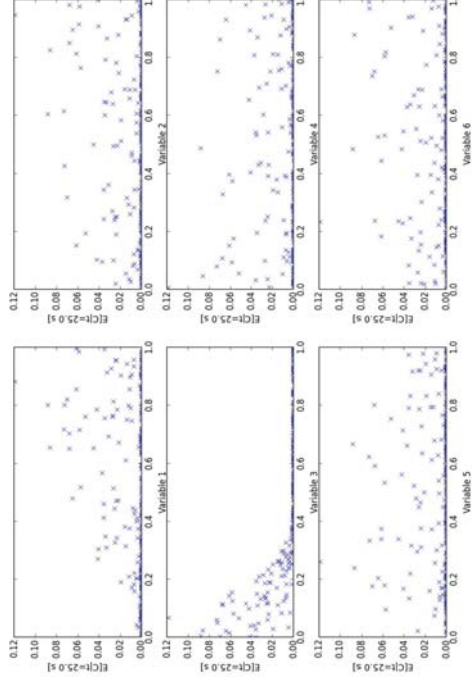
# Results

- Generic ELV vehicle launching towards ISS orbit.
- Aerodynamic data obtained from Missile Datcom.
- SPOT was used to generate optimal trajectories.
- Wind variations obtained from Earth GRAM.
- Performed Ec calculation due to inert and explosive debris.



# Results

- Uncertainty effects on  $E(C|t)=25 \text{ sec}$

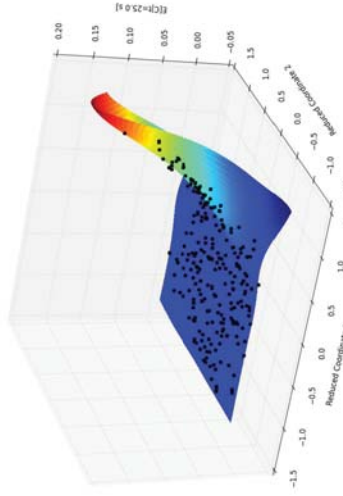


Only 6 variables shown from a total of 47.

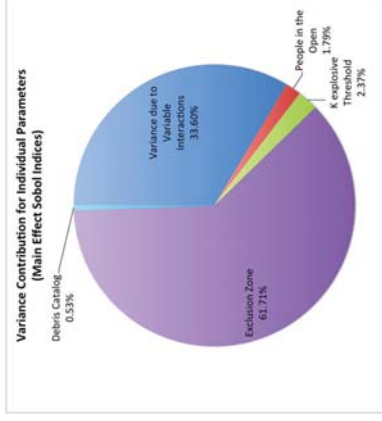
Can we do anything with this data?

# Results

$E(C|t=25 \text{ sec})$  can be approximated by two reduced coordinates. The surrogate model does a good job capturing the location of the test points.

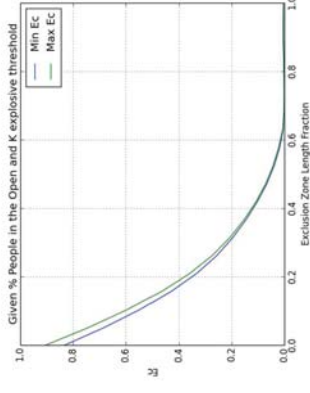
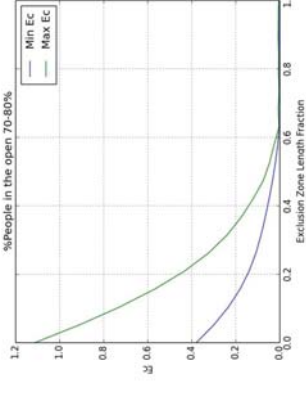
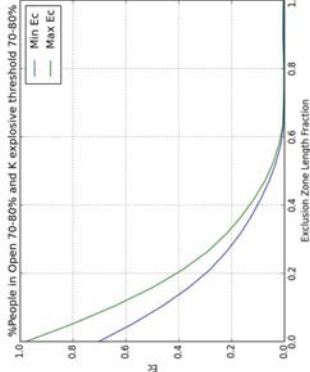


Surrogate Model with Reduced Coordinates



# Results

- Consider the best and worst possible  $E(C)$  scenarios given different exclusion zones sizes.
- The surrogate model is used to do the optimization.
- The low cost of evaluating the surrogate allows the creation of histograms for  $E(C)$  (params).



# Conclusions

- The Range Safety Assessment Tool (RSAT) considers:
  - Nominal and off-nominal trajectories
  - Failure Probabilities
  - Wind variations
  - Population density
  - Sheltering (roof types)
  - Debris Catalogs
  - Exclusion zones
- Active subspaces coupled with GPR help provide surrogate models that can be used to perform UQ and optimization.
- RSAT can compute global sensitivity analysis for an entire trajectory.
- Initial optimization runs suggests that the current methodology could help identify inputs that could lead to worst case scenarios.

# Ongoing and Future Work

- Further investigate how input uncertainties affect  $E(C)$  calculations.
- Use RSAT to analyze other vehicle configurations (e.g. suborbital vehicles).
- Identify parameters of interest to solve the inverse problem.
- Demonstrate how inverse solutions can be used in the context of licensing or setting mission requirements.

## Contact Information

- Juan J. Alonso [jjalonso@stanford.edu](mailto:jjalonso@stanford.edu)
- Francisco M. Capristan [fcaprist@stanford.edu](mailto:fcaprist@stanford.edu)

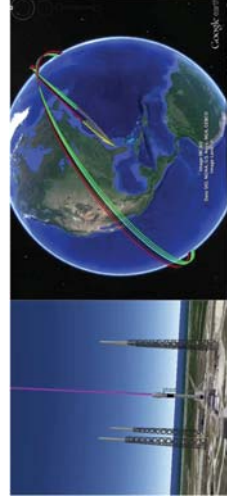
## Backup Slides

## Trajectory Development

Stanford Program to Optimize Trajectories (SPOT)

- In house 3-DOF trajectory code that uses a pseudospectral collocation method.
- Python code with a few fortran modules.
- Available optimizers:
  - SNOPT (commercial)
  - IPOPT (open source)
- Aerodynamics : CD as a function of Mach number
- MISSILE DATCOM used to obtain aerodynamic data

Trajectory perturbation (Thrust offset, wind, etc) performed with SPOT's trajectory propagation capabilities



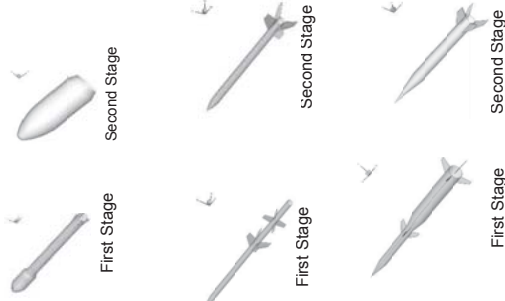
Sample Trajectory

## Safety Assessment Tool

- Inputs
  - Nominal and off-nominal trajectories
  - Failure Probabilities
  - Wind variations
  - Population density
  - Sheltering (roof types)
  - Debris Catalogs:
    - Size/number of pieces
    - Aerodynamic characteristics
- Outputs
  - Monte-Carlo-like debris locations
  - Expected Casualties

## Sample Trajectories

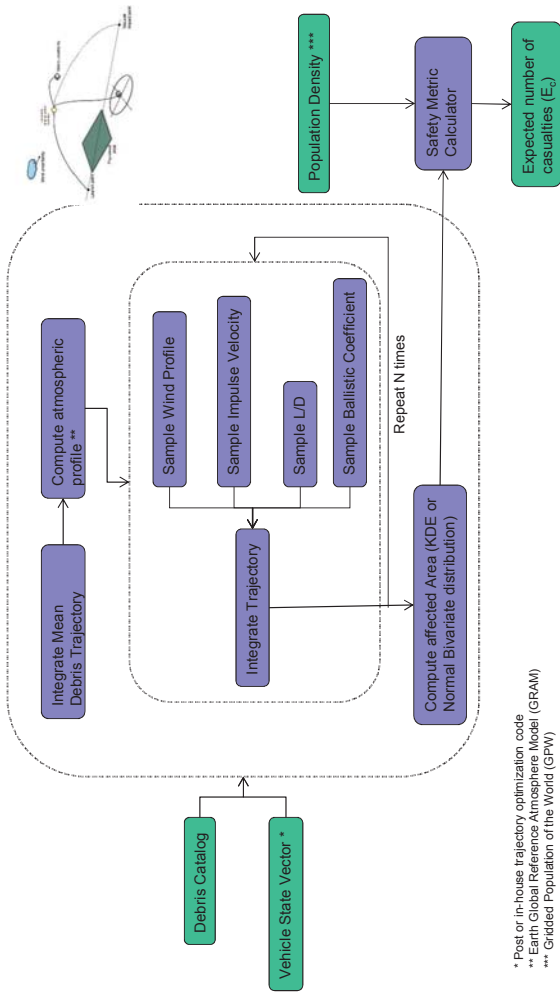
Falcon 9 type vehicle to the ISS orbit



Stratos II Sounding Rocket.  
~60 km Altitude

SONDA III Sounding Rocket.  
~600 km altitude

## Analysis Environment: Debris Propagation



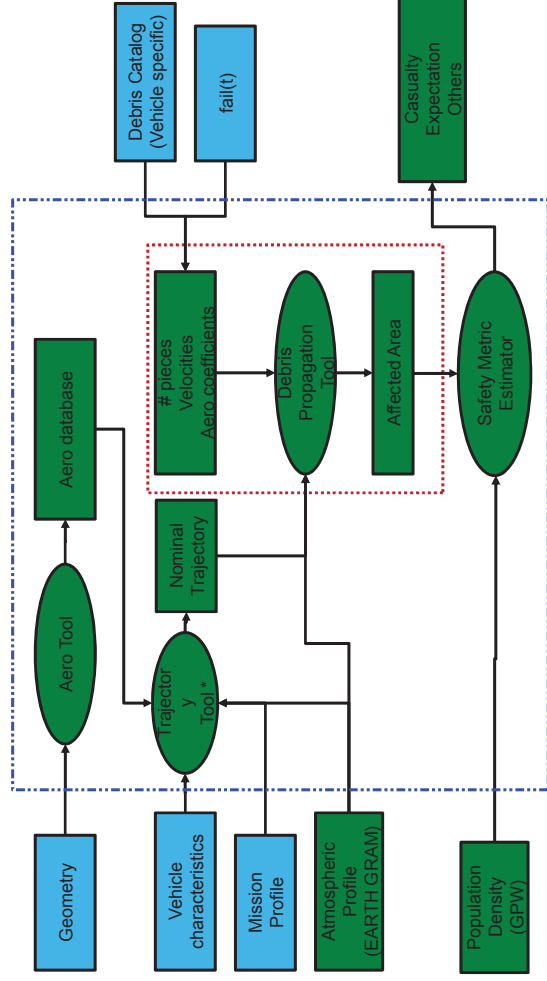
\* Post or in-house trajectory optimization code  
\*\* Earth Global Reference Atmosphere Model (GRAM)  
\*\*\* Gridded Population of the World (GPW)

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## Debris Modeling



\* Access to POST or Stanford Trajectory Optimization Program (STOP)

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## Debris Modeling

- The following assumptions/considerations were made to the debris dispersion tool :
  - Spherical/Oblate rotating Earth.
  - Debris pieces have constant mass.
  - Debris pieces treated as point masses.
  - Lift and drag coefficients functions of Mach number.
  - Explosion effects simulated by giving impulse velocities to the debris.
  - Earth Gram used to obtain atmospheric profiles.
  - Wind effects in all 3 orthogonal directions are considered.
  - Affected ground area obtained by using Kernel Density Estimation or assuming a Normal Bivariate distribution

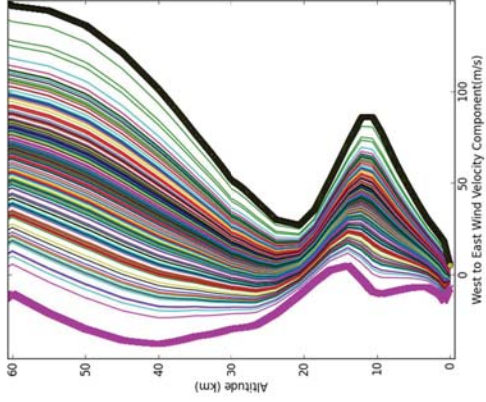
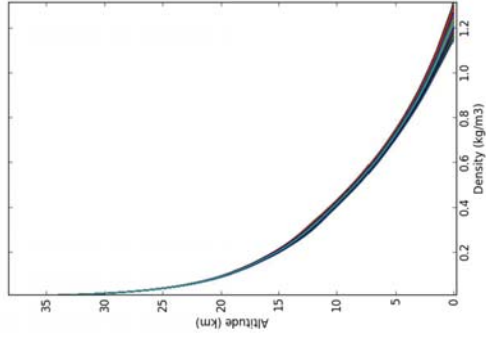
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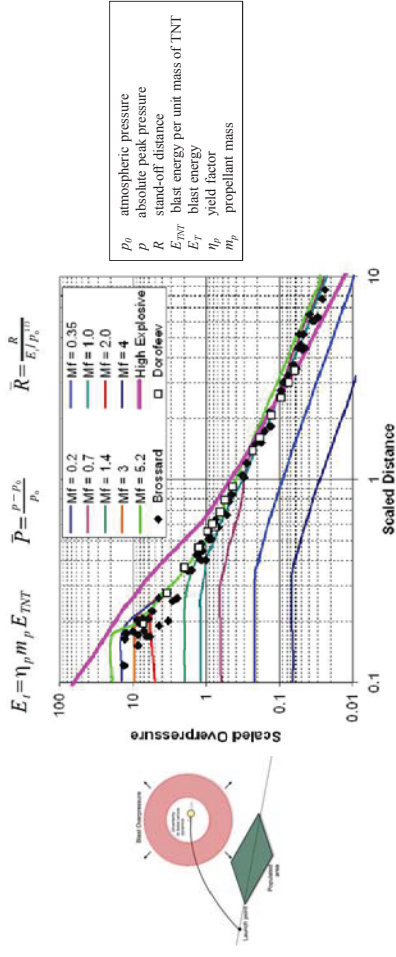
# Debris Propagation

Uncertainty in atmospheric parameters



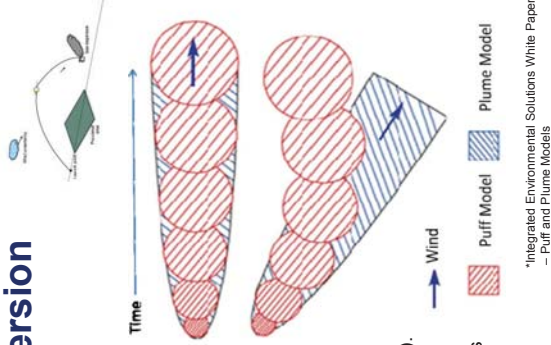
# Analysis Environment: Blast Overpressure

- Blast Overpressure is one of the main threats associated with catastrophic booster failure leading to explosion.
- The Baker-Strehlow-Tang curves are used because of their ease of use and good agreement with experiments in the supersonic and subsonic regimes.



