# **InfoBrief**

# Baccalaureate Origins of Underrepresented Minority Research Doctorate Recipients

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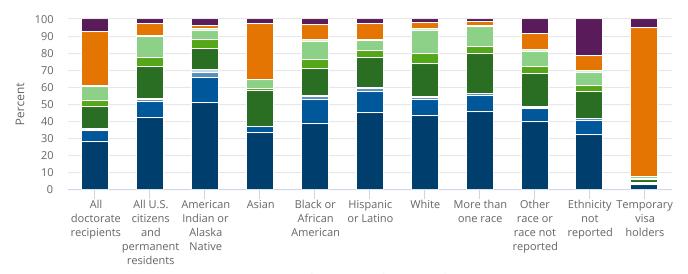
The educational pathways of doctorate recipients in the United States differ in many ways by race and ethnicity. Despite steady growth in educational attainment, Blacks, Hispanics, and American Indians and Alaska Natives (AIANs) remain underrepresented among U.S. bachelor's, master's, and doctoral degree recipients. The persistence of this imbalance has driven greater investment in initiatives focused on closing these gaps and the identification of best practices within successful academic programs. Extending prior analyses, this InfoBrief examines the baccalaureate origins of doctorate recipients from 2010–20 by citizenship status, race, and ethnicity. Black, Hispanic, and AIAN doctorate recipients from 2010–20 disproportionately earned a bachelor's degree from minority-serving institutions, underscoring the importance of these colleges and universities for enhancing the diversity of the U.S. research enterprise. Although most Black and Hispanic doctorate recipients earned a bachelor's degree from public colleges and universities, a higher proportion of Black and Hispanic students with undergraduate degrees from private colleges and universities went on to earn a research doctorate.

# **Baccalaureate Origins of U.S. Doctorate Recipients**

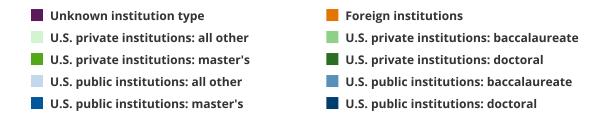
Figure 1 shows the baccalaureate origins of doctorate recipients from 2010–20 by type of institution, and it highlights several key differences by citizenship status, race, and ethnicity. For this analysis, race and ethnicity is limited to U.S. citizens and permanent residents; citizenship reflects status at time of doctorate award. Although just over half of all U.S. citizens and permanent residents earned a bachelor's degree from a public college or university in the United States, a substantially greater percentage of AIAN doctorate recipients (70.6%) and Hispanic or Latino doctorate recipients (60.2%) earned a bachelor's degree from public U.S. institutions. White doctorate recipients and those reporting more than one race disproportionately earned a bachelor's degree from private U.S. institutions (39.9% and 40.0%, respectively). Almost a third (32.4%) of Asian doctorate recipients and the vast majority (87.6%) of doctorate recipients with temporary visas earned a bachelor's degree from non-U.S. institutions.

Figure 1

Baccalaureate-origin institutions of doctorate recipients from 2010–20, by institution type, citizenship status, ethnicity, and race



Citizenship status, ethnicity, and race



#### Note(s):

Citizenship reflects status at time of doctorate award; reporting of race and ethnicity is limited to U.S. citizens and permanent residents.

Baccalaureate-origin institutions in the United States are classified using the 2018 Basic Carnegie Classification. Doctoral universities include R1: doctoral universities with very high research activity, R2: doctoral universities with high research activity, and doctoral/professional universities. Master's colleges and universities include smaller, medium, and larger programs. Baccalaureate colleges include baccalaureate colleges: arts and sciences focus, baccalaureate colleges: diverse fields, and mixed baccalaureate/associate's colleges. All other institutions include special focus institutions, tribal colleges, associate's colleges, baccalaureate/associate's colleges: associate dominant, and unclassified institutions.

#### Source(s):

National Center for Science and Engineering Statistics, Survey of Earned Doctorates.

In addition to differences in institutional control (public or private) of baccalaureate-origin schools, there are differences by race and ethnicity in terms of the Carnegie Classification of these schools. AIAN, Black, and Hispanic doctorate recipients disproportionately earned a bachelor's degree from public master's institutions (15.1%, 14.3%, and 12.3%, respectively, versus 9.4% for all U.S. citizens and permanent residents). White doctorate recipients had the highest proportion of bachelor's degrees from private baccalaureate colleges (13.6%) and were the only group above the 11.9% average for all U.S. citizens and permanent residents to earn a degree from a private baccalaureate college.

Of all doctorate recipients who earned their undergraduate degrees in the United States, about half (49.0%) of White doctorate recipients earned a bachelor's degree from R1 doctoral universities, while only 36.2% of Black and 43.2% of AlAN doctorate recipients earned a bachelor's degree from these very high research activity universities (table 1). In contrast, over three-quarters (76.8%) of Asian doctoral recipients who earned their undergraduate degrees in the United States earned a bachelor's degree from R1 doctoral universities. Black and AlAN doctoral recipients had the highest percentages of bachelor's degrees from other doctoral universities (25.6% and 23.1%, respectively) among all ethnic or racial groups.

Table 1

Baccalaureate-origin institutions of doctorate recipients from 2010–20, by citizenship status, ethnicity, race, and institution characteristics

(Number and percent)

				U.S. 0	citizens a	nd perman	ent reside	nts				
					1	lot Hispanio	or Latino					
Baccalaureate institution characteristic	All doctorate recipients	Total	Hispanic or Latino	American Indian or Alaska Native	Asian	Black or African American	White	More than one race	Other race or race not reported	Ethnicity not reported	ty Temporary visa ed holders	Unknown citizenship status
Institution location (number)	584,798	375,834	26,100	1,269	33,880	24,689	268,774	10,228	3,461	7,433	177,454	31,510
United States	61.1	90.6	88.6	95.4	65.1	88.5	94.7	96.6	82.5	70.1	7.5	10.9
Foreign country	31.6	7.3		1.7	32.4	9.0	3.9	2.5	9.4	8.9	87.6	6.9
Unreported	7.2	2.1	2.1	2.9	2.5	2.5	1.4	0.9	8.1	21.0	4.9	82.2
U.S. institutions (number)	357,431	340,627	23,114	1,210	22,048	21,851	254,459	9,879	2,855	5,211	13,362	3,442
2018 Carnegie c	lassification											
R1: doctoral universities	50.5	50.4	50.8	43.2	76.8	36.2	49.0	57.1	56.2	50.1	54.4	47.0
Other doctoral universities	17.6	17.7	19.6	23.1	7.5	25.6	17.8	14.7	15.8	18.3	14.8	18.9
Master's institutions	15.9	16.0	18.6	21.5	6.8	22.0	16.1	13.7	14.8	16.9	12.2	18.7
Baccalaureate colleges	14.5	14.4	9.2	8.3	8.0	13.8	15.6	13.1	11.5	12.6	16.7	13.1
Other	1.6	1.5	1.8	3.9	1.1	2.4	1.3	1.3	1.7	2.2	1.9	2.3
Institution contro	ol type											
Public	58.4	58.7	67.9	73.6	57.1	62.5	57.6	58.4	59.1	59.6	51.9	58.0
Private nonprofit	40.8	40.5	31.1	24.6	42.4	35.6	41.7	40.9	40.2	39.3	47.0	40.6
Private for profit	0.2	0.2	0.2	0.2	0.1	0.5	0.1	0.2	0.1	0.2	0.2	0.2
Not reported or defunct	0.7	0.7	0.8	1.6	0.4	1.3	0.6	0.6	0.6	1.0	0.9	1.2
Minority-serving institutions	14.2	14.2	42.9	26.4	12.5	36.1	9.7	15.2	17.4	16.1	13.1	17.1
Historically Black colleges and universities	1.9	1.8	0.4	0.5	0.1	25.7	0.1	1.5	1.2	2.3	2.8	2.9
High- Hispanic- enrollment institutions	12.1	12.2	42.4	19.2	12.3	10.3	9.4	13.2	16.1	13.5	10.1	14.1

Table 1

# Baccalaureate-origin institutions of doctorate recipients from 2010–20, by citizenship status, ethnicity, race, and institution characteristics

(Number and percent)

		U.S. citizens and permanent residents										
				Not Hispanic or Latino								
Baccalaureate institution characteristic	All doctorate recipients	Total	Hispanic or Latino	American Indian or Alaska Native	Asian	Black or African American	White	More than one race	Other race or race not reported	Ethnicity not reported	visa	Unknown citizenship status
Tribal colleges and high-AIAN- enrollment institutions	0.2	0.2	0.1	6.8	0.0	0.1	0.2	0.5	0.1	0.2	0.2	0.1

AIAN = American Indian or Alaska Native.

#### Note(s):

Baccalaureate-origin institutions in the United States are classified using the 2018 Basic Carnegie Classification. R1: doctoral universities are a single category of institution in the Carnegie classification with very high research activity. Other doctoral universities include the R2: doctoral universities with high research activity and doctoral/professional universities. Master's colleges and universities include smaller, medium, and larger programs. Baccalaureate colleges include baccalaureate colleges: arts and sciences focus, baccalaureate colleges: diverse fields, and mixed baccalaureate/associate's colleges. All other colleges include special focus institutions, tribal colleges, associate's colleges, and unclassified institutions. High-Hispanic-enrollment institutions are defined as those colleges and universities where at least 25% of the total undergraduate enrollment is Hispanic. High-AlAN-enrollment institutions are defined as those colleges and universities where at least 10% of the total undergraduate enrollment is American Indian or Alaska Native.

