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## Widespread genetic heterogeneity in multiple myeloma: implications for targeted therapy

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## Abstract

**SUMMARY**—We performed massively parallel sequencing of paired tumor/normal samples from 203 multiple myeloma (MM) patients and identified significantly mutated genes and copy number alterations, and discovered putative tumor suppressor genes by determining homozygous deletions and loss-of-heterozygosity. We observed frequent mutations in *KRAS* (particularly in previously treated patients), *NRAS*, *BRAF*, *FAM46C*, *TP53* and *DIS3* (particularly in non-hyperdiploid MM). Mutations were often present in subclonal populations, and multiple mutations within the same pathway (e.g. *KRAS*, *NRAS* and *BRAF*) were observed in the same patient. *In vitro* modeling predicts only partial treatment efficacy of targeting subclonal mutations, and even growth promotion of non-mutated subclones in some cases. These results emphasize the importance of heterogeneity analysis for treatment decisions.

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## INTRODUCTION

We previously reported the sequencing of 38 matched tumor/normal MM pairs, and that report of the genomic landscape of MM pointed to a number of recurrently mutated genes (e.g. *FAM46C*, *DIS3*) that are likely causal drivers of the disease (Chapman et al., 2011). However, that study design was only powered to detect *commonly* mutated genes, but not less commonly mutated genes, due to the weak statistical power provided by the small sample size. It also did not examine copy number alterations, leading to homozygous deletions or loss of heterozygosity (LOH), or clonal heterogeneity due to the modest sequence coverage (~ 30X) of those whole genome sequences.

The identification of driver mutations in MM holds great promise for personalized medicine, whereby patients with particular mutations would be treated with the appropriate targeted therapy (Fonseca et al., 2009; Mahindra et al., 2012; Palumbo and Anderson, 2011). However, if the mutation is present in only a fraction of the cells, one might doubt whether such targeted therapy would be clinically efficacious. Recent studies have documented the existence of clonal heterogeneity in solid tumors and acute myeloid leukemia, albeit in small numbers of patients (Campbell et al., 2010; Carter et al., 2012; Ding et al., 2012; Gerlinger et al., 2012; Nik-Zainal et al., 2012; Shah et al., 2012; Walter et al., 2012). These studies demonstrated how acquisition of genetic alterations over time leads to clonal evolution. Systemic treatment with chemotherapy may affect the fitness of some subclones more than others, and thus may alter the tumor composition by promoting particular subclones (Landau et al., 2013b). Consequently, the full breadth of tumor heterogeneity, particularly in solid malignancies, may not be captured in a single biopsy, which represents a challenge for cancer therapy (Gerlinger et al., 2012). Clonal heterogeneity and clonal evolution have also been observed in MM by either whole exome sequencing or array CGH, albeit in a modest number of patients (Egan et al., 2012; Keats et al., 2012; Walker et al., 2012).

We therefore sought to estimate the extent of clonal heterogeneity in MM in a large-scale MM genome sequencing dataset capturing a breadth of untreated and previously treated patients, and to infer the timing of genetic events in MM. In the work presented here, we address several important questions: 1) Can we identify significantly mutated genes by integrating evidence from both point mutations and copy number analysis? 2) How do the

mutation profile and the clonal and subclonal composition of MM differ between hyperdiploid and non-hyperdiploid and between treated and untreated MM? 3) Can the contribution of subclones in a patient be reconstructed from a single biopsy to inform targeted therapy?

## RESULTS

We first set out to create a MM genome dataset that would be sufficiently powered to comprehensively assess the genetic diversity of the disease and the extent to which subclonal heterogeneity is observed within patients. A total of 203 tumor-normal pairs were analyzed; 177 by whole exome sequencing and 26 by whole genome sequencing (16 and 23, respectively, have been previously reported (Chapman et al., 2011)). The average depth of coverage for the whole exomes and whole genomes was 89X and 30X, respectively. To estimate the statistical significance of mutation frequency (as a measure of positive selection), we used a new version of the MutSig algorithm (MutSigCV) that compares observed mutation frequencies against sequence context-specific, tumor-specific and gene-specific background mutation frequencies (Lawrence et al., 2013). Additionally, we developed analytical tools to further prioritize homozygous somatic single nucleotide variants (SSNVs) or genes, which harbor mutations that are positionally clustered or preferentially affecting highly conserved amino acids (Supplemental Experimental Procedures). Analysis of the 203 tumor-normal pairs showed that 11 genes were recurrently mutated using a standard significance threshold of  $q < 0.1$  (Figure 1 and S1). The individual and combined p and q values for these prioritization procedures are shown in Tables S1 and S2. Mutation validation studies were performed on 140 mutations, with a rate of 90.4%, in line with other large-scale cancer genome sequencing studies (Table S2).

Among the 11 significantly mutated genes were 5 genes (*KRAS*, *NRAS*, *FAM46C*, *DIS3* and *TP53*) previously identified as the most commonly mutated genes in our 38-patient pilot MM genome study (Chapman et al., 2011). An additional 4 genes (*BRAF*, *TRAF3*, *CYLD*, *RBI*) have been implicated in the pathogenesis of MM (Annunziata et al., 2007; Chapman et al., 2011; Demchenko et al., 2010; Keats et al., 2007; Walker et al., 2012). *PRDM1* is a transcriptional repressor that is involved in plasmacytic differentiation, and it acts as a tumor suppressor gene in activated B cell-like diffuse large B cell lymphoma (DLBCL). Mutations that disrupt its function have been described in DLBCL (Mandelbaum et al., 2010), but are not known to play a role in MM. *PRDM1* has been shown to promote survival of transformed plasma cells (Lin et al., 2007), and transgenic mice prone to plasmacytoma development show reduced plasmacytoma incidence if one or two *PRDM1* alleles are knocked out (D'Costa et al., 2009). We find a recurrent missense mutation (S552C) in two patients, with two additional patients harboring closely clustered missense mutations (S605R, S606I), and an additional 5 patients with truncating frame shift or splice site mutations, supporting a role of *PRDM1* as a tumor suppressor (Figure 1 and S1; Table S1 and S2).