# Analysis Environment: Gas Dispersion

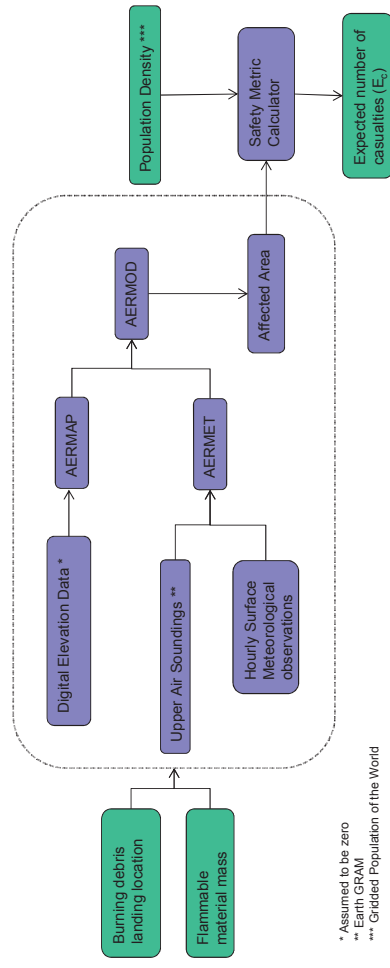
- The most common air dispersion models are Plume and Puff types.
- Modeling systems considered :
  - CALPUFF => Puff
  - AERMOD => Plume
- AERMOD :
  - Steady state model which assumes that a plume disperses in the horizontal and vertical directions.
  - Plume follows the wind direction in a straight line.
  - Valid Range up to 50 km from the source.
- CALPUFF :
  - Uses discrete puffs emitted from sources.
  - Puffs can follow a curved trajectory (due to changing winds).
  - Valid Range up to 200-300 km from the source.
- Due to complexities in CALPUFF's input parameters, AERMOD is used in our modeling environment.
  - Studies suggests that CALPUFF and AERMOD return comparable results for dispersion near the sources.



\*Integrated Environmental Solutions White Paper - Puff and Plume Models

# Analysis Environment: Gas Dispersion

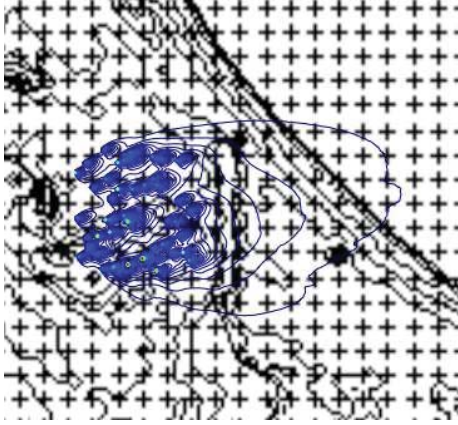
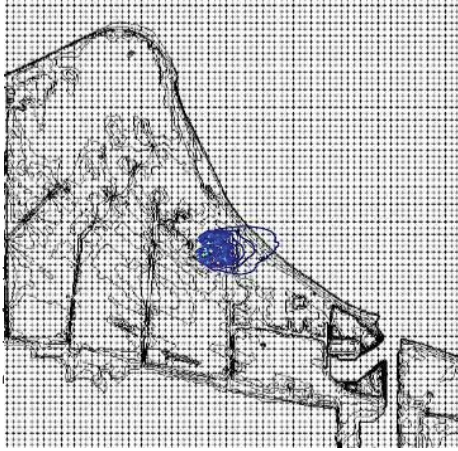
- Currently using AERMOD (Atmospheric Dispersion Modeling):
  - Tool used by the U.S Environmental Protection Agency (EPA) for regulation purposes.
  - It incorporates air dispersion based on planetary boundary layer turbulence structure and scaling concepts, including treatment of both surface and elevated sources, and both simple and complex terrain.



\* Assumed to be zero  
\*\* Earth GRAM  
\*\*\* Gridded Population of the World

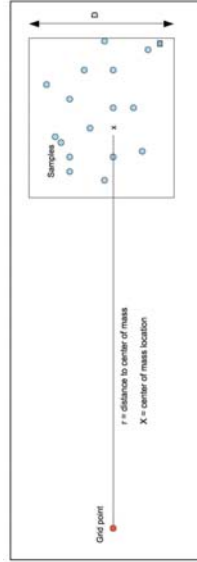
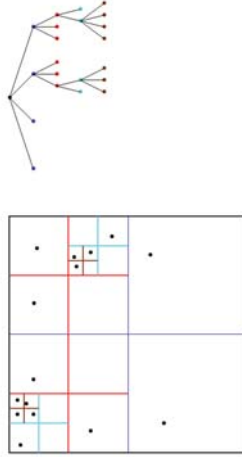
# Gas Dispersion Simulation

- Sample gas dispersion case (add more details: location, test case made up, wind profiles, etc, etc)
- 50 pieces of burning debris



# Kernel Density Estimation via Adaptive Quadrees

Adaptive quadtree where no square contains more than 1 particle



Quadtree formulation

$$\frac{D}{r} < q$$

$$\hat{f}(x) = \frac{1}{2\pi n \sqrt{\det(H_2)}} \sum_{i=1}^n e^{-\frac{1}{2}(x-X_i)^T H_2^{-1}(x-X_i)}$$

$$\hat{f}(x) = \frac{1}{2\pi n \sqrt{\det(H_2)}} \sum_{k=1}^{N_r} n_k e^{-\frac{1}{2}(x-x_m)^T H_2^{-1}(x-x_m)}$$

# Technical Approach

Risk area debris formulation

$$X_i = [Latitude_i, Longitude_i]^T$$

Normal Bivariate	Kernel Density
$\bar{X} = \frac{1}{n} \sum_{i=1}^n X_i$	$\bar{X} = \frac{1}{n} \sum_{i=1}^n X_i$
$S = \frac{1}{n-1} \sum_{i=1}^n (X_i - \bar{X})(X_i - \bar{X})^T$	$S = \frac{1}{n-1} \sum_{i=1}^n (X_i - \bar{X})(X_i - \bar{X})^T$
$\hat{f}(x) = \frac{1}{2\pi \sqrt{\det(S)}} e^{-\frac{1}{2}(x-\bar{X})^T S^{-1}(x-\bar{X})}$	$\begin{bmatrix} S_1 & 0 \\ 0 & S_2 \end{bmatrix} = U^{-1} S U$ Compute eigenvalues and eigenvectors
	$U = \begin{bmatrix} s_1 & -s_2 \\ s_2 & s_1 \end{bmatrix}$
	$[P, Q] = X^T U$
	$h = 1.06 (\min(\alpha, \frac{\alpha_2}{1.34})) n^{-1/4}$
	$H_2 = U \begin{bmatrix} h_1^2 & 0 \\ 0 & h_2^2 \end{bmatrix} U^{-1}$
	$\hat{f}(x) = \frac{1}{2\pi n \sqrt{\det(H_2)}} \sum_{i=1}^n e^{-\frac{1}{2}(x-X_i)^T H_2^{-1}(x-X_i)}$

Procedure suggested in "Range Safety Application of Kernel Density Estimation" Gary Cornek, et al.

# Expected Casualty Calculation

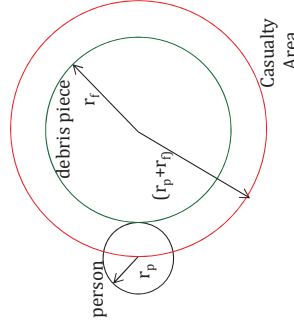
$A_C$  : Casualty area  
 $A_f$  : fragment projected area  
 $r_p$  : person radius

$$A_C = \pi \left( \sqrt{\frac{A_f}{\pi} + r_p} \right)^2$$

$E_C$  : Casualty Expectation  
 $p_{ij}$  : probability that the  $j$ th piece of debris will land in  $A_i$   
 $N_j$  : number of people  
 $A_i$  : Area of interest

$$E_C = \sum_{i=1}^m \sum_{j=1}^n p_{ij} A_{Cij} \frac{N_j}{A_i}$$

Casualty Area Calculation



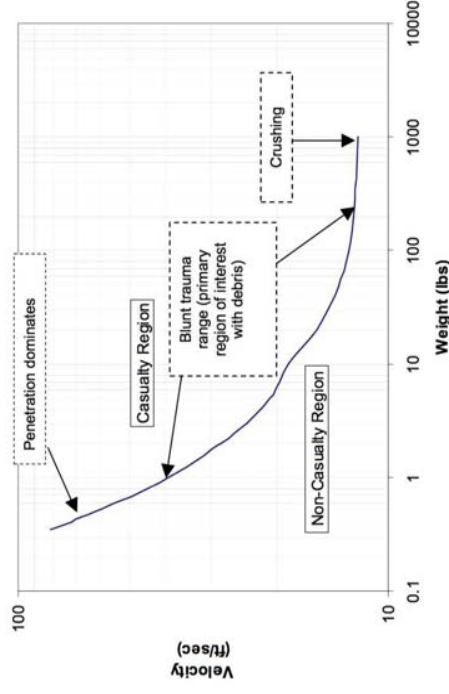
\*\*A Monte Carlo Model for Exploding Solid-Propellant Rockets\*  
 J.C. Mathurin, et al.

## Ec Calculation

- The following assumptions/considerations were made in the Expected Casualty (safety metric) calculation:
  - Population divided in square grid cells, and uniformly distributed within each cell.
  - No bouncing debris considered.
  - An empirical formula is used to calculate debris piece lethality.
  - Gridded Population of the World used for population density

## Ec Calculation

- Debris piece lethality assessment



\* "Estimation of Space Shuttle Orbiter Reentry Debris Casualty Area" Jon D. Collins, Randolph Nyman, and Isaac Lottati

## Example Distribution of Sheltering

Census Category (Occupation)	Open	Wood-Roof	Wood-1 <sup>st</sup>	Wood-2 <sup>nd</sup>	Steel-Roof	Steel-1 <sup>st</sup>	Steel-2 <sup>nd</sup>	Concrete	Concrete-1 <sup>st</sup>	Concrete-2 <sup>nd</sup>	Composite	Light Metal	Tile-Roof	Tile-1 <sup>st</sup>	Tile-2 <sup>nd</sup>	Ctr
Management occupations (other than farm managers)	11.7	6.3	1.8	1.7	6.4	10.9	9.0	7.0	9.0	20.0	5.6	3.0	0.3	1.0		
Farm managers	33.0	19.0	1.0							13.0	17.0					17.0
Farming, fishing, and forestry occupations	50.0	4.8	0.3	0.5			0.5			5.0	5.0	4.8	0.3			29.0
Installation, maintenance, and repair occupations	20.0	24.9	4.6	0.5	7.2	4.7	5.1	6.8	4.4	4.8	1.0	0.7	0.3	0.1	15.0	
Production occupations	3.2	1.6	0.2	10.8	2.9	0.3	15.4	4.2	10.4	50.0	5.0	3.2	1.6	0.2	1.0	
Supervisors, transportation and material moving workers	30.0									50.0						

Scenario	s <sub>2</sub>	e <sub>2</sub>	d	v
Weekday Daytime Summer	0.05	0.9	0.25	0.05
Weekday Daytime Winter	1	0.9	0.1	0.07
Weekday Night	0	0.05	0.01	0.005
Weekend Daytime Summer	0	0.2	0.4	0.06
Weekend Daytime Winter	0.02	0.2	0.1	0.07
Weekend Night	0	0.01	0.01	0.005

\*Tables from "Large Region Population Sheltering Models for Space Debris Risk Analysis. Eric W.F. Larson"

## Safety Metric Estimator .Expected Casualty Formulation

$$P_{I_{ij}} = f(\vec{r}, \vec{v}, a\vec{e}\vec{r}o)$$

$$A_{C_{k_r}} = g(m), r \geq 1$$

$$E_{1k}(\vec{A}_{C_k}, \vec{r}, \vec{v}, a\vec{e}\vec{r}o) = \sum_{i=1}^n \sum_{j=1}^m (P_{k_{i,j}} [A_{C_{k_0}} \rho_{ij} c_0 + \sum_{r=1}^{\#roofs} (A_{C_{k_r}} \rho_{ij} c_r)])$$

$$E_k(\vec{r}, \vec{v}, a\vec{e}\vec{r}o) = \sum_{i=1}^n \sum_{j=1}^m (P_{k_{i,j}} [E(A_{C_{k_0}}) \rho_{ij} c_0 + \sum_{r=1}^{\#roofs} E(A_{C_{k_r}}) \rho_{ij} c_r])$$

$$E(A_{C_{k_r}}) = \int_0^{\infty} g(m) p(m) dm, r \geq 1$$

$P_{k_{i,j}}$  => PDF on the ground for debris group k (from KDE or Normal distribution assumption)  
 $A_{C_{k_r}}$  => Casualty area (debris piece projected area, for people in the open)  
 $A_{C_{k_0}}$  => Casualty Area for different roof types  
 $c_r$  => fraction of people in different shelter categories  
 $\rho_{ij}$  => Population Density  
 $k$  = debris group

# Safety Metric Estimator

## .Sheltering Formulation

$$E_{1k}(\vec{A}_{C_k}, \vec{r}, \vec{v}, a\vec{e}^T o) = \sum_{i=1}^n \sum_{j=1}^m (P_{k_{i,j}} [A_{C_{k_0}} \rho_{ij} c_0 + \sum_{r=1}^{\#roofs} (A_{C_{k_r}} \rho_{ij} c_r)])$$

$$\vec{c} = e_1 e_2 O \vec{d} + s_1 s_2 \vec{q} + (1 - e_1 e_2 - s_1 s_2) [(1 - d - v) H \vec{h} + (0 \ 0 \dots v \ d)^T]$$

Variable	Description
$e_1$	Fraction of people who are employed
$e_2$	Fraction of those employed who are at work
$o$ (vector)	Fraction of people who are at work in each occupation category
$O$ (matrix)	Fraction of people in each sheltering class by occupation
$s_1$	Fraction of people who are students
$s_2$	Fraction of students who are at school
$q$ (vector)	Fraction of people at school in each sheltering class
$d$	Fraction of people not at work or school who are outside
$v$	Fraction of people not at work or school who are in vehicles
$h$ (vector)	Fraction of people in each housing type
$H$ (matrix)	Fraction of people in each sheltering class by housing type

