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Heterogeneity of passenger exposure to air pollutants in public transport microenvironments

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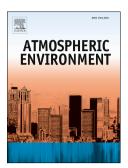
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#### 48 Abstract

49 Epidemiologic studies have linked human exposure to pollutants with adverse health effects.

- 50 Passenger exposure in public transport systems contributes an important fraction of daily
- 51 burden of air pollutants. While there is extensive literature reporting the concentrations of
- 52 pollutants in public transport systems in different cities, there are few studies systematically
- addressing the heterogeneity of passenger exposure in different transit microenvironments, in
   cabins of different transit vehicles and in areas with different characteristics. The present
- 54 cabins of different transit vehicles and in areas with different characteristics. The present study investigated PM<sub>2.5</sub> (particulate matter with aerodynamic diameters smaller than 2.5µm),
- 56 black carbon (BC), ultrafine particles (UFP) and carbon monoxide (CO) pollutant
- 57 concentrations in various public road transport systems in highly urbanized city of Hong
- 58 Kong. Using a trolley case housing numerous portable air monitors, we conducted a total of
- 59 119 trips during the campaign. Transit microenvironments, classified as 1). busy and
- 60 secondary roadside bus stops; 2). open and enclosed termini; 3). above- and under-ground
- 61 Motor Rail Transport (MTR) platforms, were investigated and compared to identify the 62 factors that may affect passenger exposures. The pollutants inside bus and MTR cabins were
- also investigated together with a comparison of time integrated exposure between the transit
- modes. Busy roadside and enclosed termini demonstrated the highest average particle
- 65 concentrations while the lowest was found on the MTR platforms. Traffic-related pollutants
- BC, UFP and CO showed larger variations than  $PM_{2.5}$  across different microenvironments
- 67 and areas confirming their heterogeneity in urban environments. In-cabin pollutant
- 68 concentrations showed distinct patterns with BC and UFP high in diesel bus cabins and CO
- 69 high in LPG bus cabins, suggesting possible self-pollution issues and/or penetration of on-
- 70 road pollutants inside cabins during bus transit. The total passenger exposure along selected
- 71 routes, showed bus trips had the potential for higher integrated passenger exposure compared
- to MTR trips. The present study may provide useful information to better characterize the
- 73 distribution of passenger exposure pattern in health assessment studies and the results also
- highlight the need to formulate exposure reduction based air policies in large cities.
- 75

76 Keywords: Black carbon, CO, bus cabins, roadside bus stop, bus terminal, PM<sub>2.5</sub>, subway

- 77 platform, ultrafine particles
- 78

#### 79 **1. Introduction**

80

81 Numerous epidemiological studies have demonstrated associations between exposure to air 82 pollution and increased mortality (Dockery et al. 1993, Lin et al. 2013), while airborne fine

- pointified and increased inormality (Dockery et al. 1995, Elli et al. 2015), while all bonne line particulate matter (PM<sub>2.5</sub>,  $d_p$ <2.5 µm) plays an especially important role in adverse impact on
- 84 pulmonary and cardiovascular outcomes (Dreher 2000). However, many epidemiological
- 85 studies have assumed that routinely monitored ambient pollutant concentrations are
- 86 surrogates for actual exposure, and few studies have addressed whether there is a predictable
- 87 relationship between exposure and concentration in different locations within a city (Cao and
- 88 Frey 2011). This is especially true to urban areas where there is a heterogeneous distribution
- 89 of pollutant concentrations in the ambient air and the public have different time/activity
- patterns in various microenvironments that contribute to daily exposure (Ostro et al. 2006).
- 91

92 Hong Kong is a highly urbanized city with a population of over 7 million, and a well-

- 93 developed public transport system accounting for some 12 million passenger journeys every
- day, of which 41% are by Mass Transit Railway (MTR), followed by 32% with diesel-fuelled
- 95 franchised buses and 15% with Liquefied Petroleum Gas (LPG) public light buses (HKTD
- 2013). Such heavy reliance on public transport makes individual exposure to air pollutants
- 97 inside the transport system a potentially significant component of daily integrated exposure.
- Although most commuters spend only a short fraction of time daily in the transport system,
- high pollution levels experienced during travel may contribute significantly to total individual
- 100 exposures (Nieuwenhuijsen, Gomez-Perales and Colvile 2007). Seaton et al. (Seaton et al.
- 101 2005) investigated commuter exposure to  $PM_{2.5}$  in London and found spending 2 hours in the 102 metro system per day would increase personal 24-hour exposure by 17 µg m<sup>-3</sup>.
- 102
- Studies in various cities have also shown that public transport system may represent a
   combination of unique microenvironments with different source characteristics making them
- 106 quite different from those typical outdoors or even indoors (Both et al. 2013, Knibbs, Cole-
- 107 Hunter and Morawska 2011). Passengers can be exposed to the air pollutants substantially
- 108 different from those at street level air in terms of gas concentrations and PM concentrations
- 109 and chemical composition (Aarnio et al. 2005, Kam et al. 2011b). For example, investigators
- 110 (Cheng, Liu and Yan 2012, Nieuwenhuijsen et al. 2007) observed a considerable increase (~
- 111 20 to 50 % greater) of PM<sub>2.5</sub> mass concentration compared to outdoor air. Thus ambient air
- 112 monitoring data cannot be effectively used to estimate the daily dose of exposure with
- 113 different characteristics of air pollutants in transit system.
- 114