Additionally, several recurrently mutated and biologically relevant genes fall just below the significance threshold (Table S1). For example, *EGRI* was previously shown to abrogate JUN-induced MM growth inhibition and cell death when knocked down in MM cells, and

has been reported as a mechanism of resistance to MM therapy (Chen et al., 2010). We found that *EGR1* mutations were clustered toward the 5' end of the gene (Table S1 and S2; Figure S1), a pattern of mutation often associated with somatic hypermutation (Pasqualucci et al., 2001). To further explore this possibility, we asked whether the observed mutations occurred within WRCY motifs known to be the targets of activation-induced cytidine deaminase (AID), a key enzyme that catalyzes somatic hypermutation. This analysis revealed that *EGR1* indeed had significant enrichment of mutations in WRCY motifs ( $q < 0.1$ ; Table S3), consistent with a somatic hypermutation mechanism. Whether these mutations act as “drivers”, and are positively selected, or merely constitute “passengers”, remains to be seen.

We also found 4 missense mutations in the interferon regulatory factor *IRF4*, with 3 of the mutations being identical (K123R) (Chapman et al., 2011), establishing K123R as a recurrent, “hotspot” mutation in *IRF4* (Figure S1; Table S2). *IRF4* has previously been reported as a MM survival factor, wherein a loss-of-function, RNA-interference screen showed that *IRF4* inhibition results in loss of viability of MM cell lines (Shaffer et al., 2008). *SP140* is the lymphoid-restricted homolog of *SP100*, expressed in plasma cells, and a genome-wide association study identified *SP140* as a susceptibility locus for chronic lymphocytic leukemia (Di Bernardo et al., 2008), with risk alleles being associated with reduced levels of *SP140* mRNA. We identified missense, frame shift and splice site alterations in 8 patients, with LOH observed for two of these alterations, consistent with its possible role as a tumor suppressor in MM.

The available clinical characteristics of the patients in the study are shown in Figure 2 and Table S4. Identifying significantly mutated genes exclusively in patients with t(4;14) and t(11;14), as obtained for 50 patients subjected to routine clinical FISH testing, did not reveal additional significantly mutated genes (Table S1). In general, there was no strong statistically significant association between particular mutations and clinical features, tumor ploidy, or history of prior treatment, but hypothesis-generating trends could be observed (Figure 2; Table S1 and S5). The sample size may need to be larger to definitively address such associations.

Tumor suppressor genes can be inactivated not only by point mutation, but also by biallelic deletion. We therefore searched for genes with a statistically significant excess of homozygous deletion (using a modification of the GISTIC algorithm (Beroukhi et al., 2007; Mermel et al., 2011)) across the 153 patients in our study for whom high density copy number array data were available. Deletions were identified as being homozygous using the ABSOLUTE algorithm (Carter et al., 2012). We identified 7 significant regions, containing 32 genes, including known tumor suppressor genes such as *CDKN2C*, as well as genes associated with the regulation of the NF- $\kappa$ B signaling pathway including *TRAF3*, *BIRC2*, *BIRC3*, and *CYLD* (Figure 2; Table S6). *CYLD* was also found significantly mutated in 5 patients (Figure 1 and Figure 2) and its inactivation through deletions and mutations has been described in MM (Demchenko et al., 2010; Keats et al., 2007). Similarly, the exonuclease-encoding *DIS3* gene is subject to point mutations with LOH (as determined by ABSOLUTE (Carter et al., 2012)), strongly implicating *DIS3* as a tumor suppressor in 11% of MM patients (Figure 2). In order to designate samples as either hyperdiploid or non-

hyperdiploid with high resolution, we developed and validated a classification method using WES and WGS (Figure S2A, Supplemental Experimental Procedures). Interestingly, *DIS3* aberration was more commonly seen among the 86 non-hyperdiploid MM cases compared to the 116 hyperdiploid cases (Fisher's Exact test,  $p = 0.00013$ ; Table S5), with a non-significant trend towards a greater fraction of LOH in *DIS3* mutated non-hyperdiploid patients, compared to hyperdiploid samples (Fisher's Exact test  $p = 0.13$ ). We also found an excess of homozygous deletions in the gene encoding the tyrosine phosphatase *PTPRD*, which has recently been implicated as a tumor suppressor in MM, glioblastoma, and other cancers (Kamada et al., 2012). *PTPRD* dephosphorylates STAT3, which promotes signaling from IL6, a well-recognized MM survival factor. Whether IL6-signaling is indeed the mechanistic target of *PTPRD* deletion remains to be established. We also found homozygous deletions with a peak at 8p23.1 (with 18 genes), containing several candidate tumor suppressor genes (*BLK*, *MSRA*, *PINX1*, *SOX7*), for which a connection to MM has not been previously established.

We next asked whether there was evidence to support pathway-level patterns of mutation, whereby mutations in individual genes may lack statistical significance but when multiple members of a pathway or functionally-related gene set are mutated (albeit rarely), significance is observed. We first tested the 3 gene set hypotheses that emerged from a pilot analysis of the MM genome, namely the NF- $\kappa$ B pathway, histone modifying enzymes and the coagulation cascade (Chapman et al., 2011). We find that indeed all 3 gene sets retain statistical significance across our collection of 203 patients ( $p < 0.05$ ), if tested as individual hypotheses (Table S7). Next, we tested a collection of 612 curated gene sets (taken from MSigDB (Subramanian et al., 2005)), and found that 6 gene sets reached statistical significance after correction for multiple hypothesis testing (Table S7). These gene sets primarily include mutated components of the cell cycle machinery (including *CDKN1B* and *CCND1*), and serve to highlight genes that are only borderline significant when analyzed individually, but reach significance as part of these gene sets. For example, we observed 3 coding mutations (accompanied by LOH) in the transcription factor *MAX*, as part of a significantly mutated gene set, which functions as a heterodimerization partner for *MYC*, which is well known to be dysregulated in MM (Shou et al., 2000). Interestingly, *MAX* has been implicated as a tumor suppressor susceptibility gene in pheochromocytoma (Comino-Mendez et al., 2011), and a small molecule inhibitor of *MYC*-*MAX* heterodimerization has been reported to result in myeloma cell death (Holien et al., 2012).

