

This is the print version of the Skeptical Science article '2nd law of thermodynamics contradicts greenhouse theory', which can be found at http://sks.to/thermo.

# The greenhouse effect and the 2nd law of thermodynamics

#### What The Science Says:

The 2nd law of thermodynamics is consistent with the greenhouse effect which is directly observed.

#### Climate Myth: 2nd law of thermodynamics contradicts greenhouse theory

"The atmospheric greenhouse effect, an idea that many authors trace back to the traditional works of Fourier 1824, Tyndall 1861, and Arrhenius 1896, and which is still supported in global climatology, essentially describes a fictitious mechanism, in which a planetary atmosphere acts as a heat pump driven by an environment that is radiatively interacting with but radiatively equilibrated to the atmospheric system. According to the second law of thermodynamics such a planetary machine can never exist." (<u>Gerhard Gerlich</u>)

# At a glance

Although this topic may have a highly technical feel to it, thermodynamics is a big part of all our everyday lives. So while you are reading, do remember that there are glossary entries available for all thinly underlined terms - just hover your mouse cursor over them for the entry to appear.

Thermodynamics is the branch of physics that describes how energy interacts within systems. That interaction determines, for example, how we stay cosy or freeze to death. You wear less clothing in very hot weather and layer-up or add extra blankets to your bed when it's cold because such things control how energy interacts with your own body and therefore your degree of comfort and, in extreme cases, safety.

The human body and its surroundings and energy transfer between them make up one such system with which we are all familiar. But let's go a lot bigger here and think about heat energy and its transfer between the Sun, Earth's land/ocean surfaces, the atmosphere and the cosmos.

Sunshine hits the top of our atmosphere and some of it makes it down to the surface, where it heats up the ground and the oceans alike. These in turn give off heat in the form of invisible but warming infra-red radiation. But you can see the effects of that radiation - think of the heat-shimmer you see over a tarmac road-surface on a hot sunny day.

A proportion of that radiation goes back up through the atmosphere and escapes to space. But another proportion of it is absorbed by greenhouse gas molecules, such as water vapour, carbon dioxide and methane. Heating up themselves, those molecules then re-emit that heat energy in all directions including downwards. Due to the greenhouse effect, the total loss of that outgoing radiation is avoided and the cooling of Earth's surface is thereby inhibited. Without that extra blanket, Earth's average temperature would be more than thirty degrees Celsius cooler than is currently the case.

That's all in accordance with the laws of Thermodynamics. The First Law of Thermodynamics states that the total energy of an isolated system is constant - while energy can be transformed from one form to another it can be neither created nor destroyed. The Second Law does not state that the only flow of energy is from hot to cold - but instead that the net sum of the energy flows will be from hot to cold. That qualifier term, 'net', is the important one here. The Earth alone is not a "closed system", but is part of a constant, net energy flow

from the Sun, to Earth and back out to space. Greenhouse gases simply inhibit part of that net flow, by returning some of the outgoing energy back towards Earth's surface.

The myth that the greenhouse effect is contrary to the second law of thermodynamics is mostly based on a very long 2009 paper by two German scientists (not climate scientists), Gerlich and Tscheuschner (G&T). In its title, the paper claimed to take down the theory that heat being trapped by our atmosphere keeps us warm. That's a huge claim to make – akin to stating there is no gravity.

The G&T paper has been the subject of many detailed rebuttals over the years since its publication. That's because one thing that makes the scientific community sit up and take notice is when something making big claims is published but which is so blatantly incorrect. To fully deal with every mistake contained in the paper, this rebuttal would have to be thousands of words long. A shorter riposte, posted in a discussion on the topic at the Quora website, was as follows: "...I might add that if G&T were correct they used dozens of rambling pages to prove that blankets can't keep you warm at night."

If the Second Law of Thermodynamics is true - something we can safely assume – then, "blankets can't keep you warm at night", must be false. And - as you'll know from your own experiences - that is of course the case!

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# **Further details**

Among the junk-science themes promoted by climate science deniers is the claim that the explanation for global warming contradicts the second law of thermodynamics. Does it? Of course not (Halpern et al. 2010), but let's explore. Firstly, we need to know how thermal energy transfer works with particular regard to Earth's atmosphere. Then, we need to know what the second law of thermodynamics is, and how it applies to global warming.

Thermal energy is transferred through systems in five main ways: conduction, convection, advection, latent heat and, last but not least, radiation. We'll take them one by one.

Conduction is important in some solids – think of how a cold metal spoon placed in a pot of boiling water can become too hot to touch. In many fluids and gases, conduction is much less important. There are a few exceptions, such as mercury, a metal whose melting point is so low it exists as a liquid above -38 degrees Celsius, making it a handy temperature-marker in thermometers. But air's thermal conductivity is so low we can more or less count it out from this discussion.