115 During the last decade, a few studies in Hong Kong investigated passenger pollution

- 116 exposure levels. Chan et al. (Chan et al. 2002) measured  $PM_{2.5}$  and  $PM_{10}$  mass concentrations
- 117 in four different transport modes including the railway system and buses. Recently, Wong et
- al. (Wong et al. 2011) measured carbon monoxide and  $PM_{2.5}$  concentrations inside bus cabins
- 119 in Hong Kong. These previous studies clearly demonstrated that PM<sub>2.5</sub> displayed different
- 120 characteristics in comparison with ambient environments. However, there were no systematic
- 121 investigations of the distribution of traffic-related pollutants, such as black carbon (BC),
- 122 ultrafine particles (UFP) in different transport microenvironments, which limits our accurate
- 123 understanding of the daily dose of exposure and knowledge of exposure mitigation measures.
- 124 This study investigates  $PM_{2.5}$ , BC, UFP and CO distributions in transport microenvironments
- and in cabins of different transit modes, including diesel franchised buses, LPG public light
- buses and the MTR system. Total exposure on typical commute routes by different transit
- 127 modes was also compared. The results of the study should allow more accurate estimates of

- 128 population daily dose for epidemiologic research and provide a basis for exposure reduction 129 based air policy making.
- 130

#### 131 2. Experimental methodology

#### 132 2.1 Portable instrumentation

133 Pollutant concentrations were measured using a Mobile Exposure Measurement System 134 (MEMS) with a trolley case housing portable air monitors, a data acquisition system and a 135 global positioning system (GPS) as shown in Figure 1. A portable condensation particle 136 counter (CPC, TSI 3007) was used to measure ultrafine particle (UFP) number concentration . 137 Although the CPC measured particles in the size range of 10-1000 nm, number concentration is dominated by smaller sized particles (diameter <100 nm) (Morawska et al. 2008). A micro 138 Aethalometer (microAeth<sup>®</sup> Model AE51, Aethlabs) was used for measuring black carbon 139 (BC) concentration. An Optical Particle Sizer (OPS, TSI<sup>®</sup> model 3330) was used for PM<sub>2.5</sub> 140 concentration measurement and a Q-trak (TSI<sup>®</sup> model 7575) was installed in a backpack to 141 monitor carbon dioxide (CO<sub>2</sub>), carbon monoxide (CO), relative humidity (RH) and 142 143 temperature (T) at high temporal resolution (one second). All instruments were connected to a mini-PC (NUC, Intel<sup>®</sup>) and the real-time data were collected and transferred to a mobile 144 phone through Bluetooth. The measurements were displayed on the screen through a cell 145 146 phone application developed by the investigators to track instrument conditions and tag 147 special events during the campaign. Screenshot of the app is included in Figure 1b. All 148 instruments and batteries were wrapped with sponge sheets and fitted snugly into the suitcase. 149 A diffusion dryer was installed upstream of the OPS and microAeth to avoid interference 150 from water vapor (Zieger et al. 2013, Cai et al. 2013), respectively. 151 152 Fig. 1. Setup of Mobile Exposure Measurement System (MEMS) 153 154 2.2 Description of transport microenvironments 155 156 The campaign covered three dominant transit modes of the public transport system of Hong

Kong: the MTR, diesel franchised buses and LPG public light buses. During transit, a

157 passenger may experience a variety of microenvironments depending on the mode of 158

159 transport, the characteristics of surrounding sources and the built environment. Thus the air

160 pollutant concentrations experienced are characterised by a unique pattern of local activities.

161 The present study investigated six main microenvironments including busy and secondary

162 roadside bus stops, open and enclosed bus termini, aboveground (AG) and underground (UG)

163 MTR platforms. Detailed descriptions of the microenvironments characteristics are listed

164 below and Figure 2 shows the coverage of the microenvironments in the study areas and 165 routes.

166

Fig. 2. The transport microenvironments and integrated exposure based routes and areas.

167 168

- 169 Busy and secondary roadside bus stops
- Transport by diesel franchised buses and LPG public light buses carries 3.8 and 1.9 million 170
- 171 daily passenger journeys (HKTD 2013). Waiting at roadside bus stops is an important
- 172 component of a commuter's daily exposure because of the proximity to road traffic emissions.
- 173 We separated the roadways by their annual average daily traffic (AADT) into busy road
- 174 (AADT>30,000 vehicles per day) and secondary road (AADT<20,000 vehicles per day),

- which also reflects the distribution of the public transport such as bus routes and number ofbus stops, as well as roadway characteristics (HKTD 2013).
- 177

#### 178 *Open and enclosed termini*

- 179 Different from roadside bus stops where there exists continuous flow of traffic during a
- 180 passenger's wait, the bus terminus is a unique microenvironment as a transport interchange
- 181 busy with buses collecting or discharging passengers and exposure can be enhanced by
- 182 emissions during vehicles idling, acceleration and deceleration. Dependent on the ventilation
- 183 and the surrounding built environment, the termini were categorized as open or enclosed. The
- 184 open termini are in effect open outdoor spaces, while enclosed termini are confined or semi-
- 185 confined environments often located on the ground floor of large building complexes. A total
- 186 of ten open and twelve enclosed termini were investigated in this study.
- 187
- 188 Above- and under-ground MTR platforms
- 189 The rail-based MTR is the most used mode of public transport in Hong Kong, carrying 4.9
- 190 million daily passengers (HKTD 2013). There are both above- and under-ground platforms
- along the different MTR lines. The aboveground platforms are built at ground level or
- 192 elevated and directly open to the atmosphere. The underground platforms are enclosed with
- 193 active ventilation and platform screen doors installed for passenger safety. These feature full
- 194 height glass and metal separating partitions that run from the station floor to ceiling. A total
- 195 of twenty nine aboveground and thirty nine underground platforms were surveyed in the
- 196 present study covering five different MTR lines.
- 197

198 The six microenvironments were distributed in major populated residential, commercial and 199 industrial areas. Residential areas are characterized by high population density; commercial

- 200 areas with intensive traffic and pedestrian flow, commonly featured high rise buildings
- 201 forming street canyons. Industrial areas in this study include districts that host warehouses
- and small scale industrial activities. These areas are also close to active cargo ports and heavy
- 203 duty trucks shuttle goods containers. The distribution of the areas is shown in Figure 2 and
- the entire study areas encompass more than 60% of total permanent population of Hong Kong
- 205 (HKCSD 2013).