Of the 203 patients in the study, 131 (65%) had evidence of mutations in one or more of the 11 recurrently mutated genes, and 119 (59%) had mutations of a gene within a statistically significant gene set (new and previously published in Table S8), accounting for a total of 154 patients (76%). Of the remaining 24% of tumors lacking such obviously functionally important mutations, some are likely to be driven by rare mutations in *bona fide* driver genes (e.g. the tumors with mutations in *MYD88* or *CARD11*, previously reported to be recurrently mutated in the B-cell malignancy DLBCL (Lohr et al., 2012; Morin et al., 2011) (Table S1 and S2)). Tumors lacking such mutations might alternatively be driven by focal copy number alterations or chromosomal rearrangements. Of the 153 patients from whom copy number array data were available, 119 patients (including 40 of the 60 patients lacking

SSNV in the most significantly mutated genes), had evidence of at least one focal gene copy number gain or loss within a significant peak (Figure 2 and S2B; Table S8). 21 patients (of 139 patients with high density copy number array and ABSOLUTE data) harbored homozygous deletions in significant peaks (Figure 2). Similarly, structural variants were found in all of the 26 patients from whom whole genome sequencing was available (Chapman et al., 2011), including 3 previously unpublished patients (Table S9). Whether such gene rearrangements are indeed causal of MM in these patients remains to be proven.

We next addressed the extent to which clonal heterogeneity exists in MM. To do this, we computed the *allelic fraction* of each somatic single nucleotide variant (SSNV). The allelic fraction estimation alone (Figure 1), however, cannot be used to assess the fraction of cancer cells harboring the mutation because it does not take into account i) the copy number at that locus, or ii) tumor purity (whereby normal cell contamination can lead to the spurious impression of mutation subclonality). We therefore used the ABSOLUTE algorithm (Carter et al., 2012) to estimate the cancer cell fraction (CCF) of each SSNV by modeling the observed wild-type and mutated allele counts, taking into account local somatic copy number and sample purity, calculated from high density SNP array or sequencing data (Figure S3) (Landau et al., 2013a). The CCF estimates for all SSNVs in a given sample were then analyzed with a Bayesian clustering algorithm (Landau et al., 2013b) to estimate the number of subclonal cell populations present in each tumor (Figure S3, Experimental Procedures).

Looking across the entire coding region, we found that of 153 patient samples with a purity greater than 0.7, nearly all had evidence of clonal heterogeneity (Figure 3A). Most patients harbored at least 3 detectable subclones (beyond the major clone), with some patients having as many as 7. For comparison, the same analysis applied to ovarian cancer (The Cancer Genome Atlas Research Network, 2011) (sequenced to similar depth and with similar purities) showed that a lower proportion of MM patients (8%) had one or no subclones compared to ovarian cancer (19%) (Fisher's exact test,  $p = 0.0024$ ) (Figure 3A).

We next asked whether certain types of mutations tended to be clonal (consistent with early events) whereas others might tend to be subclonal (consistent with later events). It was conceivable that true driver mutations (e.g. those reaching statistical significance based on mutation frequency, or those with strong connections to MM biology) might be exclusively clonal events. This, however, was not the case. Mutations in most of these genes were found to be clonal in some patients and subclonal in others, including some cases with subclonal coding mutations occurring on segments with subclonal copy number change (Figure 3B, Table S2). For example, of the 44 patients with coding *KRAS* mutations that were analyzed for clonality, 32 (73%) had clonal *KRAS* mutations, whereas for 12 patients (27%), the *KRAS* mutations were subclonal, detectable in as few as 13% of cells in some patients.

In some cases, we observed multiple significant mutations in the same tumor sample, including mutations in oncogenes whose function might be expected to be redundant. For example, some patients had mutations in two of three oncogenes (*NRAS*, *BRAF*, and *KRAS*) (Figure 3C), or two mutations in *KRAS* (Table S2), despite the fact that these mutations similarly activate the MAP kinase pathway, and therefore seemed unlikely to occur in the



same tumor. We therefore asked whether there is evidence to support these mutations being present in the same clones, or rather in different subclones within the tumor. We reasoned that if they occurred in the same cell, we should find some cases in which both mutations were clonal. This analysis indicated that consistent with their biological function, *KRAS*, *NRAS* and *BRAF* mutations were rarely simultaneously clonal in our patient samples (1 sample); instead they were mostly either both subclonal within the tumor, or they occurred in a nested fashion (i.e. one clone being the subclone of another), (9 samples). While these data indicate that mutations in *KRAS*, *NRAS*, and *BRAF* can coexist in the same cell, such subclones often did not appear to have sufficient selective advantage to grow to clonality. In contrast, we found that *DIS3* and *KRAS* mutations were often simultaneously clonal (Table S2). *DIS3* is known to encode a ribonuclease involved in RNA processing, but how loss-of-function *DIS3* mutations are oncogenic, and how they interact with *KRAS* in cellular transformation remains to be determined. Interestingly, we found that in general, significantly recurrent mutations were more often clonal in previously treated compared to untreated patients ( $p = 0.007$ , Wilcoxon Rank Sum Test) (Figure 3D). This suggests that treatment may accelerate the fixation of certain subclones by eliminating less fit clones.

We next explored the therapeutic implications of the observed clonal heterogeneity. Specifically, we focused on *BRAF*, because the observation of BRAF activating mutations in MM has stimulated clinical exploration of BRAF inhibitors in this disease. Indeed, a recent report of a single *BRAF*-mutant MM patient showing durable response to a BRAF inhibitor is encouraging (Andrulis et al., 2013). Consistent with that clinical response, we found that U266 cells, which express the BRAF-K601N mutant (COSMIC database <http://cancer.sanger.ac.uk/cancergenome/projects/cosmic/>) that has been shown to cause elevated phospho-MEK and phospho-ERK levels in other malignancies (Dahlman et al., 2012), were more sensitive to treatment with the BRAF inhibitor PLX4720 compared to *BRAF*-wildtype cell lines (Figure 4A and 4B). In addition, BRAF inhibition downregulated MAP kinase pathway activity only in *BRAF*-mutant MM cells, whereas in *BRAF*-wildtype cells, the pathway was paradoxically upregulated (Figure 4A), similar to reports in melanoma (Poulikakos et al., 2010). Strikingly, paradoxical pathway activation was even more pronounced in the presence of *KRAS* or *NRAS* mutations, and this increased MAP kinase activity was associated with BRAF inhibitor-induced growth stimulation of *KRAS*- or *NRAS*-mutant MM cell lines (Figure 4B). Taken together, these results suggest that treatment of patients harboring subclonal *BRAF* mutations may at best have only partial responses when treated with BRAF inhibitors.