\*Formulation from "Large Region Population Sheltering Models for Space Debris Risk Analysis. Eric W.F. Larson"

## Validation Test Cases

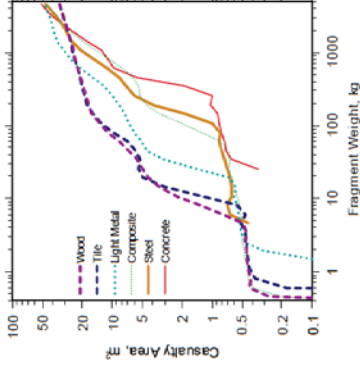
- Two test cases have been simulated:
  - STS-107 (Columbia) accident simulations
  - STS-111 over-flight of Eurasia simulations
- Experimental data available for STS-107
- Other computations available for STS-111
- Results of current framework compare favorably with existing data:
  - Debris impact locations
  - Expected casualty numbers
  - Sensitivities

# Safety Metric Estimator

## .Roof Models

- Casualty Area of Roof Penetration Models

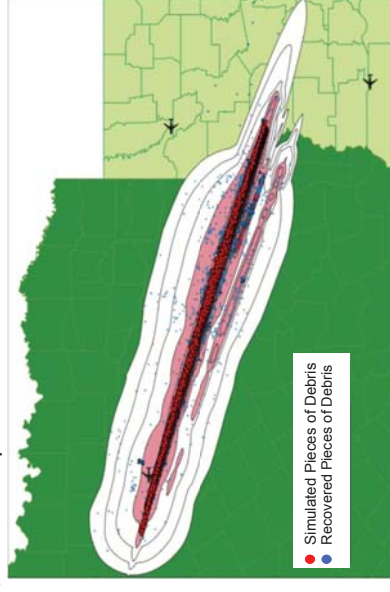
$$E_{1k}(\vec{A}_{C_k}, \vec{r}, \vec{v}, a\vec{e}^T o) = \sum_{i=1}^n \sum_{j=1}^m (P_{k_{i,j}} [A_{C_{k_0}} \rho_{ij} c_0 + \sum_{r=1}^{\#roofs} (A_{C_{k_r}} \rho_{ij} c_r)])$$



\*from "Large Region Population Sheltering Models for Space Debris Risk Analysis. Eric W.F. Larson"

## Validation Test Case STS-107 Columbia Accident

- Breakup during re-entry
- Debris catalog from Columbia Accident Investigation Board (CAIB) report.
- 11 debris groups considered (grouped by ballistic coefficient and projected area).
- More than 80,000 debris pieces recovered over more than 10 counties.

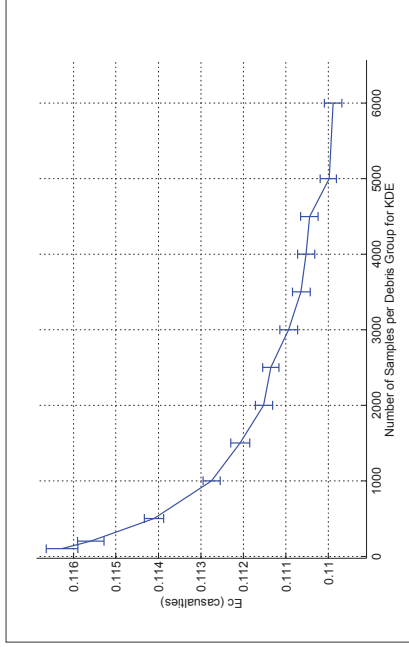


Columbia Accident Debris Locations Comparison (original figure from CAIB Report)



## Validation Test Case STS-107 Columbia Accident

- Expected casualties,  $E_c$ , convergence for kernel density estimation.
- Population density from Gridded Population of the World (GPW)



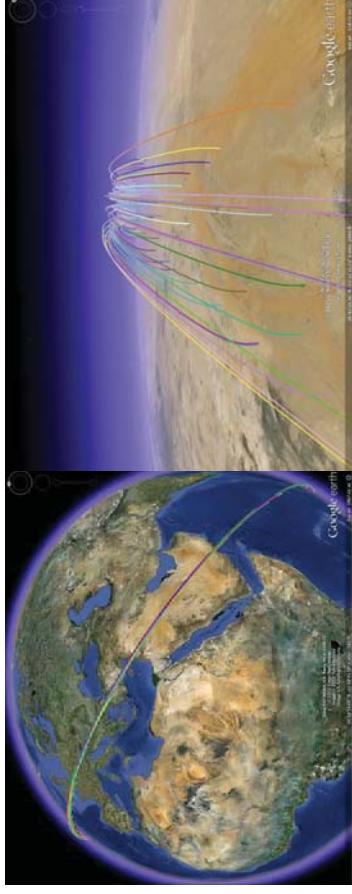
	% People in the open	$E_c$
CAIB Report*	18.7	0.14
Simulation	18.7	0.11

Casualty Expectation Convergence

\*Results from Columbia Accident Investigation Board

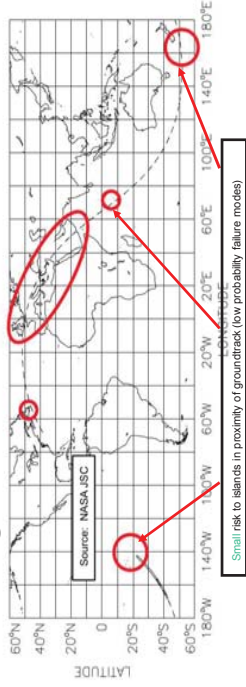
## STS-111 Over-Flight of Eurasia Simulations

- Stage II, on trajectory, orbiter failures.
- Reentry breakup altitude ~ 250,000 ft.
- Failure times 490-500 seconds.
- Orbiter debris catalog from Columbia accident.
- 3-sigma trajectories provided by Paul Wilde.



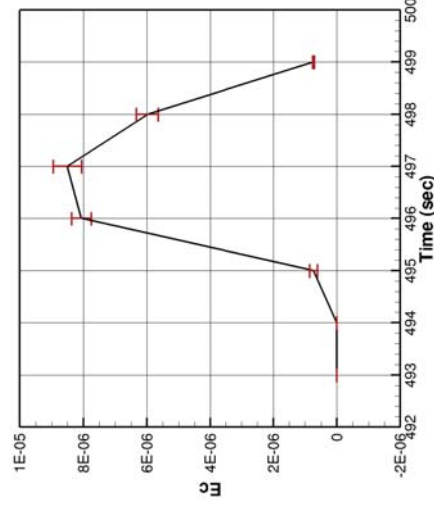
Simulated Debris Trajectories

## STS-111 Over-Flight of Eurasia Simulations



Simulated Debris Impact Location

## STS-111 Over-Flight of Eurasia Simulations and UQ



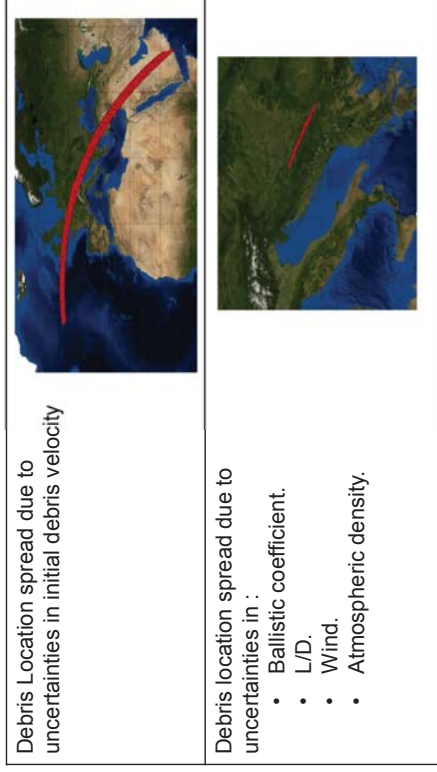
Casualty Expectation vs. Flight Time with 99% Confidence Intervals

$E_c$  values reported by ACTA range from 2.8e-6 to 4.6e-6.

- Differences in results probably due to sheltering, guidance and performance, and wind uncertainty.

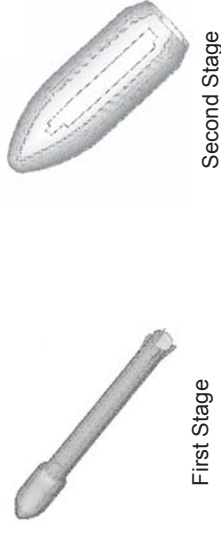
## STS-111 Over-Flight of Eurasia Simulations and UQ

- Uncertainty effects on risk area determination:
  - On trajectory failure at  $t = 497$  sec.
  - Ballistic coefficient = 100 lb/ft<sup>2</sup>.

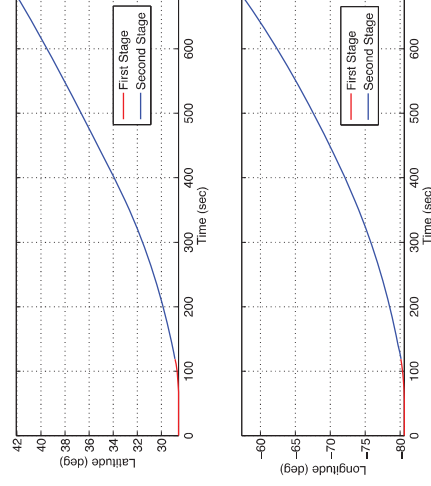
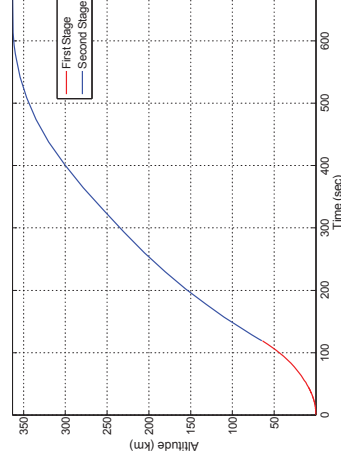


## Sample Test Case ELV to ISS orbit

- Generic ELV vehicle launching towards ISS orbit.
- Aerodynamic data obtained from Missile Datcom.
- SPOT was used to generate optimal trajectories.
- Wind variations obtained from Earth GRAM.
- Performed expected casualties calculation due to inert debris impacts, gas dispersion, and blast overpressure.

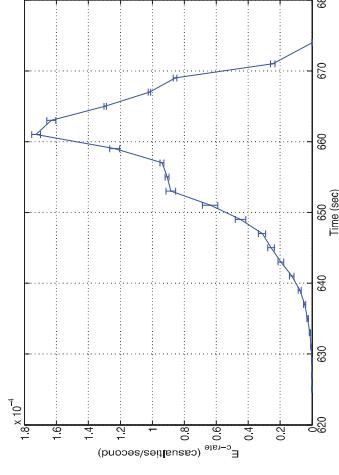
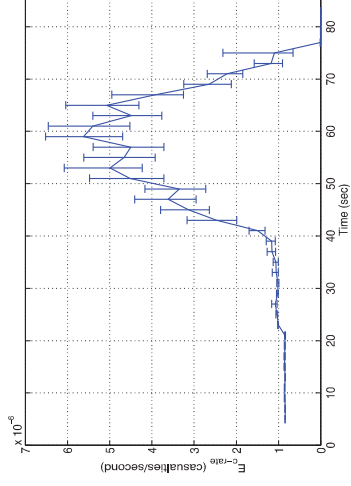


## Sample Test Case ELV to ISS orbit Trajectory



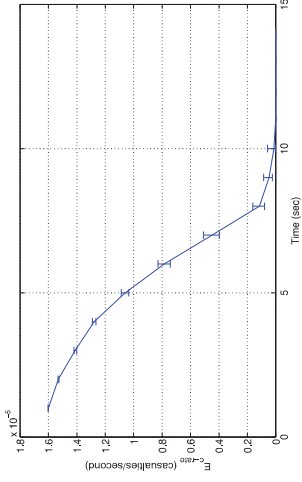
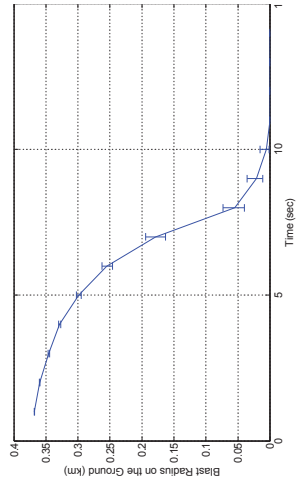
Nominal Trajectory

## Sample Test Case ELV to ISS orbit Debris Propagation



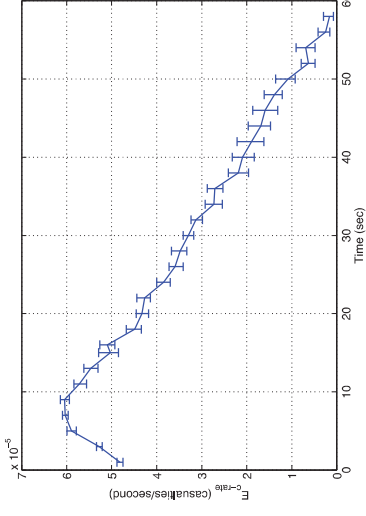
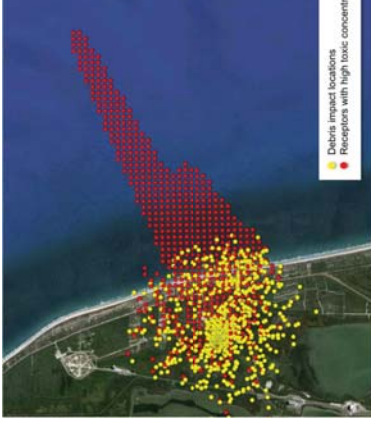
Expected Casualty Results

## Sample Test Case ELV to ISS orbit Blast Overpressure



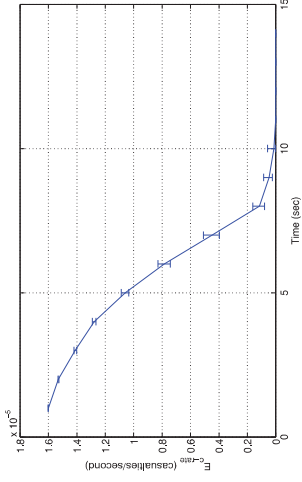
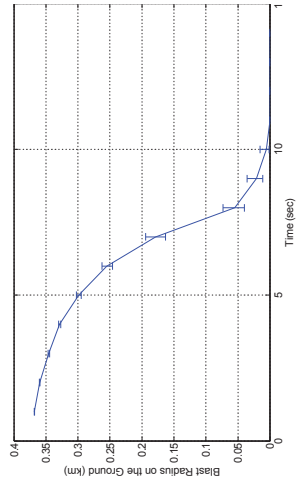
Blast Radius and Expected Casualty Results