# 206

# 207 2.3 Route design

208

# 209 Microenvironment and in-cabin measurement routes

- 210 Trips in public transport typically include several activities that contribute to a passenger's
- 211 exposure including walking to a transit stop, waiting for the vehicle, riding it and often
- 212 changing transport modes. In this study we included waiting for transit and riding to a
- 213 destination, as both were expected to represent important components in a commuter's daily
- 214 exposure profile. Figure 2a shows the study routes and areas covered during the campaign. A
- total of five diesel franchised bus routes, five LPG bus routes and six MTR routes were
- 216 chosen to represent typical journeys that connect residential neighbourhoods with commercial
- and industrial areas (Fig. 2a). Each bus route crosses different areas and includes bus stops
- 218 and/or termini with different characteristics. The MTR routes include different lines with
- both AG and UG platforms. Busses and trains are frequent in Hong Kong (every ~1-15 min
- during non-peak hours), which makes wait times short so it is difficult to assure that
- 221 measurements of air quality are representative of the microenvironment. Measurements were
- made for at least ten minutes for each microenvironment where passengers waited for
- transport in each route trip. .
- 224

#### 225 *Time integrated exposure measurement routes*

226 In addition to monitoring distinct microenvironments, two routes were designed to simulate a 227 passenger exposure in point-to-point travel while taking different transport options as shown 228 in Figure 2b. One route connects Mongkok (MK) to Tsin Sha Tsui (TST) along Nathan Road, 229 a busy commercial corridors with more than 30% of total traffic flow being franchised buses (Legco 2010). The other route connects Sheung Wan (SW) to Causeway Bay (CB) along Des 230 231 Voeux Road and Causeway Road, with about 35% of total traffic flow as franchised buses 232 (Legco 2010). This represents a typical trip between residential and commercial areas. Both 233 routes were undertaken as round trips; one way using diesel franchised bus and the return by 234 MTR for multiple trips. Bus trips started with a wait at the roadside bus stop and ended with 235 arrival at the destination, while MTR trips started at the street level entrance to the MTR

station closest to the bus stop, and ended at the ground-level street exit of the station.

237

#### 238 2.4 Measurement protocol

239

240 The campaign was performed over 45 weekdays between May 27th and September 11th, 241 2013. Each measurement day ran between 1000 to 1700 hours, and a trained researcher carried the MEMS along the designated routes. In order to cover the heterogeneity of air 242 243 pollutant concentrations in various microenvironments, the measurement period was 244 primarily non-peak hours of public transport operations since rush hour measurements were 245 practically difficult due to limitations of crowding and carrying the MEMS into the vehicles. 246 Our main objective is to evaluate the air pollution characteristics in various 247 microenvironments in different transport modes to form a basis for more accurate estimation 248 of daily dose of exposure. The schedule of the trips was randomized to avoid the systemic 249 bias of sampling by different times of the day. Total of 119 trips were carried out for the 250 microenvironment routes, 113 of which were successful including 36 MTR, 60 diesel bus and 251 17 LPG bus trips with each measurement trip covering 5-10 transport microenvironments. The unsuccessful trips were due to incomplete data and malfunctioning instruments. Each of 252 253 the two time integrated exposure routes was repeated three times on different days, all during 254 non-peak hours. For each route, the round trips were repeated 3-5 times consecutively lasting 255 for about two hours in order to allow the comparison between the bus trip and MTR trip in 256 the same time window. Although smoking is strictly forbidden in any of the bus or MTR conveyances as well as at MTR platforms and bus termini, a special attention was dedicated 257 258 to the possible surrounding smoking event during the field measurement and a tag of smoking 259 was marked in the mobile app as shown in Figure 1b for data screening prior to data analysis. 260

Time synchronization, zeroing and flow checks were carried out on all particle instruments at 261 the beginning of each day. The wick in the CPC was recharged with isopropanol and a new 262 263 filter strip was installed in the microAeth. During field work, the conditions of instruments 264 were monitored by the phone app which issued an alert if maintenance was necessary. The Q-265 trak was calibrated with standard gases (Linde) at the beginning of the campaign in addition to weekly zero and span checks. The diffusion dryer was refilled with the fresh desiccant 266 each day. During the campaign, research staff recorded the time and duration in each 267 268 microenvironment, noted surrounding activities and possible smoking events along the details 269 of the route written in a log sheet. Data and notes were downloaded to a computer each day.

#### 271 2.5 Data analysis

272

270

The OPS reports particle number size distribution from 0.3 to 10  $\mu$ m. For this study, the particle size channels less than 2.5  $\mu$ m were used to calculate the PM<sub>2.5</sub> mass concentration

