

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

U.S. Office of Government Ethics

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status (if Applicable) <input checked="" type="checkbox"/> Incumbent <input type="checkbox"/> New Entrant, Nominee, or Candidate	Calendar Year Covered by Report 2011	Termination Filer <input type="checkbox"/>	Termination Date (If Applicable) (Month, Day, Year)	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.
1-22-09					
Reporting Individual's Name	Last Name Rice	First Name and Middle Initial Susan E.			
Position for Which Filing	Title of Position US Permanent Representative to the United Nations	Department or Agency (If Applicable) U.S. Department of State			
Location of Present Office (for forwarding address)	Address (Number, Street, City, State, and ZIP Code) The U.S. Department of State, 2201 C Street, NW, Washington, DC 20502	Telephone No. (Include Area Code) 202-736-7555			
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Title of Position(s) and Date(s) Held				
Presidential Nominee Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversified Trust?		Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.	
		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Certification	Signature of Reporting Individual	Date (Month, Day, Year)			
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	<i>Susan E. Rice</i>	5/15/12			
Other Review (If desired by agency)	Signature of Other Reviewer	Date (Month, Day, Year)			
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official	Date (Month, Day, Year)			
	<i>[Signature]</i>	June 19, 2012			
Office of Government Ethics Use Only	Signature	Date (Month, Day, Year)			
	<i>[Signature]</i>	8/17/12			
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)					
(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>					
(Check box if comments are continued on the reverse side) <input type="checkbox"/>					
					Reporting Periods Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.
					Nominees, New Entrants and Candidates for President and Vice President: Schedule A...The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.
					Schedule B... Not applicable.
					Schedule C - Part I (Individuals)... The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
					Schedule C - Part II (Arrangements or Arrangements)... Show any agreements or arrangements as of the date of filing.
					Schedule D... The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.
					Agency Use Only
					OGE Use Only
					JUN 19 2012

Reporting Individual's Name: **Rice, Susan E.** **SCHEDULE A** Page Number: **2**

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BLOCK A	BLOCK B											BLOCK C		Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria																
	Valuation of Assets at close of reporting period											Type	Amount																		
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual account of any honoraria over \$200 of your spouse). None <input type="checkbox"/>	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	Over \$5,000,000	
	Examples	Central Airlines Common			X											X						X									
	Doe Jones & Smith, Hometown, State		X												X																Law Partnership Income \$100,000
	Kempstone Equity Fund				X																		X								
	IRA: Heartland 500 Index Fund						X																								
1	DISTRIBUIDORA INTL		X												X					X											
	IBERDROLA SA		X												X					X											
	BANK OF AMERICA CORP	BAC	X												X					X											
2	DIAGEO	DGE		X											X					X											
	UNITED CONTINENTAL	UAL		X											X					X											
	POSCO	PKX		X											X					X											
3	DEVON ENERGY CORP	DVN		X											X					X											
	KON KPN NV			X											X					X											
	NORSK HYDRO ASA			X											X					X											
4	BANCO SANTANDER SA				X										X					X		X									
	LUXOTTICA GROUP				X										X					X											
	CREDIT SUISSE GRP AG				X										X					X											
5	MGM RESORTS INTL	MGM			X										X					X											
	SUN LIFE FNCL INC	SLF			X										X					X											
	ICON PUB LTD CO	ICLR			X										X					X											
6	DEUTSCHE BANK AG				X										X					X											
	ERICSSON(LM)TEL				X										X					X											
	BASF SE				X										X					X											
7	HITACHI				X										X					X											
	THOMSON REUTERS CORP	TRI			X										X					X											
	HONDA MOTOR CO	HMC			X										X					X											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.

Reporting Individual's Name
 Rice, Susan E.

