



# Highly Efficient Field Emission Cathodes Using Carbon Nanotubes

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by

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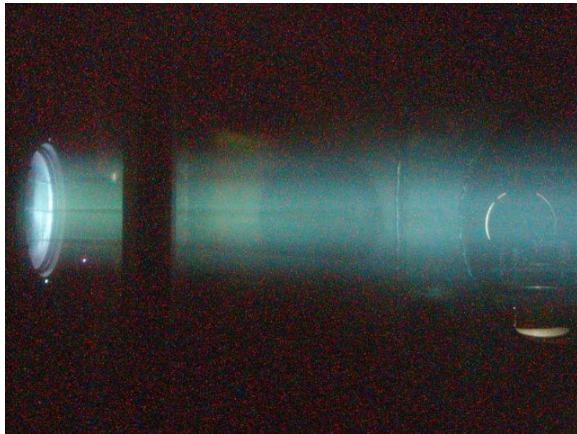
2) *Toshiba Corporation*

- Motivation
  - Demand for small & low-power electron sources
- Objective
  - To develop feasible small cathodes for space application
- Field Emission Cathode Using Carbon Nanotube
  - Fundamentals
  - Desirable characteristics for field emission cathode
- Experiments
  - Laboratory model cathode
  - Current-voltage characteristics
  - Influences of relative potential conditions
  - Long duration test
- Summary

# Motivation and Objective



- Demand for small & low-power electron sources
  - Charging relaxation / control of satellites
  - Neutralizer for small electric propulsion
  - Electrodynamic tether (EDT) system
  - Electron sources for space science



Neutralizer for small Ion engines



Electron source for EDT

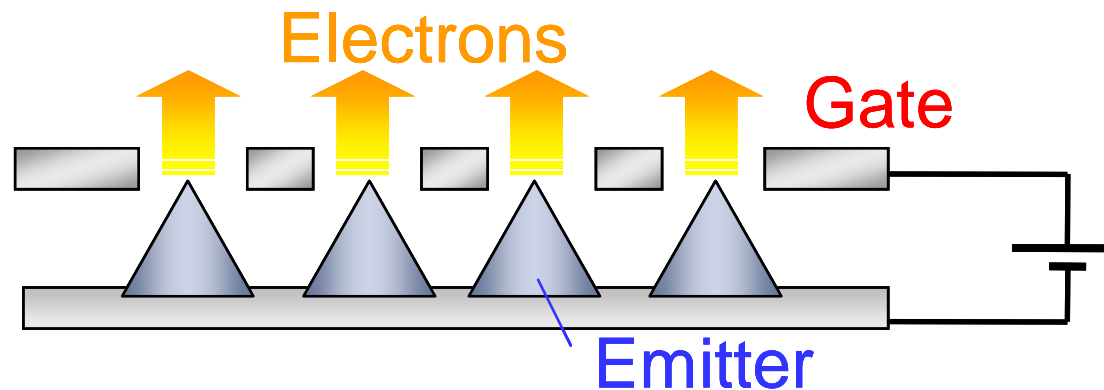
## Goal

To develop feasible small cathodes for space applications

# Field Emission Cathode (FEC)



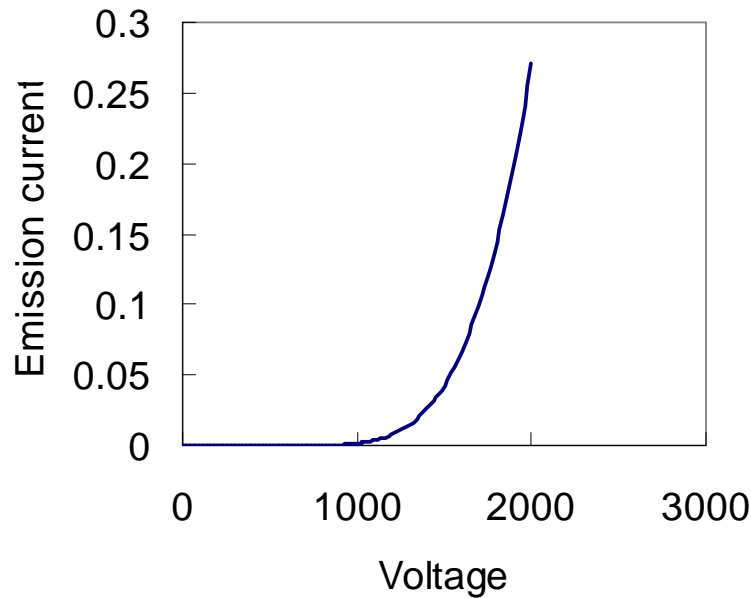
- Field Emission
  - Electrons are extracted from emitter tips by applying a strong electric field
  - “Gate” is used for the extraction
  - Electron field on the tips is enhanced by its “sharpness”
- FEC needs neither heater power nor consumables
  - ➡ *Small, Simple, Low-power*



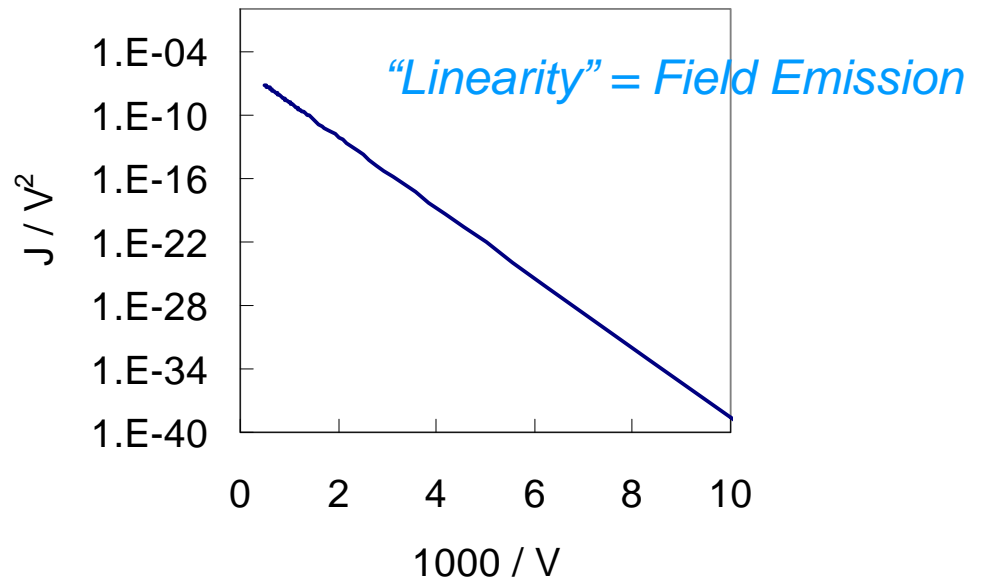
# Fowler-Nordheim Equation

- Field emission current is described by the Fowler-Nordheim (F-N) equation:

$$j_e = \frac{e^3 F^2}{8\pi h \phi} \exp\left(-\frac{8\pi\sqrt{2m}}{3heF} \phi^{3/2}\right) \quad F = \beta E = \beta \frac{V}{d}$$