275	assuming particle density of 1 g/cm <sup>3</sup> . A side by side comparison test with a $PM_{2.5}$ cyclone
276	equipped Beta Attenuation Monitor (BAM, Model 1020, Metone), was performed in ambient
277	conditions in urban area of Kowloon Tong, allowing an estimate of the correction factor for
278	PM <sub>2.5</sub> . We understand this may depend on particle characteristics, but, individual calibration
279	for different microenvironments was not feasible in the study. We have applied the same
280	correction factor to all OPS data. The raw BC data from the microAeth were adjusted to
281	compensate for filter loading effects and UFP number concentrations higher than 100,000
282	particles cm <sup>-3</sup> were corrected for coincidence error by the following equation (Westerdahl et
283	al. 2005): 20 $45 \text{ ct} = 0.00001 \text{ x} + (\mathbb{R}^2 - 0.017)$
284	$y=38456*e^{0.00001x}$ (R <sup>2</sup> =0.817)
285 286	Where x is the raw UFP number concentration in unit particles $cm^{-3}$ and y is the corrected UFP number concentration in unit particles $cm^{-3}$ .
287	The pollutant concentration measured in the six microenvironments and three in-cabin
288	environments were first identified in the database and separated into different routes and
289	organized for statistical analysis. For microenvironments that cover different residential,
290	commercial and industrial areas, the measurements were also categorized by area to
291	investigate the spatial variation of the pollutant concentrations. Unpaired <i>t</i> -tests estimated
292	statistical confidence for differences in concentrations. The coefficients of variance (COV)
293	were calculated to account for the variance of pollutant concentrations in the various
294	microenvironments. This provides information on the degree of spatial uniformity of
295 296	pollutant concentrations, with COV approaching zero representing uniformity. For exposure route measurements, the integrated exposure ( <i>IE</i> ) was calculated from:
290 297	For exposure route measurements, the integrated exposure ( <i>IE</i> ) was calculated from.
298	$IE = \Sigma C_i \times T_i \times AR$ Equation 1.
299	
300	Where, $C_i$ represents the pollutant concentration in different microenvironments, while $T_i$
301	represents the time of stay in the microenvironment and $AR$ is the aspiration rate, here 4.8 L
302	min <sup>-1</sup> (EPA 2011).
303	3. Results and Discussions
304	3.1 Pollutant concentration in various microenvironments
305	
306	Fig. 3. Pollutant concentration in various microenvironments in public transport systems.
307	
308	Figure 3 shows box plots and histograms of pollutant concentrations measured in bus stops,
309	bus termini and on MTR platforms. Overall, enclosed termini and busy roadside
310	environments had the highest pollutant concentrations for PM <sub>2.5</sub> , BC, UFP and CO, while the
311	AG and UG platforms showed consistently lower pollutant concentrations than other
312	microenvironments. For example, the average pollutant concentrations in enclosed termini
212	21.24.20 and 22 times of these an and an and all the man for DM DC LIED and CC

- are 2.1, 2.4, 2.9 and 2.3 times of those on underground platforms for PM<sub>2.5</sub>, BC, UFP and CO, 313
- 314 respectively, indicating the important differences in passenger's exposure in different
- 315 transport systems. Although AG platforms may be more affected by the local urban
- environments as they show a larger concentration range for different pollutants, there is no 316 significant difference in average concentrations observed between AG and UG platforms for
- 317 either gases or particles (p>0.05) possibly due to varying ventilation conditions in different 318
- underground environments as reported earlier (Cheng and Yan 2011, Kam et al. 2011a). The 319
- 320 COVs of average concentrations are 0.23, 0.43, 0.42 and 0.46 for PM<sub>2.5</sub>, BC, UFP and CO,
- 321 respectively. PM<sub>2.5</sub> had a much lower COV value than BC and UFP, an indication of more
- 322 homogeneous distribution of PM<sub>2.5</sub> in urban areas (Wilson et al. 2005). It may also be

323 possible that there exists a slight underestimation of the smaller sized ultrafine particles due 324 to the limitation of OPS measurement that induces less variation from vehicle emission 325 contributions. BC and UFP had similar COV values due to their common sources from 326 vehicle emissions, especially diesel fuelled vehicles (Quintana et al. 2014), as is also seen in 327 the strong correlation (R=0.95) between their average concentrations in different 328 microenvironments (Data not shown). Variation of BC and UFP concentrations in urban 329 atmosphere has been reported by studies on ambient environments (Moore et al. 2009, Wang, 330 Hopke and Utell 2011). The large COVs values observed among different transport 331 microenvironments in this study also confirms that such heterogeneity exists in urban 332 commuter's daily exposure pattern choosing different public transport modes. CO had similar 333 COV levels to BC and UFP, and its average concentrations were also higher in busy roadside and enclosed termini microenvironments. Although CO has been frequently used as vehicle 334 emission marker, it is not a distinct tracer for diesel vehicles compared with gasoline and 335 LPG fueled vehicles (Chan et al. 2007, Ning and Chan 2007). The similar distribution 336 patterns of CO, BC and UFP clearly showed the impact of overall traffic emissions on 337 338 commuter's daily exposure.

- 339
  - **3.2** Distribution of microenvironment pollutant concentrations in different areas
- 340
- 341 342

Fig. 4. Box plots of pollutant concentrations in different urban areas.

The spatial variation of pollutants in different places were further grouped and shown as box 343 344 plots for industrial, commercial and residential areas in Fig. 4. Busy roadside bus stops in 345 industrial areas had significantly higher average PM<sub>2.5</sub> concentrations than other areas, while 346 commercial and residential areas were similar (Fig. 4). The same trend was also observed for 347 BC and UFP, showing the dominant impact of traffic on roadside air quality, especially that 348 the predominant flow of diesel fuelled goods fleets in industrial areas (Legco 2010). However, 349 secondary roadside environments showed less variation of pollutant concentrations than busy 350 roadside. The measured average UFP concentrations among different microenvironments were in reasonable range of reported varying values (Morawska et al. 2008, Kumar et al. 351 2014). As shown in the Figure 4, very high UFP concentrations of up to >100,000 particles 352 353 cm<sup>-3</sup> were measured in the busy roadside and enclosed terminus with high occurrence of 354 diesel bus fleet, but UFP concentrations were much lower in the subway platforms and 355 secondary roadside with less diesel fleet influence. The finding was consistent with an earlier 356 study in Hong Kong(Tsang, Kwok and Miguel 2008). The diversity of local environments 357 and fleet intensity/composition greatly contributes to the heterogeneity of the UFP not only 358 among different cities but also in different microenvironments within a city. There were no significant spatial differences observed for BC, UFP and CO (p > 0.05), while industrial 359 secondary roadside areas showed slightly higher concentrations than commercial and 360 residential areas, perhaps due to additional source from industrial and port activities. In open 361 termini where diesel and LPG buses dominate, there was less variation in particle 362 concentrations among different areas, and lower levels overall compared to busy roadside bus 363 stops. Enclosed terminus had significantly higher pollutant concentrations than open-air 364 365 facilities in all areas, suggesting that limited ventilation conditions would contribute to 366 enhanced exposure.