## Sample Test Case ELV to ISS orbit Gas Dispersion



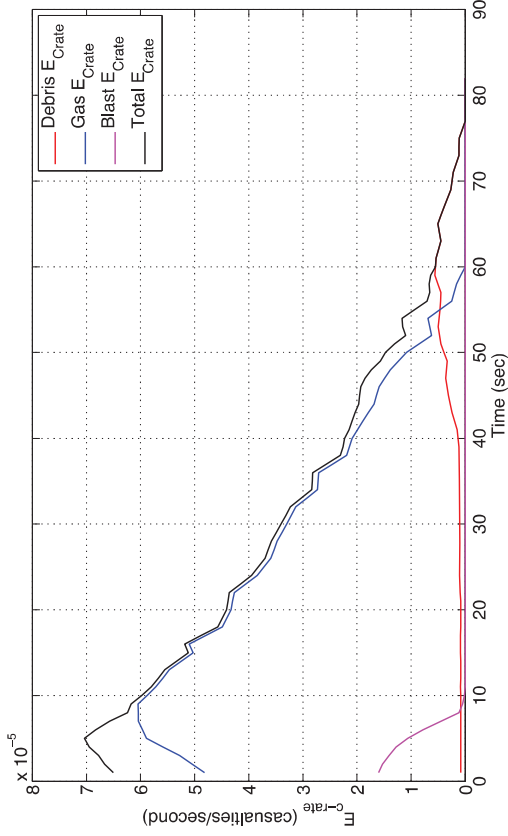
Receptor locations and expected casualties results

## Sample Test Case ELV to ISS orbit Blast Overpressure



Blast Radius and Expected Casualty Results

## Sample Test Case ELV to ISS orbit



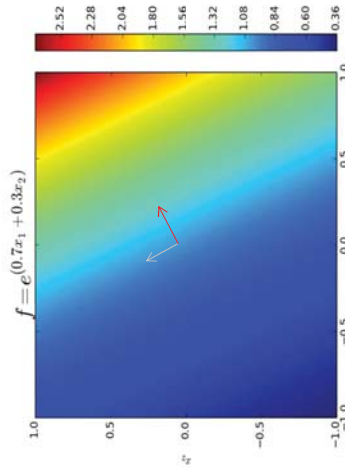
E<sub>Crate</sub> comparison for different hazards

## Research Methodology

- Currently the FAA uses procedures and tools to assess the safety of future commercial launch and re-entry vehicles that are based on traditional launch systems. There are concerns with potential diversity of future systems.
- Some uncertainty effects in safety assessment methodologies are not well understood. Thus, there might be important safety metric data currently being ignored.
- Safety issues include:
  - Human rating.
  - Acceptable probability of failure.
  - How to account safety risks not associated with component, sub-system, and system failure (unknown unknowns).
  - Safety assessment modeling is nondeterministic.

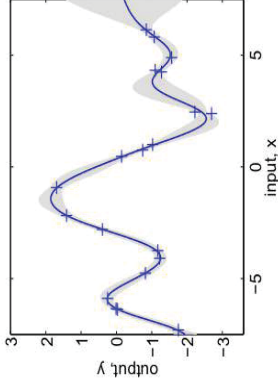
# Surrogate Modeling

Active Subspaces



-The goal is to determine the orthogonal directions that describe the variability of the objective

Gaussian Process Regression (GPR)



\*Figure from C. E. Rasmussen & C. K. I. Williams, Gaussian Processes for Machine Learning.

-Provide a way of characterizing functions by using the relation between each point on the function.

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# COE CST Fourth Annual Technical Meeting

Task 293  
Nonlinear Structural Models

Dr. A. Keith Miller,  
Dr. Warren Ostergren,  
And Mr. Lance Hernandez

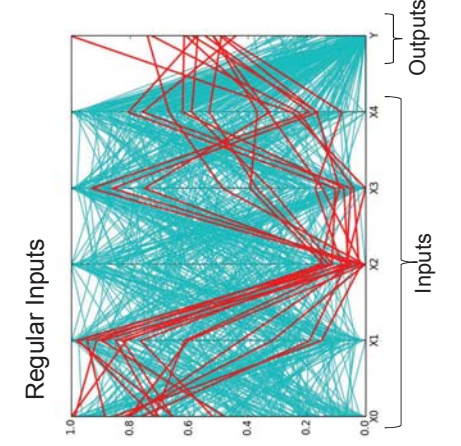
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# Results

- Cobweb Graph: Allows to visually inspect the input parameter combination that leads to worst case scenarios.



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# Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work

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## Team Members

- **Principal Investigators**
  - Dr. A Keith Miller, Adjunct Research Professor of Mechanical Engineering, NMT
  - Dr. Warren Ostergren, Associate Professor of Mechanical Engineering and Vice President of Academic Affairs, NMT
- **Students**
  - Mr. Lance Hernandez, BS MENG (Dec. 2014)
  - Mr. Joshua Mendoza, MS MENG (May 2013)
- **FAA Technical Monitor:** Mr. Nickolas Demidovich
- **Research Partners:** Sandia National Laboratories
- **Industry Partners:** United Launch Alliance, Ball Aerospace

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## Task Description

The purpose of this task is to develop computational tools that improve the capability to determine the performance and safety margins of commercial space vehicles. The focus is to construct non-linear system-level models. The models are constructed by computationally combining reduced-order finite element models of substructure components directly with experimentally-derived modal substructure components.

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## Schedule

- **Spring 2014**
  - Developed Matlab code to extract modal parameters from simulated FEA model of complex beam structures
- **Fall 2014**
  - Current physical testing of beam structure has validated the modal extraction codes
- **Spring 2015**
  - Test modal parameters of second, identical beam structure and begin sub-structuring process

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## Goals

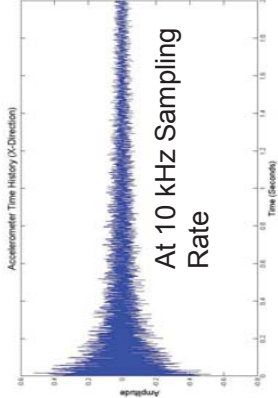
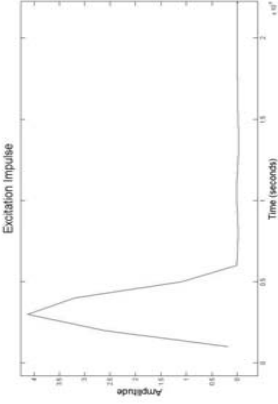
- **Task Specific Goals**
  - The main goal of this task is to combine reduced-order finite element models of substructure components directly with experimentally derived modal substructure components
- **Relevance to Commercial Space Industry**
  - This methodology will aid in determining the performance and safety margins of commercial space vehicles

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## Results (Physical Setup and Time Responses)



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## Conclusions and Future Work

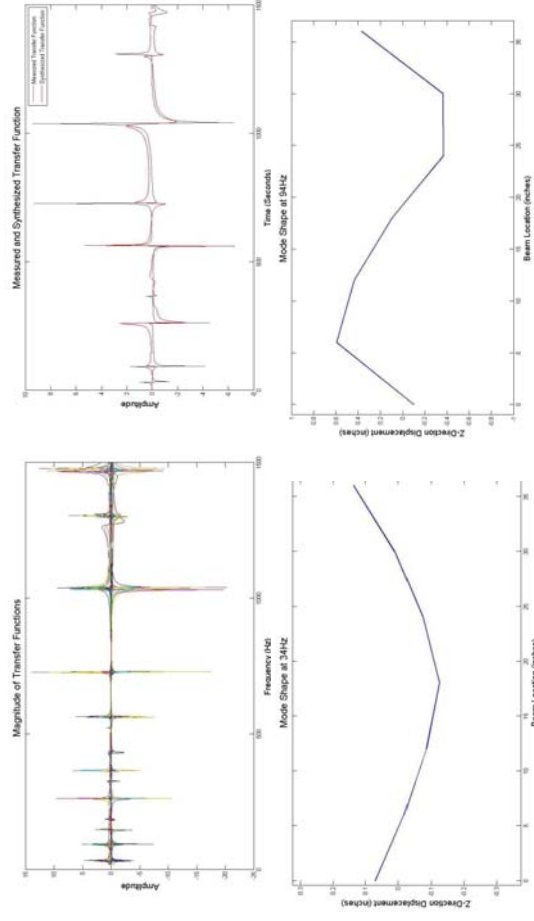
- The physical testing has successfully validated the modal extraction codes.
- More physical testing will take place with increased damping on the beam structure to further validate our results.
- Next step is to begin testing second beam structure and begin the sub-structuring phase of the project.

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## Results (Transfer Functions and Mode Shapes)



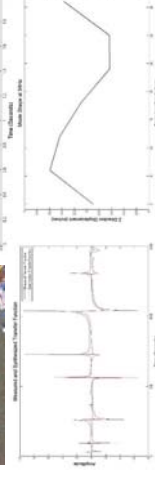
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## TASK 293 Nonlinear Structural Models

- **PROJECT AT-A-GLANCE**
- **UNIVERSITY:** New Mexico Tech
- **PRINCIPAL INVESTIGATORS:** Dr. A. Keith Miller, Dr. Warren Ostergren
- **STUDENTS:** Mr. Lance Hernandez
- **FAA TECHNICAL MONITOR:** Mr. Nickolas Demidovich
- **RELEVANCE TO COMMERCIAL SPACE INDUSTRY**
- The structural integrity of commercial launch platforms must be assessed for each mission, i.e. safety certification or recertification. A significant amount of structural response data must be collected in order to state confidence bounds on the computed safety margins. Experimental data will very likely need to be supplemented with data generated by numerical simulations of the structural response of the launch platforms to the anticipated flight environments. Efficient, cost-effective methods for generating non-linear structural models of CST platforms will result from this effort.



### STATUS

- Modal extraction codes have been validated by physical testing of beam structure.

### FUTURE WORK

- More physical testing will take place with increased damping on the beam structure to further validate our results.
- Next step is to begin testing second beam structure and begin the sub-structuring phase of the project.

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## COE CST Fourth Annual Technical Meeting

### Task 298—Evaluation of ADS-B Payloads

**PI: Pat Hynes**

**Investigators: Laura Boucheron, Joshua Michalenko**

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Washington, DC



## Team Members

- People
  - AST RDAB POC: Nick Demidovich, Ken Davidian
  - Principal Investigator: Pat Hynes
  - Technical Investigator: Laura Boucheron
  - Undergraduate Researcher: Joshua Michalenko
- Mitre Corporation



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## Task Description

- FAA will request truth data (acceleration) from Up Aerospace payload on SL6 on board avionics (IMU)
  - Dr. Boucheron will do comparative analysis of data transmitted from SL-6, SL-7 and SL-8
- Develop a plan, for integration of ADS-B receivers and data flow for use by commercial spaceports based on lessons learned from this task.

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## Goals

### Relevance to Commercial Space Industry:

Once procedures and separation standards are developed in conjunction with ADS-B for various classes of rockets, air traffic control would not have to sterilize air space and disrupt other NAS users for most rocket launches (large expendable rockets would be the exception). Most reusable rockets would be able to file a flight plan, making them much easier to launch, as aircraft are today, enabling routine commercial space operations in the NAS.

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# Results

## SL-8 launch: 12 November 2013



Data received:

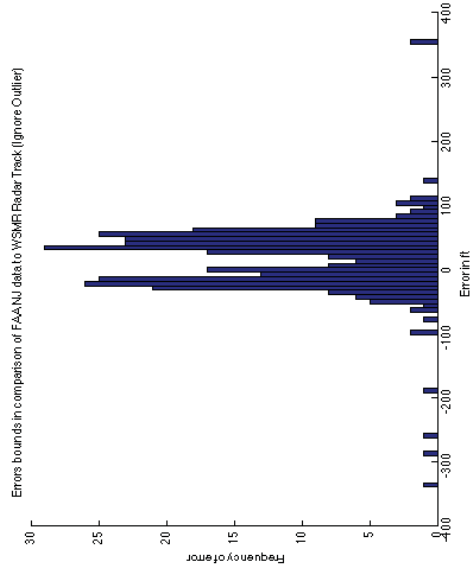
- WSMR radar
- FAA New Jersey Tech Center ADS-B data, including reentry and descent
- ERAU ADS-B data, including reentry and descent
- UpAerospace ADS-B data recorded from FAA Tech Center on-site mobile receiver, including reentry and descent

# Results

- WSMR data has a relative rather than absolute timestamp. We shift ADS-B data relative to WSMR data and search for shift with minimum mean-squared error (MSE).

# Results

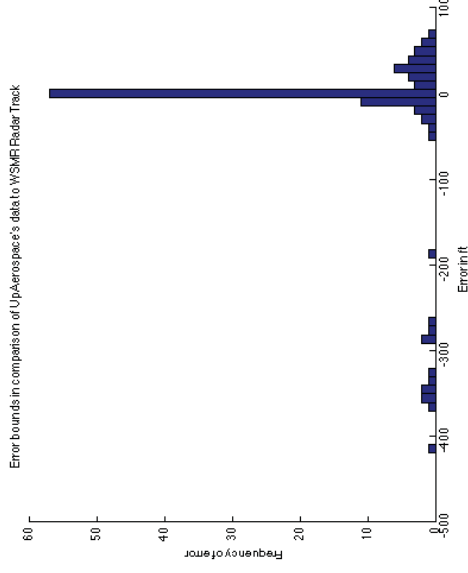
## FAA NJ data



- Minimum MSE of 5.7421e+06 ft for shift of 298 seconds.
- Minimum MSE alignment of WSMR and ADS-B data,
  - Maximum absolute error with outlier = 1706.8 ft
  - Maximum absolute error without outlier = 358.3 ft
  - Mean error without outlier = 47.0922 ft
  - Standard deviation = 102.45 ft

# Results

## UpAerospace Data

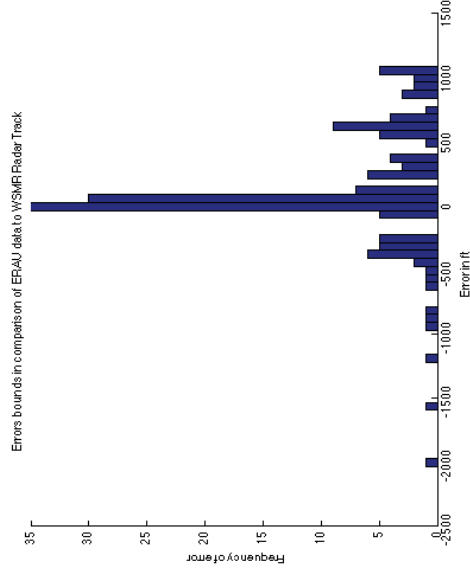


- Minimum MSE of 6.1454e+07 ft for shift of 334 seconds.
- Minimum MSE alignment of WSMR and ADS-B data,
  - Maximum absolute error = 419.3 ft
  - Mean error = -33.2 ft
  - Standard deviation = 108.1 ft



# Results

## ERAU data



- Minimum MSE of 1.6203e+07 ft for shift of 332 seconds.
- Minimum MSE alignment of WSMR and ADS-B data,
  - Maximum absolute error= 2020.3 ft
  - Mean error= 102.1 ft
  - Standard deviation= 311.95 ft

# Conclusions and Future Work

- ADS-B data from SL-8 displays errors with mean absolute value ~tens of feet compared to WSMR radar
- A few errors ~hundreds of feet
- Lack of absolute time-stamps in WSMR radar complicate analysis and computation of the alignment of data and MSE analysis may introduce a bias to the accuracy results
- Future work will continue analysis of the altitude errors, as well as latitude and longitude errors for all data received for both SL-7 and SL-8 launches.