SCHEDULE A continued
 (Use only if needed)

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1	ATP OIL & GAS CORP WYNN RESORTS LTD CDN UTILITIES LTD	ATPG WYNN CU			X	X										X				X			X															
2	TEJON RANCH CO NVIDIA CORP ROCHE HLDGS AG	TRC NVDA			X	X										X				X			X															
3	ADVANCED SEMICONDUCTR CONTINENTAL RES HSBC HOLDINGS	ASX CLR HSBA			X	X										X				X			X															
4	CELGENE CORP CLEARWIRE CORP RIO TINTO LIMITED	CELG CLWR RIO			X	X										X				X			X															
5	GETINGE NATIONAL BK CDA SUNPOWER CORP	NA SPWR			X	X										X				X			X															
6	POWERSHS DB MULTI SE AMERICAN EAGLE OUTFT HUMAN GENOME SCI	DBA AEO HGSI			X	X										X				X			X															
7	SINGAPORE TELECOMM SMITHFIELD FOODS INC BHP BILLITON PLC	SFD BLT			X	X										X				X			X															
8	TELSTRA CORP AOL INC MOSAIC CO	TLS AOL MOS			X	X										X				X			X															
9	CHESAPEAKE ENERGY GAP INC ENI	CHK GPS			X	X										X				X			X															

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Reporting Individual's Name
 Rice, Susan E.

SCHEDULE A continued
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	1	LENNAR CORP CDN NATURAL RES SHIRE		X														X				X															
	2	LAS VEGAS SANDS CORP DOLE FOOD CO COEUR D'ALENE MINES		X														X				X															
	3	MEDTRONIC INC MAPLE LEAF FOODS DIRECTV		X														X				X															
	4	MARVELL TECH GRP LTD WELLS FARGO & CO ZURICH FINL SVCS		X														X				X															
	5	EBAY INC OPKO HEALTH INC CITIGROUP INC		X														X				X															
	6	MONSANTO CO ELECTRONIC ARTS INC SANOFI		X														X				X															
	7	VODAFONE GROUP CANON INC AHOLD (KON) NV		X														X				X															
	8	BCE INC TAIWAN SEMICONDUCTOR SEVEN & I HOLDINGS		X														X				X															
	9	EMERSON ELEC CO SUNCOR ENERGY INC KAO CORP		X														X				X															

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Reporting Individual's Name
 Rice, Susan E.

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1	ARCHER DANIELS MIDLD APPLE INC MERCK & CO INC	ADM AAPL MRK			X	X											X				X												
2	TESCO UNILEVER PLC NOVARTIS AG	TSCO ULVR			X	X											X					X	X	X									
3	MARKET VECTORS ETF MOLYCORP INC ROYAL DUTCH SHELL	GDX MCP			X	X											X			X	X			X									
4	GLAXOSMITHKLINE FREEPORT-MCMORAN C&G WAL MART STORES INC	GSK FCX WMT			X	X											X			X	X			X	X								
5	DEERE & CO INTEL CORP ROGERS COMMUNICATION	DE INTC RCI.B			X												X			X	X			X									
6	AGRIUM INC ISHARES SILVER TRUST NEWMONT MINING CORP	AGU SLV NEM				X	X										X			X	X					X							
7	CDN TIRE CORP CATERPILLAR INC POTASH CORP OF SASK	CTC.A CAT POT				X	X										X			X	X			X									
8	INTL BUSINESS MCHN COCA-COLA CO MCDONALDS CORP	IBM KO MCD				X	X										X		X	X	X			X		X							
9	TELUS CORP SPDR DOW JONES INDL TRANSCANADA CORP	T.A DIA TRP				X	X										X			X	X			X		X							

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Reporting Individual's Name
 Rice, Susan E.

SCHEDULE A continued

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1	JOHNSON & JOHNSON	JNJ					X										X																																			
	BANK OF MONTREAL	BMO					X										X																																			
	BROOKFIELD ASSET MGT	BAM.A					X										X																																			
2	CDN IMP BANK COMMERC	CM						X									X																																			
	BANK OF NOVA SCOTIA	BNS						X									X																																			
	GREAT-WEST LIFECO	GWO						X									X																																			
3	SPDR GOLD TR GOLD	GLD							X								X																																			
	IMPERIAL OIL LTD	IMO							X								X																																			
	TRANSALTA CORP	TA							X								X																																			
4	ENCANA CORPORATION	ECA							X								X																																			
	ENBRIDGE INC	ENB							X								X																																			
	CENOVUS ENERGY	CVE							X								X																																			
5	CDN PACIFIC RAILWAY	CP							X								X																																			
	ROYAL BANK CDA	RY							X								X																																			
	TORONTO DOMINION BK	TD							X								X																																			
6	ROYAL TRUST CORP OF CDA - CASH CANADIAN							X																																												
	ROYAL TRUST CORP OF CDA - CASH US							X																																												
7	EMERSON ELEC CO	EMR	X														X																																			
	CATERPILLAR INC	CAT	X														X																																			
	WAL MART STORES INC	WMT	X														X																																			
8	ISHARES SILVER TRUST	SLV		X													X																																			
	CDN TIRE CORP	CTC.A		X													X																																			
	BCE INC	BCE		X													X																																			
9	SPDR DOW JONES INDL	DIA			X												X																																			
	TRANSCANADA CORP	TRP			X												X																																			
	JOHNSON & JOHNSON	JNJ				X											X																																			