#### Source(s)

National Center for Science and Engineering Statistics, Survey of Earned Doctorates.

Substantial numbers of doctorate recipients from all three underrepresented groups (Hispanic, Black, and AIAN) earned a bachelor's degree from minority-serving institutions. Of those who earned a bachelor's degree in the United States, 42.4% of Hispanic doctorate recipients earned a bachelor's degree from a high-Hispanic-enrollment (HHE) institution, 25.7% of Black doctorate recipients earned a bachelor's degree from a historically Black college or university (HBCU), and 6.8% of AIAN doctorate recipients earned a bachelor's degree from a tribal college or high-AIAN enrollment (HAIANE) institution. An additional 19.2% of AIAN doctorate recipients earned a bachelor's degree from an HHE institution.

The relative importance of public and minority-serving institutions within the educational paths of underrepresented minority doctorate recipients is consistent with the overall postsecondary student enrollment patterns of U.S. citizens and permanent residents and highlights the role of minority-serving institutions as steppingstones on the path toward a research doctorate for underrepresented minority (URM) students.<sup>5,6</sup>

# **Top Baccalaureate Origins of URM Doctorate Recipients**

Table 2 presents the top 50 U.S. baccalaureate-origin institutions for Black doctorate recipients who earned their doctorate in 2010–20. Combined, these institutions awarded bachelor's degrees for nearly a third (30.9%) of all U.S. citizen and permanent resident Black doctorate recipients over the past decade. R1 doctoral universities account for 28 of the top 50 institutions, and 37 of the top 50 are public institutions. Only three of the top 50 institutions are classified by Carnegie as baccalaureate colleges and all three (Spelman College, Morehouse College, and Oakwood University) are HBCUs. That HBCUs constitute 8 of the top 10 and make up 19 of the top 50 institutions underscores the importance of these institutions in the education and production of Black doctorate recipients.

Table 2

Top 50 U.S. baccalaureate-origin institutions of Black or African American doctorate recipients from 2010–20, by institutional control, 2018 Carnegie classification, and HBCU status

Rank	Baccalaureate institution	Black doctorate recipients	Institutional control	2018 Carnegie classification	HBCU status
na	All black doctorate recipients	24,689	na	na	na
na	U.S. institutions	21,851	na	na	na
na	Foreign institutions	2,220	na	na	na
na	Unreported	618	na	na	na
1	Howard U.	403	Private	R2: doctoral universities	Yes
				Baccalaureate colleges: arts and	
2	Spelman C.	383	Private	sciences focus	Yes
3	Florida A&M U.	340	Public	R2: doctoral universities	Yes
4	North Carolina Agricultural and Technical State U.	268	Public	R2: doctoral universities	Yes
5	Hampton U.	254	Private	R2: doctoral universities	Yes
6	Jackson State U.	252	Public	R2: doctoral universities	Yes
7	Southern U. and A&M C., Baton Rouge	220	Public	M1: master's institutions - larger programs	Yes
8	Morehouse C.	218	Private	Baccalaureate colleges: arts and sciences focus	Yes
8	U. Maryland, College Park	218	Public	R1: doctoral universities	No
10	U. North Carolina, Chapel Hill	211	Public	R1: doctoral universities	No
11	U. Florida	196	Public	R1: doctoral universities	No
12	U. Maryland, Baltimore County	193	Public	R2: doctoral universities	No
13	U. Michigan, Ann Arbor	185	Public	R1: doctoral universities	No
	Crimeingun, rum rusei			M3: master's institutions - smaller	
13	Xavier U. Louisiana	185	Private	programs	Yes
15	Florida State U.	174	Public	R1: doctoral universities	No
16	Morgan State U.	161	Public	R2: doctoral universities	Yes
17	U. Illinois, Urbana-Champaign	149	Public	R1: doctoral universities	No
18	U. Virginia, Charlottesville	141	Public	R1: doctoral universities	No
19	Tuskegee U.	136	Private	M2: master's institutions - medium programs	Yes
20	U. Southern Mississippi	131	Public	R1: doctoral universities	No
21	Tennessee State U.	128	Public	R2: doctoral universities	Yes
22	Louisiana State U., Baton Rouge	126	Public	R1: doctoral universities	No
22	Michigan State U.	126	Public	R1: doctoral universities	No
24	Clark Atlanta U.	123	Private	R2: doctoral universities	Yes
25	Rutgers, State U. New Jersey, New Brunswick	118	Public	R1: doctoral universities	No
26	Harvard U.	117	Private	R1: doctoral universities	No
27	Mississippi State U.	114	Public	R1: doctoral universities	No
28	Temple U.	113	Public	R1: doctoral universities	No
29	Cornell U.	110	Private	R1: doctoral universities	No
29	Georgia State U.	110	Public	R1: doctoral universities	No
29	Stanford U.	110	Private	R1: doctoral universities	No
				M1: master's institutions - larger	
32	North Carolina Central U.	109	Public	programs	Yes
32	U. Georgia	109	Public	R1: doctoral universities	No
34	Oakwood U.	108	Private	Baccalaureate colleges: diverse fields	Yes
35	Ohio State U., Columbus	107	Public	R1: doctoral universities	No
36	North Carolina State U.	106	Public	R1: doctoral universities	No
37	Pennsylvania State U., University Park and Hershey Medical Center	105	Public	R1: doctoral universities	No

Table 2

Top 50 U.S. baccalaureate-origin institutions of Black or African American doctorate recipients from 2010–20, by institutional control, 2018 Carnegie classification, and HBCU status

Rank	Baccalaureate institution	Black doctorate recipients	Institutional control	2018 Carnegie classification	HBCU status
	Texas A&M U., College Station and Health				
38	Science Center	103	Public	R1: doctoral universities	No
39	Alcorn State U.	102	Public	M2: master's institutions - medium programs	Yes
39	Prairie View A&M U.	102	Public	M1: master's institutions - larger programs	Yes
41	Norfolk State U.	101	Public	M2: master's institutions - medium programs	Yes
41	U. Pennsylvania	101	Private	R1: doctoral universities	No
43	U. South Carolina, Columbia	100	Public	R1: doctoral universities	No
44	U. California, Berkeley	99	Public	R1: doctoral universities	No
45	Duke U.	98	Private	R1: doctoral universities	No
45	U. Texas, Austin	98	Public	R1: doctoral universities	No
47	U. Alabama, Birmingham	97	Public	R1: doctoral universities	No
48	U. Memphis	95	Public	R2: doctoral universities	No
49	Alabama State U.	94	Public	M2: master's institutions - medium programs	Yes
49	CUNY, Hunter C.	94	Public	M1: master's institutions - larger programs	No

na = not applicable.

HBCU = historically Black college and university.

#### Note(s)

Includes only U.S. citizens and permanent residents. Institutions with the same number of doctorate recipients are listed alphabetically. R1: doctoral universities are those with very high research activity. R2: doctoral universities are institutions with high research activity.

#### Source(s):

National Center for Science and Engineering Statistics, Survey of Earned Doctorates.

Table 3 presents the top 10 U.S. baccalaureate-origin institutions of Black doctorate recipients by broad field of doctorate. Although the top 10 institutions from table 2 are well represented in table 3, significant variation is seen across these broad field lists. Five institutions that were not in the overall top 50 list appear in the broad field top 10 lists: Alabama A&M University (physical sciences and earth sciences), Georgia Institute of Technology (engineering as well as mathematics and computer sciences), CUNY City College (engineering), Massachusetts Institute of Technology (engineering), and Princeton University (humanities and arts). Two institutions—Howard University and Florida A&M University—appear in the top 10 institutions for all eight broad fields.

Public institutions outnumber private institutions in all fields except for psychology and social sciences and for humanities and arts. Engineering is the only field where non-HBCUs outnumber HBCUs.