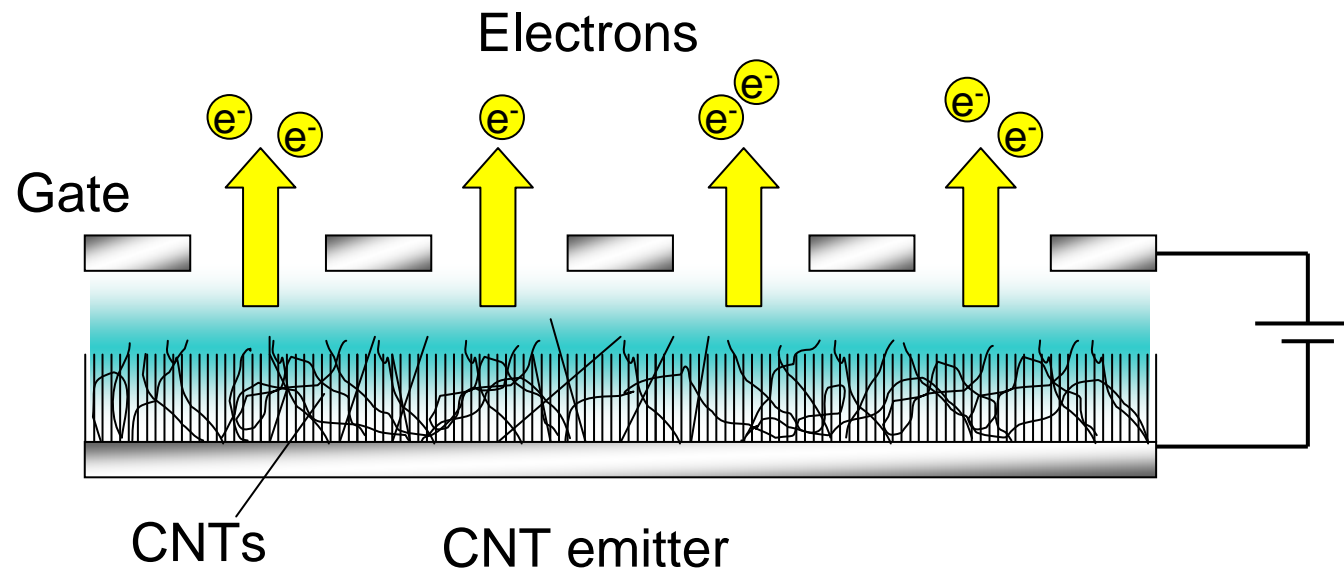
I-V Characteristics



Fowler-Nordheim (F-N) plot

# Carbon Nanotube Cathode

- Carbon nanotube (CNT) cathode is a type of field emission cathode
  - CNTs are used as “emitter tips”
  - CNT has Nanometer-scale tube-diameter
  - High  $\beta$  (field enhancement factor) can be expected
  - CNT is tolerable to ion impingement
  - Low-cost manufacturing



# *Desirable Characteristics for FECs*

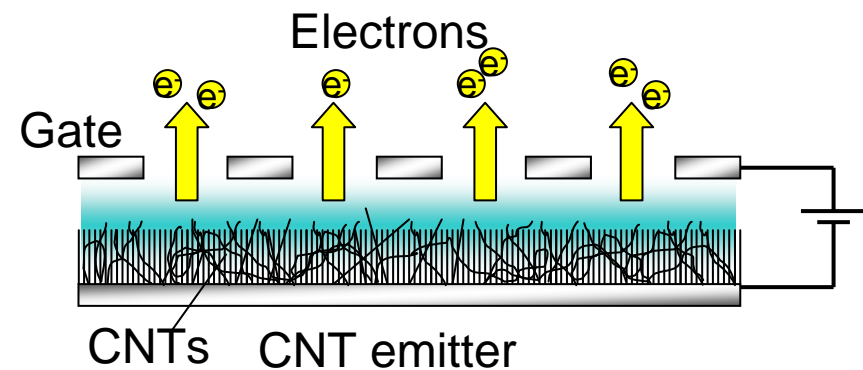
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- Low extraction voltage
- High extraction efficiency
- Low contact voltage
- High durability

## Low extraction voltage

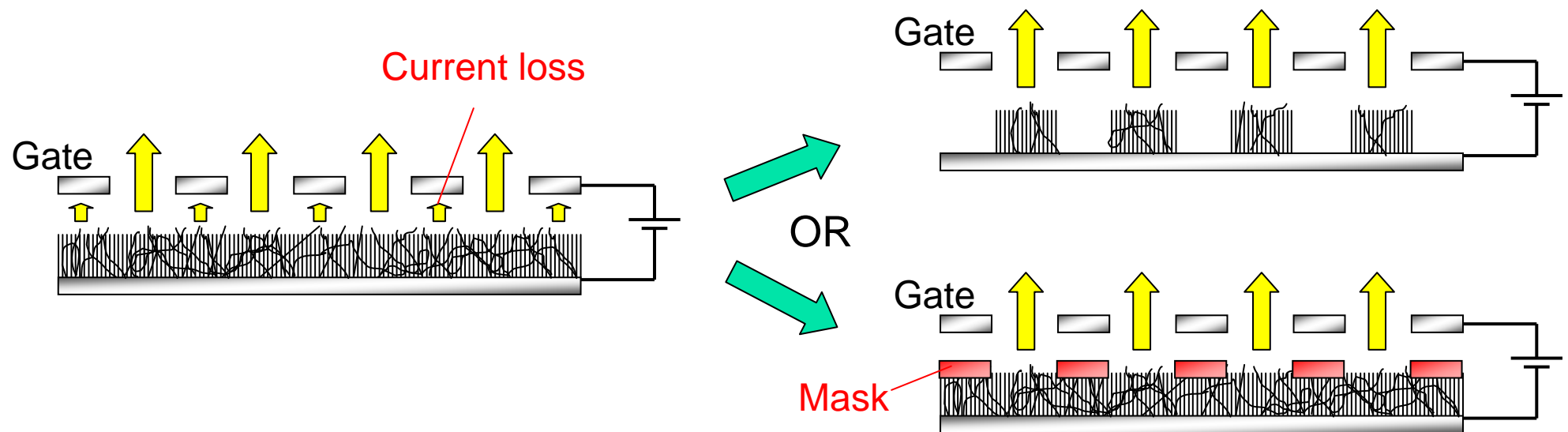
- It is good for
  - Reducing power consumption
  - Avoiding high-voltage-breakdowns
- It requires
  - Decreasing emitter-gate distance
  - Using high " $\beta$ " emitter tips
  - Using low " $\phi$ " emitter material





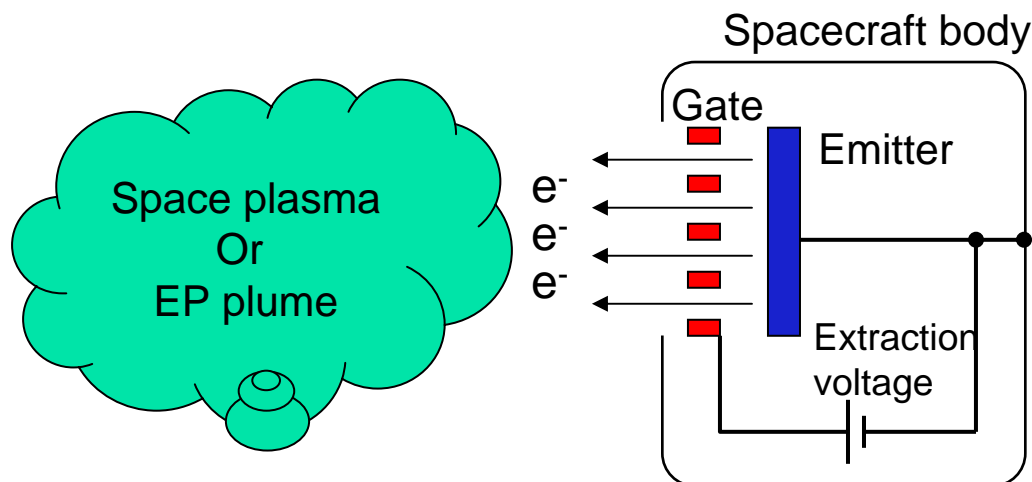
## High extraction efficiency

- It is good for
  - Reducing power consumption
  - Suppressing thermal load
- It requires
  - Geometrical treatment for decreasing electron flow to gate



## Low Contact Voltage

- It is good for
  - Operational at various potential conditions
  - Reducing acceleration loss of EP
  - Reducing voltage loss in EDT