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SCHEDULE A continued

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1	ROYAL TRUST CORP OF CDA - CASH US		X															X		X													
	ISHARES SILVER TRUST ISHARES SLV		X													X					X												
2	SPDR GOLD TR GOLD GOLD SHARE\$ GLD		X													X					X												
	ISHARES TR MSCI EAFE IDX EFA				X									X		X							X										
	ISHARES INC MSCI CDA INDEX EWC				X									X		X							X										
3	SPDR DOW JONES INDL DIA				X									X		X							X										
	ROYAL TRUST CORP OF CDA - CASH CANADIAN			X														X			X												
	ROYAL TRUST CORP OF CDA - CASH US			X															X		X												
4	ISHARES SILVER TRUST ISHARES SLV		X													X					X												
	SPDR GOLD TR GOLD GOLD SHARE\$ GLD				X											X					X												
5	ISHARES TR MSCI EAFE IDX EFA					X								X		X								X									
	ISHARES INC MSCI CDA INDEX EWC							X						X		X								X									
	SPDR DOW JONES INDL DIA							X						X		X								X									
6	ROYAL TRUST CORP OF CDA - CASH CANADIAN					X												X			X												
	ROYAL TRUST CORP OF CDA - CASH US						X												X		X												
7	ISHARES SILVER TRUST ISHARES SLV		X													X					X												
	SPDR GOLD TR GOLD GOLD SHARE\$ GLD				X											X					X												
	ISHARES TR MSCI EAFE IDX EFA					X								X		X								X									
8	ISHARES INC MSCI CDA INDEX EWC							X						X		X								X									
	SPDR DOW JONES INDL DIA							X						X		X								X									
	ROYAL TRUST CORP OF CDA - CASH CANADIAN					X												X			X												
9	ROYAL TRUST CORP OF CDA - CASH US					X													X		X												

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SCHEDULE A continued
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1	ISHARES S&P/TSX 60 INDEX FUND RBC PRIVATE CDN MID CAP EQUITY RBC PRIVATE INCOME POOL	XIU	X	X	X					X				X			X																
2	RBC PRIVATE INT'L EQUITY POOL ISHARES TRUST RUSSELL 1000 IND	IWD			X	X				X				X			X																
3	RBC PRIVATE US MIDCAP EQUITY POOL			X						X				X																			
4	MCGRAW HILL VERIZON COMMUNICATION WALT DISNEY CO	MHP VZ DIS	X	X	X					X				X			X																
5	UBS BANK USA DEP ACCT PFIZER DELL		X	X						X				X			X	X															
6	UBS BANK USA DEP ACCT CALVERT TAX FREE RESERVES MONEY MARKET			X		X																											
7	WALKER DUNLOP	WD	X																			X											
8	CREF STOCK CREF GROWTH CREF GLOBAL EQUITIES			X	X					X				X			X					X											
9	TIAA REAL ESTATE CREF BOND MARKET CREF SOCIAL CHOICE		X	X	X					X				X			X					X											

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Reporting Individual's Name
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SCHEDULE A continued
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	Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																										
											Type	Amount																									
None	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)						
1			X		X										X							X															
		X																				X															
2			X												X					X																	
				X											X					X																	
3			X												X					X																	
			X												X					X																	
4			X												X					X																	
			X												X					X																	
5		X																X	X																		
6																				X																	
7														X																							
		X																		X																	
8									X											X																	
9																																					

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Prior Editions Cannot be Used.

① per M. Alexander, Dept. of State. 8/8/12. LSyme.

