

ALFRED P. SLOAN FOUNDATION INSTRUCTIONS FOR FILING FORM 8879-EO

IRS E-FILE SIGNATURE AUTHORIZATION FOR FORM 990-PF FOR THE YEAR ENDED DECEMBER 31, 2018

THE ORIGINAL IRS E-FILE SIGNATURE AUTHORIZATION FORM SHOULD BE SIGNED (USE FULL NAME) AND DATED BY AN AUTHORIZED OFFICER OF THE ORGANIZATION.

RETURN YOUR SIGNED IRS E-FILE SIGNATURE AUTHORIZATION FORM 8879-EO TO:

GRANT THORNTON LLP
757 THIRD AVENUE, 3RD FLOOR
NEW YORK NY 10017-2013

THERE IS NO TAX DUE WITH THE FILING OF THIS RETURN.

THE RETURN SHOWS A \$1,332,997 OVERPAYMENT. OF THIS AMOUNT,\$0 WILL BE REFUNDED TO YOU. ALSO, \$1,332,997 HAS BEEN APPLIED TO YOUR 2019 ESTIMATED TAX.

DO NOT SEPARATELY FILE FORM 990-PF WITH THE INTERNAL REVENUE SERVICE. DOING SO WILL DELAY THE PROCESSING OF YOUR RETURN. WE MUST RECEIVE YOUR SIGNED FORM BEFORE WE CAN ELECTRONICALLY TRANSMIT YOUR RETURN, WHICH IS DUE ON OR BEFORE NOVEMBER 15, 2019. WE WOULD APPRECIATE YOU RETURNING THIS FORM AS SOON AS POSSIBLE AS THIS WILL EXPEDITE THE PROCESSING OF YOUR RETURN. THE INTERNAL REVENUE SERVICE WILL NOTIFY US WHEN YOUR RETURN IS ACCEPTED. YOUR RETURN IS NOT CONSIDERED FILED UNTIL THE INTERNAL REVENUE SERVICE CONFIRMS THEIR ACCEPTANCE, WHICH MAY OCCUR AFTER THE DUE DATE OF YOUR RETURN.

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Form 8879-EO

IRS e-file Signature Authorization for an Exempt Organization

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	2018, and ending	, 20

For calendar year 2018, or fiscal year beginning ▶ Do not send to the IRS. Keep for your records. Department of the Treasury ▶ Go to www.irs.gov/Form8879EO for the latest information. Internal Revenue Service Name of exempt organization Employer identification number ALFRED P. SLOAN FOUNDATION 13-1623877 Name and title of officer LEISLE LIN, SVP, FINANCE & OPS Type of Return and Return Information (Whole Dollars Only) Part I Check the box for the return for which you are using this Form 8879-EO and enter the applicable amount, if any, from the return. If you check the box on line 1a, 2a, 3a, 4a, or 5a, below, and the amount on that line for the return being filed with this form was blank, then leave line 1b, 2b, 3b, 4b, or 5b, whichever is applicable, blank (do not enter -0-). But, if you entered -0- on the return, then enter -0- on the applicable line below. Do not complete more than one line in Part I. 1a Form 990 check here ▶ b Total revenue, if any (Form 990, Part VIII, column (A), line 12) . . . 1b b Total revenue, if any (Form 990-EZ, line 9) 2b 2a Form 990-EZ check here ▶ **b** Total tax (Form 1120-POL, line 22) 3b Form 1120-POL check here X b Tax based on investment income (Form 990-PF, Part VI, line 5). 4b Form 990-PF check here ▶ Form 8868 check here ▶ Part II **Declaration and Signature Authorization of Officer** Under penalties of perjury, I declare that I am an officer of the above organization and that I have examined a copy of the organization's 2018 electronic return and accompanying schedules and statements and to the best of my knowledge and belief, they are true, correct, and complete. I further declare that the amount in Part I above is the amount shown on the copy of the organization's electronic return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send the organization's return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) the reason for any delay in processing the return or refund, and (c) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of the organization's federal taxes owed on this return, and the financial institution to debit the entry to this account. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I have selected a personal identification number (PIN) as my signature for the organization's electronic return and, if applicable, the organization's consent to electronic funds withdrawal. Officer's PIN: check one box only lauthorize GRANT THORNTON LLP to enter my PIN as my signature ERO firm name Enter five numbers, but do not enter all zeros on the organization's tax year 2018 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I also authorize the aforementioned ERO to enter my PIN on the return's disclosure consent screen. As an officer of the organization, I will enter my PIN as my signature on the organization's tax year 2018 electronically filed return. If I have indicated within this return that a copy of the return is being filed with a state agency(ies) regulating charities as part of the IRS Fed/State program, I will enter my PIN on the return's disclosure consent screen. Officer's signature Part III Certification and Authentication ERO's EFIN/PIN. Enter your six-digit electronic filing identification number (EFIN) followed by your five-digit self-selected PIN. 6 3 7 7 5 3 6 6 0 Do not enter all zeros I certify that the above numeric entry is my PIN, which is my signature on the 2018 electronically filed return for the organization indicated above. I confirm that I am submitting this return in accordance with the requirements of Pub. 4163, Modernized e-File (MeF) Information for Authorized IRS e-file Providers for Business Returns. ERO's signature ▶ **ERO Must Retain This Form - See Instructions**

Do Not Submit This Form To the IRS Unless Requested To Do So

For Paperwork Reduction Act Notice, see back of form.

Form **8879-EO** (2018)

OMB No. 1545-1878

990-PF

Department of the Treasury

Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052 Open to Public Inspection

For calendar year 2018 or tax year beginning 2018, and ending A Employer identification number Name of foundation ALFRED P. SLOAN FOUNDATION 13-1623877 Number and street (or P.O. box number if mail is not delivered to street address) Room/suite B Telephone number (see instructions) (212) 649-1649630 FIFTH AVENUE, SUITE 2200 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here NEW YORK, NY 10111 G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return 2. Foreign organizations meeting the 85% test, check here and attach Address change Name change computation **H** Check type of organization: X | Section 501(c)(3) exempt private foundation E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here . X Accrual I Fair market value of all assets at J Accounting method: Cash If the foundation is in a 60-month termination end of year (from Part II, col. (c), line Other (specify) under section 507(b)(1)(B), check here 1,754,284,643. (Part I, column (d) must be on cash basis.) **16)** ▶ \$ (d) Disbursements Part I Analysis of Revenue and Expenses (The (a) Revenue and for charitable total of amounts in columns (b), (c), and (d) (b) Net investment (c) Adjusted net expenses per purposes may not necessarily equal the amounts in income books (cash basis only) column (a) (see instructions).) Contributions, gifts, grants, etc., received (attach schedule) Check X if the foundation is not required to 2 attach Sch. B 4,778,822 3 Interest on savings and temporary cash investments. 23,199,701. 8,704,661 Dividends and interest from securities . . . **b** Net rental income or (loss) 125,514,983 Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a 78,912,607. 96,682,637. Capital gain net income (from Part IV, line 2) Net short-term capital gain Income modifications 10 a Gross sales less returns and allowances b Less: Cost of goods sold ... Gross profit or (loss) (attach schedule) 1,347,958. 13,531,495. Other income (attach schedule) ATCH 1 11 135,567,602. 138,192,655. 12 Total. Add lines 1 through 11 4,732,050. 1,775,716. 2,665,897. 13 Compensation of officers, directors, trustees, etc. Expenses 4,407,232. 1,126,204. 3,269,652. 14 Other employee salaries and wages 3,310,245. 417,013. 2,090,469. 15 Pension plans, employee benefits 301,630. 388,270. 86,640. 16a Legal fees (attach schedule) ATCH 2 146,011. 23,831. 70,380. Accounting fees (attach schedule)ATCH 3 Administrative 5,241,187. 4,465,850. 730,227. c Other professional fees (attach schedule) [4] 17 Interest 988,925. 18 Taxes (attach schedule) (see instructions)[5]. 19 Depreciation (attach schedule) and depletion. 1,897,188. 302,938. 1,591,190. 20 102,588. 499,334. 601,922. 21 and Travel, conferences, and meetings 59,355. 3,389. 55,966. 22 Printing and publications 1,605,932. 12,705,696. 1,179,302. Operating 23 Other expenses (attach schedule) ATCH 6 24 Total operating and administrative expenses. 22,389,392 22,213,780. 12,239,057. Add lines 13 through 23 84,041,417. 80,357,657. 25 Contributions, gifts, grants paid 106,430,809. 22,213,780. 0. 92,596,714. 26 Total expenses and disbursements. Add lines 24 and 25 Subtract line 26 from line 12: 29,136,793. a Excess of revenue over expenses and disbursements 115,978,875. b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-). .

Page 2

P	art II	Ralance Sheets	Attached schedules and amounts in the description column should be for end-of-year	Beginning of year		d of year
_	artii	Dalance Officets	amounts only. (See instructions.)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-beari	ing	1,563,174.	1,554,235	. 1,554,235.
	2	Savings and temporary	cash investments			
	3	Accounts receivable 🕨	24,232,502.			
		Less: allowance for dou	btful accounts ►	46,433,963.	24,232,502	. 24,232,502.
	4	Pledges receivable ▶				
		Less: allowance for dou	btful accounts ►			
	5	Grants receivable				
	6	Receivables due from	officers, directors, trustees, and other			
		disqualified persons (at	tach schedule) (see instructions)			
	7	Other notes and loans r	receivable (attach schedule)			
		Less: allowance for dou	btful accounts ▶			
Assets	8	Inventories for sale or us	e			
SS(9	Prepaid expenses and d	eferred charges			
A			e government obligations (attach schedule)[7]	24,080,791.	19,706,744	
			stock (attach schedule) ATCH 8	95,433,925.	46,427,936	
			bonds (attach schedule) ATCH 9	172,086,786.	176,840,197	. 176,840,197.
		Investments - land, buildings and equipment: basis				
		Less: accumulated deprecia (attach schedule)	ation >			
	12	Investments - mortgage	loans			
	13	Investments - other (atta	ach schedule) ATCH 10	1,569,617,263.	1,485,523,029	. 1,485,523,029.
		Land, buildings, and equipment: basis	>			
		Less: accumulated deprecia (attach schedule)	ation >			
		Other assets (describe				
			completed by all filers - see the	1 000 015 000	1 754 004 640	1 554 004 640
_		instructions. Also, see p	age 1, item I)			. 1,754,284,643.
	17	Accounts payable and a	accrued expenses	20,174,062.	18,247,481	
	18	Grants payable		68,385,938.	71,847,209	•
Liabilities	19	Deferred revenue				
ijţ			ors, trustees, and other disqualified persons			
iab			otes payable (attach schedule)	1 552 500	1 201 106	
_	22	Other liabilities (describe	e►ATCH 11)	1,573,500.	1,391,126	•
		-	47.11	90,133,500.	01 405 016	
_			es 17 through 22)	90,133,500.	91,485,816	•
S			w SFAS 117, check here			
ce		•	through 26, and lines 30 and 31.	1,819,082,402.	1,662,798,827	
lan	24			1,019,002,402.	1,002,790,027	•
Ва	25	. ,				
þι		Permanently restricted .				
Ful			ot follow SFAS 117, check here			
٥r	'	and complete lines 27				
ts (27		cipal, or current funds			
se	28	· · ·	r land, bldg., and equipment fund			
As	29	•	ulated income, endowment, or other funds	1,819,082,402.	1,662,798,827	
let	30		I balances (see instructions) net assets/fund balances (see	1,010,002,402.	1,002,750,027	•
Z			`	1,909,215,902.	1,754,284,643	
Ð			nges in Net Assets or Fund Bala		1,751,201,013	•
			palances at beginning of year - Part		must agree with	
•			ed on prior year's return)			1,819,082,402.
2			line 27a			29,136,793.
			ded in line 2 (itemize) ► ATCH 12		3	4,239,369.
						1,852,458,564.
			n line 2 (itemize) ► ATCH 13		5	189,659,737.
			valances at end of year (line 4 minus	line 5) - Part II column (
	i Ota	i net assets of fullu b	raianoss at enu or year (iine 4 minus	inic oj - i art ii, coluititi (i	<i>)</i> ,	

Form 990-PF (2018) Page 3

2 - Satisfy brids: warefricosis; or common stock, 200 shs. MLC Co.) 1 a SEE PART TV SCHEDULE b c d d e (e) Gross sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale (h) Gain or (loss) c Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (g) FMV as of 12/31/69 (g) FMV as of 12/31/69 (g) FMV as of 12/31/69 (g) Adjusted basis as of 12/31/69 (g) FMV as of 12/31/	•	s and Losses for Tax on Inve		(b) How	1.	(1) =
1 SEE PART IV SCHEDULE b c c d d d (e) Gross sales price (f) Depreciation allowed (graflowable) (gr	` ,	.,	• •	acquired P - Purchase		(d) Date sold (mo., day, yr.)
(e) Gross sales price (f) Depreciation allowed (or allowable) (g) Cost or other basis plus expense of sale (h) Cain or (loss) ((e) plus (f) minus (g)) a	CEE DADE III COIIE	· · · · · · · · · · · · · · · · · · ·	, , , , , , , , , , , , , , , , , , ,	שן - Donation	1	
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(e) Gross sales price (f) Depreciation allowed (or allowable) (g) Cost or other basis plus expense of sale (h) Gain or (loss) (iv) plus (f) minus (g)) b c d d Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (iv) Adjusted basis as of 12/31/69 c Capital gain net income or (net capital loss) (if) Gain (col. (h) gain minus col. (k), if any c Capital gain net income or (net capital loss) (if) Gain, also enter in Part I, line 7 if (loss), enter -0- in Part I, line 7 3 Net short-term capital gain or (loss) as defined in sections 12/2(5) and (6): If gain, also enter in Part I, line 8. Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If Yes. The foundation doesn't qualify under section 4940(e). Do not complete this part. 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries. (b) Adjusted qualifying distributions Adjusted qualifying distributions (call this part.) 2017 95, 502, 213 1, 812, 003, 176. 0, 052 2016 85, 611, 935. 1, 750, 115, 826. 0, 048 2015 89, 516, 763. 1, 840, 922, 500. 0, 048 2014 94, 378, 629. 1, 884, 643, 019. 0, 050 2014 94, 378, 629. 1, 884, 643, 019. 0, 050 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5. 4 1, 854, 736, 0.050 5 92, 968, 6.						
(e) Gross sales price (f) Depreciation allowed (g) Ocst or other basis plus expense of sale (h) Gain or (loss) (e) plus (f) minus (g)) a b c Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (g) FMV as of 12/31/69 (g) Adjusted basis as of 12/31/69 (g) Adjusted basis over col. (i), if any c d b c d d e 2 Capital gain net income or (net capital loss) (g) If gain, also enter in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 8 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line 7 f (loss), enter -0- in Part I, line	d					
(e) Gross sales price (or allowable) plus expense of sale ((e) plus (f) minus (g)) a b c Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. (i) FMV as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (iv) Adjusted basis over col. (j), if any c Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 if (loss), enter -0- in Part I, line 7 if (loss), enter -0- in Part I, line 7 if (loss), enter -0- in Part I, line 7 if (loss), enter -0- in Part I, line 8. Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation diable for the section 4940(e). Do not complete this part. T Enter the appropriate amount in each column for each year; see the instructions before making any entries. (b) Calendary year (in year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assess (calendary year (in year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assess (calendary year (in year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assess (calendary year (in year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assess (calendary year (in year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assess (calendary year (in year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assess (calendary year (in year year year year year year year year	е					
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a b c d d d d d d d d d d d d d d d d d d	Complete only for assets s					
b c d d d d d d d d d d d d d d d d d d	(i) FMV as of 12/31/69			COI.		
c d e Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 If (loss), enter -0- in Part I, line 7 If (loss), enter -0- in Part I, line 7 If (loss), enter -0- in Part I, line 7 If (loss), enter -0- in Part I, line 8 Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part. 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries. (d) Distribution ratio (col. (b) divided by col. (c) Post (col. (b) divided by col. (c) Distribution ratio (col. (b) divided by col. (c) Post (col. (b) divided by col. (col. (c) Post (col. (b) divided by col. (col. (c) Post (col. (b) divided by col. (col. (c) Post (col. (c)						
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 ft (loss), enter -0 - in Part I, line 7 ft (loss), enter -0 - in Part I, line 7 gain, also enter in Part I, line 7 gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0 - in Part I, line 8 gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0 - in Part I, line 8 gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0 - in Part I, line 8 gain, also enter in Part I, line 8 gain, also enter in Part I, line 7 gain, also enter in Part I, line 8 gain, also enter in Part I, lin						
2 Capital gain net income or (net capital loss)		-				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 ft (loss), enter -0- in Part I, line 7 gain, also enter in Part I, line 7 line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 line 9 line 8 line 8 line 9						
2 Capital gain net income or (net capital loss) 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8. Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part. 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries. (a) Base period years (b) Adjusted qualifying distributions (b) (c) Calendar year (or tax year beginning in) Adjusted qualifying distributions (b) (c) 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries. (d) Distribution ratio (coll.) divided by coll. (c)) 2017 95,502,213. 1,812,003,176. 0.052: 2016 85,671,935. 1,750,115,826. 0.048: 2015 89,616,763. 1,840,922,500. 0.048: 2014 94,378,629. 1,884,643,019. 0.050: 2013 89,578,470. 1,784,136,146. 0.050: 2 Total of line 1, column (d) 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 3 0.050: 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 Multiply line 4 by line 3. 3 1,800,926,86.	<u>e</u>		pin also enter in Dert Life 7			
If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8. Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part. 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries. (a) Base period years Calendar year (or tax year beginning in) Adjusted qualifying distributions (b) Adjusted qualifying distributions (col. (b) divided by col. (c)) 2017 95,502,213. 1,812,003,176. 0.052: 2016 85,671,935. 1,750,115,826. 0.048: 2015 89,616,763. 1,840,922,500. 0.048: 2014 94,378,629. 1,884,643,019. 0.050: 2013 89,578,470. 1,784,136,146. 0.050: 2013 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 5 92,968,64. Multiply line 4 by line 3. 5 92,968,64.		e or (net capital loss) {	oss), enter -0- in Part I, line 7	2	96,	682,637.
Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part. 1	, ,	• • •				
Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income	<u> </u>		` '			•
(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.) If section 4940(d)(2) applies, leave this part blank. Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes [2] Yes [2] Yes [2] Yes [3] Yes [4] Enter the appropriate amount in each column for each year; see the instructions before making any entries. (a) (b) (c) Base period years Calendar year (or tax year beginning in) Adjusted qualifying distributions Adjusted qualifying distributions Net value of noncharitable-use assets (col. (b) divided by col. (c)) 2017 95,502,213. 1,812,003,176. 0.052 2016 85,671,935. 1,750,115,826. 0.048 2015 89,616,763. 1,840,922,500. 0.048 2014 94,378,629. 1,884,643,019. 0.050 2013 89,578,470. 1,784,136,146. 0.050 2 Total of line 1, column (d) 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 Multiply line 4 by line 3. 5 92,968,66						0.
1 Enter the appropriate amount in each column for each year; see the instructions before making any entries. (a) Base period years Callendar year (or tax year beginning in) (b) Adjusted qualifying distributions (c) Net value of noncharitable-use assets (d) Distribution ratio (col. (b) divided by col. (c)) 2017 95,502,213. 1,812,003,176. 0.052 2016 85,671,935. 1,750,115,826. 0.048 2015 89,616,763. 1,840,922,500. 0.048 2014 94,378,629. 1,884,643,019. 0.050 2013 89,578,470. 1,784,136,146. 0.050 2 Total of line 1, column (d) 2 0.250 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 3 0.050 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 4 1,854,736,04 5 92,968,64 92,968,64	Was the foundation liable for	the section 4942 tax on the distrib		ase perio	d?	Yes X No
(a) Base period years Calendar year (or tax year beginning in) (b) Adjusted qualifying distributions (c) Net value of noncharitable-use assets (d) Distribution ratio (col. (b) divided by col. (c)) 2017 95,502,213 1,812,003,176 0.052 2016 85,671,935 1,750,115,826 0.048 2015 89,616,763 1,840,922,500 0.048 2014 94,378,629 1,884,643,019 0.050 2013 89,578,470 1,784,136,146 0.050 2 Total of line 1, column (d) 2 0.250 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 3 0.050 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 4 1,854,736,04 5 92,968,64				na any er	ntripe	
Base period years Calendar year (or tax year beginning in) Adjusted qualifying distributions Net value of noncharitable-use assets Distribution ratio (col. (b) divided by col. (c)) 2017 95,502,213. 1,812,003,176. 0.052 2016 85,671,935. 1,750,115,826. 0.048 2015 89,616,763. 1,840,922,500. 0.048 2014 94,378,629. 1,884,643,019. 0.050 2013 89,578,470. 1,784,136,146. 0.050 2 Total of line 1, column (d) 2 0.250 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 3 0.050 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5 4 1,854,736,04 5 Multiply line 4 by line 3 5 92,968,64				lig ally el		
2017 95,502,213. 1,812,003,176. 0.052 2016 85,671,935. 1,750,115,826. 0.0488 2015 89,616,763. 1,840,922,500. 0.0488 2014 94,378,629. 1,884,643,019. 0.050 2013 89,578,470. 1,784,136,146. 0.050 2 Total of line 1, column (d) 2 0.2506 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	Base period years	A alicenta al acceptibilità a aliabelle cationa			Distribution ra	
2016 85,671,935. 1,750,115,826. 0.0488 2015 89,616,763. 1,840,922,500. 0.0488 2014 94,378,629. 1,884,643,019. 0.0506 2013 89,578,470. 1,784,136,146. 0.0506 2 Total of line 1, column (d) 2 0.2506 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years 3 0.0506 4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			1,812,003,176.			0.052705
2015 89,616,763. 1,840,922,500. 0.048 2014 94,378,629. 1,884,643,019. 0.050 2013 89,578,470. 1,784,136,146. 0.050 2 Total of line 1, column (d) 2 0.250 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years						0.048952
2014 94,378,629. 1,884,643,019. 0.0500 2013 89,578,470. 1,784,136,146. 0.0500 2 Total of line 1, column (d) 2 0.2500 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		1				0.048680
2013 89,578,470. 1,784,136,146. 0.050. 2 Total of line 1, column (d)		94,378,629.				0.050078
Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		89,578,470.	1,784,136,146.			0.050208
Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		(d)		2		0.250623
the number of years the foundation has been in existence if less than 5 years						
5 Multiply line 4 by line 3	•	•		3		0.050125
1 150 7	4 Enter the net value of n	oncharitable-use assets for 2018 fr	rom Part X, line 5	4	1,854,	736,044.
1 150 75	5 Multiply line 4 by line 3			5	92,	968,644.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 Enter 1% of net investm	nent income (1% of Part I, line 27b)		6	1,	159,789.
7 Add lines 5 and 6 7 94,128,43	7 Add lines 5 and 6			7	94,	128,433.
8 Enter qualifying distributions from Part XII, line 4						

Part VI instructions.

-orm	990-PF (2018) ALFRED P. SLOAN FOUNDATION 13-102.			age 4
Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see i	nstru	ction	s)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1			
	Date of ruling or determination letter: (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check	2,3	19,5	78.
	here and enter 1% of Part I, line 27b			
С	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of J Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			
3	Add lines 1 and 2	2,3	19,5	78.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	2,3	19,5	78.
6	Credits/Payments:			
а	2018 estimated tax payments and 2017 overpayment credited to 2018 6a 3,652,575.			
b	Exempt foreign organizations - tax withheld at source 6b			
С	Tax paid with application for extension of time to file (Form 8868) 6c			
d	Backup withholding erroneously withheld			
7	Total credits and payments. Add lines 6a through 6d	3,6	52,5	75.
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	_ ⊥,≾	32,9	997.
11	Enter the amount of line 10 to be: Credited to 2019 estimated tax ▶ 1,332,997. Refunded ▶ 11			
	t VII-A Statements Regarding Activities			
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	No X
	participate or intervene in any political campaign?	1a		
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the	46		Х
	instructions for the definition	1b		
	If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials			
	published or distributed by the foundation in connection with the activities.	1c		Х
	Did the foundation file Form 1120-POL for this year?	10		
u	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$			
•	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed			
C	on foundation managers. • \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		Х
_	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles			
	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes ATCH 14	3	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions that	_	v	
	conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ► CA, DE, NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General			
	(or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or			
	4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes,"			37
	complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		Х

Par	t VII-A Statements Regarding Activities (continued)			
			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the			
	meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions ATCH 15	11	Х	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified			
	person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address WWW.SLOAN.ORG			
14	The books are in care of ▶ ALFRED P. SLOAN FOUNDATION Telephone no. ▶ 212-649-	-1649	9	
	Located at ▶630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111 ZIP+4 ▶ 10111-02	<u></u> 242		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here		▶	
	and enter the amount of tax-exempt interest received or accrued during the year			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority		Yes	No
	over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of			
	the foreign country ▶			
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a			
	disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for			
	the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the			
	foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			
	were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private			
	operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2018?			
	If "Yes," list the years ,,,			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)			
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer "No" and attach statement - see instructions.)	2b		
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
	·			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			
	at any time during the year?			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or			
	disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of			
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			37
	foundation had excess business holdings in 2018.)	3b		X
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			77
	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Page 6

Pai	t VII-B	Statements Regarding Activities	for Which Form	4720 May Be Req	j uired (continued	1)		
5a	During the	year, did the foundation pay or incur any amo	ount to:				Yes	No
	(1) Carry	on propaganda, or otherwise attempt to influe	ence legislation (section	n 4945(e))?	Yes X	No		
	(2) Influer	nce the outcome of any specific public ele	ection (see section 4	4955); or to carry or	٦,			
	directl	y or indirectly, any voter registration drive?			Yes X	No		
	(3) Provid	e a grant to an individual for travel, study, or o	ther similar purposes?		_ X Yes	No		
	(4) Provid	e a grant to an organization other than a	charitable, etc., org	janization described i				
	section	n 4945(d)(4)(A)? See instructions			_ X Yes	No		
	` '	e for any purpose other than religious, ch	· · · · · · · · · · · · · · · · · · ·	•				
		ses, or for the prevention of cruelty to children				No		
b	-	swer is "Yes" to 5a(1)-(5), did any of the			•			
		s section 53.4945 or in a current notice regar				5b		X
	Organizati	ons relying on a current notice regarding disas	ster assistance, check	here				
С		swer is "Yes" to question 5a(4), does the		•				
		maintained expenditure responsibility for the			X Yes	No		
		ttach the statement required by Regulations se						
6a		oundation, during the year, receive any fur	•					
		nal benefit contract?						77
b		undation, during the year, pay premiums, dire	ectly or indirectly, on a	personal benefit contra	act?	6b		X
_		6b, file Form 8870.	199.14					
7a		e during the tax year, was the foundation a paid the foundation receive any proceeds or have						
b	•	, ·	•		IOII?	7b		
8		idation subject to the section 4960 tax on pay	, ,		. X Yes	No		
Pai	t VIII	ion or excess parachute payment(s) during the Information About Officers, Director	rs. Trustees. Fou	Indation Managers				
		and Contractors		_				
1	List all off	icers, directors, trustees, and foundat	on managers and (b) Title, and average	(c) Compensation	(d) Contributions to	(a) F		
		(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation		se acco Ilowance	unt, es
			,		, , , , , , , , , , , , , , , , , , , ,			
ATCI	I 17			4,459,550.	388,259).		0.
2		ation of five highest-paid employees	(other than thos	se included on line	e 1 - see instruc	ctions). If r	one,	enter
	"NONE."		(h) Title and everen		(d) Contributions to			
(a)	Name and a	ddress of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	employee benefit plans and deferred	(e) Exper	se acco	
			devoted to position		compensation			
7 mai	T 10			0 000 010	205 500			•
ATCI	I 18			2,237,912.	385,792	2.		0.
								2.2
てへもへ	number	f other employees paid over \$50 000.			_	1		23

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Part VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employand Contractors (continued)	yees,
3 Five	highest-paid independent contractors for professional services. See instructions. If none, enter "NONE	: ."
	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
ATCH 19		4,148,185
Total numb	per of others receiving over \$50,000 for professional services	13
Part IX-A	Summary of Direct Charitable Activities	
List the fo organization	undation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of one and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PUBL	IC DISSEMINATION AND TECHNICAL ASSISTANCE OF AWARDS	
SEE	GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	
		331,540.
	ORT PUBLIC CHARITABLE BOARD AND COMMITTEE SERVICE	
SEE_	GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	101 450
2 FDIIC	ATIONAL CONFERENCES AND SEMINARS	191,452.
·	GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	
		191,321.
4		,
Part IX-B	Summary of Program-Related Investments (see instructions)	
	the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	<u>. </u>	
2		
All other p	rogram-related investments. See instructions.	
3		
NONE		
Total. Add	lines 1 through 3 ▶	

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Par	Minimum Investment Return (All domestic foundations must complete this part. Fore see instructions.)	ign fou	undations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	1,881,354,207.
	Average of monthly cash balances	1b	1,626,548.
	Fair market value of all other assets (see instructions).	1c	
	Total (add lines 1a, b, and c)	1d	1,882,980,755.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,882,980,755.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see		
	instructions)	4	28,244,711.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,854,736,044.
6	Minimum investment return. Enter 5% of line 5	6	92,736,802.
Par	t XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating four	ndations	<u> </u>
	and certain foreign organizations, check here and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	92,736,802.
2 a	Tax on investment income for 2018 from Part VI, line 5 2a 2,319,578.		
	Income tax for 2018. (This does not include the tax from Part VI.) 2b		
	Add lines 2a and 2b	2c	2,319,578.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	90,417,224.
4	Recoveries of amounts treated as qualifying distributions	4	1,343,948.
5	Add lines 3 and 4	5	91,761,172.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,		
	line 1	7	91,761,172.
Par	t XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	92,596,714.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	92,596,714.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.		
	Enter 1% of Part I, line 27b. See instructions.	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	92,596,714.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when ca	lculatir	ng whether the foundation

Form **990-PF** (2018)

qualifies for the section 4940(e) reduction of tax in those years.

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Pa	rt XIII Undistributed Income (see instr	uctions)			
_	Distributable amount for 2019 from Port VI	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1	Distributable amount for 2018 from Part XI,	•	•		91,761,172.
•	line 7 Undistributed income, if any, as of the end of 2018:				727.0272.21
				22,661,709.	
a h	Enter amount for 2017 only. Total for prior years: 20 16 ,20 15 ,20 14				
	Excess distributions carryover, if any, to 2018:				
	From 2013				
	From 2014				
	From 2015				
	From 2016				
е	From 2017				
f	Total of lines 3a through e	0.			
	Qualifying distributions for 2018 from Part XII,				
	line 4: ▶ \$ 92,596,714.				
а	Applied to 2017, but not more than line 2a			22,661,709.	
b	Applied to undistributed income of prior years				
	(Election required - see instructions)				
С	Treated as distributions out of corpus (Election				
	required - see instructions)				69,935,005.
	Applied to 2018 distributable amount. Remaining amount distributed out of corpus.				07/755/005.
	Excess distributions carryover applied to 2018				
	(If an amount appears in column (d), the same amount must be shown in column (a).)				
	(, ,				
6	Enter the net total of each column as indicated below:				
а	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract				
	line 4b from line 2b.				
С	Enter the amount of prior years' undistributed income for which a notice of deficiency has				
	been issued, or on which the section 4942(a)				
	tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable				
е	amount - see instructions Undistributed income for 2017. Subtract line				
	4a from line 2a. Taxable amount - see				
_	instructions				
f	Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be				
	distributed in 2019				21,826,167.
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (Election may be				
	required - see instructions)				
8	Excess distributions carryover from 2013 not				
	applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2019.	0.			
10	Subtract lines 7 and 8 from line 6a				
	Analysis of line 9: Excess from 2014				
	Excess from 2015				
	Excess from 2016				
	Excess from 2017				
	Excess from 2018				

20	rt XIV Private Op	orating Foundations	/coo instructions o	nd Part \/II A guacti	on (1)	NOT APPLICABLE
		erating Foundations	•		5.1.67	NOT ATTHICABLE
1 a	If the foundation has	•			•	
	foundation, and the ruling	g is effective for 2018, e	nter the date of the ruling		▶	
b	Check box to indicate v	whether the foundation	is a private operating	foundation described in	section 4942(j)(3) or 4942(j)(5)
2 a	Enter the lesser of the ad-	Tax year		Prior 3 years		(a) Total
	justed net income from Part	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
	I or the minimum investment	(1)	(1)	(4)	(1)	
	return from Part X for each					
	year listed					
b	85% of line 2a					
С	Qualifying distributions from Part					
	XII, line 4 for each year listed .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
6	Qualifying distributions made					
•	directly for active conduct of					
	exempt activities. Subtract line					
3	2d from line 2c Complete 3a, b, or c for the					
3	alternative test relied upon:					
а	"Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying					
	under section					
h	4942(j)(3)(B)(i) "Endowment" alternative test-					
-	enter 2/3 of minimum invest-					
	ment return shown in Part X,					
	line 6 for each year listed					
С	"Support" alternative test - enter:					
	(1) Total support other than					
	gross investment income (interest, dividends, rents,					
	payments on securities					
	loans (section 512(a)(5)),					
	or royalties) (2) Support from general					
	public and 5 or more					
	exempt organizations as provided in section 4942					
	(j)(3)(B)(iii)					
	(3) Largest amount of sup-					
	port from an exempt organization					
	(4) Gross investment income					
Pa	rt XV Supplemer	ntary Information (Complete this part	only if the founda	ation had \$5.000 c	or more in assets a
		uring the year - see				
1	Information Regarding	g Foundation Manage	rs:			
а	List any managers of	the foundation who h	nave contributed mor	e than 2% of the total	al contributions recei	ved by the foundation
	before the close of any					
	N/A					
		the foundation who	aum 100/ au mana a	f the steel of a sem	aration (or an agual	hi large nortion of the
D	List any managers of					ly large portion of the
	ownership of a partner	ship of other entity) of	which the foundation	i nas a 10% or greater	mieresi.	
	N/A					
2	Information Regarding	g Contribution, Grant	, Gift, Loan, Scholarsh	nip, etc., Programs:		
	Check here ▶ ☐ if t	he foundation only	makes contributions	to preselected char	itable organizations	and does not accer
	unsolicited requests for					
	complete items 2a, b,			, στοι, τοαα.α.α	o. o. gaaoo a	
_	•				diantiana ahauld ha ad	draaa di
а	The name, address, a	na telephone number	or email address of tr	ne person to whom app	dications should be ad	aressea:
	ATCH 20					
b	The form in which app	lications should be sub	omitted and information	on and materials they	should include:	
С	Any submission deadli	nes:				
-	,					
	Any rootrictions of !!	mitationa en august-la	auch oo by sac	anhiaal areas ahaaita	able fielde kinde -f	inotitutions or still-
a	Any restrictions or li factors:	mitations on awards	, such as by geogr	apriicai areas, charita	able lields, Kinds of	msulutions, or othe
	iadioid.					

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Part XV Supplementary Information (continued)

oupplementary information	continuca)			
3 Grants and Contributions Paid Dur Recipient Name and address (home or business)	ing the Year or App	roved for	Future Payment	
Recipient	If recipient is an individual,	Foundation	Purpose of grapt or	
Name and address (home or business)	any foundation manager	status of	Purpose of grant or contribution	Amount
Name and address (nome or business)	or substantial contributor	recipient		
a Paid during the year				
ATCH 21				
Total				80,357,656.
b Approved for future payment				
A MOII 22				
ATCH 22				
Total			▶ 3b	62,964,846.

JSA 8E1491 1.000

Analysis of Income-Producing Activities

Form 990-PF (2018) Page **12**

1 Drosss			ated business income		y section 512, 513, or 514	Related or exempt
	service revenue:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	function income (See instructions.)
-	a service revenue.					(See instructions.)
f						
g Fees	and contracts from government agencies					
2 Member	ship dues and assessments					
	on savings and temporary cash investments					
	Is and interest from securities			14	8,704,661.	
5 Net rent	al income or (loss) from real estate:					
a Debt	-financed property					
b Not o	debt-financed property					
6 Net renta	I income or (loss) from personal property					
7 Other in	vestment income			1.0	105 511 000	
8 Gain or (oss) from sales of assets other than inventory			18	125,514,983.	
9 Net inco	me or (loss) from special events					
	rofit or (loss) from sales of inventory					
I1 Other re	venue: a				1 245 050	
b	ATCH 23				1,347,958.	
с						
d						
е					135,567,602.	
	. Add columns (b), (d), and (e)					135,567,602.
	dd line 12, columns (b), (d), and (e)				13	133,307,002.
Part XVI-	Relationship of Activitie		complishment of E	vomnt Dur	20205	
	- Rolationomp of Addivido	0 10 1110 / 1	coomphonimont of L	Compt i ai	poooo	
Lina Na	Fundain halau hau aan aatiiit		. : : : :	(-	-) -f D-ut V/// Atuil-	.4
Line No.	Explain below how each activit	-	· ·			· · · · · ·
Line No. ▼	Explain below how each activit accomplishment of the foundation	-	· ·			
	I	-	· ·			
	I	-				
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	I	-				

Form **990-PF** (2018)

Part XVI-A

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Part XVII **Exempt Organizations**

1		_		engage in any of the 501(c)(3) organization	_	-	_				Yes	No
		zations?	than section	501(c)(5) Organization	J115) UI	iii secilo	11 327, 161	ating to	political			
•	_		a foundation to	a noncharitable exem	nt organi	zation of:						
а										1a(1)	Х	
										1a(1) 1a(2)		X
h	` '	transactions:								14(2)		
b			ncharitable ever	npt organization						16/1)		Х
				ble exempt organization								X
				. •								X
(3) Rental of facilities, equipment, or other assets												
(5) Loans or loan guarantees												X
				ip or fundraising solicit								X
c				s, other assets, or paid								X
			_	s," complete the follo							fair m	arket
u				ces given by the repo								
				ement, show in colur								
(a) L	ine no.	(b) Amount involved		oncharitable exempt organiz			ription of transf					
	.(1)	10,000.		ENERGY ECO.			AY EVENT					
22	le the	foundation directly (or indirectly off	iliated with, or related	d to one	or more	tov ovomnt	organiza	tions			
Za		•	-	ction 501(c)(3)) or in s			-	_			esX	No
h		s," complete the follow			ection 32	/:					-3 <u></u>	_ NO
D	11 168	(a) Name of organization		(b) Type of orga	nization			c) Description	n of relations	hin		
		(a) Name of organization		(b) Type of orga	inzation			o, Doddinptio	TI OI IOIGIIOIIO	ı ııp		
	Unde	r penalties of perjury, I decla	re that I have examir	ned this return, including accor	mpanying sch	edules and s	tatements, and to	o the best of	my knowledge	e and b	elief, it	is true,
		ct, and complete. Declaration of	preparer (other than ta	expayer) is based on all information	on of which pr	eparer has an	y knowledge.	_				
Sigr	۱					SVP	FINANCE	2. (11) 2.	May the IRS			
ler	e Sign	nature of officer or trustee	l Date		Title	1 111111101		with the pre		Yes		
	l Sig			Date				L	See instructions	·. L21] 162 [No
		Print/Type preparer's nar	me	Preparer's signature			Date	Ch	., P	TIN		
Paic	t	DANIEL ROMANO						Chec	~ "		0418	2
re	parer		ANT THORNT(N T.T.D			1		• 36-60			
	Only	7		ENUE, 3RD FLOOR				Firm's EIN	▶ 30-00			
<i>,</i> 30	Unity		V THIRD AVE W YORK, NY			100	17-2013	Dhan	212-59	9_01	100	
		IVE.	W TORK, IV	<u>.</u>		100	1 2U13	Phone no.)-PF	(2019)
									FOII			120101

Kind of F	Property		Desc	ription		or P	Date acquired	Date	sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	ט	Gain or (loss)		
5.4511000 OI OUIO	иномаріо	ST GAIN/LOSS		.2,01/00		P	VAR -2688535.		VA
		LT GAIN/LOSS PROPERTY TYPE		IES		Р	VAR	VAR	
							63717076.		
		1231 GAIN FF PROPERTY TYPE		IES		Р	VAR	VAR	
							127,001.		
		PRIMARY CASH PROPERTY TYPE		IES		P	VAR	VAR	
							-19,937.		
		PZENA INVEST		IES		P	VAR		V.
							9,313,197.		
		INTERIM EQUI		IES		P	VAR		V
							3,219,423.		
		RGM CAPITAL PROPERTY TYPE	PE: SECURIT	IES		Р	VAR		V
							7,283,247.		
		NOKOMIS CAPI PROPERTY TYPE		IES		P	VAR		V
							-189,226.		
		RAGING CAPIT		IES		P	VAR		V.
							-142,737.		
		MAPLE ROCK PROPERTY TYPE	PE: SECURIT	TES		P	VAR		V.
			DE SECORET	110			1,020,195.		
		VARDEN PACIE		TES		P	VAR		V
							4,136,863.		
		TALAMOD ASSE		IES		P	VAR		V
			2200111				7,299,237.		

Kind of P	roperty		Desc	ription		P or	Date	Date sold
Gross sale	Depreciation	Cost or	FMV	Adj. basis	Excess of	D	acquired Gain	
price less penses of sale	allowed/ allowable	other basis	as of 12/31/69	as of 12/31/69	FMV over adj basis	Ш	or (loss)	
		BRACEBRIDGE	CAP			P	VAR	V
		PROPERTY TY		IES				
							568,096.	
		STONE MILLIN	IER			Р	VAR	V
		PROPERTY TY	PE: SECURIT	IES			-418,078.	
							-410,070.	
		GLENHILL CAP		- D.G		Р	VAR	V
		PROPERTY TY	PE: SECURIT	IES			4,049,495.	
		GRAHAM CAPIT		TES		Р	VAR	V
			e Second				-1018871.	
		TPG PUBLIC E	ZOIITTV			P	VAR	V
		PROPERTY TY		IES		-	VIIIC	V
							322,728.	
		PARAMETRIC E	FIXED INC			P	VAR	V
		PROPERTY TY	PE: SECURIT	IES			702 506	
							-792,586.	
		AKO GLOBAL E		- D.G		Р	VAR	V
		PROPERTY TY	PE: SECURIT	IES			1,723,203.	
		L CATTERTON PROPERTY TYPE		IES		Р	VAR	V
							-1,493.	
		ACWI INTERIN	M EOUITY			P	VAR	V
		PROPERTY TY		IES				
							-683,862.	
		CLIFTON GROU				Р	VAR	V
		PROPERTY TYI	PE: SECURIT	IES			-266,536.	
							200,330.	
		LUBERT ADLER		TFC		Р	VAR	V
		FROFERIT III	EE. SECORII	TEO			-424,633.	
		THERE ARE EL)			L	7770	7.7
		LUBERT ADLER		IES		P	VAR	V
							418,307.	

						P or	Date	Date sold
Kind of Property		Description			D	acquired		
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		QIMING VENT		IT D.C.		P	VAR	VA
		PROPERTY TY	PE: SECURIT	TES			663,030.	
		KPCB CHINA PROPERTY TY	PE: SECURIT	TIES		P	VAR	VA
							8,331,097.	
		INVENTION D		'IES		Ρ	VAR	V
							-11310275.	
			KEYTONE VENTURES PROPERTY TYPE: SECURITIES			Р	VAR	V
							-1054622.	
		KEYTONE VEN'		TIES		P	VAR	V
							-508,949.	
			ORCHID ASIA IV PROPERTY TYPE: SECURITIES				VAR	V
		TROTEIN TE	II BICORII	.110			5,682,964.	
		TELEGRAPH H		TES		Ρ	VAR	V
			II DICORTI	-115			642,809.	
		GENERAL CATA		TES		P	VAR	V
			II DICORTI	. 110			2,248,838.	
		BLUE RIVER (ידיי		Р	VAR	V
		INOLEKII	IE- BECOKII	TEO			-2903130.	
		LONGREACH C		ידיי		Р	VAR	V
		FROFERIT II	FE. SECORTI	LES			-3257412.	
		RESOURCE CAI		ידדים		Р	VAR	V
		INOLEKII	IE- DECORTI	TEO			1,583,799.	
		NEW MARGIN (ידדים		P	VAR	V
		FROFERII II	II. DECOKII	. .			-4783323.	

Kind of F	Property	Description				P or	Date	Date sold
Gross sale price less	Depreciation allowed/	Cost or other	FMV as of	Adj. basis as of	Excess of FMV over	D	acquired Gain or	
expenses of sale	allowable	basis	12/31/69	12/31/69	adj basis		(loss)	
		BAIN BROOKSI PROPERTY TYPE		TES		Ρ	VAR	VAI
			DICORTI	110			293,971.	
		AG SUPERFUNI				Р	VAR	VAI
		PROPERTY TYPE	PE: SECURIT	IES			19,204.	
		BAIN CAPITAI	. VII			P	VAR	VAI
		PROPERTY TYPE	PE: SECURIT	IES			5,306,274.	
		SUMMIT VENTU	JRES VI			P	VAR	VAI
		PROPERTY TYPE	PE: SECURIT	TIES			285,362.	
		DADELIENIONI TA	N/ECE II			P		VAI
		PARTHENON IN		IES		P	,	AV
							578,793.	
		VALUE ACT CA		IES		Ρ	VAR	VAI
							243,988.	
		IGNITION VEN		TFC		Ρ	VAR	VAI
			DICORTI	110			873,626.	
		ALLOY VENTUR				Ρ	VAR	VAI
		PROPERTY TYP	PE: SECURIT	TES			-1957608.	
		REGIMENT CAR	PITAL III			P	VAR	VAI
		PROPERTY TYPE	PE: SECURIT	IES			-135,839.	
		ONSET VENTUR	RES V			P	VAR	VAI
		PROPERTY TYPE		IES			-5657597.	
		TONITHION MEN						777
		IGNITION VEN		IES		P	,	VAI
							1,695,473.	
		ALLOY VENTUE PROPERTY TYPE		IES		Ρ	VAR	VAI
							63,630.	

FORM 990-PF - PART IV

Kind of Property		Description				or P	Date acquired	Date sold
Gross sale price less xpenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	ט	Gain or (loss)	
		SUMMIT VENT	VII-A			P	, ,	V
		ABERDARE VEI		'IES		P	VAR -182,237.	V
		AVENUE ASIA PROPERTY TY		'IES		P	VAR 84,282.	V
		INDIUM III PROPERTY TY	PE: SECURIT	'IES		P	VAR	V
		INDUSTRIAL ('IES		P	2,010,597. VAR	7
		SYCAMORE PAI		TEC.		P	2,285,507. VAR	7
		PARALLEL EN		TES		P	828,146. VAR	7
		PROPERTY TY	PE: SECURIT	TIES			-8120187.	
FAL GAIN(L	oss)						96682637.	