367

368 The CO concentrations showed a unique profile while comparing area variation as shown in

369 Figure 4, in which open and enclosed termini in residential area had the highest

370 concentrations. The road public transport network in Hong Kong is primarily served by

371 franchised diesel buses and the rail-based MTR, while public light LPG buses play a

372 supplementary role in the provision of public transport services and termini in residential 373 areas are more populated by LPG buses (HKTD 2014). Previous investigations of LPG bus 374 fleets (Chan et al. 2007) have shown their predominant CO emissions compared to other 375 fleets, and a recent study by our group also showed evidence that catalytic converters LPG 376 buses frequently malfunction (Ning, Wubulihairen and Yang 2012). The high CO concentrations observed in residential termini indicate tailpipe emissions from these vehicles 377 378 could enhance passenger exposure. While not measured in this study, VOC emissions from 379 incomplete combustion in combination with malfunctioning catalysts might also increase 380 exposure in these microenvironments although further investigations are much needed to 381 understand the magnitude of this contribution. Railway platforms show lower overall 382 concentrations than other microenvironments in all areas, except aboveground platforms in 383 the commercial area (Fig. 4), which has PM concentrations comparable or higher than other 384 areas. This probably arises because the stations in commercial areas are designed with easy 385 access by the pedestrians from roadways and direct connections with other roadway public 386 transport. As a result, the stations have their aboveground platforms surrounded by narrow 387 streets with high density of tall buildings, high traffic intensity with diesel fleets and crowded 388 pedestrians. The CO concentrations on platforms, was at the lower end of the concentration 389 range found in the microenvironments.

#### **390 3.3 In-cabin pollutant concentrations in different transport systems**

- 391
- 392
- Fig. 5. In-cabin pollutant concentrations by different transport systems. (a) PM<sub>2.5</sub>, (B) Black carbon (BC), (c) Ultrafine particle (UFP), (d) CO
- 393 394

395 Figure 5 presents the in-cabin pollutant concentrations measured while travelling by different 396 modes of transport. As shown in Figure 5a, the  $PM_{2.5}$  concentrations in the three cabins have comparable averages of 11.7, 8.2 and 10.2  $\mu$ g/m<sup>3</sup> for LPG bus, diesel bus and MTR cars, 397 398 respectively. BC and UFP pollutants displayed identical concentration profiles, but 399 substantially different compared to PM<sub>2.5</sub>, with diesel bus cabins showing significantly higher 400 concentrations than LPG buses (p < 0.01) and MTR cars (p < 0.01) for both pollutants. This observation suggests pollutants from traffic penetrate into the bus cabins during travel. BC 401 402 and UFP are tracers for diesel exhaust emissions (Ouintana et al. 2014), so their higher 403 concentrations in diesel buses may be due to the self-pollution of diesel engine emissions 404 (Rim et al. 2008) or because nearby vehicles emit these pollutants. Bus age, type and the 405 position of the ventilation inlet are important variables affecting the degree of self-pollution 406 (Behrentz et al. 2004, Sabin et al. 2005). The large variation of pollutant concentrations in 407 diesel bus cabins may arise because the local buses have mixed fleets with more than 60% of 408 Euro I and II, and 17% of Euro IV and V standards (HKENB 2013). It is also possible that franchised diesel and LPG public light buses serve different commuter groups and operate on 409 different routes, resulting in more diesel traffic volume for the diesel bus routes (Kaur and 410 411 Nieuwenhuijsen 2009). Nevertheless, it should be noted that the BC concentrations inside diesel and LPG bus cabins (11.6  $\pm$  7.6  $\mu$ g/m<sup>3</sup> and 7.5  $\pm$  3.2  $\mu$ g/m<sup>3</sup>, respectively) were on the 412 lower end of the reported values (range ~  $5-50 \ \mu g \ m^{-3}$ ) in the literature (Fruin, Winer and 413 414 Rodes 2004, Janssen et al. 2011). A few investigators (Knibbs and de Dear 2010, Zuurbier et 415 al. 2010) have also found much higher concentrations of UFPs inside buses and attributed these to cabin ventilation and leakage. Figure 5d shows that the average concentrations of CO 416 417 were highest inside LPG buses (~2.9 $\pm$ 1.8 ppm) followed by diesel buses (1.0  $\pm$  0.5 ppm) and 418 MTR cars ( $0.3 \pm 0.1$  ppm), significantly different for all combinations (p < 0.01). Chan and 419 Liu (Chan and Liu 2001) carried out exposure assessment in similar microenvironments in 420 Hong Kong in 1999 and reported in-cabin CO concentrations to be 1.8~2.9 ppm for diesel

421 buses, much higher than the observed in the present study, probably attributed to the

- 422 improved air ventilation condition for on-road vehicles and more effective vehicle emission 423 controls added since that study.
- 424
- 425 Fig. 6. Typical time series of pollutant concentrations while travelling by different transport 426 systems.