The presence of MAP kinase pathway activation in MM has similarly increased interest in the clinical testing of MEK inhibitors in MM (Annunziata et al., 2011; Tai et al., 2007). In melanoma, the combination of MEK and BRAF inhibitors appears efficacious with a favorable toxicity profile, and the combination appears to abrogate the paradoxical activation of the MAP kinase pathway in *BRAF*-wildtype cells (Flaherty et al., 2012). In MM cell lines, we found that combination treatment resulted in increased killing of *BRAF*-mutant cells, whereas a combination benefit was not observed in *BRAF*-wildtype cell lines (Figure 4C). These results support the clinical exploration of combination BRAF/MEK inhibitors in clonal, *BRAF*-mutant MM.

## DISCUSSION

The modern oncology paradigm holds that the characterization of tumor genomes will reveal a coherent view of the pathogenesis of cancer, and this in turn will lead to the development of targeted therapies. Our characterization of 203 MM genomes represents by far the most comprehensive effort reported to date, elucidating with statistical confidence the recurrent point mutations and copy number alterations associated with the disease. In particular, the integration of copy number and mutation analysis led to the identification of genes whose recurrent mutation is also accompanied by loss of heterozygosity (LOH), a hallmark of loss-of-function of tumor suppressor genes. Based on these methods, biologically important driver genes may be prioritized, even though they occur at a low frequency. Also of interest were mutations in *EGR1* (seen in 7 of 203 patients). The 5' bias of the mutations and their occurring within a WRCY motif all suggest that they occur as a result of somatic hypermutation as a consequence of AID activity that is most commonly associated with the normal process of immunoglobulin gene rearrangement in B-cells (Lenz and Staudt, 2010). We recently reported in the B-cell malignancy DLBCL that somatic hypermutation of the *BCL2* gene occurred as a result of chromosomal translocations that bring the immunoglobulin heavy chain enhancer in proximity to the *BCL2* locus (Lohr et al., 2012). Similarly, somatic hypermutation may occur when genes are dysregulated by IGH translocations in MM. For example, we identified 39 coding and non-coding mutations in the *CCND1* locus, with some samples harboring multiple mutations. In at least 4 of these patients t(11;14) translocations were also detected, suggesting the possibility that these mutations might also result from somatic hypermutation.

Interestingly, several genes, while not reaching statistical significance on their own, were part of frequently mutated pathways or processes that have been causally implicated in MM (including the NF- $\kappa$ B pathway, chromatin-modifying enzymes, and RNA processing molecules). Mutations in the RNA-binding proteins *DIS3* and *FAM46C* were collectively observed in 21% of patients. It remains unknown why these mutations occur at such high frequency in MM, and yet are seen only rarely, if ever, in other types of cancer. *DIS3* mutations were often accompanied by LOH, and were most commonly seen in non-hyperdiploid MM.

Additionally, we observed an accumulation of mutations in components of the cell cycle machinery, as well as in members of the MAPK pathway. A key opportunity for the future will be to relate these mutations to the promising preclinical and clinical results that have recently been reported in MM using cyclin-dependent kinase inhibitors and RAF kinase inhibitors (Andrulis et al., 2013; Cirstea et al., 2013).

Perhaps the most striking finding of our study was that MM tumors are highly heterogenous. Lower resolution genetic analyses (e.g. cytogenetics, FISH and array CGH) have pointed to the existence of clonal heterogeneity in MM, and recent studies using exome sequencing and copy number analyses in a small number of samples similarly documented clonal diversity (Egan et al., 2012; Keats et al., 2012; Walker et al., 2012). The present study of 203 patients is unprecedented in its comprehensiveness, and the analytical approach allowed us to i) identify subclonal mutations, ii) estimate the cancer cell fraction in which these mutations



occur, iii) estimate the minimum number of subclones. Our method is statistically powered to detect subclones representing at least 10% of the overall tumor sample. It is therefore likely that our finding that MM tumor samples contain on average at least ~ 5 subclones underestimates the clonal diversity of the disease. It is conceivable that a much larger number of additional subclones may also exist, either below our detection sensitivity or in non-sampled MM tissues. More comprehensive characterization of MM tissue will likely be required to resolve these questions.

Interestingly, point mutations in the most significantly mutated genes were found to be clonal in some patients but subclonal in others. That is, these mutated genes appear to be able to function both as initiators of MM and also as potentiators of the disease. For example, *BRAF* mutations were often subclonal, and in some cases, co-existent with *NRAS* mutations. In our cohort these mutations did not co-occur clonally. Rather, at least one of them was always subclonal. With increasing numbers of samples and greater depth of sequencing, many more such cases, including many different genes, may be identified. Other examples of such evolution in cancer have been reported (Campbell et al., 2010; Ding et al., 2012; Gerlinger et al., 2012; Jovanovic et al., 2010; Nik-Zainal et al., 2012; Shah et al., 2012; Walter et al., 2012; Wilmott et al., 2012).

These results also have important clinical implications for MM clinical trials. For example, *BRAF* inhibitors are being explored in MM harboring *BRAF* mutation, and the first patient with *BRAF* V600E-positive MM who experienced a durable response to *BRAF* inhibition has just been reported (Andrulis et al., 2013). However, if a *BRAF* mutation is not clonal, suboptimal clinical benefit would be expected. In principle, treating patients harboring subclonal *BRAF* mutations with *BRAF* inhibitors may stimulate the growth of *BRAF*-wildtype tumor cells. Combined *BRAF* and *MEK* inhibition might mitigate this effect, but this remains to be demonstrated *in vivo*.