PUBLIC DISSEMINATION AND TECHNICAL ASSISTANCE OF AWARDS

PART IX-A, LINE 1

DURING 2018, THE FOUNDATION PUBLICIZED THE RECIPIENTS OF THE SLOAN RESEARCH FELLOWSHIPS. NOMINATIONS FOR THE FELLOWSHIPS FROM ACADEMIA ARE REVIEWED AND CANDIDATES SELECTED BY INDEPENDENT SELECTION COMMITTEES OF DISTINGUISHED SCIENTISTS IN EACH ELIGIBLE FIELD. RECIPIENTS OF THE FELLOWSHIPS WERE PUBLISHED IN THE NEW YORK TIMES.

IN ADDITION, THE FOUNDATION PUBLICIZED THE WINNERS OF SLOAN AWARDS FOR EXCELLENCE IN TEACHING SCIENCE AND MATHEMATICS, AN ANNUAL AWARDS PROGRAM THAT HONORS EXCEPTIONAL MATH AND SCIENCE TEACHING IN NEW YORK CITY'S PUBLIC HIGH SCHOOLS. RECIPIENTS OF THE AWARDS WERE PUBLISHED IN THE NEW YORK TIMES. IN 2018, THERE WERE 7 WINNERS OF THE SLOAN AWARDS FOR EXCELLENCE IN TEACHING SCIENCE AND MATHEMATICS, AND 3 WINNERS OF THE ALFRED P. SLOAN TEACHING CHAMPION AWARDS, WHICH REWARD EXCELLENCE IN HIGH SCHOOL INSTRUCTION IN ECONOMICS.

EACH YEAR SINCE 1973, THE SLOAN PUBLIC SERVICE AWARDS HAVE RECOGNIZED SIX OUTSTANDING CIVIL SERVANTS OUT OF THE HUNDREDS OF THOUSANDS OF PEOPLE WHO WORK FOR NEW YORK CITY GOVERNMENT. THE FUND FOR THE CITY OF NEW YORK MANAGES THE NOMINATION AND SELECTION PROCESS AND REFERS TO THE AWARDS AS THE NOBEL PRIZES OF GOVERNMENT, THE HIGHEST AWARD THAT CAN BE BESTOWED UPON A NEW YORK CITY PUBLIC SERVANT. THESE WINNERS WERE ALSO PUBLISHED IN THE NEW YORK TIMES.

THE FOUNDATION'S BOOK PROGRAM AIMS TO REACH A WIDE, LAY AUDIENCE, AND HAS SUPPORTED OVER 100 AUTHORS SINCE 1996 IN THE RESEARCH AND WRITING OF A WIDE RANGE OF BOOKS AIMED AT PUBLIC UNDERSTANDING OF SCIENCE, TECHNOLOGY AND ECONOMICS. BOOK GRANTS ARE MADE TO INDIVIDUALS OR TO AFFILIATED NONPROFIT ORGANIZATIONS AND ARE REVIEWED BY AN ADVISORY COMMITTEE.

SUPPORT PUBLIC CHARITABLE BOARD AND COMMITTEE SERVICE

PART IX-A, LINE 2

THE FOUNDATION PROVIDED TECHNICAL ASSISTANCE AND SUPPORT TO CHARITABLE ORGANIZATIONS, GOVERNMENTAL ENTITIES AND AGENCIES IN A VARIETY OF AREAS. DURING 2018, 9 STAFF MEMBERS SERVED ON BOARDS AND COMMITTEES OF CHARITABLE ORGANIZATIONS, AND PUBLIC COMMISSIONS AND TASK FORCES IN CONNECTION WITH THIS WORK.

EDUCATIONAL CONFERENCES AND SEMINARS

PART IX-A, LINE 3

DURING 2018, THE FOUNDATION HELD 11 EDUCATIONAL CONFERENCES AND SEMINARS TO EXAMINE THE NEWEST DEVELOPMENTS AND EDUCATE THE PRACTITIONERS ON THE STATE OF SCIENCE, TECHNOLOGY, ENGINEERING/ECONOMICS AND MATHEMATICS, (STEM) FIELDS. THE MEETING COSTS, TRAVEL EXPENSES FOR EXPERT ATTENDEES AND OTHER COSTS TOTALED \$191,321.

PART VI, LINE 6A

ORIGINAL AMOUNT OF OVERPAYMENT FROM 2017 RETURN: 3,212,965 ESTIMATED PAYMENTS MADE FOR 2018: 445,000 LESS: UNREQUESTED TAX REFUND RECEIVED (5,390) TOTAL AMOUNT OF CREDITS AVAILABLE FOR 2018: 3,652,575 ALFRED P. SLOAN FOUNDATION 2018 FORM 990-PF 13-1623877

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

		REVENUE AND	NET
		EXPENSES	INVESTMENT
DESCRIPTION		PER BOOKS	INCOME
GRANT REFUNDS:			
REFUNDED GRANTS		435,821.	
RECV'D WITH GRANT TRANSFERS		908,127.	
MISCELLANEOUS		4,010.	
LIMITED PARTNERSHIP INCOME			13,531,495.
	TOTALS	1,347,958.	13,531,495.

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
SIMPSON THACHER	222,195.	222,195.		
PATTERSON BELKNAP	79,043.	12,750.		66,293.
INVESTMENT SECONDARY SALE	66,685.	66,685.		
FRANKLIN, WEINRIB, RUDELL	10,534.			10,534.
CLIFTON BUDD & DEMARIA LLP	8,320.			8,320.
LEGAL FILINGS (NY CHARITABLE)	1,493.			1,493.
TOTALS	388,270.	301,630.		86,640.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT <u>INCOME</u>	ADJUSTED NET _INCOME_	CHARITABLE PURPOSES
GRANT THORNTON LLP		146,011.	23,831.		70,380.
	TOTALS	146,011.	23,831.		70,380.

ALFRED P. SLOAN FOUNDATION 2018 FORM 990-PF 13-1623877

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

	REVENUE AND EXPENSES	NET INVESTMENT	CHARITABLE
DESCRIPTION	PER BOOKS	INCOME	<u>PURPOSES</u>
INVESTMENT MANAGEMENT FEES	3,172,551.	3,140,825.	
CAMBRIDGE ASSOCIATES, INC.	55,000.	54,450.	
GREENHILL COGENT WIRE T. FEES	847,608.	839,132.	
BACKSTOP SOLUTIONS GROUP, LLC	62,350.	61,727.	
BLACKROCK FINANCIAL MANAGEMENT	101,658.	100,641.	
BLOOMBERG L. P.	49,624.	49,128.	
CHECKFUNDMANAGER LLC	45,412.	44,958.	
EVESTMENT	33,295.	32,962.	
MERCER INC.	5,000.	4,950.	
MSCI, INC.	7,100.	7,029.	
NEW YORK STOCK EXCHANGE, INC	680.	673.	
PREQIN LTD.	19,700.	19,503.	
SULLIVAN, COTTER AND ASS INC.	1,150.	1,139.	
THE BURGISS GROUP	14,160.	14,018.	
PAUL JOSKOW - EXECUTIVE CONSLT	430,006.	68,666.	360,646.
ALLIANT INSURANCE SER. INC.	23,700.	3,785.	19,877.
BURNESS COMMUNICATIONS	60,342.	,	60,342.
CORP. COUNSELING ASSOC. INC.	11,500.	1,836.	9,645.
ISAACSON MILLER, INC.	112,512.	17,967.	94,364.
PETE MACKEY CONSULTING, LLC	61,634.	•	61,634.
PSG COMMUNICATIONS	110,793.		110,793.
RISK & REGULATORY CONSTNG INC.	9,200.	1,469.	7,716.
THE CRONER COMPANY	6,000.	958.	5,032.
THE J.M. KAPLAN FUND, INC.	212.	34.	178.
TOTALS	5,241,187.	4,465,850.	730,227.

ATTACHMENT 5

FORM	990PF,	PART	I	_	TAXES
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DESCRIPTION

FOREIGN TAXES

REVENUE
AND
EXPENSES
INVESTMENT
PER BOOKS

988,925.

TOTALS

988,925.

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

		REVENUE		
		AND	NET	_
		EXPENSES	INVESTMENT	CHARITABLE
DESCRIPTION		PER BOOKS	INCOME	PURPOSES
OFFICE EXPENSES		840,608.	379,630.	460,978.
PROGRAM SUPPORT		441,303.		441,303.
CONFERENCES AND EVENTS		191,321.		191,321.
BOARD OF TRUSTEES		131,633.	47,000.	84,633.
COMMUNICATIONS		1,067.		1,067.
LP INVESTMENT EXPENSES			12,279,066.	
	TOTALS	1,605,932.	12,705,696.	1,179,302.

FORM 990PF, PART II - U.S. AND STATE OB	BLIGATIONS		
	ATTACI	ATTACHMENT 7	
	ENDING	ENDING	
DESCRIPTION	BOOK VALUE	FMV	

U.S. & STATE GOV. OBLIGATIONS

US OBLIGATIONS TOTAL

ATTACHMENT	8
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FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING
DESCRIPTION	BOOK VALUE	FMV
GLOBAL EQUITIES	46,427,936.	46,427,936.
TOTALS	46,427,936.	46,427,936.

FORM 990PF, PART II - CORPORATE BONDS

		ENDING	ENDING
DESCRIPTION		BOOK VALUE	<u>FMV</u>
FIXED INCOME		176,840,197.	176,840,197.
Т	'OTALS	176,840,197.	176,840,197.

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

	ENDING	ENDING
<u>DESCRIPTION</u>	BOOK VALUE	FMV
PRIVATE EQUITY	222,515,079.	222,515,079.
DOMESTIC EQUITY	299,064,318.	299,064,318.
INTERNATIONAL EQUITY	423,524,840.	423,524,840.
ABSOLUTE RETURN	358,170,854.	358,170,854.
REAL ESTATE	159,642,668.	159,642,668.
HYBRID	22,605,270.	22,605,270.
TOTALS	1,485,523,029.	1,485,523,029.

13-1623877

ATTACHMENT 11

FORM 990PF, PART II - OTHER LIABILITIES

ENDING

BOOK VALUE DESCRIPTION

DEFERRED COMPENSATION 1,391,126.

1,391,126. TOTALS

13-1623877

ATTACHMENT 12

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION AMOUNT

AMOUNTS NOT YET RECOGNIZED AS A COMPONENT OF NET PERIODIC BENEFIT COST

4,239,369.

4,239,369. TOTAL

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION AMOUNT

189,659,737. UNREALIZED LOSS ON INVESTMENTS

> 189,659,737. TOTAL

FORM 990PF, PART VII-A, LINE 3 - CONFORMED COPY OF THE CHANGES

PLEASE SEE THE ATTACHED AMENDED BYLAWS DATED NOVEMBER 2018.

FORM 990PF, PART VII-A, LINE 11A-TRANSFERS TO CONTROLLED ENTITY STATEMENT

TOTAL AMOUNT 309.

CONTROLLED ENTITY'S NAME: SLOAN PROJECTS LLC

CONTROLLED ENTITY'S ADDRESS: 630 FIFTH AVENUE, SUITE 2200

CITY, STATE & ZIP: NEW YORK, NY 10111

27-0358278

TRANSFER AMOUNT: 309.

EXPLANATION OF TRANSFER TO CONTROLLED ENTITY:

GENERAL SUPPORT

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: JULIA COMPUTING GRANTEE'S ADDRESS: 20 GARLAND RD CITY, STATE & ZIP: NEWTON, MA 02459

GRANT DATE: 06/13/2017

GRANT AMOUNT: 912,609.

TO SUPPORT THE CONTINUED DEVELOPMENT OF THE JULIA GRANT PURPOSE:

PROGRAMMING LANGUAGE

AMOUNT EXPENDED: 436,179.

ANY DIVERSION? NO

5/31/2018, 6/13/2018 DATES OF REPORTS:

VERIFICATION DATE: 08/06/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: ABT ASSOCIATES GRANTEE'S ADDRESS: 55 WHEELER STREET CITY, STATE & ZIP: CAMBRIDGE, MA 02138

GRANT DATE: 10/18/2016

GRANT AMOUNT: 958,389.

TO COMPLETE AN EVALUATION OF THE MOORE-SLOAN DATA GRANT PURPOSE:

SCIENCE ENVIRONMENTS

AMOUNT EXPENDED: 668,859.

ANY DIVERSION? NO

DATES OF REPORTS: 10/4/2017, 12/20/2017

VERIFICATION DATE: 11/12/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: ABT ASSOCIATES GRANTEE'S ADDRESS: 55 WHEELER STREET CITY, STATE & ZIP: CAMBRIDGE, MA 02138

GRANT DATE: 06/08/2016

GRANT AMOUNT: 124,966.

TO COMPLETE PLANNING AND PILOT BASELINE DATA COLLECTION GRANT PURPOSE:

FOR EVAL. OF MOORE-SLOAN DATA SCI. ENVRNMNT.

AMOUNT EXPENDED: 124,966.

ANY DIVERSION? NO

DATES OF REPORTS: 9/6/2018 VERIFICATION DATE: 09/06/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: PRIVATE CAPITAL RESEARCH INSTITUTE

GRANTEE'S ADDRESS: 114 WESTERN AVENUE CITY, STATE & ZIP: BOSTON, MA 02134

GRANT DATE: 06/13/2017

GRANT AMOUNT: 500,000.

TO SET UP AN ADMIN. DATA RESEARCH FACILITY THAT MAKES GRANT PURPOSE:

DATA ABOUT THE PVT. CAP. INDUSTRY ACCESSIBLE

AMOUNT EXPENDED: 40,500.

ALFRED P. SLOAN FOUNDATION

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

ANY DIVERSION? NO

DATES OF REPORTS: 5/24/2018, 6/7/2018

VERIFICATION DATE: 06/30/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: UNITED STATES ASSO. FOR ENERGY ECONOMICS

GRANTEE'S ADDRESS: 28790 CHAGRIN BLVD CITY, STATE & ZIP: CLEVELAND, OH 44122

GRANT DATE: 04/12/2018

GRANT AMOUNT: 10,000.

GRANT PURPOSE: TO SUPPORT THE PARTICIPATION OF GRADUATE STUDENTS AT

THE PHD DAY EVENT AT 2018 USAEE NA CONF.

AMOUNT EXPENDED: 10,000.

ANY DIVERSION? NO

12/8/2018 DATES OF REPORTS: VERIFICATION DATE: 12/08/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: PARIS SCHOOL OF ECONOMICS

GRANTEE'S ADDRESS: 48 BD JOURDAN

CITY, STATE & ZIP: PARIS

FOREIGN COUNTRY: FRANCE 75014 GRANT DATE: 10/24/2017

GRANT AMOUNT: 900,000.

TO IMPROVE THE QUALITY, QUANTITY, & ACCESSIBILITY OF GRANT PURPOSE:

DATA IN THE WORLD WEALTH AND INCOME DATABASE

AMOUNT EXPENDED: 147,655.

ANY DIVERSION? NO

DATES OF REPORTS: 10/30/2018 VERIFICATION DATE: 12/03/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: UNIVERSITY COLLEGE LONDON

GRANTEE'S ADDRESS: GOWER STREET

CITY, STATE & ZIP: LONDON

UNITED KINGDOM WC1E 6BT FOREIGN COUNTRY:

GRANT DATE: 10/18/2017

GRANT AMOUNT: 70,000.

TO CONDUCT A WORKSHOP & PUBLISH JOURNAL ON CATASTROPHIC GRANT PURPOSE:

PERTURBATIONS OF EARTH'S DEEP CARBON

AMOUNT EXPENDED: 70,000.

ANY DIVERSION? NO

DATES OF REPORTS: 4/30/2019 VERIFICATION DATE: 05/28/2019

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: UNIVERSITY COLLEGE LONDON

GRANTEE'S ADDRESS: GOWER STREET

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM WC1E 6BT

GRANT DATE: 12/02/2017 GRANT AMOUNT: 20,000.

GRANT PURPOSE: TO EXPAND THE CONTENT & OUTREACH OF MICROECONOMIC

INSIGHTS, A SOURCE FOR ACCESSIBLE SUMMARIES

AMOUNT EXPENDED: 20,000.

ANY DIVERSION? NO

DATES OF REPORTS: 1/23/2019 VERIFICATION DATE: 02/01/2019

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: UNIVERSITY COLLEGE LONDON

GRANTEE'S ADDRESS: GOWER STREET

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM WC1E 6BT

GRANT DATE: 12/07/2015

GRANT AMOUNT: 50,000.

GRANT PURPOSE: TO LAUNCH A CAREFULLY CURATED & EDITED BLOG THAT WILL

MAKE MICROECO. RSRCH INSIGHTS WIDELY AVAIL.

AMOUNT EXPENDED: 50,000.

ANY DIVERSION? NO

DATES OF REPORTS: 2/1/2017 VERIFICATION DATE: 04/23/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: UNIVERSITY OF BOLOGNA

GRANTEE'S ADDRESS: VIA ZAMBONI 33

CITY, STATE & ZIP: BOLOGNA FOREIGN COUNTRY: ITALY 40126

GRANT DATE: 04/12/2017 GRANT AMOUNT: 124,993.

GRANT PURPOSE: TO ESTABLISH AN OPEN SCHOLARLY CITATION DATABASE THAT

FREELY AND LEGALLY MAKES AVAIL ACCURATE DATE

AMOUNT EXPENDED: 124,993.

ANY DIVERSION? NO

DATES OF REPORTS: 2/2/2018 VERIFICATION DATE: 03/31/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: UNIVERSITY OF SYDNEY GRANTEE'S ADDRESS: MADSEN BLDG, F09

CITY, STATE & ZIP: SYDNEY

FOREIGN PROVINCE: NEW SOUTH WALES FOREIGN COUNTRY: AUSTRALIA 2006

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT DATE: 11/08/2017

GRANT AMOUNT: 100,000.

GRANT PURPOSE: TO MODIFY MODELS OF THE MOVEMENT OF EARTH'S TECTONIC

PLATES OVER THE PAST BILL YRS TO INCORP VISUL

AMOUNT EXPENDED: 27,130.

ANY DIVERSION? NO

DATES OF REPORTS: 9/13/2018, 1/24/2019

VERIFICATION DATE: 03/12/2019

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: UNIVERSITY OF SYDNEY GRANTEE'S ADDRESS: MADSEN BLDG, F09

CITY, STATE & ZIP: SYDNEY

FOREIGN PROVINCE: NEW SOUTH WALES FOREIGN COUNTRY: AUSTRALIA 2006 GRANT DATE: 10/31/2018

GRANT AMOUNT: 80,000.

GRANT PURPOSE: TO STRENGTHEN THE DEEP CARBON SCIENCE COMMUNITY OF

AUSTRALIA & BUILD TECTONIC ("GPLATES") MODEL

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: N/A

VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT REPORTS WERE NOT DUE BEFORE THE FILING OF THE 2018 FORM 990PF.

GRANTEE'S NAME: INSTITUT DE PHYSIQUE DU GLOBE DE PARIS

GRANTEE'S ADDRESS: 1 RUE JUSSIEU

CITY, STATE & ZIP: PARIS

FOREIGN PROVINCE: ILE-DE-FRANCE
FOREIGN COUNTRY: FRANCE 75238

GRANT DATE: 10/13/2015

GRANT AMOUNT: 300,150.

GRANT PURPOSE: TO CREATE & LEAD "TASK FORCE 2020" TO CONSIDER POSSIBLE

FUTURES FOR THE DEEP CARBON OBSERVATORY

AMOUNT EXPENDED: 172,752.

ANY DIVERSION? NO

DATES OF REPORTS: 3/1/2016, 11/30/2016, 9/13/2018

VERIFICATION DATE: 10/23/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: OPEN KNOWLEDGE FOUNDATION GRANTEE'S ADDRESS: ST JOHN'S INNOVATION CENTRE

CITY, STATE & ZIP: CAMBRIDGE

FOREIGN PROVINCE: CAMBRIDGESHIRE

UNITED KINGDOM CB4 OWS FOREIGN COUNTRY:

GRANT DATE: 10/13/2015 GRANT AMOUNT: 690,575.

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT PURPOSE: TO REDUCE FRICTION IN THE RESEARCH PROCESS THROUGH THE

DEV. & IMPLEMENTATION OF A LIGHTWEIGHT

AMOUNT EXPENDED: 690,575.

ANY DIVERSION? NO

DATES OF REPORTS: 1/30/2017, 1/15/2018

VERIFICATION DATE: 04/13/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: OPEN KNOWLEDGE FOUNDATION GRANTEE'S ADDRESS: ST JOHN'S INNOVATION CENTRE

CITY, STATE & ZIP: CAMBRIDGE

FOREIGN PROVINCE: CAMBRIDGESHIRE

FOREIGN COUNTRY: UNITED KINGDOM CB4 OWS

GRANT DATE: 06/19/2018

GRANT AMOUNT: 749,624.

GRANT PURPOSE: TO SUPPORT ADOPTION AND USE OF A LIGHTWEIGHT DATA PKG.

STANDARD IN ORDER TO REDUCE FRICTIONS

AMOUNT EXPENDED:

ANY DIVERSION? NО DATES OF REPORTS: N/A

VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT REPORTS WERE NOT DUE BEFORE THE FILING OF THE 2018 FORM 990PF.

GRANTEE'S NAME: UNIVERSITA DI ROMA LA SAPIENZA GRANTEE'S ADDRESS: PIAZZA ALDO MORO, 5

CITY, STATE & ZIP: ROMA

ITALY 00185 FOREIGN COUNTRY: GRANT DATE: 11/16/2016 GRANT AMOUNT: 102,753.

GRANT PURPOSE: TO CONDUCT THE THIRD WORKSHOP OF EARLY CAREER

SCIENTISTS OF THE DEEP CARBON OBSERVATORY

AMOUNT EXPENDED: 102,753.

ANY DIVERSION? NO

DATES OF REPORTS: 9/6/2018, 2/6/2019

VERIFICATION DATE: 03/12/2019

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: ST. EDMUNDS COLLEGE, UNIV. OF CAMBRIDGE

GRANTEE'S ADDRESS: MOUNT PLEASANT

CITY, STATE & ZIP: CAMBRIDGE

UNITED KINGDOM CB3 0BN FOREIGN COUNTRY:

GRANT DATE: 11/28/2016

GRANT AMOUNT: 55,000.

GRANT PURPOSE: TO WRITE THE FIRST HISTORY OF DEEP CARBON SCIENCE, A

BOOK TITLED "CARBON FROM CRUST TO CORE"

AMOUNT EXPENDED: 45,878.

ANY DIVERSION? NO

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

DATES OF REPORTS: 11/27/2017, 9/18/2018, 12/3/2018

VERIFICATION DATE: 12/04/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: UNIVERSITY OF CANBERRA

GRANTEE'S ADDRESS: 11 KIRINARI ST

CITY, STATE & ZIP: BRUCE

FOREIGN PROVINCE: AUSTRALIAN CAPITAL TERRITORY

FOREIGN COUNTRY: AUSTRALIA 2617 GRANT DATE: 12/06/2018 GRANT AMOUNT: 135,373.

GRANT PURPOSE: TO STUDY THE ECONOMICS & MAINT. OF DIGITAL INFRA.

BY EXPLORING THE RELATIONSHIP BETWEEN WAGED

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: N/A

VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT REPORTS WERE NOT DUE BEFORE THE FILING OF THE 2018 FORM 990PF.

GRANTEE'S NAME: MAX PLANCK INSTITUTE FOR CHEMISTRY

GRANTEE'S ADDRESS: ADMINISTRATION

CITY, STATE & ZIP: MAINZ

FOREIGN COUNTRY: GERMANY 55128
GRANT DATE: 10/16/2018
GRANT AMOUNT: 409,975.

GRANT PURPOSE: TO EXAMINE THE ROLE OF HUMANS AND HUMAN EMMISSIONS

IN INDOOR AIR CHEMISTRY

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: N/A

VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT REPORTS WERE NOT DUE BEFORE THE FILING OF THE 2018 FORM 990PF.

GRANTEE'S NAME: UNIVERSITY OF ZURICH

GRANTEE'S ADDRESS: RAEMISTRASSE

CITY, STATE & ZIP: ZURICH

FOREIGN COUNTRY: SWITZERLAND 80 GRANT DATE: 04/20/2018 GRANT AMOUNT: 19,610.

GRANT PURPOSE: TO ENCOURAGE STANDARDIZATION OF SURVEY INSTRUMENTS IN

THE DOMAIN OF STUDIES ABOUT PEOPLE'S INTERNET

AMOUNT EXPENDED:

ANY DIVERSION? NO DATES OF REPORTS: N/A

VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT REPORTS WERE NOT DUE BEFORE THE FILING OF THE 2018 FORM 990PF.

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

ALFRED P. SLOAN FOUNDATION

GRANTEE'S NAME: NESTA

GRANTEE'S ADDRESS: 58 VICTORIA EMBANKMENT

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM EC4Y ODS

GRANT DATE: 03/15/2018

GRANT AMOUNT: 20,000.

TO HOLD A CONFERENCE ON EXPERIMENTAL & EVIDENCE-BASED GRANT PURPOSE:

METHODS FOR STUDYING, DISCOV, INNOVAT, GROWTH

AMOUNT EXPENDED: 20,000.

ANY DIVERSION? NΟ

DATES OF REPORTS: 11/1/2018 VERIFICATION DATE: 12/01/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: UNIVERSITY OF YORK

GRANTEE'S ADDRESS: HESLINGTON

CITY, STATE & ZIP: YORK

FOREIGN PROVINCE: FOREIGN COUNTRY: NORTH YORKSHIRE

FOREIGN COUNTRY: UNITED KINGDOM YO10 5DD

GRANT DATE: 03/20/2018

GRANT AMOUNT: 254,546.

TO DEV. AN OPEN SOURCE MODEL FOR INVESTIGATING INDOOR GRANT PURPOSE:

GAS-PHASE CHEMISTRY & EXPAND SCIENCE COMMUNI.

AMOUNT EXPENDED: 75,701.

ANY DIVERSION? NO

DATES OF REPORTS: 2/27/2019, 3/1/2019

VERIFICATION DATE: 03/12/2019

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: MILLER MCCUNE CTR FOR RSRCH MEDIA

GRANTEE'S ADDRESS: 801 GARDEN STREET, SUITE 101

CITY, STATE & ZIP: SANTA BARBARA, CA 93101

GRANT DATE: 11/15/2017

GRANT AMOUNT: 50,000.

GRANT PURPOSE: TO SUPPORT ACADEMIC AND NONPROFIT PARTICIPATION IN A

WORKSHOP FOR COMPUTATIONAL SOCIAL SCIENCE

AMOUNT EXPENDED: 26,359.

ANY DIVERSION? NO

DATES OF REPORTS: 07/01/2018 VERIFICATION DATE: 07/10/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: MILLER MCCUNE CTR FOR RSRCH MEDIA

GRANTEE'S ADDRESS: 801 GARDEN STREET, SUITE 101 CITY, STATE & ZIP: SANTA BARBARA, CA 93101

GRANT DATE: 11/28/2018

GRANT AMOUNT: 50,000. CONT'D ON NEXT PAGE

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT PURPOSE: TO SUPPORT TRAVEL BY ACADEMIC RESEARCHERS TO THE 2019

SOCIAL SCIENCE FOO CAMP

AMOUNT EXPENDED: 24,786.

ANY DIVERSION? NO

DATES OF REPORTS: 07/05/2019 VERIFICATION DATE: 07/12/2019

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: OPEN MIND LEGACY PROJECT GRANTEE'S ADDRESS: 320 PARK AVE, 4TH FLOOR

CITY, STATE & ZIP: NEW YORK, NY 10022

GRANT DATE: 10/24/2017

GRANT AMOUNT: 200,000.

GRANT PURPOSE:

AMOUNT EXPENDED: 100,000.

ANY DIVERSION? NO

DATES OF REPORTS: 10/08/2018 VERIFICATION DATE: 11/16/2018

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT.

GRANTEE'S NAME: RETRO REPORT

GRANTEE'S ADDRESS: 633 THIRD AVENUE, 16TH FLOOR

CITY, STATE & ZIP: NEW YORK, NY 10017

GRANT DATE: 10/16/2018

GRANT AMOUNT: 65,000.

GRANT PURPOSE: TO SUPPORT RESEARCH AND PRODUCTION OF A SHORT DIGITAL

DOCUMENTARY - THE SCI/TECH OF DRIVERLESS CARS

AMOUNT EXPENDED: 65,000.

ANY DIVERSION? NO

DATES OF REPORTS: 07/03/2019 VERIFICATION DATE: 02/22/2019

RESULTS OF VERIFICATION:

GRANT FUNDS FOR PURPOSE VERIFIED BY REPORT

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	AND OTHER
ADAM FALK 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111 CONTRIBUTIONS TO EMPLOYEE BENEFIT POTTHE FOUNDATION'S MEDICAL, DENTAL, V VESTED AMOUNTS CONTRIBUTED TO THE FOUNDATION SEVERANCE PAY.	ISION, LIFE AND DISABILITY INSURANC)	74,313.	0.
LEISLE LIN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TREASURER/SVP, FINANCE & OPS. 40.00	514,900.	74,277.	0.
ELIZABETH HEWITT 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	SVP/CIO (THRU 12/11/2018) 40.00	1,338,750.	92,499.	0.
DORON WEBER 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	VP/PD (THRU 12/11/2018) 40.00	437,625.	78,110.	0.
DANIEL GOROFF 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	VP/PD (THRU 12/11/2018) 40.00	437,625.	69,060.	0.

ATTACHMENT 17

ATTACHMENT	17	(CONT 'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CYNTHIA BARNHART 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	31,500.	0.	0.
BONNIE L BASSLER 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	27,500.	0.	0.
FRANCINE BERMAN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	25,000.	0.	0.
RICHARD BERNSTEIN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 3.00	34,500.	0.	0.
KEVIN BURKE 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	31,500.	0.	0.
FREDERICK HENDERSON 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	31,500.	0.	0.

ATTACHMENT	17	(CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
FREEMAM A HRABOWSKI III 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	32,000.	0.	0.
ROBERT LITTERMAN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	31,500.	0.	0.
JAMES M POTERBA 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	34,000.	0.	0.
MICHAEL PURUGGANAN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	27,500.	0.	0.
MARY SCHMIDT CAMPBELL 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	27,500.	0.	0.
MARTA TIENDA 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	TRUSTEE/CHAIR. (AS OF 6/14/17) 4.00	40,000.	0.	0.

ATTACHMENT 17 (CONT'D)

388,259.

4,459,550.

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PAUL L. JOSKOW 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	FORMER PRESIDENT	250,000.	0.	0.
AMOUNT ABOVE RESPRESENTS A LUMP SUM LIEU OF ELECTING RETIREE MEDICAL COVAPPLICABLE PLAN(S).		IN		

GRAND TOTALS

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

JOHI , TAKI VIII COM BNOATION	OF THE FIVE HIGHEST TAID		ATTACHMENT 18
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS
KATHLEEN E CHRISTENSEN 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	334,225.	64,746.
JOSHUA M GREENBERG 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	341,275.	88,850.
MONICA LAI 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	SR. INVESTMENT DIR. 40.00	598,650.	88,884.
WILLIAM MASLOVSKY 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	SR. INVESTMENT DIR. 40.00	605,125.	88,850.
PAULA J OLSIEWSKI 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	358,637.	54,462.
	TOTAL COMPENSATION	2,237,912.	385,792.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

	ATTACHMEN	T 19
NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
RGM CAPITAL 9010 STRADA STELL CT # 105 NAPLES, FL 3109	INVESTMENT MGMT FEES	1,996,716.
GREENHILL COGENT 155 N WACKER DR STE 4550 CHICAGO, IL 34109	TRANS. ADVISORY SERV	847,608.
SILCHESTER INTERNATIONAL INVESTORS 780 3RD AVE #4201 NEW YORK, NY 10172	INVESTMENT MGMT FEES	565,304.
PAUL JOSKOW 50 MEMORIAL DRIVE, BUILDING E52-414 CAMBRIDGE, MA 02142	EXECUTIVE CONSULTANT	430,006.
BNY MELLON CUSTODY FEES 240 GREENWICH STREET NEW YORK, NY 10286	INVESTMENT CUSTODY	308,551.
TOTAL COMPENSATI	ON	4,148,185.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

MR. ADAM FALK - GRANTS 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111 212-649-1649

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

AN INTERESTED GRANTSEEKER SHOULD SUBMIT A LETTER OF INQUIRY BY EMAIL TO THE APPROPRIATE PROGRAM DIRECTOR. GRANTSEEKERS THAT SUBMIT PROMISING LETTERS OF INQUIRY WILL BE INVITED TO SUBMIT A FORMAL GRANT PROPOSAL.

ALL GRANT PROPOSALS MUST INCLUDE A PROPOSAL COVER SHEET, PROPOSAL, BUDGET, APPENDICES AND PROPOSAL ADMINISTRATION FORM SUBMITTED VIA EMAIL.

ONCE A PROPOSAL HAS BEEN SUBMITTED, THE FOUNDATION WILL EVALUATE THE PROPOSAL. THE FOUNDATION'S GRANT REVIEW AND APPROVAL PROCESS IS EXTREMELY RIGOROUS AND DESIGNED TO MIMIC THE PEER REVIEW PROCESS AT HIGH QUALITY ACADEMIC JOURNALS. DEPENDING ON THE FUNDS REQUESTED AND THE COMPLEXITY OF THE WORK TO BE PERFORMED, THE FOUNDATION MAY SEEK INDEPENDENT EXPERT REVIEW OF THE PROPOSAL. IF SO, GRANTSEEKERS ARE

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

GIVEN THE OPPORTUNITY TO RESPOND IN WRITING TO REVIEWER COMMENTS. IT IS NOT UNUSUAL FOR A GRANTSEEKER TO BE ASKED TO REVISE, AMEND, OR SUPPLEMENT THE ORIGINAL PROPOSAL (SOMETIMES SIGNIFICANTLY) AS A RESULT OF THE PROPOSAL REVIEW PROCESS.

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

- THE ALFRED P. SLOAN FOUNDATION DOES NOT ACCEPT OR REVIEW UNSOLICITED GRANT PROPOSALS.
- THE FOUNDATION MAKES GRANT PRIMARILY TO SUPPORT ORIGINAL RESEARCH AND EDUCATION RELATED TO SCIENCE, TECHNOLOGY, ENGINEERING, MATHEMATICS, AND ECONOMICS.
- THE FOUNDATION DOES NOT MAKE GRANTS TO POLITICAL CAMPAIGNS, TO SUPPORT POLITICAL ACTIVITIES, OR TO LOBBY FOR OR AGAINST PARTICULAR PIECES OF LEGISLATION.
- THE FOUNDATION DOES NOT MAKE GRANTS TO INDIVIDUALS EXCEPT THROUGH ITS BOOKS PROGRAM.
- THE FOUNDATION DOES NOT GENERALLY MAKE GRANTS TO FOR-PROFIT INSTITUTIONS.
- THE FOUNDATION DOES NOT MAKE GRANTS IN RELIGION, MEDICAL RESEARCH, OR RESEARCH IN THE HUMANITIES.
- THE FOUNDATION DOES NOT MAKE GRANTS AIMED AT PRE-COLLEGE STUDENTS EXCEPT THROUGH ITS NEW YORK CITY INITIATIVE.
- THE FOUNDATION DOES NOT MAKE GRANTS TO PROJECTS IN THE CREATIVE OR PERFORMING ARTS EXCEPT WHEN THOSE PROJECTS ARE RELATED TO EDUCATING THE PUBLIC ABOUT SCIENCE, TECHNOLOGY, OR ECONOMICS.
- THE FOUNDATION DOES NOT MAKE GRANTS FOR ENDOWMENTS, FUNDRAISING DRIVES, OR FUNDRAISING DINNERS.
- THE FOUNDATION DOES NOT MAKE GRANTS IN SUPPORT OF THE PURCHASE, CONSTRUCTION, OR RENOVATION OF BUILDINGS OR LABORATORIES. ON SOME OCCASIONS, THE FOUNDATION WILL SUPPORT THE PURCHASE OR CONSTRUCTION OF SCIENTIFIC EQUIPMENT IF SUCH EQUIPMENT IS ESSENTIAL TO THE SUCCESS OF A FOUNDATION-SUPPORTED RESEARCH PROJECT OR EDUCATIONAL INITIATIVE.

LAUREN VON ECKARTSBERG-FELLOWSHIPS 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111 212-649-1649

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

CANDIDATES ARE NOMINATED BY DEPARTMENT HEADS OR OTHER SENIOR SCHOLARS. DIRECT APPLICATIONS ARE NOT ACCEPTED. NOMINATION FORMS ARE AVAILABLE ON REQUEST TO MS. LAUREN VON ECKARTSBERG OR ONLINE AT WWW.SLOAN.ORG/FELLOWSHIPS. IN ADDITION TO THIS FORM, THE NOMINATOR SHOULD SUBMIT A LETTER DESCRIBING THE CANDIDATE'S QUALIFICATIONS AND

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY SHOULD INCLUDE:

MUST SEE THAT THE FOUNDATION RECEIVES 3 SUPPORTING LETTERS DIRECTLY FROM OTHER SCHOLARS, PREFERABLY NOT AT THE SAME INSTITUTION. A CV, A LIST OF THE CANDIDATE'S PUBLICATIONS, A ONE PAGE STATEMENT AS WELL A ONE COPY OF EACH OF NO MORE THAN TWO REPRESENTATIVE PUBLICATIONS SHOULD ACCOMPANY THE NOMINATION FORM AND LETTER.

SUBMISSION DEADLINES:

NOMINATIONS ARE DUE BY MID-SEPTEMBER, AROUND THE 15TH, FOR THOSE AWARDS WHICH ARE AWARDED THE FOLLOWING YEAR.

RESTRICTIONS OR LIMITATIONS ON AWARDS:

- THE SLOAN RESEARCH FELLOWSHIPS SEEK TO STIMULATE FUNDAMENTAL RESEARCH BY EARLY-CAREER SCIENTISTS AND SCHOLARS OF OUTSTANDING PROMISE.
- THESE TWO-YEAR FELLOWSHIPS ARE AWARDED YEARLY TO 126 RESEARCHERS IN RECOGNITION OF DISTINGUISHED PERFORMANCE AND A UNIQUE POTENTIAL TO MAKE SUBSTANTIAL CONTRIBUTIONS TO THEIR FIELD
- CANDIDATES MUST HOLD A TENURE TRACK (OR EQUIVALENT) POSITION AT A COLLEGE, UNIVERSITY, OR OTHER DEGREE-GRANTING INSTITUTION IN THE UNITED STATES OR CANADA.
- TENURE TRACK FACULTY POSITIONS AT THE CANDIDATE'S INSTITUTION MUST INCLUDE A YEARLY TEACHING REQUIREMENT.
- CANDIDATES MUST HOLD A PH.D. (OR EQUIVALENT) IN CHEMISTRY, COMPUTATIONAL OR EVOLUTIONARY MOLECULAR BIOLOGY, COMPUTER SCIENCE, ECONOMICS, MATHEMATICS, NEUROSCIENCE, OCEAN SCIENCES, PHYSICS, OR A RELATED FIELD.

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND				
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT	
AMERICAN ASSOC. FOR THE ADVANCEMENT OF SCIENCE	NONE	TO CONTINUE TO PROMOTE THE PROFESSIONALIZATION	32,575.	
1200 NEW YORK AVENUE, NW	PC	AND INSTITUTIONALIZATION OF THE ROLE OF THE		
WASHINGTON, DC 20005		COMMUNITY ENGAGEMENT MANAGER IN SCIENTIFIC		
		SOCIETIES AND LARGE-SCALE RESEARCH COLLABORATIONS		
AMERICAN ASSOC. FOR THE ADVANCEMENT OF SCIENCE	NONE	TO PROVIDE INFRASTRUCTURE FUNDING TO UPDATE LEGAL	112,800.	
1200 NEW YORK AVENUE, NW	PC	GUIDANCE FOR COLLEGES AND UNIVERSITIES ON		
WASHINGTON, DC 20005		DIVERSITY, EQUITY, AND INCLUSION (DEI) IN STEM		
		AND TO ASSIST WITH THE PILOT PHASE OF SEA CHANGE,		
		A VOLUNTARY, METRICS-BASED SELF-ASSESSMENT SYSTEM		
AMERICAN FILM INSTITUTE	NONE	TO SUPPORT THE DEVELOPMENT AND PRODUCTION OF	115,000.	
2021 NORTH WESTERN AVENUE	PC	SCIENCE AND TECHNOLOGY FILMS, TELEVISION, AND NEW		
LOS ANGELES, CA 90027		MEDIA PROJECTS BY TOP FILM STUDENTS		
AMERICAN FRIENDS OF TOULOUSE SCHOOL OF ECONOMICS	NONE	TO BUILD OUT AN OPEN-SOURCE PLATFORM FOR	100,000.	
C/O SARATOGA ASSOCIATES	PC	REPRODUCIBLY RUNNING LARGE-SCALE BEHAVIORAL		
116 WEST MAIN STREET, SUITE 302		EXPERIMENTS BOTH ONLINE AND IN THE LABORATORY		
SALISBURY, MD 21801				
AMERICAN INSTITUTE OF PHYSICS	NONE	TO SUPPORT GLOBAL, DIGITAL ACCESS TO THE WENNER	200,000.	
CENTER FOR HISTORY OF PHYSICS	PC	COLLECTION ON THE HISTORY OF PHYSICS VIA DETAILED		
ONE PHYSICS ELLIPSE		CATALOGING, DESCRIPTION, ONLINE AVAILABILITY, AND		
COLLEGE PARK, MD 20740		INITIAL OUTREACH		
AMERICAN MUSEUM OF THE MOVING IMAGE	NONE	TO MAINTAIN AND DEVELOP THE COMPREHENSIVE,	128,484.	
36-01 35TH AVENUE	PC	UP-TO-DATE, GO-TO SITE FOR THE NATIONWIDE SLOAN		
ASTORIA, NY 11106		FILM PROGRAM, ITS PARTICIPATING PARTNERS AND 500+		
		FILM PROJECTS AND TO ADD A SLOAN FILM CHANNEL		

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ANNUAL REVIEWS	NONE	TO PUBLISH A DIGITAL MAGAZINE THAT UNLOCKS	250,000.
4139 EL CAMINO WAY	PC	SCIENTIFIC RESEARCH TO INFORM THE PUBLIC	
PALO ALTO, CA 94303		DISCOURSE IN MULTIPLE SUBJECTS WITH COMPELLING,	
		TIMELY, AND IMPARTIAL KNOWLEDGE	
ANNUAL REVIEWS	NONE	TO DEVELOP AND EXPAND KNOWABLE MAGAZINE, A NEW	420,000.
4139 EL CAMINO WAY	PC	DIGITAL PUBLICATION THAT UNLOCKS SCIENTIFIC	
PALO ALTO, CA 94303		RESEARCH TO INFORM THE PUBLIC DISCOURSE WITH	
11mo 1m10, GN 91303		COMPELLING, TIMELY, AND IMPARTIAL KNOWLEDGE	
		COMPRESENCE, TIMEST, AND IMPARTIAL RECORDED OF	
APPALACHIAN STATE UNIVERSITY	NONE	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY,	63,254.
P.O. BOX 32174, 287 RIVERS STREET	PC	AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW	
BOONE, NC 28608		COMMITTEE, BY EXAMINING HOW BEHAVIORAL NUDGES IN	
		THE FORM OF ELECTRONIC NOTIFICATIONS IMPACT	
		ELECTRICITY CONSUMPTION AND ENERGY EFFICIENCY	
		PROGRAM PARTICIPATION	
ARIZONA STATE UNIVERSITY	NONE	TO EXAMINE ALTERNATIVE GOVERNANCE APPROACHES AND	89,615.
300 E UNIV. DRIVE, PO BOX 877205	GOV	INSTITUTIONS ASSOCIATED WITH GEOENGINEERING	
TEMPE, AZ 85287-7205		RESEARCH, FOCUSING ON SOLAR RADIATION MANAGEMENT	
		FIELD EXPERIMENTS, USING PARTICIPATORY	
		DELIBERATION METHODOLOGIES	
ARIZONA STATE UNIVERSITY	NONE	TO CREATE A FREE, OPEN SOURCE, INTERACTIVE,	63,648.
300 E UNIV. DRIVE, PO BOX 877205	GOV	DIGITAL EDITION OF FRANKENSTEIN: ANNOTATED FOR	
TEMPE, AZ 85287-7205		SCIENTISTS, ENGINEERS AND CREATORS OF ALL KINDS	
		THAT BRIDGES THE SCIENCES AND HUMANITIES AND	
		SEEKS TO FOSTER AN ENGAGED COMMUNITY OF READERS	
ARIZONA STATE UNIVERSITY	NONE	TO DEVELOPE PREDICTIVE MODEL SYSTEMS OF	70,000.
		POLYMICROBIAL BIOFILM FORMATION AND	.,
		SUSCEPTIBILITY TO CHEMICAL DISINFECTANT: A	
		LONGITUDINAL STUDY WITH IMPLICATIONS FOR	
		DONOTIONAD STODE WITH IMPLICATIONS FOR	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
300 E UNIV. DRIVE, PO BOX 877205	GOV	SPACEFLIGHT SYSTEMS INTEGRITY AND HEALTH RISKS	
TEMPE, AZ 85287-7205			
IBME B, NB 03207 7203			
ARIZONA STATE UNIVERSITY	NONE	TO SUPPORT STUDENT PARTICIPATION AT THE ENERGY	20,000.
300 E UNIV. DRIVE, PO BOX 877205	GOV	AND SOCIETY IN TRANSITION CONFERENCE	
TEMPE, AZ 85287-7205			
TEMPE, AZ 05207 7205			
ARIZONA STATE UNIVERSITY	NONE	TO INTEGRATE ENGINEERING PRINCIPLES AND THOUGHT	122,846.
300 E UNIV. DRIVE, PO BOX 877205	GOV	INTO THE MASTERS OF PUBLIC POLICY/MASTERS OF	
TEMPE, AZ 85287-7205		PUBLIC ADMINISTRATION PROGRAMS TO ENHANCE THE	
11.1127 111 00207 7200		EFFECTIVENESS OF PUBLIC AND NONPROFIT MANAGERS	
		EFFECTIVENESS OF FUBLIC AND NONFROFTI MANAGERS	
ASPIRATION	NONE	TO REDUCE BARRIERS TO DATA PUBLICATION BY	448,800.
2973 16TH STREET, STE 300	PC	PROVIDING CONTEXT-SPECIFIC GUIDANCE ON SHARING	,
SAN FRANCISCO, CA 94103		BEST PRACTICE, INCLUDING SUITABLE REPOSITORIES	
DAN FRANCISCO, CA 94105		DEST FRACTICE, INCHODING SOTIABLE REFOSITORIES	
ASSOCIATION OF AMERICAN UNIVERSITIES	NONE	TO CONSTRUCT MORE STUDENT-CENTERED EDUCATIONAL	150,000.
1200 NEW YORK AVE, NW, STE 550	PC	ENVIRONMENTS FOR ALL GRADUATE STUDENTS BY ACTIVE	
WASHINGTON, DC 20005		INSTITUTIONAL AND DEPARTMENTAL SUPPORT FOR A	
midnikerok, 20 20005		VARIETY OF CAREER OPTIONS AND FOR INCREASED	
		INCLUSION OF STUDENTS FROM UNDERREPRESENTED GROUPS	
ASSOCIATION OF AMERICAN UNIVERSITIES	NONE	TO UNDERTAKE PLANNING ACTIVITIES IN PREPARATION	20,000.
1200 NEW YORK AVE, NW, STE 550	PC	FOR A MAJOR NEW INITIATIVE ON PHD EDUCATION IN	20,000.
	FC		
WASHINGTON, DC 20005		COLLABORATION WITH AAU MEMBER UNIVERSITIES	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP	TO	SHRSTANTIAL	CONTRIBITOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AGGOGIATION OF PROPARTY LIPPARTY	MONT	TO DELIVE OF AND DESCRIPTIVATE A GODE OF DEGE	164 662
ASSOCIATION OF RESEARCH LIBRARIES	NONE	TO DEVELOP AND DISSEMINATE A CODE OF BEST	164,663.
21 DUPONT CIRCLE, NW	PC	PRACTICES IN FAIR USE FOR SOFTWARE PRESERVATION	
WASHINGTON, DC 20036			
ASTROPHYSICAL RESEARCH CONSORTIUM	NONE	TO UNDERTAKE THE SLOAN DIGITAL SKY SURVEY V	2,375,000.
U OF WASHINGTON, BOX 351580	PC	(SDSS-V), WHICH WILL UTILIZE ALL-SKY	
C/O DEPT OF ASTRONOMY		SPECTROSCOPIC OBSERVATIONS TO EXPLAIN THE GENESIS	
SEATTLE, WA 98195		OF THE MILKY WAY AND ITS NEIGHBORS,	
		COMPREHENSIVELY TEST STELLAR ASTROPHYSICS AND	
		STAR-PLANET RELATIONS, PROBE SUPERMASSIVE BLACK	
		HOLE PHYSICS, AND MAP, ON UNPRECEDENTED SCALES,	
		THE MILKY WAY'S INTERSTELLAR GAS AND THAT OF	
		NEARBY GALAXIES	
ASTROPHYSICAL RESEARCH CONSORTIUM	NONE	TO MAXIMIZE THE SUSTAINABILITY OF THE SLOAN	231,000.
U OF WASHINGTON, BOX 351580	PC	DIGITAL SKY SURVEY (SDSS) DATA ARCHIVE	
C/O DEPT OF ASTRONOMY			
SEATTLE, WA 98195			
ASTROPHYSICAL RESEARCH CONSORTIUM	NONE	TO CONTINUE SUPPORT FOR THE FACULTY AND STUDENT	200,000.
U OF WASHINGTON, BOX 351580	PC	TEAM (FAST) PROGRAM WITHIN THE SLOAN DIGITAL SKY	
C/O DEPT OF ASTRONOMY		SURVEY (SDSS) THAT AIMS TO INCREASE THE NUMBER OF	
SEATTLE, WA 98195		UNDERREPRESENTED MINORITY STUDENTS AND FACULTY IN	
		THE COLLABORATION AND INCREASE THE NUMBER OF	
		STUDENTS PURSUING ASTRONOMY PH.D. PROGRAMS	
BARNARD COLLEGE	NONE	TO SUPPORT A SCREENING OF THE SLOAN-SUPPORTED	15,000.
3009 BRDWAY	PC	DOCUMENTARY BOMBSHELL: THE HEDY LAMARR STORY AND	
NEW YORK, NY 10027-6598		A PANEL ABOUT PORTRAYING WOMEN IN SCIENCE ON THE	
		SCREEN AT THE ATHENA FILM FESTIVAL IN FEBRUARY	
		2018	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