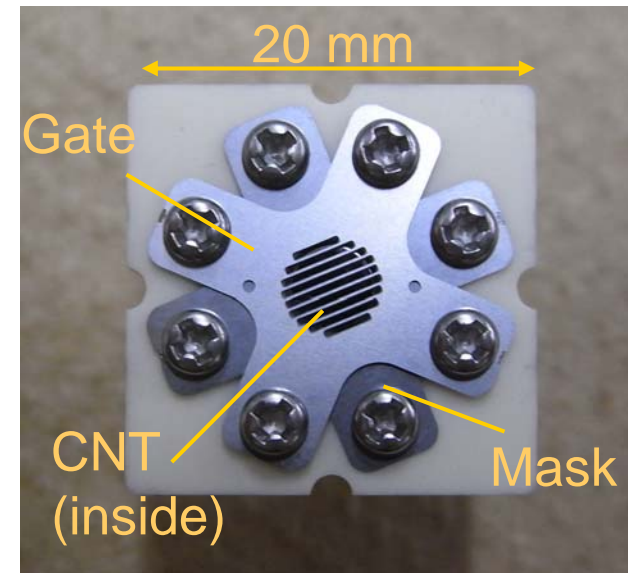
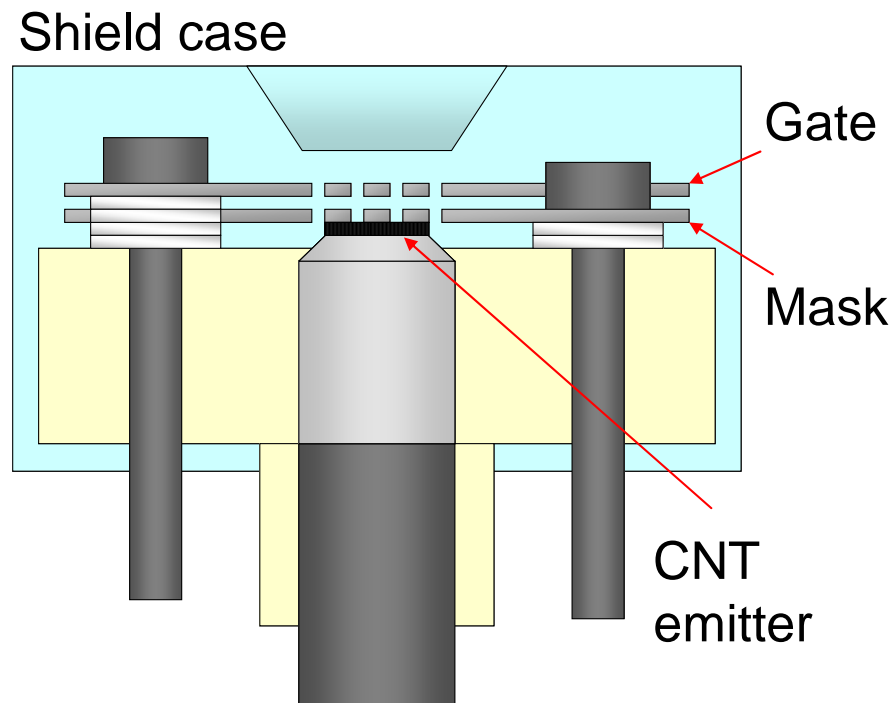


- Spacecraft potential = Emitter potential ( $V_{s/c} = V_e$ )
- $V_{s/c}$  should be lower than plasma space potential (or EP plume potential)
- This potential difference depends on sheath condition around spacecraft
- This potential difference is better to be small

## High Durability

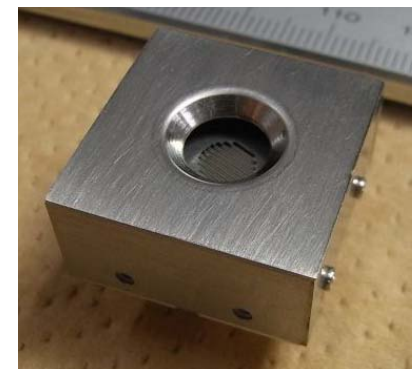
- It is good for
  - Long term operation
- It requires
  - Tolerable emitter material against space environment
    - Ion impingement, atomic oxygen, uv, ...

# Laboratory-Model Cathode 1 (LM1)



w/o shield case

Size: 20 x 20 x 30 mm  
Mass: 24 g



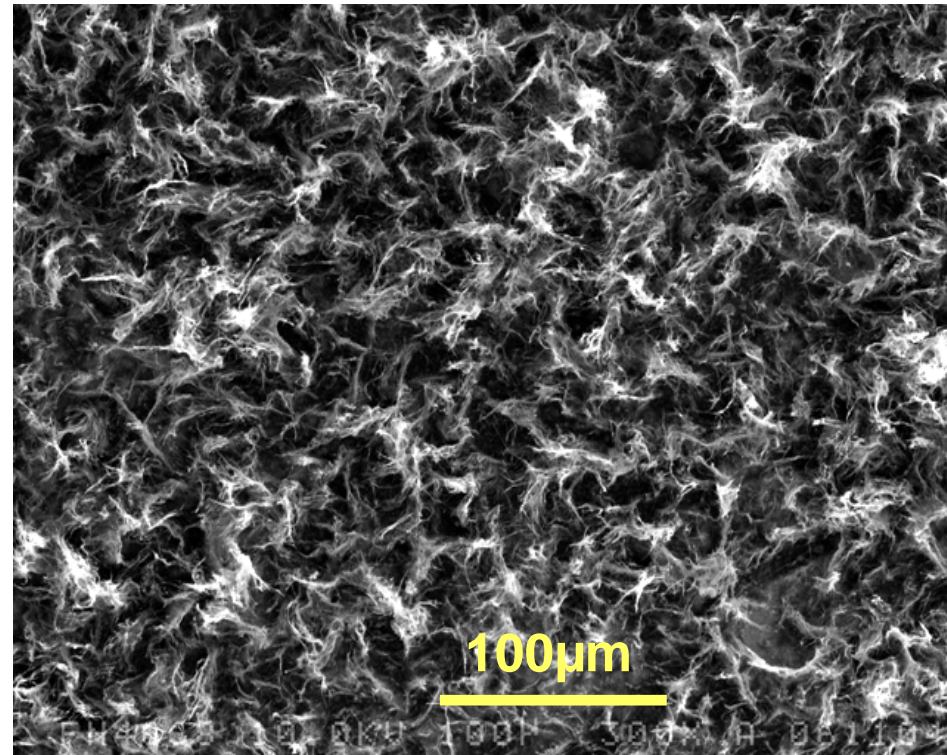
w/ shield case

# CNT Emitter

- Multi-wall nanotubes by arc discharge method
- Fluffy nanotubes are complexly intertwined
- Many emission sites (tube tips) are distributed randomly



CNT emitter  
(JFE Engineering Corp)



SEM image of emitter surface

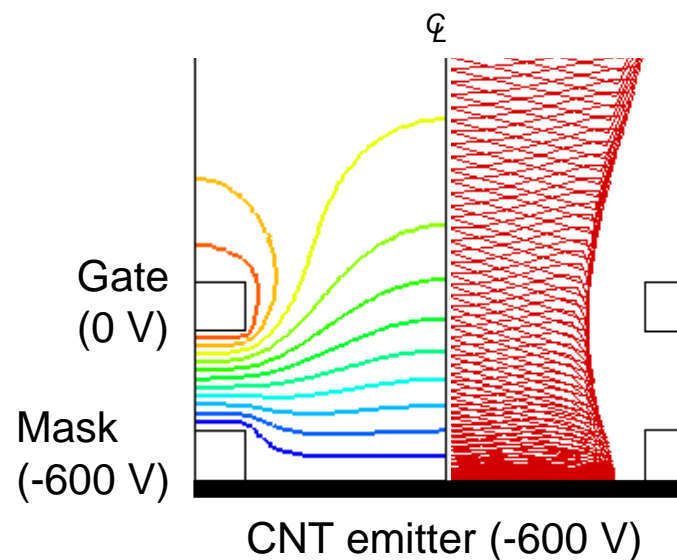
## Role of Mask Electrode

- Mask electrode is placed on emitter surface
- Role of the mask
  - To cover unnecessary emitter area
  - To make electron trajectories converge by distorting the field