Table 3

Top 10 U.S. baccalaureate-origin institutions of Black or African American doctorate recipients from 2010–20, by broad field of doctorate, institutional control, 2018 Carnegie classification, and HBCU status

(Number)

Rank	Baccalaureate institution	Black doctorate recipients	Institutional control	2018 Carnegie classification	HBCU status
na	Life sciences <sup>a</sup>				
1	U. Maryland, Baltimore County	89	Public	R2: doctoral universities	No
2	Spelman C.	87	Private	Baccalaureate colleges: arts and sciences focus	Yes
3	Howard U.	82	Private	R2: doctoral universities	Yes
4	Florida A&M U.	68	Public	R2: doctoral universities	Yes
5	Xavier U. Louisiana	67	Private	M3: master's institutions - smaller programs	Yes
5	U. Florida	63	Public	R1: doctoral universities	No
7	North Carolina Agricultural and Technical State U.	60	Public	R2: doctoral universities	Yes
3	Jackson State U.	59	Public	R2: doctoral universities	Yes
9	Tuskegee U.	49	Private	M2: master's institutions - medium programs	Yes
9	U. Maryland, College Park	49	Public	R1: doctoral universities	No
9	U. North Carolina, Chapel Hill	49	Public	R1: doctoral universities	No
na	Physical sciences and earth sciences				
	,			Baccalaureate colleges: arts and	
1	Morehouse C.	22	Private	sciences focus	Yes
2	Florida A&M U.	18	Public	R2: doctoral universities	Yes
3	Xavier U. Louisiana	17	Private	M3: master's institutions - smaller programs	Yes
1	Hampton U.	16	Private	R2: doctoral universities	Yes
1	Howard U.	16	Private	R2: doctoral universities	Yes
5	Jackson State U.	14	Public	R2: doctoral universities	Yes
7	Alabama A&M U.	10	Public	M1: master's institutions - larger programs	Yes
7	North Carolina State U.	10	Public	R1: doctoral universities	No
,	Southern U. and A&M C., Baton Rouge	10	Public	M1: master's institutions - larger programs	Yes
7	U. Maryland, Baltimore County	10	Public	R2: doctoral universities	No
ıa	Mathematics and computer sciences				
	U. Maryland, Baltimore County	16	Public	R2: doctoral universities	No
2	Spelman C.	14	Private	Baccalaureate colleges: arts and sciences focus	Yes
3	North Carolina Agricultural and Technical State U.	13	Public	R2: doctoral universities	Yes
4	Morehouse C.	12	Private	Baccalaureate colleges: arts and sciences focus	Yes
5	Jackson State U.	8	Public	R2: doctoral universities	Yes
5	Florida A&M U.	7	Public	R2: doctoral universities	Yes
5	Howard U.	7	Private	R2: doctoral universities	Yes
5	Morgan State U.	7	Public	R2: doctoral universities	Yes
9	Georgia Institute of Technology	6	Public	R1: doctoral universities	No
9	U. Florida	6	Public	R1: doctoral universities	No
na	Psychology and social sciences				
1	Howard U.	118	Private	R2: doctoral universities	Yes
2	Spelman C.	107	Private	Baccalaureate colleges: arts and sciences focus	Yes

Table 3

Top 10 U.S. baccalaureate-origin institutions of Black or African American doctorate recipients from 2010–20, by broad field of doctorate, institutional control, 2018 Carnegie classification, and HBCU status

Rank	Baccalaureate institution	Black doctorate recipients	Institutional control	2018 Carnegie classification	HBCU status
3	Hampton U.	62	Private	R2: doctoral universities	Yes
4	U. Maryland, College Park	59	Public	R1: doctoral universities	No
5	Florida A&M U.	49	Public	R2: doctoral universities	Yes
6	U. North Carolina, Chapel Hill	47	Public	R1: doctoral universities	No
7	Morehouse C.	45	Private	Baccalaureate colleges: arts and sciences focus	Yes
8	Stanford U.	40	Private	R1: doctoral universities	No
9	U. Pennsylvania	39	Private	R1: doctoral universities	No
10	Harvard U.	38	Private	R1: doctoral universities	No
na	Engineering				
1	North Carolina Agricultural and Technical State U.	59	Public	R2: doctoral universities	Yes
2	Morgan State U.	43	Public	R2: doctoral universities	Yes
3	U. Maryland, Baltimore County	42	Public	R2: doctoral universities	No
4	U. Maryland, College Park	40	Public	R1: doctoral universities	No
5	Georgia Institute of Technology	33	Public	R1: doctoral universities	No
6	Massachusetts Institute of Technology	31	Private	R1: doctoral universities	No
7	CUNY, City C.	28	Public	R2: doctoral universities	No
7	U. Florida	28	Public	R1: doctoral universities	No
9	Florida A&M U.	27	Public	R2: doctoral universities	Yes
10	Howard U.	24	Private	R2: doctoral universities	Yes
na	Education	24	Tilvate	NZ. doctoral universities	163
1	Jackson State U.	110	Public	R2: doctoral universities	Yes
2	Florida A&M U.	93	Public	R2: doctoral universities	Yes
3	Southern U. and A&M C., Baton Rouge	92	Public	M1: master's institutions - larger programs	Yes
4	Spelman C.	84	Private	Baccalaureate colleges: arts and sciences focus	Yes
5	Hampton U.	80	Private	R2: doctoral universities	Yes
5	Howard U.	80	Private	R2: doctoral universities	Yes
7	U. Southern Mississippi	70	Public	R1: doctoral universities	No
В	Mississippi State U.	68	Public	R1: doctoral universities	No
9	U. North Carolina, Chapel Hill	66	Public	R1: doctoral universities	No
10	North Carolina Agricultural and Technical State U.	64	Public	R2: doctoral universities	Yes
na	Humanities and arts				
				Baccalaureate colleges: arts and	
1	Spelman C.	43	Private	sciences focus	Yes
2	Howard U.	39	Private	R2: doctoral universities	Yes
3	Florida A&M U.	37	Public	R2: doctoral universities	Yes
4	Morehouse C.	34	Private	Baccalaureate colleges: arts and sciences focus	Yes
5	Harvard U.	25	Private	R1: doctoral universities	No
6	Florida State U.	19	Public	R1: doctoral universities	No
6	U. Virginia, Charlottesville	19	Public	R1: doctoral universities	No
8	North Carolina Central U.	18	Public	M1: master's institutions - larger programs	Yes
8	U. North Carolina, Chapel Hill	18	Public	R1: doctoral universities	No
10	Hampton U.	16	Private	R2: doctoral universities	Yes
10	Princeton U.	16	Private	R1: doctoral universities	No

Table 3

Top 10 U.S. baccalaureate-origin institutions of Black or African American doctorate recipients from 2010–20, by broad field of doctorate, institutional control, 2018 Carnegie classification, and HBCU status

Rank	Baccalaureate institution	Black doctorate recipients	Institutional control	2018 Carnegie classification	HBCU status
na	Other <sup>b</sup>				
1	Florida A&M U.	41	Public	R2: doctoral universities	Yes
2	Howard U.	37	Private	R2: doctoral universities	Yes
3	North Carolina Agricultural and Technical State U.	30	Public	R2: doctoral universities	Yes
4	Spelman C.	28	Private	Baccalaureate colleges: arts and sciences focus	Yes
5	Hampton U.	20	Private	R2: doctoral universities	Yes
6	U. Michigan, Ann Arbor	19	Public	R1: doctoral universities	No
7	U. North Carolina, Chapel Hill	18	Public	R1: doctoral universities	No
8	Florida State U.	17	Public	R1: doctoral universities	No
8	Morgan State U.	17	Public	R2: doctoral universities	Yes
8	U. Maryland, College Park	17	Public	R1: doctoral universities	No

na = not applicable.

HBCU = historically Black college and university.

#### Note(s):

Includes only U.S. citizens and permanent residents. Includes only U.S. institutions. Institutions with the same number of doctorate recipients are listed alphabetically. R1: doctoral universities are those with very high research activity. R2: doctoral universities are institutions with high research activity.

#### Source(s):

National Center for Science and Engineering Statistics, Survey of Earned Doctorates.

Among Hispanic doctorate recipients from 2010–20, approximately 4 of every 10 (42.4%) earned a bachelor's degree from one of the 50 institutions listed in table 4. The top two baccalaureate origins of Hispanic doctorate recipients were from the University of Puerto Rico system and nearly one-third (32.3%) of all Hispanic doctorate recipients earned a bachelor's degree from institutions in Puerto Rico, California, Texas, or Florida. Among the top 50 institutions, 38 were public institutions, and 37 were R1 doctoral universities; public R1 doctoral universities accounted for half of the top 50 institutions. Only two of the top 50 institutions were baccalaureate colleges (both University of Puerto Rico institutions), five were master's institutions, and six were R2 doctoral universities. All the non-R1 institutions were public, and 26 of the top 50 institutions were HHE institutions.

<sup>&</sup>lt;sup>a</sup> Includes agricultural sciences and natural resources; biological and biomedical sciences; and health sciences.

<sup>&</sup>lt;sup>b</sup> Includes other non-science and engineering fields not shown separately.