BOSTON, MA 02215

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BENJAMIN EHRLICH	NONE	TO SUPPORT THE RESEARCH AND WRITING OF THE BRAIN	45,000.
2176 ELLERY AVENUE	I	THAT DISCOVERED ITSELF, ABOUT THE FATHER OF	
FORT LEE, NJ 07627		NEUROSCIENCE SANTIAGO RAMON Y CAJAL, TO BE	
		PUBLISHED BY FARRAR, STRAUS AND GIROUX IN FALL	
		2020	
BIOBUS	NONE	TO SUPPORT EXPANSION OF THE BIOBUS AND BIOBASE	250,000.
1361 AMSTERDAM AVE STE 340	PC	STEM EDUCATION PROGRAMS IN HARLEM	
NEW YORK, NY 10027			
BOSTON COLLEGE	NONE	TO STRENGTHEN NETWORK MEMBER ENGAGEMENT OF THE	191,729.
140 COMMONWEALTH AVENUE	PC	SLOAN RESEARCH NETWORK AND SUCCESSFULLY	
CHESTNUT HILL, MA 02467		TRANSITION TO A NEW STRUCTURE AND LEADERSHIP	
BOSTON COLLEGE	NONE	TO PRODUCE RESEARCH AND INFORM POLICY-MAKERS	129,242.
140 COMMONWEALTH AVENUE	PC	ABOUT THE ROLE THAT NON-TRADITIONAL JOBS PLAY FOR	
CHESTNUT HILL, MA 02467		OLDER WORKERS	
BOSTON UNIVERSITY	NONE	TO DEVELOP, EVALUATE, AND TRANSFER TO PRACTICE A	100,000.
725 COMMONWEALTH AVENUE	PC	ROBUST FRAMEWORK OF DISTRIBUTION LOCATIONAL	
BOSTON, MA 02215		MARGINAL PRICES THAT CAN IMPROVE EFFICIENCIES IN	
		ELECTRICITY DISTRIBUTION	
BOSTON UNIVERSITY	NONE	TO ORGANIZE AN INTERDISCIPLINARY CONFERENCE THAT	50,000.
725 COMMONWEALTH AVENUE	PC	WILL LAUNCH THE ECOLOGICAL FORECASTING INITIATIVE	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BOSTON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR WEN LI IN	65,000.
725 COMMONWEALTH AVENUE	PC	PHYSICS	
BOSTON, MA 02215			
BOSTON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ANUSHYA	65,000.
725 COMMONWEALTH AVENUE	PC	CHANDRAN IN PHYSICS	
BOSTON, MA 02215			
BOSTON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JENNIFER	65,000.
725 COMMONWEALTH AVENUE	PC	BALAKRISHNAN IN MATHEMATICS	
BOSTON, MA 02215			
BRANDEIS UNIVERSITY	NONE	TO CREATE THE FIRST COMPREHENSIVE DATABASE, WITH	190,703.
415 SOUTH STREET, MS-062	PC	AGES AND GENDERS, OF APPROXIMATELY TWO MILLION	
WALTHAM, MA 02454-9110		INVENTORS WHO RECEIVED A U.S. PATENT BETWEEN 1976	
		AND 2012 AND TO MEASURE HOW INVENTIVE CREATIVITY	
		VARIES OVER THE LIFE COURSE OF INVENTORS	
BROOKINGS INSTITUTION	NONE	TO PROMOTE INDEPENDENT, UNBIASED, AND NONPARTISAN	100,000.
1775 MASSACHUSETTS AVENUE, NW	PC	ECONOMIC RESEARCH ON REGULATORY ECONOMICS	
WASHINGTON, DC 20036			
BROOKINGS INSTITUTION	NONE	TO CONTINUE SUPPORTING THE PRODUCTION AND	100,000.
1775 MASSACHUSETTS AVENUE, NW	PC	DISSEMINATION OF ACCESSIBLE, RELIABLE, AND	
WASHINGTON, DC 20036		INFLUENTIAL RESEARCH THROUGH THE BROOKINGS PAPERS	
		ON ECONOMIC ACTIVITY	

ATTACHMENT 21

0170665-00002

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BROOKINGS INSTITUTION	NONE	TO DEVELOP AND JUSTIFY SPECIFIC, ACTIONABLE	464,017.
1775 MASSACHUSETTS AVENUE, NW	PC	POLICY REFORMS TO ENCOURAGE INCREASED SAVING AND	
WASHINGTON, DC 20036		ENABLE LONGER WORKING LIVES, AS WELL AS TO	
		IDENTIFY POLICY REFORMS TO ENABLE CONSUMERS TO	
		HAVE GREATER ACCESS TO AND UNDERSTANDING OF	
		RETIREMENT PRODUCTS IN THE PRIVATE MARKET	
BROOKINGS INSTITUTION	NONE	TO ORGANIZE, STRUCTURE, AND SYNTHESIZE RESEARCH	496,589.
1775 MASSACHUSETTS AVENUE, NW	PC	ON THE MEASUREMENT OF PRODUCTIVITY	
WASHINGTON, DC 20036			
BROWN UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MELODY	65,000.
164 ANGELL STREET	PC	CHAN IN MATHEMATICS	
PROVIDENCE, RI 02906			
BROWN UNIVERSITY	NONE	TO SUPPORT THE 2018 BLACKWELL-TAPIA CONFERENCE	33,500.
164 ANGELL STREET	PC	PROVIDING EARLY-CAREER MINORITY MATHEMATICIANS	
PROVIDENCE, RI 02906		WITH ENHANCED UNDERSTANDING OF THEIR FIELD,	
		NETWORKING WITH PEERS, AND INTERACTIONS WITH	
		SENIOR RESEARCHERS	
CALIFORNIA INSTITUTE OF TECHNOLOGY	NONE	TO DEVELOP, TEST, AND APPLY NEURO-ECONOMIC MODELS	206,057.
			200,057.
1200 EAST CALIFORNIA BLVD.	PC	OF HOW DECISION-MAKERS SWITCH BETWEEN	
PASADENA, CA 91125		HABIT-DRIVEN AND GOAL-SEEKING BEHAVIORS	
CALIFORNIA INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DAVID	65,000.
1200 EAST CALIFORNIA BLVD.	PC	SIMMONS-DUFFIN IN PHYSICS	
PASADENA, CA 91125			

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CALIFORNIA INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR	65,000.
1200 EAST CALIFORNIA BLVD.	PC	KONSTANTIN BATYGIN IN PHYSICS	
PASADENA, CA 91125			
CALIFORNIA INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JIM	65,000.
1200 EAST CALIFORNIA BLVD.	PC	FULLER IN PHYSICS	
PASADENA, CA 91125			
CALIFORNIA POLYTECHNIC STATE UNIVERSITY	NONE	TO DEVELOP SOFTWARE AND OTHER COMPUTATIONAL	547,947.
ONE GRAND AVENUE	PC	RESEARCH INFRASTRUCTURE FOR PROVIDING SAFE AND	
SAN LUIS OBISPO, CA 93407		SECURE ACCESS TO SENSITIVE DATA	
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO SYNTHESIZE THE WORK OF THE RESERVOIRS AND	718,750.
1530 P STREET, N.W.	PC	FLUXES COMMUNITY OF THE DEEP CARBON OBSERVATORY	
WASHINGTON, DC 20005			
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO SUPPORT THE SECRETARIAT OF THE DEEP CARBON	648,920.
1530 P STREET, N.W.	PC	OBSERVATORY TO BRING THE PROGRAM TO EFFECTIVE	
WASHINGTON, DC 20005		CLOSURE AND ASSURE ITS LEGACIES	
CARNEGIE MELLON UNIVERSITY	NONE	TO STUDY THE JOINING TRAJECTORIES AND SOCIAL	249,942.
5000 FORBES AVENUE	PC	NETWORK POSITIONS OF MALE AND FEMALE DEVELOPERS	
PITTSBURGH, PA 15213		IN OPEN SOURCE DIGITAL INFRASTRUCTURE PROJECTS	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARNEGIE MELLON UNIVERSITY	NONE	TO SUPPORT THE DEVELOPMENT AND PRODUCTION OF	121,615.
5000 FORBES AVENUE	PC	SCIENCE AND TECHNOLOGY FILMS, TELEVISION, AND NEW	
PITTSBURGH, PA 15213		MEDIA PROJECTS BY TOP FILM STUDENTS	
CARNEGIE MELLON UNIVERSITY	NONE	TO ANALYZE THE CHALLENGES AND OPPORTUNITIES	100,000.
5000 FORBES AVENUE	PC	ASSOCIATED WITH UPGRADING AND TRANSFORMING HIGH	
PITTSBURGH, PA 15213		VOLTAGE TRANSMISSION LINES AS COMPARED WITH	
		SITING NEW TRANSMISSION INFRASTRUCTURE	
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR CHRIS	65,000.
5000 FORBES AVENUE	PC	HARRISON IN COMPUTER SCIENCE	,
PITTSBURGH, PA 15213			
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ANDREAS	65,000.
5000 FORBES AVENUE	PC	PFENNING IN COMPUTATIONAL & EVOLUTIONARY	
PITTSBURGH, PA 15213		MOLECULAR BIOLOGY	
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR BRYAN	65,000.
5000 FORBES AVENUE	PC	PARNO IN COMPUTER SCIENCE	
PITTSBURGH, PA 15213			
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR VENKAT	65,000.
5000 FORBES AVENUE	PC	VISWANATHAN IN CHEMISTRY	
PITTSBURGH, PA 15213			

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ALFRED P. SLOAN FOUNDATION

CHICAGO, IL 60611

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ANDREW	65,000.
5000 FORBES AVENUE	PC	PAVLO IN COMPUTER SCIENCE	
PITTSBURGH, PA 15213			
CATTICUS CORPORATION	NONE	TO PRODUCE "LOOK WHO'S DRIVING", A ONE-HOUR	600,000.
2600 TENTH STREET, STE 619	PC	DOCUMENTARY ABOUT AUTONOMOUS VEHICLE TECHNOLOGY,	
BERKELEY, CA 94710		TO AIR ON PBS'S NOVA	
CENTER FOR ECONOMIC AND POLICY RESCH	NONE	TO ANALYZE THE EFFECT OF AND ADDITIONAL	115,750.
1611 CONNECTICUT AVE, NW, STE 400	PC	CONTRACTING LAYER, MANAGED SERVICES PROVIDERS	
WASHINGTON, DC 20009		(MSPS) ON LEAD FIRMS AND WORKERS ENGAGED IN	
		NON-STANDARD WORK ARRANGEMENTS	
CENTER FOR OPEN SCIENCE	NONE	TO IMPLEMENT AND TEST FEATURES TO SIGNAL	247,243.
210 RIDGE MCINTIRE RD, STE 500	PC	CREDIBILITY AND TRUST ON PREPRINT SERVICES	
CHARLOTTESVILLE, VA 22903			
CENTER FOR STRATEGIC AND INTERNATIONAL STUDIES	NONE	TO SUPPORT RESEARCH AND WORKSHOPS IN THE ENERGY	124,475.
1616 RHODE ISLAND AVENUE, NW	PC	IN AMERICA PROJECT TO EXAMINE HOW ENERGY IMPACTS	
WASHINGTON, DC 20036		THE UNITED STATES ECONOMY AT MULTIPLE LEVELS AND	
		THE CHANGING ROLE OF ENERGY DEVELOPMENT ON JOB	
		CREATION	
CHICAGO PUBLIC MEDIA, INC.	NONE	TO SUPPORT SCIENCE-AND-TECHNOLOGY-THEMED EPISODES	50,000.
848 E. GRAND AVE, NAVY PIER	PC	ON THE NERDETTE PODCAST	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CITY COLLEGE OF NEW YORK - CUNY	NONE	TO DEVELOP AN OPEN SOURCE FRAMEWORK FOR	125,000.
CONVENT AVENUE AT 138TH STREET	PC	PROTOTYPING 3D SCIENTIFIC VISUALIZATION	
NEW YORK, NY 10031		APPLICATIONS USING GAME ENGINES	
CODE FOR SCIENCE AND SOCIETY	NONE	TO SUPPORT BETTER USE OF SPREADSHEETS IN RESEARCH	609,500.
1221 SE 34TH AVE.	PC	THROUGH CONTINUED DEVELOPMENT OF SOFTWARE THAT IS	
PORTLAND, OR 97214		USER-FRIENDLY, DESIGNED TO INTEGRATE WITH	
		EXISTING OPEN TOOLS AND LANGUAGES, CUSTOMIZABLE	
		BY DISCIPLINE, AND SUPPORTIVE OF BEST PRACTICES	
		IN DATA MANAGEMENT AND COMPUTATIONAL	
		REPRODUCIBILITY	
CODE FOR SCIENCE AND SOCIETY	NONE	TO SUPPORT FURTHER DEVELOPMENT OF PREREVIEW, A	65,780.
1221 SE 34TH AVE.	PC	PLATFORM TO IMPROVE THE TRAINING OF SCIENTISTS IN	
PORTLAND, OR 97214		PEER REVIEW PRACTICES	
CODE FOR SCIENCE AND SOCIETY	NONE	TO PARTIALLY SUPPORT THE PRODUCTION OF A HANDBOOK	34,300.
1221 SE 34TH AVE.	PC	ON BEST PRACTICES FOR OPEN SOURCE ACADEMIC	
PORTLAND, OR 97214		SOFTWARE COMMUNITIES	
CODE FOR SCIENCE AND SOCIETY	NONE	TO SUPPORT COMMUNITY ENGAGEMENT AND BETA TESTING	123,240.
1221 SE 34TH AVE.	PC	OF STENCILA SHEETS	
PORTLAND, OR 97214			
COLD SPRING HARBOR LABORATORY	NONE	TO SUPPORT A BANBURY MEETING ON THE MICROBIOLOGY	67,000.
ONE BUNGTOWN RD	PC	OF THE BUILT ENVIRONMENT	
COLD SPRING HARBOR, NY 11724			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLD SPRING HARBOR LABORATORY	NONE	TO SUPPORT A BANBURY MEETING ON SIGNALS OF TRUST	67,100.
ONE BUNGTOWN RD	PC	WITHIN SCHOLARLY COMMUNICATION	
COLD SPRING HARBOR, NY 11724			
COLLEGE OF WILLIAM AND MARY	NONE	TO DEVELOP THE INDOOR SURFACE EXTRACTOR/COLLECTOR	50,000.
P.O. BOX 8795	GOV		
WILLIAMSBURG, VA 23187-8795			
COLORADO SCHOOL OF MINES	NONE	TO EXAMINE BIODETERIORATION AND BIOCORROSION IN	70,000.
1500 ILLINOIS STREET	GOV	SPACEFLIGHT ECOSYSTEMS: IMPLICATIONS FOR	
GOLDEN, CO 80401		MATERIAL/ MICROBIOME INTERACTIONS ON THE	
		INTERNATIONAL SPACE STATION	
COLORADO SCHOOL OF MINES	NONE	TO PROVIDE EARLY-CAREER ECONOMISTS AND OTHER	100,000.
1500 ILLINOIS STREET	GOV	SOCIAL SCIENTISTS WITH TRAINING AND AN	
GOLDEN, CO 80401		UNDERSTANDING OF TECHNOLOGICAL DIMENSIONS OF	
		ELECTRICITY DISTRIBUTION SYSTEMS	
COLORADO SCHOOL OF MINES	NONE	TO CONTINUE A SUMMER SCHOOL TRAINING PROGRAM THAT	116,236.
1500 ILLINOIS STREET	GOV	PROVIDES EARLY CAREER ECONOMISTS AND SOCIAL	
GOLDEN, CO 80401		SCIENTISTS WITH AN UNDERSTANDING OF TECHNOLOGICAL	
		DIMENSIONS OF ELECTRICITY DISTRIBUTION SYSTEMS	
GOLODADO GERANDE L'INLLANDEGENA	NONE	TO DEVELOP AND THOSE CONTINUES TO LONG TO TOWN	252 604
COLORADO STATE UNIVERSITY		TO DEVELOP AND TEST SOFTWARE TO IDENTIFY ISOMERS	253,684.
1874 CAMPUS DELIVERY	GOV	BASED ON DIFFERENCES IN BINDING ENERGY USING	
FORT COLLINS, CO 80523-6015		TIME-OF-FLIGHT CHEMICAL IONIZATION MASS	
		SPECTROMETRY	

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ALFRED P. SLOAN FOUNDATION

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLUMBIA UNIVERSITY	NONE	TO ENCOURAGE THE NEXT GENERATION OF FILMMAKERS TO	96,000.
116TH ST & BROADWAY	PC	WRITE SCREENPLAYS AND PRODUCE SHORT FILMS ABOUT	
NEW YORK, NY 10027		SCIENCE AND TECHNOLOGY THROUGH ENHANCED RESEARCH,	
		MENTORSHIP, AND AWARD OPPORTUNITIES	
COLUMBIA UNIVERSITY	NONE	TO SUPPORT THE COLUMBIA ENERGY EXCHANGE PODCAST	50,000.
116TH ST & BROADWAY	PC	SERIES TO DISSEMINATE INFORMATION AND DEEPEN	
NEW YORK, NY 10027		DIALOGUE AROUND ENERGY AND ENVIRONMENT ISSUES	
COLUMBIA UNIVERSITY	NONE	TO CONTINUE SUPPORT FOR THE WOMEN IN ENERGY	100,000.
116TH ST & BROADWAY	PC	PROGRAM AT THE CENTER ON GLOBAL ENERGY POLICY TO	
NEW YORK, NY 10027		IMPROVE THE ENGAGEMENT OF WOMEN IN ENERGY POLICY,	
		SECURITY, AND TECHNOLOGY COMMUNITIES	
COLUMBIA UNIVERSITY	NONE	TO ENABLE GREATER USE OF MACHINE LEARNING	158,677.
116TH ST & BROADWAY	PC	TECHNIQUES IN SCIENTIFIC RESEARCH THROUGH	
NEW YORK, NY 10027		TECHNICAL AND USER EXPERIENCE IMPROVEMENTS TO	
		SCIKIT-LEARN	
COLUMBIA UNIVERSITY	NONE	TO CONTINUE THE ENERGY JOURNALISM INITIATIVE AND	220,591.
116TH ST & BROADWAY	PC	EDUCATE JOURNALISTS ON RECENT RESEARCH TOPICS AND	
NEW YORK, NY 10027		KEY FINDINGS RELATED TO ENERGY ECONOMICS, POLICY,	
		SECURITY, AND TECHNOLOGY	
COLUMBIA UNIVERSITY	NONE	TO PROVIDE CONTINUING SUPPORT FOR THE CENTER ON	50,000.
116TH ST & BROADWAY	PC	GLOBAL ENERGY POLICY'S EXTERNAL SPEAKER SERIES	
NEW YORK, NY 10027		AND ROUNDTABLE DISCUSSIONS TO INCREASE STUDENT	
		AND PUBLIC UNDERSTANDING OF KEY ENERGY ISSUES	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLUMBIA UNIVERSITY	NONE	TO EXAMINE HYDROLYSIS REACTIONS ON DAMP SURFACES	299,998.
116TH ST & BROADWAY	PC	AND THE IMPACT ON INDOOR AIR QUALITY	
NEW YORK, NY 10027			
COLUMBIA UNIVERSITY	NONE	TO CONDUCT EXPERIMENTS ON THE BEHAVIORAL WELFARE	149,933.
116TH ST & BROADWAY	PC	EFFECTS OF HETEROGENEOUS NUDGING	
NEW YORK, NY 10027			
COLUMBIA UNIVERSITY	NONE	TO DEVELOP A GLOBAL, SCALABLE, AND SUSTAINABLE	195,592.
116TH ST & BROADWAY	PC	TECHNICAL AND ORGANIZATIONAL INFRASTRUCTURE FOR	
NEW YORK, NY 10027		PERSISTENT UNIQUE IDENTIFIERS OF PHYSICAL	
		SCIENTIFIC SAMPLES	
COLUMBIA UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DAVID	65,000.
116TH ST & BROADWAY	PC	KIPPING IN PHYSICS	
NEW YORK, NY 10027			
COLUMBIA UNIVERSITY	NONE	TO PARTIALLY SUPPORT A SUMMIT OF DATA SCIENCE	20,000.
116TH ST & BROADWAY	PC	LEADERSHIP ACROSS US UNIVERSITIES	
NEW YORK, NY 10027			
COMMUNITY INITIATIVES	NONE	TO SUPPORT THE DEVELOPMENT OF DATA AND	260,150.
354 PINE STREET, STE 700	PC	COMPUTATIONAL SKILLS TRAINING CURRICULA IN IMAGE	
SAN FRANCISCO, CA 94104		ANALYSIS, ECONOMICS, AND CHEMISTRY	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COMMUNITY INITIATIVES	NONE	TO PARTIALLY SUPPORT THE FIRST CARPENTRYCON	19,500.
354 PINE STREET, STE 700	PC		
SAN FRANCISCO, CA 94104			
GONGLIMED DEDODES	NOVE	TO PROPARCY CONSIMER ADMINISTRAÇÃO ON PACATRAL	171 040
CONSUMER REPORTS	NONE	TO RESEARCH CONSUMER ATTITUDES ON DIGITAL	171,040.
101 TRUMAN AVENUE	PC	PRIVACY, CONVENE EXPERTS AND TEST TECHNOLOGY	
YONKERS, NY 10703		PLATFORMS ON THEIR PRIVACY PRACTICES, AND EDUCATE	
		CONSUMERS ABOUT DIGITAL PRIVACY AND SECURITY	
COOLIDGE CORNER THEATRE FOUNDATION	NONE	TO SUSTAIN AND EXPAND THE NATIONAL SCIENCE ON	280,000.
290 HARVARD STREET	PC	SCREEN PROGRAM, WITH A FOCUS ON ENHANCED WEB AND	
BROOKLINE, MA 02446		SOCIAL MEDIA PROMOTION	
COOPER UNION FOR THE ADVANCEMET OF SCIENCE & ART	NONE	TO PROVIDE RENEWED SUPPORT FOR STEM SATURDAYS	275,000.
30 COOPER SQUARE, 8TH FLOOR	PC		
NEW YORK, NY 10003			
CORNELL UNIVERSITY	NONE	TO SUPPORT RESEARCH FOR AND WRITING OF DATA	22,531.
373 PINE TREE RD	PC	DRIVEN: TRUCKERS AND THE NEW WORKPLACE	
ITHACA, NY 14850-2820		SURVEILLANCE (PRINCETON UNIVERSITY PRESS 2018), A	
		BOOK ABOUT DIGITAL SURVEILLANCE OF LONG-HAUL	
		TRUCKERS AND DIGITAL SURVEILLANCE IN THE	
		WORKPLACE	
CORNELL UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR KIN FAI	13,902.
373 PINE TREE RD	PC	MAK IN PHYSICS, ORIGINALLY AWARDED TO THE	
ITHACA, NY 14850-2820		PENNSYLVANIA STATE UNIVERSITY	

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ALFRED P. SLOAN FOUNDATION

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JIN	65,000.
PC	SUNTIVICH IN CHEMISTRY	
NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR KARTHIK	65,000.
PC	SRIDHARAN IN COMPUTER SCIENCE	
NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR BRETT	65,000.
PC	FORS IN CHEMISTRY	
NONE	TO PROVIDE RENEWED SUPPORT TO EXAMINE HOW	125,000.
PC	DISINFECTANTS MAY PROMOTE ANTIBIOTIC RESISTANCE	
	THROUGH HORIZONTAL GENE TRANSFER	
NONE	TO PROMOTE ECONOMICS EDUCATION IN METROPOLITAN	90,000.
PC	NEW YORK HIGH SCHOOLS BY RECOGNIZING INNOVATIVE	
	TEACHERS, SPREADING SUCCESSFUL METHODS, AND	
	MOTIVATING DIVERSE STUDENTS	
NONE	TO ORGANIZE A WORKSHOP EXAMINING THE ECONOMIC,	50,000.
PC	TECHNICAL, REGULATORY AND SECURITY IMPACTS OF	
	CLIMATE RISKS ON ENERGY SYSTEMS	
	FOUNDATION STATUS OF RECIPIENT NONE PC NONE PC NONE PC NONE PC NONE PC NONE PC NONE PC	POUNDATION STATUS OF RECIPIENT PURPOSE OF GRANT OR CONTRIBUTION ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JIN SUNTIVICH IN CHEMISTRY NONE ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR KARTHIK PC ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR KARTHIK SRIDHARAN IN COMPUTER SCIENCE NONE ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR BRETT FORS IN CHEMISTRY NONE TO PROVIDE RENEWED SUPPORT TO EXAMINE HOW DISINFECTANTS MAY PROMOTE ANTIBIOTIC RESISTANCE THROUGH HORIZONTAL GENE TRANSFER NONE TO PROMOTE ECONOMICS EDUCATION IN METROPOLITAN MOTIVATING DIVERSE STUDENTS NONE TO ORGANIZE A WORKSHOP EXAMINING THE ECONOMIC, PC TECHNICAL, REGULATORY AND SECURITY IMPACTS OF

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ALFRED P. SLOAN FOUNDATION

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COUNCIL ON FOUNDATIONS, INC.	NONE	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND	25,000.
2121 CRYSTAL DRIVE, SUITE 700	PC	CHARITABLE COMMUNITY	
ARLINGTON, VA 22202			
COUNCIL ON LIBRARY AND INFO. RESOURCES	NONE	TO IMPROVE DATA MANAGEMENT PRACTICES IN ENERGY	100,000.
1707 L STREET NW, STE 650	PC	ECONOMICS AND POLICY ANALYSIS RESEARCH THROUGH A	
WASHINGTON, DC 20036-2124		POSTDOCTORAL FELLOWSHIP PROGRAM IN DATA CURATION	
		FOR ENERGY ECONOMICS	
COUNCIL ON LIBRARY AND INFO. RESOURCES	NONE	TO SUPPORT DATA AND SOFTWARE CURATION	200,000.
1707 L STREET NW, STE 650	PC	POSTDOCTORAL FELLOWSHIPS, IN ORDER TO DEVELOP	
WASHINGTON, DC 20036-2124		EMERGING LEADERS IN THE FIELD AND BUILD CAPACITY	
		WITHIN ACADEMIC LIBRARIES	
DARTMOUTH COLLEGE	NONE	TO STUDY, BY RUNNING BEHAVIORAL EXPERIMENTS, HOW	163,895.
11 ROPE FERRY RD	PC	CONSUMERS MAKE DECISIONS ABOUT INSURANCE PRODUCTS	
HANOVER, NH 03755		LIKE ANNUITIES	
DARTMOUTH COLLEGE	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TREB	65,000.
11 ROPE FERRY RD	PC	ALLEN IN ECONOMICS	
HANOVER, NH 03755			
DARTMOUTH COLLEGE	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR KATHERINE	65,000.
11 ROPE FERRY RD	PC	MIRICA IN CHEMISTRY	
HANOVER, NH 03755			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
DECISION SCIENCE RESCH INSTITUTE, INC.	NONE	TO CONDUCT SURVEYS, MEASUREMENTS, AND BEHAVIORAL	309,410.
1201 OAK STREET, STE 200	PC	EXPERIMENTS ABOUT PUBLIC PERCEPTIONS OF RISK	
EUGENE, OR 97401		USING NEW METHODS AND TECHNOLOGIES	
DIGITAL PUBLIC LIBRARY OF AMERICA, INC.	NONE	TO LEVERAGE DPLA'S NATIONAL NETWORK FOR THE	847,674.
P.O. BOX 426038	PC	CREATION OF A FREE EBOOK COLLECTION AVAILABLE IN	
CAMBRIDGE, MA 02142		50 STATES AND A PILOT EBOOK MARKETPLACE FOR	
		THOUSANDS OF LIBRARIES AND SCHOOLS	
DUKE UNIVERSITY	NONE	TO SUPPORT AN ENERGY DATA ANALYTICS PREDOCTORAL	77,000.
124 SCI'S DRIVE	PC	FELLOWS PROGRAM TO ADVANCE MULTIDISCIPLINARY	
DURHAM, NC 27701		TRAINING AND COLLABORATION AMONG EARLY CAREER	
		RESEARCHERS IN ENERGY DATA ANALYTICS	
DUKE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR LILLIAN	65,000.
124 SCI'S DRIVE	PC	PIERCE IN MATHEMATICS	
DURHAM, NC 27701			
DUKE UNIVERSITY	NONE	TO LAUNCH AN INTERNATIONAL SUMMER SCHOOL ON	178,758.
124 SCI'S DRIVE	PC	COMPUTATIONAL SOCIAL SCIENCE	
DURHAM, NC 27701			
EAST CAROLINA UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR REBECCA	65,000.
2200 S. CHARLES BLVD	GOV	ASCH IN OCEAN SCIENCES	
GREENVILLE, NC 27858			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ENSEMBLE STUDIO THEATRE, INC.	NONE	TO COMMISSION, DEVELOP, PRODUCE AND DISSEMINATE	600,000.
545 WEST 52ND STREET	PC	NEW SCIENCE PLAYS IN NEW YORK AND ACROSS THE	
NEW YORK, NY 10019		COUNTRY	
ENVIRONMENTAL DEFENSE FUND INC.	NONE	TO DESIGN AND IMPLEMENT A TRAINING AND NETWORKING	175,000.
			175,000.
257 PARK AVENUE SOUTH	PC	PROGRAM THAT ENHANCES THE DEVELOPMENT OF	
NEW YORK, NY 10010		EARLY-CAREER ENERGY AND ENVIRONMENTAL	
		PROFESSIONALS	
ENVIRONMENTAL DEFENSE FUND INC.	NONE	TO UNDERSTAND THE ECONOMIC AND ENVIRONMENTAL	150,000.
257 PARK AVENUE SOUTH	PC	IMPACTS OF COST-REFLECTIVE ELECTRICITY PRICING	
NEW YORK, NY 10010		SCHEMES RELATED TO DISTRIBUTED ENERGY RESOURCES	
		DEPLOYMENT	
ENVIRONMENTAL LAW INSTITUTE	NONE	TO GENERATE NOVEL, MULTI-DISCIPLINARY RESEARCH TO	300,000.
1730 M STREET, NW, STE 700	PC	INCREASE UNDERSTANDING OF THE ENERGY AND	
WASHINGTON, DC 20036		ENVIRONMENTAL IMPACTS OF THE DIGITAL ECONOMY,	
		WITH A FOCUS ON SHARING PLATFORMS, ARTIFICIAL	
		INTELLIGENCE, AND BLOCKCHAIN TECHNOLOGIES	
FAIRFIELD UNIVERSITY	NONE	TO SUPPORT THE RESEARCH AND WRITING OF A BOOK	25,000.
1073 NORTH BENSON RD	PC	ABOUT THE THALIDOMIDE SCANDAL OF THE 1960S TO BE	
FAIRFIELD, CT 06824		PUBLISHED BY RANDOM HOUSE IN 2020	
FILM INDEPENDENT, INC.	NONE	TO PROVIDE DIRECT SUPPORT TO DEVELOP AND	200,000.
5670 WILSHIRE BLVD., 9TH FLOOR	PC	DISTRIBUTE SCIENCE AND TECHNOLOGY SCRIPTS,	
LOS ANGELES, CA 90036		TELEPLAYS, AND FILMS	

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FLINT CULTURAL CENTER CORPORATION	NONE	TO SUPPORT THE SCIENCE DISCOVERY HALL AT THE	300,000.
601 E. SECOND STREET	PC	SLOAN MUSEUM OF DISCOVERY IN FLINT, MICHIGAN, AND	
FLINT, MI 48503		TO ADVANCE PUBLIC UNDERSTANDING OF SCIENCE TO AN	
		UNDERSERVED POPULATION	
FOOD & ENVIRONMENT REPORTING NETWORK	NONE	TO SUPPORT IMMERSIVE SCIENCE STORYTELLING ON THE	33,587.
576 FIFTH AVENUE, STE 903	PC	GASTROPOD PODCAST AND A DIVERSITY EVALUATION AND	
NEW YORK, NY 10036		IMPLEMENTATION PLAN	
FORCE11	NONE	TO PARTIALLY SUPPORT THE 2018 FUTURE OF RESEARCH	20,000.
4322 HORTENSIA STREET	PC	COMMUNICATION AND ESCHOLARSHIP MEETING	
SAN DIEGO, CA 92103			
FOUNDATION CENTER	NONE	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND	75,000.
32 OLD SLIP, 24TH FLOOR	PC	CHARITABLE COMMUNITY	
NEW YORK, NY 10005-3500			
FPF EDUCATION AND INNOVATION FOUNDATION	NONE	TO LAUNCH THE CORPORATE-ACADEMIC DATA STEWARDSHIP	178,463.
1400 EYE STREET NW, STE 450	PC	RESEARCH ALLIANCE, A PRIVATE COMPANY PEER-TO-PEER	
WASHINGTON, DC 20005		NETWORK TO ACCELERATE PRIVACY PROTECTIVE SHARING	
		OF ADMINISTRATIVE DATA BETWEEN BUSINESSES AND	
		ACADEMIC RESEARCHERS	
FRACTURED ATLAS	NONE	TO SUPPORT KAIMERA PRODUCTIONS IN AN IMMERSIVE	30,000.
			30,000.
248 W. 35TH STREET, 10TH FLR	PC	INSTALLATION PERFORMANCE THAT BLENDS THEATER,	
NEW YORK, NY 10001		MUSIC, DANCE, AND MULTIMEDIA, AND INVITES	
		AUDIENCES TO QUESTION THE ETHICS OF DATA PRIVACY	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATLANTA, GA 30332

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FUND FOR THE CITY OF NEW YORK	NONE	TO PROVIDE PARTIAL SUPPORT FOR THE SLOAN PUBLIC	270,000.
121 AVE OF THE AMERICAS, 6TH FLR	PC	SERVICE AWARDS PROGRAM	270,000.
NEW YORK, NY 10013	FC	SERVICE AWARDS PROGRAM	
NEW TORK, NI 10015			
FUND FOR THE CITY OF NEW YORK	NONE	TO IMPROVE LOCAL DECISION-MAKING BY CONTINUING TO	350,000.
121 AVE OF THE AMERICAS, 6TH FLR	PC	BUILD TECHNICAL CAPACITY IN NYC BOROUGH PRESIDENT	
NEW YORK, NY 10013		OFFICES AND COMMUNITY BOARDS	
GEORGE MASON UNIVERSITY	NONE	TO SUPPORT OUTREACH FOR AND ADOPTION OF	100,227.
4400 UNIV. DRIVE	GOV	PRESSFORWARD, A SOFTWARE PLATFORM FOR THE	
FAIRFAX, VA 22030		EDITORIAL CURATION OF ONLINE SCHOLARLY RESEARCH	
		PRODUCTS	
GEORGETOWN UNIVERSITY	NONE	TO BUILD COMMUNITY AND CONSENSUS AMONG	149,940.
37TH & O STREET, NW	PC	ADMINISTRATIVE DATA RESEARCH FACILITIES BY	
WASHINGTON, DC 20057		SERVING AS A NETWORK HUB AND CONVENER	
GEORGETOWN UNIVERSITY	NONE	TO PROMOTE THE SAFE AND RESPONSIBLE USE OF	715,730.
37TH & O STREET, NW	PC	ADMINISTRATIVE DATA IN ACADEMIC RESEARCH BY	
WASHINGTON, DC 20057		ESTABLISHING AN ALLIANCE WHOSE MEMBER	
		INSTITUTIONS INTERMEDIATE BETWEEN DATA PRODUCERS	
		AND DATA USERS	
GEORGIA INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR VINAYAK	65,000.
837 STATE STREET	PC	AGARWAL IN OCEAN SCIENCES	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ADDRESS TRANSPORTED OF TRANSPORTED	WOLL		65.000
GEORGIA INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR LUTZ	65,000.
837 STATE STREET	PC	WARNKE IN MATHEMATICS	
ATLANTA, GA 30332			
GEORGIA INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR BILAL	65,000.
837 STATE STREET	PC	HAIDER IN NEUROSCIENCE	
ATLANTA, GA 30332			
,			
GEORGIA STATE UNIVERSITY RESCH FDN.	NONE	TO DEVELOP PROGRAMMING ESTABLISHING THE CASA	125,000.
100 PIEDMONT AVENUE SE	PC	SCIENCE FELLOWS PROGRAM, A STEM PHD PIPELINE FOR	
PETIT SCIENCE CENTER 319		GEORGIA STATE UNIVERSITY STUDENTS	
ATLANTA, GA 30303			
GEORGIA STATE UNIVERSITY RESCH FDN.	NONE	TO CONDUCT RESEARCH ON THE RETURNS TO LATER-AGE	107,931.
100 PIEDMONT AVENUE SE	PC	DEGREES (FOR INDIVIDUALS 50 AND OLDER) IN TERMS	
PETIT SCIENCE CENTER 319		OF WAGE PREMIUMS, EMPLOYMENT STABILITY, AND	
ATLANTA, GA 30303		RETIREMENT INCOME	
GREATER WASHINGTON EDU. TELECOM. ASSOC. INC.	NONE	TO SUPPORT PRODUCTION AND OUTREACH FOR THE GENE:	500,000.
3939 CAMPBELL AVE	PC	AN INTIMATE HISTORY, A THREE-HOUR DOCUMENTARY,	
ARLINGTON, VA 22206		BASED ON SIDDHARTHA MUKHERJEE'S BOOK, TO AIR	
		NATIONALLY ON PBS PRIMETIME	
GREATER WASHINGTON EDU. TELECOM. ASSOC. INC.	NONE	TO CONTINUE WEEKLY BROADCAST OF PAUL SOLMAN'S	1,035,000.
3939 CAMPBELL AVE	PC	ECONOMIC AND BUSINESS COVERAGE MAKING SENSE ON	
ARLINGTON, VA 22206		PBS NEWSHOUR AND TO SUPPORT ONLINE, SOCIAL AND	
		MOBILE PLATFORMS WITH RELATED CONTENT AND TO	
		SUPPORT AN EVALUATION	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GUIDESTAR USA, INC.	NONE	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND	10,000.
4801 COURTHOUSE STREET, SUITE 220	PC	CHARITABLE COMMUNITY	
WILLIAMSBURG, VA 23188			
HACKNY	NONE	TO SUPPORT THE LOCAL NYC TECH STARTUP COMMUNITY	198,950.
P.O. BOX 176	PC	THROUGH COMMUNITY EVENTS, HACKATHONS, AND SUMMER	
NEW YORK, NY 10276-0176		FELLOWSHIPS; AND TO HELP HACKNY DEVELOP AND	
		EXECUTE ON NEW SUSTAINABILITY MODELS TO SUPPORT	
		THESE ACTIVITIES IN THE FUTURE	
HARVARD UNIVERSITY	NONE	TO INCREASE BOTH THE NUMBER OF MINORITY STUDENTS	300,000.
12 OXFORD ST	PC	ENTERING TOP PH.D. PROGRAMS IN ECONOMICS AND	
CAMBRIDGE, MA 02138		ECONOMICS RELATED FIELDS AND THE DIVERSITY OF THE	
		ECONOMICS FACULTIES AND WORK FORCE	
HARVARD UNIVERSITY	NONE	TO SUPPORT RESEARCH THAT ADDRESSES HOW WORK	280,132.
12 OXFORD ST	PC	CONDITIONS SHAPE OBSERVED EMPLOYMENT TRANSITIONS	
CAMBRIDGE, MA 02138		OF OLDER WORKERS AND HOW AGE AND EMPLOYMENT	
		STATUS AFFECT PREFERENCES FOR WORKING CONDITIONS	
			4.50 050
HARVARD UNIVERSITY	NONE	TO DEVELOP A BETTER AND MORE COHESIVE	179,279.
12 OXFORD ST	PC	UNDERSTANDING OF CURRENT AND FUTURE TRENDS IN	
CAMBRIDGE, MA 02138		AGING AND LABOR FORCE PARTICIPATION IN THE UNITED	
		STATES AND TO IMPART THAT INFORMATION TO THE	
		GENERAL PUBLIC	
HARVARD UNIVERSITY	NONE	TO RENEW AN INTERDISCIPLINARY, POSTDOCTORAL	102,649.
12 OXFORD ST	PC	TRAINING PROGRAM CALLED THE "SLOAN FELLOWSHIP ON	102,017.
CAMBRIDGE, MA 02138		AGING AND WORK" THAT ADDRESSES THE CHALLENGES OF	
CHERTEGE, PR 02130		AGING SOCIETIES AND LABOR FORCE PARTICIPATION	
		NOING SOCIETIES AND BASON FONCE PARTICIPATION	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