- Current loss to gate is suppressed
- High extraction efficiency

-550 to 50 V @ 50 V interval

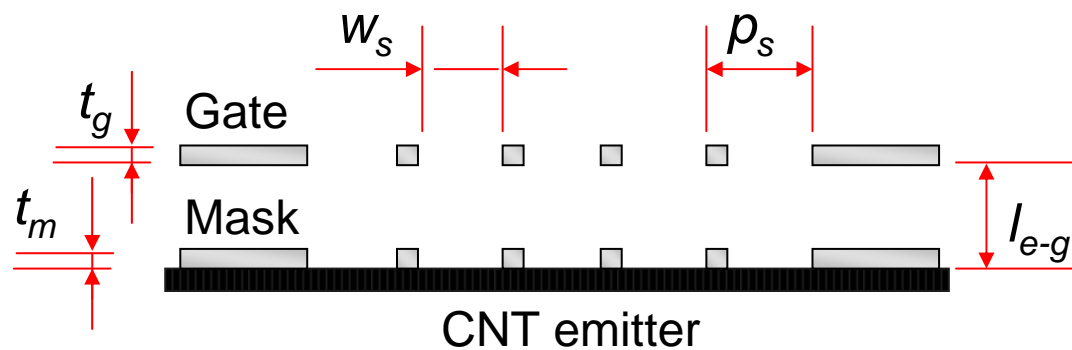


### Trajectory calculation

Left: Electrical potential contours

Right: Electron trajectories

# Geometrical Parameters of Electrodes

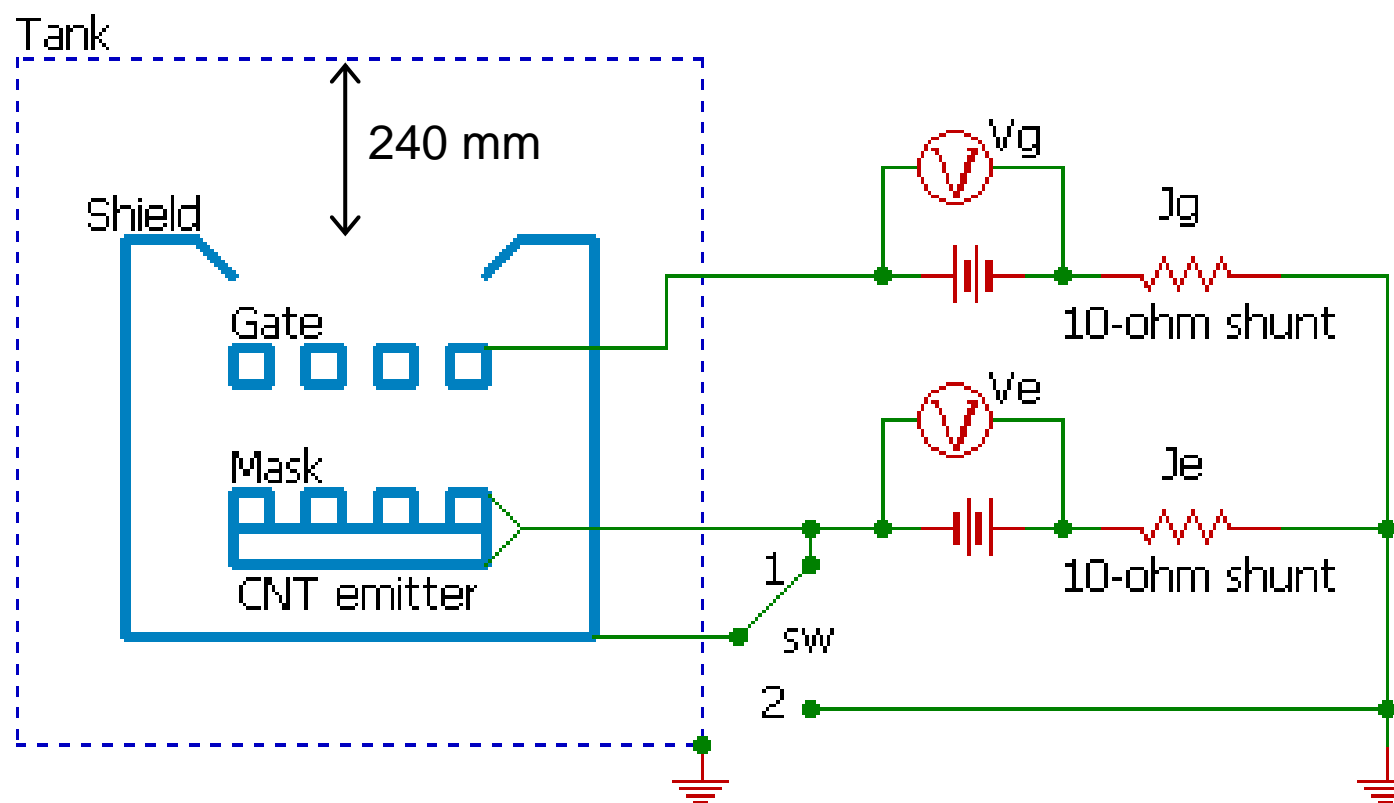


[ $\mu\text{m}$ ]

Gate thickness, $t_g$	50
Mask thickness, $t_m$	50
Emitter-gate distance, $l_{e-g}$	160
Slit pitch, $p_s$	500
Slit width, $w_s$	400

# Circuit for Cathode Operation

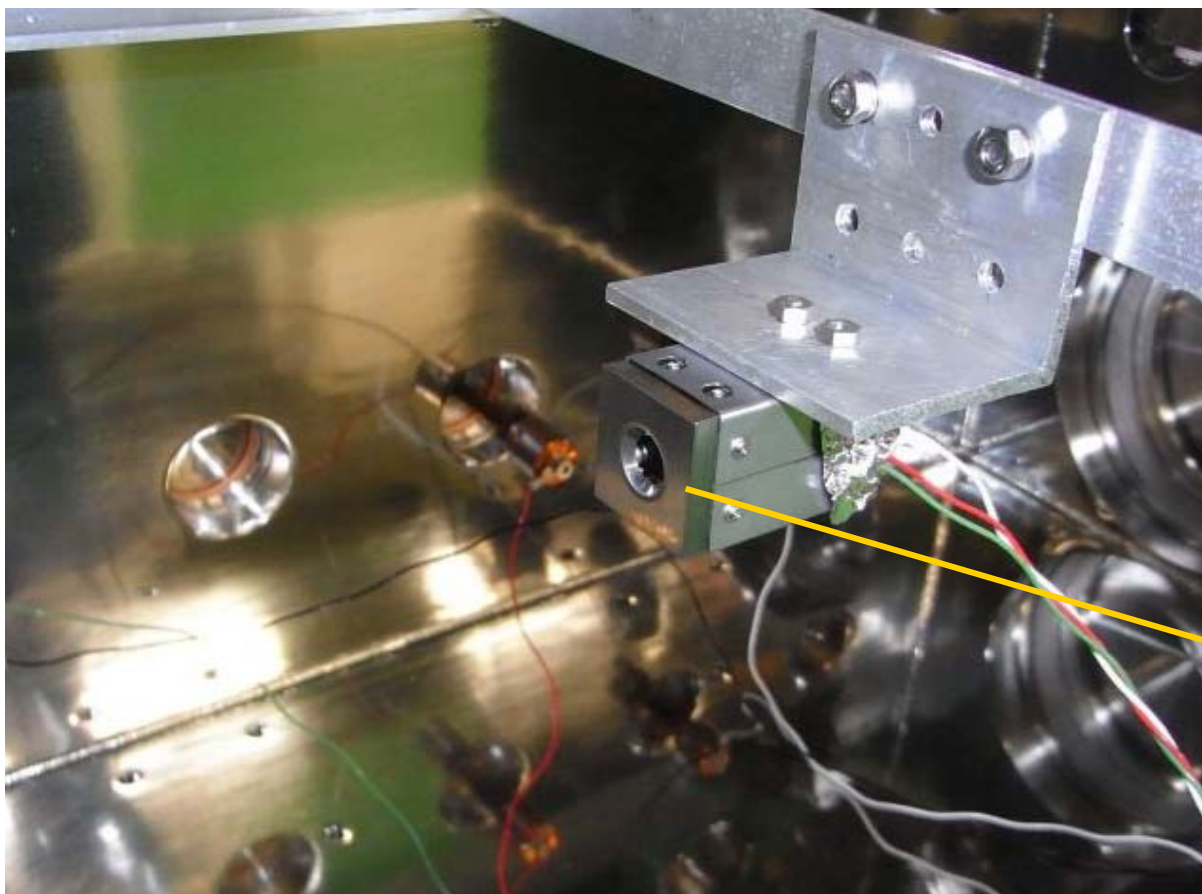
- Vacuum tank simulates ambient plasma (or EP plume)
- Shield case simulates spacecraft body
- Various potential conditions are simulated by two power conditioners