## COE CST Fourth Annual Technical Meeting

### Task 299: Nitrous Oxide Composite Case Testing

PI: Warren Ostergren  
Co-PIs: Michael Hargather  
Robert Abernathy  
Andrei Zagrai

October 29-30, 2014  
Washington, DC



# Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work

## Team Members

- PI: Warren Ostergren (NMT)
- Co-PI: Robert Abernathy (EMRTC)
- Co-PI: Michael Hargather (NMT)
- Co-PI: Andrei Zagrai (NMT)
- Faculty: Seokbin Lim (NMT)
- Test Engineer: Paul Giannuzzi (EMRTC)
- Student: Jesse Tobin (NMT)
- Student: Steven Bayley (NMT)
- COE CST Program Manager: Ken Davidian (FAA)
- Technical Monitor: Yvonne Tran (FAA)
- Technical Monitor: Don Sargent (FAA)

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## Task Description

- Develop an understanding of fragmentation hazards from composite tanks used for fuel/oxidizer storage
- Objectives:
  - Test composite panels to understand fragmentation hazards
  - Develop methods to predict fragmentation conditions
  - Develop standard test procedures for composite materials under shock and high-rate loading
  - Develop analytical and computational models to compare to experiments

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## Schedule

- Fixture construction: October 2013-May 2014
- First successful aluminum test: May 29, 2014
- Second aluminum test: June 29, 2014
- Initial simulations complete: July 2014
- First composite test: October 3, 2014
- Second composite test: November 2014

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## Goals

- Provide data to help set guidelines for safe distances during launch of commercial vehicles
- Establish standard test procedures for high-rate loading of composites

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## Results: Fixture construction

- High-pressure driver up to 3000psi
- Low-pressure driven section to 700psi
- Models the N2O storage container
- Test plate fixed to end of tube
- Burst disk fails and produces shock wave



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## Results: Instrumentation

- Pressure gages along low-pressure section
- Thermocouple in low-pressure section
- High-speed imaging of plate failure
- Low-speed imaging of overall test
- Acoustic emission measurements



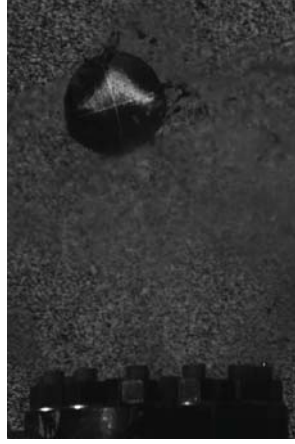
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## Results: Videos of testing



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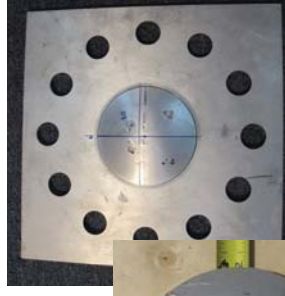
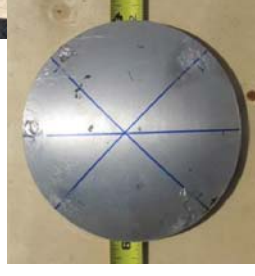


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## Results: Failure of plates

- 0.375" thick Aluminum test 1
- 0.25" thick Aluminum test 2
- Composite test 1
  - Composite material had thickness and glass transition temperature similar to sample of composite tank from a manufacturer



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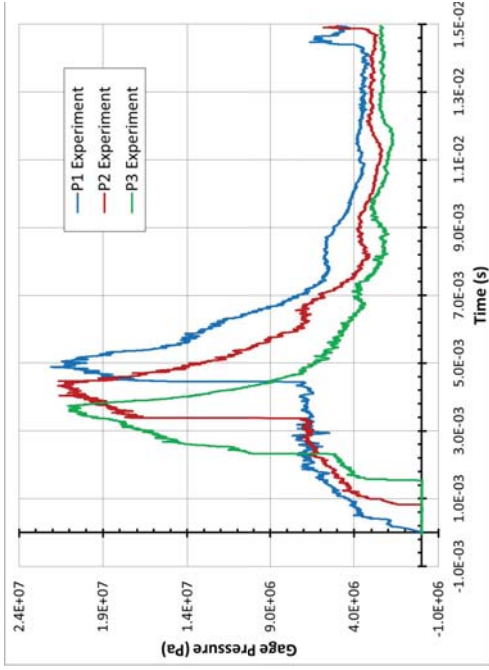


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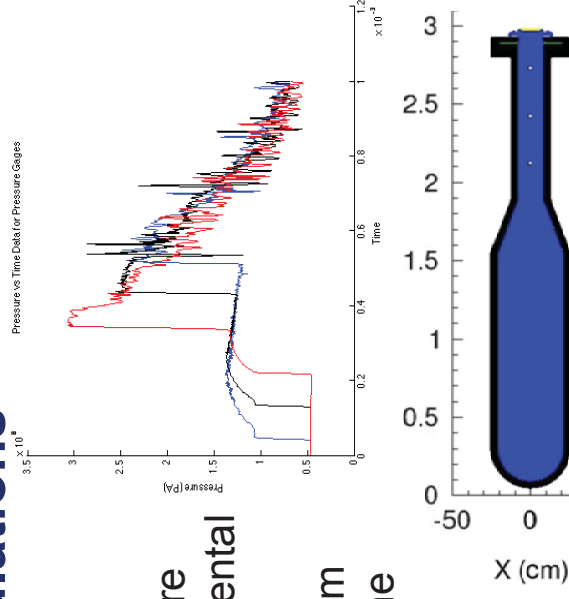
## Results: Pressure profiles

- Shock wave is formed in driver section
- Shock wave reflects from plate
- Plate failure occurs after reflection and results in pressure relief



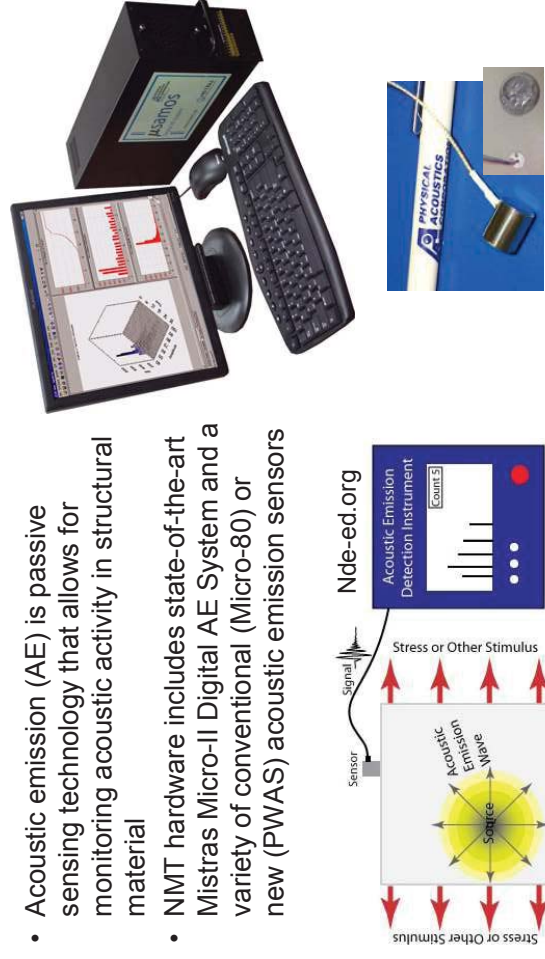
## Results: Simulations

- Simulations using CTH
- Pressure traces are similar to experimental measurements
- Failure of aluminum plate is in the same mode



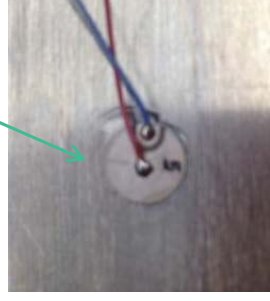
## Results: Acoustic Emission Testing

- Acoustic emission (AE) is passive sensing technology that allows for monitoring acoustic activity in structural material
- NMT hardware includes state-of-the-art Mistras Micro-II Digital AE System and a variety of conventional (Micro-80) or new (PWAS) acoustic emission sensors



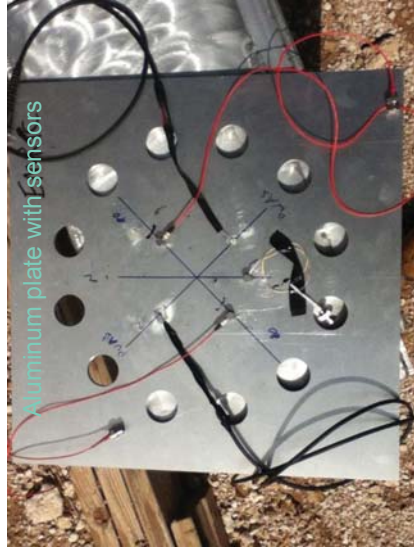
## AE Testing of Aluminum Plates

- Goals of the test:
  - Explore feasibility of utilizing AE sensors to record high-strain event.
  - Investigate performance of conventional (Micro-80) and embeddable (PWAS) sensors during high-strain event.

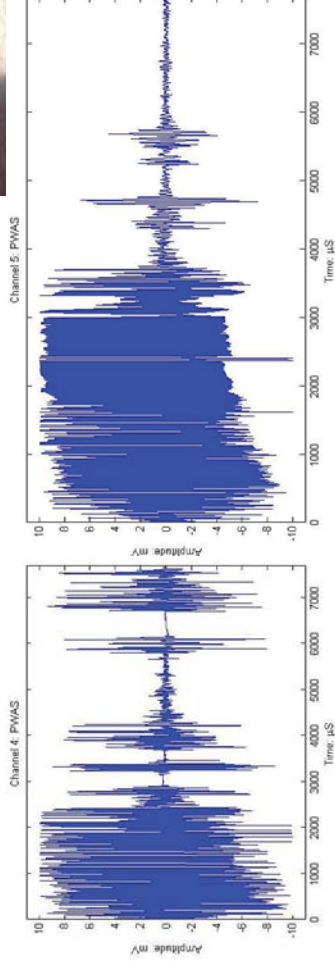


## AE Sensor on Aluminum Plates

- Sensors were glued to aluminum plate with special epoxy
- Adaptors allow for connecting sensors with very long cables



## Test 2 Results Ch4/Ch5 PWAS Sensors



Piezoelectric wafer active sensors (PWAS) show comparable, but less stable signal features. This may be due some damage to fragile PWAS.

## AE Test Results

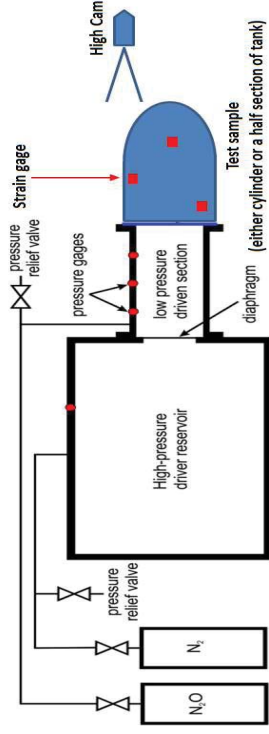
- Acoustic emission sensors are capable of recording high-strain events
- These event produces many signals, which are often indistinguishable from one another
- Signal features are similar when sensors are positioned symmetrically
- Data from conventional Micro 80 sensors is more stable than from PWAS, but PWAS is more economical

## Conclusions

- High pressure rapid failure events of composite vessels can be simulated
- Initial evaluation completed on flat plate samples
  - Shear failure of aluminum liner
  - Shear and some fragmentation of composite material
- Test and analysis agree
- Failure mode likely sensitive to type of loading

## Next Steps

- Test and analysis of cylindrical sections



- Develop predictive models
- Validate with full composite vessel test

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## COE CST Fourth Annual Technical Meeting

### Advanced ADS-B Prototype for Commercial Space: Status Update and Future Opportunities

Richard S. Stansbury

Brandon Neugebauer

Richard P. Day

Yosvany Alonso

Dominic Tournour

October 29-30, 2014

Washington, DC



## Agenda

- Team Members
- Task Description
- SpaceLoft 8 Launch Campaign
- Follow-on work and conclusions

## Team Members

- People
  - Principal Investigators: Richard S. Stansbury
  - Students: Brandon Neugebauer, Richard P. Day, Yosvany Alonso, and Dominic Tournour
  - FAA: Nick Demidovich, Chuck Greenlow, John Dinofrio, and others.
  - MITRE: Dave Edwards
- Organizations
  - Industry and Research Partners:
    - Terminal Velocity Aerospace, LLC.
    - NASA Flight Opportunities Program
      - Up Aerospace
      - Near Space Corporation

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# Purpose of Task

- Support of suborbital reusable launch vehicles (sRLVs) for commercial space transportation requires considerations for safe integration into the national airspace system (NAS)
- ADS-B technology is used for surveillance by air traffic control and situational awareness for pilots
- This research presents the potential for adaptation of existing ADS-B technology to support operations for sRLVs operations exceeding current technology limits (primarily altitude, velocity and acceleration)



Parameter	Specification
Length	5.75" (14.6 cm)
Width	2.5" (6.35 cm)
Height	2.5" (6.35 cm)
Weight (UBR board, daughter board, GPS, battery, and enclosure)	790 g (27.9 oz)
Weight (cables, antennas, etc.)	85-300g est.
Nominal power Consumption	840mA @ 3VDC
Nominal battery capacity	7.75 Ah