427 428 Figure 6 shows typical time series of the measured pollutant concentrations by different 429 transport modes. Four trip-based measurements were presented to cover (a). diesel bus; (b). 430 LPG bus; (c). aboveground and (d). underground railway routes with representative 431 microenvironments. In addition to PM<sub>2.5</sub>, BC, UFP and CO pollutants, CO<sub>2</sub> concentration was 432 also included as an indicator of in-cabin and ambient environments. As shown in Figure 6a, 433 the in-cabin concentrations of BC and UFP in diesel bus routes recorded both high  $(50.7\pm15.5 \ \mu\text{g/m}^3 \text{ and } 4.1\pm1.3 \times 10^4 \text{ particles cm}^{-3}, \text{ respectively}) \text{ and low } (11.1\pm4.0 \ \mu\text{g/m}^3 \text{ and})$ 434  $2.4\pm0.4\times10^4$  particles cm<sup>-3</sup>, respectively) levels while taking two different buses in separate 435 436 roadway sections, a clear indication of the large span of their distribution as discussed in 437 previous section. Meanwhile, substantial variation of their concentrations were observed 438 while waiting in closed termini and busy roadside showing the direct impact of vehicle 439 emissions on the passenger exposure to these pollutants. In other transport modes (Figure 6b 440 to 6d), BC and UFP showed much lower in-cabin concentrations compared to the ambient 441 microenvironments, except for an interesting observation of increased BC inside MTR car 442 while travelling through an underground tunnel. A similar pattern was observed for PM<sub>2.5</sub>, 443 but not for UFP. It may be attributed to the pressure change between the in-cabin and outside 444 while entering tunnel that changes the penetration rate of particle pollutants. Diesel bus routes 445 seems to show elevated BC and UFP concentrations when compared to other modes, with 446 lower levels in AG and UG MTR routes, and in LPG bus route. PM<sub>2.5</sub> concentrations, however, showed relatively less variation in different transport modes and there is no 447 significant difference observed of their in-cabin concentrations by the routes. For CO, LPG 448 449 bus route observations showed much higher average concentrations in open termini as shown 450 in Figure 6b. The contrast between CO versus BC and UFP concentrations profiles in 451 enclosed termini (Figure 6a) and open termini (Figure 6b) suggest the dominant impact of 452 vehicle emissions for passengers while waiting for boarding.

- 3.4 Inter-comparison by different transport modes 453
- 454

455

Fig. 7. Comparison of integrated exposure to pollutants by diesel bus and by MTR.

456 457 The total integrated exposure by two public transport routes through busy business districts 458 on franchised bus and the MTR is shown in Fig. 7 as a time series for travel from Monkok 459 (MK) to Tsim Sha Tsui (TST) (Figure 7a) and from Sheung Wan (SW) to Causeway Bay 460 (CB) (Figure 7b). Each trip includes waiting at stops and platforms and in-cabin exposure. 461 The pollutant patterns were consistent between the multiple runs so only one profile is 462 presented. In general, the traffic related pollutants of BC, UFP and CO had much higher average concentrations during the bus trip than on the MTR. The TST to MK trip, for 463 example, has average BC, UFP and CO concentrations of  $5.3\pm5.0 \ \mu\text{g/m}^3$ ,  $2.9\pm2.7\times10^4$ 464 particles cm<sup>3</sup> and 1.0±0.7 ppm for bus trip, but only  $3.6\pm2.1 \ \mu g/m^3$ ,  $0.9\pm0.5\times10^4$  particles cm<sup>3</sup> 465 and 0.4±0.5 ppm for MTR trip. Their concentrations inside bus cabins increased when the 466 467 door opens at bus stops followed by a gradual decay as seen in the PM time series (Fig 7). The time spent in different microenvironments is an important component in estimating 468 469 exposure. On average, the total trip time by bus and by MTR is  $24 \pm 2$  minutes and  $14 \pm 1$ 

470 minutes, respectively, between MK and TST; and  $29\pm 2$  minutes and  $19\pm 1$  minutes,

- 471 respectively, between SW and CB during this study.-While the waiting time in bus stops was
- 472 comparable with those in platform for MTR trip, the longer trip time by bus due to the travel
- time on congested roadways highlights the importance of the in-cabin exposure to pollutants.It is also worth noting that the monitoring route was carried out during non-peak hours so
- 474 It is also worth noting that the monitoring route was carried out during non-peak hours so 475 even longer times are expected for bus trips during peak hours when most commuters use the
- 476 transport system.
- 477

478 Integrating the pollutant concentrations and time spent suggests a the trip based average dose 479 of exposure to PM<sub>2.5</sub>, BC, UFP and CO by taking bus from MK to TST were 511.4±219.6 µg,  $1.7\pm1.5 \,\mu\text{g}$ ,  $3.5\pm1.3\times10^9$  particles and  $235.5\pm83.2\mu\text{g}$ , respectively, while the return trip by 480 MTR had average dose of 400.5 $\pm$ 97.3 µg, 0.3 $\pm$ 0.1 µg, 0.8 $\pm$ 0.2 $\times$ 10<sup>9</sup> particles and 12.0 $\pm$ 9.1 µg 481 482 for the pollutants, representing average ratios of 1.3, 5.7, 4.4 and 19.6 times between bus trip 483 and MTR trip for PM<sub>2.5</sub>, BC, UFP and CO, respectively. A similar comparison was also 484 observed in the other route between SW and CB with corresponding ratios of 0.7, 2.0, 2.5 and 485 3.4 by taking bus versus MTR. The results showed interesting comparison between PM<sub>2.5</sub> and 486 other pollutants with relatively consistent exposure for  $PM_{2.5}$  (ratio of 0.7 to 1.3) but much higher exposure risks for traffic related pollutants of BC, UFP and CO for passengers taking 487