Table 4

Top 50 U.S. baccalaureate-origin institutions of Hispanic or Latino doctorate recipients from 2010–20, by institutional control, 2018 Carnegie classification, and HHE status

Rank	Baccalaureate institution	Hispanic doctorate recipients	Institutional control	2018 Carnegie classification	HHE status
na	All Hispanic doctorate recipients	26,100	na	na	na
na	From U.S. baccalaureate-origin institutions	23,114	na	na	na
na	From foreign baccalaureate-origin institutions	2,446	na	na	na
na	From unreported baccalaureate-origin institutions	540	na	na	na
1	U. Puerto Rico, Rio Piedras	864	Public	R2: doctoral universities	Yes
2	U. Puerto Rico, Mayaguez	808	Public	M1: master's institutions - larger programs	Yes
3	U. California, Los Angeles	459	Public	R1: doctoral universities	No
4	U. Texas, El Paso	444	Public	R1: doctoral universities	Yes
5	U. California, Berkeley	436	Public	R1: doctoral universities	No
6	U. Florida	435	Public	R1: doctoral universities	No
7	Florida International U.	412	Public	R1: doctoral universities	Yes
8	U. Texas, Austin	391	Public	R1: doctoral universities	Yes
9	U. New Mexico, Albuquerque	287	Public	R1: doctoral universities	Yes
10	U. California, Irvine	280	Public	R1: doctoral universities	Yes
11	Texas A&M U., College Station and Health Science Center	272	Public	R1: doctoral universities	Yes
12	U. California, Davis	259	Public	R1: doctoral universities	No
12	U. California, San Diego	259	Public	R1: doctoral universities	No
14	U. Arizona	258	Public	R1: doctoral universities	Yes
15	U. Miami	226	Private	R1: doctoral universities	No
16	Stanford U.	198	Private	R1: doctoral universities	No
17	New Mexico State U., Las Cruces	190	Public	R2: doctoral universities	Yes
17	U. California, Riverside	190	Public	R1: doctoral universities	Yes
19	U. California, Santa Barbara	189	Public	R1: doctoral universities	Yes
20	Massachusetts Institute of Technology	188	Private	R1: doctoral universities	No
21	Florida State U.	187	Public	R1: doctoral universities	No
22	U. California, Santa Cruz	184	Public	R1: doctoral universities	Yes
23	U. Texas, San Antonio	182	Public	R2: doctoral universities	Yes
24	Arizona State U.	178	Public	R1: doctoral universities	Yes
25	Cornell U.	171	Private	R1: doctoral universities	No
26	Harvard U.	170	Private	R1: doctoral universities	No
27	U. Texas Rio Grande Valley	166	Public	R2: doctoral universities	Yes
28	U. Central Florida	159	Public	R1: doctoral universities	Yes
29	San Diego State U., San Diego	150	Public	R2: doctoral universities	Yes
30	U. Illinois, Urbana-Champaign	145	Public	R1: doctoral universities	No
31	New York U.	142	Private	R1: doctoral universities	No
32	U. Michigan, Ann Arbor	131	Public	R1: doctoral universities	No
33	Columbia U. in the City of New York	129	Private	R1: doctoral universities	No
34	California State U., Long Beach	127	Public	M1: master's institutions - larger programs	Yes
25	-			M1: master's institutions - larger	Va-
35	California State U., Northridge	123	Public	programs	Yes
36	U. South Florida, Tampa	122	Public	R1: doctoral universities	No
37	U. Puerto Rico, Cayey	120	Public	Baccalaureate colleges: arts and sciences focus	Yes

Table 4

Top 50 U.S. baccalaureate-origin institutions of Hispanic or Latino doctorate recipients from 2010–20, by institutional control, 2018 Carnegie classification, and HHE status

Rank	Baccalaureate institution	Hispanic doctorate recipients	Institutional control	2018 Carnegie classification	HHE status
38	California State U., Los Angeles	119	Public	M1: master's institutions - larger programs	Yes
38	U. Puerto Rico, Humacao	119	Public	Baccalaureate colleges: diverse fields	Yes
40	Rutgers, State U. New Jersey, New Brunswick	115	Public	R1: doctoral universities	No
40	U. Southern California	115	Private	R1: doctoral universities	No
42	Princeton U.	113	Private	R1: doctoral universities	No
43	Rice U.	111	Private	R1: doctoral universities	No
44	Brown U.	110	Private	R1: doctoral universities	No
45	Texas State U.	109	Public	R2: doctoral universities	Yes
46	Yale U.	107	Private	R1: doctoral universities	No
47	California State U., Fullerton	105	Public	M1: master's institutions - larger programs	Yes
48	U. Washington, Seattle	104	Public	R1: doctoral universities	No
49	U. Houston	102	Public	R1: doctoral universities	Yes
50	U. Maryland, College Park	98	Public	R1: doctoral universities	No

na = not applicable.

HHE = high-Hispanic-enrollment institution.

#### Note(s)

Includes only U.S. citizens and permanent residents. Institutions with the same number of doctorate recipients are listed alphabetically. R1: doctoral universities are those with very high research activity. R2: doctoral universities are institutions with high research activity.

#### Source(s)

National Center for Science and Engineering Statistics, Survey of Earned Doctorates.

Table 5 presents the top 10 U.S. baccalaureate-origin institutions of Hispanic doctorate recipients by broad field of doctorate. Again, public R1 doctoral universities dominate the top 10 baccalaureate-origins by broad field, accounting for at least half of the top 10 institutions in each field. HHE institutions are also well represented in these top 10 ranks, constituting 8 of the top 10 in education, seven of the top 10 in life sciences, and 6 of the top 11 in engineering and in mathematics and computer sciences. One of two University of Puerto Rico institutions—Mayaguez or Rio Piedras—occupy the top rank in all broad fields except in education, where the University of Texas, Austin occupies the top position.

Among AIAN doctorate recipients from 2010–20, 45.4% earned a bachelor's degree from an institution listed in table 6, and 20.1% earned a bachelor's degree from one of the top 10 AIAN baccalaureate institutions. These percentages are larger than the corresponding percentages for Hispanics (42.4% and 18.5%) and Blacks (30.9% and 11.2%), indicating that the pathways of AIAN doctorate recipients may be less diverse than those of other URM doctorate recipients.

Of these 53 institutions, 45 are public and 33 are R1 doctoral universities—more than half (28) are public R1 doctoral universities, making this the dominant institution type. Only one institution is a baccalaureate college (Fort Lewis College), seven are master's institutions, and nine are R2 doctoral universities. Seven of the top 10 institutions are from three states —Oklahoma, New Mexico, and Arizona. Of the top 53 institutions, one is a tribal college, and six others are high-AIAN-enrollment (HAIANE) institutions.

Table 5

Top 10 U.S. baccalaureate-origin institutions of Hispanic or Latino doctorate recipients from 2010–20, by broad field of doctorate, institutional control, 2018 Carnegie classification, and HHE status

Rank	Baccalaureate institution	Hispanic doctorate recipients	Institutional control	2018 Carnegie classification	HHE status
na	Life sciences <sup>a</sup>				
				M1: master's institutions - larger	
1	U. Puerto Rico, Mayaguez	246	Public	programs	Yes
2	U. Puerto Rico, Rio Piedras	218	Public	R2: doctoral universities	Yes
3	U. Texas, El Paso	122	Public	R1: doctoral universities	Yes
4	U. California, Davis	113	Public	R1: doctoral universities	No
4	U. California, Los Angeles	113	Public	R1: doctoral universities	No
6	U. Florida	106	Public	R1: doctoral universities	No
7	U. California, Irvine	97	Public	R1: doctoral universities	Yes
8	U. Arizona	91	Public	R1: doctoral universities	Yes
9	Florida International U.	88	Public	R1: doctoral universities	Yes
9	Texas A&M U., College Station and Health Science Center	88	Public	R1: doctoral universities	Yes
na	Physical sciences and earth sciences				
1	U. Puerto Rico, Rio Piedras	119	Public	R2: doctoral universities	Yes
			B 1 !!	M1: master's institutions - larger	
2	U. Puerto Rico, Mayaguez	85	Public	programs	Yes
3	Florida International U.	59	Public	R1: doctoral universities	Yes
4	U. California, Berkeley	41	Public	R1: doctoral universities	No
4	U. Texas, El Paso	41	Public	R1: doctoral universities	Yes
6	U. California, Los Angeles	34	Public	R1: doctoral universities	No
7	U. Florida	33	Public	R1: doctoral universities	No
8	Massachusetts Institute of Technology	32	Private	R1: doctoral universities	No
				Baccalaureate colleges: arts and	
9	U. Puerto Rico, Cayey	28	Public	sciences focus	Yes
10	California Institute of Technology	27	Private	R1: doctoral universities	No
na	Mathematics and computer sciences				
1	U. Puerto Rico, Rio Piedras	29	Public	R2: doctoral universities	Yes
2	Florida International U.	22	Public	R1: doctoral universities	Yes
3	U. Texas, El Paso	20	Public	R1: doctoral universities	Yes
4	Massachusetts Institute of Technology	17	Private	R1: doctoral universities	No
4	U. California, Berkeley	17	Public	R1: doctoral universities	No
				M1: master's institutions - larger	
4	U. Puerto Rico, Mayaguez	17	Public	programs	Yes
7	U. California, Los Angeles	14	Public	R1: doctoral universities	No
8	U. Arizona	12	Public	R1: doctoral universities	Yes
9	U. California, San Diego	11	Public	R1: doctoral universities	No
9	U. Florida	11	Public	R1: doctoral universities	No
9	U. Texas, Austin	11	Public	R1: doctoral universities	Yes
na	Psychology and social sciences				
1	U. Puerto Rico, Rio Piedras	260	Public	R2: doctoral universities	Yes
2	U. California, Berkeley	111	Public	R1: doctoral universities	No
3	U. California, Los Angeles	106	Public	R1: doctoral universities	No
4	U. Florida	95	Public	R1: doctoral universities	No
5	Florida International U.	90	Public	R1: doctoral universities	Yes
6	U. Puerto Rico, Mayaguez	83	Public	M1: master's institutions - larger programs	Yes
7	U. Texas, Austin	76	Public	R1: doctoral universities	Yes
8	U. Miami	66	Private	R1: doctoral universities	No