# MITRE UBR-TX

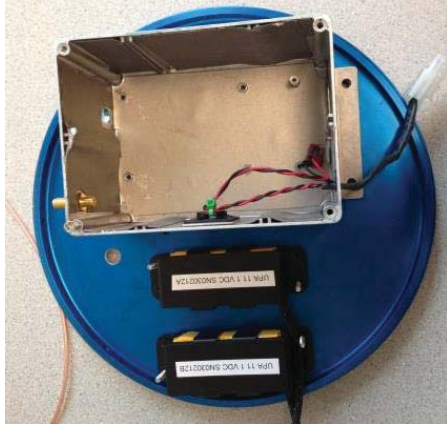
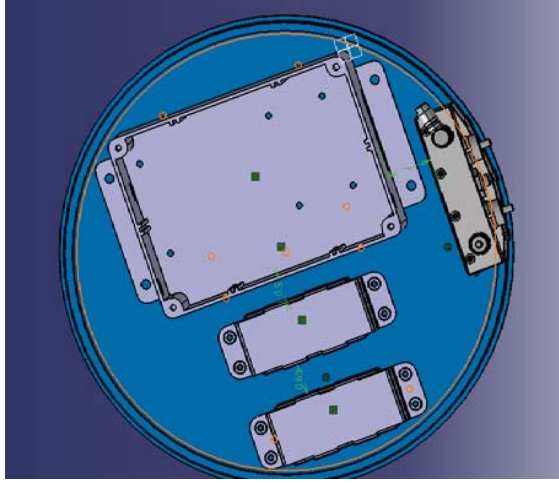
- UAT Beacon Radio – Transmit Only (UBR-TX)
  - Broadcasts state vector once per second
  - Supports both barometric and GPS-based altitudes
- Balloon / Rocket Flight Tests
  - 2009 Red Glare VII (amateur rocket)
  - 2010 AFRL research balloon
  - 2010 NASA Wallops sounding rocket
  - 2012 Up Aerospace Spaceloft VI
  - 2013 Spaceloft VII flight



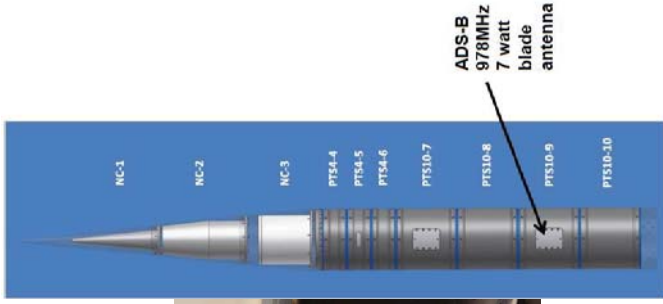
# INTEGRATION FOR SPACELOFT XL



# PTS-10 Rocket Segment



# Vehicle Integration



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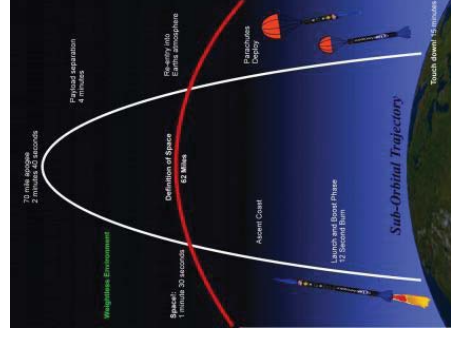
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# SL-8 Flight Profile



Event	Time (seconds)
Launch	T + 0
Despin initiated	T + 55
Apogee (384,100 ft.)	T + 162
Payload separation	T + 240
Drogue deployment	T + 442
Chute Deployment	T + 452
Touchdown	T + 751

# SL-8 FLIGHT DATA AND ANALYSIS

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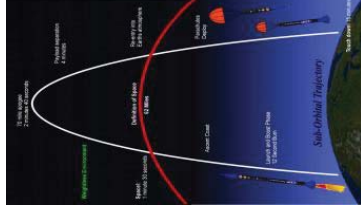


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# Flight Analysis

Phase of flight	Metric	Result
Full flight	% tracked by ADSB	73.8%
T + 62 (7 seconds post-despin initiation)	% of flight tracked by ADS-B	80.5
	Avg. time between message	1.27 s
	Max. time between message	8.00 s
	Max receivers tracking (FAA/Exelis Ground-based Transceivers GBTs only)	8
	Max receivers tracking (FAA GBTs and portable)	10
	Avg. latitude error	16.145E-05 deg.
	Avg. longitude error	9.170E-05 deg.
	Avg. altitude error (below 101,350 ft.)	54.31 ft.
	% of flight tracked by ADS-B	95.9%
After T+315 (descent and deceleration to less than 1000 ft./sec)	Avg. time between message	1.04 s
	Max. time between message	3.00 s



# Performance vs. Success Criteria

Criteria	Pass / Fail	Comments
Broadcasts well-formed messages	Pass	ITT GBTs and two portable Garmin GDL 90 successfully parsed data
Vehicle tracking for 90% of flight	Fail	73.8% of full flight, 80.5% post-despin, and 95.9% on descent.
Characterization of data loss	Pass	Primary characterization of data loss was configuration onboard spacecraft and NOT ADS-B unit itself.
Correlated with other data sources	Pass	Utilized truth data from WSMR primary radar. Position/altitude accuracy measured.

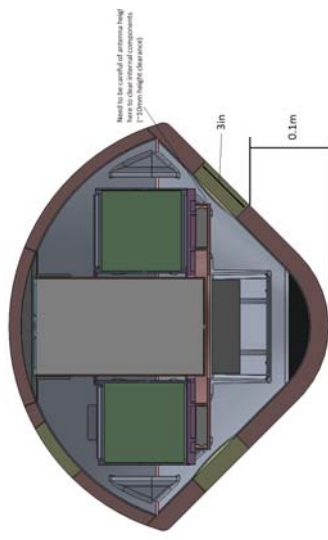


# FOLLOW-ON WORK AND CONCLUSION



# Terminal Velocity Aerospace

- Integration of Advanced ADS-B Unit onboard reentry vehicle
- Funded by NASA Ames
- Goals:
  - Evaluate performance of ADS-B broadcasting through experimental TPS material
  - Demonstration of UBR on new vehicle type



## Proposed Future Work

- Taking Advanced ADS-B to glass
  - Goal: identify gaps in current Advanced ADS-B implementation versus DO-282B standard and implement changes
- Dual antenna configuration
  - Goal: update Advanced ADS-B to meet DO-282B standard for dual antenna while ensuring sufficient transmit power to meet performance requirements
- Commercial Space Message Format for ADS-B
  - Goal: better express the state of a commercial spacecraft and bypass current limits for altitude and climb rate
- ADS-B for tracking of Cubesat
  - Goal: provide real-time low-cost tracking of LEO spacecraft, and demonstrate utilizing a free flying cubesat
  - Previous proposal through CASIS did not make it through first phase

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## Questions?



Image courtesy of UpAerospace Inc.

### Embry-Riddle Aeronautical University

Richard Stansbury, [rstansbur@erau.edu](mailto:rstansbur@erau.edu)  
Masood Towhidnejad, [towhid@erau.edu](mailto:towhid@erau.edu)  
Dominic Tournour, [TOURNOUD@my.erau.edu](mailto:TOURNOUD@my.erau.edu)

### FAA Office of Commercial Space Transportation

Nick Demidovich, [nickolas.demidovich@faa.gov](mailto:nickolas.demidovich@faa.gov)

### FAA William J. Hughes Technical Center

Chuck Greenlow, [chuck.ctl.greenlow@faa.gov](mailto:chuck.ctl.greenlow@faa.gov)  
John DiNofrio, [john.dinofrio@faa.gov](mailto:john.dinofrio@faa.gov)

### MITRE

Dave Edwards, [davee@mitre.org](mailto:davee@mitre.org)

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## Schedule

- Development of Advanced ADS-B Units
  - Preparing for future flights
    - NSC HASS, Q4 2014
    - SpaceShip2, TBA
    - Up Aerospace, SL10, TBD
  - Completing remaining ADS-B Units, 12/15/2014
- RED-4U
  - System integration, Q3 2014
  - System testing, Q4 2014

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## COE CST Fourth Annual Technical Meeting

Flight Test of Communications in Space via  
Commercial Satellite Networks on Suborbital  
Spacecraft: Implications for Space Traffic  
Management

**M. Brian Barnett,**

Satellite Communications  
and Aerospace

satwest.

October 29-30, 2014  
Washington, DC

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## Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work

## Team Members

- Principal Investigators
  - M. Brian Barnett, Satwest - PI
  - Dr. Pat Hynes (NMSU) – Co-PI
- Organizations
  - Satwest Ilc
  - >\$150K matching funds to date
  - New Mexico Space University



Flight Opportunities



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## Commercial Participants

- Lead commercial participant
- Other commercial participants
  - Iridium, Globalstar, UP Aerospace, Virgin Galactic



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## Task Description

- 4. Theme 1: Space Traffic Management & Operations
  - Can commercial satellite networks in LEO and GEO provide data and voice communications to/from suborbital and orbital spacecraft in space and at rocket velocities?
- 5. Theme 2: Space Transportation Operations, Technologies & Payloads (Program 2.4 Payload Safety Research)
  - Task NEW-ND1 INTEGRATION AND EVALUATION OF IRIDIUM PAYLOADS
  - Task NEW-ND2. Integration and Evaluation of GLOBALSTAR PAYLOADS

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## Schedule

- June 2013-NASA Flight Opportunities Program (FOP) selects Satwest's technology proposal
- September 2013-Flight test on Near Space Corp. high altitude balloon to 97,000 feet.
- November 2013-Sent first commercial text to space . UP Aerospace sounding rocket
- Q2, 2015, Flight test on Virgin Galactic SS2

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## Goals

- Research goal
  - To conduct enough flight testing to prove the efficacy of providing data and voice communications services in space via commercial satellite networks.
- Relevance to Commercial Space Industry
  - Space Traffic Management (ADS-B)
  - On-board Wi-Fi/Internet and voice communications for commercial crew
  - Two-way payload communications for ground-based researchers
  - Commercial communications services for spacecraft operators and government agencies

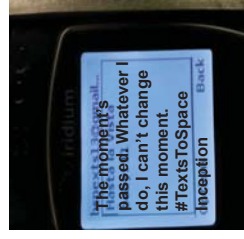
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## Results

- Successfully tested Iridium based payloads in sub orbit
  - Proved that two-way data works
- Preparing more tests of Iridium and Globalstar payloads.



1<sup>ST</sup> Known COMMERCIAL TEXT TO SPACE, 67.4 miles



Satwest high altitude balloon flight test, Sept. 2013, 97,000 ft.



Landing Site of payload



NASA/UP Aerospace flight test, Nov. 12, 2013, 72.7 miles 383,556 ft.

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## Results

- Technical reports and journal articles resulting from this task.
  - "Flight Test of Communications in Space via Commercial Communications Satellite Networks on-board Suborbital RLV and High Altitude Balloon: Implications for Space Traffic Management", Emory Riddle Space Traffic Management Conference, Florida, Nov. 2014
  - "Flight test of Satwest's Space Communications Technology on Suborbital RLV and High Altitude Balloon", NASA SBIR Technology Commercialization conference, Cleveland, Sept. 2014
  - Next Generation Suborbital Researchers Conference, Colorado, July 2013
  - NASA Flight Opportunities Program (FOP), post flight reports



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## COE CST Fourth Annual Technical Meeting

**Robust and Low-Cost LED Absorption Sensor for Simultaneous, Time-Resolved Measurements of CO and CO<sub>2</sub>**

**Dr. Subith Vasu (PI)**  
**Dr. Jayanta Kapat (Co-PI)**  
**Dr. Bill Partridge Jr. (Collaborator)**  
**Kyle Thurmond (Graduate Student)**  
**Zachary Loparo (UG Student)**

October 29-30, 2014  
Washington, DC

## Conclusions and Future Work

- Final Remarks
  - Satwest's tests demonstrating that commercial satellite networks are a promising means for providing space traffic management and payload/crew/researcher communications
- Next Steps
  - Flight test through NASA's FOP (Q2 2015)
    - Virgin Galactic's Spaceship 2 (SS2)
    - Testing Wi-Fi, tracking, voice calls
  - Look forward to further partnering with FAA in of space-based ADS-B capabilities.



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## Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work



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# Team Members

## Principal Investigators



Dr. Subith Vasu  
University of Central Florida



Dr. Jay Kapat (Co-PI)  
University of Central Florida

## Students



Kyle Thurmond  
University of Central Florida



Zachary Loparo  
University of Central Florida

## Collaborator



Dr. Bill Partridge Jr.  
Oak Ridge National Laboratory

## Organizations

- Center for Advanced Turbomachinery and Energy Research (CATER), University of Central Florida  
- Fuels, Engines, and Emissions Research Center, Oak Ridge National Laboratory 

Matching Funds: Progress Energy Florida, UCF MAE Department & UCF Research and Commercialization. Support from ORAU and the Oak Ridge National Laboratory sponsored by US Department of Energy, Office of Energy Efficiency and Renewable Energy.

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# Task Description

## Relevance to Commercial Space Industry

- CO/CO<sub>2</sub> measurements are relevant to the health and safety of the crew.
- In addition to being toxic, time-resolve measurements of CO could be used to detect fuming which may lead to fire or explosion.

## Statement of Work (Project started: September 2014)

- Bench scale development and testing. Sensor sensitivity (minimum detection limit), time-response, and stability.
- Develop quantitative spectroscopic models that can be used to accurately derive concentration information based on absorption.
- Models for heat transfer will be developed and utilized to minimize radiation effects that could impair the sensor to function properly. Such models will be implemented in commercially available software (e.g., ANSYS)
- Sensor design and housing design must be optimized for spacecraft environment. This would require a caged design that will house every component keeping the weight to the allowable values. We will use Zemax software to arrive at the best design which will maximize sensor sensitivity.