CAMBRIDGE, MA 02138

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HARVARD UNIVERSITY	NONE	TO EXAMINE THE ROLE OF TECHNOLOGY AND KNOWLEDGE	221,000.
12 OXFORD ST	PC	SPILLOVERS IN THE DEVELOPMENT OF NOVEL CLEAN	
CAMBRIDGE, MA 02138		ENERGY TECHNOLOGIES	
HARVARD UNIVERSITY	NONE	TO IMPROVE THE ABILITY TO CURATE AND VERIFY	246,988.
12 OXFORD ST	PC	REPLICATION DATASETS WITHIN THE DATAVERSE DATA	
CAMBRIDGE, MA 02138		ARCHIVING PLATFORM THROUGH A SUITE OF SOFTWARE	
		CONTAINERIZATION AND METADATA TOOLS, AND TO	
		SUPPORT THE DEVELOPMENT OF A NEW DATA CURATION	
		SERVICE AT THE HARVARD DATAVERSE	
HARVARD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ISAIAH	65,000.
12 OXFORD ST	PC	ANDREWS IN ECONOMICS, ORIGINALLY AWARDED TO	
CAMBRIDGE, MA 02138		MASSACHUSETTS INSTITUTE OF TECHNOLOGY	
HARVARD UNIVERSITY	NONE	TO COMPARE, BOTH THEORETICALLY AND EMPIRICALLY,	125,000.
12 OXFORD ST	PC	STANDARD VERSUS IDEAL WAYS OF DETERMINING WHICH	
CAMBRIDGE, MA 02138		KINDS OF STATISTICAL FINDINGS MERIT PUBLICATION	
HARVARD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR FINALE	65,000.
12 OXFORD ST	PC	DOSHI-VELEZ IN COMPUTER SCIENCE	
CAMBRIDGE, MA 02138			
	NO.	1	65.000
HARVARD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR YARON	65,000.
12 OXFORD ST	PC	SINGER IN COMPUTER SCIENCE	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HARVARD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SAMUEL	65,000.
12 OXFORD ST	PC	GERSHMAN IN NEUROSCIENCE	
CAMBRIDGE, MA 02138			
HARVARD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR STEFANIE	65,000.
12 OXFORD ST	PC	STANTCHEVA IN ECONOMICS	
CAMBRIDGE, MA 02138			
HARVARD UNIVERSITY	NONE	TO DEVELOP NEW STATISTICAL METHODS THAT IMPROVE	107,864.
12 OXFORD ST	PC	BOTH THE IDENTIFICATION OF CAUSAL EFFECTS IN	
CAMBRIDGE, MA 02138		OBSERVATIONAL STUDIES AS WELL AS THE	
		GENERALIZABILITY OF RANDOMIZED EXPERIMENTS	
HARVARD UNIVERSITY	NONE	TO FASHION FUNDAMENTAL CONCEPTS AND MODELS FOR	100,000.
12 OXFORD ST	PC	BEHAVIORAL ECONOMICS BASED ON THEORIES OF	
CAMBRIDGE, MA 02138		CONTEXT-DEPENDENT CHOICE	
HAVERFORD COLLEGE	NONE	TO TEACH MORE UNDERGRADUATE SOCIAL SCIENTISTS	100,000.
370 W LANCASTER AVE	PC	ABOUT INTEGRITY, TRANSPARENCY, AND	
HAVERFORD, PA 19041		REPRODUCIBILITY IN EMPIRICAL RESEARCH	
HOPEWELL FUND	NONE	TO DEVELOP CENTRALIZED COORDINATION CAPACITY	129,429.
1201 CONNECTICUT AVE NW, STE 300	PC	WITHIN THE DATA SCIENCE ENVIRONMENT PARTNERSHIP	
WASHINGTON, DC 20036		FOR ALUMNI NETWORKING, EVALUATION, AND INTERNAL	
		AND EXTERNAL COMMUNICATIONS	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HOPEWELL FUND	NONE	TO PARTIALLY SUPPORT A SECOND SUMMIT OF DATA	20,000.
1201 CONNECTICUT AVE NW, STE 300	PC	SCIENCE LEADERSHIP ACROSS US UNIVERSITIES	
WASHINGTON, DC 20036			
HUNTER COLLEGE FOUNDATION	NONE	TO EXPAND UPON A JUST-COMPLETED, SLOAN-FUNDED	125,000.
695 PARK AVE, EAST BLDG., ROOM 1313	PC	PROJECT TO PRODUCE ENTERPRISE JOURNALISM ABOUT	
NEW YORK, NY 10065		THE TRENDS, STRUCTURES, AND PROGRAMS SHAPING THE	
		WORK LIVES OF OLDER AMERICANS	
HYPOTHESIS PROJECT	NONE	TO PARTIALLY SUPPORT A WORKSHOP AND HACKATHON TO	12,000.
2261 MARKET STREET, #632	PC	DEVELOP A JOINT ROADMAP FOR OPEN SCIENCE SOFTWARE	
SAN FRANCISCO, CA 94114		TOOLS	
IDEAS42	NONE	TO FIELD TEST HOW MACHINE-LEARNING ALGORITHMS	189,873.
80 BRD STREET, 30TH FL	PC	COMPARE WITH TRADITIONAL TECHNIQUES FOR	1037073.
NEW YORK, NY 10004		ESTIMATING HETEROGENEOUS EFFECTS IN BEHAVIORAL	
12.1 10.11() 112 10001		EXPERIMENTS	
IEEE FOUNDATION, INC.	NONE	TO SUPPORT ENHANCED ANIMATION AND GRAPHICS FOR A	125,000.
345 EAST 47TH STREET	PC	FEATURE DOCUMENTARY ABOUT CLAUDE SHANNON, THE	
NEW YORK, NY 10017		FATHER OF INFORMATION THEORY	
INDIANA UNIVERSITY	NONE	TO EXAMINE RADICAL CONCENTRATIONS AND ASSOCIATED	269,843.
150 SOUTH WOODLAWN	PC	AEROSOL PRODUCTION IN INDOOR ENVIRONMENTS	
BLOOMINGTON, IN 47405			

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
INNOVATIONS FOR POVERTY ACTION	NONE	TO STUDY THE BEHAVIORAL WELFARE ECONOMICS OF	120,000.
101 WHITNEY AVENUE	PC	POTENTIAL INTERVENTIONS IN FOUR KINDS OF CRITICAL	
NEW HAVEN, CT 06510		CONSUMER DECISIONS	
INSTITUTE OF INTERNATIONAL EDUCATION	NONE	TO PROVIDE 25 LIFE-SAVING FELLOWSHIPS AND	250,000.
809 UNITED NATIONS PLAZA	PC	ACADEMIC PLACEMENTS FOR PERSECUTED SCHOLARS FROM	
NEW YORK, NY 10017		AROUND THE WORLD OVER THREE YEARS	
INTERNATIONAL DOCUMENTARY ASSOCIATION	NONE	TO SUPPORT A DOCUMENTARY ON ARTIFICIAL	25,000.
1201 WEST 5TH STREET, M320	PC	INTELLIGENCE AND THE DEVELOPMENT OF A CAMERABOT	
LOS ANGELES, CA 90017-2029		DEVICE THAT WILL FUNCTION AUTONOMOUSLY AS THE	
		DIRECTOR AND CAMERAMAN	
ITHAKA HARBORS INC.	NONE	TO PARTIALLY SUPPORT THE SECOND BOWEN COLLOQUIUM	20,000.
2 RECTOR STREET, 18TH FL	PC		
NEW YORK, NY 10065-8112			
JACOB BURNS FILM CENTER, INC.	NONE	TO PRODUCE A FEATURE-LENGTH DOCUMENTARY ON THE	44,678.
405 MANVILLE RD	PC	BLUE BRAIN PROJECT TO BUILD A FULL-SCALE,	
PLEASANTVILLE, NY 10570		SELF-AWARE SIMULATION OF THE HUMAN BRAIN	
JARED FARMER	NONE	TO SUPPORT THE RESEARCH AND WRITING OF A BOOK ON	25,000.
534 PROSPECT AVENUE, APT. 2R	I	THE HUMAN RELATIONSHIP WITH TREES AND WHAT IT	
NEW YORK, NY 11215		SAYS ABOUT OUR LARGER RELATIONSHIP WITH NATURE,	
		ANCIENT TREES IN MODERN TIMES: A NATURAL HISTORY	
		OF LONG-TERM RELATIONSHIPS	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JEFFREY HECHT	NONE	TO SUPPORT THE RESEARCH AND WRITING OF A BOOK ON	33,000.
525 AUBURN STREET	I	THE HISTORY OF LASER WEAPONS, INCLUDING RECENT	
AUBURNDALE, MA 02466		INNOVATIONS	
JOHN M. JOHNSON JR.	NONE	TO SUPPORT A BOOK ABOUT THE ASTROPHYSICIST FRITZ	38,517.
215 E. SUMMER STREET, APT. 4	I	ZWICKY AND THE SEARCH FOR DARK MATTER	
OJAI, CA 93023			
JOHNS HOPKINS UNIVERSITY	NONE	TO SUPPORT THE ADOPTION OF THE SCISERVER RESEARCH	536,063.
3400 N. CHARLES STREET	PC	DATA PLATFORM BY NEW SCIENTIFIC COMMUNITIES	
BALTIMORE, MD 21218			
JOHNS HOPKINS UNIVERSITY	NONE	TO FUND THE OPEN CHEMISTRY COLLABORATIVE IN	125,000.
3400 N. CHARLES STREET	PC	DIVERSITY EQUITY (OXIDE) TO ADVANCE DIVERSITY AND	
BALTIMORE, MD 21218		INCLUSION, AND REDUCE DIVERSITY INEQUITIES	
		THROUGHOUT THE ACADEMIC CAREER LADDER IN	
		CHEMISTRY DEPARTMENTS NATIONWIDE	
JOHNS HOPKINS UNIVERSITY	NONE	TO DEVELOP A FRAMEWORK FOR UPDATING THE DIGITAL	19,124.
3400 N. CHARLES STREET	PC	CURATION CENTRE CURATION LIFECYCLE MODEL	
BALTIMORE, MD 21218			
JOHNS HOPKINS UNIVERSITY	NONE	TO ASSESS THE COMPLETENESS AND COMPREHENSIVENESS	65,456.
3400 N. CHARLES STREET	PC	OF EXISTING UNITED STATES ENERGY INFRASTRUCTURE	
BALTIMORE, MD 21218		DATASETS	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JANICE	65,000.
PC	CHEN IN NEUROSCIENCE	
NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SHIGEKI	65,000.
PC	WATANABE IN NEUROSCIENCE	
NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SUCHI	65,000.
PC	SARIA IN COMPUTER SCIENCE	
NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR YI LI IN	65,000.
PC	PHYSICS	
NONE	TO SUPPORT THE CONTINUED DEVELOPMENT OF THE JULIA	406,206.
NC	PROGRAMMING LANGUAGE	
NONE	TO RECORD FOUR NEW SLOAN PLAYS FOR PUBLIC RADIO	250,000.
PC	BROADCAST AND ONLINE STREAMING, AND TO DEVELOP A	
	NEW 12-PLAY PODCAST WHILE DISSEMINATING 20	
	SCIENCE PLAYS TO MILLIONS OF PEOPLE AND THOUSANDS	
	OF LIBRARIES AND SCHOOLS	
	FOUNDATION STATUS OF RECIPIENT NONE PC NONE PC NONE PC NONE PC NONE PC NONE NONE NOME NOME NOME NOME NOME NOM	FOUNDATION STATUS OF RECIPIENT PURPOSE OF GRANT OR CONTRIBUTION ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JANICE CHEN IN NEUROSCIENCE NONE ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SHIGEKI WATANABE IN NEUROSCIENCE NONE ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SHIGEKI WATANABE IN NEUROSCIENCE NONE ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SUCHI SARIA IN COMPUTER SCIENCE NONE ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR YI LI IN PC ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR YI LI IN PC TO SUPPORT THE CONTINUED DEVELOPMENT OF THE JULIA NC TO SUPPORT THE CONTINUED DEVELOPMENT OF THE JULIA PROGRAMMING LANGUAGE NONE TO RECORD FOUR NEW SLOAN PLAYS FOR PUBLIC RADIO BROADCAST AND ONLINE STREAMING, AND TO DEVELOP A NEW 12-PLAY PODCAST WHILE DISSEMINATING 20 SCIENCE PLAYS TO MILLIONS OF PEOPLE AND THOUSANDS

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LYDIA DENWORTH	NONE	TO SUPPORT THE RESEARCH AND WRITING OF	19,000.
156 6TH AVENUE	I	FRIENDSHIP: A NATURAL HISTORY, TO BE PUBLISHED BY	
BROOKLYN, NY 11217		W.W. NORTON IN 2019	
LYRASIS	NONE	TO CONDUCT A FEASIBILITY STUDY TO ASSESS THE	20,000.
1438 WEST PEACHTREE ST. NW, STE 150	PC	POTENTIAL FOR DEVELOPMENT OF THE OPEN SOURCE	
ATLANTA, GA 30309-2955		SIMPLYE EBOOK PLATFORM TO SERVE THE ACADEMIC	
		COMMUNITY	
MANHATTAN THEATRE CLUB	NONE	TO SUPPORT THE MTC/SLOAN INITIATIVE	216,667.
311 WEST 43RD STREET, 8TH FLOOR	PC	COMMISSIONING, DEVELOPING, AND PRODUCING NEW	
NEW YORK, NY 10036		SCIENCE AND TECHNOLOGY PLAYS	
MARINE BIOLOGICAL LABORATORY	NONE	TO INTEGRATE AND SYNTHESIZE THE ACTIVITIES OF THE	700,000.
7 MBL ST	PC	DEEP LIFE COMMUNITY OF THE DEEP CARBON OBSERVATORY	
WOODS HOLE, MA 02543			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO CONDUCT AN ASSESSMENT OF THE OPPORTUNITIES AND	300,000.
77 MASSACHUSETTS AVE	PC	CHALLENGES ASSOCIATED WITH THE FUTURE OF NEXT	
CAMBRIDGE, MA 02139		GENERATION NUCLEAR ENERGY TECHNOLOGIES	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO CONTINUE CORE SUPPORT FOR A RESEARCH NETWORK	998,325.
77 MASSACHUSETTS AVE	PC	THAT PROMOTES THE RIGOROUS EMPIRICAL STUDY OF	
CAMBRIDGE, MA 02139		ECONOMIC ISSUES IN NORTH AMERICA	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO IMPROVE THE CREDIBILITY OF EMPIRICAL ECONOMICS	150,000.
77 MASSACHUSETTS AVE	PC	BY TURNING BEST-PRACTICES FOR RESEARCH	
CAMBRIDGE, MA 02139		TRANSPARENCY INTO COMMON PRACTICE FOR RESEARCH	
		PRACTITIONERS	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO PROVIDE FINAL SUPPORT IN COMPLETING THE FIRST	125,000.
77 MASSACHUSETTS AVE	PC	PHASE OF A PROJECT EXAMINING THE COMPONENT COSTS	
CAMBRIDGE, MA 02139		OF BATTERY CHEMISTRIES AND TRAIN THE NEXT	
		GENERATION OF SCHOLARS IN ENERGY SYSTEMS ANALYSIS	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO DEVELOP A LOW-COST MONITOR FOR MEASUREMENTS OF	299,424.
77 MASSACHUSETTS AVE	PC	VOLATILE ORGANIC COMPOUNDS IN THE INDOOR	
CAMBRIDGE, MA 02139		ENVIRONMENT	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO SUPPORT THE GROWTH OF TWELVE NEW SCIENCE	185,787.
77 MASSACHUSETTS AVE	PC	FESTIVAL INITIATIVES IN COMMUNITIES ACROSS THE	
CAMBRIDGE, MA 02139		COUNTRY WITH SMALL RESOURCE BASES WITH A SPECIAL	
		NEW EMPHASIS ON DIVERSITY	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ALEX K.	65,000.
77 MASSACHUSETTS AVE	PC	SHALEK IN CHEMISTRY	
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR KEVIN	65,000.
77 MASSACHUSETTS AVE	PC	ESVELT IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR	
CAMBRIDGE, MA 02139		BIOLOGY	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR STEFANIE	65,000.
77 MASSACHUSETTS AVE	PC	JEGELKA IN COMPUTER SCIENCE	
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TAMARA	65,000.
77 MASSACHUSETTS AVE	PC	BRODERICK IN MATHEMATICS	
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TRISTAN	65,000.
77 MASSACHUSETTS AVE	PC	COLLINS IN MATHEMATICS ORIGINALLY AWARDED TO	
CAMBRIDGE, MA 02139		HARVARD UNIVERSITY	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ANDREI	65,000.
77 MASSACHUSETTS AVE	PC	NEGUT IN MATHEMATICS	
CAMBRIDGE, MA 02139			
			55.000
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR GABRIELA	65,000.
77 MASSACHUSETTS AVE	PC	SCHLAU-COHEN IN CHEMISTRY	
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR RICCARDO	65,000.
77 MASSACHUSETTS AVE	PC		05,000.
	PC	COMIN IN PHYSICS	
CAMBRIDGE, MA 02139			

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO PRODUCE A COMPREHENSIVE, MULTIDISCIPLINARY	450,000.
77 MASSACHUSETTS AVE	PC	FUTURE OF STORAGE STUDY THAT WILL CONSIDER THE	
CAMBRIDGE, MA 02139		ROLE KEY STORAGE TECHNOLOGIES MIGHT PLAY IN	
		ELECTRICITY SYSTEMS OVER DIFFERENT TIME SCALES	
		AND SERVICE REQUIREMENTS	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	SUPPORT FOR THE PRELIMINARY STAGES OF A MIT PRESS	20,000.
77 MASSACHUSETTS AVE	PC	BOOK AND FILM PROJECT ON WOMEN IN STEM FIELDS AND	
CAMBRIDGE, MA 02139		THE SEMINAL 1999 REPORT "A STUDY ON THE STATUS OF	
		WOMEN FACULTY IN SCIENCE AT MIT."	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO SUPPORT UP TO FOUR UNDERGRADUATE STUDENTS	50,000.
77 MASSACHUSETTS AVE	PC	PLANNING FOR PHD PROGRAMS FROM THE UNIVERSITY OF	
CAMBRIDGE, MA 02139		PUERTO RICO SYSTEM FOR A SEMESTER (SPRING 2018)	
		OF STUDY AT THE MASSACHUSETTS INSTITUTE OF	
		TECHNOLOGY	
MATHEMATICAL SCIENCES RESCH INSTITUTE	NONE	TO SUPPORT THE 2019 AND 2021 NATIONAL MATH	250,000.
17 GAUSS WAY	PC	FESTIVALS, EVENTS THAT INCREASE THE APPRECIATION	
BERKELEY, CA 94720-5070		FOR MATHEMATICS AND MATHEMATICAL RESEARCH THROUGH	
		THE ARTS, ENGAGING LECTURES, AND INTERACTIVE	
		ACTIVITIES	
MAURA R. O'CONNOR	NONE	TO SUPPORT WAYFINDING: THE MYSTERY AND SCIENCE OF	32,100.
160 PARKSIDE AVENUE, 10B	I	HUMAN NAVIGATION IN THE AGE OF GPS, A NONFICTION	
BROOKLYN, NY 11226		TRADE BOOK ON HUMAN NAVIGATION TO BE PUBLISHED BY	
		ST. MARTIN'S PRESS IN 2018	
MCGILL UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR STUART	65,000.
3600 RUE UNIVERSITY, MONTREAL	PC	TRENHOLM IN NEUROSCIENCE	
QUEBEC			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MCGILL UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR HAMED	65,000.
3600 RUE UNIVERSITY, MONTREAL	PC	SHATERI NAJAFABADI IN COMPUTATIONAL &	
QUEBEC		EVOLUTIONARY MOLECULAR BIOLOGY	
CANADA H3A 2T8			
MEMORIAL SLOAN-KETTERING CANCER CENTER	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DANIEL	65,000.
1275 YORK AVE	PC	BACHOVCHIN IN CHEMISTRY	
NEW YORK, NY 10065			
MICHELLE NIJHUIS	NONE	TO SUPPORT THE RESEARCH, FACT-CHECKING, AND	20,000.
P.O.BOX 2273	I	PROMOTION OF A BOOK ABOUT THE SPECIES	
WHITE SALMON, WA 98672		CONSERVATION MOVEMENT TO BE PUBLISHED BY W.W.	
		NORTON IN 2020	
MICHIGAN STATE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR THOMAS	65,000.
619 RED CEDAR RD	PC	WALPUSKI IN MATHEMATICS	
EAST LANSING, MI 48824			
MICHIGAN TECHNOLOGICAL UNIVERSITY	NONE	TO SUPPORT A MULTIDISCIPLINARY RESEARCH PROJECT	49,963.
1400 TOWNSEND DR.	GOV	THAT SCOPES THE FEASIBILITY OF USING	
HOUGHTON, MI 49931		DECOMMISSIONED MINES AS UNDERGROUND	
		PUMPED-STORAGE HYDROPOWER	
MIDDLEBURY COLLEGE	NONE	TO SUPPORT THE RESEARCH AND WRITING OF AN UPDATED	22,761.
287 BICENTENNIAL WAY	PC	VERSION OF THE 1993 SLOAN-SUPPORTED BOOK NUCLEAR	
MIDDLEBURY, VT 05753		CHOICES: A CITIZEN'S GUIDE TO NUCLEAR TECHNOLOGY	
		TO BE PUBLISHED BY MIT PRESS	

ATTACHMENT 21

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MILLER-MCCUNE CTR. FOR RSRCH MEDIA & PUB. POLICY	NONE	TO SUPPORT TRAVEL BY ACADEMIC RESEARCHERS TO THE	50,000.
801 GARDEN STREET, SUITE 101	POF	2019 SOCIAL SCIENCE FOO CAMP	
SANTA BARBARA, CA 93101			
MONTANA STATE UNIVERSITY, BOZEMAN	NONE	TO SUPPORT A BOOK ON THE EVOLUTIONARY AND	28,417.
309 MONTANA HALL, PO BOX 172470	GOV	ECOLOGICAL SCIENCE UNDERLYING AGRICULTURE,	
BOZEMAN, MT 59717		ETERNAL HARVEST: AN EXTRAORDINARY BIOGRAPHY OF	
		WHEAT, TO BE PUBLISHED BY UNIVERSITY OF CHICAGO	
		PRESS	
MOZILLA FOUNDATION	NONE	TO INCREASE OPEN SOURCE PROJECT AND COMMUNITY	250,000.
331 E. EVELYN AVENUE	PC	MANAGEMENT CAPACITY AND BUILD COMMUNITY AMONG	
MOUNTAIN VIEW, CA 94041		SCIENTIFIC SOFTWARE DEVELOPERS	
MURDOMO INSTITUTE, INC.	NONE	TO SUPPORT COMMUNITY OF MICROBES, A DYNAMIC,	50,000.
340 S LEMON AVE #6071	PC	AUGMENTED REALITY-ENABLED INTERACTIVE EXPERIENCE	
WALNUT, CA 91798		THAT INTRODUCES AUDIENCES TO MICROORGANISM	
		ECOSYSTEMS	
NATIONAL ACADEMY OF SCIENCES	NONE	TO HEIGHTEN QUALITY, VIGOR, AND INNOVATION IN THE	200,000.
500 5TH STREET, NW	PC	U.S. STEMM ENTERPRISE BY INCREASING THE DIVERSITY	
WASHINGTON, DC 20001		OF INDIVIDUALS, RESEARCH TEAMS, AND LEADERSHIP	
		THROUGH A CONSENSUS STUDY AND ONLINE RESOURCE	
		GUIDE ON EFFECTIVE MENTORING PROGRAMS AND	
		PRACTICES	
NATIONAL ACADEMY OF SCIENCES	NONE	TO SUPPORT THE DEVELOPMENT OF A NOBEL PRIZE-LEVEL	56,982.
500 5TH STREET, NW	PC	SCIENCE COMMUNICATION PRIZE AND ACTIVITIES AT THE	
WASHINGTON, DC 20001		ARTHUR M. SACKLER COLLOQUIUM ON MISINFORMATION,	
		TO BE HELD APRIL 3 AND 4, 2019	

ATTACHMENT 21

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL ACADEMY OF SCIENCES	NONE	TO DISSEMINATE BROADLY THE NEW NATIONAL ACADEMIES	110,000.
500 5TH STREET, NW	PC	REPORT ON SEXUAL HARASSMENT OF WOMEN TO VARIOUS	
WASHINGTON, DC 20001		STAKEHOLDERS, AND TO MOTIVATE AND DOCUMENT CHANGE	
		AT 2-YEAR AND 4-YEAR COLLEGES AND UNIVERSITIES	
NATIONAL ACADEMY OF SCIENCES	NONE	TO REPORT ON HOW SOCIAL AND BEHAVIORAL INSIGHTS	120,595.
500 5TH STREET, NW	PC	CAN IMPROVE THE RELIABILITY AND REPRODUCIBILITY	
WASHINGTON, DC 20001		OF SCIENTIFIC FINDINGS	
NATIONAL ACADEMY OF SCIENCES	NONE	TO CONVENE AN INTERNATIONAL WORKSHOP THAT WILL	186,528.
500 5TH STREET, NW	PC	PLAN GLOBAL COOPERATION AND COORDINATION	
WASHINGTON, DC 20001		CONCERNING ARTIFICIAL INTELLIGENCE RESEARCH AND	
		ITS APPLICATIONS	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO SUPPORT THE ALFRED P. SLOAN MINORITY PH.D.	800,000.
ONE NORTH BRDWAY, STE 601	PC	PROGRAM (MPHD) THROUGH PHASE 1 RENEWAL GRANTS FOR	
WHITE PLAINS, NY 10601		UNIVERSITY CENTERS OF EXEMPLARY MENTORING (UCEMS)	
		AT CORNELL UNIVERSITY, GEORGIA INSTITUTE OF	
		TECHNOLOGY, AND THE PENNSYLVANIA STATE UNIVERSITY	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO MANAGE EFFECTIVELY AND EFFICIENTLY THE	250,000.
ONE NORTH BRDWAY, STE 601	PC	FOUNDATION'S PORTFOLIO OF GRADUATE SCHOLARSHIP	•
WHITE PLAINS, NY 10601		PROGRAMS	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO SUPPORT A NEW ALFRED P. SLOAN MINORITY PH.D.	150,000.
ONE NORTH BRDWAY, STE 601	PC	(MPHD) UNIVERSITY CENTER OF EXEMPLARY MENTORING	
WHITE PLAINS, NY 10601		(UCEM) PROGRAM AT DUKE UNIVERSITY (COMBINING	
		\$700,000 IN NEW FUNDING WITH \$300,000 IN UNSPENT	
		NACME FUNDS)	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO SUPPORT SCHOLARSHIPS AND PROGRAM EXPENSES FOR	150,000.
ONE NORTH BRDWAY, STE 601	PC	A THREE-YEAR RENEWAL OF A UNIVERSITY CENTER OF	
WHITE PLAINS, NY 10601		EXEMPLARY MENTORING (UCEM) AT THE UNIVERSITY OF	
		SOUTH FLORIDA	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO PROVIDE SCHOLARSHIP SUPPORT FOR THE SLOAN	500,000.
ONE NORTH BRDWAY, STE 601	PC	INDIGENOUS GRADUATE PARTNERSHIP (SIGP) ENABLING	
WHITE PLAINS, NY 10601		CONSORTIUM MEMBERS TO RECRUIT, SUPPORT, AND	
		GRADUATE INDIGENOUS STUDENTS EARNING GRADUATE	
		DEGREES IN STEM DISCIPLINES	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO SUPPORT THE ALFRED P. SLOAN MINORITY PH.D.	1,000,000.
ONE NORTH BRDWAY, STE 601	PC	PROGRAM (MPHD) THROUGH PHASE 3 RENEWAL GRANTS FOR	
WHITE PLAINS, NY 10601		UNIVERSITY CENTERS OF EXEMPLARY MENTORING (UCEMS)	
		AT MASSACHUSETTS INSTITUTE OF TECHNOLOGY,	
		UNIVERSITY OF CALIFORNIA, SAN DIEGO AND THE	
		UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN	
NATIONAL BUREAU OF ECONOMIC RESCH, INC.	NONE	TO SUPPORT DISSERTATION-STAGE RESEARCH BY	200,000.
1050 MASSACHUSETTS AVENUE	PC	ECONOMICS DOCTORAL STUDENTS WORKING ON A RANGE OF	
CAMBRIDGE, MA 02138-5398		LABOR MARKET ISSUES RELATED TO AN AGING	
		POPULATION	
NATIONAL BUREAU OF ECONOMIC RESCH, INC.	NONE	TO SUPPORT THE NBER SUMMER INSTITUTE	266,340.
1050 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02138-5398			
NATIONAL BUREAU OF ECONOMIC RESCH, INC.	NONE	TO EXAMINE THE LINK BETWEEN THE RECEDING	287,500.
1050 MASSACHUSETTS AVENUE	PC	RETIREMENT AGE OF OLDER WORKERS AND THE SHIFTS IN	
CAMBRIDGE, MA 02138-5398		DEMAND FOR THESE WORKERS ASSOCIATED WITH THE	
		EXPANSION OF ARTIFICIAL INTELLIGENCE AND ROBOTIC	
		SUBSTITUTES	

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS		FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
				105 055
NATIONAL BUREAU OF ECONOMIC RESCH,	INC.	NONE	TO RENEW SUPPORT FOR A THREE-YEAR POSTDOCTORAL	127,075.
1050 MASSACHUSETTS AVENUE		PC	PROGRAM ON THE ECONOMICS OF THE AGING WORKFORCE	
CAMBRIDGE, MA 02138-5398				
NATIONAL BUREAU OF ECONOMIC RESCH,	TNC.	NONE	TO PROVIDE PARTIAL SUPPORT FOR THE INTERNATIONAL	195,500.
1050 MASSACHUSETTS AVENUE		PC	SOCIAL SECURITY (ISS) PROJECT IN ORDER TO	
CAMBRIDGE, MA 02138-5398			UNDERSTAND HOW LABOR FORCE PARTICIPATION RESPONDS	
CHARLEGE, FM 02130 3350			TO SOCIAL SECURITY REFORMS IN 12 COUNTRIES AND TO	
			DRAW LESSONS FOR THE UNITED STATES	
			DRAW BESSONS FOR THE UNITED STATES	
NATIONAL BUREAU OF ECONOMIC RESCH,	INC.	NONE	TO COMPARE THE RETIREMENT PATHS OF PUBLIC AND	156,500.
1050 MASSACHUSETTS AVENUE		PC	PRIVATE EMPLOYEES, ASSESSING DIFFERENCES IN	
CAMBRIDGE, MA 02138-5398			WORKING CONDITIONS, RETIREMENT BENEFITS, AND	
			GOVERNMENT REGULATIONS AND IMPACTS ON THE AGE OF	
			RETIREMENT FROM CAREER JOBS AND THE LIKELIHOOD OF	
			POST-RETIREMENT WORK	
NATIONAL BUREAU OF ECONOMIC RESCH,	INC.	NONE	TO ORGANIZE AND SUPPORT INNOVATIVE RESEARCH ON	190,000.
1050 MASSACHUSETTS AVENUE		PC	THE ECONOMICS OF DIGITIZATION	
CAMBRIDGE, MA 02138-5398				
NATIONAL BUREAU OF ECONOMIC RESCH,	INC.	NONE	TO CONTINUE SUPPORT FOR PREDOCTORAL RESEARCH AND	338,875.
1050 MASSACHUSETTS AVENUE		PC	TRAINING FELLOWSHIPS IN ENERGY ECONOMICS	
CAMBRIDGE, MA 02138-5398				
NATIONAL BUREAU OF ECONOMIC RESCH,	INC.	NONE	TO SUPPORT A TWO-YEAR PHASE OF COLLABORATIVE	126,500.
1050 MASSACHUSETTS AVENUE		PC	STUDY ON FACILITATING WORK AT OLDER AGES	
CAMBRIDGE, MA 02138-5398				

ATTACHMENT 21

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE PC RIGOROUS, NONPARTISAN RESEARCH IN ENVIRONMENTAL AND ENERGY ECONOMICS THROUGH A NEW FORMS FOR RESEARCH PRESENTATION AND PUBLICATION NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO ASCERTAIN CURRENT POLICIES OF LARGE COMPANIES PC TOWARD CUBER REPLOYERS WORKING BEYOND TRADITIONAL RETIREMENT AGES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO BUILD A RESEARCH COMMUNITY ON THE ECONOMICS OF CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO BUILD A RESEARCH COMMUNITY ON THE ECONOMICS OF SCIENCE BY HOLDING REGULAR CONFERENCES AND BY OTHER COMMUNITY-BUILDING ACTIVITIES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH 304,750. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH 304,750. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH 304,750. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 THE CALCULATION OF OFFICIAL FEDERAL STATISTICS	RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
1050 MASSACHUSETTS AVENUE CAMERIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE CAMERIDGE, MA 02138-5398 NONE PC TOWARD OLDER EMPLOYEES WORKING BEYOND TRADITIONAL RETIREMENT AGES NONE TO BUILD A RESEARCH COMMUNITY ON THE ECONOMICS OF 61,554. RETIREMENT AGES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO BUILD A RESEARCH COMMUNITY ON THE ECONOMICS OF 61,554. SCIENCE BY HOLDING REGULAR CONFERENCES AND BY OTHER COMMUNITY-BUILDING ACTIVITIES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH PC CAMERIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH ARTIFICIAL INTELLIGENCE NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. 1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE				
AND ENERGY ECONOMIC THROUGH A NEW FORUMS FOR RESEARCH PRESENTATION AND FUBLICATION NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO ASCERTAIN CURRENT POLICIES OF LARGE COMPANIES 97,348. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 PC TOWARD OLDER EMPLOYEES WORKING BEYOND TRADITIONAL RETIREMENT AGES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO BUILD A RESEARCH COMMUNITY ON THE ECONOMICS OF SCIENCE BY HOLDING REGULAR CONFERENCES AND BY OTHER COMMUNITY-BUILDING ACTIVITIES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH COMMUNITY THAT STUDIES THE ECONOMICS OF ARTIFICIAL INTELLIGENCE NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	NATIONAL BUREAU OF ECONOMIC RESCH, INC.	NONE	TO DEVELOP NEW DISSEMINATION CHANNELS FOR	232,372.
RESEARCH PRESENTATION AND PUBLICATION NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE PC NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. 1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	1050 MASSACHUSETTS AVENUE	PC	RIGOROUS, NONPARTISAN RESEARCH IN ENVIRONMENTAL	
NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE CAMBRIDGE, MA 02138-5398 NONE PC TO MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NONE NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE PC TO BUILD A RESEARCH COMMUNITY ON THE ECONOMICS OF SCIENCE BY HOLDING REGULAR CONFERENCES AND BY OTHER COMMUNITY-BUILDING ACTIVITIES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH COMMUNITY THAT STUDIES THE ECONOMICS OF ARTIFICIAL INTELLIGENCE NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	CAMBRIDGE, MA 02138-5398		AND ENERGY ECONOMICS THROUGH A NEW FORUMS FOR	
1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE			RESEARCH PRESENTATION AND PUBLICATION	
1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE				
CAMBRIDGE, MA 02138-5398 RETIREMENT AGES RETIREMENT AGES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO BUILD A RESEARCH COMMUNITY ON THE ECONOMICS OF 61,554. SCIENCE BY HOLDING REGULAR CONFERENCES AND BY OTHER COMMUNITY-BUILDING ACTIVITIES NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH COMMUNITY THAT STUDIES THE ECONOMICS OF ARTIFICIAL INTELLIGENCE NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. 1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	NATIONAL BUREAU OF ECONOMIC RESCH, INC.		TO ASCERTAIN CURRENT POLICIES OF LARGE COMPANIES	97,348.
NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE CAMBRIDGE, MA 02138-5398 NONE TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH COMMUNITY THAT STUDIES THE ECONOMICS OF CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. 1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	1050 MASSACHUSETTS AVENUE	PC	TOWARD OLDER EMPLOYEES WORKING BEYOND TRADITIONAL	
1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	CAMBRIDGE, MA 02138-5398		RETIREMENT AGES	
1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE				
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NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	1050 MASSACHUSETTS AVENUE	PC	SCIENCE BY HOLDING REGULAR CONFERENCES AND BY	
1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE PC COMMUNITY THAT STUDIES THE ECONOMICS OF ARTIFICIAL INTELLIGENCE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	CAMBRIDGE, MA 02138-5398		OTHER COMMUNITY-BUILDING ACTIVITIES	
1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. 1050 MASSACHUSETTS AVENUE PC COMMUNITY THAT STUDIES THE ECONOMICS OF ARTIFICIAL INTELLIGENCE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE				
CAMBRIDGE, MA 02138-5398 NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. 1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	NATIONAL BUREAU OF ECONOMIC RESCH, INC.	NONE	TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH	304,750.
NATIONAL BUREAU OF ECONOMIC RESCH, INC. NONE TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW 110,527. 1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	1050 MASSACHUSETTS AVENUE	PC	COMMUNITY THAT STUDIES THE ECONOMICS OF	
1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	CAMBRIDGE, MA 02138-5398		ARTIFICIAL INTELLIGENCE	
1050 MASSACHUSETTS AVENUE PC ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE				
	NATIONAL BUREAU OF ECONOMIC RESCH, INC.	NONE	TO PRODUCE PAPERS, CONFERENCES, AND A BOOK ON HOW	110,527.
CAMBRIDGE, MA 02138-5398 THE CALCULATION OF OFFICIAL FEDERAL STATISTICS	1050 MASSACHUSETTS AVENUE	PC	ADMINISTRATIVE AND OTHER BIG DATASETS CAN ENHANCE	
	CAMBRIDGE, MA 02138-5398		THE CALCULATION OF OFFICIAL FEDERAL STATISTICS	
NATIONAL FEDN OF ABSTRACTING & INFO. SERVICES NONE TO PARTIALLY SUPPORT A WORKSHOP ON BLOCKCHAIN 16,000.	NATIONAL FEDN OF ABSTRACTING & INFO. SERVICES	NONE	TO PARTIALLY SUPPORT A WORKSHOP ON BLOCKCHAIN	16,000.
801 COMPASS WAY, STE 201 PC APPLICATIONS IN SCHOLARLY COMMUNICATIONS	801 COMPASS WAY, STE 201	PC	APPLICATIONS IN SCHOLARLY COMMUNICATIONS	
ANNAPOLIS, MD 21401	ANNAPOLIS, MD 21401			

ATTACHMENT 21 0170665-00002

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NONE	TO SUPPORT THE IMPLEMENTATION OF MATHML IN THE	197,372.
PC	OPEN SOURCE CHROMIUM BROWSER	
NONE	TO DEMONSTRATE HOW HOMECHEM ACTIVITIES CAN BE	5,540.
PC	TRANSLATED FOR A LAY AUDIENCE VIA JOURNALISM	
NONE	TO DEEPEN AND EXPAND PLANET MONEY'S COVERAGE OF	200,000.
PC	ECONOMICS VIA PODCAST EPISODES, ON-AIR RADIO	
	STORIES, AND PARTICIPATORY JOURNALISM	
NONE	TO SUPPORT TWO REGIONAL MEETINGS ON THE FUTURE OF	20,000.
PC	SCHOLARLY COMMUNICATION AS WELL AS THE LAUNCH OF	
	THE RESEARCH & SCHOLARLY COMMUNICATION NETWORK	
NONE	TO HOLD A CONFERENCE ON EXPERIMENTAL AND	20,000.
NC	EVIDENCE-BASED METHODS FOR STUDYING DISCOVERY,	
	INNOVATION, AND GROWTH	
NONE	TO EXPAND THE DEVELOPING PILOT PROGRAM, SCIENCE	151,144.
PC	ALLIANCE LEADERSHIP TRAINING (SALT), TO TRAIN 90	
	DIVERSE, ADVANCED DOCTORAL STUDENTS TO LEAD	
	INSTITUTIONAL CHANGE THROUGH ACQUISITION OF	
	STRONG ENTREPRENEURIAL, INTERPERSONAL, AND	
	TECHNICAL SKILLS	
	FOUNDATION STATUS OF RECIPIENT NONE PC NONE PC NONE PC NONE PC NONE PC NONE PC NONE PC	FOUNDATION STATUS OF RECIPIENT PURPOSE OF GRANT OR CONTRIBUTION TO SUPPORT THE IMPLEMENTATION OF MATHML IN THE OPEN SOURCE CHROMIUM BROWSER NONE TO DEMONSTRATE HOW HOMECHEM ACTIVITIES CAN BE TRANSLATED FOR A LAY AUDIENCE VIA JOURNALISM NONE TO DEEPEN AND EXPAND PLANET MONEY'S COVERAGE OF ECONOMICS VIA PODCAST EPISODES, ON-AIR RADIO STORIES, AND PARTICIPATORY JOURNALISM NONE TO SUPPORT TWO REGIONAL MEETINGS ON THE FUTURE OF SCHOLARLY COMMUNICATION AS WELL AS THE LAUNCH OF THE RESEARCH & SCHOLARLY COMMUNICATION NETWORK NONE TO HOLD A CONFERENCE ON EXPERIMENTAL AND EVIDENCE—BASED METHODS FOR STUDYING DISCOVERY, INNOVATION, AND GROWTH NONE TO EXPAND THE DEVELOPING PILOT PROGRAM, SCIENCE ALLIANCE LEADERSHIP TRAINING (SALT), TO TRAIN 90 DIVERSE, ADVANCED DOCTORAL STUDENTS TO LEAD INSTITUTIONAL CHANGE THROUGH ACQUISITION OF STRONG ENTREPRENEURIAL, INTERPERSONAL, AND

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ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK ACADEMY OF SCIENCES	NONE	TO SUPPORT A MULTIDISCIPLINARY SYMPOSIUM AND	40,000.
7 WTC, 250 GREENWICH ST., 40TH FL.	PC	PANEL ON SCIENCE DENIALISM	
NEW YORK, NY 10007-2157			
NEW YORK PUBLIC RADIO	NONE	FOR PRODUCTION AND ENHANCED DISTRIBUTION OF	150,000.
160 VARICK STREET	PC	RADIOLAB, AN INNOVATIVE AND POPULAR	
NEW YORK, NY 10013		SCIENCE-THEMED RADIO SHOW, VIA MULTIPLE PLATFORMS	
NEW YORK PUBLIC RADIO	NONE	TO SUPPORT STORY-DRIVEN HEALTH CARE REPORTING AT	250,000.
160 VARICK STREET	PC	WNYC, INCLUDING COVERAGE OF HEALTH CARE POLICY	
NEW YORK, NY 10013		AND ECONOMICS, MEDICAL SCIENCE AND DISCOVERY, AND	
		PERSONAL HEALTH	
NEW YORK UNIVERSITY	NONE	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND	157,890.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	TECHNOLOGY FILMS BY TOP FILM STUDENTS	
NEW YORK, NY 10003			
NEW YORK UNIVERSITY	NONE	TO SUPPORT THE DEVELOPMENT OF AND DATA EXCHANGE	186,628.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	BETWEEN DATAVYU (A TOOL FOR VIDEO CODING) AND	
NEW YORK, NY 10003		DATABRARY (A PLATFORM FOR ARCHIVING AND	
		CONTROLLED SHARING OF VIDEO DATA)	
NEW YORK UNIVERSITY	NONE	TO FURTHER DEVELOP THE PH.D. EXCELLENCE	180,000.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	INITIATIVE TO CHANGE THE FACE OF U.S. ECONOMICS	
NEW YORK, NY 10003		DEPARTMENTS BY PREPARING A SELECT CADRE OF	
		HIGH-ACHIEVING POST-BACCALAUREATE STUDENTS OF	
		COLOR FOR THE RIGORS OF PH.D. STUDY IN THE FIELD	

ATTACHMENT 21

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK UNIVERSITY	NONE	TO STUDY HOW ATTENTION AND PERCEPTION AFFECT	142,611.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	MICROECONOMIC BEHAVIORS AND MACROECONOMIC OUTCOMES	
NEW YORK, NY 10003			
			105 005
NEW YORK UNIVERSITY	NONE	TO INVESTIGATE HOW ACADEMICS ARE USING GIT	186,897.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	HOSTING PLATFORMS AND HOW THOSE PLATFORMS CAN BE	
NEW YORK, NY 10003		BETTER ADAPTED TO ACADEMIC NEEDS	
NEW YORK UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JOAN	65,000.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	BRUNA ESTRACH IN MATHEMATICS	
NEW YORK, NY 10003			
NEW YORK UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR AFONSO	65,000.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	BANDEIRA IN MATHEMATICS	
NEW YORK, NY 10003			
NEW YORK UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MIRANDA	65,000.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	HOLMES-CERFON IN MATHEMATICS	
NEW YORK, NY 10003			
NEW YORK UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TIANNING	65,000.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	DIAO IN CHEMISTRY	
NEW YORK, NY 10003			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK UNIVERSITY	NONE	TO ASSESS WHETHER MANDATORY BUILDING ENERGY	20,000.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	AUDITS IN NEW YORK CITY CONTRIBUTE TO MEANINGFUL	
NEW YORK, NY 10003		REDUCTIONS IN BUILDING ENERGY USE	
NORTH CAROLINA STATE UNIVERSITY	NONE	TO PROVIDE A COMPREHENSIVE ANALYSIS OF PUBLIC	179,655.
121 PEELE HALL	GOV	EMPLOYEES' TRANSITION BETWEEN CAREER EMPLOYMENT	
RALEIGH, NC 27695		AND FULL RETIREMENT	
NORTH CAROLINA STATE UNIVERSITY	NONE	TO SUPPORT RESEARCH AND WRITING OF A BOOK, "NEVER	30,000.
121 PEELE HALL	GOV	HOME ALONE," THAT ENGAGES THE GENERAL PUBLIC IN	
RALEIGH, NC 27695		THE HISTORY AND SCIENCE OF THE MICROBIOLOGY OF	
		THE BUILT ENVIRONMENT	
NORTH CAROLINA STATE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TYE	65,000.
121 PEELE HALL	GOV	LIDMAN IN MATHEMATICS	
RALEIGH, NC 27695			
NORTH CAROLINA STATE UNIVERSITY	NONE	TO CO-FUND A WORKSHOP ON DATA SCIENCE WITHIN LAND	48,002.
121 PEELE HALL	GOV	GRANT UNIVERSITIES	
RALEIGH, NC 27695			
NORTHEASTERN UNIVERSITY	NONE	TO SUPPORT AN INTENSIVE, YEAR-LONG ACADEMIC	125,000.
360 HUNTINGTON AVE	PC	LEADERSHIP PROGRAM FOR 40-50 MID-CAREER STEM	
BOSTON, MA 02115		FACULTY OF COLOR FROM A CONSORTIUM OF SEVEN	
		BOSTON-AREA UNIVERSITIES	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NORTHEASTERN UNIVERSITY	NONE	TO DEVELOP A PLAN FOR FACILITATING RESPONSIBLE	125,000.
360 HUNTINGTON AVE	PC	AND REPRODUCIBLE RESEARCH ON ADMINISTRATIVE DATA	
BOSTON, MA 02115		FROM MULTIPLE SOCIAL MEDIA SOURCES	
NORTHEASTERN UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DANIEL	65,000.
360 HUNTINGTON AVE	PC	WICHS IN COMPUTER SCIENCE	
BOSTON, MA 02115			
NUMFOCUS	NONE	TO IMPROVE TEACHING AND RESEARCH IN QUANTITATIVE	106,608.
P.O. BOX 90596	PC	ECONOMICS BY DEVELOPING CODEBASES AND OTHER	
AUSTIN, TX 78709		RESOURCES THAT ARE COMPELLING, OPEN, AND	
		REPRODUCIBLE	
NUMFOCUS	NONE	TO DEVELOP A PROGRAMMING TOOLKIT FOR THE	100,000.
P.O. BOX 90596	PC	CONSTRUCTION, EXECUTION, AND EVALUATION OF	
AUSTIN, TX 78709		MACROECONOMIC SIMULATIONS WHERE HETEROGENEOUS	
		AGENTS INTERACT BEHAVIORALLY	
NUMFOCUS	NONE	TO SUPPORT TRAVEL BY STUDENTS AND JUNIOR FACULTY	20,000.
P.O. BOX 90596	PC	TO A WORKSHOP FOCUSED ON THE DEVELOPMENT OF	
AUSTIN, TX 78709		SCIENTIFIC SOFTWARE USING THE R STATISTICAL	
		COMPUTING LANGUAGE	
NUMFOCUS	NONE	TO SUPPORT TRAVEL TO AND ATTENDANCE AT	20,000.
P.O. BOX 90596	PC	JULIACON2018 BY UNDERREPRESENTED MINORITIES IN	
AUSTIN, TX 78709		COMPUTING WHO ARE USERS OF AND CONTRIBUTORS TO	
		THE JULIA PROGRAMMING LANGUAGE	