Reporting Individual's Name Rice, Susan E.	SCHEDULE A continued (Use only if needed)	Page Number 11
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	BLOCK A	Valuation of Assets at close of reporting period										BLOCK B										BLOCK C													
		Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.																																	
		Type												Amount																					
	None	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500			\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	CARREFOUR SA EUR2.6 CIE DE ST-GOBAIN EUR4 FRANCE TELECOM EUR4		x													x																			
2	INTESA SANPAOLO EURO.52 RWE AG (NEU) NPV 'A' SOC GENERALE EUR1.25		x													x																			
3	TELEFONICA SA EUR1 (ESP)		x													x																			
4																																			
5																																			
6																																			
7																																			
8																																			
9																																			

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Reporting Individual's Name Rice, Susan E.	SCHEDULE B	Page Number 12
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Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not

report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None

No.	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,001	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99												
1	AHOLD (KON) NV EUR0.30 HUMAN GENOME SCI COMMON HGSJ	x			13-Jan-11	x											
2	MEDTRONIC INC COMMON MDT EBAY INC COMMON	x			14-Jan-11	x											
		x			27-Jan-11		x										
3	TESCO ORD 5P TSCO AHOLD (KON) NV EUR0.30	x			27-Jan-11	x											
		x			3-Feb-11	x											
4	HUMAN GENOME SCI COMMON HGSJ ARCHER DANIELS MIDLD COMMON ADM	x			7-Feb-11	x											
		x			7-Feb-11		x										
5	AHOLD (KON) NV EUR0.30 AOL INC COMMON AOL	x			11-Feb-11	x											
		x			7-Mar-11		x										
6	DIRECTV COMMON CLASS A DTV COEUR D'ALENE MINES COMMON NEW CDE	x			7-Mar-11	x	x										
		x			7-Mar-11			x									

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Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None

No.	Source (Name and Address)	Brief Description	Value
	Examples: Natl Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/13/99 (personal activity unrelated to duty) Leather briefcase (personal friend)	\$500 \$300
1			
2			
3			
4			
5			

Prior Editions Cannot Be Used.

Reporting Individual's Name Rice, Susan E.	SCHEDULE B continued (Use only if needed)	Page Number 13
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Part I: Transactions

No.	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)																						
		Purchase	Sale	Exchange		\$1,001 -	\$15,000	\$15,001 -	\$50,000	\$50,001 -	\$100,000	\$100,001 -	\$250,000	\$250,001 -	\$500,000	\$500,001 -	\$1,000,000	Over	\$1,000,000*	\$1,000,001 -	\$5,000,000	\$5,000,001 -	\$25,000,000	\$25,000,001 -	\$50,000,000	Over	Certificate of divestiture	
	Example: Central Airlines Common	x			2/1/99				x																			
1	MOSAIC CO COMMON MOS	x			21-Mar-11			x																				
	INTL BUSINESS MCHN COMMON IBM	x			21-Mar-11			x																				
2	MCDONALDS CORP COMMON MCD	x			21-Mar-11			x																				
	WAL MART STORES INC COMMON WMT	x			21-Mar-11			x																				
3	COCA-COLA CO COMMON KO	x			21-Mar-11			x																				
	CATERPILLAR INC COMMON CAT	x			21-Mar-11			x																				
4	EBAY INC COMMON EBAY	x			21-Mar-11			x																				
	WELLS FARGO & CO COMMON WFC	x			21-Mar-11					x																		
5	KINETIC CONCEPTS COMMON	x			21-Mar-11					x																		
	ISHARES SILVER TRUST ISHARES SLV	x			21-Mar-11					x																		
6	DEERE & CO COMMON DE	x			21-Mar-11					x																		
	SEVEN & I HOLDINGS NPV	x			22-Mar-11	x																						
7	KAO CORP NPV	x			22-Mar-11	x																						
	SANOFI EUR2	x			22-Mar-11			x																				
8	ENI EUR1	x			22-Mar-11			x																				
	VODAFONE GROUP ORD USD0.11428571 VOD	x			22-Mar-11			x																				
9	TESCO ORD 5P	x			22-Mar-11			x																				
	TAKEDA PHARMACEUTI NPV	x			22-Mar-11			x																				
10	NOVARTIS AG CHF0.50(REGD)	x			22-Mar-11			x																				
	AHOLD (KON) NV EUR0.30	x			22-Mar-11			x																				
11	ROYAL DUTCH SHELL 'A'SHS EUR0.07	x			22-Mar-11			x																				
	CIE DE ST-GOBAIN EUR4	x			22-Mar-11			x																				
12	QBE INSURANCE GROUP ORD	x			22-Mar-11					x																		
	POWERSHS DB MULTI SE ETF DB AGRICULT FD DBA	x			23-Mar-11			x																				
13	FREEMPORT-MCMORAN C&G COMMON	x			24-Mar-11			x																				
	AOL INC COMMON AOL	x			20-Apr-11	x																						
14	DIRECTV COMMON CLASS A	x			20-Apr-11			x																				
	SINGAPORE TELECOMM SGD0.15(POST RE-ORG)	x			20-Apr-11			x																				
15	SUNPOWER CORP CLASS A	x			20-Apr-11			x																				
	FREEMPORT-MCMORAN C&G COMMON	x			20-Apr-11			x																				
16	GAP INC COMMON	x			20-Apr-11			x																				
	MARKET VECTORS ETF GOLD MINER ETF	x			20-Apr-11			x																				
17	FREEMPORT-MCMORAN C&G COMMON	x			28-Apr-11			x																				
	LENNAR CORP CLASS A	x			28-Apr-11			x																				