Table 5

Top 10 U.S. baccalaureate-origin institutions of Hispanic or Latino doctorate recipients from 2010–20, by broad field of doctorate, institutional control, 2018 Carnegie classification, and HHE status

Rank	Baccalaureate institution	Hispanic doctorate recipients	Institutional control	2018 Carnegie classification	HHE status
9	Harvard U.	62	Private	R1: doctoral universities	No
9	U. California, San Diego	62	Public	R1: doctoral universities	No
na	Engineering				
				M1: master's institutions - larger	
1	U. Puerto Rico, Mayaguez	292	Public	programs	Yes
2	U. Florida	106	Public	R1: doctoral universities	No
3	U. Texas, El Paso	94	Public	R1: doctoral universities	Yes
4	Massachusetts Institute of Technology	79	Private	R1: doctoral universities	No
5	U. Texas, Austin	58	Public	R1: doctoral universities	Yes
6	Texas A&M U., College Station and Health Science Center	44	Public	R1: doctoral universities	Yes
7	U. New Mexico, Albuquerque	43	Public	R1: doctoral universities	Yes
8	U. Miami	41	Private	R1: doctoral universities	No
9	U. California, Berkeley	40	Public	R1: doctoral universities	No
10	Florida International U.	33	Public	R1: doctoral universities	Yes
10	Georgia Institute of Technology	33	Public	R1: doctoral universities	No
na	Education				119
1	U. Texas, Austin	79	Public	R1: doctoral universities	Yes
2	U. California, Los Angeles	77	Public	R1: doctoral universities	No
3	U. Texas, El Paso	64	Public	R1: doctoral universities	Yes
4	U. California, Berkeley	51	Public	R1: doctoral universities	No
5	New Mexico State U., Las Cruces	49	Public	R2: doctoral universities	Yes
	Texas A&M U., College Station and Health				
5	Science Center	49	Public	R1: doctoral universities	Yes
5	U. New Mexico, Albuquerque	49	Public	R1: doctoral universities	Yes
8	Florida International U.	47	Public	R1: doctoral universities	Yes
9	U. Texas, San Antonio	45	Public	R2: doctoral universities	Yes
10	Texas State U.	44	Public	R2: doctoral universities	Yes
na	Humanities and arts				
1	U. Puerto Rico, Rio Piedras	145	Public	R2: doctoral universities	Yes
2	U. California, Berkeley	88	Public	R1: doctoral universities	No
3	U. California, Los Angeles	82	Public	R1: doctoral universities	No
4	U. Texas, Austin	52	Public	R1: doctoral universities	Yes
5	Florida International U.	50	Public	R1: doctoral universities	Yes
5	U. New Mexico, Albuquerque	50	Public	R1: doctoral universities	Yes
7	U. Puerto Rico, Mayaguez	39	Public	M1: master's institutions - larger programs	Yes
8	New York U.	36	Private	R1: doctoral universities	No
9	Harvard U.	31	Private	R1: doctoral universities	No
9	U. Arizona	31	Public	R1: doctoral universities	Yes
na	Other <sup>b</sup>				
1	U. Puerto Rico, Rio Piedras	42	Public	R2: doctoral universities	Yes
2	Florida International U.	23	Public	R1: doctoral universities	Yes
3	U. Florida	20	Public	R1: doctoral universities	No
-		20		M1: master's institutions - larger	1.10
4	U. Puerto Rico, Mayaguez	18	Public	programs	Yes
5	U. Texas, Austin	17	Public	R1: doctoral universities	Yes
5	U. Texas, El Paso	17	Public	R1: doctoral universities	Yes

Table 5

Top 10 U.S. baccalaureate-origin institutions of Hispanic or Latino doctorate recipients from 2010–20, by broad field of doctorate, institutional control, 2018 Carnegie classification, and HHE status

Rank	Baccalaureate institution	Hispanic doctorate recipients	Institutional control	2018 Carnegie classification	HHE status
	Texas A&M U., College Station and Health				
7	Science Center	13	Public	R1: doctoral universities	Yes
7	U. Southern California	13	Private	R1: doctoral universities	No
9	Arizona State U.	11	Public	R1: doctoral universities	Yes
9	Florida State U.	11	Public	R1: doctoral universities	No
9	New York U.	11	Private	R1: doctoral universities	No
9	U. Illinois, Urbana-Champaign	11	Public	R1: doctoral universities	No
9	U. Texas Rio Grande Valley	11	Public	R2: doctoral universities	Yes

na = not applicable.

HHE = high-Hispanic-enrollment institution.

#### Note(s):

Includes only U.S. citizens and permanent residents. Includes only U.S. institutions. Institutions with the same number of doctorate recipients are listed alphabetically. R1: doctoral universities are those with very high research activity. R2: doctoral universities are institutions with high research activity.

#### Source(s):

National Center for Science and Engineering Statistics, Survey of Earned Doctorates.

### Table 6

# Top 50 U.S. baccalaureate-origin institutions of American Indian or Alaska Native doctorate recipients from 2010–20, by institutional control, 2018 Carnegie classification, and HAIANE status

Rank	Baccalaureate institution	AIAN doctorate recipients	Institutional control	2018 Carnegie classification	HAIANE status
na	All American Indian or Alaska Native doctorate recipients	1,269	na	na	na
na	From U.S. baccalaureate-origin institutions	1,210	na	na	na
na	From foreign baccalaureate-origin institutions	22	na	na	na
na	From unreported baccalaureate-origin institutions	37	na	na	na
1	U. Oklahoma, Norman	46	Public	R1: doctoral universities	No
2	U. New Mexico, Albuquerque	36	Public	R1: doctoral universities	No
3	Oklahoma State U., Stillwater	32	Public	R1: doctoral universities	No
4	Northeastern State U.	27	Public	M1: master's institutions - larger programs	Yes
5	Northern Arizona U.	21	Public	R2: doctoral universities	No
5	U. Arizona	21	Public	R1: doctoral universities	No
7	U. North Dakota	20	Public	R2: doctoral universities	No
8	Arizona State U.	19	Public	R1: doctoral universities	No
9	U. Montana, Missoula	17	Public	R2: doctoral universities	No
10	U. Michigan, Ann Arbor	16	Public	R1: doctoral universities	No
11	Fort Lewis C.	12	Public	Baccalaureate colleges: arts and sciences focus	Yes
11	U. Alaska, Fairbanks	12	Public	R2: doctoral universities	Yes

<sup>&</sup>lt;sup>a</sup> Includes agricultural sciences and natural resources; biological and biomedical sciences; and health sciences.

<sup>&</sup>lt;sup>b</sup> Includes other non-science and engineering fields not shown separately.

Table 6

Top 50 U.S. baccalaureate-origin institutions of American Indian or Alaska Native doctorate recipients from 2010–20, by institutional control, 2018 Carnegie classification, and HAIANE status

Rank	Baccalaureate institution	AIAN doctorate recipients	Institutional control	2018 Carnegie classification	HAIANE status
13	Montana State U., Bozeman	11	Public	R1: doctoral universities	No
13	Stanford U.	11	Private	R1: doctoral universities	No
13	U. North Carolina, Chapel Hill	11	Public	R1: doctoral universities	No
16	Brigham Young U., Provo	10	Private	R2: doctoral universities	No
16	New Mexico State U., Las Cruces	10	Public	R2: doctoral universities	No
16	U. California, Davis	10	Public	R1: doctoral universities	No
16	U. Tulsa	10	Private	R2: doctoral universities	No
16	U. Wisconsin-Madison	10	Public	R1: doctoral universities	No
21	Dartmouth C.	9	Private	R1: doctoral universities	No
21	U. Arkansas, Fayetteville	9	Public	R1: doctoral universities	No
21	U. California, Los Angeles	9	Public	R1: doctoral universities	No
	3			M1: master's institutions - larger	
21	U. Central Oklahoma	9	Public	programs	No
21	U. Kansas	9	Public	R1: doctoral universities	No
21	U. Oregon	9	Public	R1: doctoral universities	No
21	U. Washington, Seattle	9	Public	R1: doctoral universities	No
28	Colorado State U., Fort Collins	7	Public	R1: doctoral universities	No
28	Haskell Indian Nations U.	7	Public	Tribal colleges	No
28	North Carolina State U.	7	Public	R1: doctoral universities	No
28	Oregon State U., Corvallis	7	Public	R1: doctoral universities	No
28	U. California, Berkeley	7	Public	R1: doctoral universities	No
33	Cornell U.	6	Private	R1: doctoral universities	No
33	Indiana U., Bloomington	6	Public	R1: doctoral universities	No
33	Metropolitan State U. Denver	6	Public	M1: master's institutions - larger programs	No
33	Missouri State U., Springfield	6	Public	Doctoral/professional universities	No
33	Seattle Pacific U.	6	Private	Doctoral/professional universities	No
	Scattle Facility 6.		Tilvate	M1: master's institutions - larger	110
33	Southeastern Oklahoma State U.	6	Public	programs	Yes
33	Texas A&M U., College Station and Health Science Center	6	Public	R1: doctoral universities	No
33	U. California, Santa Barbara	6	Public	R1: doctoral universities	No
33	U. California, Santa Cruz	6	Public	R1: doctoral universities	No
33	U. North Carolina, Pembroke	6	Public	M1: master's institutions - larger programs	Yes
33	U. Texas, Austin	6	Public	R1: doctoral universities	No
				M1: master's institutions - larger	
44	Central Washington U.	5	Public	programs	No
44	Columbia U. in the City of New York	5	Private	R1: doctoral universities	No
	,			M1: master's institutions - larger	
44	East Central U.	5	Public	programs	Yes
44	Michigan State U.	5	Public	R1: doctoral universities	No
44	South Dakota State U.	5	Public	R2: doctoral universities	No
44	U. Minnesota, Twin Cities	5	Public	R1: doctoral universities	No
44	U. Nevada, Las Vegas	5	Public	R1: doctoral universities	No
44	U. South Dakota	5	Public	R2: doctoral universities	No
44	Washington State U.	5	Public	R1: doctoral universities	No
44	Yale U.	5	Private	R1: doctoral universities	No

na = not applicable.