# Cathode Setup

$P = 5 \times 10^{-5} \text{ Pa}$



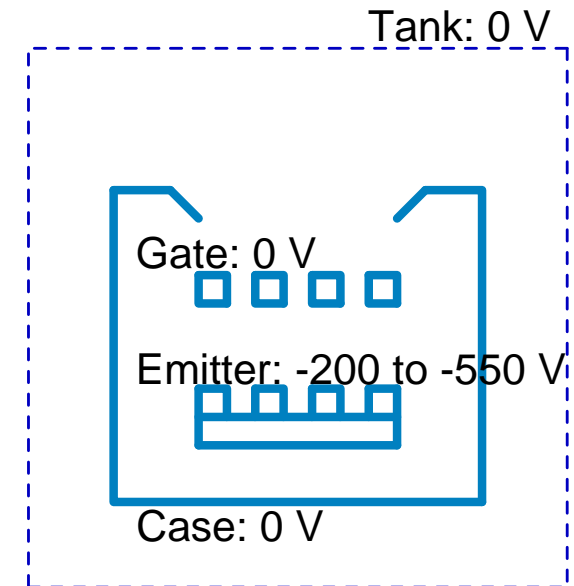
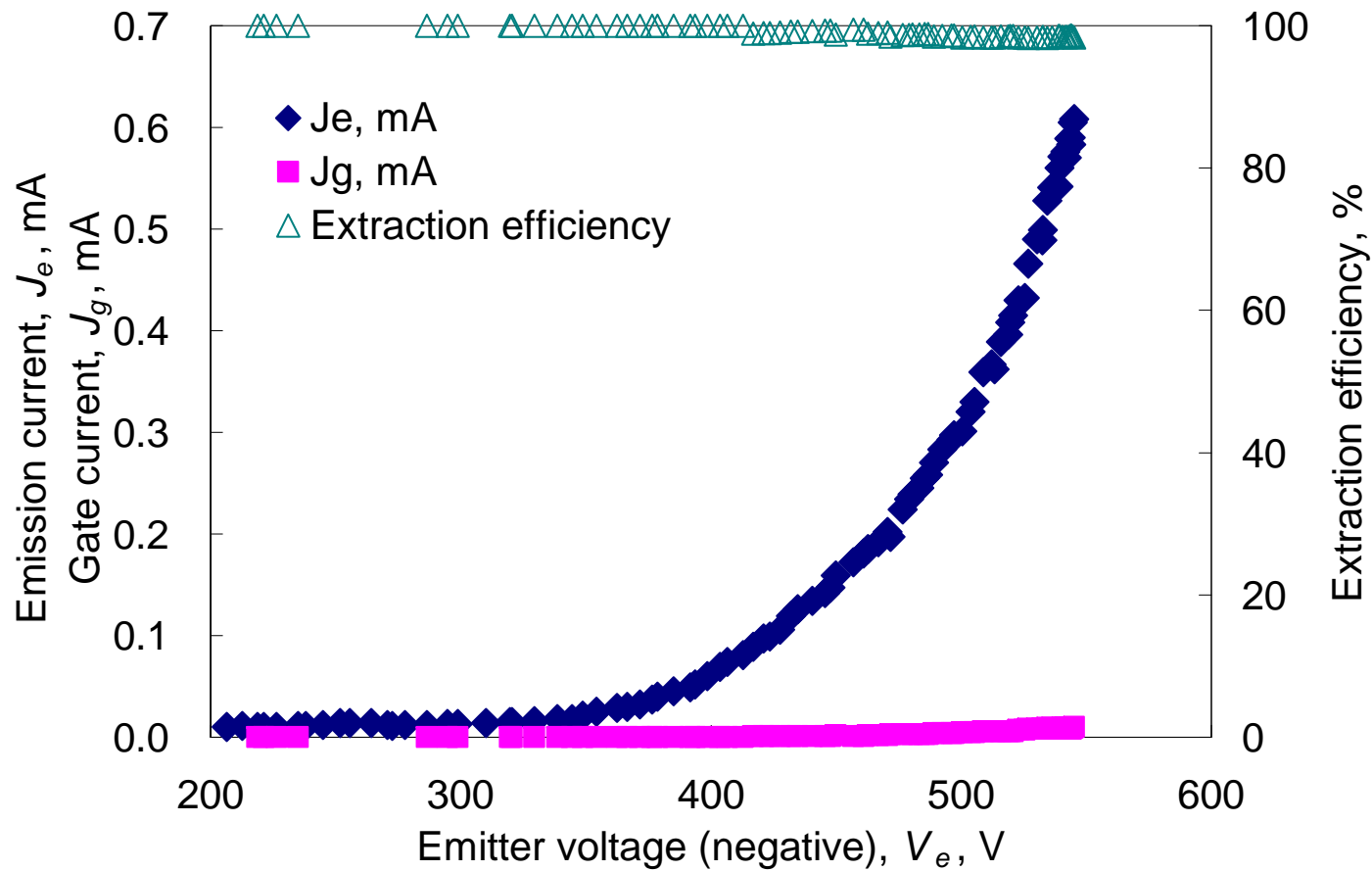
FEC

- Current-Voltage characteristics
- Influences of relative potential conditions
- Long duration test