The clonal heterogeneity observed in this study offers a generally sobering view of prospects for predicting the effects of targeted therapy for cancer in general. Therapy targeting a mutation present in only a fraction of tumor cells would be expected to affect only that subclone, leading to limited clinical benefit. At worst, targeted therapy might have a paradoxically stimulatory effect on the subclones lacking the relevant mutation. At a minimum, we suggest that it will not be sufficient to simply document the presence or absence of mutations in the diagnostic setting. Rather, it will be important to enumerate the extent of clonal heterogeneity in patients being evaluated for targeted therapy, and to interpret the results of subsequent therapy in light of such genetic heterogeneity. Effective targeted therapy will require either drug combinations targeting distinct subclones, or more likely, deploying targeted therapies only in patients for whom the drug target is entirely clonal.

## EXPERIMENTAL PROCEDURES

### Sample selection and quality assessment of DNA

Bone marrow aspirates and peripheral blood samples were collected at Multiple Myeloma Research Consortium (MMRC) institutions from patients diagnosed with MM and then

shipped to the MMRC Tissue Bank for processing as previously described (Ahmann et al., 2008). The studies were approved by the Committee on the Use of Humans as Experimental Subjects of MIT, protocol #0803002647. All patients provided written informed consent under institutional review board approval. Sample processing was slightly modified from previous reports (Salhia et al., 2010) as described in Supplemental Experimental Procedures.

### **Whole exome, whole genome sequencing, and detection of copy number variations**

Whole-exome capture libraries were constructed from 100ng of tumor and normal DNA following shearing, end repair, phosphorylation and ligation to barcoded sequencing adapters (Fisher et al., 2011; Gnirke et al., 2009). Ligated DNA was size-selected for lengths between 200–350bp and subjected to exonic hybrid capture using SureSelect v2 Exome bait (Agilent). Samples were multiplexed and sequenced on multiple Illumina HiSeq flowcells (paired end 76bp reads) to average depth of coverage of 89x and 88x for tumor and normals, respectively. For whole-genome sequencing library construction was done with 1–3 micrograms of native DNA from primary tumor and germline samples for each patient. The DNA was sheared to a range of 101–700 bp using the Covaris E210 Instrument, and then phosphorylated and adenylated according to the Illumina protocol. Adapter ligated purification was done by preparatory gel electrophoresis, and size was selected by excision of two bands (500–520 bp and 520–540 bp respectively) yielding two libraries per sample with average of 380 bp and 400 bp respectively. The libraries were then sequenced with the Illumina GA-II or Illumina HiSeq sequencer with 76 or 101 bp reads, achieving an average of ~30X coverage depth. The resulting data was analyzed with the current Illumina pipeline, which generates data files (BAM files), which contain the reads and quality parameters. Copy number variations (CNV) of 153 patients of the sequencing cohort were determined by Affymetrix SNP 6.0 array. Sequencing data are available in the dbGaP database ([www.ncbi.nlm.nih.gov/gap](http://www.ncbi.nlm.nih.gov/gap)) under accession number phs000348.

### **Analysis of whole genome and whole exome sequencing data**

Described in detail in Supplemental Experimental Procedures.

### **Myeloma cell lines, MM cell proliferation and BRAF inhibition**

A human derived *BRAF*-mutant cell line (U266) and three *BRAF*-wildtype cell lines (OPM2, MM1S, and SKMM1) were plated at  $1.0 \times 10^6$  cells/mL (total  $2.0 \times 10^6$  in 2 mL) in 6-well plates. For western blot, cells were then treated with concentrations of 0  $\mu$ M, 2.5  $\mu$ M, or 10  $\mu$ M of the BRAF-inhibitor, PLX4720 for one hour or 24 hours. Following treatment, cells were harvested and lysed on ice for 5 minutes with 300  $\mu$ L of a modified NP40 lysis buffer (1% NP-40, 50 mM Tris-ph-7.5, 150 mM NaCl, 2mM EDTA-ph-8, 1 mg/mL NaF and deuterium depleted water) for Western blot analysis. To determine the proliferation of MM cell lines in the presence of the BRAF inhibitor dabrafenib, and the MEK-inhibitor trametinib, 3000 cells per well were plated in quadruplicate in a 384 well plate, in the presence of the indicated drug concentrations.