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# Schedule

- Bench scale development and testing. Sensitivity, time-response, and stability.
- Complete
- Develop quantitative spectroscopic models that can be used to accurately derive concentration information based on absorption.
- January 2015
- Sensor and housing design must be optimized for spacecraft environment. We will use Zemax software to arrive at the best optical design which will maximize sensor sensitivity and minimizes overall size
- March 2015.
- Models for heat transfer will be developed and utilized using commercially available software (e.g., ANSYS) to minimize radiation effects that could impair the sensor to function properly
- April 2015
- To reduce the likelihood of failure during high altitude balloon, the system will be operated and evaluated using a laboratory environmental chamber which can simulate these conditions.
- August 2015
- High altitude balloon testing.
- Fall 2015

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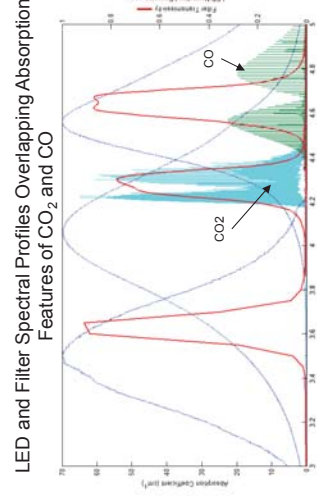


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# Sensor Design

- Three MIR LEDs centered at
  - 3.6 $\mu$ m (for reference)
  - 4.2 $\mu$ m (CO<sub>2</sub>)
  - 4.7 $\mu$ m (CO)
- Band pass filters
- Collimating lenses
- Pellicle beam splitters
- Thermo-electrically cooled photovoltaic detector

Simple Schematic of Sensor System



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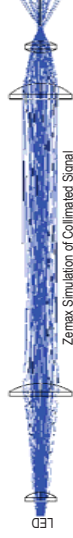
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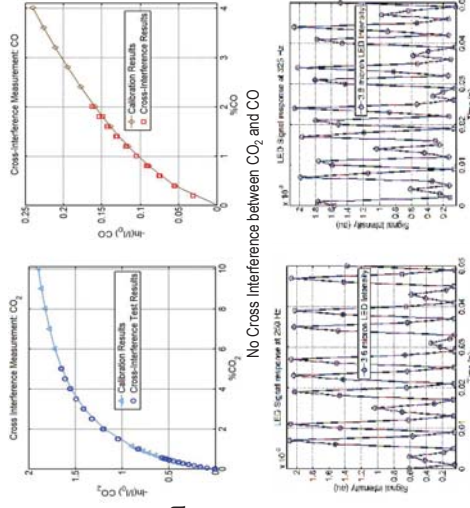
## Goals

- Maximize sensitivity and reduce noise by optimization of optics and electronics.
- Explore models for broad-spectrum absorption for greater flexibility in design.
- Adapt sensor and housing design for spacecraft environment.
- Validate optimization and ruggedized design:
  - Species interference study
  - Simulated conditions in environmental chamber
  - Balloon testing in high altitude, microgravity environment



## Results

- Early evaluation testing done at ORNL
- Measurements were taken using a flow cell with a path length of 8cm
  - Neat CO<sub>2</sub> measurements
  - Neat CO measurements
  - Simultaneous measurements/evaluation of cross-interference
- Time resolution testing
  - Chopper wheel with plastic to simulate absorption



Response at 250Hz  
No aliasing observed

Response at 325Hz  
Signal not fully resolved

### Related Publications

- 2013 Eastern States Section of the Combustion Institute Fall Technical Meeting – Presentation
  - Development of a LED-based sensor for simultaneous, time-resolved measurements of CO and CO<sub>2</sub> from combustion exhausts
- 35<sup>th</sup> International Symposium on Combustion – Work In Progress Poster
  - Design and Validation of LED-Based Absorption Sensor for Simultaneous Detection of CO & CO<sub>2</sub>

## Conclusions and Future Work

Measurements of CO/CO<sub>2</sub> will be highly valued for determining air quality effecting crew health and detecting fires.

### Future Work

- Developing current sensor design for spacecraft environment and requirements.
- Construction of caged sensor system.
- Validating spacecraft ready sensor design using environmental chamber and high altitude balloon.
- Improve performance and possibly extend to measuring other species (e.g., N<sub>2</sub>O oxidizer leak).



Task SU-193: Professor Scott Hubbard<sup>1</sup>, Jonah Zimmerman<sup>1</sup> and Andrew Ow<sup>2</sup>

Stanford Department of Aeronautics and Astronautics<sup>1</sup>, Stanford Graduate School of Business<sup>2</sup>

# Charter

**Update the original research roadmap and build on it in order to increase its usefulness to the community and to the FAA COE CST.**

There are three main components:

1. **Revisit** the 2011 research roadmap and update as necessary
2. **Identify and differentiate** short term (1-3 years), medium term (3-6 years) and far term (>6 years) research tasks
3. **Define** research priorities to the extent possible

# Roadmap 1.0 – Previous Roadmapping Effort and Current Motivation

**Purpose:** Direct the COE's research program towards achieving its goal of identifying solutions for existing and anticipated commercial space transportation problems. These solutions will in turn inform research investment and regulations, increase safety, and facilitate the CST industry.

**Methods:** Input from 100+ CST stakeholders was captured at two workshops: spring 2011 at Stanford University and fall 2011 at LM Global Vision Center in Washington DC. This was then reformatted into the research roadmap report and presented to the community.

**Problems:** The bulk of information in the report is now 4 years old, and must be updated to reflect changes in the CST industry landscape. The roadmap would have more utility if it identified timescales associated with research priorities.



## Roadmap 2.0 Workshop Format

**Number and Length:** 5 workshops that focus on single research themes as shown below will be held across the country, each 1 – 2 days long.

**Hosts and Participants:** Lead Theme PIs were chosen that are domain experts. Participants from non-COE academic, government and industry experts are being recruited.

**Virtual Collaboration:** In order to facilitate collaboration with as many people as possible, videoconferencing technology will be leveraged to allow remote participation at all workshops. We will use the Adobe Connect software package.

**Deliverables:** The host PIs will compile and distill the input from workshop participants for delivery to the Stanford Research Roadmapping team. A summary report by the overall Roadmap 2.0 lead (Hubbard) will be presented to the FAA by NLT March, 2015.



## People and Places

**Theme:** 1a – Space Traffic Management

**Lead PI:** Juan Alonso

**Location:** Stanford and NASA Ames

**Theme:** 1b – Spaceports

**Lead PI:** Pat Hynes

**Location:** New Mexico State University

**Theme:** 2 – Vehicle Technology

**Lead PI:** Farrukh Alvi

**Location:** Florida State University

**Theme:** 3 – Human Spaceflight

**Lead PI:** Jim Vanderploeg

**Location:** University of Texas Medical Branch at Galveston

**Theme:** 4 – Industry Viability

**Lead PI:** Tristan Fiedler

**Location:** Lockheed Martin Global Vision Center



## Theme 3 Workshop Recap – Broad Participation

### Spaceflight Companies

- Virgin Galactic
- SpaceX
- Blue Origin

### Spaceflight Support

- Wyle
- SAIC
- NASTAR Center
- QinetiQ

### Spaceflight Consultants

- Henry Lups, MD
- Richard Jennings, MD
- Michael Bungo, MD
- Kevin Fong, MD

### COE PIs

- James Vanderploeg, MD
- Tarah Castleberry, DO
- Johnene Vardiman
- David Klaus
- Scott Hubbard
- Farrukh Alvi

### Government

- NASA JSC
- FAA AST
- FAA CAMI

### Academia

- University of Texas Medical Branch
- Baylor College of Medicine - Center for Space Medicine
- Wright State University
- University of Colorado Boulder
- Mayo Clinic - Rochester and Scottsdale

## Theme 3 Workshop Recap – Virtual Experience

**utmb Health**  
Aerospace Medicine

**FAA Center of Excellence for Commercial Space Transportation**  
**Human Spaceflight Research Roadmap Workshop**

**AGENDA**

September 24<sup>th</sup> 10:00 AM to 4:00 PM Central Daylight Time

Time	Topic	Presenter
10:00 – 10:15 AM	Welcome & Introductions	Jim Vanderploeg
10:15 – 10:30 AM	Overview of Road Map Process	Scott Hubbard
10:30 – 11:00 AM	Review of 2011 Roadmap for Human Space Flight Progress Made to Date	Jim Vanderploeg
11:00 – 12:00 PM	Investigators	Investigators
12:00 – 1:00 PM	Lunch Break	
1:00 – 2:30 PM	Future Research Needs and Directions Near Term (1 – 5 years)	Discussion by attendees
2:30 – 3:00	Break	
3:00 – 4:00	Future Research Needs and Directions Far Term (5+ years)	Discussion by attendees
4:00 PM	Adjourn for the day	

Attendees (10): Wilcher, Arkansas; Hosts (1): Janet Campbell; Presenters (1): James Vanderploeg; Participants (8): Andrew Ow, Bob Hadden, Marye Ch., David Klaus, Greg Bunker, FAU, Henry Lups, Jan Depewick, Jim Clark, Matthew Antonino.

## Task 193: ROLE OF COE CST IN ENCOURAGE, FACILITATE AND PROMOTE (Research Roadmap 2.0)

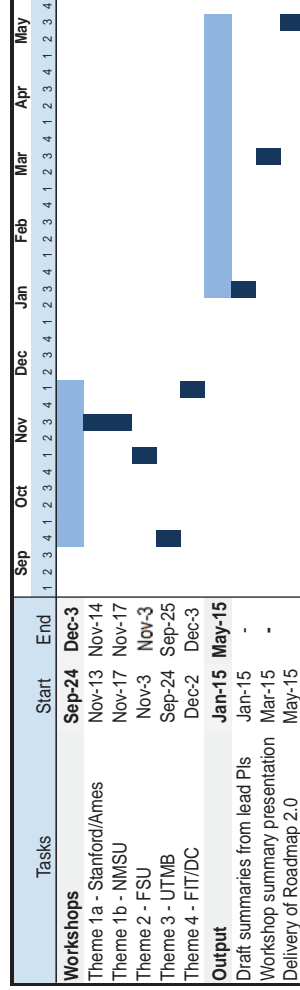
- PROJECT AT-A-GLANCE**
- UNIVERSITY: Stanford University
  - PRINCIPAL INVESTIGATOR: Prof. Scott Hubbard
  - STUDENTS: Andrew Ow, Jonah Zimmerman
- RELEVANCE TO COMMERCIAL SPACE INDUSTRY**

The COE-CST Research Roadmap directs the COE's research program towards achieving its goal of identifying solutions for existing and anticipated commercial space transportation problems. These solutions will in turn inform research investment and regulations, increase safety, and facilitate the CST industry.

### STATEMENT OF WORK

- Goals:
  - Revise the 2011 research roadmap and update as necessary
  - Identify and differentiate near term (1-3 years), medium term (3-6 years), and far term (>6 years) research tasks
  - Define research priorities to the extent possible
  - Methods:
    - 5 workshops (1-2 days) hosted by theme PIs who are domain experts
    - Distribute workshops across the country
    - Leverage virtual collaboration software to increase participation
    - Compile and distill input from the workshops into Roadmap 2.0

## Schedule



### Workshop Lead PIs and Locations

<p><b>Theme:</b> 1a - Space Traffic Management <b>Lead PI:</b> Juan Alonso <b>Location:</b> Stanford and NASA Ames</p>	<p><b>Theme:</b> 1b - Spaceports <b>Lead PI:</b> Pat Hyres <b>Location:</b> New Mexico State University</p>
<p><b>Theme:</b> 2 - Vehicle Technology AVI <b>Lead PI:</b> F. Amuh <b>Location:</b> Florida State University</p>	<p><b>Theme:</b> 3 - Human Spaceflight <b>Lead PI:</b> Jim Vanderploeg <b>Location:</b> University of Texas Medical Branch at Galveston</p>
<p><b>Theme:</b> 4 - Industry Viability <b>Lead PI:</b> Tristan Fiedler <b>Location:</b> Lockheed Martin Global Vision Center</p>	

### STATUS

- Theme 3 workshop held on 9/24-9/25
- Planning underway for other workshops

### FUTURE WORK

- Upcoming workshops:
  - Theme 1a – 11/13-11/14
  - Theme 1b – 11/17
  - Theme 2 – 11/3-11/4
  - Theme 4 – 12/2-12/3
- Obtain summaries from lead PIs – 1/15/14
- Presentation summarizing workshop output – 3/15/14
- Delivery of Roadmap 2.0 – 5/15/14

## COE CST Fourth Annual Technical Meeting

### Task 193: Role of COE CST in EFP

PI: George H. Born  
Bradley Cheetham

October 29-30, 2014  
Washington, DC



## Agenda

- Team Members
- Purpose of Task
- ESIL
- Other Support
- Publications
- Next Steps
- Contact Information

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## Team Members

- **George H. Born** – Director Emeritus,  
Colorado Center for Astrodynamics Research
- **Bradley Cheetham** – Graduate Research  
Assistant, CU Boulder, Aerospace Engineering  
Sciences

## Stanford Support:

- **Jonah Zimmerman**
- **Andrew Ow**

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## Team Members – Sponsors



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## Team Members – Supporters

- William Pomerantz – Virgin Galactic
- Greg Autry – UC Irvine
- Scott Hubbard – Stanford Univ.
- Dan Lopez – Urthecast
- Julian Mann – Skybox
- Art Anisimov – Dauria Aerospace
- Jordon Croom – SSL
- Ward Hanson – SIEPR
- Bruce Pittman – NASA
- Steve Jurvetson – DFJ
- Robert Lightfoot – NASA
- George Nield – FAA
- David Brandt – LMCO
- A.C. Charania – Virgin Galactic
- Charles Miller
- Mischa Fisher
- Carissa Christensen – Tauri Group
- Richard Dalbello - OSTP
- Lori Garver - ALPA

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## Task Purpose

### Objectives:

- Identify key industry characteristics to facilitate EFP efforts
- Host targeted workshops to engage students and young professionals
- Support conferences to educate students and young professionals
- Incorporate young professional perspectives in ongoing industry planning efforts

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## COE Research Objectives

### Research

- Products of workshop and ongoing EFP supporting activities

### Training

- Emerging Space Industry Leaders Workshop Series

### Outreach

- Disseminating activity results, promoting a broader understanding of commercial space

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## ESIL Workshops

- Emerging Space Industry Leaders Workshop series

### Objectives:

- Inform – perspective, background, context
- Perform – group analysis
- Network – internal and external to industry
- Impact:
  - 83 participants
  - 3 publications complete

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## ESIL-01 – October 2011



- 26 participants
- Industry segments:
  - Point-to-point
  - Lunar Mining
  - Hosted Payloads
- Guest Contributors
  - Dennis Stone – NASA JSC
  - Max Vozoff – mv2space
  - Diane Dimeff - eSpace

## ESIL-02 – March 2012

- 12 Participants
- Industry focus:
  - Government role in commercial space
- Guest contributors
  - Richard Dunn – DoD Acq.
  - Chris Shank – dCoS L. Smith
  - Alan Ladwig – NASA HQ
  - James Finch – OSD
  - Jim Van Laak – FAA AST
  - Clay Mowry - Arianespace



## ESIL-03 – November 2012

- 10 Participants
- Industry focus:
  - Commercial human spaceflight training
- Guest Contributors
  - Brienna Henwood



## ESIL-04 – June 2013

- 14 Participants
- Industry focus:
  - Microgravity utilization
- Guest contributors:
  - Sirisha Bandla – CSF
  - Cassie Kloberdanz – SNC
  - Dan Durda – SwRI
  - Khaki Rodway – XCOR