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Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name Rice, Susan E.	SCHEDULE B continued (Use only if needed)	Page Number 14
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Part I: Transactions

No.	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x									
1	TESCO ORD 5P TSCO	x			3-May-11	x											
	MARVELL TECH GRP LTD COMMON MRVL	x			5-May-11		x										
2	TAIWAN SEMICONDUCTOR SP ADR(5 ORD)	x			18-May-11	x											
	MARVELL TECH GRP LTD COMMON MRVL	x			6-Jun-11	x											
3	FREEMPORT-MCMORAN C&G COMMON	x			6-Jun-11	x											
	MARKET VECTORS ETF GOLD MINER ETF	x			6-Jun-11		x										
4	RWE AG (NEU) NPV 'A'	x			22-Jun-11		x										
	COMCAST CORP CLASS A	x			28-Jun-11		x										
5	NOVELLUS SYS INC COMMON	x			30-Jun-11		x										
	SUNPOWER CORP CLASS A SPWRA	x			14-Jul-11	x											
6	OPKO HEALTH INC COMMON	x			14-Jul-11		x										
	ZURICH FINL SVCS CHF0.10	x			20-Jul-11	x											
7	FRANCE TELECOM EUR4	x			20-Jul-11		x										
	TESCO ORD 5P TSCO	x			27-Jul-11	x											
8	SOC GENERALE EUR1.25	x			10-Aug-11	x											
	TELEFONICA SA EUR1 (ESP)	x			10-Aug-11	x											
9	CHESAPEAKE ENERGY COMMON	x			11-Aug-11		x										
	EMERSON ELEC CO COMMON	x			24-Aug-11	x											
10	HUMAN GENOME SCI COMMON	x			24-Aug-11		x										
	TEJON RANCH CO COMMON	x			24-Aug-11		x										
11	ATP OIL & GAS CORP COMMON	x			24-Aug-11		x										
	NOVELLUS SYS INC COMMON	x			13-Sep-11	x											
12	MARVELL TECH GRP LTD COMMON	x			13-Sep-11	x											
	OPKO HEALTH INC COMMON	x			13-Sep-11	x											
13	CELGENE CORP COMMON	x			23-Sep-11		x										
	GAP INC COMMON	x			12-Oct-11	x											
14	DEERE & CO COMMON	x			12-Oct-11	x											
	COMCAST CORP CLASS A	x			12-Oct-11	x											
15	MEDTRONIC INC COMMON	x			12-Oct-11		x										
	EMERSON ELEC CO COMMON	x			12-Oct-11		x										
16	FREEMPORT-MCMORAN C&G COMMON	x			12-Oct-11		x										
	ARCHER DANIELS MIDLD COMMON	x			12-Oct-11		x										
17	WAL MART STORES INC COMMON	x			12-Oct-11		x										
	CATERPILLAR INC COMMON	x			12-Oct-11		x										