## Western blot analysis of MAPK pathway following PLX4720 treatment

Lysate protein concentrations were obtained using the BIO-RAD DC Protein Assay kit, and concentrations were subsequently adjusted to 1 ug/uL final concentrations. Twelve micrograms of protein from each cell lysate was run per well on NuPAGE 4–12% Bis-Tris Midi Gels (Life Technologies WG1403BX10). The gel was blotted onto nitrocellulose membrane paper (Invitrogen LC2001), using the iBlot gel transfer device (Life Technologies IB1001). The membrane was subsequently blocked (LiCor Blocking Buffer 927–40000) for one hour, and stained as described in Supplemental Experimental Procedures.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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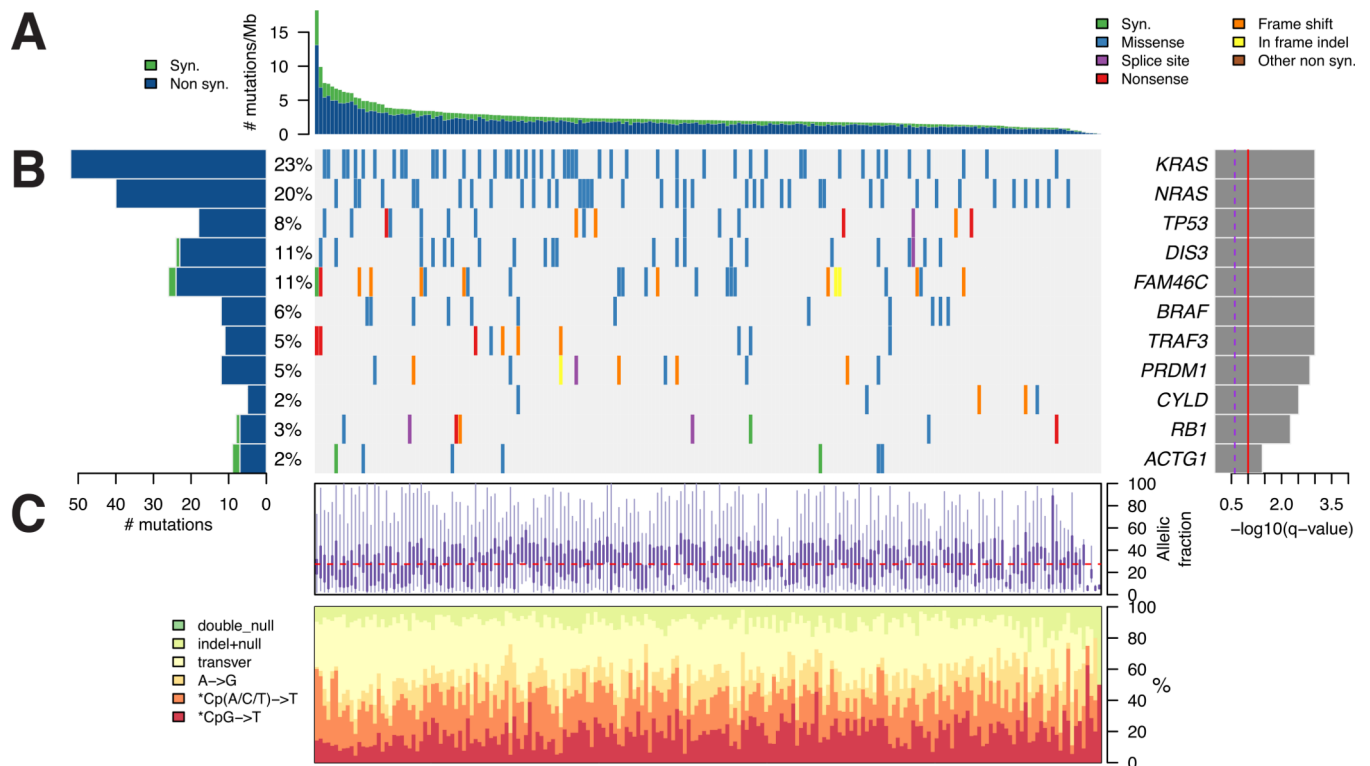


### Highlights

- Deep sequencing reveals significant genetic events in multiple myeloma
- Intra-tumor genetic heterogeneity is common in multiple myeloma
- Recurrent mutations can occur either early or late in the evolution of a tumor
- Genetic heterogeneity in cancer may limit effectiveness of targeted therapy

### SIGNIFICANCE

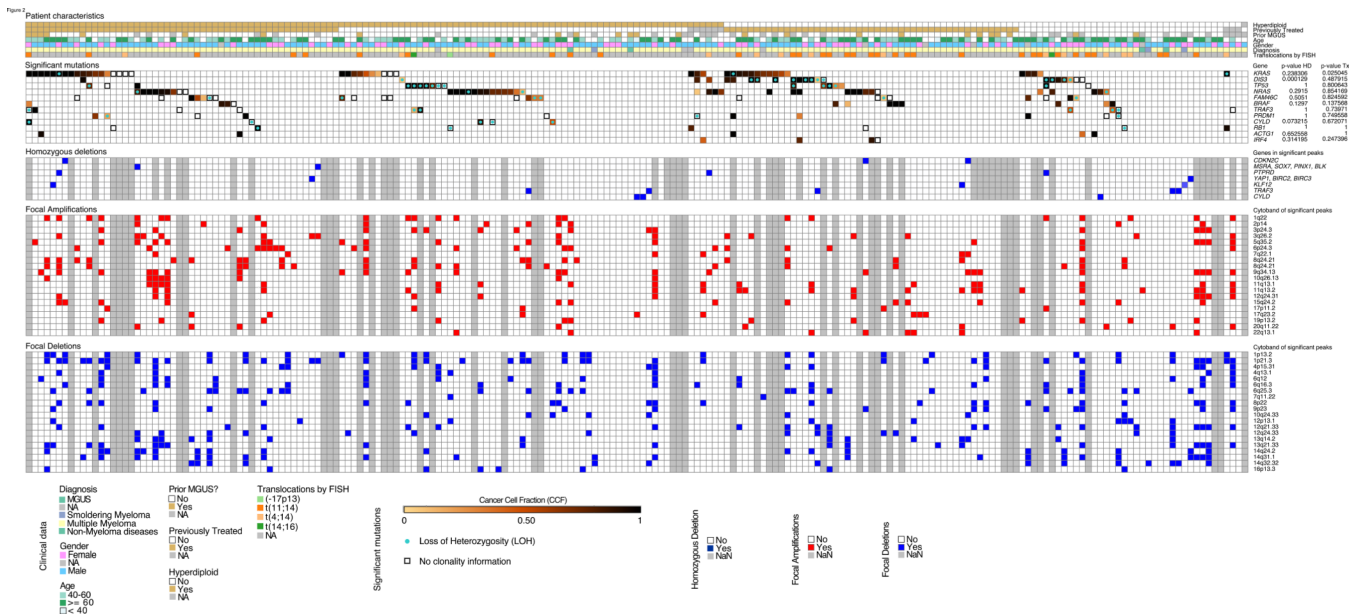
A vision for precision cancer medicine calls for the deployment of molecularly-targeted therapeutics in genetically-defined patient populations. A first step in that process involves a description of the genetic landscape of cancer. We describe here a more comprehensive characterization of the MM genome, identifying recurrently mutated genes, copy number alterations and signaling pathways. We find evidence for extensive clonal heterogeneity in the disease, a finding that may complicate the interpretation of genome-inspired clinical trials for MM. More generally, our findings indicate a need for the delineation of clonal heterogeneity in genome-based diagnostic approaches to cancer.