- 488 buses in urban public transport systems.
- 489

#### 490 Conclusions

491 The present study employed a Mobile Exposure Measurement System to investigate PM<sub>2.5</sub>,

- 492 BC, UFP and CO concentrations in various public transport microenvironments and
- 493 passenger exposures to these pollutants by different routes in the highly urbanized city of
- Hong Kong. The heterogeneity of pollutant concentrations in the microenvironment and in-
- 495 cabin during transit were investigated to identify the factors that may affect the passengers'
- 496 air pollutants exposure. Busy roadside and enclosed termini were found to have the highest497 average particle concentrations in contrast to the lowest in the MTR platforms indicating the
- 498 importance of design and ventilation of built environments. Traffic-related pollutants BC,
- 499 UFP and CO showed much larger variation than  $PM_{2.5}$  across different microenvironment and
- 500 different areas of the city confirming their heterogeneous nature and stressing the importance
- 501 of characterizing transit microenvironments exposure in part of daily dose of exposure in 502 epidemiological studies instead of using area pollutant concentrations as indicator of
- 503 exposure. In-cabin pollutant concentrations showed different patterns by different transport
- 504 modes with diesel bus cabins having significantly higher BC and UFP concentrations than
- 505 other modes, suggesting possible self-pollution issues and/or penetration of on-road
- 506 pollutants inside cabins during bus transit. Higher concentrations of CO inside LPG fuelled
- 507 buses were also found and could possibly be due to malfunctioning of catalytic convertor and
- 508 leakage from engine compartment into the cabin. Comparing a passenger's total exposure on 509 different modes transport indicated that bus route showed higher integrated doses than MTR
- 509 routes, enhanced by longer travel times on roadways.
- 511
- 512 Current air quality regulation focuses on emission reduction as a mechanism to improve
- ambient or roadside air quality. However, the heterogeneity of air pollutant concentrations
- observed in the public transport microenvironments suggests the need for exposure based
- 515 policy making in addition to tail-pipe solutions, since commuter trips may contribute to an
- 516 importance fraction of daily exposure especially in cities. Transport optimization to reduce
- 517 congestion, bus route reorganization to less polluted areas, and encouraging commuter choice
- 518 for cleaner transport modes may contribute to an effective reduction in a passenger's

- 519 exposure. Future investigations might usefully examine the effectiveness of bus ventilation
- 520 systems, inflow when doors are open and the temporal variation of commuter exposure
- 521 patterns, i.e. peak versus non-peak hours, all of which are needed to develop a better
- 522 understanding of the comprehensive exposure profiles and provide the basis for cost-effective
- air and public health policy making.

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- 531
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#### **Figures and tables**

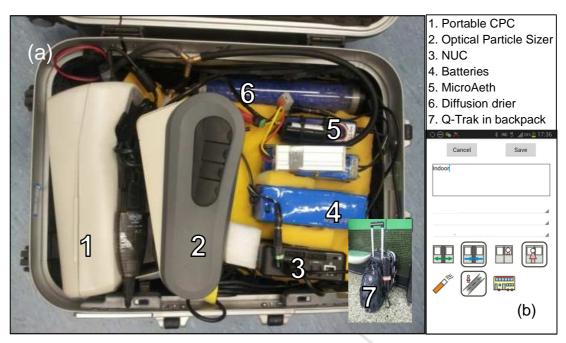


Figure 1 Setup of Mobile Exposure Measurement System (MEMS): (a). The internal setup of the portable instruments; (b). Screenshot of the developed mobile app.

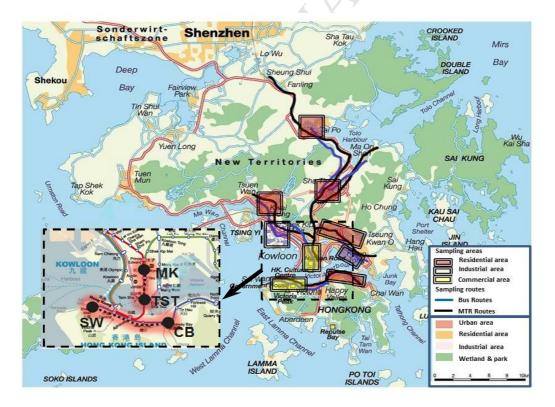


Figure 2 The transport microenvironments (main plot) and integrated exposure based (subplot) sampling routes and areas.

Note: The subplot shows two sampling routes between Mongkok (MK) and Tsin Sha Tsui (TST); and between Sheung Wan (SW) and Causeway Bay (CB).

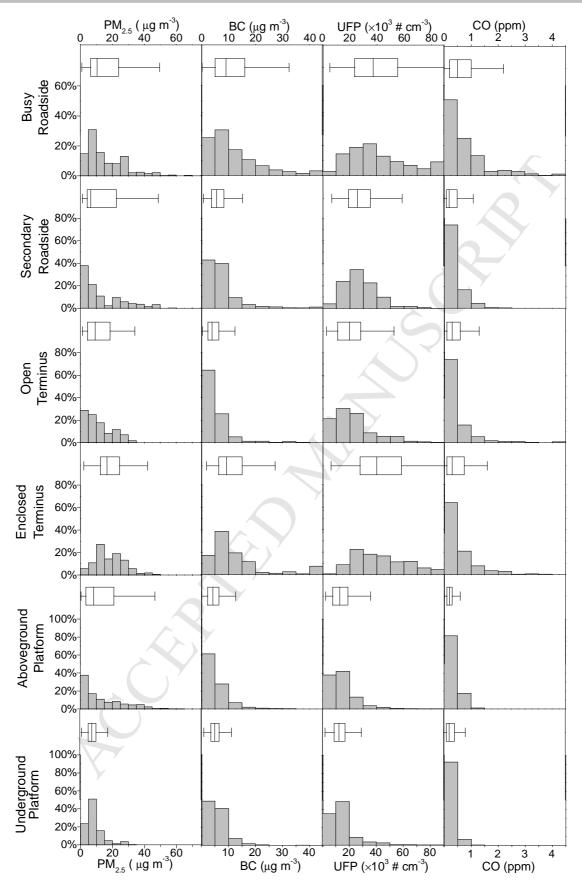


Figure 3 Pollutant concentration in various microenvironments in public transport systems.