AIAN = American Indian or Alaska Native; HAIANE = high-AIAN-enrollment institution.

#### Note(s):

Includes only U.S. citizens and permanent residents. Institutions with the same number of doctorate recipients are listed alphabetically. R1: doctoral universities are those with very high research activity. R2: doctoral universities are institutions with high research activity.

#### Source(s):

National Center for Science and Engineering Statistics, Survey of Earned Doctorates.

Table 7 shows the top U.S. baccalaureate origins of AIAN doctorate recipients by broad field. To reduce the risk of individual disclosure, this table only lists institutions where sufficient number of AIAN bachelor's degree recipients went on to earn a U.S. research doctorate in the specified field between 2010 and 2020. Twelve institutions met these criteria within psychology and social sciences, 7 in education, and 6 in life sciences. Oklahoma State University, Stillwater and the University of Oklahoma, Norman were the only institutions listed in four or more broad fields. As with table 6, more than half of the institutions listed (16 of 29) are public R1 doctoral universities.

Table 7

Top U.S. baccalaureate-origin institutions of American Indian or Alaska Native doctorate recipients from 2010–20, by broad field of doctorate, institutional control, 2018 Carnegie classification, and HAIANE status

Rank	Baccalaureate institution	AIAN doctorate recipients	Institutional control	2018 Carnegie classification	HAIANE status
na	Life sciences <sup>a</sup>				
1	U. New Mexico, Albuquerque	14	Public	R1: doctoral universities	No
2	U. Oklahoma, Norman	8	Public	R1: doctoral universities	No
3	Arizona State U.	7	Public	R1: doctoral universities	No
4	Oklahoma State U., Stillwater	5	Public	R1: doctoral universities	No
4	U. North Dakota	5	Public	R2: doctoral universities	No
4	U. Tulsa	5	Private	R2: doctoral universities	No
na	Psychology and social sciences	S			'
1	U. Oklahoma, Norman	14	Public	R1: doctoral universities	No
2	U. New Mexico, Albuquerque	11	Public	R1: doctoral universities	No
3	U. North Dakota	10	Public	R2: doctoral universities	No
4	Oklahoma State U., Stillwater	9	Public	R1: doctoral universities	No
5	U. Arizona	8	Public	R1: doctoral universities	No
6	U. Alaska, Fairbanks	6	Public	Baccalaureate colleges: arts and sciences focus	Yes
6	U. Michigan, Ann Arbor	6	Public	R1: doctoral universities	No
6	U. Montana, Missoula	6	Public	R2: doctoral universities	No
9	Brigham Young U., Provo	5	Private	R2: doctoral universities	No
9	Dartmouth C.	5	Private	R1: doctoral universities	No
9	Northern Arizona U.	5	Public	R2: doctoral universities	No
9	U. Oregon	5	Public	R1: doctoral universities	No
na	Engineering				
1	Oklahoma State U., Stillwater	5	Public	R1: doctoral universities	No
na	Education				
1	Northeastern State U.	13	Public	M1: master's institutions - larger programs	Yes
2	U. Oklahoma, Norman	12	Public	R1: doctoral universities	No
3	Oklahoma State U., Stillwater	7	Public	R1: doctoral universities	No
4	Arizona State U.	6	Public	R1: doctoral universities	No
4	Northern Arizona U.	6	Public	R2: doctoral universities	No

#### Table 7

# Top U.S. baccalaureate-origin institutions of American Indian or Alaska Native doctorate recipients from 2010–20, by broad field of doctorate, institutional control, 2018 Carnegie classification, and HAIANE status

(Number)

Rank	Baccalaureate institution	AIAN doctorate recipients	Institutional control	2018 Carnegie classification	HAIANE status
				Baccalaureate colleges: arts and	
6	Fort Lewis C.	5	Public	sciences focus	Yes
6	U. North Dakota	5	Public	R2: doctoral universities	No
na	Humanities and arts				
				M1: master's institutions - larger	
1	Northeastern State U.	5	Public	programs	Yes
	Oklahoma State U.,				
1	Stillwater	5	Public	R1: doctoral universities	No
1	U. Oklahoma, Norman	5	Public	R1: doctoral universities	No

na = not applicable.

AIAN = American Indian or Alaska Native; HAIANE = high-AIAN-enrollment institution.

#### Note(s)

Includes only U.S. citizens and permanent residents. Includes only U.S. institutions with 5 or more graduates who earned a doctorate from 2010–20. Institutions with the same number of doctorate recipients are listed alphabetically. R1: doctoral universities are those with very high research activity. R2: doctoral universities are institutions with high research activity. Physical sciences and earth sciences, mathematics and computer sciences, and other non-science and engineering fields are not shown in this table due to the small number of AIAN doctorate recipients.

#### Source(s):

National Science Foundation, National Center for Science and Engineering Statistics, Survey of Earned Doctorates.

# Top Baccalaureate-Origins of Black and Hispanic Doctorate Recipients by Institutional-Yield Ratio

Because of the larger pool of potential doctorate recipients, rankings of baccalaureate-origin institutions based on the number of doctorate recipients who attended a particular institution may overemphasize the role of larger institutions and understate the contributions of smaller, non-R1 institutions in the production of doctorate recipients. The institutional-yield ratio (IYR) metric was created to address this disparity. The IYR for a baccalaureate-origin institution is calculated as the number of graduates who earned a research doctorate over a given period (2010–20 in this analysis), divided by the number of bachelor's degrees conferred by that institution over the period 9 years earlier (i.e., from 2001–11 for this analysis). This figure is then multiplied by 100 to express the term as a percentage or ratio. For example, if an institution has an IYR of 15.0 from 2010–20 it is estimated that 15% of that institution's baccalaureate conferrals (or 15 out of every 100) received a doctorate within nine years of earning a bachelor's degree.

Table 8 presents the top 50 baccalaureate-origin institutions for Black doctorate recipients from 2010–20, ranked by IYR. With the data normalized to control for the number of bachelor's degrees awarded by each institution, a different picture emerges. Public institutions and HBCUs, which predominated in table 2, are far fewer in number. Private colleges and universities become much more prominent and only one of the top 10 institutions in table 2 (Spelman College, rank 2) remains in the top 10 (rank 10) in table 8. When ranked by IYR, only 11 public institutions are listed in the top 50, and private institutions occupy the top 13 places in table 8. The number of Carnegie classified baccalaureate colleges increased from 3 in table 2 to 11 in table 8, including 5 of the top 10 IYRs. The number of HBCUs represented in the top 50 rankings declined from 19 to 7.

<sup>&</sup>lt;sup>a</sup> Includes agricultural sciences and natural resources; biological and biomedical sciences; and health sciences.

Table 8

Top 50 U.S. baccalaureate-origin institutions of Black or African American doctorate recipients from 2010–20, by institutional-yield ratio, institutional control, 2018 Carnegie classification, and HBCU status