ATTACHMENT 21

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
OHIO STATE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR HANNAH	65,000.
270 BEVIS HALL, 1080 CARMACK RD	GOV	SHAFAAT IN CHEMISTRY	
COLUMBUS, OH 43210			
OHIO STATE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ZEYNEP	65,000.
270 BEVIS HALL, 1080 CARMACK RD	GOV	SAYGIN IN NEUROSCIENCE	
COLUMBUS, OH 43210			
OPEN KNOWLEDGE FOUNDATION	NONE	TO SUPPORT ADOPTION AND USE OF A LIGHTWEIGHT DATA	249,875.
ST JOHN'S INNOV. CTR, COWLEY RD	NC	PACKAGING STANDARD IN ORDER TO REDUCE THE	
CAMBRIDGESHIRE		FRICTIONS EXPERIENCED IN THE ACQUISITION,	
UNITED KINGDOM CB4 0WS		SHARING, USE, AND REUSE OF RESEARCH DATA	
OPEN MIND LEGACY PROJECT	NONE	TO SUPPORT EIGHT TO TEN INTERVIEWS WITH	100,000.
320 PARK AVENUE, 4TH FLR	POF	SLOAN-SUPPORTED AUTHORS AND SLOAN-RELATED	
NEW YORK, NY 10022		THINKERS EACH YEAR ON THE OPEN MIND	
OPEN SPACE INSTITUTE	NONE	TO DEVELOP, DESIGN, FABRICATE, AND INSTALL	50,000.
1350 BRDWAY, 201	PC	EXHIBITS, INTERPRETIVE FEATURES, AND ORIENTATION	
NEW YORK, NY 10018		IN THE NEW MINNEWASKA VISITOR CENTER	
PARIS SCHOOL OF ECONOMICS	NONE	TO IMPROVE THE QUALITY, QUANTITY, AND	300,000.
48 BD JOURDAN	NC	ACCESSIBILITY OF DATA IN THE WORLD WEALTH AND	
PARIS		INCOME DATABASE (WID.WORLD) FOR RESEARCHERS OF	
FRANCE 75014		ALL BACKGROUNDS AND FOR THE GENERAL PUBLIC	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

PRINCETON, NJ 08540

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECI	IPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PECA	N STREET, INC.	NONE	TO IMPROVE ITS DATAPORT SOFTWARE AND DATA	100,000.
3924	BERKMAN DRIVE	PC	VISUALIZATION, EXPAND AVAILABLE ENERGY DATA	
AUST	IN, TX 78723		CONTENT, AND INCREASE ACADEMIC RESEARCHER USE OF	
			THE DATABASE	
PECA	N STREET, INC.	NONE	TO IMPROVE RESEARCHER ACCESS TO CRITICAL ENERGY	458,493.
3924	BERKMAN DRIVE	PC	DATA BY ENHANCING DATA RESOLUTION AND	
AUST	IN, TX 78723		GRANULARITY, DIVERSIFYING DATA LINKAGES, AND	
			EXPANDING GEOGRAPHIC SCOPE OF INSTRUMENTED HOMES	
PRIN	ICETON UNIVERSITY	NONE	TRANSFER OF AN ALFRED P. SLOAN RESEARCH	25,802.
35 O	LDEN ST	PC	FELLOWSHIP FOR DR. ERIC GLEN WEYL IN ECONOMICS,	
PRIN	ICETON, NJ 08540		ORIGINALLY AWARDED AT THE UNIVERSITY OF CHICAGO	
PRIN	ICETON UNIVERSITY	NONE	TRANSFER OF AN ALFRED P. SLOAN RESEARCH	65,000.
35 O	LDEN ST	PC	FELLOWSHIP FOR JIA DENG IN COMPUTER SCIENCE,	
PRIN	ICETON, NJ 08540		ORIGINALLY AWARDED TO UNIVERSITY OF MICHIGAN	
PRIN	ICETON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MARY	65,000.
35 O	LDEN ST	PC	STODDARD IN COMPUTATIONAL & EVOLUTIONARY	
PRIN	ICETON, NJ 08540		MOLECULAR BIOLOGY	
PRIN	ICETON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TODD	65,000.
35 O	LDEN ST	PC	HYSTER IN CHEMISTRY	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PRINCETON UNIVERSITY	NONE	TO STUDY HOW THE PSYCHOLOGY OF SCARCITY AND SLACK	223,655.
35 OLDEN ST	PC	HAS IMPLICATIONS FOR BEHAVIORAL AND TRADITIONAL	
PRINCETON, NJ 08540		ECONOMICS	
PUBLIC LAB	NONE	TO PARTIALLY SUPPORT THE 2018 GATHERING ON OPEN	50,000.
PO BOX 426113	PC	SCIENTIFIC HARDWARE	
CAMBRIDGE, MA 02142			
PURDUE UNIVERSITY	NONE	TO RECRUIT, SUPPORT, AND GRADUATE INDIGENOUS	120,000.
100 S GRANT ST.	PC	STUDENTS EARNING GRADUATE DEGREES IN STEM	
WEST LAFAYETTE, IN 47907		DISCIPLINES THROUGH THE CONSORTIAL EFFORTS OF THE	
		SLOAN INDIGENOUS GRADUATE PARTNERSHIP (SIGP)	
PURDUE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR RAJAMANI	65,000.
100 S GRANT ST.	PC	GOUNDER IN CHEMISTRY	
WEST LAFAYETTE, IN 47907			
RAND CORPORATION	NONE	TO PILOT A NEW APPROACH TO COLLECT LINKED	125,000.
1776 MAIN STREET, P.O. BOX 2138	PC	WORKER-FIRM DATA IN WHICH BOTH WORKERS AND	
SANTA MONICA, CA 90407-2137		EMPLOYERS PROVIDE INFORMATION ABOUT THE	
		DETERMINANTS OF THE LENGTH OF WORKING LIFE	
REBECCA SCHWARZLOSE	NONE	TO SUPPORT THE WRITING AND ILLUSTRATIONS FOR A	19,700.
32 ABERDEEN PLACE	I	BOOK ABOUT BRAIN MAPS TO BE PUBLISHED BY HOUGHTON	
SAINT LOUIS, MO 63105		MIFFLIN HARCOURT AND PROFILE BOOKS IN SPRING 2020	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
REGENTS OF THE UNIVERSITY OF IDAHO	NONE	TO SUPPORT THE FIRST U.S. SEMANTIC TECHNOLOGIES	20,000.
875 PERIMETER DRIVE, MS 3020	GOV	SYMPOSIUM	
MOSCOW, ID 83844-3020			
RENSSELAER POLYTECHNIC INSTITUTE	NONE	TO CONTINUE TO LEAD THE DATA SCIENCE AND	384,834.
110 8TH STREET	PC	MANAGEMENT DIMENSIONS OF THE DEEP CARBON	
TROY, NY 12180-3590		OBSERVATORY AND CONTRIBUTE TO PROGRAM SYNTHESIS	
RENSSELAER POLYTECHNIC INSTITUTE	NONE	TO SUPPORT THE RESEARCH DATA ALLIANCE REGIONAL	250,687.
110 8TH STREET	PC	U.S. ORGANIZATION	
TROY, NY 12180-3590			
RESEARCH FDN. OF CUNY O/B/O JAY COLLEGE	NONE	TO DEVELOP A MODEL EDUCATIONAL PROGRAM AND	124,991.
524 W 59TH STREET	PC	SUPPORTIVE COMMUNITY FOR THE PERSISTENCE OF WOMEN	
NEW YORK, NY 10019		GRADUATE STUDENTS IN STEM THROUGH THE	
		PRESENTATION OF THE PLAY, TRUTH VALUES, WITH	
		ASSOCIATED WORKSHOPS, MENTORING, AND NETWORKING	
		ACTIVITIES	
RSRCH FDN. OF CUNY O/B/O ADV. SCIENCE RSRCH CTR.	NONE	TO SUPPORT A WORKSHOP ON NANOSCALE CHEMISTRY OF	30,746.
85 SAINT NICHOLAS TERRACE	PC	INDOOR ENVIRONMENTS	
NEW YORK, NY 10031			
RESOURCES FOR THE FUTURE, INC.	NONE	TO CONDUCT RESEARCH ON THE ECONOMICS OF	50,000.
1616 P STREET, NW	PC	TRANSPORTATION BY STUDYING CONSUMER DEMAND FOR	
WASHINGTON, DC 20036-1400		NEW VEHICLE TECHNOLOGIES AND ALTERNATIVE FUEL	
		VEHICLES	

ATTACHMENT 21 0170665-00002

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RESOURCES FOR THE FUTURE, INC.	NONE	TO DEVELOP AND IMPLEMENT A TRANSPARENT,	200,000.
1616 P STREET, NW	PC	MULTIDISCIPLINARY RESEARCH INITIATIVE TO UPDATE	
WASHINGTON, DC 20036-1400		COMPREHENSIVELY THE FRAMEWORK FOR SOCIAL COST OF	
		CARBON DIOXIDE ESTIMATION REFLECTING THE BEST	
		AVAILABLE SCIENCE AND ECONOMICS ANALYSIS	
	your .	TO GOVERNMEN A DOCUMENTATION OF THE PROPERTY.	196,951.
RESOURCES FOR THE FUTURE, INC.	NONE	TO CONTINUE A POSTDOCTORAL RESEARCHER PROGRAM	196,951.
1616 P STREET, NW	PC	THAT WILL TRAIN THE NEXT GENERATION OF SCHOLARS	
WASHINGTON, DC 20036-1400		IN ENERGY AND ENVIRONMENTAL ECONOMICS AND POLICY	
		ANALYSIS	
RESOURCES FOR THE FUTURE, INC.	NONE	TO ORGANIZE A SLOAN ENERGY CONFERENCE THAT	203,083.
1616 P STREET, NW	PC	INTEGRATES RESEARCH RESULTS ACROSS THE ENERGY	
WASHINGTON, DC 20036-1400		SYSTEM AND DISSEMINATES FINDINGS TO PRACTITIONERS	
RETRO REPORT	NONE	TO SUPPORT RESEARCH AND PRODUCTION OF A SHORT	32,500.
633 THIRD AVENUE, 16TH FLOOR	POF	DIGITAL DOCUMENTARY AND ACCOMPANYING VIDEOS ON	32,300.
NEW YORK, NY 10017	FOR	THE SCIENCE AND TECHNOLOGY BEHIND DRIVERLESS CARS	
NEW TORK, NI 10017		THE SCIENCE AND IECHNOLOGI BEHIND DAIVERDESS CARS	
RHIZOME	NONE	TO HELP SUPPORT A VERSION OF WIKIBASE THAT IS	19,800.
235 BOWERY	PC	EASILY DEPLOYED AND USED BY INSTITUTIONS SMALL	
NEW YORK, NY 10002		AND LARGE, AND TO CREATE A COMMUNITY OF PRACTICE	
		AROUND LINKED DATA MODELING	
RICE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JAMES	65,000.
6100 MAIN STREET, CAAM DEPT MS 134	PC	CHAPPELL IN COMPUTATIONAL & EVOLUTIONARY	
HOUSTON, TX 77005		MOLECULAR BIOLOGY	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ROCKEFELLER UNIVERSITY	NONE	TO SUPPORT JESSE AUSUBEL'S CONTINUED LEADERSHIP	450,000.
1230 YORK AVENUE	PC	ON BEHALF OF THE SLOAN FOUNDATION OF THE DEEP	
NEW YORK, NY 10021-6399		CARBON OBSERVATORY PROGRAM INITIATED IN 2009	
ROCKEFELLER UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR LI ZHAO	65,000.
1230 YORK AVENUE	PC	IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR BIOLOGY	
NEW YORK, NY 10021-6399			
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY	NONE	TO DEVELOP CONCEPTUAL AND EMPIRICAL FRAMEWORKS	55,832.
110 FRELINGHUYSEN RD	PC	THAT ADVANCE THE STUDY OF STEM LABOR MARKETS	
HILL CENTER, BUCH CAMPUS			
PISCATAWAY, NJ 08854-8019			
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JACQUELYN	65,000.
110 FRELINGHUYSEN RD	PC	NORONHA-HOSTLER IN PHYSICS	
HILL CENTER, BUCH CAMPUS			
PISCATAWAY, NJ 08854-8019			
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SHUBHANGI	65,000.
110 FRELINGHUYSEN RD	PC	SARAF IN COMPUTER SCIENCE	
HILL CENTER, BUCH CAMPUS			
PISCATAWAY, NJ 08854-8019			
SAN FRANCISCO STATE UNIVERSITY	NONE	TO EXAMINE THE EFFECTS OF THE WORK PROGRESS	124,833.
1600 HOLLOWAY AVENUE	GOV	ADMINISTRATION (WPA) ON LONG-TERM WORK,	
SAN FRANCISCO, CA 94132		DISABILITY, AND RETIREMENT OUTCOMES	

ATTACHMENT 21

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SCIENCE FRIDAY INITIATIVE, INC.	NONE	TO SUPPORT SCIENCE FRIDAY, FOCUSING ON SCIENCE	228,000.
19 WEST 44TH STREET, SUITE 412	PC	AND THE ARTS, INCLUDING RADIO BROADCASTS, DIGITAL	
NEW YORK, NY 10036		SCIENCE VIDEOS, BLOG POSTS, AND ASSOCIATED MEDIA	
SETH FLETCHER	NONE	TO SUPPORT RESEARCH FOR AND WRITING OF EINSTEIN'S	10,000.
25 OLD POST RD S	I	SHADOW: A BLACK HOLE, A BAND OF ASTRONOMERS, AND	
CROTON-ON-HUDSON, NY 10520		THE QUEST TO SEE THE UNSEEABLE(ECCO PRESS 2018),	
		A BOOK ABOUT THE EVENT HORIZON TELESCOPE AND THE	
		QUEST TO CAPTURE THE FIRST DIRECT IMAGE OF A	
		BLACK HOLE	
SFFILM	NONE	TO NURTURE, DEVELOP, AND CHAMPION FILMS THAT	230,000.
39 MESA STREET, STE 110	PC	EXPLORE SCIENTIFIC OR TECHNOLOGICAL THEMES AND	
SAN FRANCISCO, CA 94129		CHARACTERS	
SOCIAL SCIENCE RESEARCH COUNCIL	NONE	TO ESTABLISH THE STRUCTURE, GOVERNANCE, AND	315,976.
810 SEVENTH AVENUE	PC	OFFERINGS OF THE SLOAN SCHOLARS MENTOR NETWORK	
NEW YORK, NY 10019		FOR A THREE-YEAR CYCLE, WITH AN INITIAL TARGET	
		AUDIENCE OF SLOAN SCHOLAR MPHD GRADUATES IN	
		ACADEMIC CAREERS	
SOCIAL SCIENCE RESEARCH COUNCIL	NONE	TO FACILITATE SCHOLARLY RESEARCH ON PROPRIETARY	500,000.
810 SEVENTH AVENUE	PC	SOCIAL MEDIA DATA THROUGH A PROCESS THAT	
NEW YORK, NY 10019		INCORPORATES PEER REVIEWS, ETHICAL REVIEWS, AND	
		PRIVACY REVIEWS	
SOCIAL SCIENCE RESEARCH COUNCIL	NONE	TO PILOT A SMALL GRANTS PROGRAM ESTABLISHING THE	125,000.
810 SEVENTH AVENUE	PC	SLOAN SCHOLARS MENTORING NETWORK (SSMN) AS A	
NEW YORK, NY 10019		GRANT-MAKING ORGANIZATION TO INCENTIVIZE SLOAN	
		SCHOLAR PARTICIPATION, TO SUPPORT EARLY	
		ACADEMIC-CAREER SLOAN GRADUATES, AND TO CREATE	
		OPPORTUNITIES FOR INFORMAL MENTORING	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SOUTHERN CALIFORNIA INSTITUTE OF ARCHITECTURE	NONE	TO SUPPORT ARCHITECTURE STUDENTS IN THE CREATION	110,000.
960 EAST 3RD STREET	PC	AND DISSEMINATION OF MULTI-MEDIA FICTIONAL	
LOS ANGELES, CA 90013		NARRATIVES BASED ON THE LATEST RESEARCH ON AI AND	
		AUTOMATION	
SOUTHERN REGIONAL EDUCATION BOARD	NONE	TO PROVIDE SLOAN SCHOLARS AND PROGRAM DIRECTORS	99,645.
592 10TH STREET, NW	PC	IN ITS MINORITY PH.D. PROGRAM ACCESS AND SERVICES	
ATLANTA, GA 30318-5790		AT THE ANNUAL MEETINGS OF SREB'S INSTITUTE FOR	
		TEACHING AND MENTORING	
STANFORD UNIVERSITY	NONE	TO DEVELOP SERVICES THAT MODEL HOW ACCESS TO	502,432.
450 SERRA MALL	PC	ADMINISTRATIVE DATA CAN FACILITATE RELIABLE,	
STANFORD, CA 94305		REPRODUCIBLE, AND GROUNDBREAKING RESEARCH IN	
		ECONOMICS	
STANFORD UNIVERSITY	NONE	TO MAKE RESEARCH ON MACRO-FINANCIAL MODELING MORE	214,589.
450 SERRA MALL	PC		214,309.
	PC	REPRODUCIBLE, COLLABORATIVE, AND COMPARATIVE	
STANFORD, CA 94305			
STANFORD UNIVERSITY	NONE	TO SUPPORT A CONFERENCE SERIES IN ORDER TO FOSTER	227,145.
450 SERRA MALL	PC	MORE RESEARCH AND POLICY DISCUSSION ABOUT	
STANFORD, CA 94305		CHANGING LABOR MARKET INSTITUTIONS TO ACCOMMODATE	
		INCREASED LONGEVITY	
STANFORD UNIVERSITY	NONE	TO ENHANCE THE UNDERSTANDING OF THE OPTIONS FACED	118,893.
450 SERRA MALL	PC	BY HOUSEHOLDS WHOSE PREVIOUS RETIREMENT PLANS NOW	
STANFORD, CA 94305		APPEAR UNREALISTIC, PERHAPS DUE TO SLOW GROWTH,	
		LOWER THAN PROJECTED WAGE GROWTH, OR POOR PAST OR	
		PROJECTED ASSET RETURNS	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

STANFORD, CA 94305

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
STANFORD UNIVERSITY	NONE	TO PROVIDE A FINAL ROUND OF SUPPORT FOR THE	10,000.
450 SERRA MALL	PC	PARTICIPATION OF STUDENTS AND POST-DOCTORAL	
STANFORD, CA 94305		RESEARCHERS AT THE 2018 BEHAVIOR, ENERGY AND	
		CLIMATE CHANGE CONFERENCE	
STANFORD UNIVERSITY	NONE	TO STUDY THE BEHAVIORAL WELFARE EFFECTS OF ONLINE	323,836.
450 SERRA MALL	PC	MEDIA CONSUMPTION	
STANFORD, CA 94305			
STANFORD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JULIA	65,000.
450 SERRA MALL	PC	PALACIOS IN COMPUTATIONAL & EVOLUTIONARY	
STANFORD, CA 94305		MOLECULAR BIOLOGY	
STANFORD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DANIEL	65,000.
450 SERRA MALL	PC	YAMINS IN NEUROSCIENCE	
STANFORD, CA 94305			
STANFORD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR GORDON	65,000.
450 SERRA MALL	PC	WETZSTEIN IN COMPUTER SCIENCE	
STANFORD, CA 94305			
STANFORD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ARUN	65,000.
450 SERRA MALL	PC	CHANDRASEKHAR IN ECONOMICS	

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
STANFORD UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MATTEO	65,000.
450 SERRA MALL	PC	CARGNELLO IN CHEMISTRY	
STANFORD, CA 94305			
STANFORD UNIVERSITY	NONE	TO ADVANCE THE DESIGN AND IMPLEMENTATION OF	125,000.
450 SERRA MALL	PC	CAUSAL INFERENCE TECHNIQUES OTHER THAN	
STANFORD, CA 94305		RANDOMIZATION IN PUBLIC POLICY EVALUATION	
STEPHEN E. OLSON	NONE	TO SUPPORT A BOOK ON THE DISCOVERY, MANUFACTURE,	50,000.
2821 2ND AVENUE, #1501	I	AND USE OF PLUTONIUM IN THE BOMB DROPPED ON	
SEATTLE, WA 98121		NAGASAKI, TO BE PUBLISHED BY W.W. NORTON IN THE	
		SPRING OF 2020	
STEVENS INSTITUTE OF TECHNOLOGY	NONE	TO PILOT TWO MIDDLE SCHOOL MATH CIRCLES IN NYC	19,762.
1 CASTLE POINT ON HUDSON	PC	THAT INFORM THE DEVELOPMENT OF A SYSTEM OF MATH	
HOBOKEN, NJ 07030		CIRCLES IN THE NYC AREA	
SUNDANCE INSTITUTE	NONE	TO SUPPORT A SCIENCE AND TECHNOLOGY FILM PROGRAM	200,000.
5900 WILSHIRE BOULEVARD	PC	AT THE NATION'S PRE-EMINENT INDEPENDENT FILM	
BEVERLY HILLS, CA 90036		CENTER THAT INCLUDES SCREENWRITING FELLOWSHIPS,	
		FEATURE FILM PRIZES, SCIENCE AND FILM PANELS, AND	
		ASSOCIATED OUTREACH	
SUNY POLYTECHNIC INSTITUTE	NONE	TO SUPPORT THE SCALING-UP OF A COMMUNITY OF	197,851.
257 FULLER RD	PC	SCHOLARS AND PRACTITIONERS FOCUSED ON TECHNOLOGY	
ALBANY, NY 12203		MAINTENANCE	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SUSAN HAND SHETTERLY	NONE	TO SUPPORT A BOOK ON SEAWEEDS AND THEIR	22,500.
132 CROSS RD	I	INTERACTIONS WITH HUMANS AND THEIR HABITATS, TO	
SURRY, ME 04684		BE PUBLISHED BY ALGONQUIN PRESS	
TECHNOLOGY AFFINITY GROUP	NONE	TO SUPPORT 2018 MEMBERSHIP DUES FOR THIS AFFINITY	5,000.
1 NORTH STATE STREET, SUITE 1500	PC	GROUP OF THE COUNCIL ON FOUNDATIONS	
CHICAGO, IL 60602			
THE AMERICAN ASSEMBLY	NONE	TO SUPPORT THE GROWTH AND SUSTAINABILITY OF A	374,399.
475 RIVERSIDE DRIVE, SUITE 456	PC	LARGE-SCALE ONLINE DATABASE OF UNIVERSITY COURSE	
NEW YORK, NY 10115		SYLLABI	
THE ASPEN INSTITUTE	NONE	TO SUPPORT A DIALOGUE PROCESS THAT APPLIES	150,000.
271 MADISON AVENUE, SUITE 606	PC	RESEARCH FINDINGS TO INFORM THE DEVELOPMENT OF	
NEW YORK, NY 10016		BEST PRACTICES FOR THE GOVERNANCE OF SHALE GAS	
		AND OIL	
THE CONVERSATION	NONE	TO ENHANCE THE CONVERSATION'S PRODUCTION OF	100,000.
745 ATLANTIC AVE., 8TH FLR	PC	PUBLICLY ACCESSIBLE ARTICLES BY ACADEMICS ABOUT	
BOSTON, MA 02111		THEIR RESEARCH IN ECONOMICS	
THE GRADUATE CENTER FOUNDATION, INC.	NONE	TO PILOT A NEW, ANNUAL SCIENTIFIC BIOGRAPHY	110,250.
365 FIFTH AVENUE, ROOM 8204	PC	FELLOWSHIP AT THE LEON LEVY CENTER FOR BIOGRAPHY	
NEW YORK, NY 10016		THAT WILL RESULT IN THREE NEW MAJOR BIOGRAPHIES	
		OF SCIENTISTS AND/OR TECHNOLOGISTS	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

UNIV. PARK, PA 16801

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE INTERNET ARCHIVE	NONE	TO PARTIALLY SUPPORT PARTICIPATION IN THE 2018	20,000.
P.O. BOX 29244	PC	DECENTRALIZED WEB SUMMIT	
SAN FRANCISCO, CA 94129-0244			
THE MANUFACTURING INSTITUTE	NONE	TO STUDY UPSKILLING STRATEGIES AMONG	125,000.
733 10TH STREET NW, STE 700	PC	MANUFACTURERS FOR AGING WORKFORCE AND EXPLORE	
WASHINGTON, DC 20001		BEST PRACTICES ON HOW THESE COMPANIES HAVE BEEN	
		ABLE TO SUCCESSFULLY EXTEND THE WORKING YEARS OF	
		THIS GROUP	
THE MAYOR'S FUND TO ADVANCE NEW YORK CITY	NONE	TO INCREASE THE UTILITY OF NYC OPEN DATA BY	56,384.
253 BRDWAY, 6TH FL	PC	ENABLING LIBRARY AND INFORMATION PROFESSIONALS TO	
NEW YORK, NY 10007		IMPROVE THE QUALITY OF DATA DICTIONARIES	
THE PENNSYLVANIA STATE UNIVERSITY	NONE	TO STRENGTHEN THE MICROFOUNDATIONS OF	234,416.
OLD MAIN	GOV	MACROECONOMICS BY BUILDING AND CALIBRATING	
UNIV. PARK, PA 16801		BEHAVIORAL MODELS OF ORDER-BOOK ACTIVITY IN	
		FINANCIAL MARKETS	
THE PENNSYLVANIA STATE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR CUI-ZU	65,000.
OLD MAIN	GOV	CHANG IN PHYSICS	
UNIV. PARK, PA 16801			
THE PENNSYLVANIA STATE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR REBEKAH	65,000.
OLD MAIN	GOV	DAWSON IN PHYSICS	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
			
THE PENNSYLVANIA STATE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR XIN ZHANG	65,000.
OLD MAIN	GOV	IN CHEMISTRY	
UNIV. PARK, PA 16801			
THE PENNSYLVANIA STATE UNIVERSITY	NONE	TO SUPPORT A MULTIDISCIPLINARY RESEARCH PROJECT	200,000.
OLD MAIN	GOV	THAT EXAMINES THE IMPACT OF VARIABLE WIND	
UNIV. PARK, PA 16801		GENERATION ON TRANSMISSION SYSTEMS	
THE UNIVERSITY OF CHICAGO	NONE	TO CONSTRUCT, CALIBRATE, AND COMPARE MODELS FOR	200,000.
5801 S ELLIS AVE	PC	ANALYZING HOW THE FINANCIAL INSTITUTIONS INTERACT	
CHICAGO, IL 60637		WITH THE REAL ECONOMY	
THE UNIVERSITY OF CHICAGO	NONE	TO STUDY HOW KNOWLEDGE GENERATED BY RESEARCH AND	53,961.
5801 S ELLIS AVE	PC	DEVELOPMENT SPILLS OVER THROUGH INNOVATION	
CHICAGO, IL 60637		NETWORKS	
THE UNIVERSITY OF CHICAGO	NONE	TO STUDY HOW THE CHOICE OF COMPUTATIONAL TOOLS	249,297.
5801 S ELLIS AVE	PC	SUCH AS PROGRAMMING LANGUAGES AND DATA-ANALYSIS	
CHICAGO, IL 60637		ENVIRONMENTS IMPACTS THEIR USERS	
THE UNIVERSITY OF CHICAGO	NONE	TO STUDY EXPERIMENTALLY THE WELFARE ECONOMICS OF	105,003.
5801 S ELLIS AVE	PC	NUDGING AND OTHER BEHAVIORAL INTERVENTIONS	
CHICAGO, IL 60637			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE UNIVERSITY OF CHICAGO	NONE	TO DEVELOP, DEBUG, AND DISSEMINATE MACROECONOMIC	230,000.
5801 S ELLIS AVE	PC	MODELS AND SOFTWARE THAT DO NOT ASSUME RATIONAL	
CHICAGO, IL 60637		EXPECTATIONS	
THE UNIVERSITY OF CHICAGO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TIMOTHY	65,000.
5801 S ELLIS AVE	PC	BERKELBACH IN CHEMISTRY	
CHICAGO, IL 60637			
THE UNIVERSITY OF CHICAGO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MARK	65,000.
5801 S ELLIS AVE	PC	SHEFFIELD IN NEUROSCIENCE	
CHICAGO, IL 60637			
THE UNIVERSITY OF CHICAGO	NONE	TO STUDY THE COMPLEMENTARITY BETWEEN PREDICTION	277,303.
5801 S ELLIS AVE	PC	ALGORITHMS AND HUMAN DECISION-MAKING	
CHICAGO, IL 60637			
THE UNIVERSITY OF CHICAGO	NONE	TO SUPPORT THE TRAINING OF EARLY CAREER SCHOLARS	305,281.
5801 S ELLIS AVE	PC	IN DEVELOPING A MORE DETAILED, GRANULAR APPROACH	
CHICAGO, IL 60637		TO ESTIMATING DAMAGE FUNCTIONS THAT CAN	
		CONTRIBUTE TO PROVIDING MORE TRANSPARENT	
		ESTIMATES OF THE SOCIAL COST OF CARBON	
THE UNIVERSITY OF TEXAS MD ANDERSON CANCER CENTER	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR NIDHI	65,000.
1400 PRESSLER STREET	PC	SAHNI IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR	
HOUSTON, TX 77030		BIOLOGY	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	TO RAISE THE VISIBILITY OF AND IMPROVE INCENTIVES	204,639.
2500 SPEEDWAY	GOV	FOR SOFTWARE WORK AS A CONTRIBUTION IN THE	
AUSTIN, TX 78712		SCIENTIFIC LITERATURE	
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	TO ANALYZE BUILDING PERFORMANCE DATA OF THE UTEST	76,000.
2500 SPEEDWAY	GOV	HOUSE FOR HOMECHEM	
AUSTIN, TX 78712			
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	TO PROVIDE A FINAL ROUND OF SUPPORT FOR STUDENTS	10,000.
2500 SPEEDWAY	GOV	FROM MULTIPLE DISCIPLINES TO PARTICIPATE IN THE	
AUSTIN, TX 78712		2019 AUSTIN ELECTRICITY CONFERENCE	
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	TO SUPPORT THE 2018 ENERGY JOURNALISM WORKSHOP IN	50,000.
2500 SPEEDWAY	GOV	ORDER TO INFORM JOURNALISTS COVERING ENERGY AND	
AUSTIN, TX 78712		ENVIRONMENTAL ISSUES OF NOVEL RESEARCH FINDINGS	
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SIMON	65,000.
2500 SPEEDWAY	GOV	PETER IN COMPUTER SCIENCE	
AUSTIN, TX 78712			
THE INTERPOLTY OF THEY A MIGHTN	NONE	ALEBED D. GLOAN DEGRADOU DELL'ANGULD DOD ALEVANDUD	CF 000
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ALEXANDER	65,000.
2500 SPEEDWAY	GOV	HUTH IN NEUROSCIENCE	
AUSTIN, TX 78712			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JOE	65,000.
2500 SPEEDWAY	GOV	NEEMAN IN MATHEMATICS	
AUSTIN, TX 78712			
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR LIVIA	65,000.
2500 SPEEDWAY	GOV	SCHIAVINATO EBERLIN IN CHEMISTRY	
AUSTIN, TX 78712			
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	TO SUPPORT POWER TRIP: THE STORY OF ENERGY BY	57,500.
2500 SPEEDWAY	GOV	MICHAEL WEBBER, TO BE PUBLISHED BY BASIC BOOKS IN	
AUSTIN, TX 78712		2019	
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	TO SUPPORT HOMECHEM DOCUMENTATION AS A BASIS FOR	74,996.
2500 SPEEDWAY	GOV	EDUCATION AND OUTREACH ACTIVITIES	
AUSTIN, TX 78712			
	YOUT .	TO THE VIEW OF STATE	E0 042
THE UNIVERSITY OF TEXAS, AUSTIN	NONE	TO EXAMINE OZONE REACTIONS WITH FOUR COMMON	70,043.
2500 SPEEDWAY	GOV	INDOOR MATERIALS	
AUSTIN, TX 78712			
THOMAS JEFFERSON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TIMOTHY	65,000.
111 S. 11TH STREET	PC	MOSCA IN NEUROSCIENCE	,-30.
PHILADELPHIA, PA 19107		THE STATE OF THE S	
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ATTACHMENT 21 0170665-00002

ALFRED P. SLOAN FOUNDATION

TUCSON, AZ 85721

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
			0.50
TRIBECA FILM INSTITUTE	NONE	TO BUILD ON THE TFI SLOAN FILMMAKER FUND'S	270,000.
32 AVE OF THE AMERICAS, 27TH FLR	PC	SUCCESS IN DEVELOPING NEW SCIENCE FILMS TO	
NEW YORK, NY 10013		PRODUCTION AND TO RAISE THE PROFILE OF SLOAN	
		SCREENINGS, READINGS, AND PANELS AT THE TRIBECA	
		FILM FESTIVAL	
TRIBECA FILM INSTITUTE	NONE	TO SUPPORT THE SLOAN STUDENT GRAND JURY PRIZE FOR	130,818.
32 AVE OF THE AMERICAS, 27TH FLR	PC	THE ANNUAL SELECTION AND DEVELOPMENT OF THE	
NEW YORK, NY 10013		BEST-OF-THE-BEST SCREENPLAY FROM SLOAN'S SIX FILM	
		SCHOOL PARTNERS AND TO PILOT A NEW SLOAN	
		DISCOVERY AWARD SELECTED FROM SIX NEW NON-SLOAN	
		FILM SCHOOL SCREENPLAYS WITH S&T THEMES	
UNITED HOSPITAL FUND OF NEW YORK	NONE	TO PROVIDE PARTIAL SUPPORT FOR A WORKSHOP ON THE	60,000.
1411 BRDWAY, 12TH FLOOR	PC	IMPACT OF THE OPIOID CRISIS ON CHILDREN AND	
NEW YORK, NY 10018		CAREGIVERS	
•			
UNITED STATES ASSOC. FOR ENERGY ECONOMICS	NONE	TO SUPPORT THE PARTICIPATION OF GRADUATE STUDENTS	10,000.
28790 CHAGRIN BLVD, STE 350	NC	AT THE PH.D. DAY EVENT AT THE 2018 USAEE NORTH	
CLEVELAND, OH 44122		AMERICAN CONFERENCE IN WASHINGTON, D.C.	
UNIVERSITY COLLEGE LONDON	NONE	TO EXPAND THE CONTENT AND OUTREACH OF	20,000.
GOWER STREET	NC	MICROECONOMIC INSIGHTS, AN ONLINE SOURCE FOR	·
LONDON		ACCESSIBLE SUMMARIES OF HIGH-QUALITY	
UNITED KINGDOM WC1E 6BT		MICROECONOMIC RESEARCH	
UNIVERSITY OF ARIZONA	NONE	TO INTEGRATE AND SYNTHESIZE KNOWLEDGE OF	125,000.
1401 E. UNIV. BLVD.	GOV	EVOLUTION OF CARBON MINERALS	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

BERKELEY, CA 94704-5940

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF ARIZONA	NONE	TO STUDY GENDER DIFFERENCES IN THE CHOICE OF	175,205.
1401 E. UNIV. BLVD.	GOV	SUBFIELD SPECIALIZATION AMONG ACADEMIC ECONOMISTS	
TUCSON, AZ 85721			
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO EXPAND UNDERSTANDING OF THE PROCESSES	250,000.
2150 SHATTUCK AVENUE	PC	CONTROLLING INDOOR CHEMISTRY	
BERKELEY, CA 94704-5940			
·			
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO PROVIDE RENEWED SUPPORT TO EXAMINE THE	250,000.
2150 SHATTUCK AVENUE	PC	MICROBIOLOGY OF THE NEONATAL INTENSIVE CARE UNIT	
BERKELEY, CA 94704-5940		ENVIRONMENT	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY,	47,289.
2150 SHATTUCK AVENUE	PC	AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW	
BERKELEY, CA 94704-5940		COMMITTEE, BY ESTIMATING THE IMPACTS OF AN	
		INDUSTRIAL ENERGY EFFICIENCY PROGRAM ON	
		ELECTRICITY USE, WATER USE, AND WELFARE IN THE	
		AGRICULTURAL SECTOR	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO SUPPORT IMPROVEMENTS TO NUMPY, AN ESSENTIAL	234,119.
2150 SHATTUCK AVENUE	PC	NUMERICAL COMPUTING UTILITY FOR THE PYTHON	234,119.
BERKELEY, CA 94704-5940	FC	PROGRAMMING LANGUAGE	
BERREBEI, CA JI/01 J/10		FROGRAMMITING DANGOROE	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO SUPPORT A SPECIAL SEMESTER ON THE FOUNDATIONS	287,500.
2150 SHATTUCK AVENUE	PC	AND APPLICATIONS OF DATA PRIVACY RESEARCH	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO SHED NEW LIGHT ON THE PREVALENCE AND NATURE OF	119,284.
2150 SHATTUCK AVENUE	PC	INDEPENDENT CONTRACTING (INCLUDING GIG WORK),	
BERKELEY, CA 94704-5940		WITH A FOCUS ON DOCUMENTING HOW BOTH PRE- AND	
		POST-RETIREMENT OLDER WORKERS COMBINE INDEPENDENT	
		CONTRACTING WITH OTHER SOURCES OF INCOME	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO CREATE THE E2E EVIDENCE-BASED POLICY	233,594.
2150 SHATTUCK AVENUE	PC	FELLOWSHIP PROGRAM THAT WILL BRING ENERGY	
BERKELEY, CA 94704-5940		EFFICIENCY PROGRAM EVALUATION RESEARCH CAPACITY	
		TO STATE AGENCIES	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO EXPAND UNDERSTANDING OF THE ROLES THAT	155,000.
2150 SHATTUCK AVENUE	PC	MICROORGANISMS PLAY IN SHAPING THE CHEMISTRY OF	
BERKELEY, CA 94704-5940		INDOOR ENVIRONMENTS	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JOSEPH	65,000.
2150 SHATTUCK AVENUE	PC	SHAPIRO IN ECONOMICS, ORIGINALLY AWARDED TO YALE	
BERKELEY, CA 94704-5940		UNIVERSITY	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO SUPPORT A SUMMIT FOR ~100 GRADUATE STUDENTS	20,000.
2150 SHATTUCK AVENUE	PC	AND 20-50 EXPERIENCED RESEARCHERS IN ECONOMICS	
BERKELEY, CA 94704-5940		FROM UNDERREPRESENTED GROUPS TO BUILD TOOLS AND	
		NETWORKS TO SUPPORT DIVERSITY, INCLUSION, AND	
		EQUITY IN THE FIELD	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO CREATE A PUBLICLY ACCESSIBLE PANEL DATASET OF	124,966.
2150 SHATTUCK AVENUE	PC	RESIDENTIAL ELECTRIC UTILITY RATES FOR ALL UNITED	
BERKELEY, CA 94704-5940		STATES UTILITIES TO DEVELOP MORE REFINED	
		ELECTRICITY COST MODELS	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR RALUCA	65,000.
2150 SHATTUCK AVENUE	PC	POPA IN COMPUTER SCIENCE	
BERKELEY, CA 94704-5940			
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR NORMAN	65,000.
2150 SHATTUCK AVENUE	PC	YAO IN PHYSICS	
BERKELEY, CA 94704-5940			
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DANNY	65,000.
2150 SHATTUCK AVENUE	PC	YAGAN IN ECONOMICS	
BERKELEY, CA 94704-5940			
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ANCA	65,000.
2150 SHATTUCK AVENUE	PC	DRAGAN IN COMPUTER SCIENCE	
BERKELEY, CA 94704-5940			
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MARKITA	65,000.
2150 SHATTUCK AVENUE	PC	LANDRY IN NEUROSCIENCE	
BERKELEY, CA 94704-5940			
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SUPREET	65,000.
2150 SHATTUCK AVENUE	PC	KAUR IN ECONOMICS	
BERKELEY, CA 94704-5940			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SEMYON	60,000.
2150 SHATTUCK AVENUE	PC	DYATLOV IN MATHEMATICS, ORIGINALLY AWARDED TO	
BERKELEY, CA 94704-5940		MASSACHUSETTS INSTITUTE OF TECHNOLOGY	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO EXTEND COMPARATIVE DATA ANALYSIS FOR STUDIES	30,000.
2150 SHATTUCK AVENUE	PC	OF WATER DAMAGED HOMES IN NY AND CA	
BERKELEY, CA 94704-5940			
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO SUPPORT THE FIRST PAPER IN A TWO-YEAR,	20,000.
2150 SHATTUCK AVENUE	PC	THREE-PAPER RESEARCH AGENDA ON THE EQUILIBRIUM	
BERKELEY, CA 94704-5940		AND SPILLOVER EFFECTS OF WORKING LONGER: EVIDENCE	
		FROM QUASI-EXPERIMENTAL VARIATION IN EARLY	
		RETIREMENT INCENTIVES	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO STUDY PRIVATE SECTOR RESEARCH AND DATA SHARING	18,500.
2150 SHATTUCK AVENUE	PC	PRACTICES	10,500.
BERKELEY, CA 94704-5940	FC	FRACITOES	
BERREBEI, CA 94704 3940			
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY,	41,063.
1 SHIELDS AVE	PC	AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW	
DAVIS, CA 95616		COMMITTEE, FOCUSED ON UNDERSTANDING HOW THE	
		TARGETING AND TIMING OF ENERGY EFFICIENCY	
		INFORMATION PROVISION IMPACTS PROGRAM	
		PARTICIPATION	
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY,	50,000.
1 SHIELDS AVE	PC	AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW	
DAVIS, CA 95616		COMMITTEE, BY EXAMINING THE RELATIONSHIP BETWEEN	
		ELECTRICITY RATE STRUCTURES AND CONSUMER	
		INVESTMENTS IN ENERGY EFFICIENT APPLIANCES	

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO QUANTIFY EXISTING AND PENDING DISTRIBUTION	125,000.
1 SHIELDS AVE	PC	SYSTEM IMPACTS OF HIGH LEVELS OF PENETRATION OF	
DAVIS, CA 95616		DISTRIBUTED ENERGY RESOURCES AND LOADS	
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO PROVIDE FINAL RENEWED SUPPORT FOR THE	167,007.
1 SHIELDS AVE	PC	MICROBIOLOGY OF THE BUILT ENVIRONMENT NETWORK	
DAVIS, CA 95616		(MICROBENET)	
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO LEAD THE MODELING AND VISUALIZATION ACTIVITIES	750,000.
1 SHIELDS AVE	PC	OF THE DEEP CARBON OBSERVATORY TO ACHIEVE MAXIMUM	
DAVIS, CA 95616		CONTRIBUTIONS AND LEGACIES DURING THE SYNTHESIS	
		PHASE OF THE PROGRAM	
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO DEVELOP A BUSINESS PLAN TO SUSTAIN THE	101,430.
1 SHIELDS AVE	PC	ACTIVITIES OF THE MICROBIOLOGY OF THE BUILT	
DAVIS, CA 95616		ENVIRONMENT NETWORK	
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO FURTHER DEVELOP TOOLS FOR THE DISTRIBUTED	50,000.
1 SHIELDS AVE	PC	TRANSCRIPTION AND CLASSIFICATION OF DATA FROM	
DAVIS, CA 95616		HISTORIC SOURCES	
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO RESEARCH THE ECONOMICS OF ENERGY EFFICIENCY,	56,933.
1 SHIELDS AVE	PC	AS RECOMMENDED BY A REQUEST FOR PROPOSALS REVIEW	21,7221
DAVIS, CA 95616		COMMITTEE, BY STUDYING HOW CHANGING DEFAULT	
		PARTICIPATION OPTIONS IN A COMMERCIAL ENERGY	
		EFFICIENCY PROGRAM IMPACTS PROGRAM ENROLLMENT AND	
		ENERGY USE	
		EMENGI ODE	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, IRVINE 5171 CALIFORNIA	NONE PC	TO DEVELOP AN INDOOR CHEMISTRY MODELING CONSORTIUM	300,000.
IRVINE, CA 92697			
UNIVERSITY OF CALIFORNIA, IRVINE 5171 CALIFORNIA IRVINE, CA 92697	NONE PC	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JENNY YANG IN CHEMISTRY	65,000.
UNIVERSITY OF CALIFORNIA, IRVINE 5171 CALIFORNIA IRVINE, CA 92697	NONE PC	TO ENGAGE MATHEMATICIANS AND CRYPTOGRAPHERS IN DEVELOPING EFFICIENT AND SECURE METHODS OF COMPUTING ON ENCRYPTED DATA	193,006.
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC	TO PROVIDE INFORMATION ON THE LABOR MARKET CONSEQUENCES FOR ADULT DAUGHTERS AND SONS PROVIDING ELDER CARE TO THEIR AGING PARENTS	100,199.
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC	TO LEAD AND SYNTHESIZE THE ACTIVITIES OF THE EXTREME PHYSICS AND CHEMISTRY COMMUNITY OF THE DEEP CARBON OBSERVATORY	704,166.
UNIVERSITY OF CALIFORNIA, LOS ANGELES 3532E BOELTER HALL LOS ANGELES, CA 90095	NONE PC	TO COMPLETE AND SYNTHESIZE THE WORK OF THE DEEP ENERGY COMMUNITY OF THE DEEP CARBON OBSERVATORY	600,000.