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Reporting Individual's Name Rice, Susan E.	SCHEDULE B continued (Use only if needed)	Page Number 15
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Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x									
1	MERCK & CO INC COMMON MRK	x			12-Oct-11		x										
	MCDONALDS CORP COMMON MCD	x			12-Oct-11		x										
2	COCA-COLA CO COMMON KO	x			12-Oct-11		x										
	INTEL CORP COMMON INTC	x			12-Oct-11			x									
3	CDN UTILITIES LTD CLASS A NON VTG CU	x			13-Oct-11	x											
	BANK OF NOVA SCOTIA COMMON BNS	x			13-Oct-11		x										
4	ROGERS COMMUNICATION CLASS B NON VTG RCI.B	x			13-Oct-11		x										
	SUN LIFE FNCL INC COMMON SLF	x			13-Oct-11		x										
5	CDN IMP BANK COMMERC COMMON CM	x			13-Oct-11		x										
	NATIONAL BK CDA COMMON NA	x			13-Oct-11		x										
6	TELUS CORP NON VTG T.A	x			13-Oct-11		x										
	BCE INC COMMON BCE	x			13-Oct-11		x										
7	CDN UTILITIES LTD CLASS A NON VTG CU	x			14-Oct-11	x											
	CORNING INCORPORATED COMMON GLW	x			14-Oct-11		x										
8	CARREFOUR SA EUR2.5	x			14-Oct-11		x										
	MOLYCORP INC COMMON MCP	x			14-Oct-11		x										
9	NVIDIA CORP COMMON NVDA	x			14-Oct-11		x										
	CDN UTILITIES LTD CLASS A NON VTG CU	x			17-Oct-11	x											
10	CDN UTILITIES LTD CLASS A NON VTG CU	x			18-Oct-11	x											
	SUNPOWER CORP CLASS A SPWR	x			18-Oct-11		x										
11	HUMAN GENOME SCI COMMON HGSI	x			18-Oct-11		x										
	SMITHFIELD FOODS INC COMMON SFD	x			18-Oct-11		x										
12	CDN UTILITIES LTD CLASS A NON VTG CU	x			19-Oct-11	x											
	CDN UTILITIES LTD CLASS A NON VTG CU	x			19-Oct-11	x											
13	MOLYCORP INC COMMON MCP	x			25-Oct-11		x										
	CITIGROUP INC NEW COMMON STOCK C	x			25-Oct-11		x										
14	CORNING INCORPORATED COMMON GLW	x			9-Nov-11	x											
	CONTINENTAL RES COMMON CLR	x			9-Nov-11		x										
15	AMERICAN EAGLE OUTFT COMMON AEO	x			9-Nov-11		x										
	CHESAPEAKE ENERGY COMMON CHK	x			28-Nov-11		x										
16	MOLYCORP INC COMMON MCP	x			28-Nov-11		x										
	DOLE FOOD CO COMMON DOLE	x			28-Nov-11		x										
17	MONSANTO CO COMMON MON	x			28-Nov-11		x										
	MOLYCORP INC COMMON MCP	x			8-Dec-11		x										

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Prior Editions Cannot Be Used.

Reporting Individual's Name Rice, Susan E.	SCHEDULE B continued (Use only if needed)	Page Number 16
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Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x									
1	ELECTRONIC ARTS INC COMMON STOCK EA CLEARWIRE CORP NEW CL A CLWR	x			6-Dec-11		x										
2	APPLE INC COMMON AAPL POSCO ADR(0.25 ORD SHRS)	x			6-Dec-11			x									
3	ICON PUB LTD CO SP ADR(1 ORD) HONDA MOTOR CO ADR (1 ORD)	x			14-Dec-11	x											
4	ADVANCED SEMICONDUCTR SPONSORED ADR SPDR GOLD TR GOLD GOLD SHARES NPV	x			14-Dec-11		x										
6	DIAGEO ORD 28 101/108P DGE NORSK HYDRO ASA NOK1.088	x			15-Dec-11	x											
8	KON KPN NV EUR0.24(NL) LUXOTTICA GROUP EUR0.06	x			15-Dec-11	x											
7	CREDIT SUISSE GRP AG CHF0.04(REGD) DEUTSCHE BANK AG NPV(EU) REG	x			15-Dec-11		x										
8	ERICSSON(LM)TEL SER'B' NPV BASF SE NPV	x			15-Dec-11		x										
9	HITACHI NPV ROCHE HLDGS AG GENUSSSCHEINE NPV	x			16-Dec-11		x										
10	HSBC HOLDINGS ORD USD0.50(UK REG) GETINGE SER'B'NPV	x			15-Dec-11		x										
11	RIO TINTO LIMITED ORD RIO BHP BILLITON PLC ORD USD0.50 BLT	x			15-Dec-11		x										
12	SHIRE ORD 5P SHP ARCHER DANIELS MIDLD COMMON ADM	x			15-Dec-11		x										
13	ELECTRONIC ARTS INC COMMON STOCK SINGAPORE TELECOMM SGD0.15 (POST RE-ORG)	x			19-Dec-11	x											
14	ALLSTATE CORP COMMON ALL BANCO SANTANDER RTS JAN 31 11		x		14-Jan-11		x										
16	AVIVA ORD 25P FREEPORT-MCMORAN C&G COMMON FCX		x		19-Jan-11		x										
18	FREEPORT-MCMORAN C&G COMMON ADV MICRO DEVICES COMMON AMD		x		27-Jan-11		x										
17	DR PEPPER SNAPPLE COMMON DPS NOVELLUS SYS INC COMMON NVLS		x		27-Jan-11		x										