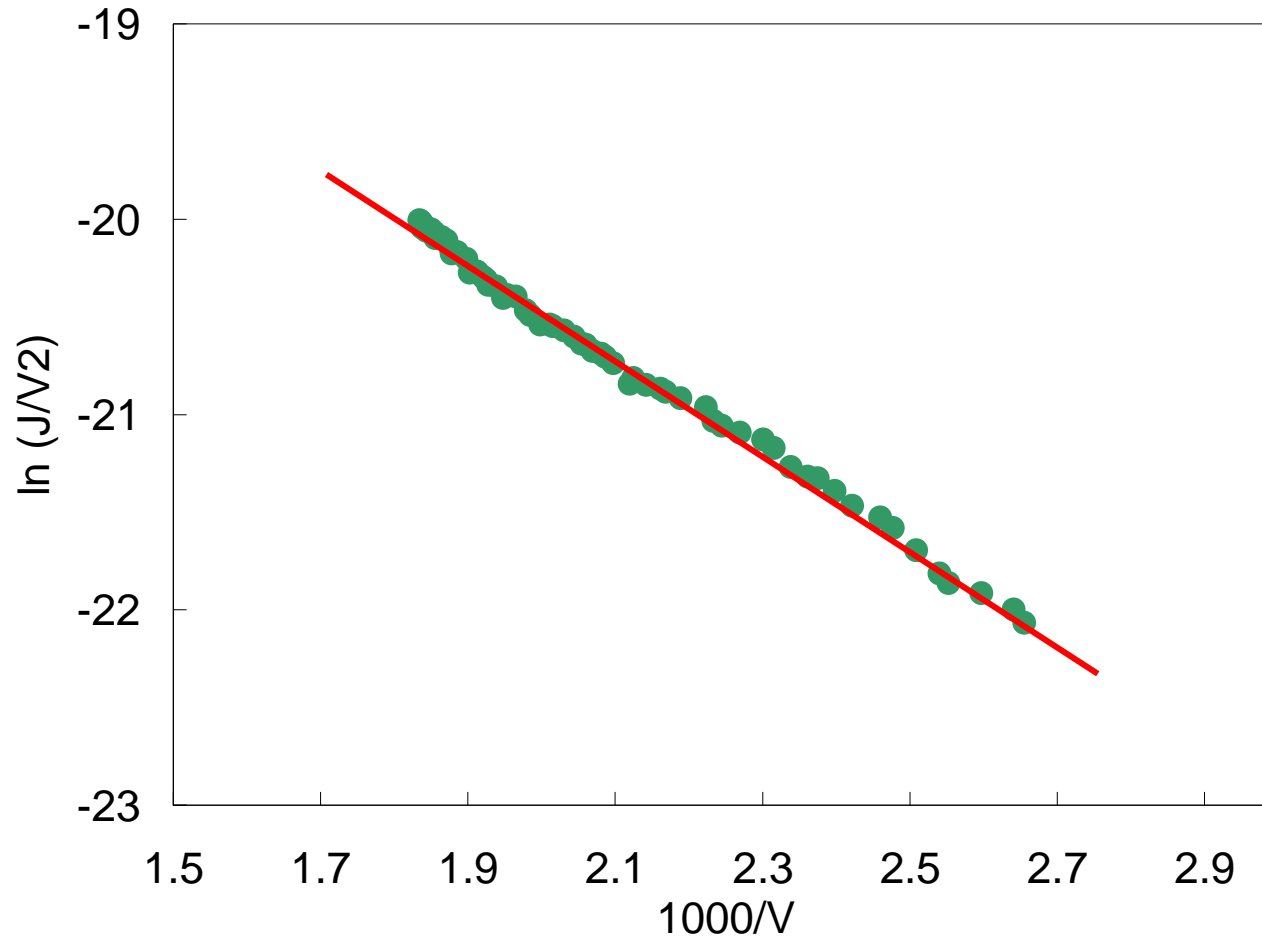
# Current-Voltage Characteristics



- $V_e = -200$  to  $-550$  V
- $V_g = V_s = 0$  V



- Fowler-Nordheim plot

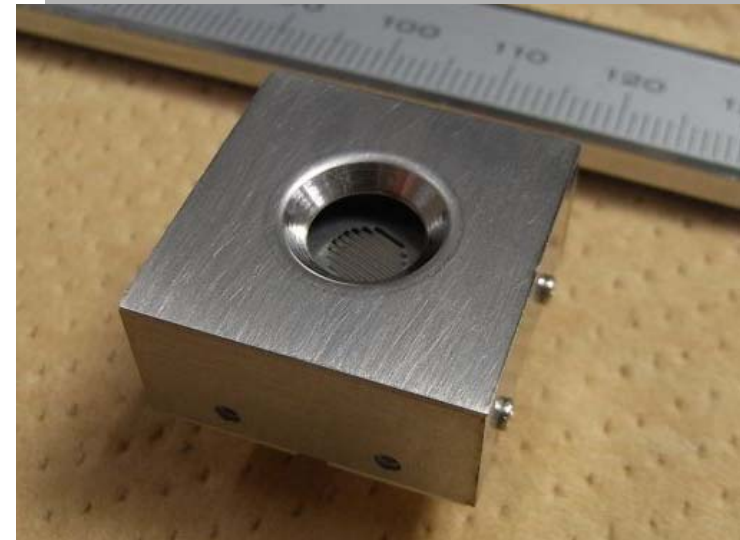


High linearity is a good indication that the current is attributable to “field emission”.

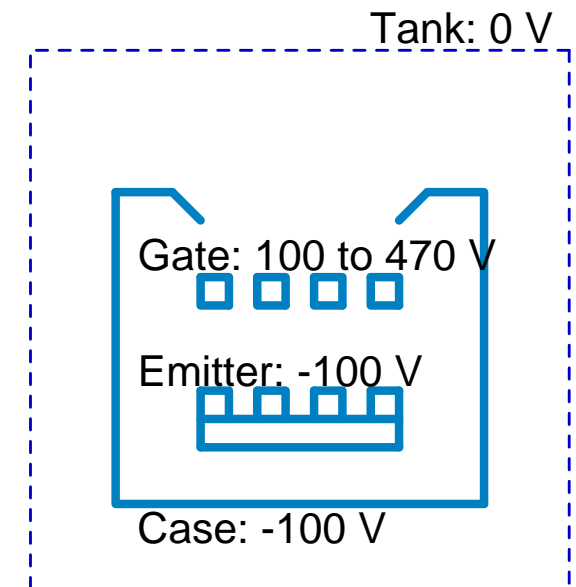
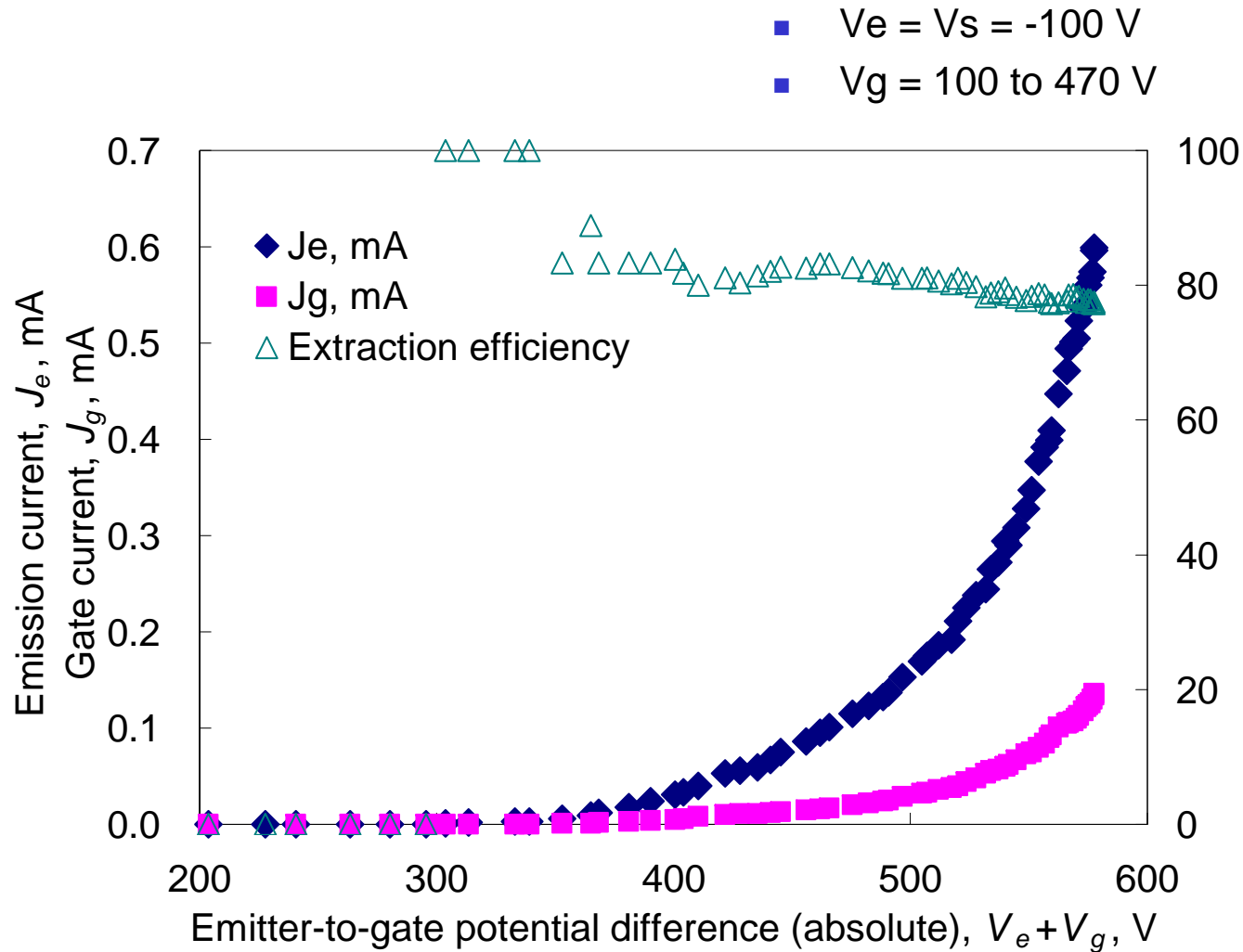
## Specs of LM1 Cathode



- Size: 20 x 20 x 30 mm
- Mass: 24 g
- Emission current: 0.6 mA
- Diameter of emitter: 4 mm
- Required voltage (BOL): 550 V
- Extraction efficiency: 98%