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	TO COMPLETE AND SYNTHESIZE THE WORK OF THE DEEP	312,000.
3532E BOELTER HALL	PC	ENERGY COMMUNITY OF THE DEEP CARBON OBSERVATORY	
LOS ANGELES, CA 90095			
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	TO SUPPORT THE DEVELOPMENT AND PRODUCTION OF	120,648.
3532E BOELTER HALL	PC	SCIENCE AND TECHNOLOGY FILMS, TELEVISION, AND NEW	
LOS ANGELES, CA 90095		MEDIA PROJECTS BY TOP FILM STUDENTS	
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	TO ANSWER QUESTIONS ABOUT HOW CALIFORNIA'S	130,432.
3532E BOELTER HALL	PC	WORKFORCE SYSTEM SERVES OLDER WORKERS IN TERMS OF	
LOS ANGELES, CA 90095		TRAINING AND ITS EFFECTIVENESS	
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR CAROLYN	65,000.
3532E BOELTER HALL	PC	PARKINSON IN NEUROSCIENCE	
LOS ANGELES, CA 90095			
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ELLEN	65,000.
3532E BOELTER HALL	PC	SLETTEN IN CHEMISTRY	
LOS ANGELES, CA 90095			
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR HOSEA	65,000.
3532E BOELTER HALL	PC	NELSON IN CHEMISTRY	
LOS ANGELES, CA 90095			

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ALFRED P. SLOAN FOUNDATION

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DANIELE	65,000.
3532E BOELTER HALL	PC	BIANCHI IN OCEAN SCIENCES	
LOS ANGELES, CA 90095			
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JINGYI	65,000.
3532E BOELTER HALL	PC	(JESSICA) LI IN COMPUTATIONAL & EVOLUTIONARY	
LOS ANGELES, CA 90095		MOLECULAR BIOLOGY	
UNIVERSITY OF CALIFORNIA, OFFICE OF THE PRESIDENT	NONE	TO DEVELOP AND DEPLOY INFRASTRUCTURE NECESSARY TO	370,573.
1111 FRANKLIN STREET	PC	ELEVATE DATA TO A FIRST-CLASS RESEARCH OUTPUT	
OAKLAND, CA 94607			
UNIVERSITY OF CALIFORNIA, OFFICE OF THE PRESIDENT	NONE	TO SUPPORT A WORKSHOP EXPLORING INSTITUTIONAL	20,000.
1111 FRANKLIN STREET	PC	WORKFLOWS TO ENABLE ACCESS, PRESERVATION, AND	
OAKLAND, CA 94607		SHARING OF SENSITIVE DATA	
UNIVERSITY OF CALIFORNIA, RIVERSIDE	NONE	TO SUPPORT CONTINUED DEVELOPMENT OF A	201,145.
900 UNIV. AVE	PC	BROWSER-BASED INTERACTIVE PLATFORM FOR EXPLORING	201,113.
RIVERSIDE, CA 92521		0	
RIVERGIDE, CA 72321		·	
UNIVERSITY OF CALIFORNIA, RIVERSIDE	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SANDRA	65,000.
900 UNIV. AVE	PC	KIRTLAND TURNER IN OCEAN SCIENCES	
RIVERSIDE, CA 92521			

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ALFRED P. SLOAN FOUNDATION

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	TO DEVELOP AND DISSEMINATE TECHNIQUES FOR 3D	250,000.
9500 GILMAN DRIVE	PC	MAPPING OF THE MICROBIOLOGY AND METABOLISM OF	
LA JOLLA, CA 92093		BUILT ENVIRONMENTS	
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	TO INVESTIGATE THE FUNDAMENTAL CHEMISTRY OF	250,000.
9500 GILMAN DRIVE	PC	INDOOR SURFACES	230,000.
LA JOLLA, CA 92093			
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR STEVEN	26,867.
9500 GILMAN DRIVE	PC	SAM IN MATHEMATICS, ORIGINALLY AWARDED TO THE	
LA JOLLA, CA 92093		UNIVERSITY OF WISCONSIN, MADISON	
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ELENA	65,000.
9500 GILMAN DRIVE	PC	KOSLOVER IN PHYSICS	
LA JOLLA, CA 92093			
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TONGYAN	65,000.
9500 GILMAN DRIVE	PC	LIN IN PHYSICS	
LA JOLLA, CA 92093			
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TENIO	65,000.
9500 GILMAN DRIVE	PC	POPMINTCHEV IN PHYSICS	
LA JOLLA, CA 92093			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR LUDMIL	65,000.
9500 GILMAN DRIVE	PC	ALEXANDROV IN COMPUTATIONAL & EVOLUTIONARY	
LA JOLLA, CA 92093		MOLECULAR BIOLOGY	
UNIVERSITY OF CALIFORNIA, SANTA CRUZ	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ALEXIE	65,000.
1156 HIGH STREET	PC	LEAUTHAUD IN PHYSICS	
SANTA CRUZ, CA 95064			
UNIVERSITY OF CAMBRIDGE	NONE	TO PROVIDE STRATEGIC VISION AND LEADERSHIP OF THE	149,130.
DOWNING STREET	PC	DEEP CARBON OBSERVATORY SYNTHESIS GROUP FOR THE	
CAMBRIDGE		2019 PROGRAM FINALE	
UNITED KINGDOM CB2 3ER			
UNIVERSITY OF CAMBRIDGE	NONE	TO INNOVATE OBSERVATIONS OF VOLCANIC EMISSIONS	214,973.
DOWNING STREET	PC	WITH UNMANNED AERIAL SYSTEMS	
CAMBRIDGE			
UNITED KINGDOM CB2 3ER			
UNIVERSITY OF COLORADO, BOULDER	NONE	TO EXPAND UNDERSTANDING OF CHEMICAL SOURCES,	250,000.
430 UCB	PC	SINKS, AND TRANSFORMATIONS TAKING PLACE INDOORS	
BOULDER, CO 80309-0430			
UNIVERSITY OF COLORADO, BOULDER	NONE	TO INITIATE THE DEVELOPMENT OF COMMUNITY BUILDING	600,000.
430 UCB	PC	AND DATA INFRASTRUCTURE FOR THE CIE PROGRAM	
BOULDER, CO 80309-0430		THROUGH HOMECHEM, AN INTERDISCIPLINARY	
		COLLABORATIVE FIELD EXPERIMENT	

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF COLORADO, BOULDER	NONE	TO PROVIDE PARTIAL SUPPORT FOR AN INSTRUMENT TO	125,000.
430 UCB	PC	IMPROVE DETECTION OF VOLATILE ORGANIC COMPOUNDS	
BOULDER, CO 80309-0430			
UNIVERSITY OF COLORADO, DENVER	NONE	TO SUPPORT A PILOT STUDY THAT WILL ANALYZE CASE	88,239.
1201 LARIMER STREET	PC	EXAMPLES OF POLICY CONFLICT AND CONCORD AMONG KEY	
DENVER, CO 80204		STAKEHOLDERS RELATED TO THE SITING OF ENERGY	
		INFRASTRUCTURE, INCLUDING SOLAR AND WIND ENERGY	
		PRODUCTION PROJECTS, PIPELINES, AND TRANSMISSION	
		LINES	
UNIVERSITY OF GEORGIA	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JULIA	65,000.
310 E. CAMPUS RD., TUCKER HALL 409	GOV	DIAZ IN OCEAN SCIENCES	
ATHENS, GA 30602-1589			
UNIVERSITY OF HAWAII	NONE	TO CHARACTERIZE THE FUNGAL COMMUNITIES CAPTURED	60,000.
2680 WOODLAWN DR.	GOV	BY THE AIR FILTERS AT THE MAUNA LOA OBSERVATORY	
HONOLULU, HI 96822		IN HAWAII, ONE OF THE MOST REMOTE LOCATIONS ON	
		EARTH	
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR PINSHANE	65,000.
601 EAST JOHN STREET	PC	HUANG IN PHYSICS	
CHAMPAIGN, IL 61820			
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR YING DIAO	65,000.
601 EAST JOHN STREET	PC	IN CHEMISTRY	
CHAMPAIGN, IL 61820			

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ALFRED P. SLOAN FOUNDATION

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF ILLINOIS, URBANA-CHAMPAIGN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR QIAN CHEN	65,000.
601 EAST JOHN STREET	PC	IN CHEMISTRY	
CHAMPAIGN, IL 61820			
UNIVERSITY OF LOUISVILLE RESCH FDN.	NONE	TO SUPPORT A BOOK ABOUT CHARLES WILLSON PEALE,	15,350.
300 E MARKET STREET, STE 300	PC	CREATOR OF A NATURAL HISTORY/ART MUSEUM IN	
LOUISVILLE, KY 40202		REVOLUTIONARY-ERA AMERICA, AND HOW THE MUSEUM	
		INFLUENCED THE PUBLIC'S PERCEPTION OF SCIENCE AND	
		ART	
UNIVERSITY OF MARYLAND, COLLEGE PARK	NONE	SUPPORT FOR JASON FARMAN'S WAITING FOR WORD: HOW	23,250.
3112 LEE BLDG., 7809 REGENTS DRIVE	GOV	MESSAGE DELAYS HAVE SHAPED HISTORY, LOVE,	
COLLEGE PARK, MD 20742		TECHNOLOGY, AND EVERYTHING WE KNOW, A BOOK ABOUT	
		TECHNOLOGIES OF COMMUNICATION, TO BE PUBLISHED BY	
		YALE UNIVERSITY PRESS IN LATE 2018/EARLY 2019	
UNIVERSITY OF MARYLAND, COLLEGE PARK	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MAISSAM	65,000.
3112 LEE BLDG., 7809 REGENTS DRIVE	GOV	BARKESHLI IN PHYSICS	
COLLEGE PARK, MD 20742			
UNIVERSITY OF MARYLAND, COLLEGE PARK	NONE	TO INFORM THE DESIGN OF QUESTIONS TO LEARN ABOUT	318,432.
3112 LEE BLDG., 7809 REGENTS DRIVE	GOV	ALTERNATIVE WORK ARRANGEMENTS AMONG THE	
COLLEGE PARK, MD 20742		POPULATION AGE 50 PLUS AND PROVIDE NEW EVIDENCE	
		ON THE ROLE OF THESE ARRANGEMENTS IN OLDER	
		ADULTS' WORK LIVES	
UNIVERSITY OF MICHIGAN	NONE	TO SUPPORT RESEARCH ON THE ECONOMICS OF SCIENCE	274,756.
930 N. UNIV. AVE	PC	THAT USES NEW DATA FROM UNIVERSITIES ABOUT	
ANN ARBOR, MI 48109		ACADEMIC FUNDING, SPENDING, AND TRAINING	

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ALFRED P. SLOAN FOUNDATION

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF MICHIGAN	NONE	TO SUPPORT A MULTIDISCIPLINARY RESEARCH PROJECT	200,000.
930 N. UNIV. AVE	PC	THAT EXAMINES THE IMPACT OF STORAGE ADDITIONS ON	
ANN ARBOR, MI 48109		TRANSMISSION SYSTEM FREQUENCY REGULATION	
UNIVERSITY OF MICHIGAN	NONE	TO ESTABLISH A COLLABORATION OF 11 LARGE R-1	400,000.
930 N. UNIV. AVE	PC	UNIVERSITIES THAT WILL ACCELERATE AND ENHANCE	
ANN ARBOR, MI 48109		EFFORTS TO IMPROVE FOUNDATIONAL COURSES IN STEM	
		THROUGH LEARNING ANALYTICS RESEARCH INTO, AND	
		DEVELOPMENT OF, EQUITABLE AND INCLUSIVE STEM	
		CURRICULA AND TEACHING PRACTICES	
UNIVERSITY OF MICHIGAN	NONE	TO EXAMINE THE PH OF INDOOR SURFACES	300,000.
930 N. UNIV. AVE	PC		
ANN ARBOR, MI 48109			
UNIVERSITY OF MICHIGAN	NONE	TRANSFER OF AN ALFRED P. SLOAN RESEARCH	15,648.
930 N. UNIV. AVE	PC	FELLOWSHIP FOR DR. ZAHER HANI IN MATHEMATICS,	
ANN ARBOR, MI 48109		ORIGINALLY AWARDED TO GEORGIA INSTITUTE OF	
		TECHNOLOGY	
UNIVERSITY OF MICHIGAN	NONE	TO SUPPORT PARTICIPATION OF UNDERGRADUATE AND	10,000.
930 N. UNIV. AVE	PC	GRADUATE STUDENTS AT THE 2018 TRANSPORTATION,	,,,,,,,
ANN ARBOR, MI 48109		ECONOMICS, ENERGY AND THE ENVIRONMENT (TE3)	
		CONFERENCE	
INTUEDCIEV OF MIGUICAN	MONE	ALEBED D. GLOAN DESPADAN SELLOWOUTD TOO ANDROW	6E 000
UNIVERSITY OF MICHIGAN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ANDREW	65,000.
930 N. UNIV. AVE	PC	AULT IN CHEMISTRY	
ANN ARBOR, MI 48109			

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF MICHIGAN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ELEANOR	65,000.
930 N. UNIV. AVE	PC	CLOWNEY IN NEUROSCIENCE	
ANN ARBOR, MI 48109			
UNIVERSITY OF MICHIGAN	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ADA	65,000.
930 N. UNIV. AVE	PC	EBAN-ROTHSCHILD IN NEUROSCIENCE	
ANN ARBOR, MI 48109			
UNIVERSITY OF MICHIGAN	NONE	TO SUPPORT A WORKSHOP ON INDOOR SURFACE CHEMISTRY	24,269.
930 N. UNIV. AVE	PC		
ANN ARBOR, MI 48109			
UNIVERSITY OF MINNESOTA	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR FRANK	65,000.
207 PLEASANT ST. SE	GOV	ALBERT IN COMPUTATIONAL & EVOLUTIONARY MOLECULAR	
MINNEAPOLIS, MN 55455		BIOLOGY	
UNIVERSITY OF MINNESOTA	NONE	TO LAUNCH AND EXPAND A CROSS-INSTITUTIONAL	182,737.
207 PLEASANT ST. SE	GOV	STAFFING MODEL FOR CURATING DISCIPLINARY RESEARCH	
MINNEAPOLIS, MN 55455		DATA	
UNIVERSITY OF NEBRASKA, OMAHA	NONE	TO ADVANCE UNDERSTANDING OF OPEN SOURCE PROJECT	223,585.
6001 DODGE ST, EPPLEY ADMIN BLDG	PC	HEALTH AND SUSTAINABILITY AND HOW PEOPLE AND	
OMAHA, NE 68182		ORGANIZATIONS PROSPER FROM OPEN SOURCE WORK	

ATTACHMENT 21 V 18-7.1F 0170665-00002

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL	NONE	TO EXAMINE THE ROLES OF DAMPNESS, WATER SOLUBLE	250,000.
104 AIRPORT DRIVE	PC	ORGANIC GASES, AND SURFACE CHEMISTRY ON INDOOR	
CHAPEL HILL, NC 27599-3250		AIR COMPOSITION	
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL	NONE	TO INCREASE THE PARTICIPATION OF SOCIAL SCIENCE	38,337.
104 AIRPORT DRIVE	PC	DATA ARCHIVES IN THE RESEARCH DATA ALLIANCE	
CHAPEL HILL, NC 27599-3250			
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL	NONE	TO IMPROVE THE ABILITY TO CURATE AND VERIFY	206,776.
104 AIRPORT DRIVE	PC	REPLICATION DATASETS WITHIN THE DATAVERSE DATA	
CHAPEL HILL, NC 27599-3250		ARCHIVING PLATFORM BY INTEGRATING COMPUTATIONAL	
		NOTEBOOKS AND SOFTWARE CONTAINERIZATION WITH DATA	
		CURATION WORKFLOWS	
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR YAIZA	65,000.
104 AIRPORT DRIVE	PC	CANZANI IN MATHEMATICS	
CHAPEL HILL, NC 27599-3250			
UNIVERSITY OF OREGON	NONE	TO PROVIDE FINAL RENEWED SUPPORT FOR THE BIOLOGY	150,000.
1585 E 13TH AVE.	GOV	AND THE BUILT ENVIRONMENT (BIOBE) CENTER	
EUGENE, OR 97403			
INTERPOLENCE DENDOMINA	NONE	TO CURPORE CONTENTION DRIVE ORDER OF ASSESSED.	40 704
UNIVERSITY OF PENNSYLVANIA	NONE	TO SUPPORT CONTINUED DEVELOPMENT OF MANUBOT, A	48,784.
3451 WALNUT STREET	PC	GIT-NATIVE AUTHORING TOOL FOR SCIENTIFIC	
PHILADELPHIA, PA 19104-6205		MANUSCRIPTS	

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF PENNSYLVANIA	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR IAIN	65,000.
3451 WALNUT STREET	PC	MATHIESON IN COMPUTATIONAL & EVOLUTIONARY	
PHILADELPHIA, PA 19104-6205		MOLECULAR BIOLOGY	
UNIVERSITY OF PENNSYLVANIA	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR EDUARDO	65,000.
3451 WALNUT STREET	PC	AZEVEDO IN ECONOMICS	
PHILADELPHIA, PA 19104-6205			
UNIVERSITY OF PENNSYLVANIA	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SHINJAE	65,000.
3451 WALNUT STREET	PC	CHUNG IN NEUROSCIENCE	
PHILADELPHIA, PA 19104-6205			
UNIVERSITY OF PENNSYLVANIA	NONE	TO ANALYZE THE ECONOMICS OF LABOR MARKETS FOR	19,146.
3451 WALNUT STREET	PC	INFORMATION TECHNOLOGY WORKERS USING	
PHILADELPHIA, PA 19104-6205		ADMINISTRATIVE DATASETS	
UNIVERSITY OF PITTSBURGH	NONE	TO DEVELOP SOFTWARE AND SERVICES FOR TRANSFORMING	78,094.
3700 O'HARA STREET	PC	MATHEMATICAL RESULTS AS THEY APPEAR IN JOURNAL	
PITTSBURGH, PA 15261		ARTICLE ABSTRACTS INTO FORMALLY STRUCTURED DATA	
		THAT MACHINES CAN READ, PROCESS, SEARCH, CHECK,	
		COMPUTE WITH, AND LEARN FROM AS LOGICAL STATEMENTS	
UNIVERSITY OF PUERTO RICO, MAYAGUEZ	NONE	TO ENHANCE THE RESEARCH SKILLS AND PRODUCTIVITY	300,000.
CALL BOX 9000	GOV	OF PH.D. CANDIDATES IN 8 STEM FIELDS AT UPR	
MAYAGUEZ			
PUERTO RICO 00681		HURRICANE MARIA (2017)	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF RHODE ISLAND	NONE	TO SUPPORT ENGAGEMENT: THE DEEP CARBON	600,000.
79 UPPER COLLEGE RD	GOV	OBSERVATORY'S ROAD TO 2019	
KINGSTON, RI 02881			
UNIVERSITY OF SASKATCHEWAN	NONE	TO EXAMINE PHOTON FLUXES, OXIDANTS, AND OXIDANT	257,226.
110 SCI'S PLACE, SASKATOON	PC	PRECURSORS IN INDOOR ENVIRONMENTS	
SASKATCHEWAN			
SK			
CANADA S7N 5C9			
UNIVERSITY OF SOUTH FLORIDA	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR XINFENG	65,000.
4202 E FOWLER AVENUE, CHE 205	GOV	LIANG IN OCEAN SCIENCES	
TAMPA, FL 33620			
UNIVERSITY OF SOUTHERN CALIFORNIA	NONE	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND	100,000.
1050 CHILD WAY, RRI 201 (MCB)	PC	TECHNOLOGY FILMS BY TOP FILM STUDENTS	
LOS ANGELES, CA 90089			
UNIVERSITY OF SOUTHERN CALIFORNIA	NONE	TO SUPPORT THE DEVELOPMENT AND PRODUCTION OF	138,551.
1050 CHILD WAY, RRI 201 (MCB)	PC	SCIENCE AND TECHNOLOGY FILMS, TELEVISION, AND NEW	
LOS ANGELES, CA 90089		MEDIA PROJECTS BY TOP FILM STUDENTS	
UNIVERSITY OF SYDNEY	NONE	TO STRENGTHEN THE DEEP CARBON SCIENCE COMMUNITY	80,000.
MADSEN BLDG, F09, SYDNEY	NC	OF AUSTRALIA AND TO BUILD FURTHER THE TECTONIC	
NEW SOUTH WALES		("GPLATES") MODELING PLATFORM FOR THE DEEP CARBON	
AUSTRALIA 2006		CYCLE	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

CANADA M5S 1S8

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF TEXAS, EL PASO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR SKYE	65,000.
500 W UNIV. AVE	GOV	FORTIER IN CHEMISTRY	
EL PASO, TX 79968-0518			
UNIVERSITY OF TORONTO	NONE	TO EXPAND UNDERSTANDING OF MULTIPHASE CHEMISTRY	192,000.
12 QUEENS PK CRESCENT W, TORONTO	PC	IN INDOOR ENVIRONMENTS	
ONTARIO			
CANADA M5S 1S8			
UNIVERSITY OF TORONTO	NONE	TO INVESTIGATE THE ROLE OF PHOTOCHEMISTRY INDOORS	245,345.
12 QUEENS PK CRESCENT W, TORONTO	PC		
ONTARIO			
CANADA M5S 1S8			
UNIVERSITY OF TORONTO	NONE	TO STUDY THE BEHAVIORAL WELFARE ECONOMICS OF HOW	158,171.
12 QUEENS PK CRESCENT W, TORONTO	PC	NUDGES AFFECT FINANCIAL DECISION MAKING	
ONTARIO			
CANADA M5S 1S8			
UNIVERSITY OF TORONTO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ARUL	65,000.
12 QUEENS PK CRESCENT W, TORONTO	PC	SHANKAR IN MATHEMATICS	
ONTARIO			
CANADA M5S 1S8			
UNIVERSITY OF TORONTO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR AMAR	65,000.
12 QUEENS PK CRESCENT W, TORONTO	PC	VUTHA IN PHYSICS	
ONTARIO			

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF TORONTO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ROBERT	65,000.
12 QUEENS PK CRESCENT W, TORONTO	PC	HASLHOFER IN MATHEMATICS	
ONTARIO			
CANADA M5S 1S8			
UNIVERSITY OF TORONTO	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR GIULIO	65,000.
12 QUEENS PK CRESCENT W, TORONTO	PC	TIOZZO IN MATHEMATICS	
ONTARIO			
CANADA M5S 1S8			
UNIVERSITY OF VERMONT	NONE	TO LOWER BARRIERS TO LARGE-SCALE RESEARCH	202,451.
85 S PROSPECT ST	PC	COMPUTING THROUGH THE DEVELOPMENT OF A	
BURLINGTON, VT 05405		DISTRIBUTED, VOLUNTEER, IN-BROWSER SYSTEM FOR	
		ELASTIC COMPUTING RESOURCES	
UNIVERSITY OF WASHINGTON	NONE	TO IMPROVE THE CAPACITY OF DATA INFRASTRUCTURES	209,016.
4333 BROOKLYN AVE NE, BOX 359472	GOV	TO PROVIDE ACCESS TO AND SHARING OF SENSITIVE	
SEATTLE, WA 98195-9472		QUALITATIVE DATA	
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR CHELSEA	65,000.
4333 BROOKLYN AVE NE, BOX 359472	GOV	WOOD IN OCEAN SCIENCES	
SEATTLE, WA 98195-9472			
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MAYA	65,000.
4333 BROOKLYN AVE NE, BOX 359472	GOV	CAKMAK IN COMPUTER SCIENCE	
SEATTLE, WA 98195-9472			

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JIUN-HAW	65,000.
4333 BROOKLYN AVE NE, BOX 359472	GOV	CHU IN PHYSICS	
SEATTLE, WA 98195-9472			
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR ARKA	65,000.
4333 BROOKLYN AVE NE, BOX 359472	GOV	MAJUMDAR IN PHYSICS	
SEATTLE, WA 98195-9472			
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JESSICA	65,000.
4333 BROOKLYN AVE NE, BOX 359472	GOV	WERK IN PHYSICS	
SEATTLE, WA 98195-9472			
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR JON	60,000.
4333 BROOKLYN AVE NE, BOX 359472	GOV	FROEHLICH IN COMPUTER SCIENCE, ORIGINALLY AWARDED	
SEATTLE, WA 98195-9472		AT THE UNIVERSITY OF MARYLAND, COLLEGE PARK	
UNIVERSITY OF YORK	NONE	TO DEVELOP AN OPEN SOURCE MODEL FOR INVESTIGATING	254,546.
HESLINGTON, YORK	NC	INDOOR GAS-PHASE CHEMISTRY AND EXPAND SCIENCE	
NORTH YORKSHIRE		COMMUNICATIONS ABOUT INDOOR CHEMISTRY	
UNITED KINGDOM YO10 5DD			
UNIVERSITY OF ZURICH	NONE	TO ENCOURAGE STANDARDIZATION OF SURVEY	19,610.
RAEMISTRASSE	NC	INSTRUMENTS IN THE DOMAIN OF STUDIES ABOUT	
ZURICH		PEOPLE'S INTERNET USES TO ALLOW FOR MORE	
SWITZERLAND 80		COMPARISON AND REPLICATION	

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
URBAN INSTITUTE	NONE	TO IDENTIFY, SIMULATE, AND EVALUATE POLICY REFORM	1,076,012.
2100 M STREET, NW	PC	OPTIONS THAT COULD REDUCE WORK DISINCENTIVES AT	
WASHINGTON, DC 20037		OLDER AGES, MORE EQUITABLY AND EFFICIENTLY	
		PROVIDE RETIREMENT BENEFITS TO OLDER ADULTS, AND	
		ENSURE LONG-TERM SOLVENCY OF U.S. RETIREMENT	
		PROGRAMS	
URBAN INSTITUTE	NONE	TO DEMONSTRATE NEW STATISTICAL AND VISUALIZATION	150,000.
2100 M STREET, NW	PC	CAPABILITIES BY MIGRATING MASSIVE MICROSIMULATION	
WASHINGTON, DC 20037		MODELS TO THE CLOUD	
URBAN INSTITUTE	NONE	TO ASSESS AND BETTER UNDERSTAND THE LABOR SUPPLY,	200,000.
2100 M STREET, NW	PC	ECONOMIC AND HEALTH IMPACTS OF PAID FAMILY LEAVE	
WASHINGTON, DC 20037		POLICIES ON OLDER WORKING ADULTS WHO PROVIDE CARE	
		TO ELDERLY FAMILY MEMBERS	
VANDERBILT UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR MARCELO	65,000.
BOX 351634 STATION B	PC	DISCONZI IN MATHEMATICS	
NASHVILLE, TN 37235-1634			
VIRGINIA POLYTECHNIC INSTITUTE & STATE UNIVERSITY	NONE	TO CONDUCT TECHNICAL RESEARCH ON IMPROVING THE	125,000.
1650 RESEARCH CENTER DR	GOV	ACCURACY AND COST-EFFECTIVENESS OF THE 2030	125,000.
	GOV		
BLACKSBURG, VA 24061		DECENNIAL CENSUS AND THE AMERICAN COMMUNITY SURVEY	
VIRGINIA POLYTECHNIC INSTITUTE & STATE UNIVERSITY	NONE	TO DEVELOP AND TEST A FIELD-DEPLOYABLE GAS	312,170.
1650 RESEARCH CENTER DR	GOV	CHROMATOGRAPH COUPLED TO A CHEMICAL IONIZATION	
BLACKSBURG, VA 24061		MASS SPECTROMETER, GC- CIMS, TO IDENTIFY ISOMERS	

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VIRGINIA POLYTECHNIC INSTITUTE & STATE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR FRANK	65,000.
1650 RESEARCH CENTER DR	GOV	AYLWARD IN OCEAN SCIENCES	
BLACKSBURG, VA 24061			
VIRGINIA POSTREL	NONE	TO SUPPORT THE RESEARCH AND WRITING OF THE FABRIC	30,000.
1830 KELTON AVE. #2	I	OF CIVILIZATION TO BE PUBLISHED BY BASIC BOOKS IN	
LOS ANGELES, CA 90025		2020	
WASHINGTON STATE UNIVERSITY	NONE	TO SUPPORT A MULTIDISCIPLINARY RESEARCH PROJECT	200,000.
PO BOX 642814	PC	THAT EXAMINES THE IMPACT OF DEMAND RESPONSE	
PULLMAN, WA 99164-2814		PROGRAMS ON DISTRIBUTION SYSTEMS	
WASHINGTON UNIVERSITY IN ST. LOUIS	NONE	TO DEVELOP A CHEMICALLY-RESOLVED VOLATILITY AND	298,758.
1 BROOKINGS DR.	PC	POLARITY SEPARATOR FOR IMPROVED UNDERSTANDING OF	
ST. LOUIS, MO 63130-4899		INDOOR AIR CHEMISTRY	
WASHINGTON UNIVERSITY IN ST. LOUIS	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR TIMOTHY	65,000.
1 BROOKINGS DR.	PC	WENCEWICZ IN CHEMISTRY	
ST. LOUIS, MO 63130-4899			
WESTERN MICHIGAN UNIVERSITY	NONE	TO PROVIDE TRAVEL GRANTS TO ATTENDEES AT A	19,200.
1903 W. MICHIGAN AVE, MAIL STOP 5413	PC	2.5-DAY WORKING GROUP MEETING HOSTED BY HHMI	
KALAMAZOO, MI 49008-5413			

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WGBH EDUCATIONAL FOUNDATION	NONE	FOR A TWO-HOUR NOVA SPECIAL ABOUT BLACK HOLES	100,000.
ONE GUEST STREET	PC	HOSTED BY ASTROPHYSICIST JANNA LEVIN, AND	
BOSTON, MA 02135		ANCILLARY OUTREACH ACTIVITIES AND A FREE MOBILE	
		APP	
WGBH EDUCATIONAL FOUNDATION	NONE	TO SUPPORT THE PRODUCTION OF FOUR PRIME TIME	500,000.
ONE GUEST STREET	PC	AMERICAN EXPERIENCE DOCUMENTARY FILMS ABOUT THE	
BOSTON, MA 02135		ROLE OF SCIENCE AND TECHNOLOGY IN HISTORY	
WHITNEY MUSEUM OF AMERICAN ART	NONE	TO SUPPORT A MAJOR EXHIBITION ON THE INTERSECTION	50,000.
99 GANSEVOORT STREET	PC	OF ART AND TECHNOLOGY FROM THE 1960S UNTIL TODAY	
NEW YORK, NY 10014			
WIKIMEDIA FOUNDATION	NONE	AS A FINAL GRANT TO BOLSTER WIKIPEDIA'S	250,000.
1 MONTGOMERY STREET, STE 1600	PC	READERSHIP AND EDITORS, INCLUDING MORE WOMEN,	
SAN FRANCISCO, CA 94104		EXPAND ITS MOBILE PRESENCE, AND STRENGTHEN ITS	
		TECHNICAL INFRASTRUCTURE AS IT MOVES TO	
		SELF-SUSTAINABILITY	
WIKIMEDIA FOUNDATION	NONE	TO TRANSFORM WIKIPEDIA COMMONS' MEDIA FILES FROM	1,000,000.
			1,000,000.
· · · · · · · · · · · · · · · · · · ·	PC	FREE TEXT INTO MACHINE-READABLE, STRUCTURED DATA,	
SAN FRANCISCO, CA 94104		ENABLING NEW USES FOR MILLIONS OF MEDIA FILES ON	
		WIKIPEDIA AND ACROSS THE WEB	
WIKIMEDIA FOUNDATION	NONE	TO SUPPORT THREE YEARS OF WORKSHOPS, HACKATHONS,	66,667.
1 MONTGOMERY STREET, STE 1600	PC	AND OUTREACH AT THE INTERSECTION OF ACADEMIC	
SAN FRANCISCO, CA 94104		CITATION, BIBLIOGRAPHIC METADATA, AND WIKIPEDIA	

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ALFRED P. SLOAN FOUNDATION

NEW HAVEN, CT 06511

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WNET	NONE	TO SUPPORT A THREE-PART TELEVISION SERIES	250,000.
825 EIGHTH AVENUE, 14TH FLOOR	PC	BROADCAST AND ACCOMPANYING OUTREACH ON THE	
NEW YORK, NY 10019		ENGINEERING AND TECHNOLOGY OF LAGUARDIA AIRPORT,	
		WITH A FOCUS ON THE CURRENT NEW TERMINAL PROJECT	
YALE UNIVERSITY	NONE	TO CONDUCT RESEARCH AND PROFESSIONAL TRAINING ON	298,477.
300 GEORGE STREET, SUITE 901	PC	THE THEORY AND GLOBAL PRACTICE OF MACROPRUDENTIAL	250,177.
NEW HAVEN, CT 06511		REGULATION	
MIN INVENT, CI VOSII		REGULTION	
YALE UNIVERSITY	NONE	TO SUPPORT THE PUBLICATION OF A SPECIAL ISSUE OF	20,000.
300 GEORGE STREET, SUITE 901	PC	THE JOURNAL OF INDUSTRIAL ECOLOGY APPLYING LIFE	
NEW HAVEN, CT 06511		CYCLE ASSESSMENT ANALYSIS TECHNIQUES TO EMERGING	
		ENERGY TECHNOLOGIES	
YALE UNIVERSITY	NONE	TRANSFER OF AN ALFRED P. SLOAN RESEARCH	30,970.
300 GEORGE STREET, SUITE 901	PC	FELLOWSHIP FOR YIFENG LIU IN MATHEMATICS,	30,970.
NEW HAVEN, CT 06511	PC	ORIGINALLY AWARDED TO NORTHWESTERN UNIVERSITY	
NEW NAVEN, CI 00311		ORIGINALLI AWARDED TO NORTHWESTERN UNIVERSITI	
YALE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR YIHONG WU	65,000.
300 GEORGE STREET, SUITE 901	PC	IN MATHEMATICS	
NEW HAVEN, CT 06511			
YALE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DAVID	65,000.
300 GEORGE STREET, SUITE 901	PC	MOORE IN PHYSICS	

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
YALE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR STEFAN	65,000.
300 GEORGE STREET, SUITE 901	PC	STEINERBERGER IN MATHEMATICS	
NEW HAVEN, CT 06511			
YALE UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DAVID	65,000.
300 GEORGE STREET, SUITE 901	PC	BRESLOW IN NEUROSCIENCE	
NEW HAVEN, CT 06511			
YALE UNIVERSITY	NONE	TO ACCELERATE SCIENTIFIC DISCOVERY BY USING	370,840.
300 GEORGE STREET, SUITE 901	PC	STATISTICAL MACHINE LEARNING TO ENABLE ADVANCED	
NEW HAVEN, CT 06511		SEARCH OF MATHEMATICAL LITERATURE	
YALE UNIVERSITY PRESS	NONE	TO SUPPORT URBAN CHROMATIC, A BOOK THAT USES	30,250.
302 TEMPLE STREET	PC	SATELLITE IMAGERY TO INCREASE PUBLIC	
NEW HAVEN, CT 06511-8909		UNDERSTANDING OF REMOTE SENSING AND CONTEMPORARY	
		GLOBAL URBANIZATION	
YORK UNIVERSITY	NONE	TO DEVELOP ANALYTICAL PLATFORMS FOR THE DETECTION	274,942.
4700 KEELE ST. KANEFF TWR, 5TH FL	PC	OF REACTIVE NITROGEN INDOORS	
ONTARIO			

TOTAL CONTRIBUTIONS PAID 80,357,656.

ATTACHMENT 21

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FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 22

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AINISSA RAMIREZ	NONE	TO SUPPORT RESEARCH FOR AND WRITING OF THE	17,500.
1710 ELLA T. GRASSO BLVD	I	ALCHEMY OF US: HOW MATTER AND HUMANS TRANSFORMED	
NEW HAVEN, CT 06511		ONE ANOTHER (MIT PRESS 2018), A BOOK ABOUT HOW	
		HUMAN ENGINEERING AND THE INVENTION OF 8 KEY	
		MATERIAL DEVICES CHANGED OUR LIVES	
AMERICAN ASSOC. FOR THE ADVANCEMENT OF SCIENCE	NONE	TO PILOT A CENTER FOR SCIENTIFIC EVIDENCE IN	250,251.
1200 NEW YORK AVENUE, NW	PC	PUBLIC ISSUES WHOSE REPORTS ON POLICY-RELEVANT	
WASHINGTON, DC 20005		SCIENTIFIC FINDINGS WILL BE IMPARTIAL, RESPECTED,	
		TIMELY, AND INFORMED BY EXPERTISE IN THE SOCIAL	
		AND BEHAVIORAL SCIENCES	
AMERICAN ASSOC. FOR THE ADVANCEMENT OF SCIENCE	NONE	TO CONTINUE TO PROMOTE THE PROFESSIONALIZATION	617,318.
1200 NEW YORK AVENUE, NW	PC	AND INSTITUTIONALIZATION OF THE ROLE OF THE	
WASHINGTON, DC 20005		COMMUNITY ENGAGEMENT MANAGER IN SCIENTIFIC	
		SOCIETIES AND LARGE-SCALE RESEARCH COLLABORATIONS	
AMERICAN FILM INSTITUTE	NONE	TO SUPPORT THE DEVELOPMENT AND PRODUCTION OF	230,000.
2021 NORTH WESTERN AVENUE	PC	SCIENCE AND TECHNOLOGY FILMS, TELEVISION, AND NEW	
LOS ANGELES, CA 90027		MEDIA PROJECTS BY TOP FILM STUDENTS	
AMERICAN FRIENDS OF TOULOUSE SCHOOL OF ECONOMICS	NONE	TO BUILD OUT AN OPEN-SOURCE PLATFORM FOR	200,000.
C/O SARATOGA ASSOCIATES	PC	REPRODUCIBLY RUNNING LARGE-SCALE BEHAVIORAL	
116 WEST MAIN STREET, SUITE 302		EXPERIMENTS BOTH ONLINE AND IN THE LABORATORY	
SALISBURY, MD 21801			
AMERICAN INSTITUTE OF PHYSICS	NONE	TO SUPPORT GLOBAL, DIGITAL ACCESS TO THE WENNER	446,697.
CENTER FOR HISTORY OF PHYSICS	PC	COLLECTION ON THE HISTORY OF PHYSICS VIA DETAILED	
ONE PHYSICS ELLIPSE		CATALOGING, DESCRIPTION, ONLINE AVAILABILITY, AND	
COLLEGE PARK, MD 20740		INITIAL OUTREACH	

ATTACHMENT 22

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FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AMERICAN MUSEUM OF THE MOVING IMAGE	NONE PC	TO MAINTAIN THE COMPREHENSIVE, UP-TO-DATE, GO-TO SITE FOR THE NATIONWIDE SLOAN FILM PROGRAM, ITS	440,000.
ASTORIA, NY 11106		PARTNERS, AND 600+ FILM PROJECTS AND TO DEVELOP	
		RELATED OUTREACH, EVENTS, AND EDUCATIONAL	
		MATERIALS FOR STUDENTS, TEACHERS, AND THE GENERAL	
		PUBLIC	
AMERICAN STATISTICAL ASSOCIATION	NONE	TO RECRUIT, TRAIN, PLACE, AND SUPPORT ACADEMIC	898,783.
1429 DUKE STREET	PC	STATISTICIANS AND DATA SCIENTISTS WHO WILL WORK	
ALEXANDRIA, VA 22314-3415		AS AAAS FELLOWS IN THE FEDERAL GOVERNMENT	
ANNUAL REVIEWS	NONE	TO DEVELOP AND EXPAND KNOWABLE MAGAZINE, A NEW	380,000.
4139 EL CAMINO WAY	PC	DIGITAL PUBLICATION THAT UNLOCKS SCIENTIFIC	,
PALO ALTO, CA 94303		RESEARCHTO INFORM THE PUBLIC DISCOURSE WITH	
		COMPELLING, TIMELY, AND IMPARTIAL KNOWLEDGE	
ARIZONA STATE UNIVERSITY	NONE	TO CREATE A FREE, OPEN SOURCE, INTERACTIVE,	30,000.
300 E UNIV. DRIVE, PO BOX 877205	GOV	DIGITAL EDITION OF FRANKENSTEIN: ANNOTATED FOR	
TEMPE, AZ 85287-7205		SCIENTISTS, ENGINEERS AND CREATORS OF ALL KINDS	
		THAT BRIDGES THE SCIENCES AND HUMANITIES AND	
		SEEKS TO FOSTER AN ENGAGED COMMUNITY OF READERS	
ARIZONA STATE UNIVERSITY FOUNDATION	NONE	TO STUDY THE ECONOMICS AND MAINTENANCE OF DIGITAL	36,942.
P.O. BOX 2260	PC	INFRASTRUCTURE THROUGH CASE STUDIES OF THE	
TEMPE, AZ 85280-2260		FUNDING AND IMPACT OF SOFTWARE INSTRUMENTS IN	
		STELLAR ASTROPHYSICS	
ART OF PROBLEM SOLVING INITIATIVE, INC.	NONE	TO PROVIDE RENEWED PARTIAL SUPPORT FOR THE BEAM 6	330,000.
55 EXCHANGE PLACE, STE 603	PC	PROGRAM	
NEW YORK, NY 10005			

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP	TO	SUBSTANTIAL	CONTRIBUTOR
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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ASSOCIATION OF AMERICAN UNIVERSITIES	NONE	TO CONSTRUCT MORE STUDENT-CENTERED EDUCATIONAL	151,550.
1200 NEW YORK AVE, NW, STE 550	PC	ENVIRONMENTS FOR ALL GRADUATE STUDENTS BY ACTIVE	
WASHINGTON, DC 20005		INSTITUTIONAL AND DEPARTMENTAL SUPPORT FOR A	
		VARIETY OF CAREER OPTIONS AND FOR INCREASED	
		INCLUSION OF STUDENTS FROM UNDERREPRESENTED GROUPS	
ASTROPHYSICAL RESEARCH CONSORTIUM	NONE	TO UNDERTAKE THE SLOAN DIGITAL SKY SURVEY V	8,875,000.
U OF WASHINGTON, BOX 351580	PC	(SDSS-V), WHICH WILL UTILIZE ALL-SKY	
C/O DEPT OF ASTRONOMY		SPECTROSCOPIC OBSERVATIONS TO EXPLAIN THE GENESIS	
SEATTLE, WA 98195		OF THE MILKY WAY AND ITS NEIGHBORS,	
		COMPREHENSIVELY TEST STELLAR ASTROPHYSICS AND	
		STAR-PLANET RELATIONS, PROBE SUPERMASSIVE BLACK	
		HOLE PHYSICS, AND MAP, ON UNPRECEDENTED SCALES,	
		THE MILKY WAY'S INTERSTELLAR GAS AND THAT OF	
		NEARBY GALAXIES	
ASTROPHYSICAL RESEARCH CONSORTIUM	NONE	TO MAXIMIZE THE SUSTAINABILITY OF THE SLOAN	200,000.
U OF WASHINGTON, BOX 351580	PC	DIGITAL SKY SURVEY (SDSS) DATA ARCHIVE	
C/O DEPT OF ASTRONOMY			
SEATTLE, WA 98195			
ASTROPHYSICAL RESEARCH CONSORTIUM	NONE	TO CONTINUE SUPPORT FOR THE FACULTY AND STUDENT	330,000.
U OF WASHINGTON, BOX 351580	PC	TEAM (FAST) PROGRAM WITHIN THE SLOAN DIGITAL SKY	
C/O DEPT OF ASTRONOMY		SURVEY (SDSS) THAT AIMS TO INCREASE THE NUMBER OF	
SEATTLE, WA 98195		UNDERREPRESENTED MINORITY STUDENTS AND FACULTY IN	
		THE COLLABORATION AND INCREASE THE NUMBER OF	
		STUDENTS PURSUING ASTRONOMY PH.D. PROGRAMS	
BARNARD COLLEGE	NONE	TO SUPPORT THE LEADING WOMEN'S FILM FESTIVAL, THE	300,000.
3009 BRDWY	PC	ATHENA FILM FESTIVAL AT BARNARD, WITH MORE	
NEW YORK, NY 10027-6598		WOMEN-IN-STEM PROGRAMMING	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BENJAMIN EHRLICH	NONE	TO SUPPORT THE RESEARCH AND WRITING OF THE BRAIN	5,000.
2176 ELLERY AVENUE	I	THAT DISCOVERED ITSELF, ABOUT THE FATHER OF	
FORT LEE, NJ 07627		NEUROSCIENCE SANTIAGO RAMON Y CAJAL, TO BE	
		PUBLISHED BY FARRAR, STRAUS AND GIROUX IN FALL	
		2020	
BOSTON COLLEGE	NONE	TO STRENGTHEN NETWORK MEMBER ENGAGEMENT OF THE	258,319.
140 COMMONWEALTH AVE	PC	SLOAN RESEARCH NETWORK AND SUCCESSFULLY	
CHESTNUT HILL, MA 02467		TRANSITION TO A NEW STRUCTURE AND LEADERSHIP	
BOSTON COLLEGE	NONE	TO PRODUCE RESEARCH AND INFORM POLICY-MAKERS	120,384.
140 COMMONWEALTH AVE	PC	ABOUT THE ROLE THAT NON-TRADITIONAL JOBS PLAY FOR	
CHESTNUT HILL, MA 02467		OLDER WORKERS	
BOSTON UNIVERSITY	NONE	TO DEVELOP, EVALUATE, AND TRANSFER TO PRACTICE A	49,360.
725 COMMONWEALTH AVENUE	PC	ROBUST FRAMEWORK OF DISTRIBUTION LOCATIONAL	
BOSTON, MA 02215		MARGINAL PRICES THAT CAN IMPROVE EFFICIENCIES IN	
		ELECTRICITY DISTRIBUTION	
BRANDY SCHILLACE	NONE	TO SUPPORT THE RESEARCH AND WRITING OF MR. HUMBLE	36,000.
324 EAST 218TH STREET	I	AND DR. BUTCHER TO BE PUBLISHED BY SIMON AND	
EUCLID, OH 44123		SHUSTER BY 2021	
BROOKINGS INSTITUTION	NONE	TO CONTINUE SUPPORTING THE PRODUCTION AND	200,000.
1775 MASSACHUSETTS AVE, NW	PC	DISSEMINATION OF ACCESSIBLE, RELIABLE, AND	
WASHINGTON, DC 20036		INFLUENTIAL RESEARCH THROUGH THE BROOKINGS PAPERS	
		ON ECONOMIC ACTIVITY	

