

**Sanford School of Public Policy**  
Grants Incentive Policy  
*Effective date: September 1, 2020*

The Sanford School of Public Policy offers a robust range of incentives and support services to assist faculty in seeking external funding. These incentives apply to faculty with a primary appointment in Sanford who are on 9 month appointment and who run their grants through a unit that returns indirects to Sanford.

**Faculty Research Accounts**

When faculty receive extramural awards, the Sanford School will employ these guidelines to determine if additional resources will be placed into a separate **discretionary account**. These additional deposited resources will not count against renewal of standard research account allocations.

**Research Supplements to Promote Diversity in Health-Related Research**

For grants with “diversity supplements” administered by Sanford, the school will distribute an amount equal to 50 percent of the net indirect costs collected by the School into the faculty research account(s) of the PI(s) at the end of each fiscal year.

**Academic Year Salary (Research Time)**

At Duke, half of faculty time is devoted to teaching and half is devoted to research. When a faculty member recovers a portion of their nine-month salary to cover research time through a grant, the School will place an equal amount into a designated faculty research account (up to 1/3 of the faculty member’s nine-month salary).

Note that these funds may be used to support summer salary for the faculty member.

**Proposal Development Support**

Limited funding is available to support proposal development activities. Activities eligible for reimbursement include:

- Retreat/workshop expenses for the Sanford faculty member (food or travel) for multi-investigator or multi-institutional projects for the purposes of initial planning/convening or to discuss a resubmission application;
- Graphic/image development for the proposal by graphic artists;
- Small honorariums for discipline-specific reviews by experts in the researcher’s field; and/or
- Any activity in which the applicant can clearly articulate how it will increase the likelihood of proposal success.

If you are interested in utilizing these funds, please contact Joseph McNicholas, Director of Research Opportunities, to discuss your needs in greater detail.

**Research Assistants**

The university uses a system that requires researchers to pay a prorated percentage of the tuition for PhD student research assistants hired through grants. Any researcher who supports Sanford PhD students as RAs on grants *during the summer* will not be responsible for paying any

prorated tuition remission expense; instead, the school will cost-share the tuition remission off the grant at the end of the summer period.

### **Grant Services**

The Sanford School has a Service Partnership Agreement (SPA) with the **Campus Grant Management Team (CGMT)** under the leadership of Michelle Rigsbee and Lara Whittaker to provide pre-award and post-award support on behalf of Principal Investigators. Please refer to the current SPA, including a Roles and Responsibilities matrix, posted on the Inside Sanford, Research & Teaching site. If you currently do not have a grant manager assigned to you, please contact Joseph McNicholas.

In addition to identifying funding opportunities for faculty, the **Director of Research Opportunities**, Joseph McNicholas, assists in developing program ideas, enhancing the competitiveness of grant proposals, coordinating with faculty and grant managers to prepare and submit proposals for funding, and providing review and editing services.

### **Contacts**

Please contact the Senior Associate Dean for Faculty and Research, Phil Napoli ([philip.napoli@duke.edu](mailto:philip.napoli@duke.edu)) or the Director of Research Opportunities, Joseph McNicholas ([joseph.mcnicholas@duke.edu](mailto:joseph.mcnicholas@duke.edu)) with any questions.