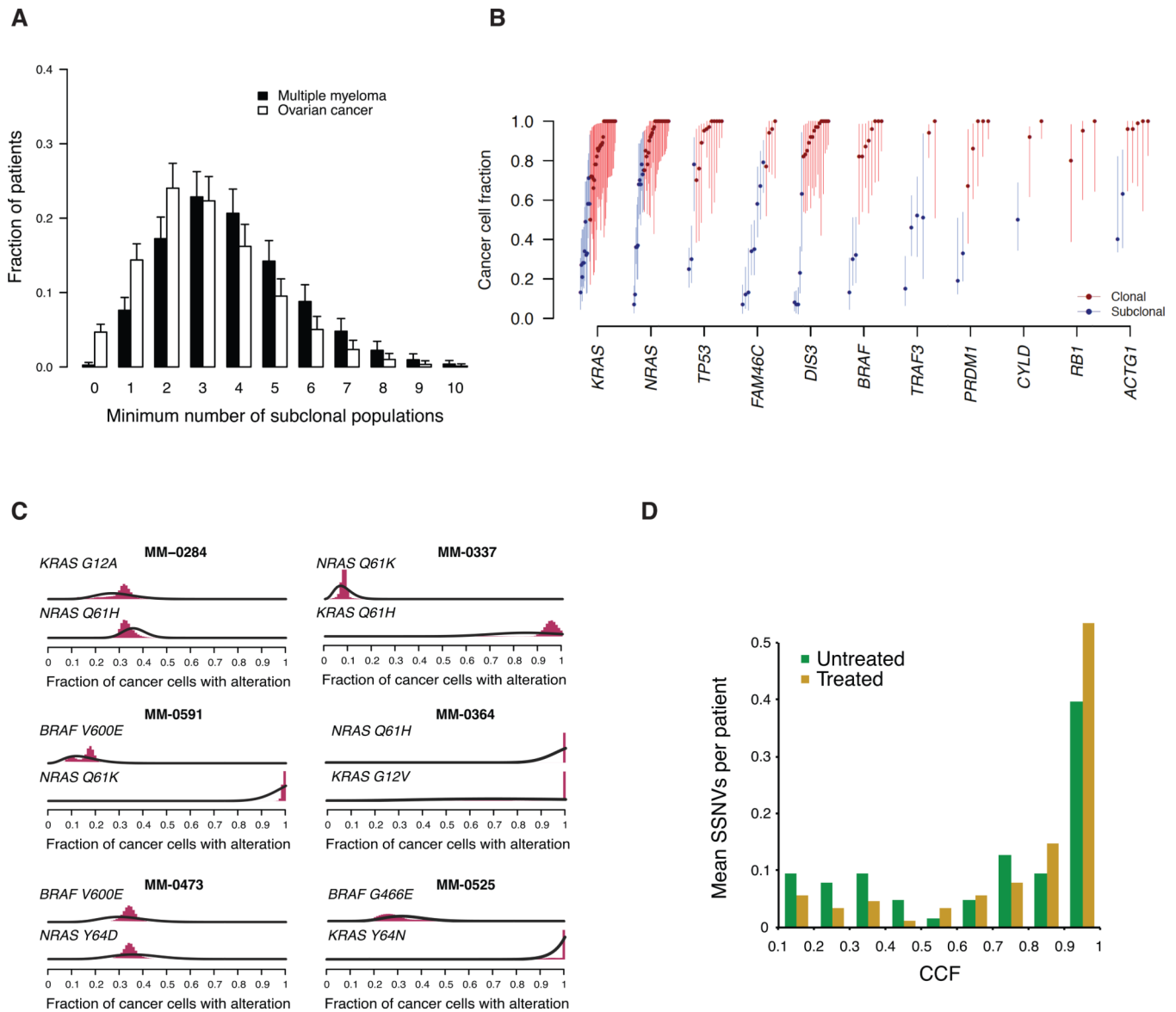
**Figure 1. Determining significantly mutated genes in 203 patients with MM**

(A) The rate of synonymous and nonsynonymous mutations is displayed as mutations per megabase (of exome), with individual MM samples ranked by total number of mutations.

(B) The heat map represents individual mutations in 203 patient samples, color-coded by type of mutation. Only one mutation per gene is shown if multiple mutations were found in a sample. Left: Histogram shows the number of mutations in each gene. Percentages represent the fraction of tumors with at least one mutation in the specified gene. Right: The 11 genes with the lowest q value (q-combined in Table S1), ranked by level of significance. (C) Base substitution and allelic fraction distribution of individual samples, ranked in the same order as in A. See also Figure S1 and Tables S1–S3.