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BROOKINGS INSTITUTION	NONE	TO DEVELOP AND JUSTIFY SPECIFIC, ACTIONABLE	233,661.
1775 MASSACHUSETTS AVE, NW	PC	POLICY REFORMS TO ENCOURAGE INCREASED SAVING AND	
WASHINGTON, DC 20036		ENABLE LONGER WORKING LIVES, AS WELL AS TO	
		IDENTIFY POLICY REFORMS TO ENABLE CONSUMERS TO	
		HAVE GREATER ACCESS TO AND UNDERSTANDING OF	
		RETIREMENT PRODUCTS IN THE PRIVATE MARKET	
BROOKINGS INSTITUTION	NONE	TO ORGANIZE, STRUCTURE, AND SYNTHESIZE RESEARCH	496,589.
1775 MASSACHUSETTS AVENUE, NW	PC	ON THE MEASUREMENT OF PRODUCTIVITY	
WASHINGTON, DC 20036			
CALIFORNIA INSTITUTE OF TECHNOLOGY	NONE	TO DEVELOP, TEST, AND APPLY NEURO-ECONOMIC MODELS	102,557.
1200 EAST CALIFORNIA BLVD.	PC	OF HOW DECISION-MAKERS SWITCH BETWEEN	
PASADENA, CA 91125		HABIT-DRIVEN AND GOAL-SEEKING BEHAVIORS	
CALIFORNIA POLYTECHNIC STATE UNIVERSITY	NONE	TO DEVELOP SOFTWARE AND OTHER COMPUTATIONAL	1,136,089.
ONE GRAND AVENUE	PC	RESEARCH INFRASTRUCTURE FOR PROVIDING SAFE AND	
SAN LUIS OBISPO, CA 93407		SECURE ACCESS TO SENSITIVE DATA	
	NOVE.	TO CURRENT THE STARTENING OF THE DEED SERVICE	1 000 000
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO SUPPORT THE SECRETARIAT OF THE DEEP CARBON	1,000,000.
1530 P STREET, N.W.	PC	OBSERVATORY TO BRING THE PROGRAM TO EFFECTIVE	
WASHINGTON, DC 20005		CLOSURE AND ASSURE ITS LEGACIES	
CARNEGIE MELLON UNIVERSITY	NONE	TO SUPPORT THE DEVELOPMENT AND PRODUCTION OF	200,000.
5000 FORBES AVENUE	PC	SCIENCE AND TECHNOLOGY FILMS, TELEVISION, AND NEW	
PITTSBURGH, PA 15213		MEDIA PROJECTS BY TOP FILM STUDENTS	

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARNEGIE MELLON UNIVERSITY	NONE	TO ANALYZE THE CHALLENGES AND OPPORTUNITIES	65,000.
5000 FORBES AVENUE	PC	ASSOCIATED WITH UPGRADING AND TRANSFORMING HIGH	
PITTSBURGH, PA 15213		VOLTAGE TRANSMISSION LINES AS COMPARED WITH	
		SITING NEW TRANSMISSION INFRASTRUCTURE	
CARNEGIE MELLON UNIVERSITY	NONE	TO STUDY THE ECONOMICS AND MAINTENANCE OF DIGITAL	49,500.
5000 FORBES AVENUE	PC	INFRASTRUCTURE BY TESTING THE IMPACT OF	
PITTSBURGH, PA 15213		NON-FINANCIAL AND REPUTATIONAL INCENTIVES ON OPEN	
		SOURCE PARTICIPATION	
CENTER FOR OPEN SCIENCE	NONE	TO IMPLEMENT AND TEST FEATURES TO SIGNAL	252,188.
210 RIDGE MCINTIRE RD., STE 500	PC	CREDIBILITY AND TRUST ON PREPRINT SERVICES	
CHARLOTTESVILLE, VA 22903			
CHICAGO PUBLIC MEDIA, INC.	NONE	TO SUPPORT SCIENCE-AND-TECHNOLOGY-THEMED EPISODES	30,000.
848 E. GRAND AVE, NAVY PIER	PC	ON THE NERDETTE PODCAST	
CHICAGO, IL 60611			
CITY COLLEGE OF NEW YORK - CUNY	NONE	TO DEVELOP AN OPEN SOURCE FRAMEWORK FOR	125,000.
CONVENT AVENUE AT 138TH STREET	PC	PROTOTYPING 3D SCIENTIFIC VISUALIZATION	
NEW YORK, NY 10031		APPLICATIONS USING GAME ENGINES	
COLORADO SCHOOL OF MINES	NONE	TO CONTINUE A SUMMER SCHOOL TRAINING PROGRAM THAT	161,098.
1500 ILLINOIS STREET	GOV	PROVIDES EARLY CAREER ECONOMISTS AND SOCIAL	
GOLDEN, CO 80401		SCIENTISTS WITH AN UNDERSTANDING OF TECHNOLOGICAL	
		DIMENSIONS OF ELECTRICITY DISTRIBUTION SYSTEMS	

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLUMBIA UNIVERSITY	NONE	TO SUPPORT THE COLUMBIA ENERGY EXCHANGE PODCAST	50,000.
116TH ST & BROADWAY	PC	SERIES TO DISSEMINATE INFORMATION AND DEEPEN	
NEW YORK, NY 10027		DIALOGUE AROUND ENERGY AND ENVIRONMENT ISSUES	
COLUMBIA UNIVERSITY	NONE	TO INTEGRATE BEHAVIORAL INSIGHTS INTO THE	264,789.
116TH ST & BROADWAY	PC	FOUNDATIONS OF STANDARD MACROECONOMIC MODELS BY	
NEW YORK, NY 10027		RE-EXAMINING THE ROLE OF THE EULER EQUATION	
COLUMBIA UNIVERSITY	NONE	TO CONTINUE THE ENERGY JOURNALISM INITIATIVE AND	180,000.
116TH ST & BROADWAY	PC	EDUCATE JOURNALISTS ON RECENT RESEARCH TOPICS AND	
NEW YORK, NY 10027		KEY FINDINGS RELATED TO ENERGY ECONOMICS, POLICY,	
		SECURITY, AND TECHNOLOGY	
COLUMBIA UNIVERSITY	NONE	TO CONDUCT EXPERIMENTS ON THE BEHAVIORAL WELFARE	59,677.
116TH ST & BROADWAY	PC	EFFECTS OF HETEROGENEOUS NUDGING	
NEW YORK, NY 10027			
COLUMBIA UNIVERSITY	NONE	TO DEVELOP A GLOBAL, SCALABLE, AND SUSTAINABLE	189,041.
116TH ST & BROADWAY	PC	TECHNICAL AND ORGANIZATIONAL INFRASTRUCTURE FOR	
NEW YORK, NY 10027		PERSISTENT UNIQUE IDENTIFIERS OF PHYSICAL	
		SCIENTIFIC SAMPLES	
COMMUNITY INITIATIVES	NONE	TO SUPPORT THE DEVELOPMENT OF DATA AND	237,188.
354 PINE STREET, STE 700	PC	COMPUTATIONAL SKILLS TRAINING CURRICULA IN IMAGE	
SAN FRANCISCO, CA 94104		ANALYSIS, ECONOMICS, AND CHEMISTRY	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CONSUMER REPORTS	NONE	TO RESEARCH CONSUMER ATTITUDES ON DIGITAL	171,039.
101 TRUMAN AVENUE	PC	PRIVACY, CONVENE EXPERTS AND TEST TECHNOLOGY	
YONKERS, NY 10703		PLATFORMS ON THEIR PRIVACY PRACTICES, AND EDUCATE	
		CONSUMERS ABOUT DIGITAL PRIVACY AND SECURITY	
COOLIDGE CORNER THEATRE FOUNDATION	NONE	TO SUSTAIN AND EXPAND THE NATIONAL SCIENCE ON	191,000.
290 HARVARD STREET	PC	SCREEN PROGRAM, WITH A FOCUS ON ENHANCED WEB AND	
BROOKLINE, MA 02446		SOCIAL MEDIA PROMOTION	
COOPER UNION FOR THE ADVANCEMENT OF SCIENCE & ART	NONE	TO PROVIDE RENEWED SUPPORT FOR STEM SATURDAYS	125,000.
30 COOPER SQUARE, 8TH FLOOR	PC		
NEW YORK, NY 10003			
CORNELL UNIVERSITY	NONE	TO ENHANCE THE TRANSPARENCY, REPRODUCIBILITY, AND	50,000.
373 PINE TREE RD.	PC	REPLICABILITY OF EMPIRICAL RESEARCH IN THE SOCIAL	
ITHACA, NY 14850-2820		SCIENCES BY SIMPLIFYING HOW AUTHORS CAN, WHEN	
		SUBMITTING A PAPER TO A JOURNAL, ALSO PROVIDE	
		STRUCTURED METADATA ABOUT THE PROVENANCE AND	
		ARCHIVING OF CODE, DATA, AND OTHER SUPPLEMENTARY	
		MATERIALS	
COUNCIL ON LIBRARY AND INFO. RESOURCES	NONE	TO IMPROVE DATA MANAGEMENT PRACTICES IN ENERGY	100,000.
1707 L STREET NW, STE 650	PC	ECONOMICS AND POLICY ANALYSIS RESEARCH THROUGH A	
WASHINGTON, DC 20036-2124		POSTDOCTORAL FELLOWSHIP PROGRAM IN DATA CURATION	
		FOR ENERGY ECONOMICS	
COUNCIL ON LIBRARY AND INFO. RESOURCES	NONE	TO SUPPORT DATA AND SOFTWARE CURATION	200,000.
1707 L STREET NW, STE 650	PC	POSTDOCTORAL FELLOWSHIPS, IN ORDER TO DEVELOP	200,000.
WASHINGTON, DC 20036-2124		EMERGING LEADERS IN THE FIELD AND BUILD CAPACITY	
MIDILITOTOR, DC 20030 2121		WITHIN ACADEMIC LIBRARIES	
		HITHIN ACADEMIC BIDICANTED	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
DARTMOUTH COLLEGE	NONE	TO STUDY, BY RUNNING BEHAVIORAL EXPERIMENTS, HOW	43,311.
11 ROPE FERRY RD.	PC	CONSUMERS MAKE DECISIONS ABOUT INSURANCE PRODUCTS	
HANOVER, NH 03755		LIKE ANNUITIES	
DECISION SCIENCE RESEARCH INSTITUTE, INC.	NONE	TO CONDUCT SURVEYS, MEASUREMENTS, AND BEHAVIORAL	313,139.
1201 OAK STREET, STE 200	PC	EXPERIMENTS ABOUT PUBLIC PERCEPTIONS OF RISK	,
EUGENE, OR 97401		USING NEW METHODS AND TECHNOLOGIES	
EUGENE, OR 9/401		USING NEW METHODS AND TECHNOLOGIES	
DIGITAL PUBLIC LIBRARY OF AMERICA, INC.	NONE	TO CONTINUE THE GROWTH OF DPLA EXCHANGE FOR	1,510,542.
P.O. BOX 426038	PC	ENHANCED EBOOK ACCESS BY THOUSANDS OF LIBRARIES	
CAMBRIDGE, MA 02142		WHILE DEVELOPING AN ONGOING REVENUE STREAM FOR	
		DPLA'S FUTURE	
DUKE UNIVERSITY	NONE	TO LAUNCH AN INTERNATIONAL SUMMER SCHOOL ON	206,873.
124 SCIENCE DRIVE	PC	COMPUTATIONAL SOCIAL SCIENCE	
DURHAM, NC 27701			
ENVIRONMENTAL DEFENSE FUND INC.	NONE	TO UNDERSTAND THE ECONOMIC AND ENVIRONMENTAL	150,000.
257 PARK AVENUE SOUTH	PC	IMPACTS OF COST-REFLECTIVE ELECTRICITY PRICING	
NEW YORK, NY 10010		SCHEMES RELATED TO DISTRIBUTED ENERGY RESOURCES	
		DEPLOYMENT	
ENVIRONMENTAL LAW INSTITUTE	NONE	TO GENERATE NOVEL, MULTI-DISCIPLINARY RESEARCH TO	250,000.
1730 M STREET, NW, STE 700	PC	INCREASE UNDERSTANDING OF THE ENERGY AND	
WASHINGTON, DC 20036		ENVIRONMENTAL IMPACTS OF THE DIGITAL ECONOMY,	
		WITH A FOCUS ON SHARING PLATFORMS, ARTIFICIAL	
		INTELLIGENCE, AND BLOCKCHAIN TECHNOLOGIES	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FAIRFIELD UNIVERSITY	NONE	TO SUPPORT THE RESEARCH AND WRITING OF A BOOK	25,000.
1073 NORTH BENSON RD.	PC	ABOUT THE THALIDOMIDE SCANDAL OF THE 1960S TO BE	
FAIRFIELD, CT 06824		PUBLISHED BY RANDOM HOUSE IN 2020	
FILM INDEPENDENT, INC.	NONE	TO PROVIDE DIRECT SUPPORT TO DEVELOP AND	200,000.
5670 WILSHIRE BLVD., 9TH FLOOR	PC	DISTRIBUTE SCIENCE AND TECHNOLOGY SCRIPTS,	
LOS ANGELES, CA 90036		TELEPLAYS, AND FILMS	
FLINT CULTURAL CENTER CORPORATION	NONE	TO SUPPORT THE SCIENCE DISCOVERY HALL AT THE	200,000.
601 E. SECOND STREET	PC	SLOAN MUSEUM OF DISCOVERY IN FLINT, MICHIGAN, AND	
FLINT, MI 48503		TO ADVANCE PUBLIC UNDERSTANDING OF SCIENCE TO AN	
		UNDERSERVED POPULATION	
FOOD & ENVIRONMENT REPORTING NETWORK	NONE	TO SUPPORT IMMERSIVE SCIENCE STORYTELLING ON THE	30,000.
576 FIFTH AVENUE, STE 903	PC	GASTROPOD PODCAST AND A DIVERSITY EVALUATION AND	
NEW YORK, NY 10036		IMPLEMENTATION PLAN	
FORDHAM UNIVERSITY	NONE	TO SUPPORT A GLOBAL SUMMIT ON ANTHROPOLOGICAL	7,500.
ROSE HILL, 441 E. FORDHAM RD.	PC	CONTRIBUTIONS TO RESEARCH ON BUSINESS AND	
BRONX, NY 10458		ECONOMICS	
			222
FPF EDUCATION AND INNOVATION FOUNDATION	NONE	TO LAUNCH THE CORPORATE-ACADEMIC DATA STEWARDSHIP	329,880.
1400 EYE STREET NW, STE 450	PC	RESEARCH ALLIANCE, A PRIVATE COMPANY PEER-TO-PEER	
WASHINGTON, DC 20005		NETWORK TO ACCELERATE PRIVACY PROTECTIVE SHARING	
		OF ADMINISTRATIVE DATA BETWEEN BUSINESSES AND	
		ACADEMIC RESEARCHERS	

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FUND FOR THE CITY OF NEW YORK	NONE	TO PROVIDE PARTIAL SUPPORT FOR THE SLOAN PUBLIC	540,000.
121 AVENUE OF THE AMERICAS, 6TH FLR	PC	SERVICE AWARDS PROGRAM	
NEW YORK, NY 10013			
FUND FOR THE CITY OF NEW YORK	NONE	TO IMPROVE LOCAL DECISION-MAKING BY CONTINUING TO	175,000.
121 AVENUE OF THE AMERICAS, 6TH FLR	PC	BUILD TECHNICAL CAPACITY IN NYC BOROUGH PRESIDENT	
NEW YORK, NY 10013		OFFICES AND COMMUNITY BOARDS	
GEORGE WASHINGTON UNIVERSITY	NONE	TO DESIGN, VET, AND LAUNCH PLANS FOR FEDERAL	50,000.
2023 G ST. NW, LISNER 340	PC	AGENCIES AND PRIVATE DATA HOLDERS TO COOPERATE ON	
WASHINGTON, DC 20052		IMPROVING FEDERAL ECONOMIC STATISTICS	
GEORGETOWN UNIVERSITY	NONE	TO PROMOTE THE SAFE AND RESPONSIBLE USE OF	975,927.
37TH & O STREET, NW	PC	ADMINISTRATIVE DATA IN ACADEMIC RESEARCH BY	
WASHINGTON, DC 20057		ESTABLISHING AN ALLIANCE WHOSE MEMBER	
		INSTITUTIONS INTERMEDIATE BETWEEN DATA PRODUCERS	
		AND DATA USERS	
			500.000
GREATER WASHINGTON EDU. TELECOM. ASSOC. INC.	NONE	TO SUPPORT PRODUCTION AND OUTREACH FOR THE GENE:	500,000.
3939 CAMPBELL AVE	PC	AN INTIMATE HISTORY, A THREE-HOUR DOCUMENTARY,	
ARLINGTON, VA 22206		BASED ON SIDDHARTHA MUKHERJEE'S BOOK, TO AIR	
		NATIONALLY ON PBS PRIMETIME	
HACKNY	NONE	TO SUPPORT THE LOCAL NYC TECH STARTUP COMMUNITY	198,950.
P.O. BOX 176	PC	THROUGH COMMUNITY EVENTS, HACKATHONS, AND SUMMER	
NEW YORK, NY 10276-0176		FELLOWSHIPS; AND TO HELP HACKNY DEVELOP AND	
		EXECUTE ON NEW SUSTAINABILITY MODELS TO SUPPORT	
		THESE ACTIVITIES IN THE FUTURE	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HARVARD UNIVERSITY	NONE	TO DEVELOP BEHAVIORALLY INFORMED VERSIONS OF	108,896.
12 OXFORD ST	PC	BASIC MACROECONOMIC MODELS	
CAMBRIDGE, MA 02138			
HARVARD UNIVERSITY	NONE	TO FASHION FUNDAMENTAL CONCEPTS AND MODELS FOR	86,695.
12 OXFORD ST	PC	BEHAVIORAL ECONOMICS BASED ON THEORIES OF	
CAMBRIDGE, MA 02138		CONTEXT-DEPENDENT CHOICE	
HARVARD UNIVERSITY	NONE	TO INCREASE BOTH THE NUMBER OF MINORITY STUDENTS	300,000.
12 OXFORD ST	PC	ENTERING TOP PH.D. PROGRAMS IN ECONOMICS AND	
CAMBRIDGE, MA 02138		ECONOMICS' RELATED FIELDS AND THE DIVERSITY OF	
		THE ECONOMICS FACULTIES AND WORK FORCE	
HARVARD UNIVERSITY	NONE	TO SUPPORT RESEARCH THAT ADDRESSES HOW WORK	104,534.
12 OXFORD ST	PC	CONDITIONS SHAPE OBSERVED EMPLOYMENT TRANSITIONS	
CAMBRIDGE, MA 02138		OF OLDER WORKERS AND HOW AGE AND EMPLOYMENT	
		STATUS AFFECT PREFERENCES FOR WORKING CONDITIONS	
HARVARD UNIVERSITY	NONE	TO RENEW AN INTERDISCIPLINARY, POSTDOCTORAL	318,636.
12 OXFORD ST	PC	TRAINING PROGRAM CALLED THE "SLOAN FELLOWSHIP ON	
CAMBRIDGE, MA 02138		AGING AND WORK" THAT ADDRESSES THE CHALLENGES OF	
		AGING SOCIETIES AND LABOR FORCE PARTICIPATION	
HARVARD UNIVERSITY	NONE	TO EXAMINE THE ROLE OF TECHNOLOGY AND KNOWLEDGE	338,435.
12 OXFORD ST	PC	SPILLOVERS IN THE DEVELOPMENT OF NOVEL CLEAN	
CAMBRIDGE, MA 02138		ENERGY TECHNOLOGIES	

ATTACHMENT 22

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HARVARD UNIVERSITY	NONE	TO DEVELOP NEW STATISTICAL METHODS THAT IMPROVE	219,169.
12 OXFORD ST	PC	BOTH THE IDENTIFICATION OF CAUSAL EFFECTS IN	
CAMBRIDGE, MA 02138		OBSERVATIONAL STUDIES AS WELL AS THE	
		GENERALIZABILITY OF RANDOMIZED EXPERIMENTS	
HARVARD UNIVERSITY	NONE	TO DEVELOP A BETTER AND MORE COHESIVE	382,052.
12 OXFORD ST	PC	UNDERSTANDING OF CURRENT AND FUTURE TRENDS IN	
CAMBRIDGE, MA 02138		AGING AND LABOR FORCE PARTICIPATION IN THE UNITED	
		STATES AND TO IMPART THAT INFORMATION TO THE	
		GENERAL PUBLIC	
HARVARD UNIVERSITY	NONE	TO IMPROVE THE ABILITY TO CURATE AND VERIFY	252,709.
12 OXFORD ST	PC	REPLICATION DATASETS WITHIN THE DATAVERSE DATA	
CAMBRIDGE, MA 02138		ARCHIVING PLATFORM THROUGH A SUITE OF SOFTWARE	
		CONTAINERIZATION AND METADATA TOOLS, AND TO	
		SUPPORT THE DEVELOPMENT OF A NEW DATA CURATION	
		SERVICE AT THE HARVARD DATAVERSE	
HAVERFORD COLLEGE	NONE	TO TEACH MORE UNDERGRADUATE SOCIAL SCIENTISTS	102,246.
370 W LANCASTER AVE	PC	ABOUT INTEGRITY, TRANSPARENCY, AND	
HAVERFORD, PA 19041		REPRODUCIBILITY IN EMPIRICAL RESEARCH	
INDIANA UNIVERSITY	NONE	TO EXAMINE RADICAL CONCENTRATIONS AND ASSOCIATED	473,666.
150 SOUTH WOODLAWN	PC	AEROSOL PRODUCTION IN INDOOR ENVIRONMENTS	
BLOOMINGTON, IN 47405			
INNOVATIONS FOR POVERTY ACTION	NONE	TO STUDY THE BEHAVIORAL WELFARE ECONOMICS OF	140,365.
101 WHITNEY AVENUE	PC	POTENTIAL INTERVENTIONS IN FOUR KINDS OF CRITICAL	
NEW HAVEN, CT 06510		CONSUMER DECISIONS	

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ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
INSTITUTE OF INTERNATIONAL EDUCATION	NONE	TO PROVIDE 25 LIFE-SAVING FELLOWSHIPS AND	250,000.
809 UNITED NATIONS PLAZA	PC	ACADEMIC PLACEMENTS FOR PERSECUTED SCHOLARS FROM	
NEW YORK, NY 10017		AROUND THE WORLD OVER THREE YEARS	
JACOB BURNS FILM CENTER, INC.	NONE	TO PRODUCE A FEATURE-LENGTH DOCUMENTARY ON THE	30,000.
405 MANVILLE RD.	PC	BLUE BRAIN PROJECT TO BUILD A FULL-SCALE,	
PLEASANTVILLE, NY 10570		SELF-AWARE SIMULATION OF THE HUMAN BRAIN	
JOHNS HOPKINS UNIVERSITY	NONE	TO FUND THE OPEN CHEMISTRY COLLABORATIVE IN	325,000.
3400 N. CHARLES STREET	PC	DIVERSITY EQUITY (OXIDE) TO ADVANCE DIVERSITY AND	
BALTIMORE, MD 21218		INCLUSION, AND REDUCE DIVERSITY INEQUITIES	
		THROUGHOUT THE ACADEMIC CAREER LADDER IN	
		CHEMISTRY DEPARTMENTS NATIONWIDE	
MANHATTAN THEATRE CLUB	NONE	TO SUPPORT THE MTC/SLOAN INITIATIVE	433,333.
311 WEST 43RD ST, 8TH FLR	PC	COMMISSIONING, DEVELOPING, AND PRODUCING NEW	
NEW YORK, NY 10036		SCIENCE AND TECHNOLOGY PLAYS	
MANHATTAN THEATRE CLUB	NONE	TO PROVIDE PRODUCTION SUPPORT FOR MANHATTAN CLUB	250,000.
311 WEST 43RD ST, 8TH FLR	PC	THEATRE'S STAGING OF BESS WOHL'S SCIENCE-THEMED	
NEW YORK, NY 10036		PLAY CONTINUITY	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO DEVELOP TOOLS THAT ARE COMPUTATIONALLY,	218,954.
77 MASSACHUSETTS AVE	PC	ADMINISTRATIVELY, AND LEGALLY PRACTICAL FOR	
CAMBRIDGE, MA 02139		CONDUCTING PRIVACY PRESERVING RESEARCH ON SOCIAL	
		SCIENCE DATASETS	

ATTACHMENT 22

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO EXPLORE THE EFFECTS OF ROBOTS ON EMPLOYMENT,	212,129.
77 MASSACHUSETTS AVE	PC	WAGES, AND PRODUCTIVITY	
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO CONDUCT RESEARCH ON THE PRIVATE AND SOCIAL	200,000.
77 MASSACHUSETTS AVE	PC	RETURNS TO INNOVATION	
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO STUDY R&D INVESTMENT LEVELS AND RETURNS IN THE	205,414.
77 MASSACHUSETTS AVE	PC	CONTEXT OF CURRENT U.S. PRODUCTIVITY AND	
CAMBRIDGE, MA 02139		INNOVATION TRENDS	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO SUPPORT THE GROWTH OF TWELVE NEW SCIENCE	371,572.
77 MASSACHUSETTS AVE	PC	FESTIVAL INITIATIVES IN COMMUNITIES ACROSS THE	
CAMBRIDGE, MA 02139		COUNTRY WITH SMALL RESOURCE BASES WITH A SPECIAL	
		NEW EMPHASIS ON DIVERSITY	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO PRODUCE A COMPREHENSIVE, MULTIDISCIPLINARY	593,399.
77 MASSACHUSETTS AVE	PC	FUTURE OF STORAGE STUDY THAT WILL CONSIDER THE	
CAMBRIDGE, MA 02139		ROLE KEY STORAGE TECHNOLOGIES MIGHT PLAY IN	
		ELECTRICITY SYSTEMS OVER DIFFERENT TIME SCALES	
		AND SERVICE REQUIREMENTS	
MATHEMATICAL SCIENCES RESEARCH INSTITUTE	NONE	TO INCREASE THE NUMBER OF STUDENTS FROM	298,000.
17 GAUSS WAY	PC	UNDERREPRESENTED GROUPS IN MATHEMATICS GRADUATE	
BERKELEY, CA 94720-5070		PROGRAMS	

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MATHEMATICAL SCIENCES RESEARCH INSTITUTE	NONE	TO SUPPORT THE 2019 AND 2021 NATIONAL MATH	250,000.
17 GAUSS WAY	PC	FESTIVALS, EVENTS THAT INCREASE THE APPRECIATION	250,000.
BERKELEY, CA 94720-5070	FC	FOR MATHEMATICS AND MATHEMATICAL RESEARCH THROUGH	
BERRELEI, CA 94/20-30/0		THE ARTS, ENGAGING LECTURES, AND INTERACTIVE	
		ACTIVITIES	
		ACIIVIIIES	
MAX PLANCK INSTITUTE FOR CHEMISTRY	NONE	TO EXAMINE THE ROLE OF HUMANS AND HUMAN EMISSIONS	409,975.
ADMINISTRATIONHAHN-MEITNER-WEG 1	NC	IN INDOOR AIR CHEMISTRY	
GERMANY D-55128			
MICHELLE NIJHUIS	NONE	TO SUPPORT THE RESEARCH, FACT-CHECKING, AND	20,000.
P.O. BOX 2273	I	PROMOTION OF A BOOK ABOUT THE SPECIES	
WHITE SALMON, WA 98672		CONSERVATION MOVEMENT TO BE PUBLISHED BY W.W.	
		NORTON IN 2020	
MIDDLEBURY COLLEGE	NONE	TO SUPPORT THE RESEARCH AND WRITING OF AN UPDATED	22,761.
287 BICENTENNIAL WAY	PC	VERSION OF THE 1993 SLOAN-SUPPORTED BOOK NUCLEAR	
MIDDLEBURY, VT 05753		CHOICES: A CITIZEN'S GUIDE TO NUCLEAR TECHNOLOGY	
		TO BE PUBLISHED BY MIT PRESS	
MONTANA STATE UNIVERSITY, BOZEMAN	NONE	TO SUPPORT A BOOK ON THE EVOLUTIONARY AND	20,000.
309 MONTANA HALL, PO BOX 172470	GOV	ECOLOGICAL SCIENCE UNDERLYING AGRICULTURE,	
BOZEMAN, MT 59717		ETERNAL HARVEST: AN EXTRAORDINARY BIOGRAPHY OF	
		WHEAT, TO BE PUBLISHED BY UNIVERSITY OF CHICAGO	
		PRESS	
NATIONAL AGADEMY OF GGTDVGTG	WOLF	TO GUIDALLY THE COLUMN AND INVESTIGATION OF THE COLUMN ASSETS.	050 000
NATIONAL ACADEMY OF SCIENCES	NONE	TO SUSTAIN THE SCIENCE AND ENTERTAINMENT EXCHANGE	250,000.
500 5TH STREET, NW	PC	AND THE ROLE OF SCIENCE AND SCIENCE CONSULTANTS	
WASHINGTON, DC 20001		IN HOLLYWOOD AND TO PROVIDE SCIENCE ADVISORS FOR	
		THE SLOAN FILM PROGRAM	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL ACADEMY OF SCIENCES	NONE	TO HEIGHTEN QUALITY, VIGOR, AND INNOVATION IN THE	100,000.
500 5TH STREET, NW	PC	U.S. STEMM ENTERPRISE BY INCREASING THE DIVERSITY	
WASHINGTON, DC 20001		OF INDIVIDUALS, RESEARCH TEAMS, AND LEADERSHIP	
		THROUGH A CONSENSUS STUDY AND ONLINE RESOURCE	
		GUIDE ON EFFECTIVE MENTORING PROGRAMS AND	
		PRACTICES	
NATIONAL ACADEMY OF SCIENCES	NONE	TO REPORT ON HOW SOCIAL AND BEHAVIORAL INSIGHTS	120,595.
500 5TH STREET, NW	PC	CAN IMPROVE THE RELIABILITY AND REPRODUCIBILITY	
WASHINGTON, DC 20001		OF SCIENTIFIC FINDINGS	
NATIONAL ACADEMY OF SCIENCES	NONE	TO CONVENE AN INTERNATIONAL WORKSHOP THAT WILL	63,472.
500 5TH STREET, NW	PC	PLAN GLOBAL COOPERATION AND COORDINATION	03,172.
WASHINGTON, DC 20001		CONCERNING ARTIFICIAL INTELLIGENCE RESEARCH AND	
MIDHINGTON, De 20001		ITS APPLICATIONS	
NATIONAL ACADEMY OF SCIENCES	NONE	TO SUPPORT THE DEVELOPMENT OF A NOBEL PRIZE-LEVEL	18,994.
500 5TH STREET, NW	PC	SCIENCE COMMUNICATION PRIZE AND ACTIVITIES AT THE	
WASHINGTON, DC 20001		ARTHUR M. SACKLER COLLOQUIUM ON MISINFORMATION,	
		TO BE HELD APRIL 3 AND 4, 2019	
NATIONAL ACADEMY OF SCIENCES	NONE	TO BROADLY DISSEMINATE TO VARIOUS STAKEHOLDERS	65,000.
500 5TH STREET, NW	PC	THE NEW NATIONAL ACADEMIES REPORT, "MINORITY	
WASHINGTON, DC 20001		SERVING INSTITUTIONS: AMERICA'S UNDERUTILIZED	
		RESOURCE FOR STRENGTHENING THE STEM WORKFORCE"	
NATIONAL ACADEMY OF SCIENCES	NONE	TO DEVELOP A SHARED VISION AND APPROACH FOR A	25,000.
500 5TH STREET, NW	PC	COLLABORATION BETWEEN NASEM, OECD AND IAP TO	
WASHINGTON, DC 20001		UNDERSTAND THE IMPACT OF AI ON WORK BY ASSESSING	
		AI AND ROBOTICS CAPABILITIES	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO SUPPORT THE ALFRED P. SLOAN MINORITY PH.D.	900,000.
ONE NORTH BRDWY, STE 601	PC	PROGRAM (MPHD) THROUGH PHASE 1 RENEWAL GRANTS FOR	
WHITE PLAINS, NY 10601		UNIVERSITY CENTERS OF EXEMPLARY MENTORING (UCEMS)	
		AT CORNELL UNIVERSITY, GEORGIA INSTITUTE OF	
		TECHNOLOGY, AND THE PENNSYLVANIA STATE UNIVERSITY	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO PROVIDE SCHOLARSHIP SUPPORT FOR THE SLOAN	1,100,000.
ONE NORTH BRDWY, STE 601	PC	INDIGENOUS GRADUATE PARTNERSHIP (SIGP) ENABLING	
WHITE PLAINS, NY 10601		CONSORTIUM MEMBERS TO RECRUIT, SUPPORT, AND	
		GRADUATE INDIGENOUS STUDENTS EARNING GRADUATE	
		DEGREES IN STEM DISCIPLINES	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO SUPPORT SCHOLARSHIPS AND PROGRAM EXPENSES FOR	330,000.
ONE NORTH BRDWY, STE 601	PC	A THREE-YEAR RENEWAL OF A UNIVERSITY CENTER OF	
WHITE PLAINS, NY 10601		EXEMPLARY MENTORING (UCEM) AT THE UNIVERSITY OF	
		SOUTH FLORIDA	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO SUPPORT A NEW ALFRED P. SLOAN MINORITY PH.D.	500,000.
ONE NORTH BRDWY, STE 601	PC	(MPHD) UNIVERSITY CENTER OF EXEMPLARY MENTORING	
WHITE PLAINS, NY 10601		(UCEM) PROGRAM AT DUKE UNIVERSITY (COMBINING	
		\$700,000 IN NEW FUNDING WITH \$300,000 IN UNSPENT	
		NACME FUNDS)	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO MANAGE EFFECTIVELY AND EFFICIENTLY THE	204,328.
ONE NORTH BRDWY, STE 601	PC	FOUNDATION'S PORTFOLIO OF GRADUATE SCHOLARSHIP	
WHITE PLAINS, NY 10601		PROGRAMS	
NAT'L ACTION COUNCIL FOR MINORITIES IN ENGG., INC.	NONE	TO SUPPORT THE ALFRED P. SLOAN MINORITY PH.D.	1,870,643.
ONE NORTH BRDWY, STE 601	PC	PROGRAM (MPHD) THROUGH PHASE 3 RENEWAL GRANTS FOR	
WHITE PLAINS, NY 10601		UNIVERSITY CENTERS OF EXEMPLARY MENTORING (UCEMS)	
		AT MASSACHUSETTS INSTITUTE OF TECHNOLOGY,	
		UNIVERSITY OF CALIFORNIA, SAN DIEGO AND THE	
		UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN	

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO RENEW SUPPORT FOR A THREE-YEAR POSTDOCTORAL	127,075.
1050 MASSACHUSETTS AVENUE	PC	PROGRAM ON THE ECONOMICS OF THE AGING WORKFORCE	
CAMBRIDGE, MA 02138-5398			
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO EXAMINE THE LINK BETWEEN THE RECEDING	292,150.
1050 MASSACHUSETTS AVENUE	PC	RETIREMENT AGE OF OLDER WORKERS AND THE SHIFTS IN	
CAMBRIDGE, MA 02138-5398		DEMAND FOR THESE WORKERS ASSOCIATED WITH THE	
		EXPANSION OF ARTIFICIAL INTELLIGENCE AND ROBOTIC	
		SUBSTITUTES	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO COMPARE THE RETIREMENT PATHS OF PUBLIC AND	155,661.
1050 MASSACHUSETTS AVENUE	PC	PRIVATE EMPLOYEES, ASSESSING DIFFERENCES IN	
CAMBRIDGE, MA 02138-5398		WORKING CONDITIONS, RETIREMENT BENEFITS, AND	
		GOVERNMENT REGULATIONS AND IMPACTS ON THE AGE OF	
		RETIREMENT FROM CAREER JOBS AND THE LIKELIHOOD OF	
		POST-RETIREMENT WORK	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO ORGANIZE AND SUPPORT INNOVATIVE RESEARCH ON	194,000.
1050 MASSACHUSETTS AVENUE	PC	THE ECONOMICS OF DIGITIZATION	
CAMBRIDGE, MA 02138-5398			
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO UNDERTAKE A RESEARCH PROJECT EXAMINING HOW	100,000.
1050 MASSACHUSETTS AVENUE	PC	MARKET FORCES, PUBLIC POLICIES, AND TECHNOLOGICAL	
CAMBRIDGE, MA 02138-5398		CHANGE AFFECT ENERGY CONSUMPTION AND USE IN THE	
		TRANSPORTATION SECTOR	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO CONTINUE SUPPORT FOR PREDOCTORAL RESEARCH AND	411,500.
1050 MASSACHUSETTS AVENUE	PC	TRAINING FELLOWSHIPS IN ENERGY ECONOMICS	
CAMBRIDGE, MA 02138-5398			

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO DEVELOP NEW DISSEMINATION CHANNELS FOR	200,000.
1050 MASSACHUSETTS AVENUE	PC	RIGOROUS, NONPARTISAN RESEARCH IN ENVIRONMENTAL	
CAMBRIDGE, MA 02138-5398		AND ENERGY ECONOMICS THROUGH A NEW FORUMS FOR	
		RESEARCH PRESENTATION AND PUBLICATION	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO BUILD A RESEARCH COMMUNITY ON THE ECONOMICS OF	180,136.
1050 MASSACHUSETTS AVENUE	PC	SCIENCE BY HOLDING REGULAR CONFERENCES AND BY	
CAMBRIDGE, MA 02138-5398		OTHER COMMUNITY-BUILDING ACTIVITIES	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO DEVELOP AN ACTIVE AND DIVERSE RESEARCH	609,500.
1050 MASSACHUSETTS AVENUE	PC	COMMUNITY THAT STUDIES THE ECONOMICS OF	
CAMBRIDGE, MA 02138-5398		ARTIFICIAL INTELLIGENCE	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO SUPPORT A TWO-YEAR PHASE OF COLLABORATIVE	126,500.
1050 MASSACHUSETTS AVENUE	PC	STUDY ON FACILITATING WORK AT OLDER AGES	
CAMBRIDGE, MA 02138-5398			
NEW JERSEY INSTITUTE OF TECHNOLOGY	NONE	TO DEVELOP AND TEST PRIVACY-PROTECTION TECHNIQUES	109,038.
323 DR MARTIN LUTHER KING BLVD	GOV	THAT ENABLE RESEARCHERS TO COLLECT AND ANALYZE	
NEWARK, NJ 07201		SENSITIVE DATA	
NEW VENTURE FUND	NONE	TO ENCOURAGE CHARITABLE GIVING IN SUPPORT OF	175,000.
1201 CONNECTICUT AVE NW, STE 300	PC	BASIC SCIENTIFIC RESEARCH THROUGH SLOAN	
WASHINGTON, DC 20036		MEMBERSHIP IN THE SCIENCE PHILANTHROPY ALLIANCE	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK ACADEMY OF SCIENCES	NONE	TO EXPAND THE DEVELOPING PILOT PROGRAM, SCIENCE	250,000.
7 WTC, 250 GREENWICH ST., 40TH FL.	PC	ALLIANCE LEADERSHIP TRAINING (SALT), TO TRAIN 90	
NEW YORK, NY 10007-2157		DIVERSE, ADVANCED DOCTORAL STUDENTS TO LEAD	
		INSTITUTIONAL CHANGE THROUGH ACQUISITION OF	
		STRONG ENTREPRENEURIAL, INTERPERSONAL, AND	
		TECHNICAL SKILLS	
NEW YORK PUBLIC RADIO	NONE	TO SUPPORT STORY-DRIVEN HEALTH CARE REPORTING AT	400,000.
160 VARICK STREET	PC	WNYC, INCLUDING COVERAGE OF HEALTH CARE POLICY	
NEW YORK, NY 10013		AND ECONOMICS, MEDICAL SCIENCE AND DISCOVERY, AND	
		PERSONAL HEALTH	
NEW YORK UNIVERSITY	NONE	FOR AN ANNUAL FEATURE FILM PRODUCTION GRANT OVER	334,596.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	THREE YEARS TO ENABLE FILM STUDENTS TO SHOOT A	
NEW YORK, NY 10013		FIRST FEATURE FILM ABOUT SCIENCE AND TECHNOLOGY	
NEW YORK UNIVERSITY	NONE	TO FURTHER DEVELOP THE PH.D. EXCELLENCE	347,511.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	INITIATIVE TO CHANGE THE FACE OF U.S. ECONOMICS	
NEW YORK, NY 10003		DEPARTMENTS BY PREPARING A SELECT CADRE OF	
		HIGH-ACHIEVING POST-BACCALAUREATE STUDENTS OF	
		COLOR FOR THE RIGORS OF PH.D. STUDY IN THE FIELD	
NEW YORK UNIVERSITY	NONE	TO STUDY HOW ATTENTION AND PERCEPTION AFFECT	172,511.
6 WASHINGTON PL, RM 280, MEYER HALL	PC	MICROECONOMIC BEHAVIORS AND MACROECONOMIC OUTCOMES	
NEW YORK, NY 10003			
NORTHEASTERN UNIVERSITY	NONE	TO SUPPORT AN INTENSIVE, YEAR-LONG ACADEMIC	122,641.
360 HUNTINGTON AVE	PC	LEADERSHIP PROGRAM FOR 40-50 MID-CAREER STEM	
BOSTON, MA 02115		FACULTY OF COLOR FROM A CONSORTIUM OF SEVEN	
		BOSTON-AREA UNIVERSITIES	

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RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NUMFOCUS	NONE	TO DEVELOP A PROGRAMMING TOOLKIT FOR THE	159,185.
P.O. BOX 90596	PC	CONSTRUCTION, EXECUTION, AND EVALUATION OF	
AUSTIN, TX 78709		MACROECONOMIC SIMULATIONS WHERE HETEROGENEOUS	
		AGENTS INTERACT BEHAVIORALLY	
OPEN KNOWLEDGE FDN.	NONE	TO SUPPORT ADOPTION AND USE OF A LIGHTWEIGHT DATA	499,749.
ST JOHN'S INNOV. CTR.	NC	PACKAGING STANDARD IN ORDER TO REDUCE THE	
CAMBRIDGE		FRICTIONS EXPERIENCED IN THE ACQUISITION,	
CAMBRIDGESHIRE		SHARING, USE, AND REUSE OF RESEARCH DATA	
UNITED KINGDOM CB4 OWS			
PARIS SCHOOL OF ECONOMICS	NONE	TO IMPROVE THE QUALITY, QUANTITY, AND	300,000.
48 BD JOURDAN	NC	ACCESSIBILITY OF DATA IN THE WORLD WEALTH AND	
PARIS		INCOME DATABASE (WID.WORLD) FOR RESEARCHERS OF	
FRANCE 75014		ALL BACKGROUNDS AND FOR THE GENERAL PUBLIC	
PECAN STREET, INC.	NONE	TO IMPROVE RESEARCHER ACCESS TO CRITICAL ENERGY	644,132.
3924 BERKMAN DRIVE	PC	DATA BY ENHANCING DATA RESOLUTION AND	
AUSTIN, TX 78723		GRANULARITY, DIVERSIFYING DATA LINKAGES, AND	
		EXPANDING GEOGRAPHIC SCOPE OF INSTRUMENTED HOMES	
PRIVATE CAPITAL RESEARCH INSTITUTE	NONE	TO SET UP AN ADMINISTRATIVE DATA RESEARCH	250,000.
114 WESTERN AVENUE	PF	FACILITY THAT MAKES DATA ABOUT THE PRIVATE	
BOSTON, MA 02134		CAPITAL INDUSTRY ACCESSIBLE TO RESEARCHERS	
PRX INCORPORATED	NONE	TO SUPPORT PRX IN DEVELOPING A NEW GENERATION OF	235,000.
66 CHURCH STREET, 2ND FLR	PC	SCIENCE SHOWS AND EXPANDING SCIENCE-THEMED AUDIO	
CAMBRIDGE, MA 02138		CONTENT FOR RADIO BROADCAST AND PODCAST	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PURDUE UNIVERSITY	NONE	TO RECRUIT, SUPPORT, AND GRADUATE INDIGENOUS	113,754.
100 S GRANT ST.	PC	STUDENTS EARNING GRADUATE DEGREES IN STEM	
WEST LAFAYETTE, IN 47907		DISCIPLINES THROUGH THE CONSORTIAL EFFORTS OF THE	
		SLOAN INDIGENOUS GRADUATE PARTNERSHIP (SIGP)	
REBECCA SCHWARZLOSE	NONE	TO SUPPORT THE WRITING AND ILLUSTRATIONS FOR A	19,700.
32 ABERDEEN PLACE	I	BOOK ABOUT BRAIN MAPS TO BE PUBLISHED BY HOUGHTON	
SAINT LOUIS, MO 63105		MIFFLIN HARCOURT AND PROFILE BOOKS IN SPRING 2020	
RENSSELAER POLYTECHNIC INSTITUTE	NONE	TO CONTINUE TO LEAD THE DATA SCIENCE AND	83,903.
110 8TH STREET	PC	MANAGEMENT DIMENSIONS OF THE DEEP CARBON	
TROY, NY 12180-3590		OBSERVATORY AND CONTRIBUTE TO PROGRAM SYNTHESIS	
RENSSELAER POLYTECHNIC INSTITUTE	NONE	TO SUPPORT THE RESEARCH DATA ALLIANCE REGIONAL	149,520.
110 8TH STREET	PC	U.S. ORGANIZATION	
TROY, NY 12180-3590			
DEGENERAL FOUNDATION OF THE GIRL	YOUT	TO DOOLAND DENDAME OUDDOOM TO DAGOUDAGE AND	1 200 245
RESEARCH FOUNDATION OF THE CUNY 230 WEST 41ST STREET	NONE PC	TO PROVIDE RENEWED SUPPORT TO ENCOURAGE AND SUPPORT PROMISING EARLY CAREER SCIENTISTS AT BOTH	1,308,345.
	FC	STUDENT AND FACULTY LEVELS THROUGH TWO AWARD	
NEW YORK, NY 10036		PROGRAMS: A SUMMER UNDERGRADUATE RESEARCH PROGRAM	
		AND A JUNIOR FACULTY RESEARCH AWARD PROGRAM	
RESOURCES FOR THE FUTURE, INC.	NONE	TO DEVELOP AND IMPLEMENT A TRANSPARENT,	200,000.
1616 P STREET, NW	PC	MULTIDISCIPLINARY RESEARCH INITIATIVE TO UPDATE	
WASHINGTON, DC 20036-1400		COMPREHENSIVELY THE FRAMEWORK FOR SOCIAL COST OF	
		CARBON DIOXIDE ESTIMATION REFLECTING THE BEST	
		AVAILABLE SCIENCE AND ECONOMICS ANALYSIS	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RESOURCES FOR THE FUTURE, INC.	NONE	TO CONTINUE A POSTDOCTORAL RESEARCHER PROGRAM	300,000.
1616 P STREET, NW	PC	THAT WILL TRAIN THE NEXT GENERATION OF SCHOLARS	
WASHINGTON, DC 20036-1400		IN ENERGY AND ENVIRONMENTAL ECONOMICS AND POLICY	
		ANALYSIS	
RETRO REPORT	NONE	TO SUPPORT RESEARCH AND PRODUCTION OF A SHORT	32,500.
633 THIRD AVENUE, 16TH FLOOR	POF	DIGITAL DOCUMENTARY AND ACCOMPANYING VIDEOS ON	
NEW YORK, NY 10017		THE SCIENCE AND TECHNOLOGY BEHIND DRIVERLESS CARS	
ROCHESTER INSTITUTE OF TECHNOLOGY	NONE	TO DEVELOP A MATHEMATICALLY-AWARE SEARCH ENGINE	100,000.
79 LOMB MEMORIAL DRIVE, #09/3185	PC	FOR POPULAR USE BY BOTH STUDENTS AND EXPERTS	
ROCHESTER, NY 14623			
SOUTHERN CALIFORNIA INSTITUTE OF ARCHITECTURE	NONE	TO SUPPORT ARCHITECTURE STUDENTS IN THE CREATION	90,000.
960 EAST 3RD STREET	PC	AND DISSEMINATION OF MULTI-MEDIA FICTIONAL	
LOS ANGELES, CA 90013		NARRATIVES BASED ON THE LATEST RESEARCH ON AI AND	
		AUTOMATION	
STANFORD UNIVERSITY	NONE	TO DEVELOP AND APPLY A FRAMEWORK THAT CLASSIFIES,	300,000.
450 SERRA MALL	PC	ASSESSES, AND COMPARES THE EXPLICIT AND IMPLICIT	
STANFORD, CA 94305		SUBSIDIES PROVIDED FOR DIFFERENT ENERGY SOURCES	
STANFORD UNIVERSITY	NONE	TO MAKE RESEARCH ON MACRO-FINANCIAL MODELING MORE	170,002.
450 SERRA MALL	PC	REPRODUCIBLE, COLLABORATIVE, AND COMPARATIVE	