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Part I: Transactions

No.	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x									
1	INTEL CORP COMMON INTL LAS VEGAS SANDS CORP COMMON LVS		x		7-Feb-11	x											
2	WESFARMERS ORD NALCO HOLDING CO COMMON NLC		x		23-Feb-11	x											
3	BANCO SANTANDER SA EURO.50 (REGD) CANON INC NPV		x		7-Mar-11	x											
4	THE WESTERN UNION COMMON WU NEWMONT MINING CORP COMMON NEM		x		22-Mar-11	x											
6	WESFARMERS ORD KINETIC CONCEPTS COMMON		x		23-Mar-11		x										
6	BARNES & NOBLE INC COMMON BKS EBAY INC COMMON EBAY		x		29-Apr-11		x										
7	BARNES & NOBLE INC COMMON BKS AOL INC COMMON AOL		x		6-Jun-11	x											
8	SUNPOWER CORP CLASS A SPWRA WAL MART STORES INC COMMON WMT		x		6-Jun-11		x										
9	CROWN CASTLE INTL COMMON CCI KDDI CORP NPV		x		24-Jun-11		x										
10	KDDI CORP NPV SEVEN & I HOLDINGS NPV		x		28-Jun-11		x										
11	WAL MART STORES INC COMMON WMT DIRECTV COMMON CLASS A DTV		x		30-Jun-11		x										
12	KINETIC CONCEPTS COMMON MARKET VECTORS ETF GOLD MINER ETF GDV		x		14-Jul-11		x										
13	KDDI CORP NPV INTL BUSINESS MCHN COMMON IBM		x		20-Jul-11	x											
14	EBAY INC COMMON EBAY COCA-COLA CO COMMON KO		x		27-Jul-11												
15	PROCTER & GAMBLE CO COMMON PG INTESA SANPAOLO EURO.52		x		11-Aug-11	x											
16	MARVELL TECH GRP LTD COMMON MRVL NOVELLUS SYS INC COMMON NVLS		x		24-Aug-11		x										
17	BANCO SANTANDER RTS OCT 13 11 SUNPOWER CORP CLASS A SPWRA		x		24-Aug-11	x											
			x		9-Nov-11	x											

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Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