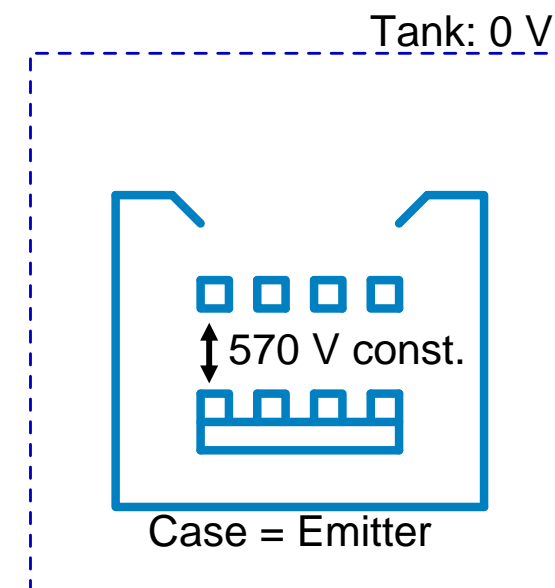
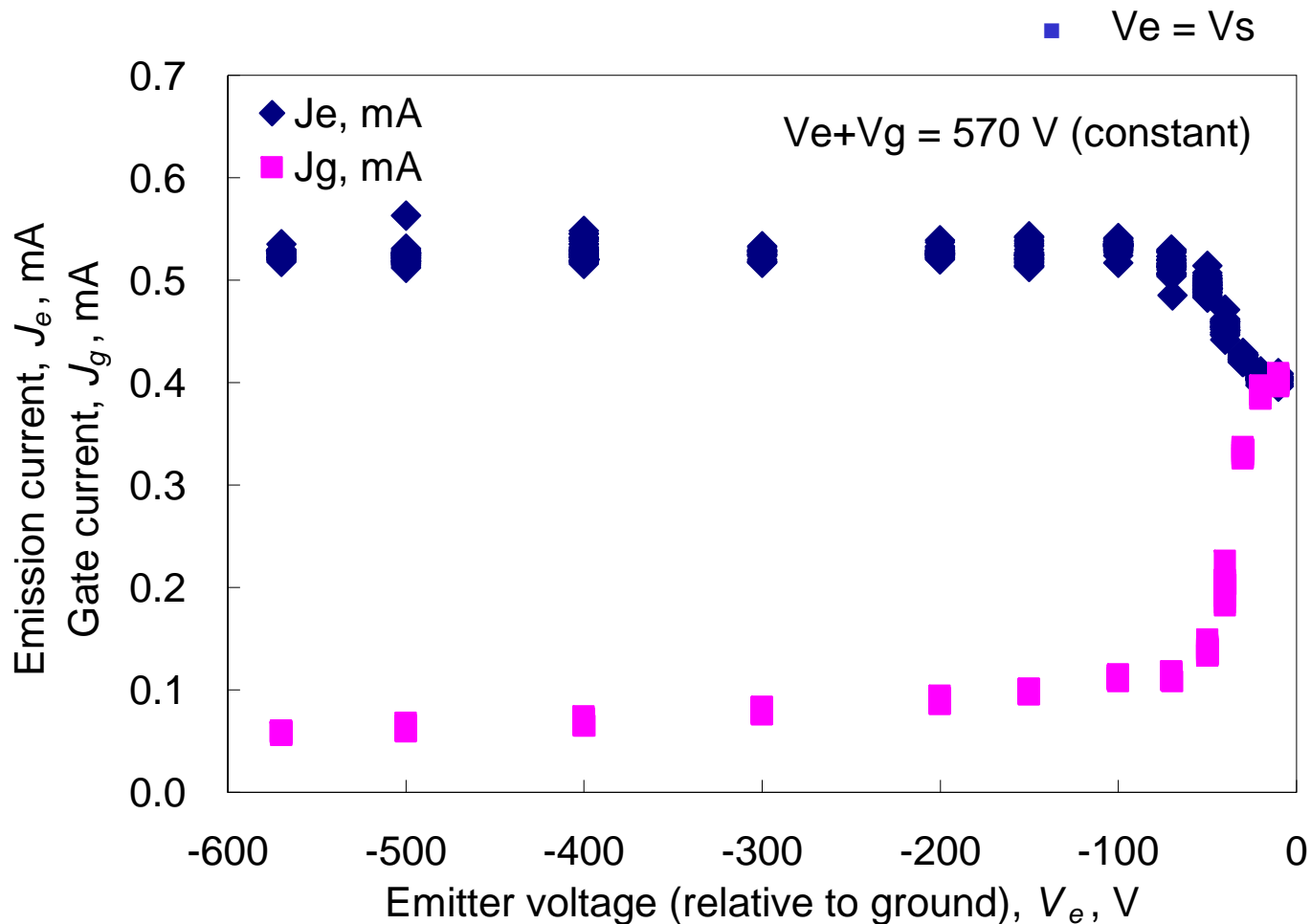


# Influences of Relative Potential Conditions



# Influences of Relative Potential Conditions

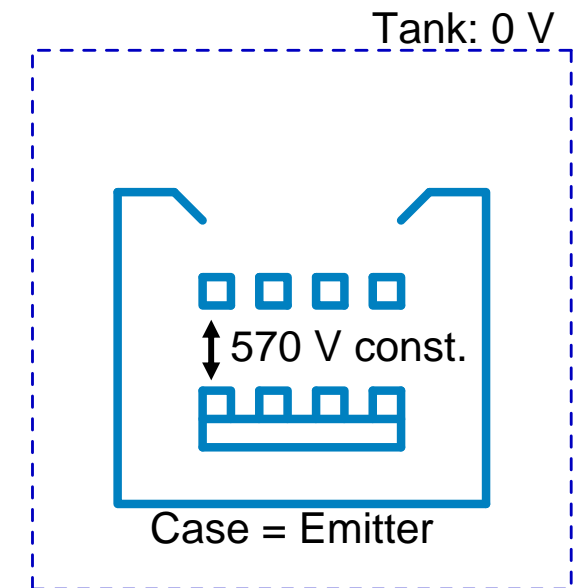
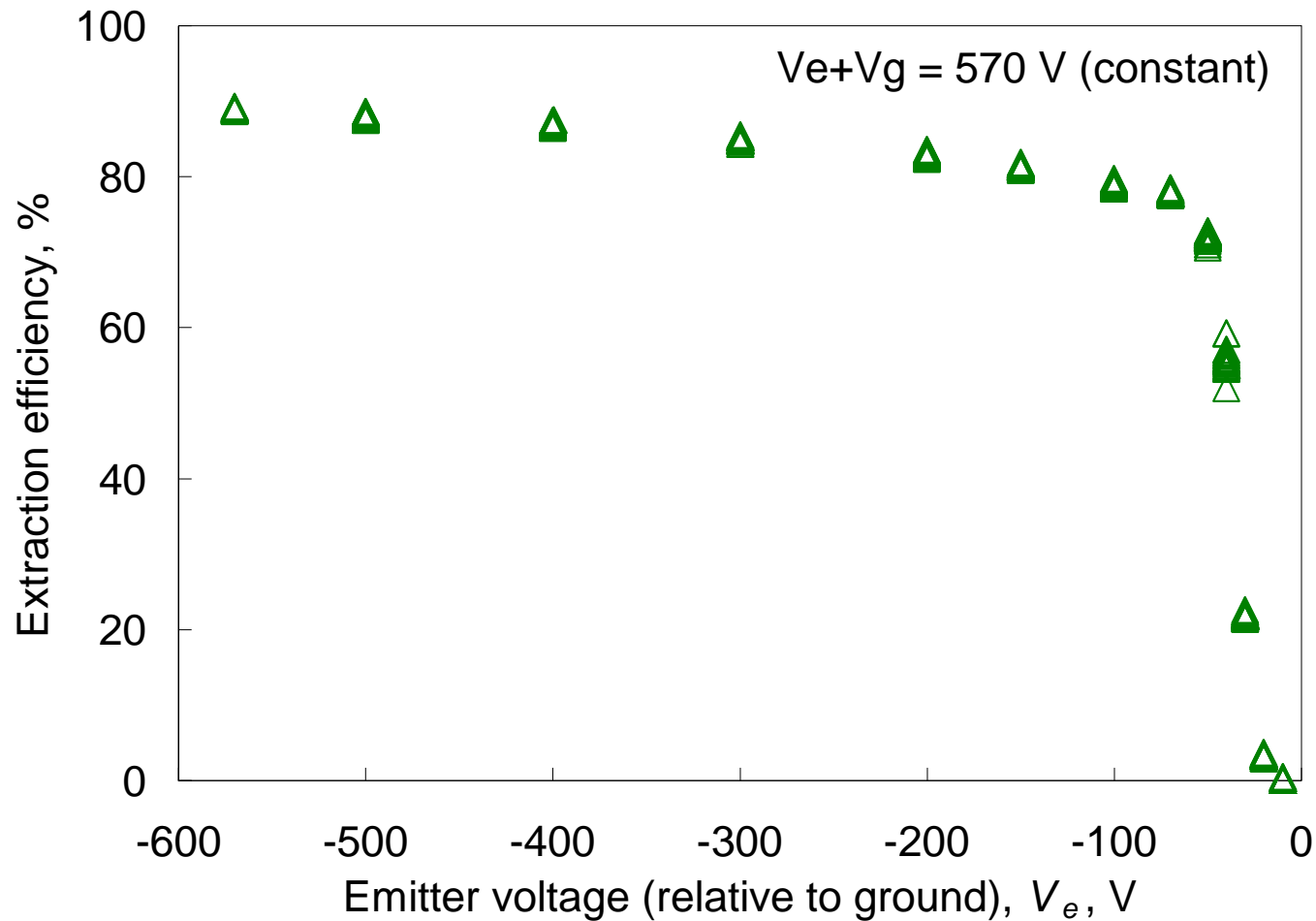
## Emission current and Gate current