ATTACHMENT 22

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STANFORD, CA 94305

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
			·
STANFORD UNIVERSITY	NONE	TO DEVELOP, TEST, AND POST NEW ALGORITHMS FOR	205,854.
450 SERRA MALL	PC	ESTIMATING HETEROGENEOUS CAUSAL EFFECTS FROM	
STANFORD, CA 94305		LARGE-SCALE OBSERVATIONAL STUDIES AND FIELD	
		EXPERIMENTS	
STANFORD UNIVERSITY	NONE	TO SUPPORT A CONFERENCE SERIES IN ORDER TO FOSTER	233,072.
450 SERRA MALL	PC	MORE RESEARCH AND POLICY DISCUSSION ABOUT	
STANFORD, CA 94305		CHANGING LABOR MARKET INSTITUTIONS TO ACCOMMODATE	
		INCREASED LONGEVITY	
STANFORD UNIVERSITY	NONE	TO STUDY THE BEHAVIORAL WELFARE EFFECTS OF ONLINE	323,835.
450 SERRA MALL	PC	MEDIA CONSUMPTION	
STANFORD, CA 94305			
THE GRADUATE CENTER FOUNDATION, INC.	NONE	TO PILOT A NEW, ANNUAL SCIENTIFIC BIOGRAPHY	220,500.
365 FIFTH AVENUE, ROOM 8204	PC	FELLOWSHIP AT THE LEON LEVY CENTER FOR BIOGRAPHY	
NEW YORK, NY 10016		THAT WILL RESULT IN THREE NEW MAJOR BIOGRAPHIES	
		OF SCIENTISTS AND/OR TECHNOLOGISTS	
THE PENNSYLVANIA STATE UNIVERSITY	NONE	TO SUPPORT A MULTIDISCIPLINARY RESEARCH PROJECT	50,000.
OLD MAIN	GOV	THAT EXAMINES THE IMPACT OF VARIABLE WIND	
UNIVERSITY PARK, PA 16801		GENERATION ON TRANSMISSION SYSTEMS	
THE UNIVERSITY OF CHICAGO	NONE	TO STUDY HOW THE CHOICE OF COMPUTATIONAL TOOLS	249,297.
5801 S ELLIS AVE	PC	SUCH AS PROGRAMMING LANGUAGES AND DATA-ANALYSIS	
CHICAGO, IL 60637		ENVIRONMENTS IMPACTS THEIR USERS	

ATTACHMENT 22

0170665-00002

ALFRED P. SLOAN FOUNDATION

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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AND				
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT	
THE UNIVERSITY OF CHICAGO	NONE	TO COMPILE ACCURATE AND COMPREHENSIVE MICRODATA	460,000.	
5801 S ELLIS AVE	PC	ABOUT HOUSEHOLD INCOME BY DEVELOPING NEW METHODS		
CHICAGO, IL 60637		FOR COMBINING SURVEY RESULTS WITH ADMINISTRATIVE		
		DATA		
THE UNIVERSITY OF CHICAGO	NONE	TO DEVELOP, DEBUG, AND DISSEMINATE MACROECONOMIC	460,000.	
5801 S ELLIS AVE	PC	MODELS AND SOFTWARE THAT DO NOT ASSUME RATIONAL		
CHICAGO, IL 60637		EXPECTATIONS		
THE UNIVERSITY OF CHICAGO	NONE	TO SUPPORT THE TRAINING OF EARLY CAREER SCHOLARS	300,000.	
5801 S ELLIS AVE	PC	IN DEVELOPING A MORE DETAILED, GRANULAR APPROACH		
CHICAGO, IL 60637		TO ESTIMATING DAMAGE FUNCTIONS THAT CAN		
		CONTRIBUTE TO PROVIDING MORE TRANSPARENT		
		ESTIMATES OF THE SOCIAL COST OF CARBON		
THE UNIVERSITY OF CHICAGO	NONE	TO STUDY THE COMPLEMENTARITY BETWEEN PREDICTION	122,671.	
5801 S ELLIS AVE	PC	ALGORITHMS AND HUMAN DECISION-MAKING		
CHICAGO, IL 60637				
THE UNIVERSITY OF CHICAGO	NONE	TO SUPPORT THE WORK OF A COMMITTEE FOR THE STUDY	20,000.	
5801 S ELLIS AVE	PC	OF DIGITAL PLATFORMS IN PREPARATION FOR AN		
CHICAGO, IL 60637		INTERNATIONAL CONFERENCE ON ANTITRUST AND		
		COMPETITION RESEARCH		
TRIBECA FILM INSTITUTE	NONE	TO BUILD ON THE TFI SLOAN FILMMAKER FUND'S	230,000.	
32 AVENUE OF THE AMERICAS, 27TH FLR	PC	SUCCESS IN DEVELOPING NEW SCIENCE FILMS TO		
NEW YORK, NY 10013		PRODUCTION AND TO RAISE THE PROFILE OF SLOAN		
		SCREENINGS, READINGS, AND PANELS AT THE TRIBECA		
		FILM FESTIVAL		

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT PURPOSE OF GRANT OR CONTRIBUTION		AMOUNT
TRIBECA FILM INSTITUTE	NONE	TO SUPPORT THE SLOAN STUDENT GRAND JURY PRIZE FOR	130,818.
32 AVENUE OF THE AMERICAS, 27TH FLR	PC	THE ANNUAL SELECTION AND DEVELOPMENT OF THE	
NEW YORK, NY 10013		BEST-OF-THE-BEST SCREENPLAY FROM SLOAN'S SIX FILM	
		SCHOOL PARTNERS AND TO PILOT A NEW SLOAN	
		DISCOVERY AWARD SELECTED FROM SIX NEW NON-SLOAN	
		FILM SCHOOL SCREENPLAYS WITH S&T THEMES	
UNIVERSITY OF ARIZONA	NONE	TO STUDY GENDER DIFFERENCES IN THE CHOICE OF	116,792.
1401 E. UNIV. BLVD.	GOV	SUBFIELD SPECIALIZATION AMONG ACADEMIC ECONOMISTS	
TUCSON, AZ 85721			
UNIVERSITY OF ARIZONA	NONE	TO SUPPORT THE PRODUCTION OF BEYOND THE EARTH'S	19,000.
1401 E. UNIV. BLVD.	GOV	EDGE: THE POETRY OF SPACEFLIGHT PUBLISHED BY THE	15,000.
TUCSON, AZ 85721		UNIVERSITY OF ARIZONA PRESS IN 2019	
100001, 12 00,22		0.112.0111 01 1.1120.11 1.1220 1.1 2019	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO EXPAND UNDERSTANDING OF THE ROLES THAT	625,000.
2150 SHATTUCK AVENUE	PC	MICROORGANISMS PLAY IN SHAPING THE CHEMISTRY OF	
BERKELEY, CA 94704-5940		INDOOR ENVIRONMENTS	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO CREATE THE E2E EVIDENCE-BASED POLICY	445,090.
2150 SHATTUCK AVENUE	PC	FELLOWSHIP PROGRAM THAT WILL BRING ENERGY	, , , , , ,
BERKELEY, CA 94704-5940		EFFICIENCY PROGRAM EVALUATION RESEARCH CAPACITY	
		TO STATE AGENCIES	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO SHED NEW LIGHT ON THE PREVALENCE AND NATURE OF	100,591.
	PC		100,391.
2150 SHATTUCK AVENUE	rC	INDEPENDENT CONTRACTING (INCLUDING GIG WORK),	
BERKELEY, CA 94704-5940		WITH A FOCUS ON DOCUMENTING HOW BOTH PRE- AND	
		POST-RETIREMENT OLDER WORKERS COMBINE INDEPENDENT	
		CONTRACTING WITH OTHER SOURCES OF INCOME	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO STUDY THE ECONOMICS AND MAINTENANCE OF DIGITAL	138,035.
2150 SHATTUCK AVENUE	PC	INFRASTRUCTURE BY INVESTIGATING THE INVISIBLE	
BERKELEY, CA 94704-5940		WORK THAT SUSTAINS WIDELY USED OPEN SOURCE	
		PROJECTS	
UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO SUPPORT A WORKSHOP ON IMPROVING THE PROCESS	20,000.
2150 SHATTUCK AVENUE	PC	AND UTILITY OF ELICITING EXPERT FORECASTS OF	
BERKELEY, CA 94704-5940		SOCIAL SCIENCE RESEARCH RESULTS	
UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO QUANTIFY EXISTING AND PENDING DISTRIBUTION	100,000.
1 SHIELDS AVE	PC	SYSTEM IMPACTS OF HIGH LEVELS OF PENETRATION OF	
DAVIS, CA 95616		DISTRIBUTED ENERGY RESOURCES AND LOADS	
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	TO SUPPORT THE DEVELOPMENT AND PRODUCTION OF	241,000.
3532E BOELTER HALL	PC	SCIENCE AND TECHNOLOGY FILMS, TELEVISION, AND NEW	
LOS ANGELES, CA 90095		MEDIA PROJECTS BY TOP FILM STUDENTS	
UNIVERSITY OF CALIFORNIA, LOS ANGELES	NONE	TO ANSWER QUESTIONS ABOUT HOW CALIFORNIA'S	131,942.
3532E BOELTER HALL	PC	WORKFORCE SYSTEM SERVES OLDER WORKERS IN TERMS OF	
LOS ANGELES, CA 90095		TRAINING AND ITS EFFECTIVENESS	
UNIVERSITY OF CALIFORNIA, RIVERSIDE	NONE	TO SUPPORT CONTINUED DEVELOPMENT OF A	132,743.
900 UNIV. AVE	PC	BROWSER-BASED INTERACTIVE PLATFORM FOR EXPLORING	
RIVERSIDE, CA 92521		-OMIC DATASETS	

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	TO INVESTIGATE THE FUNDAMENTAL CHEMISTRY OF	249,760.
9500 GILMAN DRIVE	PC	INDOOR SURFACES	
LA JOLLA, CA 92093			
UNIVERSITY OF CALIFORNIA, SAN DIEGO	NONE	TO ASSESS THE ECONOMIC, POLICY, INSTITUTIONAL,	75,000.
9500 GILMAN DRIVE	PC	AND TECHNOLOGICAL BARRIERS AND OPPORTUNITIES	75,000.
LA JOLLA, CA 92093		ASSOCIATED WITH THE DEVELOPMENT AND DEPLOYMENT OF	
En collen, on short		CARBON CAPTURE, UTILIZATION, AND SEQUESTRATION	
		TECHNOLOGIES	
UNIVERSITY OF CANBERRA	NONE	TO STUDY THE ECONOMICS AND MAINTENANCE OF DIGITAL	135,373.
11 KIRINARI ST, BRUCE	NC	INFRASTRUCTURE BY EXPLORING THE RELATIONSHIP	
AUSTRALIAN CAPITAL TERRITORY		BETWEEN WAGED AND VOLUNTEER LABOR IN OPEN SOURCE	
AUSTRALIA 02617		PROJECTS	
INTERPORTED OF PLODIDA	VOVE	TO DIVOT THE AGONT OFFICE A VARIOTION AND	124 000
UNIVERSITY OF FLORIDA	NONE	TO PILOT THE ACQUISITION, INGESTION, AND	124,998.
E454 CSE BLDG., PO BOX 116120	GOV	STANDARDIZATION PROCESSES NECESSARY TO COMPILE A	
GAINESVILLE, FL 32611		NATIONAL VOTER REGISTRATION DATABASE FOR USE BY	
		ACADEMICS AND OFFICIALS CONDUCTING NON-PARTISAN RESEARCH	
		RESEARCH	
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION	NONE	TO SUPPORT A BOOK ABOUT CHARLES WILLSON PEALE,	15,350.
300 E MARKET ST, STE 300	SO III NFI	CREATOR OF A NATURAL HISTORY/ART MUSEUM IN	
LOUISVILLE, KY 40202		REVOLUTIONARY-ERA AMERICA, AND HOW THE MUSEUM	
		INFLUENCED THE PUBLIC'S PERCEPTION OF SCIENCE AND	
		ART	
UNIVERSITY OF MARYLAND, BALTIMORE COUNTY	NONE	TO	1,109,244.
1000 HILLTOP CIRCLE	GOV	10	1,100,211.
BALTIMORE, MD 21250	337		
DINITIFICAL, PID 21230			

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT		
UNIVERSITY OF MARYLAND, COLLEGE PARK	NONE	TO INFORM THE DESIGN OF QUESTIONS TO LEARN ABOUT	181,205.		
3112 LEE BLDG., 7809 REGENTS DRIVE	GOV	ALTERNATIVE WORK ARRANGEMENTS AMONG THE			
COLLEGE PARK, MD 20742		POPULATION AGE 50 PLUS AND PROVIDE NEW EVIDENCE			
		ON THE ROLE OF THESE ARRANGEMENTS IN OLDER			
		ADULTS' WORK LIVES			
UNIVERSITY OF MARYLAND, COLLEGE PARK	NONE	TO DEVELOP MEASUREMENT TOOLS FOR ASSESSING PUBLIC	1,975.		
3112 LEE BLDG., 7809 REGENTS DRIVE	GOV	ATTITUDES TOWARD POTENTIAL PRIVACY PROTECTION			
COLLEGE PARK, MD 20742		TECHNIQUES			
UNIVERSITY OF MICHIGAN	NONE	TO SUPPORT RESEARCH ON THE ECONOMICS OF SCIENCE	188,418.		
930 N. UNIV. AVE	PC	THAT USES NEW DATA FROM UNIVERSITIES ABOUT			
ANN ARBOR, MI 48109		ACADEMIC FUNDING, SPENDING, AND TRAINING			
UNIVERSITY OF MICHIGAN	NONE	TO ESTABLISH A COLLABORATION OF 11 LARGE R-1	661,264.		
930 N. UNIV. AVE	PC	UNIVERSITIES THAT WILL ACCELERATE AND ENHANCE			
ANN ARBOR, MI 48109		EFFORTS TO IMPROVE FOUNDATIONAL COURSES IN STEM			
		THROUGH LEARNING ANALYTICS RESEARCH INTO, AND			
		DEVELOPMENT OF, EQUITABLE AND INCLUSIVE STEM			
		CURRICULA AND TEACHING PRACTICES			
UNIVERSITY OF MICHIGAN	NONE	TO SUPPORT A MULTIDISCIPLINARY RESEARCH PROJECT	49,284.		
930 N. UNIV. AVE	PC	THAT EXAMINES THE IMPACT OF STORAGE ADDITIONS ON			
ANN ARBOR, MI 48109		TRANSMISSION SYSTEM FREQUENCY REGULATION			
UNIVERSITY OF MINNESOTA	NONE	TO LAUNCH AND EXPAND A CROSS-INSTITUTIONAL	343,701.		
207 PLEASANT ST. SE	GOV	STAFFING MODEL FOR CURATING DISCIPLINARY RESEARCH			
MINNEAPOLIS, MN 55455		DATA			

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	
UNIVERSITY OF NEBRASKA, OMAHA	NONE	TO ADVANCE UNDERSTANDING OF OPEN SOURCE PROJECT	225,838.
6001 DODGE ST, EPPLEY ADMIN BLDG.	PC	HEALTH AND SUSTAINABILITY AND HOW PEOPLE AND	
OMAHA, NE 68182		ORGANIZATIONS PROSPER FROM OPEN SOURCE WORK	
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL	NONE	TO EXAMINE THE ROLES OF DAMPNESS, WATER SOLUBLE	250,000.
104 AIRPORT DRIVE	PC	ORGANIC GASES, AND SURFACE CHEMISTRY ON INDOOR	
CHAPEL HILL, NC 27599-3250		AIR COMPOSITION	
UNIVERSITY OF NORTH CAROLINA, CHAPEL HILL	NONE	TO IMPROVE THE ABILITY TO CURATE AND VERIFY	294,640.
104 AIRPORT DRIVE	PC	REPLICATION DATASETS WITHIN THE DATAVERSE DATA	
CHAPEL HILL, NC 27599-3250		ARCHIVING PLATFORM BY INTEGRATING COMPUTATIONAL	
		NOTEBOOKS AND SOFTWARE CONTAINERIZATION WITH DATA	
		CURATION WORKFLOWS	
UNIVERSITY OF PENNSYLVANIA	NONE	TO DEVELOP, ANALYZE, AND EVALUATE DATA SCIENCE	100,000.
3451 WALNUT STREET	PC	ALGORITHMS THAT PROVABLY PROTECT PRIVACY WHILE	
PHILADELPHIA, PA 19104-6205		AVOIDING OVERFITTING AND FALSE DISCOVERY	
UNIVERSITY OF PENNSYLVANIA	NONE	TO ESTABLISH THE MACRO FINANCE SOCIETY AS A	132,457.
3451 WALNUT STREET	PC	CATALYST, FORUM, AND DISSEMINATOR FOR RESEARCH BY	
PHILADELPHIA, PA 19104-6205		MACRO AND FINANCIAL ECONOMISTS	
UNIVERSITY OF PITTSBURGH	NONE	TO DEVELOP SOFTWARE AND SERVICES FOR TRANSFORMING	504,758.
3700 O'HARA STREET	PC	MATHEMATICAL RESULTS AS THEY APPEAR IN JOURNAL	
PITTSBURGH, PA 15261		ARTICLE ABSTRACTS INTO FORMALLY STRUCTURED DATA	
		THAT MACHINES CAN READ, PROCESS, SEARCH, CHECK,	
		COMPUTE WITH, AND LEARN FROM AS LOGICAL STATEMENTS	

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ALFRED P. SLOAN FOUNDATION

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT	
UNIVERSITY OF PUERTO RICO, MAYAGUEZ	NONE	TO ENHANCE THE RESEARCH SKILLS AND PRODUCTIVITY	198,065.	
CALL BOX 9000	GOV	OF PH.D. CANDIDATES IN 8 STEM FIELDS AT UPR		
MAYAGUEZ				
PUERTO RICO 00681		HURRICANE MARIA (2017)		
UNIVERSITY OF RHODE ISLAND	NONE	TO SUPPORT ENGAGEMENT: THE DEEP CARBON	299,795.	
79 UPPER COLLEGE RD	GOV	OBSERVATORY'S ROAD TO 2019		
KINGSTON, RI 02881				
UNIVERSITY OF SASKATCHEWAN	NONE	TO EXAMINE PHOTON FLUXES, OXIDANTS, AND OXIDANT	472,707.	
110 SCIENCE PLACE, SASKATOON	PC	PRECURSORS IN INDOOR ENVIRONMENTS		
SASKATCHEWAN				
CANADA S7N 5C9				
UNIVERSITY OF SOUTHERN CALIFORNIA	NONE	TO SUPPORT THE DEVELOPMENT AND PRODUCTION OF	277,103.	
1050 CHILD WAY, RRI 201 (MCB)	PC	SCIENCE AND TECHNOLOGY FILMS, TELEVISION, AND NEW		
LOS ANGELES, CA 90089		MEDIA PROJECTS BY TOP FILM STUDENTS		
UNIVERSITY OF TORONTO	NONE	TO INVESTIGATE THE ROLE OF PHOTOCHEMISTRY INDOORS	245,345.	
12 QUEENS PK CRESCENT W	PC			
TORONTO				
ONTARIO				
CANADA M5S 1S8				
UNIVERSITY OF TORONTO	NONE	TO STUDY THE BEHAVIORAL WELFARE ECONOMICS OF HOW	161,369.	
12 QUEENS PK CRESCENT W	PC	NUDGES AFFECT FINANCIAL DECISION MAKING		
TORONTO				
ONTARIO				
CANADA M5S 1S8				

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT		
UNIVERSITY OF VERMONT	NONE	TO LOWER BARRIERS TO LARGE-SCALE RESEARCH	480,822.		
85 S PROSPECT ST	PC	COMPUTING THROUGH THE DEVELOPMENT OF A			
BURLINGTON, VT 05405		DISTRIBUTED, VOLUNTEER, IN-BROWSER SYSTEM FOR			
		ELASTIC COMPUTING RESOURCES			
UNIVERSITY OF WASHINGTON	NONE	TO IMPROVE THE CAPACITY OF DATA INFRASTRUCTURES	211,799.		
4333 BROOKLYN AVE NE, BOX 359472	GOV	TO PROVIDE ACCESS TO AND SHARING OF SENSITIVE			
SEATTLE, WA 98195-9472		QUALITATIVE DATA			
UNIVERSITY OF WASHINGTON	NONE	TO STUDY THE ECONOMICS AND MAINTENANCE OF DIGITAL	139,993.		
4333 BROOKLYN AVE NE, BOX 359472	GOV	INFRASTRUCTURE BY MEASURING THE UNDERPRODUCTION			
SEATTLE, WA 98195-9472		THAT RESULTS FROM MISALIGNMENT OF SUPPLY AND			
		DEMAND OF OPEN SOURCE LABOR			
URBAN INSTITUTE	NONE	TO ASSESS AND BETTER UNDERSTAND THE LABOR SUPPLY,	176,162.		
2100 M STREET, NW	PC	ECONOMIC AND HEALTH IMPACTS OF PAID FAMILY LEAVE			
WASHINGTON, DC 20037		POLICIES ON OLDER WORKING ADULTS WHO PROVIDE CARE			
		TO ELDERLY FAMILY MEMBERS			
VERSE VIDEO EDUCATION, INC.	NONE	TO SUPPORT THE PRODUCTION OF TWO SCIENCE-THEMED	300,000.		
207 FISHER AVE	PC	EPISODES OF POETRY IN AMERICA, A PUBLIC			
BROOKLINE, MA 02445		TELEVISION SERIES TO ENHANCE APPRECIATION OF			
		POETRY			
VIRGINIA POLYTECHNIC INSTITUTE & STATE UNIVERSITY	NONE	TO SUPPORT A WORKSHOP ON VIRUSES IN THE BUILT	30,000.		
1650 RESEARCH CENTER DR	GOV	ENVIRONMENT			

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BLACKSBURG, VA 24061

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT		
VIRGINIA POSTREL	NONE	TO SUPPORT THE RESEARCH AND WRITING OF THE FABRIC	20,000.		
1830 KELTON AVE. #2	I	OF CIVILIZATION TO BE PUBLISHED BY BASIC BOOKS IN			
LOS ANGELES, CA 90025		2020			
WASHINGTON STATE UNIVERSITY	NONE	TO SUPPORT A MULTIDISCIPLINARY RESEARCH PROJECT	49,785.		
PO BOX 642814	PC	THAT EXAMINES THE IMPACT OF DEMAND RESPONSE			
PULLMAN, WA 99164-2814		PROGRAMS ON DISTRIBUTION SYSTEMS			
WGBH EDUCATIONAL FOUNDATION	NONE	FOR A TWO-HOUR NOVA SPECIAL, BEYOND THE ELEMENTS,	350,000.		
ONE GUEST STREET	PC	THAT INVESTIGATES THE SUBSTANCES COMPOSED OF THE			
BOSTON, MA 02135		ELEMENTS IN THE PERIODIC TABLE AND ACCOMPANYING			
		EDUCATIONAL OUTREACH AND MARKETING			
WGBH EDUCATIONAL FOUNDATION	NONE	TO SUPPORT THE PRODUCTION OF FOUR PRIME TIME	500,000.		
ONE GUEST STREET	PC	AMERICAN EXPERIENCE DOCUMENTARY FILMS ABOUT THE			
BOSTON, MA 02135		ROLE OF SCIENCE AND TECHNOLOGY IN HISTORY			
WHITNEY MUSEUM OF AMERICAN ART	NONE	TO SUPPORT A MAJOR EXHIBITION ON THE INTERSECTION	50,000.		
99 GANSEVOORT STREET	PC	OF ART AND TECHNOLOGY FROM THE 1960S UNTIL TODAY			
NEW YORK, NY 10014					
WIKIMEDIA FOUNDATION	NONE	TO SUPPORT THREE YEARS OF WORKSHOPS, HACKATHONS,	133,333.		
1 MONTGOMERY ST, STE 1600	PC	AND OUTREACH AT THE INTERSECTION OF ACADEMIC			
SAN FRANCISCO, CA 94104		CITATION, BIBLIOGRAPHIC METADATA, AND WIKIPEDIA			

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ATTACHMENT 22 (CONT'D)

62,964,846.

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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FOUNDATION STATUS OF RECIPIENT		
FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
<u> </u>	TO SUPPORT A TWO-HOUR PUBLIC TELEVISION BROADCAST	350,000.
	OF A MULTIMEDIA LIVE STAGE PLAY ABOUT ALBERT	
	EINSTEIN'S JOURNEY TO THE GENERAL THEORY OF	
	RELATIVITY	
3	TO SUPPORT A THREE-PART TELEVISION SERIES	500,000.
	BROADCAST AND ACCOMPANYING OUTREACH ON THE	
	ENGINEERING AND TECHNOLOGY OF LAGUARDIA AIRPORT,	
	WITH A FOCUS ON THE CURRENT NEW TERMINAL PROJECT	
	TO 1000 TO 100 T	250 040
2		370,840.
	STATISTICAL MACHINE LEARNING TO ENABLE ADVANCED	
	SEARCH OF MATHEMATICAL LITERATURE	
3	TO EXPAND EMULATION AND SOFTWARE PRESERVATION	400,000.
	INFRASTRUCTURE IN ORDER TO ENSURE THAT SOFTWARE	
	AND SOFTWARE-DEPENDENT DIGITAL CONTENT IS	
	ACCESSIBLE BY FUTURE GENERATIONS	
	3	OF A MULTIMEDIA LIVE STAGE PLAY ABOUT ALBERT EINSTEIN'S JOURNEY TO THE GENERAL THEORY OF RELATIVITY TO SUPPORT A THREE-PART TELEVISION SERIES BROADCAST AND ACCOMPANYING OUTREACH ON THE ENGINEERING AND TECHNOLOGY OF LAGUARDIA AIRPORT, WITH A FOCUS ON THE CURRENT NEW TERMINAL PROJECT TO ACCELERATE SCIENTIFIC DISCOVERY BY USING STATISTICAL MACHINE LEARNING TO ENABLE ADVANCED SEARCH OF MATHEMATICAL LITERATURE TO EXPAND EMULATION AND SOFTWARE PRESERVATION INFRASTRUCTURE IN ORDER TO ENSURE THAT SOFTWARE AND SOFTWARE-DEPENDENT DIGITAL CONTENT IS

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TOTAL CONTRIBUTIONS APPROVED

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FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 2

DESCRIPTION	BUSINESS <u>CODE</u>	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
REFUNDED GRANTS			01	435,821.	
RECV'D WITH GRANT TRANSFERS			01	908,127.	
MISCELLANEOUS			01	4,010.	
TOTALS				1,347,958.	

ALFRED P. SLOAN FOUNDATION BY-LAWS

Revised December [11], 2018

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ALFRED P. SLOAN FOUNDATION BY-LAWS

ARTICLE I - MEMBERS

Section 1. Membership

Those individuals who are the members of the Board of Trustees (the "Board") of the Alfred P. Sloan Foundation (the "Corporation") from time to time shall be the members of the Corporation, who shall have all powers and authority reserved to "members" under the Delaware General Corporation Law, as amended from time to time. No separate meetings of the members are required or necessary.

ARTICLE II - TRUSTEES

Section 1. Powers and Duties

The Board shall manage and control the affairs and property of the Corporation. All corporate powers, except such as are otherwise provided for in the Certificate of Incorporation, these By-Laws, or the laws of the State of Delaware, shall be and hereby are vested in and shall be exercised by the Board. The Board shall have full power to adopt rules and regulations governing all actions which it takes, except as otherwise provided by the laws of the State of Delaware, and shall have full authority with respect to the distribution and payment of monies received by the Corporation from time to time; provided, however, that the fundamental and basic purposes and powers of the Corporation, and the limitations thereon, as expressed in the Certificate of Incorporation, shall not thereby be amended or changed. The Board may, except as otherwise provided by the laws of the State of Delaware, delegate to committees of its own number, or to officers of the Corporation, such powers as it may see fit.

Section 2. Number; Election; Term of Office

The number of Trustees shall be no fewer than three (3) and no more than seventeen (17). The number of Trustees may be changed by majority vote of the Trustees acting in their capacity as members, provided that no decrease in the number of Trustees may serve to diminish the term of any Trustee then in office. Trustees shall be elected by a majority vote of the Trustees acting in their capacity as members. Trustees' terms shall start at the Annual Meeting of their election or, if elected between Annual Meetings, at the Annual Meeting next following their election.

Following with the adoption of these amended By-Laws, Trustees shall be elected to a four-year term and, in any event, each Trustee will continue in office until his or her successor has been elected and qualified, or until his or her earlier death, resignation, or removal. Each Trustee is subject to renomination and reelection at the end of each four-year term from which he or she may be eligible for reelection. Trustees shall not hold office for more than three (3) consecutive four-year terms or twelve (12) consecutive years; provided that any Trustee who is in the final five-year term of his or her service at the time of the adoption of these amended By-Laws may serve the remainder of his or her full five-year term. Notwithstanding the

limitations specified in this Section 2, (a) any Trustee who is nominated to serve as Chair of the Board may be elected for one additional four-year term in order to serve as Chair and (b) the term of any Trustee who is elected to serve as Chair of the Board shall be automatically extended for so long as such Trustee is serving as Chair.

Section 3. Trustee ex officio

The President, upon his or her election as President, shall be a Trustee ex officio. As such, he or she shall have all the rights and responsibilities afforded to the office of Trustee, except that years served as Trustee ex officio shall be disregarded for the purpose of determining Trustee term limits as described in Section 2 of this Article.

Section 4. Quorum and Board Action

A majority of the total number of Trustees shall constitute a quorum for the transaction of business at any meeting and, except as otherwise provided by law or these By-Laws, the act of a majority of the Trustees present at any meeting, at which a quorum is present, shall be the act of the Board.

Section 5. Place of Holding Meetings and Keeping Books

The Trustees may hold their meetings and keep the books of the Corporation outside of the State of Delaware, at any office or offices of the Corporation, or at any other place, as they may from time to time determine.

Section 6. Annual Meeting; Notice

Each newly elected Board may hold its first meeting for the purpose of organization and the transaction of business, if a quorum be present, immediately after its election, or at such time and place as may be fixed by consent in writing of all the Trustees. Thereafter there shall be an Annual Meeting of the Board at such time and place as shall be determined by resolution of the Board.

Section 7. Regular Meetings; Notice

Regular meetings may be held without notice at such times and places as shall be determined from time to time by resolution of the Board.

Section 8. Special Meetings; Notice

The Chair, President or Secretary may, and at the request of a majority of the Board shall, call a special meeting of the Board, on at least five (5) days' notice to each member of the Board given in person, by telephone, by mail to his or her last known post office address, or by electronic transmission if the Trustee has consented to receipt of electronic notice.

Section 9. Meeting Chair

The Chair of the Board shall, if present, preside at all meetings of the Board. In the absence of the Chair of the Board, the Vice Chair, if present, shall preside. In the absence of both the Chair of the Board and the Vice Chair, a member of the Board selected by a majority of the Trustees present shall preside.

Section 10. Vacancies

Any vacancy in the Board, whether arising from death, resignation, removal, an increase in the number of Trustees or any other cause, may be filled either by a majority vote of the remaining Trustees, though less than a quorum, or by the Trustees acting in their capacity as members.

Section 11. Resignation and Removal

Any Trustee may resign at any time by giving written notice of such resignation to the President or Secretary. Any Trustee may be removed without cause by a majority vote of the Trustees then in office.

Section 12. Participation by Telephone

The Corporation expects Trustees to attend meetings in person. However, any one or more members of the Board or of a committee thereof may participate in a meeting of the Board or committee by means of a conference telephone or similar communications equipment allowing all persons participating in the meeting to hear each other at the same time. Participation by such means will constitute presence in person at the meeting.

Section 13. Action Without a Meeting

Any action required or permitted to be taken by the Board or any committee thereof may be taken without a meeting, if all members of the Board or the committee consent in writing or by electronic transmission to the adoption of a resolution authorizing the action. The resolution and the written or electronically transmitted consents by the members of the Board or of such committee will be filed with the minutes of the proceedings of the Board or of such committee.

Section 14. Adjournment

At any meeting of the Board, whether or not a quorum is present, a majority of the Trustees present may adjourn the meeting to another time and place without further notice to any absent Trustees. At any such adjourned meeting at which a quorum is present, any business may be transacted which might have been transacted at the meeting as originally called.

Section 15. Compensation

Trustees may receive a stipend for their services as Trustees, provided that the stipend is reasonable in light of prevailing practices for comparable positions. The amount of the

stipend will be determined from time to time by the Board or such committee thereof as the Board may determine.

Section 16. Annual Financial Report

- (a) There shall be presented at the Annual Meeting of the Board a report, verified by the President and Secretary or certified by an independent or certified public accountant firm selected by the Board, showing the following:
 - (i) the assets and liabilities, including any trust funds, of the Corporation as of the end of the previous 12 month fiscal year;
 - (ii) the principal changes therein during such fiscal period;
 - (iii) the revenues of the Corporation during such fiscal period;
 - (iv) expenses and other disbursements of the Corporation during such fiscal period;
- (b) The Annual Financial Report shall be filed with the records of the Corporation.

ARTICLE III - OFFICERS

Section 1. Number and Manner of Election

The officers of the Corporation shall be a Chair of the Board, a Vice Chair, a President, a Treasurer and a Secretary, each to have such duties as are provided for in these By-Laws or as the Board may from time to time determine. Any person may hold two (2) or more offices at the same time.

Such officers, other than the Chair of the Board, shall be elected annually at the first meeting of the Board following the election of the Board, each to hold office until his or her successor shall have been duly elected and qualified, or until his or her earlier death, resignation or removal. Vacancies in any one or more of such offices may be filled by the Board at any time.

The Board may appoint such other officers or agents as it may from time to time determine necessary or desirable, each to hold office for such period and to perform such duties as the Board may determine.

The Board shall annually conduct a performance assessment of the officers and shall approve any changes in compensation following this assessment.

Section 2. The Chair of the Board

The Chair of the Board shall be elected from among the Trustees for a four-year term. The Chair shall, if present, preside at all meetings of the Board, the Executive Committee, and shall perform such other duties as the Board may from time to time determine. No employee of the Corporation may serve as Chair of the Board.

Section 3. The Vice Chair

The Vice Chair shall be elected from among the Trustees. The Vice Chair shall perform the duties of the Chair in the Chair's absence, and shall perform such other duties as the Board may from time to time determine.

Section 4. The President

The President shall be the chief executive officer and shall have general management and control over the business and affairs of the Corporation, subject to the direction of the Board. The President serves as a member of the Board, ex officio. The President shall have the power to appoint and discharge the employees and agents, other than officers, of the Corporation and fix their compensation, and may make and sign contracts and agreements in the name and on behalf of the Corporation, and, in general, shall perform such other duties as the Board may from time to time determine. The President's performance and compensation will be annually reviewed by the Board.

Section 5. Treasurer

The Treasurer shall perform all the duties customary to that office, and shall have the care and custody of the funds and securities of the Corporation, and shall have the general supervision of the books of account, and shall give such bonds for the faithful performance of his or her duties as the Board may determine.

Section 6. Secretary

The Secretary shall keep the minutes of the Board's meetings, and shall have the custody of the seal of the Corporation and affix the same to documents when authorized so to do. The Secretary shall coordinate Board orientation and ongoing Board education. He or she shall perform all of the other duties usual to that office.

Section 7. Resignation and Removal

Any officer may resign at any time by delivering a written resignation to the President or Secretary of the Corporation. The acceptance of any such resignation, unless required by the terms thereof, will not be necessary to make the same effective. Any officer elected or appointed by the Board or any member of any committee, may be removed at any time without cause by the majority vote of the Trustees at a meeting of the Board called for that purpose.

Section 8. Compensation

Officers may receive compensation for their services as such, provided the compensation is reasonable in light of prevailing practices for comparable positions. The amount of the compensation will be determined from time to time by the Board or such committee thereof as the Board may determine. Officers' compensation will be annually reviewed by the Board.

ARTICLE IV - COMMITTEES

Section 1. Standing Committees

The standing committees of the Board shall be the Executive Committee, Audit Committee, Investment Committee, and such other committees as the Board may designate by resolution adopted by a majority of the entire Board. Each committee shall consist of three (3) or more Trustees, except that the Investment Committee may contain members that are not Trustees. Standing committee members shall be appointed by the Chair of the Board.

Standing committees, to the extent provided in the Board resolution authorizing their establishment and not restricted by law, will have and exercise the authority to act on behalf of the Board in the management of the Corporation; provided, however, that no such committee will have power to (i) amend the Corporation's Articles of Incorporation, (ii) adopt an agreement of merger or consolidation, or (iii) amend the Corporation's By-Laws. Notwithstanding the provisions of any charter or rules of procedure, the activities of each committee shall be reflected in minutes maintained by the committee chair or his or her designee.

Section 2. Executive Committee

The Executive Committee shall consist of the Chair of the Board, the Vice Chair, President, and such other Trustees as are designated by the Board, except that there shall at all times be at least three (3) Trustees on the Committee. The Committee shall have and may exercise all of the powers and authority of the Board, except those duties delegated to other standing committees or prohibited by law. The Committee shall review and make recommendations to the Board concerning the election of Trustees and matters of Board policies and procedures, including compensation and governance. The Committee shall also perform such tasks as are from time to time assigned to it by the Board. The Committee shall notify the full Board of its actions within a reasonable time thereafter at a Board meeting. The Chair of the Committee shall be the Chair of the Board.

Section 3. Audit Committee

The Audit Committee shall consist of at least three (3) Trustees, each of whom must be an "independent director" as defined in Section 102(a)(21) of the New York Not-for-Profit Corporation Law and each of whom shall have a familiarity with finance and accounting practices. Neither the Chair nor the President may be a member of the Committee, but both may attend any Committee meeting. The Committee shall review the annual audit of the Corporation and recommend to the Board the hiring and termination of the Corporation's outside auditors and the establishment of the terms of the outside auditor's engagement. The Committee shall be responsible for reviewing and commenting on the adequacy of the Corporation's financial disclosure and internal controls. The Committee shall meet at least twice annually and shall present reports to the Executive Committee and the Board on the above matters.

Section 4. Investment Committee

The Investment Committee shall consist of at least three (3) Trustees and may also contain persons who are not Trustees. The Investment Committee shall be responsible for

overseeing the investment and reinvestment of the Corporation's assets and setting investment policies and guidelines regarding those assets. The Committee may (a) delegate to committees, officers, employees or agents, including investment advisors, counsel or managers, the authority to act in its place in the investment and reinvestment of assets; (b) contract with investment advisors, counsel or managers to so act; and (c) authorize the payment of compensation for investment advisory, counseling or management services. The Committee shall present periodic reports to the Board regarding the performance of the Corporation's investments. The Investment Committee shall have such other powers and duties as are determined by the Board.

Section 5. Advisory Committees

The Board may create, and appoint the members of, other committees not having and exercising the authority of the Board as may be deemed desirable to advise management and the Board. Each advisory committee shall exercise such powers and perform such specific duties as may be prescribed by the Board. The designation and appointment of any such committee and delegation thereto of authority will not operate to relieve the Board, or any individual Trustee, of any responsibility imposed upon it, him or her by law.

Section 6. Quorum and Committee Action

Unless otherwise provided in the resolution of the Board designating a committee, a majority of the entire committee will constitute a quorum. The act of a majority of the committee members present at a meeting at which a quorum is present will be the act of the committee.

Section 7. Replacement

In the absence or disqualification of a member of a committee, the committee member or members thereof present at any meeting and not disqualified from voting, whether or not constituting a quorum, may unanimously appoint another Trustee to act at the meeting in the place of any such absent or disqualified member.

Section 8. Chair

Except for those committees to which a chair is assigned by these By-Laws, a chair shall be appointed by the Chair of the Board.

Section 9. Rules

Each committee may adopt rules for its own governance not inconsistent with these By-Laws.

ARTICLE V - MISCELLANEOUS PROVISIONS

Section 1. Fiscal Year

The fiscal year of the Corporation shall end on the 31st day of December of each year.

Section 2. Waiver of Notice

Whenever notice is required to be given under any provision of law or of the Certificate of Incorporation or these By-Laws, a written waiver thereof, signed by the person entitled to notice, whether before or after the time stated therein, shall be deemed equivalent to notice. Attendance of a person at a meeting shall constitute a waiver of notice of such meeting, except for the express purpose of objecting, or as otherwise provided by law.

Section 3. Indemnification

Except as otherwise required by law,

- the Corporation shall, to the fullest extent permitted by applicable law as then in (a) effect, indemnify any person who was or is involved in any manner (including, without limitation, as a party or a witness) or who is threatened to be made so involved in any threatened, pending or completed claim, action, suit or proceeding, whether civil, criminal, administrative or investigative (including, without limitation, any action, suit or proceeding by or in the right of the Corporation to procure a judgment in its favor (a "derivative suit")) by reason of the fact that he or she, his or her testator or intestate, is or was a trustee, officer, committee member, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, trustee, officer, committee member, employee or agent of another corporation, partnership, joint venture, trust or other enterprise (including, without limitation, any employee benefit plan) against all expenses (including attorney's fees, judgments, fines and amounts paid in settlement actually and reasonably incurred by him or her in connection with such action, suit or proceeding, subject to the proviso that in the case of a derivative suit any payment made pursuant to a court judgment must be authorized by the court). Such indemnification shall be a contract right and shall include the right to receive payment in advance of any expense incurred by such person in connection with such action, suit or proceeding, consistent with the provisions of applicable law as then in effect;
- (b) the termination of any action, suit or proceeding by judgment, order, settlement, conviction or upon a plea of nolo contendere or its equivalent shall not, of itself, create a presumption that the person did not act in good faith and in a manner which he reasonably believed to be in or not opposed to the best interests of the Corporation, and, with respect to any criminal action or proceeding, had reasonable cause to believe that his or her conduct was unlawful;
- (c) any indemnification under subparagraph (a) of this Section shall be made by the Corporation only as authorized in the specific case upon a determination that indemnification of the director, trustee, officer, committee member, employee or agent is proper under the circumstances because he has met the applicable law as then in effect. Such determination shall be made (1) by the Board by a majority vote of a quorum consisting of Trustees who were not parties to such action, suit or proceeding, or (2) if such a quorum is not obtainable, by the Board in

accordance with such determination by independent legal counsel selected by the Board. To the extent that any such person has been successful on the merits or otherwise in defense of any action, suit or proceeding referred to in subparagraph (a) or in defense of any claim, issue or matter therein, he or she shall be indemnified against expenses (including attorneys' fees) actually and reasonably incurred by him or her in connection therewith;

- (d) expenses incurred in defending a civil or criminal action, suit or proceeding shall be paid by the Corporation in advance of the final disposition of such action, suit or proceeding, upon receipt of an undertaking by or on behalf of the director, trustee, officer, committee member, employee or agent to repay such amount if shall ultimately be determined that he is not entitled to be indemnified by the Corporation as authorized by this Section;
- (e) the foregoing right of indemnification and the advancement of expenses shall not be deemed exclusive of any rights to which any such director, trustee, officer, committee member, employee or agent may be entitled by contract or otherwise or of any power of the Corporation with respect to other rights, and shall continue as to a person who has ceased to be such a director, trustee, officer, committee member, employee or agent, and shall inure to the benefit of the heirs, executors and administrators of such person. The provisions of this Section shall be separable and if any of its provisions shall be adjudged to be invalid or unenforceable such invalidity or unenforceability shall not affect any other provision thereof; and
- (f) the Corporation shall have power to purchase and maintain insurance on behalf of any person who is or was a director, trustee, officer, committee member, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, trustee, officer, committee member, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against any liability asserted against such person and incurred by such person in any such capacity, or arising out of such person's status as such.

Section 4. Banks

The Board will from time to time and as necessary select such banks or depositaries as it will deem proper for the funds of the Corporation. The Treasurer, and any other person authorized by the Board from time to time, may sign checks, drafts or other orders for the payment of money on the Corporation's behalf.

Section 5. Contracts and Other Instruments

Except as otherwise provided by these By-Laws or authorized by the Board, no Trustee, officer, committee member, employee, agent, or other person will have power or authority to bind the Corporation by any contract, agreement, or instrument or to render it liable for any purpose or for any amount. The Board may authorize any officer or officers, in the name of or on behalf of the Corporation, to enter into any contract or to execute and to deliver any

instrument, or to sign checks, drafts, endorsements, notes or other evidences of indebtedness of the Corporation, and such authority may be general or confined to specific instances. The withdrawal of funds or securities of the Corporation from banks or trust companies or vaults therein will be made only on the signature or signatures of such one or more of the Trustees, officers, or employees of the Corporation as may be designated from time to time by the Board for such purpose.

Section 6. Loans

The Corporation may not borrow or loan funds or assets and may not issue negotiable paper unless authorized by the vote of the Board. When so authorized by the Board, any officer of the Corporation may effect loans and advances at any time for the Corporation from any bank, trust company or other institution, or from any firm, Corporation, or individual. Such authority may be general or confined to specific instances. No loans other than through the purchase of bonds, debentures or similar obligations of the type customarily sold in public offerings, or through ordinary deposit of funds in a bank, will be made by the Corporation to its Trustees or officers, or to any other corporation, firm, association or other entity in which one or more of its Trustees or officers are trustees or officers or hold a substantial interest, except a loan to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended.

Section 7. Grants

Procedures for approving grants, including delegation of authority to approve grants, will be set forth by the Board from time to time.

ARTICLE VI - AMENDMENTS

These By-Laws may be amended at any regular or special meeting of the Board, by a majority vote of the entire Board then in office.