## ESIL-05 – November 2013

- 5 Participants
- Industry focus:
  - Smallsat dedicated launcher
- Guest Contributors:
  - William Pomerantz – Virgin Galactic

## ESIL-06 – May 2014

- 7 Participants
- Industry focus:
  - Commercial remote sensing
- Guest Contributors:
  - Greg Aulry – UC Irvine
  - Scott Hubbard – Stanford Univ.
  - Dan Lopez – UrtheCast
  - Julian Mann – Skybox
  - Art Anisimov – Dauria Aerospace
  - Jordan Groom – SSL
  - Ward Hanson – SIEPR
  - Bruce Pittman – NASA
  - Steve Jurvetson – DFJ



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## ESIL-07 – May 2014

- 12 Participants
- Industry focus:
  - Student non-profit strategy
- Guest contributors:
  - Robert Lightfoot – NASA
  - George Nield – FAA
  - David Brandt – LMCO
  - A.C. Charatania – Virgin Galactic
  - Charles Miller
  - Mischa Fisher
  - Carissa Christensen -Tauri Group
  - Richard Dalbello - OSTP
  - Lori Garver - ALPA



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## Impact



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## Support Other Events

- SpaceVision Conference (2011, 2012, 2014)
  - Logistics/planning/speaker support
- Space Generation Fusion Forum (2012, 2013, 2014)
  - Logistics/planning/speaker support
  - Video recording support
  - Badge lanyards

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## Publications/Presentations

- Bandla, Sirisha, Bradley Cheetham, Rachel Hakeem, and Luis Zea, **Applying Insights of Game Theory to the Microgravity Utilization Market**, 65<sup>th</sup> International Astronautical Congress, Toronto Canada, IAC-14.E6.3.3.X24346
- Henwood, Brienna, Nathan Wong, John Stark, Ken Davidian, Bradley Cheetham, Kaizad Raimalwala, Matt Cannella, Liz Kennick, Sirisha Bandla, Jules Feldhacker, and Jim Crowell, **“The ‘Game’ of Training Humans for Commercial Suborbital Spaceflight,”** 64<sup>th</sup> International Astronautical Congress, Beijing China, IAC-13-E6.2.3
- Cheetham, Bradley, Juliana Feldhacker, Angela Peura, Ashley Chandler, Cassie Kloberdanz, and Lewis Groswald, **“Government’s Role in Commercial Space from the Perspective of Emerging Industry Leaders,”** 63<sup>rd</sup> International Astronautical Congress, Naples Italy, IAC-12-E6.4-D4.2.1.

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## Next Steps

- To be determined...

## Contact Information

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# COE CST Fourth Annual Technical Meeting

## Definition and Delimitation of Outer Space

**Dr. Ram S. Jakhu  
Andrea DiPaolo**

October 29-30, 2014  
Washington, DC



## Agenda

- Team Members
- Task Description
- Schedule
- Goals
- Results
- Conclusions and Future Work

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## Team Members



Dr. Ram Jakhu



Andrea DiPaolo



## Task Description

- What are the frontiers of outer space?
- Given that said frontiers are not yet established, is there a dilemma in their absence?
- What is the impact of the lack of a line of demarcation on near-space activities?
- Is a functional or spatial approach (which spatial approach?) more favorable to the CST industry?
- How can the growth of the commercial space transportation industry be encouraged in the existing regulatory environment?

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## Schedule

- Initial paper for the purpose of raising awareness of the timeliness of the issue was prepared earlier this year, particularly in response to new high altitude ballooning activities
- A presentation was offered at UN COPUOS in March 2014 about the issue by the McGill IASL (Dr. Yaw Nyampong)
- Continue to update paper/research as industry and regulations evolve

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## Goals

- Raising awareness to the new arising challenges regarding near-space activities
- Promoting the development of an international standard for the limits of airspace and outer space
- Offering legal expertise surrounding the issue of a lack of demarcation, and the various options with regard to particular limits

## Results

- Near space activities, such as suborbital transportation enterprises and high altitude ballooning endeavors have raised the urgency of the question. The FAA response to one such company (WVE) is potentially problematic in the uncertain legal and regulatory environment.
- *The Definition and Delimitation of Outer Space: The Present Need to Determine Where “Space Activities” Begin* (presented at UN COPUOS March 2014)

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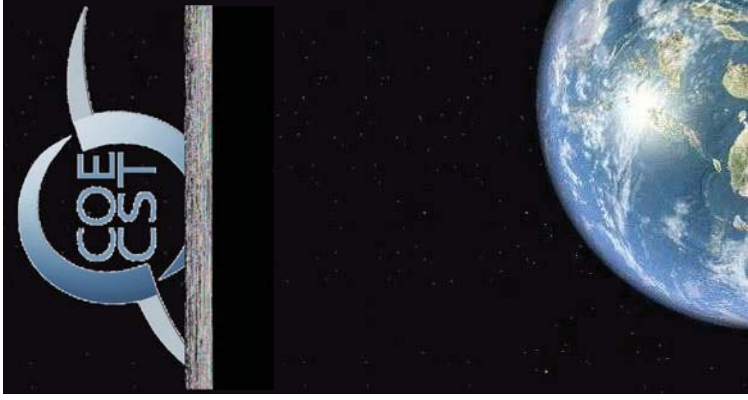
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## Conclusions and Future Work

- Science will not solve this problem.
- Practically and politically, the beginning of outer space could be set at 100/110km.
- The uncertainty created for commercial enterprises, and “forum shopping” for favorable decisions on air/space activities will be problematic for the burgeoning industry.
- Maintain research as the situation evolves
- Seek new areas to provide research (ITARs?)

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## Industrial Analysis of Orbital And Suborbital Commercial Space Transportation

October 29-30, 2014  
Washington, DC

## Agenda

- Team Members
- Task Description
- Objectives & Goals
- General Environmental
- Industry Structure
- Future Work & Deliverable

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## Team Members

Scott Benjamin (PI) Florida Institute of Technology



Taylor Smith, MBA Student  
Florida Tech



Greg Autry, USC Marshall School  
Of Business

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## Task Description

- This project focuses on the subcategory of suborbital commercial space transportation that will have categories of tourism, payloads, and launch sites/spaceports.
- Analyses of new and existing industry segments will utilize the academic framework of “Five Forces that Shape Industry Competition” developed by Michael E. Porter (1979; 2008).

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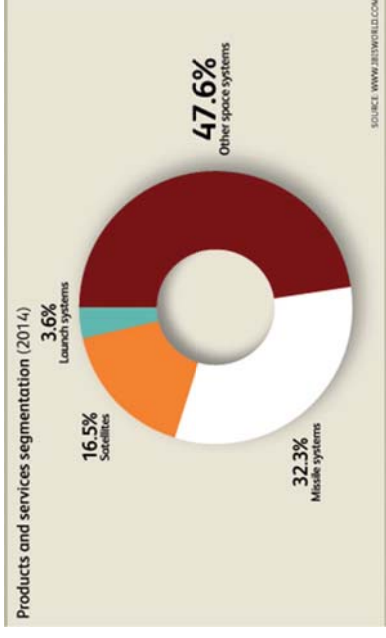


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# Goals

- **Task Specific Goals**
- The main goal of this task is understand the general environmental characteristics which affect the commercial viability.
- Evaluate the competitive landscape that affect competition within each segment of the industry.

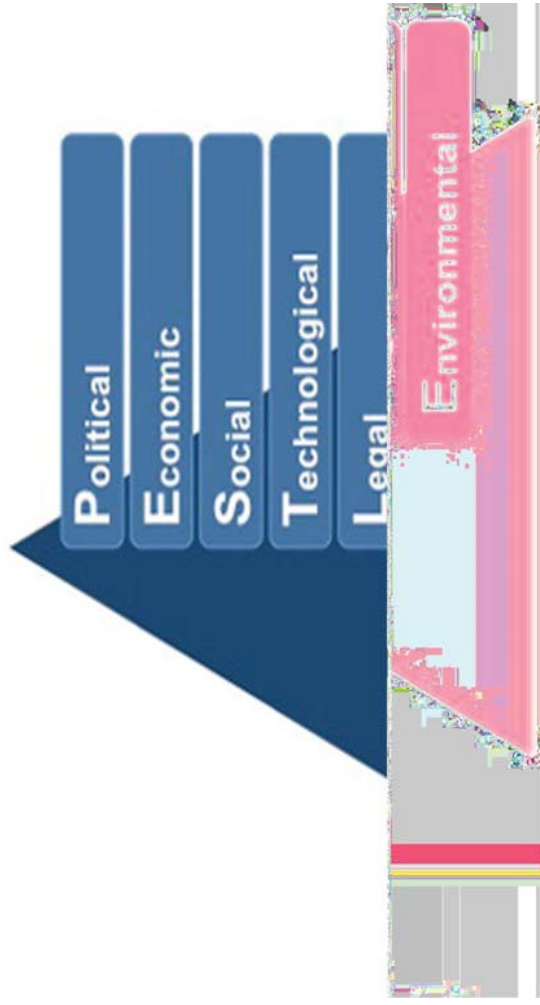
# Space Vehicle and Missile Manufacturing Industry \$21.9 Billion



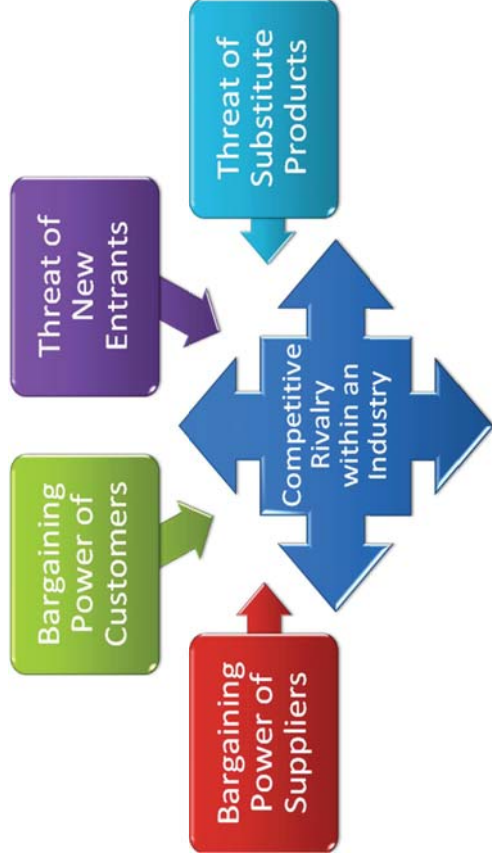
## Industry and Market Structure

- Industry Segmentation
  - Competitors
- Overall Market Size/Demand in each Segment
  - Segmentation Size
- Market Share Distribution – Industry Structure
  - Cost Controls
  - Supply Chain

## General Environmental Characteristics



## Porter's Five Forces Model



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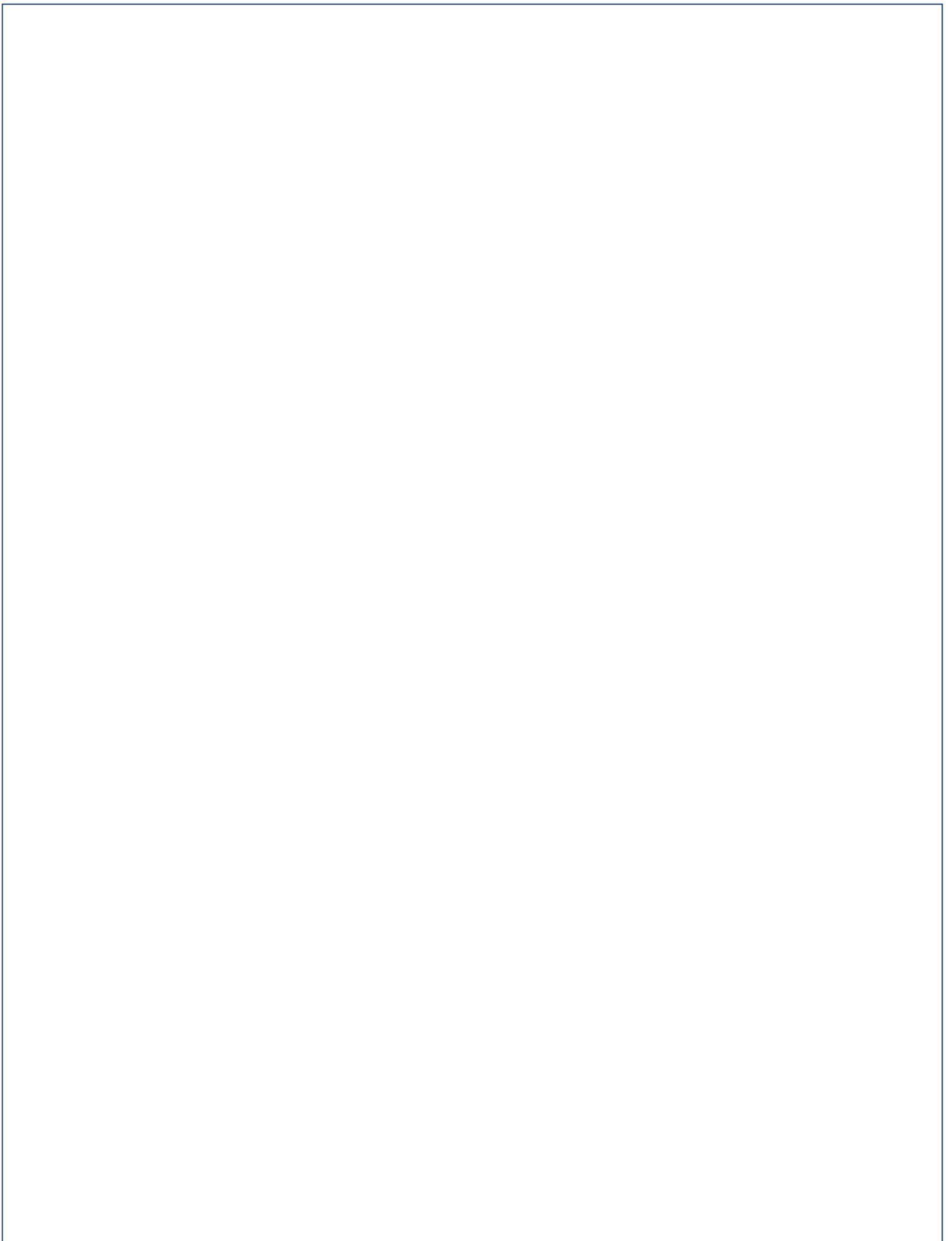
## Final Deliverable

- A comprehensive industrial analysis of the commercial space transportation industry.
- Segmented
- Structural

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