# Influences of Relative Potential Conditions



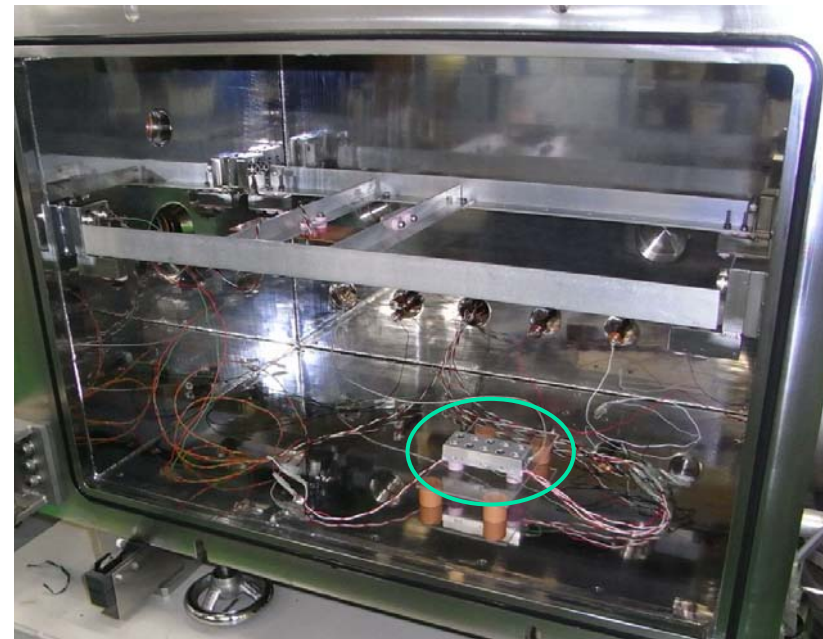
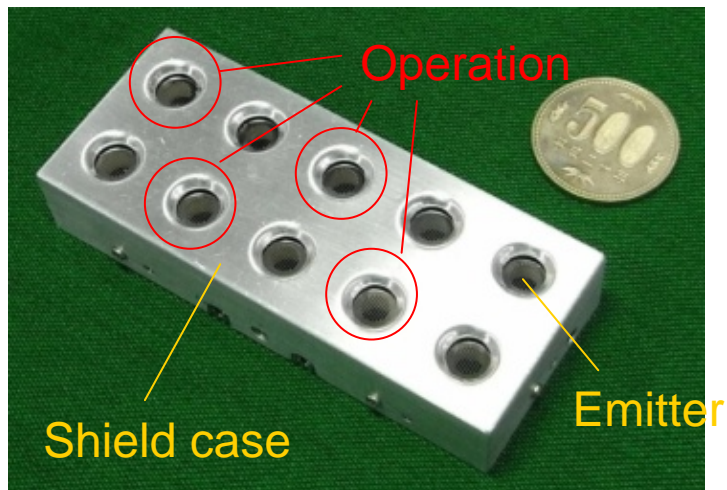
## Extraction efficiency





# 1000-Hour Operation

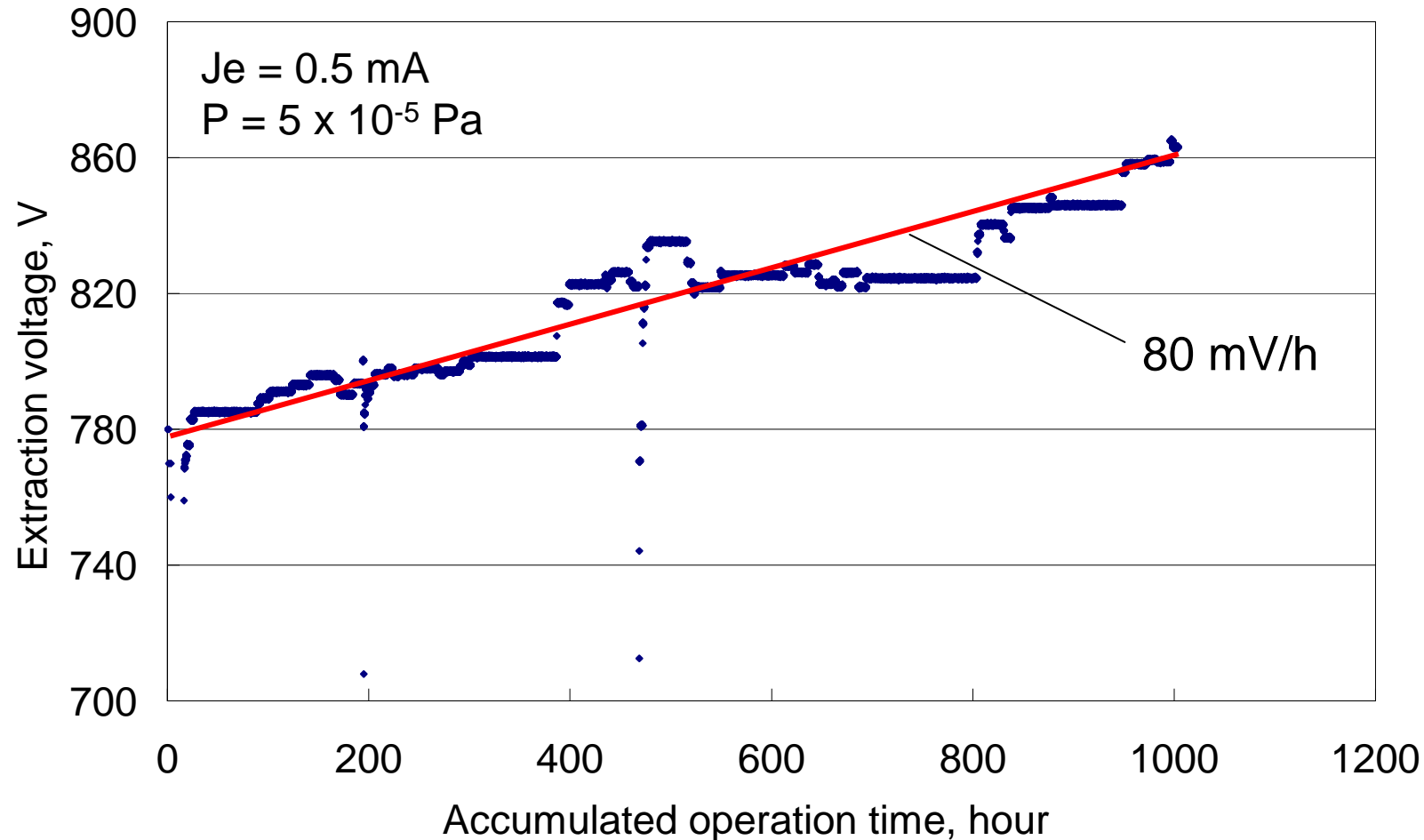
- 10-emitter-arrayed FEC was used
- 4 of 10 emitters were operated
- Total emission current was around 0.5 mA (manually controlled)
- 4 emitters were operated by one power conditioner
- Background pressure:  $5 \times 10^{-5}$  Pa



# 1000-Hour Operation



## Extraction voltage required for 0.5-mA-emission



- *No fatal trouble in 1000-hour operation*
- *Extraction voltage increased from 780 to 860 V during 1000-hour operation*
- *Higher durability is expected in lower pressure conditions*

- Laboratory models of carbon nanotube cathode were designed, fabricated, and tested
- Feasible performance was obtained
  - Emission current: 0.6 mA
  - Required voltage (BOL): 550 V
  - Extraction efficiency: 98%
  - Size: 20 x 20 x 30 mm
  - Mass: 24 g
- Influences of relative potential conditions were evaluated
- 1000-hour-operation was conducted without fatal trouble

## Future works

- Simulating practical FEC-plasma interaction
- Developing appropriate control algorithm
- Coupling operation with electric propulsion