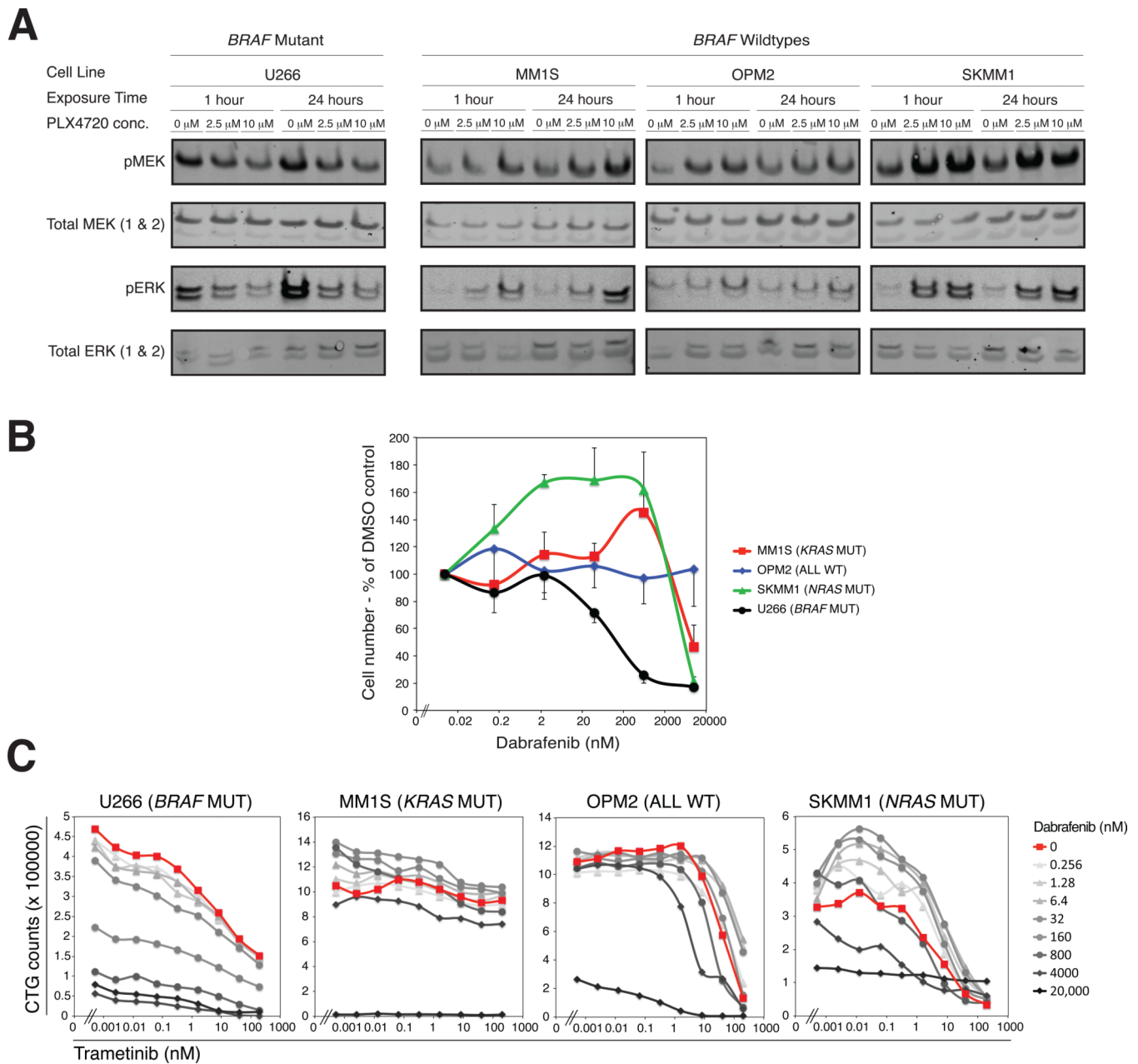
**Figure 2. Mutational profile, LOH, and copy number profile in subtypes of MM**  
 Data for all 203 patient samples for which whole genome or whole exome sequencing was performed are displayed in columns. The first panel from the top displays patient characteristics (“NA”, if information on a characteristic was unavailable). Classification into hyperdiploid versus non-hyperdiploid samples was performed as described in Experimental Procedures. The second panel displays the 11 significantly mutated genes and *IRF4* (which harbors K123R mutations in 3 patients), color-coded by the cancer cell fraction in which these mutations occur, and circles within symbols representing LOH. p value HD and p value Tx represent differences in the prevalence of mutations in the indicated gene between hyperdiploid and non-hyperdiploid, or between previously treated and untreated samples, respectively. The third panel highlights samples harboring homozygous deletions at the most significant loci. Only selected genes within those loci are displayed. Grey symbols denote samples with unavailable high density copy number array or ABSOLUTE data. The lower two panels display focal deletions and amplifications across 153 patients with high density copy number arrays, as determined by GISTIC analysis. Grey symbols denote samples without high density copy number array. See also Figure S2 and Tables S4–S9.



**Figure 3. Clonal heterogeneity of significantly mutated genes in MM**  
**(A)** The numbers of predicted subclones by clustering of cancer cell fractions are shown, as described in Supplemental Experimental Procedures. As a comparison the predicted distribution of the number of subclones is also shown for a cohort of patients with ovarian cancer. Error bars represent standard deviation. **(B)** The CCF, i.e. the expected fraction of MM cells that harbor a coding mutation in the indicated gene, is shown. Each symbol represents a somatic mutation in an individual patient. The most significantly mutated genes are shown. Based on the probability distribution, mutations were determined to be either clonal (red circles, upper bound of CCF confidence interval  $\geq 0.95$ ) or subclonal (blue circles, upper bound of CCF confidence interval  $< 0.95$ ). Error bars represent the 95% confidence interval. **(C)** Co-occurrence of significant mutations in the same patient is depicted. Results of the Bayesian clustering procedure applied to SSNV CCF distributions for *KRAS*, *NRAS*, and *BRAF* in samples which harbor mutations in at least two of these 3

oncogenes. Probability distributions over CCF for the co-occurring SSNV in the indicated oncogenes before clustering (black curves), and after clustering (filled red bars). **(D)** The fraction of somatic mutations that are present at the indicated CCF are shown for the 11 most significantly mutated genes. Mutations in significantly mutated genes occur at significantly higher CCFs in previously treated patients, compared to untreated patients ( $p = 0.007$ , Wilcoxon rank sum test). See also Figure S3.





**Figure 4. Heterogeneity composition determines the response to targeted therapy**  
**(A)** The *BRAF* WT MM cell lines OPM2 (*NRAS* and *KRAS* WT, *FGFR3* K650E), MM1S (*KRAS* G12A), SKMM1 (*NRAS* G12D) and the *BRAF*-mutant MM cell line U266 (*BRAF* K601N) were treated with the *BRAF*-inhibitor PLX4720 at the indicated concentrations. Phosphorylated and total MEK and ERK were detected by western blot at the indicated timepoints. **(B)** The indicated cell lines were cultured for 5 days in the absence or presence of increasing concentrations of the *BRAF*-inhibitor dabrafenib. Cell numbers were determined by flow cytometry on day 5 of culture and normalized to the cell number at a dabrafenib concentration of 0  $\mu$ M (=100%). Error bars represent standard deviation. **(C)** The indicated MM cell lines were cultured in the presence of the MEK-inhibitor trametinib with

or without dabrafenib at varying doses. The cell number on day 5 of culture was determined by cell titer glo. Curves with darker shades of grey represent higher concentrations of dabrafenib.