Rank	Baccalaureate institution	Black doctorate recipients	Institutional-yield ratio <sup>a</sup>	Institutional control	2018 Carnegie classification	HBCU status
	Massachusetts Institute of					
1	Technology	82	11.9	Private	R1: doctoral universities	No
2	Williams C., Williamstown	42	9.4	Private	Baccalaureate colleges: arts and sciences focus	No
3	Oberlin C.	44	9.1	Private	Baccalaureate colleges: arts and sciences focus	No
4	Princeton U.	88	8.9	Private	R1: doctoral universities	No
5	Wesleyan U.	50	8.8	Private	Baccalaureate colleges: arts and sciences focus	No
6	Rice U.	43	8.7	Private	R1: doctoral universities	No
					Baccalaureate colleges: arts and	
7	Swarthmore C.	26	8.6	Private	sciences focus	No
8	Yale U.	92	8.3	Private	R1: doctoral universities	No
9	Harvard U.	117	8.1	Private	R1: doctoral universities	No
10	Spelman C.	383	7.9	Private	Baccalaureate colleges: arts and sciences focus	Yes
11	U. Rochester	41	7.4	Private	R1: doctoral universities	No
12	Brown U.	72	7.4	Private	R1: doctoral universities	No
13	Dartmouth C.	54	7.3	Private	R1: doctoral universities	No
14	U. Maryland, Baltimore County	193	7.2	Public	R2: doctoral universities	No
15	Wellesley C.	27	7.1	Private	Baccalaureate colleges: arts and sciences focus	No
16	Cornell U.	110	7.0	Private	R1: doctoral universities	No
17	Stanford U.	110	6.6	Private	R1: doctoral universities	No
18	Rensselaer Polytechnic Institute, Troy	30	6.5	Private	R1: doctoral universities	No
19	Duke U.	98	6.4	Private	R1: doctoral universities	No
20	Agnes Scott C.	25	6.2	Private	Baccalaureate colleges: arts and sciences focus	No
21	Carnegie Mellon U.	34	6.1	Private	R1: doctoral universities	No
22	Wake Forest U.	43	6.1	Private	R2: doctoral universities	No
23	U. Chicago	30	6.1	Private	R1: doctoral universities	No
24	Andrews U.	47	6.1	Private	Doctoral/professional universities	No
25	Emory U.	91	6.0	Private	R1: doctoral universities	No
26	U. Pennsylvania	101	5.6	Private	R1: doctoral universities	No
27	Tougaloo C.	82	5.4	Private	Baccalaureate colleges: arts and sciences focus	Yes
28	Texas A&M U., College Station and Health Science Center	103	5.3	Public	R1: doctoral universities	No
29	Columbia U. in the City of New York	68	5.3	Private	R1: doctoral universities	No
30	Northwestern U.	68	5.3	Private	R1: doctoral universities	No
31	Washington U., Saint Louis	71	5.2	Private	R1: doctoral universities	No
32	Vanderbilt U.	56	5.2	Private	R1: doctoral universities	No
33	Fisk U.	69	4.9	Private	Baccalaureate colleges: arts and sciences focus	Yes
34	U. North Carolina, Chapel Hill	211	4.9	Public	R1: doctoral universities	No
35	Xavier U. Louisiana	185	4.6	Private	M3: master's institutions - smaller programs	Yes
36	U. Virginia, Charlottesville	141	4.6	Public	R1: doctoral universities	No

Table 8

Top 50 U.S. baccalaureate-origin institutions of Black or African American doctorate recipients from 2010–20, by institutional-yield ratio, institutional control, 2018 Carnegie classification, and HBCU status

Rank	Baccalaureate institution	Black doctorate recipients	Institutional-yield ratio <sup>a</sup>	Institutional control	2018 Carnegie classification	HBCU status
37	U. Michigan, Ann Arbor	185	4.6	Public	R1: doctoral universities	No
38	C. of William and Mary	36	4.6	Public	R2: doctoral universities	No
39	Johns Hopkins U.	45	4.5	Private	R1: doctoral universities	No
40	Iowa State U.	45	4.4	Public	R1: doctoral universities	No
41	Oakwood U.	108	4.3	Private	Baccalaureate colleges: diverse fields	Yes
42	Morehouse C.	218	4.3	Private	Baccalaureate colleges: arts and sciences focus	Yes
43	Marquette U.	27	4.0	Private	R2: doctoral universities	No
44	Georgia Institute of Technology	77	3.9	Public	R1: doctoral universities	No
45	U. California, Berkeley	99	3.9	Public	R1: doctoral universities	No
46	U. Wisconsin-Madison	50	3.9	Public	R1: doctoral universities	No
47	Tuskegee U.	136	3.6	Private	M2: master's institutions - medium programs	Yes
48	Clemson U.	74	3.6	Public	R1: doctoral universities	No
49	Georgetown U.	38	3.5	Private	R1: doctoral universities	No
50	U. Notre Dame	26	3.5	Private	R1: doctoral universities	No

HBCU = historically Black college and university.

#### Note(s):

Includes only U.S. citizens and permanent residents. The 2010–20 rankings are based on unrounded institutional-yield ratios. Includes only baccalaureate-awarding institutions in the United States with 25 or more Black graduates later awarded research doctorates and reported bachelor's graduation counts to IPEDS from 2001–11. R1: doctoral universities are those with very high research activity. R2: doctoral universities are institutions with high research activity.

#### Source(s):

National Center for Science and Engineering Statistics, Survey of Earned Doctorates; National Center for Education Statistics, Integrated Postsecondary Education Data System, Completions Survey.

A similar change is seen when examining the IYR rankings of baccalaureate-origins for Hispanic doctorate recipients (table 9). Private institutions and baccalaureate colleges again become much more prominent, while the rankings of public and HHE institutions decline. Ranked by IYR, the top 31 institutions (and 41 of the top 50) were private colleges and universities. Although the number of R1 doctoral universities among the top 50 declined slightly (from 37 in table 4 to 33 in table 9), baccalaureate colleges increased from 2 to 14. Only one HHE institution (New Mexico Institute of Mining and Technology) was ranked in the top 50 by IYR. The high IYR for the California Institute of Technology is notable and driven by the small size of their undergraduate population; from 2001–11 only 132 Hispanic students received a bachelor's degree from the California Institute of Technology.

<sup>&</sup>lt;sup>a</sup> The institutional-yield ratio is defined as the number of 2010–20 Black doctorate recipients who received bachelor's degrees from an institution divided by the number of bachelor's degrees awarded to Blacks 9 years earlier (2001–2011) from that same institution. The ratio is expressed as the number of Black doctorates per 100 bachelor's degrees awarded to Black students. For example, 82 Black doctorate recipients over the 2010–20 period reported earning bachelor's degrees from the Massachusetts Institute of Technology (MIT), and a total of 691 Black students earned bachelor's degrees from MIT between 2001 and 2011. Thus, MIT's institutional-yield ratio for the 2010–20 period is 11.9 Black doctorate recipients per 100 bachelor's degrees awarded to Black students at MIT 9 years earlier.

34

Johns Hopkins U.

Table 9

Top 50 U.S. baccalaureate-origin institutions of Hispanic or Latino doctorate recipients from 2010–20, by institutional-yield ratio, institutional control, 2018 Carnegie classification, and HHE status

Rank	Baccalaureate institution	Hispanic doctorate recipients	Institutional-yield ratio <sup>a</sup>	Institutional control	2018 Carnegie classification	HHE status
	California Institute of				-	
1	Technology	55	41.7	Private	R1: doctoral universities	No
2	Reed C.	28	16.7	Private	Baccalaureate colleges: arts and sciences focus	No
3	Pomona C.	62	16.0	Private	Baccalaureate colleges: arts and sciences focus	No
4	Swarthmore C.	57	15.7	Private	Baccalaureate colleges: arts and sciences focus	No
_	Massachusetts Institute of					
5	Technology	188	14.8	Private	R1: doctoral universities	No
6	Carleton C.	30	13.5	Private	Baccalaureate colleges: arts and sciences focus	No
7	Princeton U.	113	13.4	Private	R1: doctoral universities	No
8	Oberlin C.	43	12.8	Private	Baccalaureate colleges: arts and sciences focus	No
9	Haverford C.	28	12.8	Private	Baccalaureate colleges: arts and sciences focus	No
10	Rice U.	111	12.7	Private	R1: doctoral universities	No
11	Harvard U.	170	12.2	Private	R1: doctoral universities	No
12	Washington U., Saint Louis	57	11.2	Private	R1: doctoral universities	No
13	Yale U.	107	11.1	Private	R1: doctoral universities	No
14	U. Chicago	97	11.0	Private	R1: doctoral universities	No
15	Vassar C.	42	10.8	Private	Baccalaureate colleges: arts and sciences focus	No
16	Duke U.	95	10.1	Private	R1: doctoral universities	No
17	U. Rochester	49	10.1	Private	R1: doctoral universities	No
18	Stanford U.	198	9.5	Private	R1: doctoral universities	No
19	Brown U.	110	9.5	Private	R1: doctoral universities	No
20	Brandeis U.	27	9.3	Private	R1: doctoral universities	No
21	Cornell U.	171	9.1	Private	R1: doctoral universities	No
22	Columbia U. in the City of New York	129	8.6	Private	R1: doctoral universities	No
23	Wellesley C.	34	8.5	Private	Baccalaureate colleges: arts and sciences focus	No
24	Dartmouth C.	59	8.5	Private	R1: doctoral universities	No
25	Carnegie Mellon U.	53	8.2	Private	R1: doctoral universities	No
26	Williams C., Williamstown	36	8.2	Private	Baccalaureate colleges: arts and sciences focus	No
27	Colorado C.	29	8.1	Private	Baccalaureate colleges: arts and sciences focus	No
28	Barnard C.	36	8.0	Private	Baccalaureate colleges: arts and sciences focus	No
29	Amherst C.	28	8.0	Private	Baccalaureate colleges: arts and sciences focus	No
30	Emory U.	44	7.9	Private	R1: doctoral universities	No
01	Conside C	0.4	7.0	Duiterata	Baccalaureate colleges: arts and	N.
31	Smith C.  New Mexico Institute of	34	7.8	Private	sciences focus M3: master's institutions - smaller	No
32	Mining and Technology	28	7.1	Public	programs	Yes
33	U. Pittsburgh, Pittsburgh	33	6.9	Public	R1: doctoral universities	No
		10		<b>D</b> · ·	1 54 1 . 1	

43

6.5

Private

R1: doctoral universities

No

Table 9

Top 50 U.S. baccalaureate-origin institutions of Hispanic or Latino doctorate recipients from 2010–20, by institutional-yield ratio, institutional control, 2018 Carnegie classification, and HHE status