# Convection



Figure 1: Severe thunderstorm developing over the Welsh countryside one evening in August 2020. This excellent example of convection had strong enough updraughts to produce hail up to 2.5 cm in diameter. (Source: John Mason)

Hot air rises – that's why hot air balloons work, because warm air is less dense than its colder surroundings, making the artificially heated air in the balloon more buoyant and thereby creating a convective current. The same principle applies in nature: convection is the upward transfer of heat in a fluid or a gas.

Convection is highly important in Earth's atmosphere and especially in its lower part, where most of our weather goes on. On a nice day, convection may be noticed as birds soar and spiral upwards on thermals, gaining height with the help of that rising warm air-current. On other days, mass-ascent of warm, moist air can result in any type of convective weather from showers to severe thunderstorms with their attendant hazards. In the most extreme examples like supercells, that convective ascent or updraught can reach speeds getting on for a hundred miles per hour. Such powerful convective currents can keep hailstones held high in the storm-cloud for long enough to grow to golfball size or larger.

#### Advection

Advection is the quasi-horizontal transport of a fluid or gas with its attendant properties. Here are a couple of examples. In the Northern Hemisphere, southerly winds bring mild to warm air from the tropics northwards. During the rapid transition from a cold spell to a warm southerly over Europe in early December 2022, the temperatures over parts of the UK leapt from around -10C to +14C in one weekend, due to warm air advection. Advection can also lead to certain specific phenomena such as sea-fogs – when warm air inland is transported over the surrounding cold seas, causing rapid condensation of water vapour near the air-sea interface.



Figure 2: Advection fog completely obscures Cardigan Bay, off the west coast of Wales, on an April afternoon in 2015, Air warmed over the land was advected seawards, where its moisture promptly condensed over the much colder sea surface.

### Latent heat

Latent heat is the thermal energy released or absorbed during a substance's transition from solid to liquid, liquid to vapour or vice-versa. To fuse, or melt, a solid or to boil a liquid, it is necessary to add thermal energy to a system, whereas when a vapour condenses or a liquid freezes, energy is released. The amount of energy involved varies from one substance to another: to melt iron you need a furnace but with an ice cube you only need to leave it at room-temperature for a while. Such variations from one substance to another are expressed as specific latent heats of fusion or vapourisation, measured in amount of energy (KiloJoules) per kilogram. In the case of Earth's atmosphere, the only substance of major importance with regard to latent heat is water, because at the range of temperatures present, it's the only component that is both abundant and constantly transitioning between solid, liquid and vapour phases.

# Radiation

Radiation is the transfer of energy as electromagnetic rays, emitted by any heated surface. Electromagnetic radiation runs from long-wave - radio waves, microwaves, infra-red (IR), through the visible-light spectrum, down to short-wave – ultra-violet (UV), x-rays and gamma-rays. Although you cannot see IR radiation, you can feel it warming you when you sit by a fire. Indeed, the visible part of the spectrum used to be called "luminous heat" and the invisible IR radiation "non-luminous heat", back in the 1800s when such things were slowly being figured-out.

Sunshine is an example of radiation. Unlike conduction and convection, radiation has the distinction of being able to travel from its source straight through the vacuum of space. Thus, Solar radiation travels through that vacuum for some 150 million kilometres, to reach our planet at a near-constant rate. Some Solar radiation, especially short-wave UV light, is absorbed by our atmosphere. Some is reflected straight back to space by cloud-tops. The rest makes it all the way down to the ground, where it is reflected from lighter surfaces or absorbed by darker ones. That's why black tarmac road surfaces can heat up until they melt on a bright summer's day.



Figure 3: Heat haze above a warmed road-surface, Lincoln Way in San Francisco, California. May 2007. Image: Wikimedia Commons.

# **Energy balance**

What has all of the above got to do with global warming? Well, through its radiation-flux, the Sun heats the atmosphere, the surfaces of land and oceans. The surfaces heated by solar radiation in turn emit infrared radiation, some of which can escape directly into space, but some of which is absorbed by the greenhouse gases in the atmosphere, mostly carbon dioxide, water vapour, and methane. Greenhouse gases not only slow down the loss of energy from the surface, but also re-radiate that energy, some of which is directed back down towards the surface, increasing the surface temperature and increasing how much energy is radiated from the surface. Overall, this process leads to a state where the surface is warmer than it would be in the absence of an atmosphere with greenhouse gases. On average, the amount of energy radiated back into space matches the amount of energy being received from the Sun, but there's a slight imbalance that we'll come to.