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Part I: Transactions

No.	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture
	Example: Central Airlines Common	x			2/1/99			x									
1	EBAY INC COMMON EBAY		x		9-Nov-11		x										
	COMCAST CORP CLASS A CMCSA		x		28-Nov-11		x										
2	CORNING INCORPORATED COMMON GLW		x		6-Dec-11		x										
	RESEARCH IN MOTION COMMON RIM		x		14-Dec-11		x										
3	SOC GENERALE EUR1.25 REED ELSEVIER NV EUR0.07		x		15-Dec-11	x											
			x		15-Dec-11	x											
4	INTESA SANPAOLO EURO.52 RWE AG (NEU) NPV 'A'		x		15-Dec-11		x										
			x		15-Dec-11		x										
5	CIE DE ST-GOBAIN EUR4 TOKIO MARINE HLDG NPV		x		15-Dec-11		x										
			x		15-Dec-11		x										
6	TOYOTA MOTOR CORP NPV CARREFOUR SA EUR2.5		x		15-Dec-11		x										
			x		15-Dec-11		x										
7	QBE INSURANCE GROUP ORD TELEFONICA SA EUR1 (ESP)		x		15-Dec-11		x										
			x		15-Dec-11		x										
8	FRANCE TELECOM EUR4 TAKEDA PHARMACEUTI NPV		x		15-Dec-11		x										
			x		15-Dec-11			x									
9	NOVELLUS SYS INC COMMON NVLS CIT GROUP INC COMMON NEW CIT		x		19-Dec-11		x										
			x		30-Dec-11	x											
10	CITIGROUP INC COMMON STOCK CITIGROUP INC NEW COMMON STOCK			x	9-May-11		x										
				x	9-May-11		x										
11	SUNPOWER CORP COMMON SUNPOWER CORP CLASS A			x	17-Nov-11			x									
				x	17-Nov-11			x									
12	MOSAIC CO COMMON MOSAIC CO COMMON			x	25-May-11		x										
				x	25-May-11		x										
13	CENOVUS ENERGY COMMON CENOVUS ENERGY COMMON		x		15-Nov-11				x								
		x			15-Nov-11				x								
14	KINETIC CONCEPTS COMMON		x		7-Nov-11			x									
16	ISHARES SILVER TRUST ISHARES SPDR GOLD TR GOLD GOLD SHARES NPV	x			4-Oct-11		x										
		x			4-Oct-11		x										
16	SPDR GOLD TR GOLD GOLD SHARES NPV PEPSICO INC COMMON	x			14-Dec-11			x									
			x		26-Jul-11	x											
17	SPDR GOLD TR GOLD GOLD SHARES NPV	x			14-Dec-11	x											

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Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

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Part I: Transactions

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)												
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture	
	Example: Central Airlines Common	x			2/1/99			x										
1	ISHARES INC MSCI CDA INDEX EWC		x		14-Nov-11			x										
	ISHARES TR IBOXX \$ INVTOP CP BD LQD		x		14-Nov-11	x												
2	ISHARES TR BARCLAYS7-10YR TR BD IEF		x		14-Nov-11	x												
	ISHARES TR BARCLAYS 3-7 YR TR IEI		x		14-Nov-11		x											
3	ISHARES TR MSCI EAFE IDX EFA		x		14-Dec-11	x												
4	SPDR DOW JONES INDL ETF UT SER 1 DIA	x			14-Feb-11		x											
5	ISHARES SILVER TRUST ISHARES SLV	x			5-Jul-11	x												
	SPDR GOLD TR GOLD GOLD SHARES NPV GLD	x			5-Jul-11	x												
6	SPDR GOLD TR GOLD GOLD SHARES NPV GLD	x			14-Dec-11	x												
7	SPDR DOW JONES INDL ETF UT SER 1 DIA	x			14-Feb-11				x									
	ISHARES SILVER TRUST ISHARES SLV	x			5-Jul-11	x												
8	SPDR GOLD TR GOLD GOLD SHARES NPV GLD	x			5-Jul-11		x											
	SPDR GOLD TR GOLD GOLD SHARES NPV GLD	x			14-Dec-11		x											
9	SPDR DOW JONES INDL ETF UT SER 1 DIA	x			14-Feb-11				x									
10	ISHARES SILVER TRUST ISHARES SLV	x			5-Jul-11	x												
	SPDR GOLD TR GOLD GOLD SHARES NPV GLD	x			5-Jul-11		x											
11	SPDR GOLD TR GOLD GOLD SHARES NPV GLD	x			14-Dec-11		x											
12																		
13																		
14																		
15																		
16																		
17																		

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01723709

Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Category of Amount or Value (x)

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)															
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000					
Examples:	First District Bank, Washington, DC John Jones, 123 J St., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs. on demand			x		x											
1																					
2																					
3																					
4																					
5																					

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	TIAA/CREF Account—upon termination of employment, no further contributions will be made by employer or filer	Brookings Institution	2003
2			
3			
4			
5			
6			

Prior Editions Cannot Be Used.

Reporting Individual's Name Rice, Susan E.	SCHEDULE D	Page Number 21
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01/23/09

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Natl Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	Rice-Cameron Family Foundation	Family Foundation	Trustee	12/2006	present
2					
3					
4					
5					
6					

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1		
2		
3		
4		
5		
6		