Rank	Baccalaureate institution	Hispanic doctorate recipients	Institutional-yield ratio <sup>a</sup>	Institutional control	2018 Carnegie classification	HHE status
35	C. of William and Mary	41	6.4	Public	R2: doctoral universities	No
36	Tulane U.	40	6.4	Private	R1: doctoral universities	No
37	U. Alabama, Tuscaloosa	30	6.3	Public	R1: doctoral universities	No
38	Georgia Institute of Technology	63	6.2	Public	R1: doctoral universities	No
39	Wesleyan U.	34	6.2	Private	Baccalaureate colleges: arts and sciences focus	No
40	U. California, Berkeley	436	5.9	Public	R1: doctoral universities	No
41	U. Wisconsin-Madison	97	5.9	Public	R1: doctoral universities	No
42	Northwestern U.	69	5.8	Private	R1: doctoral universities	No
43	Tufts U., Medford	55	5.7	Private	R1: doctoral universities	No
44	Rensselaer Polytechnic Institute, Troy	34	5.6	Private	R1: doctoral universities	No
45	U. Pennsylvania	84	5.4	Private	R1: doctoral universities	No
46	U. North Carolina, Chapel Hill	67	5.3	Public	R1: doctoral universities	No
47	U. Notre Dame	92	5.2	Private	R1: doctoral universities	No
48	Vanderbilt U.	37	5.1	Private	R1: doctoral universities	No
49	U. Georgia	60	4.9	Public	R1: doctoral universities	No
50	Rochester Institute of Technology	34	4.9	Private	R2: doctoral universities	No

HHE = high-Hispanic-enrollment institution.

#### Note(s):

Includes only U.S. citizens and permanent residents. The 2010–20 rankings are based on unrounded institutional-yield ratios. Includes only baccalaureate-awarding institutions in the United States with 25 or more Hispanic graduates later awarded research doctorates and reported bachelor's graduation counts to IPEDS from 2001–11. R1: doctoral universities are those with very high research activity. R2: doctoral universities are institutions with high research activity.

#### Source(s)

National Center for Science and Engineering Statistics, Survey of Earned Doctorates; National Center for Education Statistics, Integrated Postsecondary Education Data System, Completions Survey.

IYR rankings for AIAN doctorate recipients are not presented as few baccalaureate origin institutions had more than 25 AIAN doctorate recipients from 2010–20, the minimum number previously used to derive the IYR statistic. Calculation of the yield ratio with lower minimum number of AIAN doctorate recipients did not identify any new institutions other than those already listed in table 6, and the differences in the IYRs were small.

Overall, the results in this InfoBrief show that rankings of baccalaureate-origin institutions are highly dependent on whether production is viewed in absolute terms (number of bachelor's degree recipients who complete a research doctorate) or relative terms (percentage of an institution's bachelor's degree recipients that complete a research doctorate). They also illustrate the varied educational pathways Black, Hispanic, and AIAN students take on their way to obtaining a U.S. research doctorate and the key role minority-serving institutions and many other institutions play in developing a diverse S&E workforce.

<sup>&</sup>lt;sup>a</sup> The institutional-yield ratio is defined as the number of 2010–20 Hispanic doctorate recipients who received bachelor's degrees from an institution divided by the number of bachelor's degrees awarded to Hispanics 9 years earlier (2001–2011) from that same institution. The ratio is expressed as the number of Hispanic doctorates per 100 bachelor's degrees awarded to Hispanic students. For example, 55 Hispanic doctorate recipients over the 2010–20 period reported earning bachelor's degrees from the California Institute of Technology (Cal Tech), and a total of 132 Hispanic students earned bachelor's degrees from Cal Tech between 2001 and 2011. Thus, Cal Tech's institutional-yield ratio for the 2010–20 period is 41.7 Hispanic doctorate recipients per 100 bachelor's degrees awarded to Hispanic students at Cal Tech 9 years earlier.

# **Data Sources, Limitations, and Availability**

The Survey of Earned Doctorates (SED) data are used to report the numbers of research doctorate recipients between 2010 and 2020, as well as their baccalaureate-origin institutions. Data from the Department of Education's Integrated Postsecondary Education Data System (IPEDS) Completions Survey provide the numbers of bachelor's degrees awarded between 2001 and 2011 and were downloaded from the IPEDS Data Center. As seen in table 31 in the Survey of Earned Doctorates report, the median time from receipt of bachelor's degree to doctorate for all doctorate recipients between 2010 and 2020 was approximately 9 years (9.3 in 2010 and 8.7 in 2020). Among broad fields in 2020, time to degree was the shortest in physical sciences and earth sciences (7.0 years) and in engineering (7.5 years), and the longest in education (15.0 years). Due to the collection methods of race and ethnicity data in the IPEDS Completions Survey, the analysis of these underrepresented minorities in this InfoBrief is limited to U.S. citizens and permanent residents.

The 2018 version of the Carnegie Basic Classification for the colleges and universities categorizes academic institutions on the basis of highest degree conferred, level of degree production, and research activity. Institutions that award at least 20 research or scholarship doctoral degrees per year are called doctorate universities and are distributed among three subgroups based on the level of research activity—R1 doctoral universities (very high research activity), R2 doctoral universities (high research activity), and doctoral/professional universities. In this InfoBrief, the latter two subgroups are combined into a single category, called "Other doctoral universities." Master's colleges and universities are institutions that award at least 50 master's degrees and fewer than 20 doctoral degrees per year. Baccalaureate colleges are non-tribal colleges that award fewer than 50 master's degrees or 20 doctoral degrees per year and at which baccalaureate or higher degrees represent at least 50% of all degrees. See <a href="https://carnegieclassifications.acenet.edu/classification\_descriptions/basic.php">https://carnegieclassifications.acenet.edu/classification\_descriptions/basic.php</a> for more information on Carnegie Basic Classification.

## **Notes**

- 1 National Center for Science and Engineering Statistics (NCSES). 2021. Field of degree: Minorities. In Women, Minorities, and Persons with Disabilities in Science and Engineering. Special Report NSF 21-321. Available at https://ncses.nsf.gov/pubs/nsf21321/report/field-of-degree-minorities.
- 2 National Science Foundation INCLUDES—Inclusion across the Nation of Communities of Learners of Underrepresented Discoverers in Engineering and Science. Available at <a href="https://beta.nsf.gov/funding/opportunities/inclusion-across-nation-communities-learners-underrepresented-discoverers">https://beta.nsf.gov/funding/opportunities/inclusion-across-nation-communities-learners-underrepresented-discoverers</a>.
- 3 Burrelli J, Rapoport A, Lehming R. 2008. *Role of HBCUs as Baccalaureate-Origin Institutions of Black S&E Doctorate Recipients*. InfoBrief NSF 08-319. Arlington, VA: Division of Science Resources Statistics, National Science Foundation. Available at <a href="https://www.nsf.gov/statistics/infbrief/nsf08319/">www.nsf.gov/statistics/infbrief/nsf08319/</a>. Fiegener MK, Proudfoot SL; National Center for Science and Engineering Statistics (NCSES). 2013. *Baccalaureate Origins of U.S.-trained S&E Doctorate Recipients* Available at <a href="https://www.nsf.gov/statistics/infbrief/nsf13323/">https://www.nsf.gov/statistics/infbrief/nsf13323/</a>.
- 4 High-Hispanic-enrollment (HHE) institutions are defined as those colleges and universities where at least 25% of the total undergraduate enrollment in 2020 Integrated Postsecondary Education Data System (IPEDS) Completions Survey were identified as Hispanic. High-American Indian or Alaska Native-enrollment (HAIANE) institutions are defined as non-tribal colleges and universities where at least 10% of the total undergraduate enrollment in IPEDS 2020 were identified as AIAN.
- 5 National Center for Science and Engineering Statistics (NCSES). 2021. *Enrollment. In Women, Minorities, and Persons with Disabilities in Science and Engineering*. NSF 21-321. Alexandria, VA: National Science Foundation. Available at https://ncses.nsf.gov/pubs/nsf21321/report/enrollment#enrollment.
- 6 National Center for Educational Statistics (NCES). 2020. *Condition of Education: Postsecondary Education*. NCES 2020144. Washington, DC: Department of Education. Available at https://nces.ed.gov/programs/coe/.

- 7 Burrelli J, Rapoport A. 2008. *Baccalaureate Origins of S&E Doctorate Recipients*. InfoBrief NSF 08-311. Arlington, VA: Division of Science Resources Statistics, National Science Foundation. Available at <a href="https://www.nsf.gov/statistics/">https://www.nsf.gov/statistics/</a> infbrief/nsf08311. While IYR was first published in this InfoBrief, this concept was based on the research of Fuller (1986) and Carrier and Davis-Van Atta (1987) which found that several small private, liberal arts colleges contribute greatly to production of research doctorates.
- 8 Fuller CH. 1986. Ph.D. Recipients: Where Did They Go to College? Change 18:42–51. Available at https://www.jstor.org/stable/40164449. Carrier SC, Davis-Van Atta D. 1987. Maintaining America's Scientific Productivity: The Necessity of the Liberal Arts Colleges. Oberlin, Ohio: Oberlin College.

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