If this system was severely out of balance either way, the planet would have either frozen or overheated millions of years ago. Instead the planet's climate is (or at least was) stable, broadly speaking. Its temperatures generally stay within bounds that allow life to thrive. It's all about energy balance. Figure 4 shows the numbers.



Figure 4: Schematic representation of the global mean energy budget of the Earth (upper panel), and its equivalent without considerations of cloud effects (lower panel). Numbers indicate best estimates for the magnitudes of the globally averaged energy balance components in W m–2 together with their uncertainty ranges in parentheses (5–95% confidence range), representing climate conditions at the beginning of the 21st century. Figure adapted for <u>IPCC AR6 WG1 Chapter 7</u>, from Wild et al. (2015).

While the flow in and out of our atmosphere from or to space is essentially the same, the atmosphere is inhibiting the cooling of the Earth, storing that energy mostly near its surface. If it were simply a case of sunshine straight in, infra-red straight back out, which would occur if the atmosphere was transparent to infra-red (it isn't) – or indeed if there was no atmosphere, Earth would have a similar temperature-range to the essentially airless Moon. On the Lunar equator, daytime heating can raise the temperature to a searing 1200C, but unimpeded radiative cooling means that at night, it gets down to around -1300C. No atmosphere as such, no greenhouse effect.

Clearly, the concentrations of greenhouse gases determine their energy storage capacity and therefore the greenhouse effect's strength. This is particularly the case for those gases that are non-condensing at atmospheric temperatures. Of those non-condensing gases, carbon dioxide is the most important. Because it only exists as vapour, the main way it is removed is as a weak solution of carbonic acid in rainwater – indeed the old name for carbon dioxide was 'carbonic acid gas'. That means once it's up there, it has a long 'atmospheric residency', meaning it takes a long time to be removed.

Earth's temperature can be stable over long periods of time, but to make that possible, incoming energy and outgoing energy have to be exactly the same, in a state of balance known as 'radiative equilibrium'. That equilibrium can be disturbed by changing the forcing caused by any components of the system. Thus, for

example, as the concentration of carbon dioxide has fluctuated over geological time, mostly on gradual timescales but in some cases abruptly, so has the planet's energy storage capacity. Such fluctuations have in turn determined Earth's climate state, Hothouse or Icehouse – the latter defined as having Polar ice-caps present, of whatever size. Currently, Earth's energy budget imbalance averages out at just under +1 watt per square metre - that's global warming.

That's all in accordance with the laws of Thermodynamics. The First Law of Thermodynamics states that the total energy of an isolated system is constant - while energy can be transformed from one component to another it can be neither created nor destroyed. Self-evidently, the "isolated" part of the law must require that the sun and the cosmos be included. They are both components of the system: without the Sun as the prime energy generator, Earth would be frozen and lifeless; with the Sun but without Earth's emitted energy dispersing out into space, the planet would cook, Just thinking about Earth's surface and atmosphere in isolation is to ignore two of this system's most important components.

The Second Law of Thermodynamics does not state that the *only* flow of energy is from hot to cold - but instead that the *net* sum of the energy flows will be from hot to cold. To reiterate, the qualifier term, 'net', is the important one here. In the case of the Earth-Sun system, it is again necessary to consider all of the components and their interactions: the sunshine, the warmed surface giving off IR radiation into the cooler atmosphere, the greenhouse gases re-emitting that radiation in all directions and finally the radiation emitted from the top of our atmosphere, to disperse out into the cold depths of space. That energy is not destroyed – it just disperses in all directions into the cold vastness out there. Some of it even heads towards the Sun too - since infra-red radiation has no way of determining that it is heading towards a much hotter body than the Earth,

Earth's energy budget makes sure that all portions of the system are accounted for and this is routinely done in climate models. No violations exist. Greenhouse gases return some of the energy back towards Earth's surface but the *net* flow is still out into space. John Tyndall, in a lecture to the Royal Institution in 1859, recognised this. He said:

#### ON THE TRANSMISSION OF HEAT OF DIFFERENT QUALITIES THROUGH GASES OF DIFFERENT KINDS. (Substance of a Lecture delivered at the Royal Institution.) BY JOHN TYNDALL, ESQ., F.R.S., Professor of Natural Philosophy, Royal Institution.

The bearing of this experiment upon the action of planetary atmospheres is obvious. The solar heat possesses, in a far higher degree than that of the lime light, the power of crossing an atmosphere; but, and when the heat is absorbed by the planet, it is so changed in quality that the rays emanating from the planet cannot get with the same freedom back into space. Thus the atmosphere admits of the entrance of the solar heat, but checks its exit; and the result is a tendency to accumulate heat at the surface of the planet.

As long as carbon emissions continue to rise, so will that planetary energy imbalance. Therefore, the only way to take the situation back towards stability is to reduce those emissions.

#### Update June 2023:

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