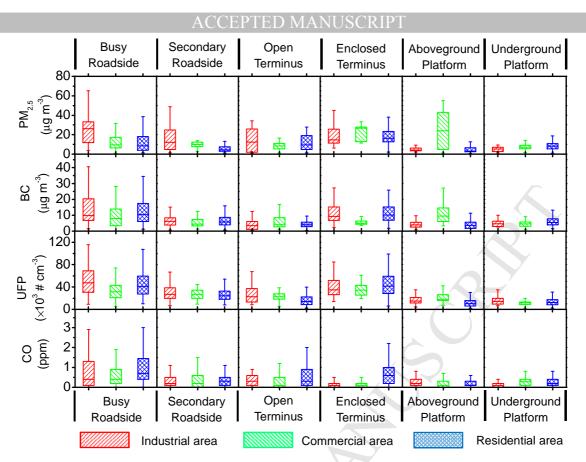


Figure 4 Box plots of pollutant concentrations in different urban areas.

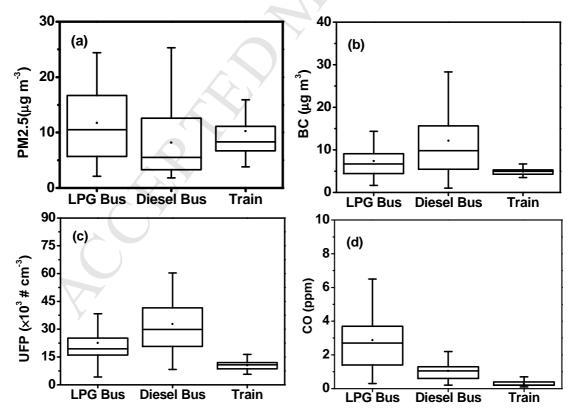


Figure 5 In-cabin pollutant concentrations by different transport systems: (a) PM<sub>2.5</sub>;
(b) Black carbon (BC); (c) Ultrafine particles (UFP); (d) CO

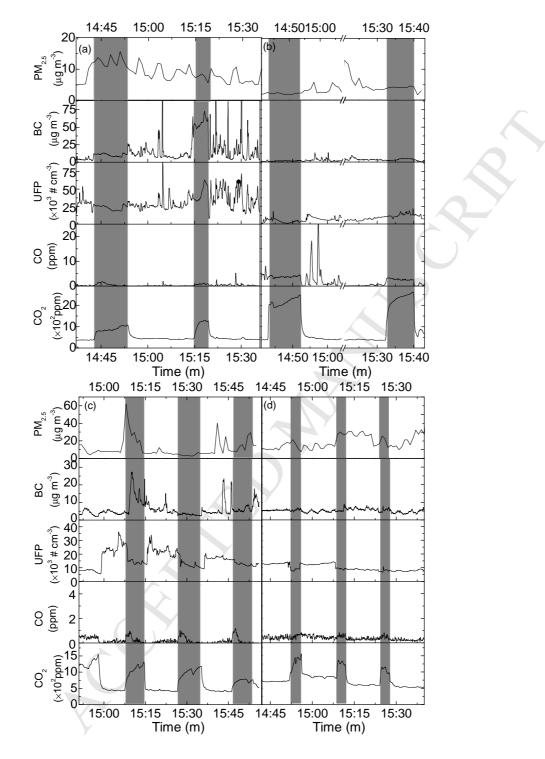
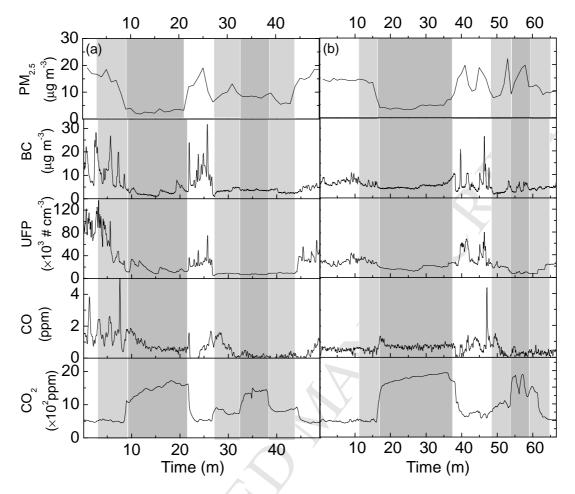
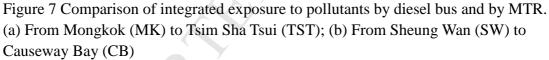


Figure 6 Typical time series of pollutant concentrations while travelling by different transport systems. (a) Diesel Bus; (b) LPG Bus; (c) MTR AG Platform; (d) MTR UG Platform

Note: Dark gray color represents the time in the bus or MTR cabin.





Note: Dark gray color represents the time in the bus or MTR cabin while the light gray color represents the time waiting at the roadside stops and platforms or walking inside the MTR stations.

- Air pollutants were measured in categorized public transport microenvironments
- High heterogeneity of pollutants concentrations exists in public transport system
- Bus riders have higher integrated dose of exposure than railway riders
- Self-pollution may be an important source of in-cabin pollutants in buses